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SPECIALTY SECTION

This article was submitted to Marine Molecular Biology and Ecology, a section of the journal Frontiers in Marine Science

RECEIVED 01 December 2022 ACCEPTED 03 February 2023 PUBLISHED 16 February 2023

CITATION

Wang Y and Coyne KJ (2023) Transcriptome profiling reveals a global response in harmful dinoflagellate *Karlodinium veneficum* to naturallyoccurring bacterial algicides. *Front. Mar. Sci.* 10:1112913. doi: 10.3389/fmars.2023.1112913

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Transcriptome profiling reveals a global response in harmful dinoflagellate *Karlodinium veneficum* to naturally-occurring bacterial algicides

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Dinoflagellates are among the most toxigenic phytoplankton that cause harmful algal blooms; they can produce toxins that accumulate through the aquatic food chains to cause illness and even death in marine animals and humans. Shewanella sp. IRI-160 is a naturally-occurring bacterium that secretes a suite of algicidal compounds (collectively designated as IRI-160AA) specifically targeting dinoflagellates. Studies revealed IRI-160AA inhibited photosynthesis, while inducing cell cycle arrest, DNA damage, and reactive oxygen species (ROS) production, as well as other markers associated with programmed cell death (PCD). Recent research indicated that IRI-160AA contains ammonium and other compounds as active ingredients for its algicidal activity, while impacts by ammonium differed from the algicide with respect to photobiology. Here, transcriptomic analysis was conducted on the toxic dinoflagellate Karlodinium veneficum exposed to IRI-160AA to investigate the effects of this algicide at the molecular level. Transcriptomic analysis was also conducted on K. veneficum treated with ammonium to further differentiate its effects from IRI-160AA. Results demonstrated differential impacts by IRI-160AA and ammonium on K. veneficum at the molecular level and revealed a global response of K. veneficum to algicide exposure, supported by the enriched biological processes involved in regulating gene expression, protein activity, and morphology. Differentially expressed genes associated with stress and ROS response, DNA damage response, cell cycle checkpoint activation, and PCD were also identified in K. veneficum exposed to IRI-160AA. The potential involvement of a recovery mechanism from photodamage in K. veneficum induced by IRI-160AA was identified. Overall, results of this study further differentiated the impacts of ammonium from IRI-160AA on K. veneficum and illustrated the cellular mechanisms behind the algicidal effect. This research provided insights on algal response to bacterial derivatives in nature.

KEYWORDS

dinoflagellate, transcriptomics, programmed cell death, algicidal bacteria, Shewanella sp. IRI-160, DNA damage, harmful algal bloom, HAB

1 Introduction

Dinoflagellates are among the most abundant aquatic flagellates (reviewed by Nielsen and Kiørboe, 2015) and play important roles in both freshwater and marine ecosystems (reviewed by Hackett et al., 2004; Bi et al., 2019). The photosynthetic dinoflagellates are one of the most prominent groups of primary producers (reviewed by Bi et al., 2019). Some of them are also beneficial endosymbionts of other organisms, such as corals, sea anemones, and jellyfish (reviewed by Fukuda and Suzaki, 2015). On the other hand, dinoflagellates can form harmful algal blooms (HABs) and are among the most toxigenic HAB species (reviewed by Anderson et al., 2012). The toxins produced by these species can be transported and accumulated through the food webs, causing illness and death of fish, birds, mammals, and even human beings (reviewed by Granéli and Turner, 2006). Karlodinium veneficum is a toxic dinoflagellate that causes harmful blooms worldwide (reviewed by Farhat et al., 2022). This species can secrete polyketide toxins, referred to as karlotoxins, that are ichthyotoxic, cytotoxic, and hemolytic (reviewed by Farhat et al., 2022). Karlotoxins have caused massive fish kills worldwide (reviewed by Peng et al., 2008).

Dinoflagellates also receive attention because of their unique nuclei, which are referred to as "dinokaryon" in the core dinoflagellates (reviewed by Fukuda and Suzaki, 2015; Riaz et al., 2018; Gornik et al., 2019). Dinoflagellate genomes are extremely large (up to 80-fold of the size of a human haploid genome), and contain large amount of non-coding and highly methylated DNA. Their nuclei are characterized by an abundance of divalent cations, as well as permanently condensed chromosomes in a liquid-crystalline state (reviewed by Lin, 2011; Gornik et al., 2019).

