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# Drivers of plankton community structure in intermittent and continuous coastal upwelling systems—from microbes and microscale *in-situ* imaging to large scale patterns

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Eastern Boundary Systems support major fisheries whose early life stages depend on upwelling production. Upwelling can be highly variable at the regional scale, with substantial repercussions for new productivity and microbial loop activity. Studies that integrate the classic trophic web based on new production with the microbial loop are rare due to the range in body forms and sizes of the taxa. Underwater imaging can overcome this limitation, and with machine learning, enables fine resolution studies spanning large spatial scales. We used the *In-situ* Ichthyoplankton Imaging System (ISIIS) to investigate the drivers of plankton community structure in the northern California Current, sampled along the Newport Hydrographic (NH) and Trinidad Head (TR) lines, in OR and CA, respectively. The non-invasive imaging of particles and plankton over 1644km in the winters and summers of 2018 and 2019 yielded 1.194 billion classified plankton images. Combining nutrient analysis, flow cytometry, and 16S rRNA gene sequencing of the microbial community with mesoplankton underwater imaging enabled us to study taxa from 0.2µm to 15cm, including prokaryotes, copepods, ichthyoplankton, and gelatinous forms. To assess community structure, >2000 single-taxon distribution profiles were analyzed using high resolution spatial correlations. Co-occurrences on the NH line were consistently significantly higher off-shelf while those at TR were highest on-shelf. Random Forests models identified the concentrations of microbial loop associated taxa such as protists, *Oithona* copepods, and appendicularians as important drivers of co-occurrences at NH line, while at TR, cumulative upwelling and chlorophyll *a* were of the highest importance. Our results indicate that the microbial loop is driving plankton community structure in intermittent upwelling systems such as the NH line and supports temporal stability, and further, that taxa such as protists, *Oithona* copepods, and appendicularians connect a diverse and functionally redundant microbial community to stable plankton community structure. Where upwelling is more continuous such as at TR, primary production may dominate

patterns of community structure, obscuring the underlying role of the microbial loop. Future changes in upwelling strength are likely to disproportionately affect plankton community structure in continuous upwelling regions, while high microbial loop activity enhances community structure resilience.

#### KEYWORDS

California Current, plankton community structure, microbial loop, upwelling, plankton imaging, climate change, machine learning, big data

## 1 Introduction

Community structure in an ecological system is defined by the relative abundances of organisms and their interactions with the biotic and abiotic environment (Verity and Smetacek, 1996; Smetacek, 2012; Lima-Mendez et al., 2015). Planktonic community structure and its underlying processes determine energy transfer through the oceanic trophic web (Brown et al., 2004), in turn enabling charismatic top predators such as tuna and orcas to thrive as well as providing an important protein source for humans through fisheries. Community structure can be assessed through the lens of taxa co-occurrence. As co-occurrence is driven by processes enabling coexistence within an ecosystem, such as niche separation (MacArthur, 1958; Chesson, 2000; Lindegren et al., 2020), co-occurrences together with their biotic and abiotic environmental envelope describe ecologically important patterns that enable the investigation of community structure (HilleRisLambers et al., 2012; Williams et al., 2014; Rios-Castro et al., 2022).

The oceanic environmental envelope is changing (Bakun, 1990; Doney et al., 2012; Bakun et al., 2015; Bograd et al., 2022). While climate change is a global phenomenon, key systems in which climate change has particularly strong effects are Eastern Boundary Upwelling Systems (EBUSs), important contributors to global ocean productivity and ecosystem services such as fisheries (Bograd et al., 2022). One such EBUS is the California Current Ecosystem which extends from British Columbia, Canada, to Baja California Sur in Mexico and exhibits strong physical and ecosystem variability on seasonal, interannual, and decadal time scales (Ware and Thomson, 2005; Barth et al., 2007; Checkley and Barth, 2009). The Northern California Current (NCC), extends from the northern border of the California Current Ecosystem southward to Cape Mendocino, CA, and encompasses variable oceanography along its extent, such as with respect to shelf width and year-round upwelling/downwelling strengths.

Upwelling in the NCC varies with latitude and season, with distinct downwelling (winter) and upwelling (spring-summer) seasons off OR and CA contrasted with persistent, stronger upwelling off northern CA (Bograd et al., 2009; García-Reyes and Largier, 2012). During upwelling, cold, nutrient- and CO<sub>2</sub>-rich waters reach the euphotic zone (Barth et al., 2005; Kirincich et al., 2005; Hales et al., 2006), fueling high levels of phytoplankton production (Dickson and Wheeler, 1995; Hales et al., 2006).

Spring-summer upwelling typically occurs in intermittent events [3-10 day (d)] and is demarcated by brief relaxation periods that cumulatively fuel strong primary and secondary production in the system (Feinberg and Peterson, 2003; Hickey and Banas, 2003; Shaw et al., 2010). The NCC shelf in mid and northern Oregon (e.g., Newport at 45°N) is relatively wide, allowing for higher retention of upwelled waters compared to southern OR and northern CA locations such as Cape Blanco (42.8°N) with a narrower shelf. Circulation tends to closely track bathymetry (Lentz and Chapman, 1989; Kirincich et al., 2005; Hickey and Banas, 2008), with the coastal upwelling jet meandering off the shelf south of Heceta Bank (Barth et al., 2000). These oceanographic differences in combination substantially affect primary production and subsequent secondary production.

Tightly woven into the marine food web is the microbial loop, which drives water column recycling and repackaging of carbon and nutrients, making these available again to higher trophic levels (Turner, 2015; Cavan et al., 2019; Glibert and Mitra, 2022). While it is often associated with low-latitude marine ecosystems with low nutrient levels and more recycled production (Azam et al., 1983), the microbial loop is ever-present, even in temperate areas where upwelling is prevalent (Wilkerson et al., 1987; González et al., 2004). In intermittent upwelling regimes, smaller plankters associated with the microbial food web can become dominant (Mousseau et al., 1998). Though patterns and processes of microbial cycling have been extensively studied (Pomeroy, 1974; Azam et al., 1983; Kirchman, 2000), its influence is rarely examined beyond lower trophic levels, and it is often ignored in upwelling systems where the primary focus has been on new production and classical food chains (however, see Vargas et al. (2007) for a study integrating microbial and classic trophic web analysis in an upwelling system). Studying the composition of plankton communities in the context of their surrounding microbial communities has strong potential to improve our understanding of the mechanisms that link abiotic oceanographic conditions to ecosystem productivity.

Strong upwelling events in the NCC come with some negative ecological consequences in the form of hypoxic and anoxic events. When low oxygen water is upwelled onto the shelf and phytoplankton blooms collapse, bottom water can quickly become depleted of oxygen (Chan et al., 2008; Chan et al., 2019). Hypoxic events are becoming increasingly frequent and are also associated with low pH (ocean acidification) conditions (Feely et al., 2008; Chan et al., 2019), both conditions together having significant

negative effects on demersal habitats and organisms (Doney et al., 2020; Nagelkerken and Connell, 2022). Upwelling regimes are poised to shift as a result of changes in wind forcing due to global climate change (Bakun, 1990; Bakun et al., 2015; Buil et al., 2021). Future poleward intensification of upwelling is predicted to lead to higher surface chlorophyll *a* (chl *a*), specifically in the NCC, while equatorward reduction in upwelling is predicting lower chl *a* in surface waters of the southern California Current (Buil et al., 2021). Predicted equatorward reduction of upwelling may also lead to increased microbial activity, while increased upwelling in the more northern NCC may lead to a reduction in microbial activity.

Simultaneously, climate change affects oceanographic processes at all spatial scales that comprise the environmental envelopes experienced by marine taxa. Effects are likely to be evident in taxa distributions, community composition, and community structure at scales ranging from microscale (e.g., predator-prey interactions, nutrient uptake in phytoplankton), fine scale (e.g., plankton thin layers and internal waves), sub-mesoscale (e.g., coastal processes such as cross-shore transport and upwelling), mesoscale (e.g., eddies, wind stress curl), and large basin scale [e.g., marine heat waves, Pacific Decadal Oscillation (PDO); Bakun and Nelson, 1991; Denman and Gargett, 1995; Mantua and Hare, 2002; Dickey and Bidigare, 2005; Prairie et al., 2012].

Historically, net-based plankton sampling has not adequately resolved planktonic communities at the micro-, and fine scales that are important for plankton dynamics (Haury et al., 1978; Yamazaki et al., 2002; Benoit-Bird et al., 2013; Schmid and Fortier, 2019; Robinson et al., 2021). In response, *in-situ* imaging instruments have been developed over the past few decades (Ortner et al., 1979) that can overcome this limitation. Today a variety of systems exist that have been designed for specific tasks in zooplankton imaging: for instance, UVP6 (Picheral et al., 2022) for integrated zooplankton imaging from CTD rosettes and vertical profiles, Zooglider (Ohman et al., 2019) for autonomous zooplankton imaging from gliders, the Scripps Plankton Camera System (Orenstein et al., 2020) for moored, repeated measurements, and the *In-situ* Ichthyoplankton Imaging System (ISIIS; Cowen and Guigand, 2008; Schmid et al., 2023) for imaging large volumes of water along towed transects. Advantages of imaging systems can include their non-destructive and high spatial resolution sampling capability (Lombard et al., 2019) as well as efficient imaging of plankton traits (Schmid et al., 2018; Vilgrain et al., 2021; Lertvilai and Jaffe, 2022). Data from imaging systems are often analyzed using machine learning due to the volume of data generated (Luo et al., 2018; Irisson et al., 2021). Together with additional onboard sensors (e.g., fluorometers, oxygen probes, CTDs) these imaging systems can describe the plankton community and their environmental envelope with high spatial resolution, providing new insight into plankton community structure (Briseño-Avena et al., 2020; Robinson et al., 2021).

To investigate the drivers of planktonic community structure in the NCC ecosystem, we deployed the ISIIS along the Newport (NH) and Trinidad (TR) lines, two historic cross-shelf transects that were visited thirty times for this study and vary in their seasonal patterns of upwelling. Sampling across two seasons (winter and summer) for

two years (2018, 2019), in conjunction with a deep learning data pipeline, yielded a very large dataset for examining plankton community structure. In addition, discrete water samples taken along the same transects were used for nutrient analysis and characterizing the microbial community. To obtain a holistic view of community structure we used spatially explicit high-resolution correlations of taxa distributions. The co-occurrence of a wide range of organisms spanning from primary producers and protists, through gelatinous plankton and crustacean zooplankton, to larval fishes, in the context of their biotic and abiotic environment was used to disentangle the degree to which community structure is driven by upwelling strength and new productivity versus the potential impact of the microbial loop. We hypothesize that plankton community structure differs between locations with intermittent or continuous upwelling conditions, with plankton communities in intermittent upwelling areas depending heavily on microbial activity while those in continuous upwelling areas associated more with new productivity. With climate change increasingly affecting the California Current Ecosystem, it is important to identify current drivers of plankton community structure such that we can better anticipate future changes to the structure of the water column that may disrupt the coastal marine food web including valuable fisheries.

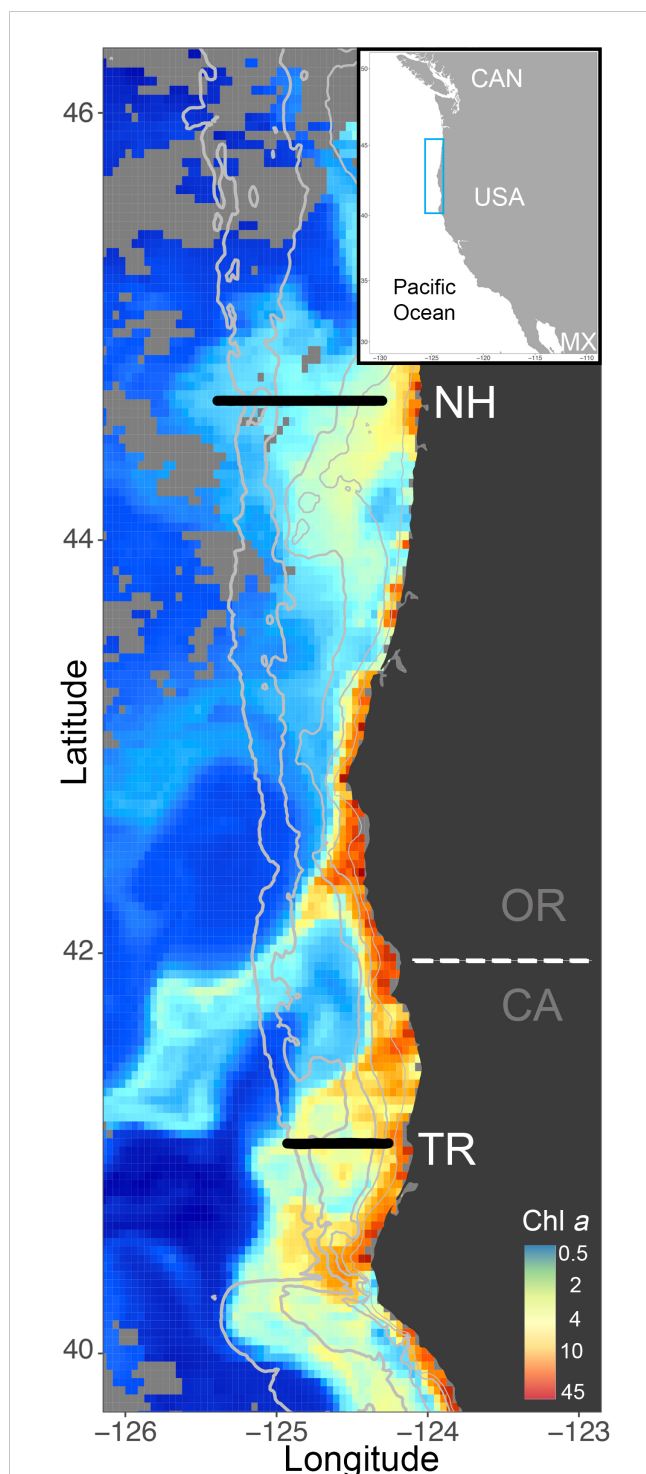
## 2 Materials and methods

### 2.1 Study area

Thirty transect replicates ranging from 24 to 86 km in length were sampled along the Newport Hydrographic (NH) line as well as the Trinidad Head (TR) line during the winters (February-March) and summers (July-August) of 2018 and 2019 (winter 2018 transect sample size was  $n=2$  per location due to weather days while summer 2018 and 2019,  $n=4-5$ ; Supplementary Material Table S1). Located off Newport, Oregon (Figure 1), the NH Line has been sampled since 1961 (Peterson and Miller, 1975), while the TR line off northern California has been sampled since 2007 (Robertson and Bjorkstedt, 2020). Both transects are part of regular net-based sampling efforts by the National Oceanic and Atmospheric Administration (NOAA) with a focus on determining the plankton community structure and the biophysical drivers of the recruitment of commercially important fishes. Imagery data were collected during both day and night hours, with daytime transects commencing at least 1h after sunrise and ending at least 1h before sunset, and nighttime transects commencing at least 1h after sunset and ending at least 1h before sunrise.

### 2.2 *In-situ* ichthyoplankton imaging system

ISIIS (Cowen and Guigand, 2008) is a towed shadowgraph and line-scan imaging system that scans a large volume of water ( $150-185\text{ L}^{-1}$ ) to quantitatively sample abundant meso-zooplankton as well as rarer ichthyoplankton (Cowen et al., 2013; Schmid et al.,



**FIGURE 1**  
 ISIIS transects along the Newport Hydrographic (NH) and Trinidad Head (TR) lines (black solid line) in Oregon (OR) and California (CA), respectively, where sampling occurred in winter and summer 2018 and 2019. Chlorophyll *a* from Aqua Modis ocean color on July 10, 2018 shows the often higher productivity in the northern California part of the Northern California Current, where the shelf is narrower than farther north at the NH Line (depth contours in solid grey lines at 50m, 100m, 200m, 1000m, 2000m). Light grey pixels indicate non-available data from Aqua Modis.

2023). ISIIS's large imaging frame, with a  $13 \times 13$ -cm field of view and 50 cm depth of field allows for the undisturbed imaging of a variety of plankton taxa including fragile gelatinous zooplankton (McClatchie et al., 2012; Luo et al., 2014). The resulting images have a pixel resolution of  $66 \mu\text{m}$  and are recorded as continuous videography. Data are sent to a top-side computer using a fiber optic cable where ISIIS data are time-stamped. ISIIS is equipped with a CTD (Sea-Bird SBE 49 FastCAT), as well as a dissolved oxygen probe (Sea-Bird 43), fluorescence sensor (Wet Labs FLRT), and photosynthetically active radiation sensor (PAR; Biospherical QCP-2300). ISIIS is towed behind the ship at  $2.5 \text{ m s}^{-1}$  where it undulates on each cross-shelf transect between 1 m and 100 m depth or as close as 2 m above the seafloor in shallower water. ISIIS has been used in various ecosystems with differing scientific objectives, such as the investigation of larval fish distributions at eddy fronts (Schmid et al., 2020) and fine-scale plankton patchiness in the Straits of Florida (Robinson et al., 2021), larval fish distributions in the context of environmental gradients in the NCC (Briseño-Avena et al., 2020; Swieca et al., 2020), the investigation of zooplankton individual-level interactions and parasitism in the Gulf of Mexico (Greer et al., 2021), and cross-ecosystem comparisons of a gelatinous grazer (Greer et al., 2023).

### 2.3 Sparse convolutional neural net

ISIIS imagery data were processed following Luo et al. (2018) and Schmid et al. (2020), with a full open-sourced, pipeline code (Schmid et al., 2021; Schmid et al., 2023). After the collected video data were flat-fielded and segmented into single regions of interest (ROIs; i.e., single plankton specimens) using a k-harmonic means clustering algorithm, a training library of images was created by choosing representative images from all 2018 and 2019 transects. The training library contained 82,909 images spanning 170 different classes, ranging from protists and phytoplankton to larval fishes. The sample size of the training classes ranged from  $n = 97$  for rare unidentified larval fishes to  $n = 2000$  for important-to-filter-out imaging artifacts. The sCNN (SparseConvNets with Fractional Max-Pooling; Graham, 2015; Luo et al., 2018) was trained until the error rate plateaued at  $\sim 5\%$  after 399 epochs.

The 170 original classes in the training library were mapped onto 67 broader groups (e.g., chaetognaths of different shapes merged into one group). After removing five different unknown groups, 62 taxonomic groups remained for ecological analyses. A random subset of images that had no overlap with the training library was classified by two human annotators and used for probability filtering following Faillettaz et al. (2016), an approach that removes very low probability images from the dataset, achieving 90% predictive accuracy per taxon. Removal of these "low-confidence images" still allows for the prediction of true spatial distributions (Faillettaz et al., 2016). An independent subsample of the remaining images was again classified by the same two human annotators and the results compared with the

automated classification. The resulting confusion matrix was used to calculate taxon-specific correction factors:

$$(\text{Correction factor}(\text{taxon}) = \text{Precision}(\text{taxon})/\text{Recall}(\text{taxon}))$$

Average precision and recall for the 62 taxa was 0.63 and 0.92, respectively (Supplementary Material Table S2). Individuals and environmental data were binned into 1 m vertical strata and plankton concentrations (ind. m<sup>-3</sup>) estimated based on the volume of imaged seawater. Plankton concentrations were then adjusted by applying the taxon-specific correction factors.

## 2.4 Environmental and ecological data analyses

**Upwelling** - To estimate the upwelling strength on each transect, we calculated the cumulative daily Coastal Upwelling Transport Index (CUTI<sup>1</sup>; Jacox et al., 2018) for the 10 d prior to sampling of a transect. This period was selected to account for the lag between physical forcing (i.e., nutrient upwelling) and phyto- (~7 d) and zooplankton (~13-16 d) abundances (Spitz et al., 2005). To encompass plankton ranging from phyto- to zooplankton, we selected an intermediate lag of 10 d (Swieca et al., 2023).

**Nutrients** - Samples for nutrients were collected at each station (n=2 per station, 6 stations per transect) and placed into sterile acid-cleaned 50mL polystyrene tubes. Nutrients analyzed included the sum of nitrite (NO<sub>2</sub><sup>-</sup>) plus nitrate (NO<sub>3</sub><sup>-</sup>) (N+N), ammonium (NH<sub>4</sub>), phosphate (PO<sub>4</sub>), and silicate (Si). Nutrient samples were processed at the Oregon State University Elemental Analyzer Facility with a hybrid instrument. Analysis was carried out with a continuous flow analysis system including two channels of an Alpkem AutoAnalyzer II (configured with a 5 cm optical path), used for PO<sub>4</sub> and NH<sub>4</sub>; and three channels of an Astoria Pacific Rapid Flow Analyzer for Si, NO<sub>3</sub><sup>-</sup>, and NO<sub>2</sub><sup>-</sup>.

**Microbial Community** - DNA sampling for microbes was carried out from surface (5-10 m depth) seawater samples (500-1000 mL, n=2 per station, 6 stations per transect) that were size fractionated on 47 mm 1.6 µm GF/A filters (Whatman) followed by 47 mm 0.2 µm Supor polyethersulfone filters (Pall Corporation) using a peristaltic pump. Filter membranes were moved to bead-beater tubes and frozen immediately at -20 °C and stored at -80 °C. DNA extraction was done using the DNeasy Plant Tissue Mini Kit (Qiagen) with the following modifications. Samples were lysed by bead beating with 0.55 mm and 0.25 mm sterile glass beads at 30 Hz for 2 min after addition of lysis buffer, freeze-fractured three times, incubated with Proteinase K (VWR Chemicals, Solon, OH, USA) at 20 mg/mL for 1 hour at 55 °C, and incubated with RNase A at 100 mg/mL for 10 min at 65 °C. PCR was performed in triplicate on 1 ng of DNA with the primer pair 515F-Y/806R amplified the 16S rRNA V4 hypervariable region with conditions as published (Parada et al., 2015) using golang barcodes on the forward primers as in the EMP protocols (Caporaso et al., 2023). Reactions were performed with the QuantaBio 5Prime HotMasterMix (Qiagen

Beverly, MA, USA). The Agilent High Sensitivity Kit in the Bioanalyzer (Agilent Technologies, Waldbronn, Germany) confirmed amplicon size. Triplicate PCR reactions from each sample were pooled then purified by magnetic beads. Each final pooled sample was paired-end sequenced with Illumina MiSeq v.3 (Illumina, San Diego, USA).

Flow cytometry was carried out with duplicate samples (n=2) and fixation of 1 mL seawater with a final concentration of 0.125% glutaraldehyde (Tousimis, Rockville, MD), incubated for 10 min at room temperature, then flash frozen in liquid nitrogen and stored at -80°C until processing. Cells from thawed samples were interrogated with a 488 nm laser using a BD Influx flow cytometer equipped with a 80 µm nozzle and small particle detector (BD Biosciences, San Jose, CA). Groups of phytoplankton were distinguished based on their relative red fluorescence (chlorophyll, bandpass 692/40 nm), relative orange fluorescence (phycoerythrin, bandpass 572/27 nm), side scatter, and forward scatter signals. The trigger for data collection was forward light scatter. *Synechococcus* populations were identified as cells with both chlorophyll and phycoerythrin fluorescence. Pigmented picoeukaryotes (PPE) were identified as cells with relatively high chlorophyll fluorescence, high forward scatter, and no phycoerythrin. Gating was carried out using FlowJo (BD Biosciences). Counts of cells measured by flow cytometry were normalized to the volume of seawater analyzed.

Amplicon sequence variants (ASVs) of seawater samples were identified based on 16S rRNA gene sequence reads that were processed using *dada2* (Callahan et al., 2016) and *phyloseq* (McMurdie and Holmes, 2013). Sequences were quality controlled using *filterAndTrim()* with *truncLen* set to 190 (forward reads) and 160 (reverse reads), *maxEE* was set to 3, and *maxN* set to 0 to eliminate low quality base calls. Forward and reverse primers were trimmed from all reads. Error learning, sample inference, and merging of paired-end reads were done with *dada2* default settings to yield unique amplicon sequence variants (ASVs). Chimeric ASVs were removed with the “consensus” method. The reference database “RefSeq-RDP16S\_v2\_May2018” was used to assign taxonomy to the ASVs. *phyloseq* was used to connect ASV sequence counts per sample to taxonomic data and metadata. Sequence abundances were standardized to the median sequencing depth of all samples (“standardized relative abundance”) without rarefying (McMurdie and Holmes, 2014). Raw sequence data can be accessed from the NCBI Sequence Read Archive<sup>2</sup>.