Previous research has demonstrated a strong relationship between dinoflagellates and their surrounding and/or associated bacteria (e.g., Cruz-López and Maske, 2016; Cruz-López et al., 2018; Park et al., 2018; Matthews et al., 2020; Tarazona-Janampa et al., 2020). For instance, many dinoflagellates are reported to be vitamin B1 and B12 auxotrophs (Tang et al., 2010), and recent studies demonstrated that these vitamins could be provided by the bacterial communities (Cruz-López and Maske, 2016; Cruz-López et al., 2018). A symbiotic relationship of dinoflagellate *Lingulodinium polyedra* and a B vitamin producing bacterium, *Dinoroseobacter shibae* was also proposed, where the bacterium could supply *L. polyedrum* with required vitamin B1 and B12 in exchange for the algal released vitamin B7 (Cruz-López et al., 2018).

Besides the beneficial effects, many bacteria were found to inhibit the growth of dinoflagellates and/or lyse dinoflagellate cells, exhibiting algicidal activities (e.g., Hare et al., 2005; Shi et al., 2018; Dungca-Santos et al., 2019; reviewed by Coyne et al., 2022). Dungca-Santos et al. (2019), for example, isolated 48 cultivable pelagic bacteria from HAB-affected environments and tested them on the dinoflagellate *Pyrodinium bahamense*, demonstrating algicidal activities by 94% of the bacteria tested. As for other algal species (Meyer et al., 2017), bacteria can attach to and directly attack dinoflagellate cells (Imai and Kimura, 2008; Roth et al., 2008; reviewed by Coyne et al., 2022) or secrete active compounds to indirectly cause cell death (e.g., Pokrzywinski et al., 2012; Li et al., 2015; reviewed by Coyne et al., 2022), with the latter to be the dominant strategy (reviewed by Coyne et al., 2022).

In 2005, Hare et al. (2005) reported a gram-negative bacterium, Shewanella sp. IRI-160, isolated from the Delaware Inland Bays, Delaware, USA, that exhibited selective algicidal effects against dinoflagellates. The following research demonstrated active substances were released to the water column, and no direct interactions were required for algicidal activity; the active compounds were collectively designated as IRI-160AA (Pokrzywinski et al., 2012). Further studies on the photobiology of IRI-160AA impaired dinoflagellates revealed an inhibition of photosystem II and a disruption of the electron transport chain (Tilney et al., 2014). Morphological studies illustrated translocation of nuclei and chloroplasts in dinoflagellates exposed to IRI-160AA, along with chromosome destabilization and decondensation in these cells (Pokrzywinski et al., 2017a). Research focusing on cell cycle progression and biochemical changes in dinoflagellates demonstrated cell cycle arrest induced by IRI-160AA (Pokrzywinski et al., 2017b). DNA degradation, reactive oxygen species (ROS) production, as well as caspase 3-like protease (DEVDase) activity were also observed in these organisms, implying a programmed pathway leading to cell death (PCD) (Pokrzywinski et al., 2017b). Recent metabolomics research on K. veneficum treated with IRI-160AA demonstrated an increase of a suite of compounds in the cell pellets of this alga, including oxidative stress biomarkers, antioxidants, and compounds involved in DNA damage and PCD (Wang and Coyne, 2022).

Research conducted by Ternon et al. (2019) indicated ammonium and several amines were among the active compounds in IRI-160AA (also see Wang, 2021), and acted synergistically to increase the activity of IRI-160AA. Grasso (2018) further demonstrated the differential effects of ammonium alone vs. IRI-160AA on the photobiology of dinoflagellates. The contribution of ammonium to the activity of IRI-160AA remains unclear, although previous reports on ammonium toxicity indicated that dinoflagellates may be more sensitive than other phytoplankton groups (Collos and Harrison, 2014). In this study, IRI-160AA (designated as the "algicide") refers to bacterial cell-free exudates containing ammonium and other compounds as active ingredients that act synergistically to affect cell death in dinoflagellates.