### 2.4.1 On-shelf/off-shelf plankton community structure

High spatial resolution taxa concentrations from ISHS underwater imaging collected along the different transects were split into their respective on-shelf portions and off-shelf portions based on the longitudes of the 200 m isobath (NH = -124.61°W, TR= - 124.382°W). Spearman rank correlations between the concentrations of each taxon and the remainder of the plankton

1 <https://mjacox.com/upwelling-indices/>.

2 <https://www.ncbi.nlm.nih.gov/bioproject/PRJNA999694/>.

community were calculated for the on-shelf and off-shelf portions of each transect (on-shelf:  $M = 880$ ,  $SD = 551$ ; off-shelf  $M = 1860$ ,  $SD = 718$ ) based on the < 1-m vertical resolution data (Figure 2, Supplementary Materials Figure S1). Only correlations that were significant at  $p < 0.01$  were used for further analyses.

To determine whether on-shelf and off-shelf co-occurrences differed among the different years, seasons, sites, and shelf combinations, we used Wilcoxon Rank Sum tests with a confidence level of 0.999 ( $n=3720$ ). To delineate patterns of taxa co-occurrences, coefficients of variation of the co-occurrences were calculated for each possible year, season, site, and shelf combination. Finally, linear regression was used to study transect-wide co-occurrence as a function of the cumulative CUTI, stratified only by location (NH/TR,  $n=30$ ).

#### 2.4.2 High spatial resolution modeling of environmental drivers of plankton community structure

Based on the original < 1-m vertically stratified data, mixed layer depth (MLD, Kara et al., 2000), Brunt Vaisala Frequency, and geostrophic dynamic height anomalies (both using 'gsw' R package which follows TEOS-10 definitions) were calculated along a 10 m vertical grid along each transect to account for mixing depth, stratification strength, and influence of the upwelling front, respectively. Spearman rank correlation coefficients were calculated for each 10-m vertical bin using the underlying stratified taxa concentrations (Mean = 682,  $SD = 3$ , Supplementary Materials Figure S1). Correlation coefficients were then merged with the newly derived oceanographic variables (e.g., MLD), as well as all taxa concentrations and environmental variables (e.g., chl a, dissolved oxygen). The resulting dataset

(Table 1) was used in two Random Forests models, one for each of the two transect lines (NH:  $n = 66,681$ , TR:  $n = 22,750$ , Supplementary Materials Figure S1). In each case the modeled response variable was the correlation coefficient for a specific 10-m vertical section of the grid; however, all data collected on NH or TR were combined for the most generalist model. Random Forest analysis (Breiman, 2001) was carried out using the 'caret' package in R (Kuhn, 2008), in the 'ranger' RF implementation, and variable importance was assessed based on permutation importance. Partial dependence plots were used to investigate the specific non-linear effects of the 10 most important explanatory variables per model.

### 3 Results

1.194 billion plankton images were classified from 195 h of underwater imagery, traversing a total of 1644 km along 30 transects ranging from 24-86 km (Supplementary Material Table S1). Along these same transects, 96 discrete surface water samples were analyzed for inorganic nutrients and the composition and abundance of the microbial community. The vast diversity of NCC plankton imaged included taxa such as appendicularians, crab zoea and megalopae, different types of copepods as well as hydromedusae, pteropods, chaetognaths, ctenophores, salps, several groups of larval fish (Figure 3, Supplementary Material Table S1), among others. Dense thin layers of different plankton taxa were observed frequently during deployments and analysis of the imagery showed these dense layers consisted of > 25,000 calanoid copepods per  $m^{-3}$  (Feb 2018 on the TR line, Supplementary Material Table S1), or of >1,300 crab zoea per  $m^{-3}$  (Feb 2018 on the NH line). Thin dense layers of doliolids reached

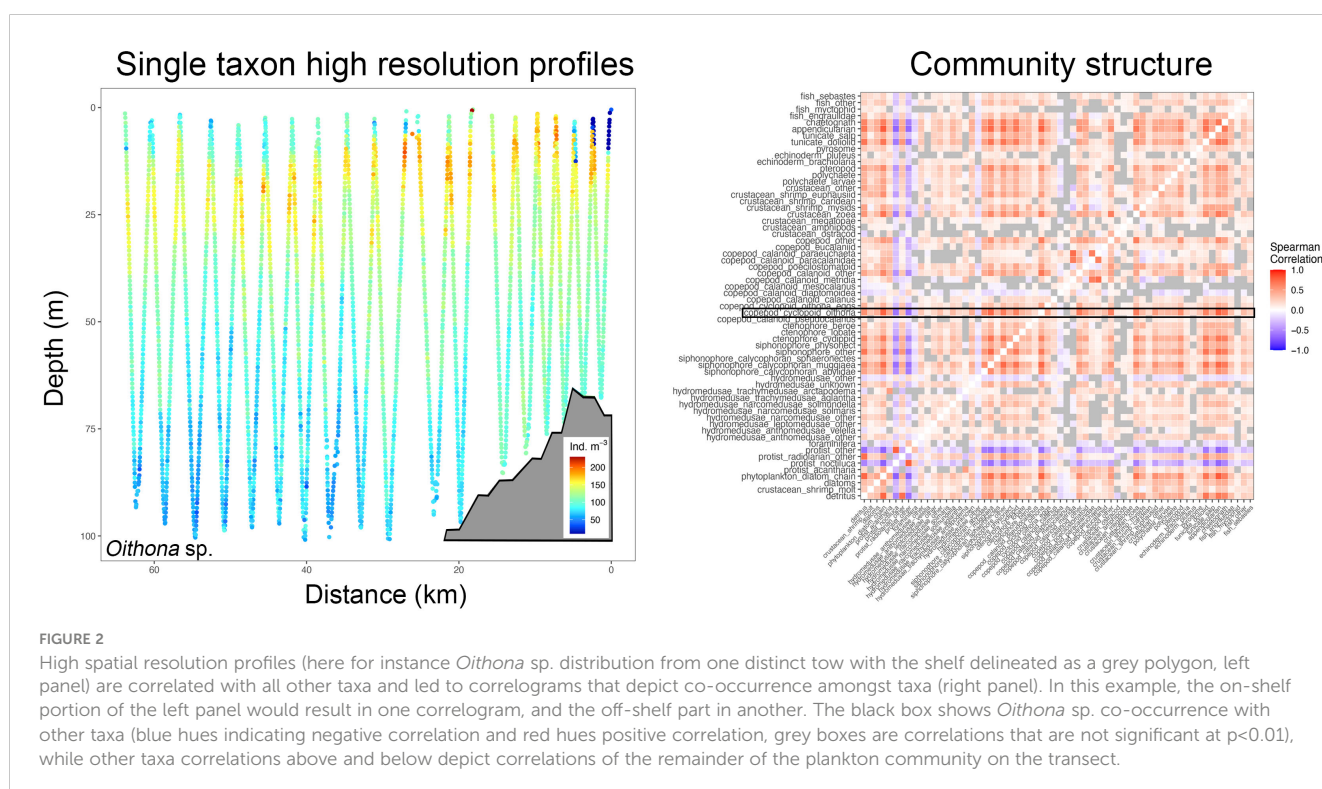


TABLE 1 Variables used in Random Forests modeling included biotic and abiotic environmental variables (1-14) and taxa concentrations derived from underwater imaging (15-76).

	Name	Unit		Name	Unit
1	Shelf (On/Off)	–	39	ctenophore_beroe	ind. m <sup>-3</sup>
2	Distance along transect	km	40	ctenophore_cydippid	“
3	Depth	m	41	ctenophore_lobate	“
4	Year (2018/2019)	–	42	detritus	“
5	Season (winter/summer)	–	43	diatoms	“
6	Cumulative CUTI (10 day)	m <sup>-3</sup> s <sup>-1</sup>	44	echinoderm_brachiolaria	“
7	Temperature	°C	45	echinoderm_pluteus	“
8	Salinity	–	46	fish_engraulidae	“
9	Oxygen	ml l <sup>-1</sup>	47	fish_myctophid	“
10	Chlorophyll <i>a</i>	μg l <sup>-1</sup>	48	fish_other	“
11	Density	kg m <sup>-3</sup>	49	fish_sebastes	“
12	Geostrophic Dynamic Height Anomaly	m <sup>2</sup> s <sup>-2</sup>	50	hydromedusae_anthomedusae_other	“
13	Mixed layer depth	m	51	hydromedusae_anthomedusae_velella	“
14	Brunt Vaisala Frequency squared	rad <sup>2</sup> s <sup>-2</sup>	52	hydromedusae_leptomedusae_other	“
15	appendicularians	ind. m <sup>-3</sup>	53	hydromedusae_narcomedusae_other	“
16	chaetognaths	“	54	hydromedusae_narcomedusae_solmaris	“
17	copepod_calanoid_calanus	“	55	hydromedusae_narcomedusae_solmundella	“
18	copepod_calanoid_diaptomoidea	“	56	hydromedusae_other	“
19	copepod_calanoid_mesocalanus	“	57	hydromedusae_trachymedusae_aglantha	“
20	copepod_calanoid_metridia	“	58	hydromedusae_trachymedusae_arctapodema	“
21	copepod_calanoid_other	“	59	hydromedusae_unknown	“
22	copepod_calanoid_paracalanidae	“	60	phytoplankton_diatom_chain	“
23	copepod_calanoid_pareuchaeta	“	61	polychaete	“
24	copepod_calanoid_pseudocalanus	“	62	polychaete_larvae	“
25	copepod_cyclopid_oithona	“	63	protist_acantharia	“
26	copepod_cyclopid_oithona_eggs	“	64	protist_foraminifera	“
27	copepod_eucalaniid	“	65	protist_noctiluca	“
28	copepod_other	“	66	protist_other	“
29	copepod_poecilostomatoid	“	67	protist_radiolarian_other	“
30	crustacean_amphipods	“	68	pteropod	“
31	crustacean_megalopae	“	69	pyrosome	“
32	crustacean_ostracod	“	70	siphonophore_calycophoran_abyllidae	“
33	crustacean_other	“	71	siphonophore_calycophoran_muggiaea	“
34	crustacean_shrimp_caridean	“	72	siphonophore_calycophoran_sphaeronectes	“
35	crustacean_shrimp_euphausiid	“	73	siphonophore_other	“
36	crustacean_shrimp_molt	“	74	siphonophore_physonect	“
37	crustacean_shrimp_mysids	“	75	tunicate_doliolid	“
38	crustacean_zoea	“	76	tunicate_salp	“

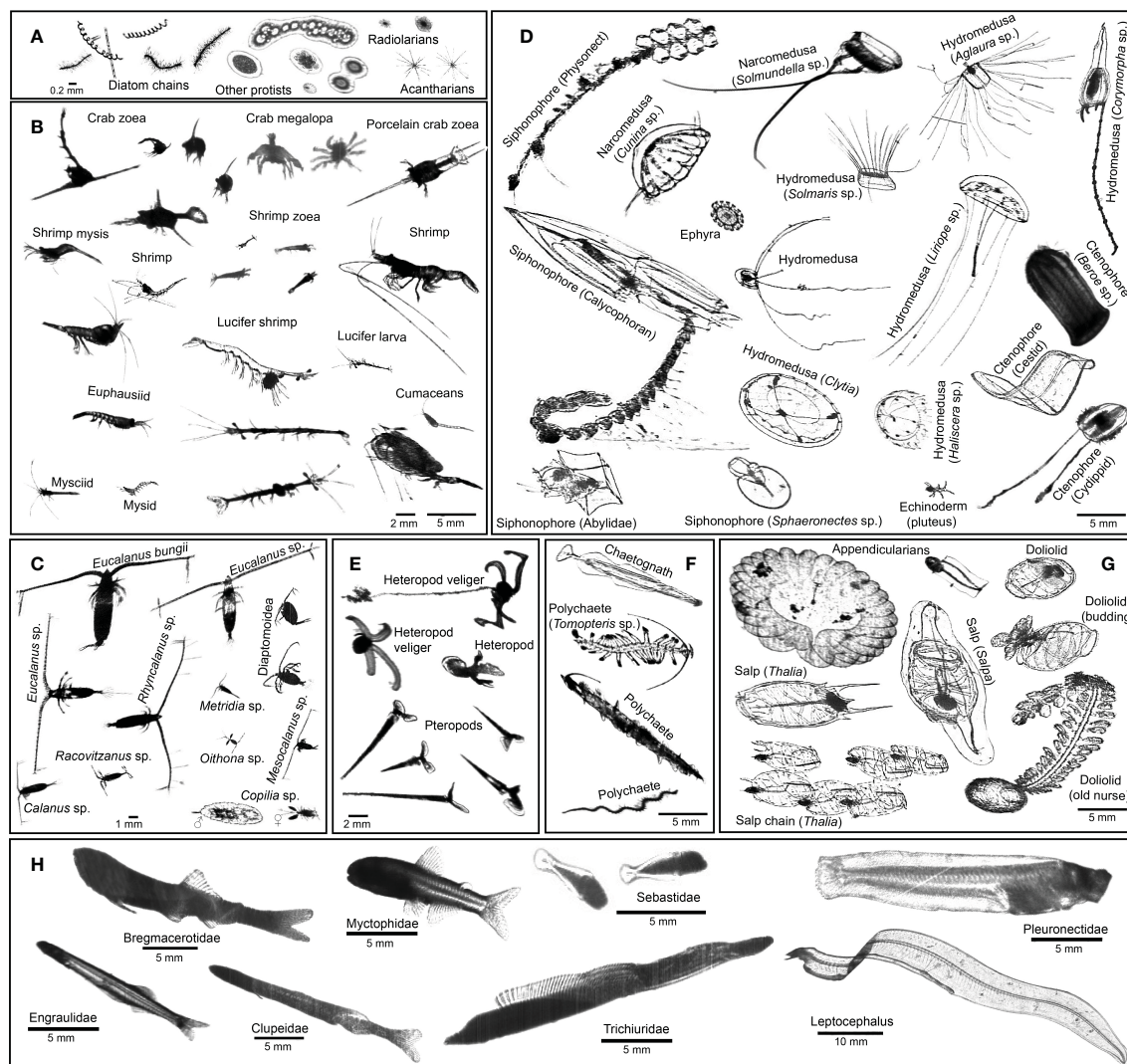


FIGURE 3  
ISIIS images of key taxa in the northern California Current. (A) Protists; (B, C) crustaceans (D) cnidarians, ctenophores, and echinoderms; (E) heteropods and pteropods; (F) chaetognaths and polychaetes; (G) pelagic tunicates; (H) larval fishes.

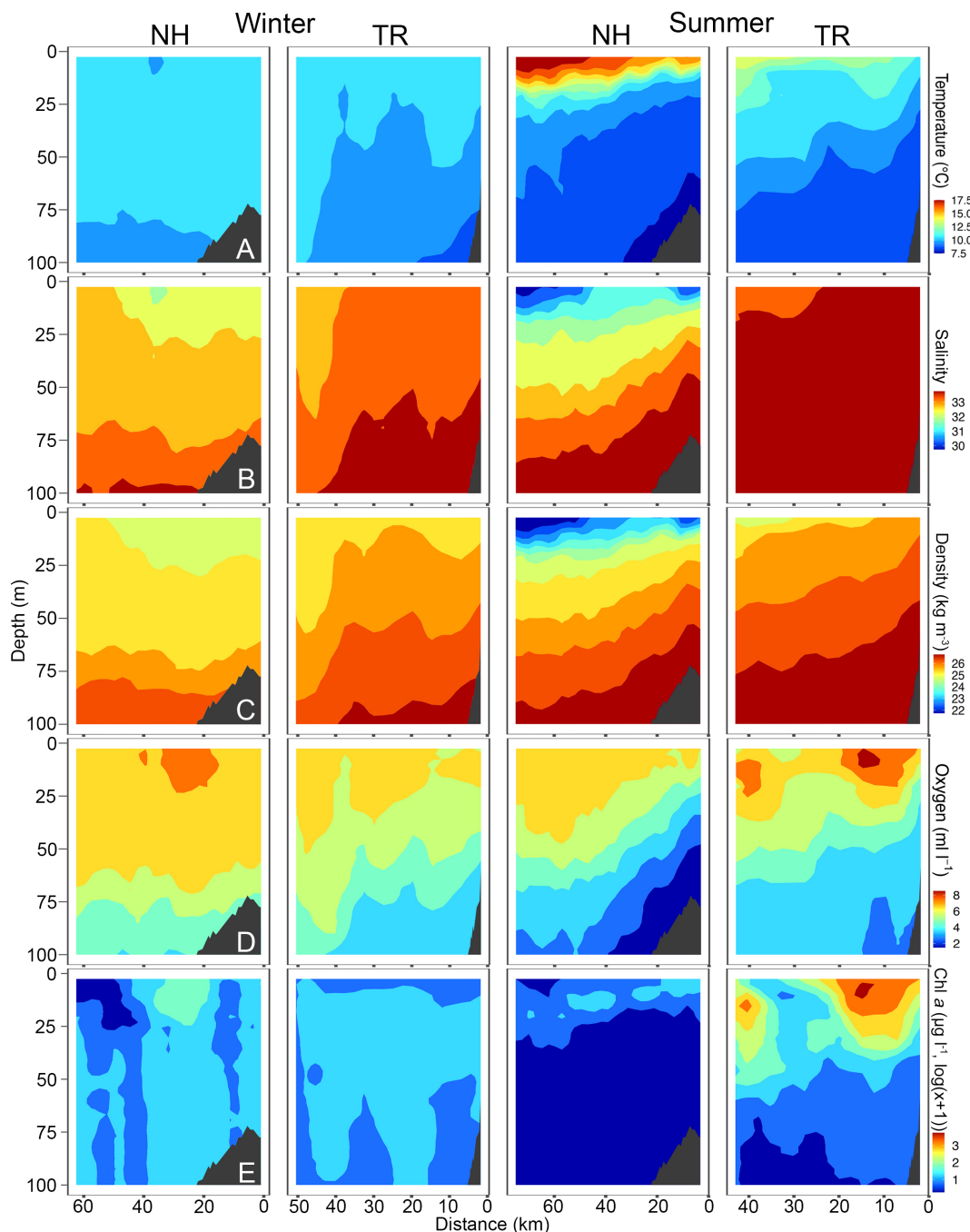
densities of  $>11,000$  individuals per  $m^{-3}$  (e.g., NH line in July 2018), while lobate ctenophores accumulated to  $>800$  individuals per  $m^{-3}$  (March 2019 on the NH line). Appendicularian accumulations of  $>10,000$  individuals per  $m^{-3}$  were found on the TR line in July 2019.

Comparison of the environmental conditions at the NH and TR lines revealed distinct upwelling signatures in seawater densities along both sampling lines in the summers of 2018 and 2019 (see representative transects in Figures 4, 5). While isopycnals showed no evidence of winter upwelling on either the NH or TR line in 2019 (Figure 5) and the summer NH line in 2018, isopycnals on the summer 2018 TR line indicated upwelling. This was in agreement with cumulative CUTI upwelling 10 d prior to sampling (Figure 6). The 10-d CUTI was always higher on the TR line relative to the NH line (Figure 6). The mean 10-d CUTI for the NH line during winter 2018 was  $3.8 m^{-3} s^{-1}$ , while it was  $4.8 m^{-3} s^{-1}$  during summer (Figure 6). Upwelling in 2019 was markedly lower, with a mean of  $0.4 m^{-3} s^{-1}$  during winter and  $3.6 m^{-3} s^{-1}$  during summer. On the TR

line, 2018 10-d CUTI upwelling reached  $13.8 m^{-3} s^{-1}$  and  $22.3 m^{-3} s^{-1}$  during winter and summer, respectively, while upwelling in 2019 followed the NH trend and was reduced to  $3.3 m^{-3} s^{-1}$  and  $6.2 m^{-3} s^{-1}$  during winter and summer, respectively. Consistent with the 10-d CUTI, nitrate and nitrite (N+N) levels were higher on the TR line ( $>10 \mu M/L$ ) compared to the NH line ( $<5 \mu M/L$ ). N+N levels decreased generally sharply with longitude on the TR line in winter and summer (except for summer 2018 when off-shelf N+N were higher than onshore), while summer N+N levels on the NH line were generally low and showed no distinct nearshore/offshore pattern, compared to NH winter N+N that showed an offshore increase in nutrient levels (only one transect sampled for NH winter; Supplementary Material Figure S2).

Sea surface temperatures on the NH line peaked at  $17.5^{\circ}C$  in 2018, while water on the TR line remained substantially cooler. Surface salinities at the NH line were relatively fresh at 30, while most of the water column on the TR line was  $>32.5$ . Oxygen levels fell to  $<2 ml l^{-1}$





**FIGURE 4**  
 Temperature (A), Salinity (B), Density (C), Oxygen (D) and Chlorophyll a (chl a, E) across the Newport Hydrographic (NH) and Trinidad Head (TR) transects in winter and summer 2018. Winter sampling on the NH and TR shown here was carried out February 16 and 21, respectively, while summer sampling was carried out on July 10 and 7, respectively. Note that Chl a is plotted in  $\log(x+1)$  due to the values ranging from 0.01 to 45  $\mu\text{g l}^{-1}$ . Shelf indicated in dark grey. Note that x-axes have different lengths.

levels on the NH line in summer 2018, coinciding with substantial upwelling. Chl *a* was highest on the TR line in summer 2018 with levels reaching up to 45  $\mu\text{g l}^{-1}$ . The temperature profile along the NH line in summer 2019 closely mimicked that of 2018, with surface temperatures > 17.5°C (Figure 5). In 2019, oxygen levels fell to < 3  $\text{ml l}^{-1}$  in summer in near-bottom areas on the shelf, while subsurface chlorophyll maxima reached 7.5  $\mu\text{g l}^{-1}$  at both sampling sites in summer.

TS-diagrams reinforce that temperatures on the NH line in summer were consistently the highest measured during the study (Supplementary Material Figure S3). Winter profiles were characterized by a substantially narrower range in water temperature on both transects. While warm summer surface waters on the NH line were also the freshest found at either site, winter water was fresher on the TR line than on the NH line. Winter