The cellular response of dinoflagellates to bacteria (Dungca-Santos et al., 2019) and bacterial cell-free medium (Yang et al., 2014; Zhang et al., 2018a) have been investigated for the interaction of other dinoflagellate-bacteria pairs. However, limited research has focused on the impacts of bacteria or their derivatives on dinoflagellates at the molecular level (e.g., Moustafa et al., 2010; Lei et al., 2015). In this research, transcriptomic analyses were conducted on the harmful dinoflagellate *Karlodinium veneficum* exposed to IRI-160AA to illustrate the effects of the bacterial derivatives on dinoflagellates at the molecular level. Transcriptomic analyses were also conducted on the same species treated with ammonium to differentiate the impacts of ammonium alone from the algicide.

2 Methods

2.1 Algal stock culture

Non-axenic stock cultures of *Karlodinium veneficum* (CCMP 2936 [National Center for Marine Algae and Microbiota, https://

ncma.bigelow.org/]; dinoflagellate) were maintained in natural seawater with f/2 nutrients (-Si) (Guillard and Ryther, 1962) and a salinity of 20, at 25 °C, and with a light intensity of approximately 130 μ mol photons m⁻² s⁻¹. The cultures were kept under a 12 h: 12 h light: dark cycle and semi-continuously in the exponential growth phase.

2.2 Algicide preparation

IRI-160AA was prepared as in Pokrzywinski et al. (2012) with slight modifications. Briefly, a single colony of *Shewanella* IRI-160 was transferred to LM medium (Sambrook et al., 2012). The culture was incubated at 25 °C with shaking overnight at 100 rpm. The *Shewanella* IRI-160 culture was then centrifuged at 6000 rpm for 5 min. The supernatant was discarded, and the cell pellet was resuspended in f/2 medium (-Si) (Guillard and Ryther, 1962). The suspension was centrifuged again as above. The supernatant was discarded, and cell pellets were resuspended in f/2 medium (-Si) (Guillard and Ryther, 1962) and incubated at room temperature for 7 days. To prepare the cell-free algicide, the culture was filtered through a 0.2 μ m nylon syringe filter (Corning, Corning, NY, USA). This filtrate is IRI-160AA, containing ammonium and other active compounds.

2.3 Transcriptomic effects of IRI-160AA on *Karlodinium veneficum*

2.3.1 Culture treatments

Karlodinium veneficum culture was treated with 50 μ M NH₄Cl (final concentration) in sterile MilliQ water for the ammonium treatment (N=3), or 1.28% (v/v) IRI-160AA algicide (equal to 50 μ M final ammonium concentration) for the algicide treatment (N=3). Sterile MilliQ water and f/2 medium were added to the controls (N=3) (Supplementary Table 2). All treatments and controls received the same volumes of MilliQ water and f/2 medium.

In vivo fluorescence (as a proxy for biomass; Pokrzywinski et al., 2012) of the bulk culture was measured at the initial time point (T0). *In vivo* fluorescence of each treatment and control culture was measured at 1 hour (T1hr), 6 hours (T6hr), and 24 hours (T24hr) after the initial time point. Relative *in vivo* fluorescence was measured in an *Aqua*Fluor handheld fluorometer (Turner, San Jose, CA, USA). During this process, all cultures were in the light phase during the light: dark cycle, with the exception of the cultures at T6hr, which were measured during the dark phase.

At T1hr, immediately before measuring *in vivo* fluorescence, 40 mL samples were collected for transcriptome analysis by filtering onto 3 μ m polycarbonate filters (Millipore, Burlington, MA, USA). The filters were immediately immersed in RLT buffer (RNeasy Mini Kit; Qiagen, Chatswort, CA, USA) on ice and then transferred to -80°C before RNA extraction as described below.

2.3.2 Statistical analysis of *in vivo* fluorescence measurement

One-way ANOVA was used to test the significant difference (p<0.05) of *in vivo* fluorescence between the treatments and controls at each time point. If there was a significant difference

detected, then Tukey HSD test was conducted to test the significant difference of *in vivo* fluorescence between all pairs of groups (p<0.05).

2.3.3 Sample preparation for RNA-seq sequencing

RNA was extracted from filtered cells with an RNeasy Mini Kit (Qiagen) following the manufacturer's protocol. RNA concentration was measured using a NanoDrop spectrophotometer (NanoDrop Technologies, Wilmington, DE, USA) and the integrity of RNA was evaluated by electrophoresis. Contaminating DNA was digested using a DNA-*free*TM DNA Removal Kit (Thermo Fisher Scientific, Waltham, MA, USA) following the manufacturer's protocol. RNA collected at T1hr was combined into a single composite sample per treatment for sequencing. The quality and integrity of the RNA in the composite samples were assessed using a fragment analyzer (Advanced Analytical Technologies, Inc., Ankeny, IA, USA). Library preparation and sequencing of composite RNA samples were conducted at Delaware Biotechnology Institute (DBI; Newark, DE, USA).

NEXTflex[™] Poly(A) Beads (Perkin-Elmer, Waltham, MA, USA) were used to isolate messenger RNA (mRNA) to limit both ribosomal RNA and prokaryotic mRNA contamination in the final sequence library. A pooled library was prepared using the NEXTflex[™] Rapid Directional RNA-Seq Kit (Perkin-Elmer) following the manufacturer's protocol. The library concentration was measured using a Qubit fluorometer (Thermo Fisher Scientific), and the library quality was assessed using a fragment analyzer (Advanced Analytical Technologies, Inc.). Sequencing of 101 base pair single-end reads from the pooled library was performed on a single lane on an Illumina HiSeq 2500 system (Illumina, San Diego, CA, USA).

2.3.4 *De novo* assembly and gene differential expression analysis

The reference genome of *K. veneficum* is not available; *de novo* assembly for the RNA-seq data was applied here. Reads from all samples were assembled into one single assembly first using Trinity (v2.8.5) (Grabherr et al., 2011; Haas et al., 2013). The flags used in the program were –seqType fq (for fastq formats), –single (for single-ended unpaired reads), –SS_lib_type R (for reverse complement reads), and –trimmomatic (to quality trim the reads before the assembly). Other flags used include those to specify the allowed maximal memory (–max_memory 120G) and CPU (–CPU 24), as well as the output directory (with flag –output). To assess the quality of the *de novo* assembly, Bowtie 2 (v 2.3.4.3) was used to examine the RNA-seq read representation by the assembly (Langmead and Salzberg, 2012). The contig Nx statistic (Haas et al., 2013) and ExN50 statistic (Geniza and Jaiswal, 2017) were estimated using the script bundled with the Trinity toolkit.

2.3.5 Gene transcript abundance estimate and differential expression analysis

Gene transcript abundance in each treatment and control was estimated using Trinity (Grabherr et al., 2011) with Salmon (Patro et al., 2017) as the estimate method. Downstream analysis was followed using all sequences assembled without filtering, as suggested by the Trinity manual (RNA-Seq *De novo* Assembly Using Trinity; https://github.com/trinityrnaseq/trinityrnaseq/wiki; assessed on 10/04/22). Differential expression (DE) analysis was conducted at the gene level using edgeR (Robinson et al., 2009) bundled in Trinity. DE genes were clustered according to their DE patterns across the samples using the analyze_diff_expr.pl tool in Trinity (Haas et al., 2013). Volcano and MA plots (log-fold-change between experimental groups [M] against the average expression across all the samples [A] for each gene) were also generated to examine the gene expression patterns using the Trinity toolkit (Haas et al., 2013). The differential expression analysis of RNA-Seq data was validated using reverse transcription-quantitative PCR (RT-qPCR) (Supplementary Material).

2.3.6 Gene annotation

Coding regions were identified from the assembly using TransDecoder (v 5.5.0) (Haas et al., 2013). The long open reading frames were extracted first, and then the reading frames were used to search against Uniprot/Swiss-Prot (Bateman, 2019) and protein family (Pfam) (Finn et al., 2014) databases using BLASTP (v 2.9.0) (Camacho et al., 2009) and HMMER (v 3.2.1) (Finn et al., 2015), respectively. Finally, the searching results were integrated to predict the coding regions using TransDecoder (Haas et al., 2013). Translated protein sequences were also generated with TransDecoder (Haas et al., 2013).