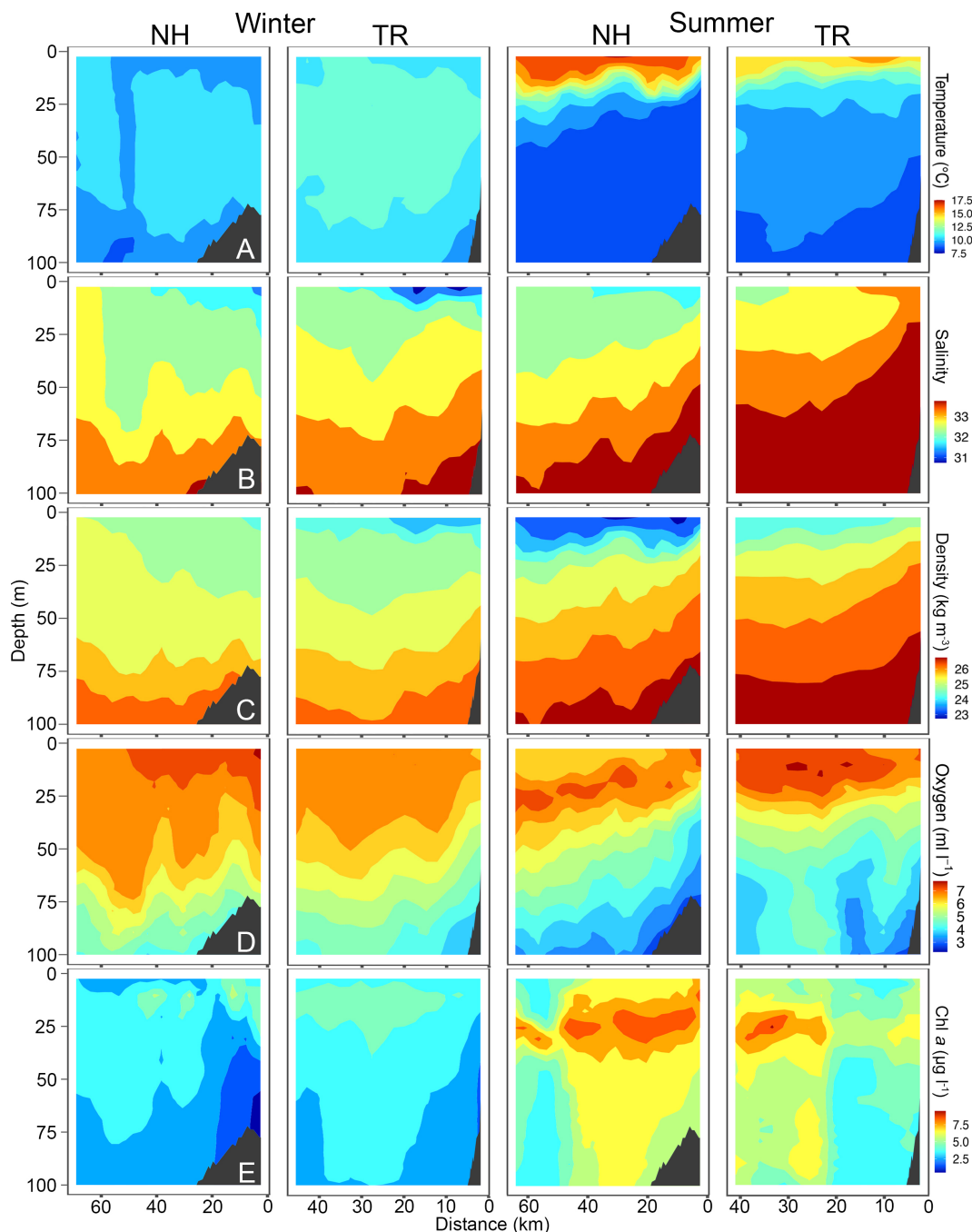


FIGURE 5

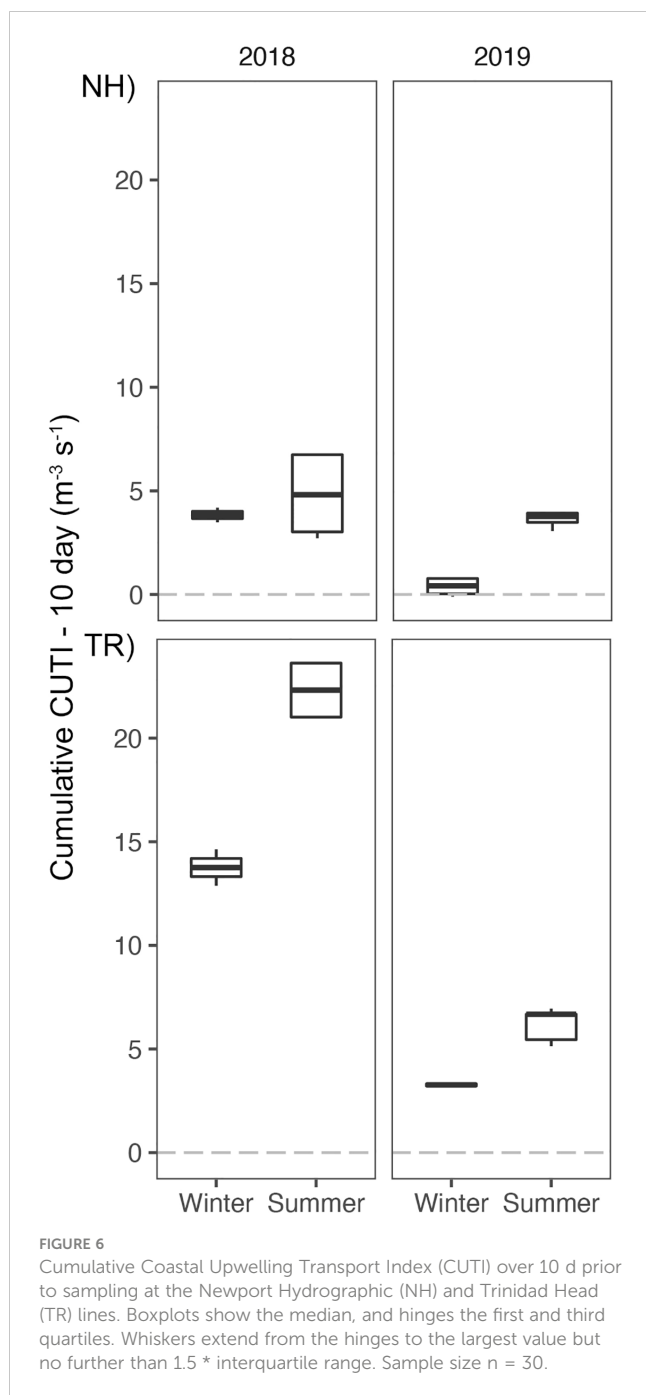
Temperature (A), Salinity (B), Density (C), Oxygen (D) and Chlorophyll a (chl a, (E) across the Newport Hydrographic (NH) and Trinidad Head (TR) transects in winter and summer 2019. Winter sampling on the NH and TR shown here was carried out on March 6 and 8, respectively, while summer sampling was carried out on July 23 and 18, respectively. Shelf indicated in dark grey. Note that x-axes have different lengths.

and summer water at both sampling sites showed a distinct seasonal signal (Supplementary Material Figure S3).

### 3.1 Microbial community

The community structure of the smallest size classes of plankton were determined by flow cytometry (phytoplankton:

0.2–30  $\mu\text{m}$  in diameter) and 16S rRNA gene sequencing of size-fractionated seawater samples (phytoplankton and prokaryotes: 0.2–1.6  $\mu\text{m}$  and 1.6  $\mu\text{m}$  in diameter and larger). Pigmented picoeukaryotes (PPE) and the cyanobacterium *Synechococcus* dominated the phytoplankton community (Figures 7A–D), consistent with previous surveys of the NCC phytoplankton community composition and abundances (Sherr et al., 2005). Diatoms and cryptophytes (ubiquitous phototrophic flagellated



protists) were the dominant eukaryotic phytoplankton taxa (Figures 7E-H). The 16S rRNA identity allowed insight into microbial function and growth conditions for select well-understood microbial lineages such as eukaryotic phytoplankton, heterotrophic bacteria, and picocyanobacteria.

Phytoplankton community structure and abundances showed substantial differences between the transects and seasons. During winter, picoeukaryotes and *Synechococcus* were at similar abundances on both transects with little change between on- and offshore environments (Figures 7A-D). In contrast, during summers, *Synechococcus* exceeded picoeukaryote counts by an

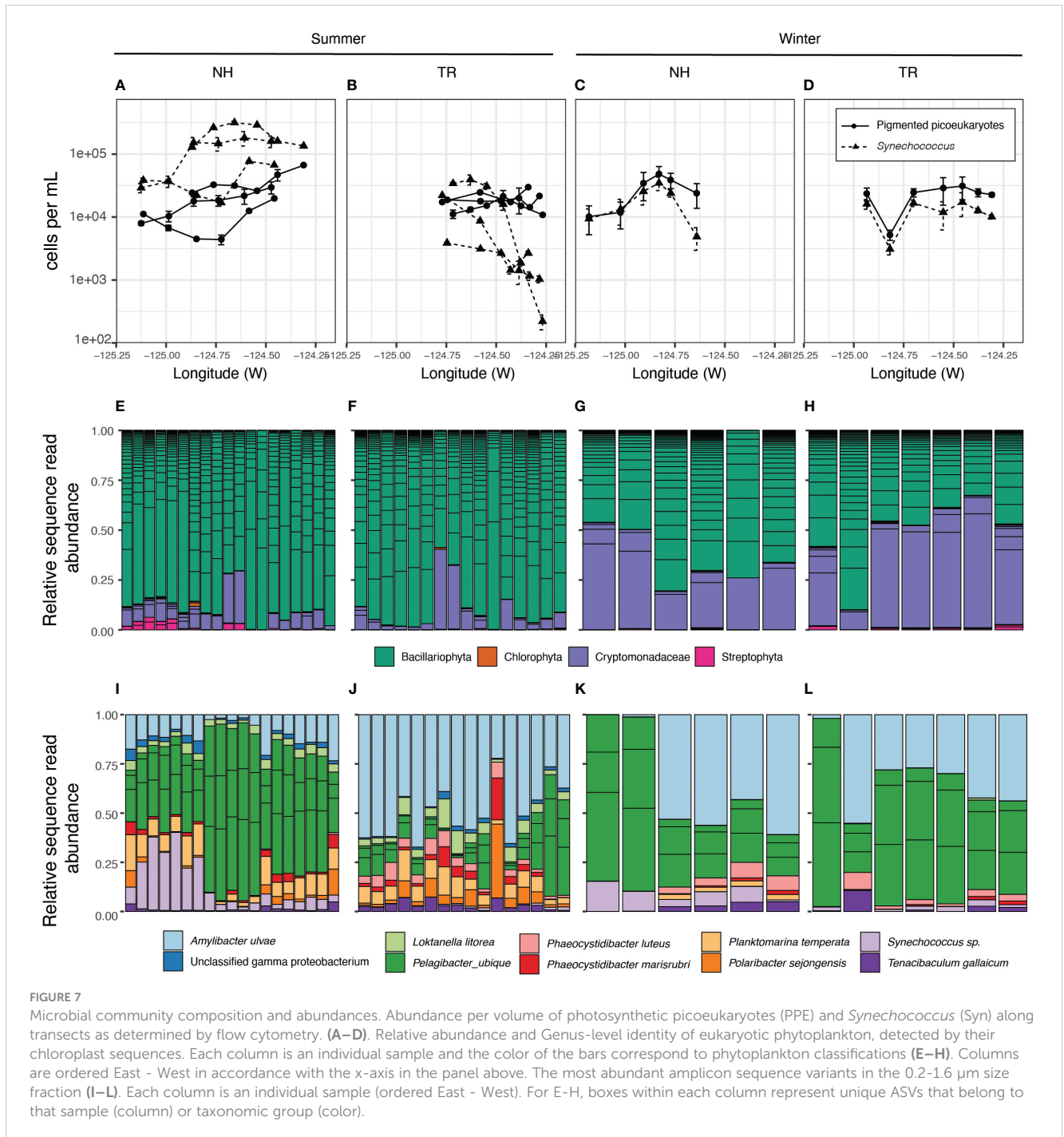
order of magnitude on the NH line, but fell below the picoeukaryotes along the TR line, displaying a sharp decline at nearshore stations (Figure 7), coincident with increased nutrient concentrations from recently upwelled water (Supplementary Material Figure S2). While most eukaryotic phytoplankton were diatoms in summer along both transects, in winter the cryptophytes reached similar relative abundances as diatoms on both transects (Figures 7E-H).

The prokaryote community (0.2-1.6  $\mu\text{m}$ ) at the study sites displayed significant patterns with regard to season and transect. Bray-Curtis dissimilarity measures indicated that the microbial community beta diversity (i.e. community structure) was significantly different between the two transect lines in summer, but was similar to each other in winter (Supplementary Material Figure S4). Specific taxa underlying these community-wide patterns included *Pelagibacter* (SAR11) and *Amylibacter* (Figures 7I-L). In winter, the TR and NH lines were similar in the balance of *Pelagibacter* and *Amylibacter* relative abundances. In contrast, the summer microbial communities of the two transects diverged. NH was dominated by *Pelagibacter*, while TR was dominated by *Amylibacter*.

### 3.2 On-shelf/off-shelf plankton community structure

Co-occurrences among taxa ranged from strongly negatively correlated at -1 to strongly positively correlated at +1, with substantial intra-, and inter- taxon variation (Figures 8, 9). Mean co-occurrences of all taxa differed significantly between on-shelf and off-shelf (Wilcoxon Rank Sum test, all combinations  $p < 0.0001$ , except winter of 2019 at TR) and these on-shelf/off-shelf patterns differed between the NH and TR lines (Figures 8, 9). On the NH line, across both seasons and years, correlation coefficients were more positive off-shelf compared to on-shelf, while on the TR line the pattern was more complex. Winter and summer 2018 correlations on the TR line were the opposite of the NH line, with more positive correlations on-shelf relative to off-shelf. In contrast, average TR on- and off-shelf correlations in winter 2019 were virtually indistinguishable, before transitioning in summer 2019 to a pattern similar to the NH line where off-shelf correlations were significantly higher than those on-shelf.

Similarly, the coefficients of variation (CVs) around these mean co-occurrences on the NH line were consistently higher on-shelf ( $> 2$ ) compared to off-shelf (1.5), while on the TR line, the pattern was more complex (Supplementary Material Figure S5). In winter 2018, CVs for both on-shelf and off-shelf correlations on TR were virtually identical, while in summer 2018, the on-shelf CV was lower than off-shelf, before switching to a pattern similar to the NH line in 2019, with higher CVs on-shelf relative to off-shelf. Mean co-occurrence of all taxa as a function of the preceding 10-d cumulative CUTI was significant on the TR Line (Figure 10; analysis of variance  $p < 0.001$ ), while on the NH line no such relationship was detected.

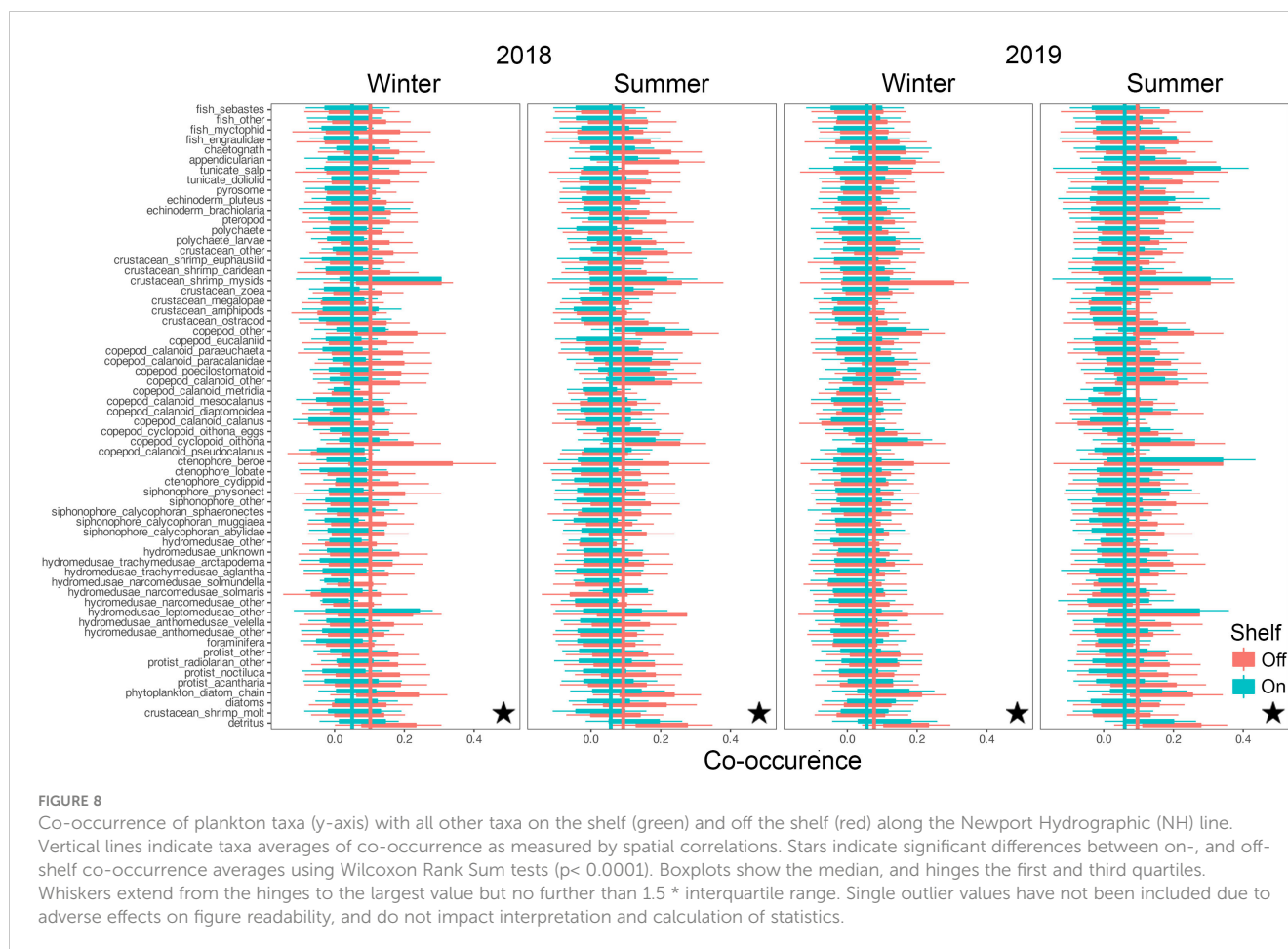


### 3.3 High spatial resolution modeling of environmental drivers of plankton community structure

The two Random Forests (RF) models designed to predict vertically and horizontally stratified taxa co-occurrences based on 76 biotic and abiotic variables (Table 1) explained 42% (NH) and 43% (TR) of the variance. The variables explaining most of the variance per model differed substantially between the two transect locations (Figure 11). On the NH line, sampling depth was the most important predictor, followed by the binary on-shelf/off-shelf

variable, temperature, density, the distance along the transect (i.e., how far offshore sampling occurred), and salinity (Figure 11). These abiotic variables were followed by taxa concentrations of *Oithona* sp. copepods, appendicularians, other small copepods, and protists. On the TR line, the binary year variable (2018/2019) was the most important predictor, followed by the 10-d cumulative CUTI, the binary shelf indicator, sampling depth, the distance along the transect, oxygen, density, temperature, chl *a*, and salinity (Figure 11).

On the NH line, a deeper sampling depth (> 85 m) led to a substantially higher chance of co-occurrence than in shallower water, while on-shelf generally predicted lower co-occurrences (Figure 12).



Temperature followed a gradual pattern where warmer temperatures predicted higher co-occurrence values. Density and salinity effects were similar in that the lowest densities and salinities led to the lowest co-occurrence and vice versa. Distance along the transect indicated that locations farther offshore predicted higher co-occurrences compared to inshore locations. Concentrations of *Oithona* copepods, appendicularians, other small copepods, and protists had similar effects in that their lowest concentrations predicted the least likely co-occurrence, followed by a rise in predicted co-occurrence as taxa concentrations increased. Protists showed the strongest such effect whereby a steady increase in protist concentrations led to the fastest increase in predicted co-occurrence, matched only by sampling depth.

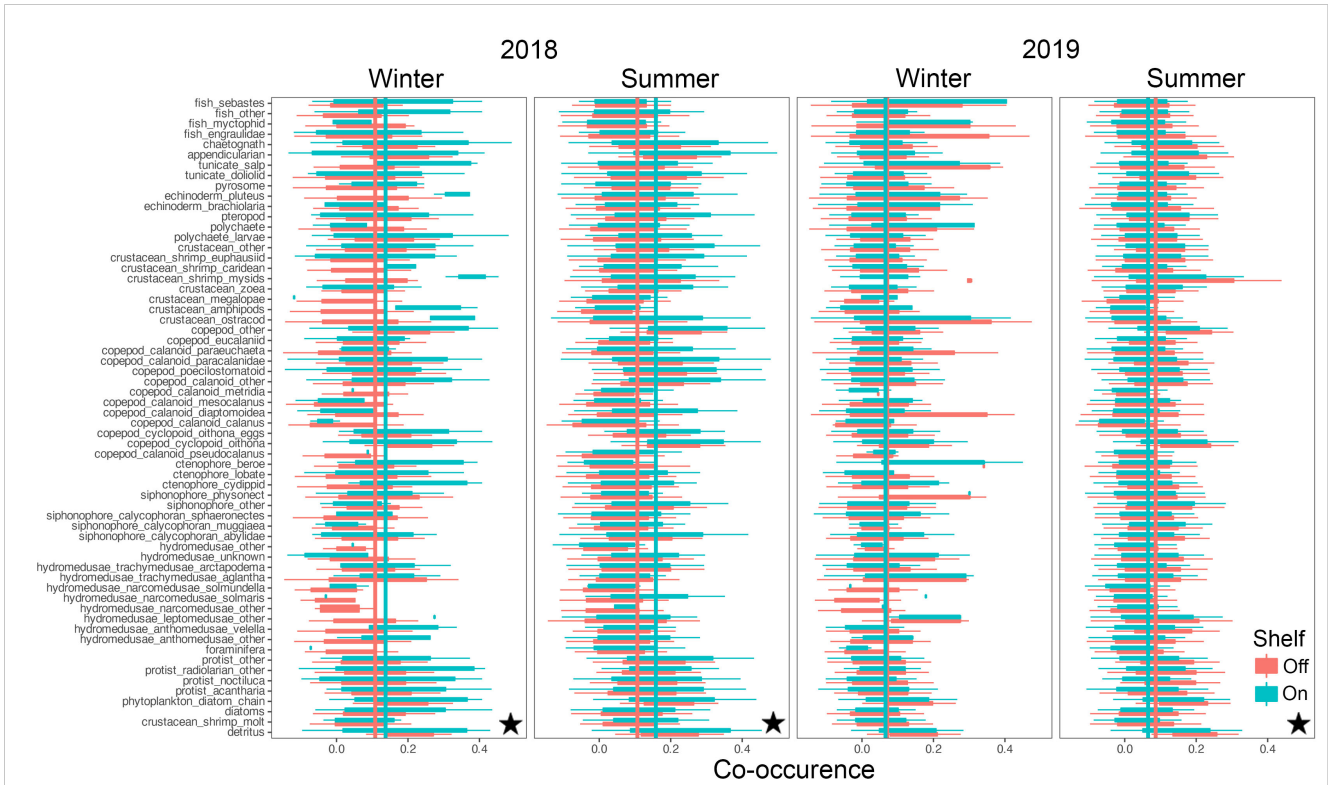
On the TR line, 2018 data were a good predictor of higher co-occurrence, while 2019 data led to lower values (Figure 12). The 10-d cumulative CUTI was an important predictor and increasing CUTI values led to higher predicted co-occurrence until a CUTI of  $\sim 20 \text{ m}^{-3} \text{ s}^{-1}$ , after which the predicted co-occurrence dropped (Figure 12). Notable differences between the TR and NH lines were that the shelf variable in the TR model showed that higher co-occurrence was predicted at on-shelf locations, which was followed by the distance variable that showed a decline in the predicted co-occurrence going from nearshore to offshore. While the depth variable on the TR line also showed the highest predicted co-occurrence at 100m depth, the range of the predicted co-occurrences was substantially narrower than that on the NH line.

Oxygen, density, and temperature partial effects plots had a very similar pattern between locations: the lowest and highest values generally led to the highest predicted co-occurrence. The positive effect of Chl *a* on the co-occurrence of taxa increased steadily across the spectrum of chl *a* values ( $>20 \mu\text{g l}^{-1}$ ). The salinity partial effects profile differed substantially between locations: in contrast to the NH line, the highest salinities on the TR line were good predictors of higher taxa co-occurrence.

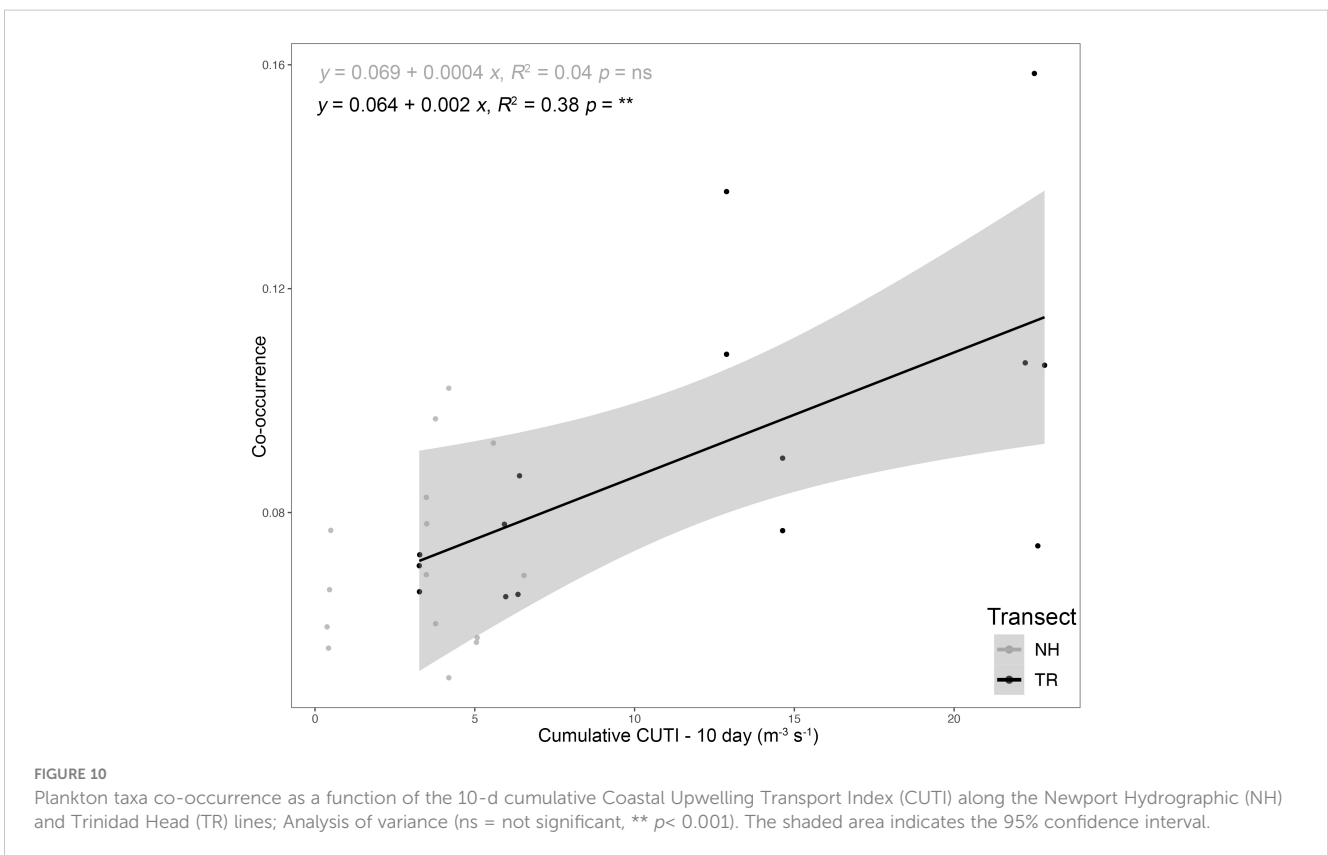
## 4 Discussion

### 4.1 Plankton community structure in the Northern California Current

The northern California Current (NCC) as an Eastern Boundary Upwelling System is characterized by strong, but intermittent upwelling, a typically short food web, and subsequently high fisheries biomass (Ryther, 1969; Pauly and Christensen, 1995; Rykaczewski and Checkley, 2008). While plankton community structure in the NCC has received much attention over the last decades (Peterson and Keister, 2003; Peterson et al., 2014; Peterson et al., 2017; Brodeur et al., 2019; Weber et al., 2021; Thompson et al., 2022), we still lack a comprehensive understanding of how plankton community