The assembled unigenes from Trinity (Grabherr et al., 2011; Haas et al., 2013) and protein sequences from TransDecoder (Haas et al., 2013) were searched against a variety of databases. The BLASTN program (v 2.9.0) (Boratyn et al., 2019) was used to search against the NCBI non-redundant nucleotide (NT) database (Brown et al., 2015), the BLASTP program (v 2.9.0) (Camacho et al., 2009) was used to search against the NCBI non-redundant protein sequences (NR) (Brown et al., 2015). Both BLASTX (v2.9.0) and BLASTP programs (Camacho et al., 2009) were used to search against the Uniprot/Swiss-Prot database (Bateman, 2019). The BLATX program was also used to search against the Eukaryotic Orthologous Groups database (KOG) (Tatusov et al., 2003). All BLAST searches had an e-value cut-off of 0.001, and the number of sequences obtained with each search was limited to 5. Additionally, the predicted protein sequences were searched against the Pfam database (Finn et al., 2014) using the HMMER program (Finn et al., 2015). Finally, the annotation results were loaded into an SQLite database built by the Trinotate program (v 3.2.1) (Bryant et al., 2017). Kyoto Encyclopedia of Genes and Genomes Ortholog database (KEGG), Gene Ontology (GO), and evolutionary genealogy of genes: Non-supervised Orthologous Groups (eggNOG) (Huerta-Cepas et al., 2019) terms were assigned by Trinotate (Bryant et al., 2017). A report was generated using Trinotate with a cut-off p-value less than 0.001 for all annotations. Ribosomal RNA and spliced leader genes were searched in the annotation report to avoid contamination by these genes for further analysis; no such genes were found.

Genes that were highly differentially expressed between control and the treatments (fold-change > 4, FDR < 0.001) were used to construct subsets of annotation reports and used for further analyses below.

Gene ontology enrichment was analyzed using Database for Annotation, Visualization and Integrated Discovery (DAVID; v 6.8) (Huang et al., 2009a; Huang et al., 2009b) for each subset of data comparing genes that were up- or down-regulated by ammonium or the algicide compared to the control. Only the GO terms with a modified Fisher exact p-value < 0.05 were treated as enriched. The annotation of the whole transcriptome was used as the background. The GO enrichment analysis was conducted using all species in the DAVID database. GO terms in the biological process category at the direct level in which only the GO terms directly associated with the genes, and not including their parent terms, were included in the analysis. These GO terms were used to conduct a Venn diagram analysis using InteractiVenn (Heberle et al., 2015) (http://www.interactivenn.net; accessed on 11/29/2022) and a network analysis using EnrichmentMap (Merico et al., 2010) embedded in the Cytoscape software (v 3.8.0) (Shannon et al., 2003).

In addition, to compare with previously reported physiological response of *K. veneficum* to IRI-160AA (Tilney et al., 2014; Pokrzywinski et al., 2017a; Pokrzywinski et al., 2017b), the algicide-regulated DEGs that were involved in reactive oxygen species (ROS) and stress response, DNA damage response (DDR), cell cycle arrest, programmed cell death (PCD), and photobiology were searched in the annotation reports generated above. Key DEGs related to these processes were identified by searching the literature.

3 Results

3.1 Effects of algicide IRI-160AA and ammonium on *K. veneficum*

No significant differences of *in vivo* fluorescence were detected between treatments and the control at T1hr or T6hr (p>0.05; Figure 1). At T24hr, *in vivo* fluorescence of the control was slightly but significantly higher than the algicide treatment by 1.12 times (p<0.05), while no significant difference was observed between the control and the ammonium treatment or between the ammonium and the algicide treatment (p>0.05).

3.2 De novo assembly and gene annotations

A total of 160,576,929 bases were assembled to 160,206 genes in this research, and 75,297 (47%) genes were annotated with an annotation cut-off of p<0.001. The RNA-seq data was validated by conducting RT-qPCR on 8 genes plus a reference gene across the individual control and treatment cultures (Supplementary Figure 1). The results demonstrated a significant correlation between gene expression fold-change generated from the RNA-seq and RT-qPCR methods (p=0.00018, R=0.9). Within the assembled genes, 7,886 genes were highly differentially expressed across samples (DEGs; FDR < 0.001, fold-change > 4; 4.92% of total genes; Table 1, Figure 2 and Supplementary Figure 2). DEGs were further clustered into 6 subgroups according to their expression patterns (Supplementary Figure 2).