**FIGURE 9**  
Co-occurrence of plankton taxa (y-axis) with all other taxa on the shelf (green) and off the shelf (red) along the Trinidad Head line. Vertical lines indicate taxa averages of co-occurrence as measured by spatial correlations. Stars indicate significant differences between on-, and off-shelf co-occurrence averages using Wilcoxon Rank Sum tests ( $p < 0.0001$ ). Boxplots show the median, and hinges the first and third quartiles. Whiskers extend from the hinges to the largest value but no further than  $1.5 \times$  interquartile range. Single outlier values have not been included due to adverse effects on figure readability, and do not impact interpretation and calculation of statistics.



**FIGURE 10**  
Plankton taxa co-occurrence as a function of the 10-d cumulative Coastal Upwelling Transport Index (CUTI) along the Newport Hydrographic (NH) and Trinidad Head (TR) lines; Analysis of variance (ns = not significant,  $** p < 0.001$ ). The shaded area indicates the 95% confidence interval.

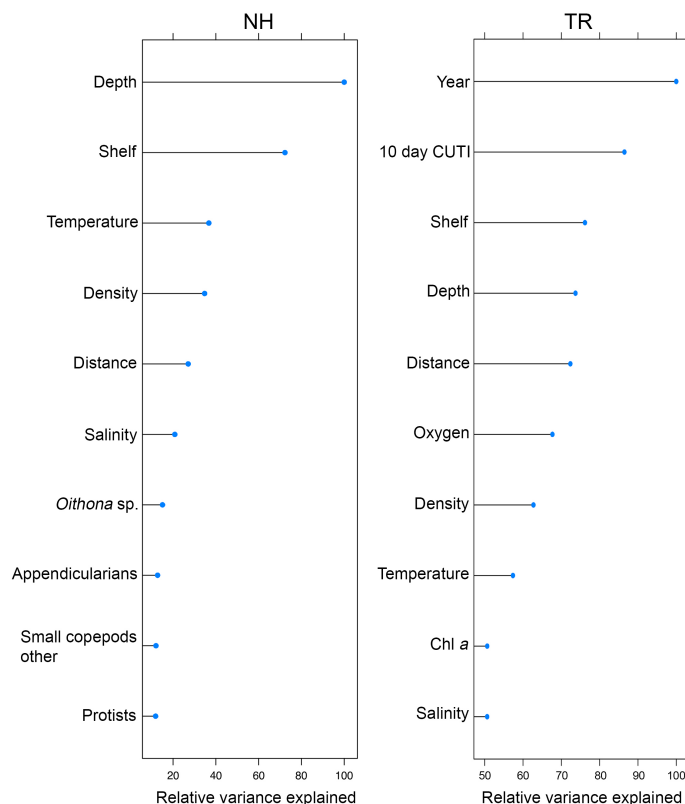


FIGURE 11

Top ten variables in the Random Forests models of plankton co-occurrence at the Newport Hydrographic (NH) and Trinidad Head (TR) lines, ordered by relative variance explained (variance scaled to 100% based on the most important variable).

structure responds to changing environmental conditions. Our high-resolution imaging of the water column at two locations in the NCC that differ in their scale and continuity of upwelling, together with the characterization of the microbial community from discrete water samples, enabled us to tease apart the relationships of new and recycled production (i.e., microbial loop) and plankton community structure. By simultaneously sampling a wide range of organisms including prokaryotes, protists, phytoplankton, zooplankton, and fragile gelatinous plankton, *in situ* plankton imaging can bridge the sampling gap in studying the microbial and new production driven components of the plankton (Biard et al., 2016; Briseño-Avena et al., 2020; Schmid et al., 2020).

Using plankton co-occurrence as a proxy for community structure (Reese and Brodeur, 2006; Brodeur et al., 2008; Sildever et al., 2021; Costas-Selas et al., 2022), we condensed >2000 high resolution taxa distribution profiles into a unified community approach. Plankton community structure differed substantially between the two sampled locations situated in their two respective upwelling regimes (i.e., NH with intermittent upwelling and TR with more continuous upwelling). TR plankton co-occurrences in 2018 were higher nearshore relative to offshore, consistent with the expectations for a nearshore upwelling system where new productivity is fueled by nutrients brought to the euphotic zone (Barth et al., 2007; Bograd et al., 2009; Jacox et al., 2018). This upwelling triggers a trophic cascade through feeding and species interactions that is reflected in the tight spatial coupling of taxa and

co-occurrence. However, TR plankton co-occurrences in 2019 differed from this pattern, likely induced by much lower upwelling and hence nutrients and chl *a* in 2019. In sharp contrast to TR, plankton co-occurrence at NH was consistently higher in more oligotrophic *Synechococcus* and *Pelagibacter* dominated off-shelf waters relative to on-shelf waters. This pattern at NH remained consistent across years and seasons, despite the lower upwelling in 2019, and suggests that in intermittent upwelling systems, complex microbial-dominated waters rely less on upwelled nutrients and more on recycled nutrients and are more conducive to a temporally stable plankton community structure than more upwelling-reliant nearshore habitats. Flow cytometry indicated that large eukaryotic phytoplankton dominated at inshore TR stations, suggesting new production.

The relative importance of the microbial loop was highlighted by the high spatial resolution modeling of plankton co-occurrences. Among the variables explaining the most variance in taxa co-occurrence on the NH line over time were concentrations of several non-microbial plankton taxa associated with the microbial loop. *Oithona* sp. copepods are small, ubiquitous cyclopoid copepods that are closely linked to the microbial loop through feeding on protozooplankton such as ciliates and dinoflagellates (Atienza et al., 2006; Zamora-Terol et al., 2014). Appendicularians similarly feed on the very small constituents of the microbial loop - down to picoplankton sizes - (Gorsky and Fenaux, 1998; Sutherland et al., 2010; Sutherland and Thompson, 2022) by using specialized feeding-filters (Conley and Sutherland, 2017). Appendicularians

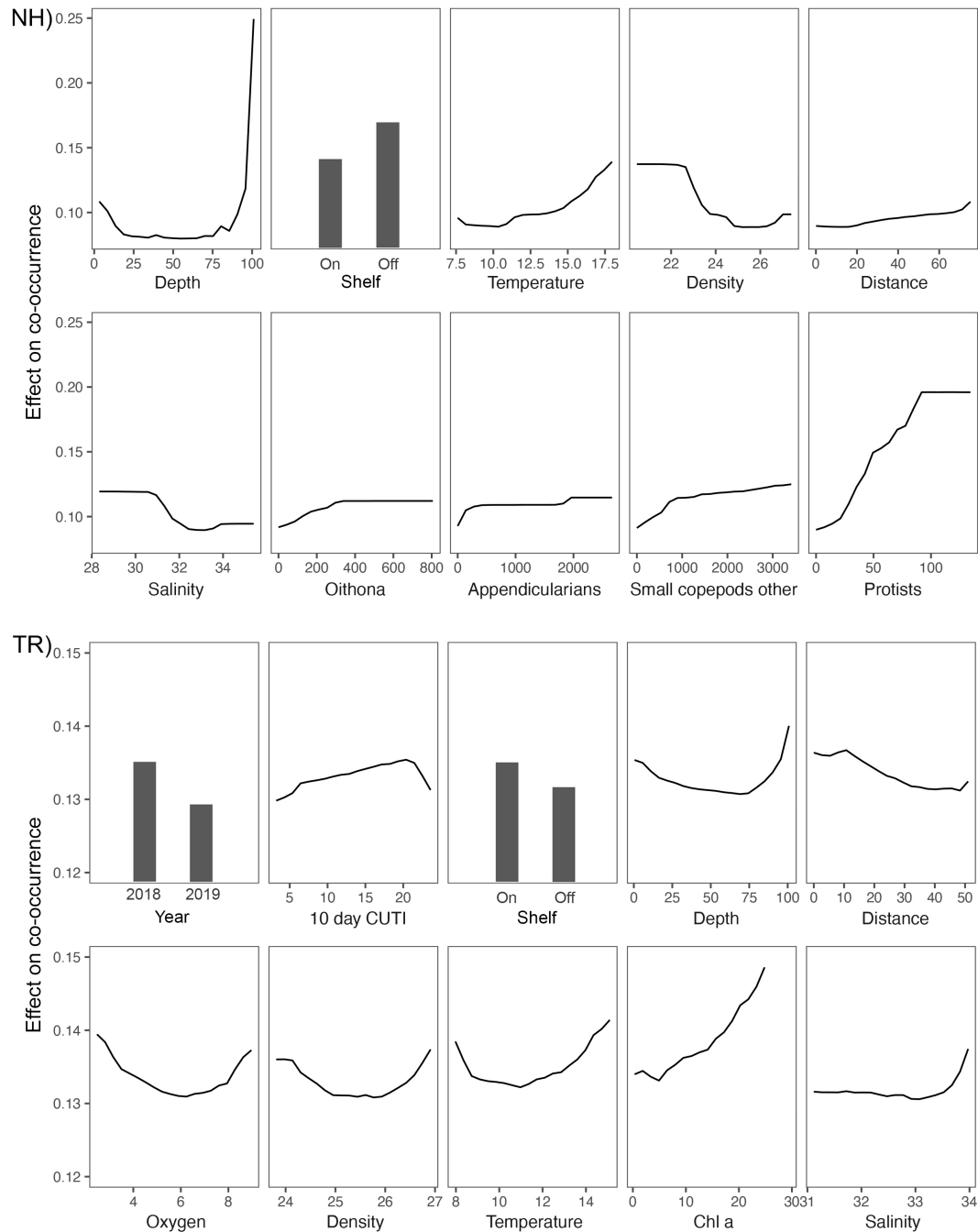


FIGURE 12

Partial dependence plots for the top 10 most important variables in the Random Forests models of plankton co-occurrence on the Newport Hydrographic (NH) and Trinidad Head (TR) lines. Note the differing y-axis scale between NH and TR.

can be extremely abundant – we measured dense patches of  $>10,000$  ind.  $m^{-3}$  on the TR line – and are important prey for numerous taxa, including copepods, chaetognaths, ctenophores, and larval to small adult fishes (Gorsky and Fenaux, 1998; Purcell et al., 2005; Jaspers et al., 2023). Being a key driver of plankton community structure on the NH line, while also accumulating in dense patches on the TR line, the presence of appendicularians indicates the constant underlying activity of the microbial loop. A key feature of appendicularians are their mucous houses that are discarded regularly and contribute significantly to vertical ocean carbon flux

(Alldredge, 1976; Sato et al., 2003; Luo et al., 2022; Jaspers et al., 2023). The high importance of appendicularians in contributing to plankton community structure in intermittent upwelling systems further advances the body of literature emphasizing the often-overlooked importance of gelatinous plankton, and specifically, appendicularians. Protists are the prototypical constituent of the microbial loop (Azam et al., 1983; Williams et al., 2019; Glibert and Mitra, 2022) and their importance in generating plankton community structure at NH is not only a robust confirmation of high microbial loop activity but may also reflect the consumption of



protists by appendicularians and *Oithona* copepods. These faunal patterns are consistent with the importance of sampling depth and the on-shelf/off-shelf variable in the NH co-occurrence model, as deeper off-shelf waters tend to be more oligotrophic and favorable for heightened microbial activity (Azam et al., 1983; Williams et al., 2019; Glibert and Mitra, 2022). In contrast to the variables influencing plankton community structure at NH, variation in plankton co-occurrences at TR was influenced most strongly by upwelling and chl *a*, both indicative of a system dominated by new productivity with relatively reduced importance of the microbial loop, and generally shorter trophic pathways (Rykaczewski and Checkley, 2008; Jacox et al., 2018). Differences in microbial community structure between the continuous and intermittent upwelling transects are consistent with this idea, as the phylogeny of marine bacteria is a strong predictor of functional traits (Martiny et al., 2013). In the intermittent upwelling system (NH), the microbial community was dominated by small and numerous cells including the cyanobacterium *Synechococcus* and heterotroph *Pelagibacter*. Both taxa thrive in relatively low nutrient environments and rely on complex biological interactions within the microbial loop (Apple et al., 2011; Braakman et al., 2017), including mixotrophy for *Synechococcus* (Muñoz-Marín et al., 2020). *Pelagibacter*, in particular, is known for the unusual variety of required nutrients and compounds it can metabolize, many of which are derived from complex interactions with other marine microbes (Giovannoni, 2017). Such complexity in dissolved organic carbon resources is expected to arise in a stable and highly diverse microbial system such as the intermittent upwelling system of the NH line. In contrast, the TR line was dominated by *Amylibacter*, which has been associated with recently upwelled water in other oceanic systems (Joglar et al., 2021). This work suggests that taxa such as protists, *Oithona* copepods, and appendicularians may connect a diverse and functionally redundant microbial community to a stable plankton community structure.

While the positive effect of chl *a* on predicted plankton co-occurrence increased almost linearly across the range of observed chl *a* values, predicted co-occurrence increased with the cumulative 10-d CUTI only up to a value of  $\sim 20 \text{ m}^{-3} \text{ s}^{-1}$  before dropping off. This non-linear relationship may be due to an imbalance of upwelling and relaxation events whereby too much and continuous upwelling led to advective loss of plankton off the shelf (Largier et al., 2006; Kudela et al., 2008). Sampling year was also an important driver on the TR line where both upwelling strength and chl *a* were much higher in 2018 compared to 2019. Northern California upwelling and chl *a* levels in 2018 and 2019 have been reported as average and slightly below average, respectively (Thompson et al., 2018; Thompson et al., 2019); however, the cumulative CUTI during the times when we sampled revealed larger differences. At TR, cumulative CUTI was much higher in 2018 (winter =  $13.8 \text{ m}^{-3} \text{ s}^{-1}$ ; summer =  $22.3 \text{ m}^{-3} \text{ s}^{-1}$ ) relative to 2019 (winter =  $3.3 \text{ m}^{-3} \text{ s}^{-1}$ ; summer =  $6.2 \text{ m}^{-3} \text{ s}^{-1}$ ). This interannual difference in CUTI likely also led to the much higher chl *a* levels observed in 2018 relative to 2019 ( $> 45 \mu\text{g l}^{-1}$  in 2018 vs  $7.5 \mu\text{g l}^{-1}$  in 2019). These differences help to put into context longer term averages of upwelling intensity that can be used for general characterizations of the ecosystem, with shorter term averages and cumulative indices that can be proxies for conditions favorable for

productivity and for inducing change in plankton structure. Considering that CUTI and chl *a* were both important predictors in the TR model, these large differences between 2018 and 2019 likely explain why the 'year' variable was also important and why 2018 predicted higher co-occurrences. Other variables that were important in driving plankton co-occurrences on the TR and NH lines were temperature and oxygen. Both are key drivers in structuring pelagic plankton ecosystems, through physiological effects that can impact predator-prey interactions, as well as physical discontinuities that can constrain plankton movement (Rutherford et al., 1999; Rebstock, 2003; Brodeur et al., 2019). Temperature and oxygen are also two of the variables most affected by climate change (Chan et al., 2019; Bograd et al., 2022; Smith et al., 2022).

In a strong (i.e., continuous) upwelling environment (TR), a reduction in upwelling and resulting lower chl *a* led to a reversal of the prevailing on-shelf/off-shelf pattern of co-occurrence, while in an already lower upwelling strength environment (i.e., intermittent upwelling regime; NH), a further reduction of upwelling led to little change in on-shelf/off-shelf co-occurrence patterns. The larger effect on plankton co-occurrences in the strong upwelling environment is consistent with the expectation that the established trophic web is reliant on the input of nutrients through upwelling and subsequent phytoplankton blooms (Barth et al., 2007; Bograd et al., 2009; Jacox et al., 2018). In an intermittent upwelling environment (NH), where we found several microbial loop associated taxa to be important in predicting co-occurrences, the established trophic web (including a protist - *Oithona* - appendicularian link is less reliant on nutrient input from upwelling. A parallel situation exists for the microbial community as the prevalence of *Synechococcus* and *Pelagibacter* suggest the community is built on carbon input from complex microbial sources (Azam et al., 1983; Williams et al., 2019), thus a further reduction in upwelling would be expected to have a smaller effect.

The microbial loop is an important part of many marine ecosystems (Wilkerson et al., 1987; Taylor and Landry, 2018; Williams et al., 2019; Thompson et al., 2021; Glibert and Mitra, 2022). Recent establishment of the mixoplankton paradigm—ubiquitous microbes that survive on phototrophy and phagotrophy synergistically—has had far reaching ripple effects (Flynn et al., 2019; Glibert and Mitra, 2022). For our study, at least the ubiquitous *Synechococcus* is a recognized mixotroph (Muñoz-Marín et al., 2020). Long considered minor players, mixotrophs are now known to comprise large parts of the microbial loop and are of high importance in the global plankton trophic web. Nonetheless, the role of the microbial loop in shaping overall plankton community structure, particularly in the context of variable environmental conditions, is not well understood. Several comparative studies have investigated the relative carbon contributions of broad taxa to new productivity and the microbial loop (Tilstone et al., 1999; Vargas et al., 2007; Landry et al., 2012; Taylor et al., 2015). For example, in a productive coastal upwelling region in the Humboldt Current, the microbial loop was found to channel a large portion of the energy flow, while new productivity contributed only a small portion of the transferred carbon (Vargas et al., 2007). Complexities of nutrient-plankton interactions, including the microbial loop, are often not well represented in models, and need refining, especially with regard

to adequately including mixotrophy (Millette et al., 2023; Ratnarajah et al., 2023). Updating these models becomes especially urgent in the uncertain future ocean.

Our holistic analysis of the NCC ecosystem spanning a wide size range demonstrates that the role of the microbial loop in driving mesoplankton community structure is more evident in intermittent upwelling regimes relative to continuous upwelling regions. This finding was further supported with analyses of the underlying microbial community. While areas dominated by upwelling and high nutrient input also include microbial constituents, new productivity plays a larger role in structuring the plankton community. Here, large changes in upwelling result in sharp spatial changes to plankton community structure. In intermittent or low upwelling areas, microbial community constituents are more important drivers of overall plankton community structure, resulting in a more temporally stable plankton community structure, even in the face of changes to upwelling strength.

## 4.2 Plankton community structure under future climate change

Recently, marine heatwaves have disrupted the NCC, affecting multiple trophic levels (Cavole et al., 2016; Oliver et al., 2018; Fennie et al., 2023) and reducing biodiversity on basin scales (Smale et al., 2019; Smith et al., 2022). Unfortunately, such extreme events are predicted to become more prevalent in the future (Jacox et al., 2022). Marine heatwaves can lead to changes in plankton and nekton community structure (Brodeur et al., 2019) and to die-offs in seabirds, marine mammals, and kelp (Smith et al., 2022). Simultaneously, deeper and stronger stratification will result in lower nutrient supply to surface waters, with a resulting impact on food web structure—i.e., a shift to smaller plankters that rely to a greater extent on microbial-based nutrient recycling (Behrenfeld and Boss, 2013)—generating longer, less-efficient food chains. Meanwhile, changing wind patterns are projected to intensify upwelling in the NCC, and to decrease upwelling-favorable winds in the central and southern California Current Ecosystem (Buil et al., 2021). Our findings suggest that wind-related shifts in intermittent and continuous upwelling regimes, will likely transition to a plankton community structure that is driven more by microbial loop constituents, and current intermittent upwelling regions will likely transition to systems dominated by new productivity. Such fundamental changes would likely have important consequences for energy transport through the trophic web to top predators and fisheries.

## 4.3 Lessons learned, limitations, and future outlook

Our integrative approach allowed us to uncover ecologically important patterns emerging from changes in upwelling strength. Combining underwater imaging and machine learning enabled us to determine concentrations for all planktonic taxa in the system between 250  $\mu\text{m}$  and 15 cm in size. Further combination of these data with gene sequencing for microbial taxa enabled us to encompass a very wide

range of taxa and examine overall system processes. This level of analysis is critical given the magnitude of interconnectivity among the different taxa and trophic levels, and the complexity of emerging plankton-plankton interactions. The very high spatial resolution of our sampling may be especially important in identifying biodiversity hotspots and refugia for different taxa, particularly in relation to evolving threats such as hypoxic waters. Underwater imaging thus has the potential to enable more effective resource management decision making. Such high resolution imaging also generates new data layers that can be incorporated into ecosystem modeling. While exploring these potential avenues was beyond the scope of this study, we note that these can be powerful tools. In addition to determining taxa concentrations from images, the images themselves can be used for individual level studies (e.g., measurement of distance and nearest neighbors for predators and prey, behavioral traits such as tentacle positions of jellyfish that can indicate feeding, appendicularians with their mucus house vs without for carbon studies, and more). In the present study, we faced the challenge of spatial integration of microbial community data with the higher resolution imaging. In future studies this could be overcome by combining underwater imaging of mesozooplankton with continuous flow cytometry (Ribalet et al., 2019; Breier et al., 2020; Fowler et al., 2020). Further, instrumentation for sampling microbial cells 2 - 30  $\mu\text{m}$  in size is not readily available – though work to address this challenge is currently underway in the microbial imaging community.

## 5 Conclusions

Collection and analysis of a vast dataset of *in situ* underwater plankton imagery (>1.1 billion plankton images) in the context of oceanographic conditions and microbial community structure revealed substantial differences in the way that plankton community structure is driven under intermittent and continuous upwelling regimes. A reduction of upwelling strength in a continuous upwelling regime induced large scale changes in plankton community structure that affected on-shelf and off-shelf taxa co-occurrences, while in an intermittent upwelling regime, more strongly influenced by microbial loop constituents, a reduction of upwelling strength had little effect on plankton community structure. We thus hypothesize that high microbial loop activity enhances the resilience of plankton community structure to climate change induced shifts in upwelling strength. This concept is consistent with the mixotrophy paradigm in which the base of the microbial loop—the mixotrophs—are better adapted to a changing ocean (e.g., changing nutrient availability) than pure auto-, or heterotrophs, due to their ability to survive on either (Glibert and Mitra, 2022).

## Data availability statement

The original contributions presented in the study are publicly available. These data can be found here: NSF BCO-DMO, <https://www.bco-dmo.org/project/743417> and the R2R program: <https://www.rvdata.us/search/cruise/SKQ201804S>, <https://www.rvdata.us/search/cruise/SR1810>, <https://www.rvdata.us/search/cruise/AT42-13>,

and <https://www.rvdata.us/search/cruise/SKQ201903S>. Raw sequence data can be accessed from the NCBI Sequence Read Archive at <https://www.ncbi.nlm.nih.gov/bioproject/PRJNA999694/>. ISIS underwater imagery is available from the authors upon request.

## Ethics statement

The animal study was approved by Oregon State University's Institutional Animal Care and Use Committee (IACUC). The study was conducted in accordance with the local legislation and institutional requirements.

## Author contributions

MSS performed analyses and wrote the initial manuscript; SS, RKC, KRS, and MSS conceptualized hypotheses and research questions; SS, RKC, and KRS designed the study, sampling program, and wrote grant proposals; MSS, RKC, KRS, and AWT collected data; AWT contributed nutrient and microbial community analysis; all authors interpreted the data, discussed the results, contributed to the critical revision of the manuscript and figures, and approved the final version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2023.1166629/full#supplementary-material>

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