Among these DEGs, 2,959 were up-regulated and 2,163 genes down-regulated in the ammonium treatment compared to the control (5,122 DEGs in total; 3.20% of all assembled genes; Table 1), while 3,004 were up-regulated and 1,580 genes were down-regulated in the



algicide treatment compared to the control (4,584 DEGs in total; 2.86% of all assembled genes).

3.3 Biological processes enriched by DEGs

Eighteen biological processes were enriched by DEGs that were up- or down-regulated by ammonium and/or the algicide compared to the control (Figure 3). Fifteen processes (83%) were enriched by the DEGs that were regulated either by ammonium (11 processes) or algicide exposure (4 processes) alone, while 3 processes were enriched by DEGs in both ammonium and algicide treatments. These shared processes were (i) regulation of membrane potential, (ii) transmembrane receptor protein tyrosine kinase signaling pathway, and (iii) protein phosphorylation.

Among the 11 processes (61%) that were enriched by the DEGs that were only up- or down-regulated by ammonium, 2 were enriched by DEGs that were uniquely up-regulated in the ammonium treatment (Figure 3): (i) protein methylation and (ii) cellular protein localization. Nine processes were enriched by DEGs that were uniquely down-regulated in the ammonium treatment, including processes involved in ion and cation transmembrane transport and cell motility, as well as cellular response to phosphate starvation.

A total of 4 biological processes (22% of all enriched processes) were enriched by the DEGs that were only regulated by the algicide but not ammonium treatment (Figure 3). Production of small

interfering RNA (siRNA) involved in RNA interference (RNAi) was enriched by DEGs that were uniquely up-regulated in the algicide treatment. Processes that were uniquely enriched by down-regulated DEGs in the algicide treatment included pseudouridine synthesis, cell adhesion, and chloroplast-nucleus signaling pathway.

3.4 Key genes involved in ROS and stress response, DNA damage, cell cycle arrest, PCD, and photobiology in the algicideregulated DEGs

Four DEGs regulated by the algicide were identified as key genes involved in reactive oxygen species (ROS) and stress response (Table 2). These included genes encoding a peptidyl-prolyl cis-trans isomerase D (aka cyclophilin 40 protein, CyP40), a putative pentatricopeptide repeat-containing protein (PPR40), a multidrug resistance-associated protein (MRP), and a hydroxyacid oxidase (HAO; aka glycolate oxidase, GOX). Among these genes, *CyP40* was up-regulated by both ammonium and the algicide, while the others were only down-regulated by the algicide.

Six key genes in the algicide-regulated DEGs were related to DNA damage, cell cycle arrest, and PCD (Table 3). These genes included those encoding serine/threonine-protein kinases chk1 (CHK1) and chk2 (CHK2), a SWI/SNF-related matrix-associated actin-dependent regulator of chromatin subfamily A-like protein (SMARCAL1), an E3 ubiquitin-protein ligase 2 (HERC2), a caspase-like enzyme (cathepsin

TABLE 1 Numbers of differentially expressed genes (DEGs) comparing control vs. the ammonium treatment, control vs. the algicide treatment, as well as the ammonium vs. the algicide treatment.

Pairs	Regulation	DEGs	Total DEGs	Percentage in all genes
Control vs. Ammonium	Ammonium up-regulated	2959	5122	3.20%
	Ammonium down-regulated	2163		
Control vs. Algicide	Algicide up-regulated	3004	4584	2.86%
	Algicide down-regulated	1580	-	
Algicide vs. Ammonium	Algicide up-regulated	2028	3451	2.15%
	Algicide down-regulated	1423		



B-like cysteine proteinase; CathB), and a calpain-type cysteine protease (calpain). Among these genes, *CHK1*, *SMARCAL1*, *HERC2*, and *CathB* were up-regulated by both ammonium and the algicide, while *CHK2* and *Calpain* were only up-regulated by the algicide.

Eight genes associated with photosynthesis/photorepair were identified in the algicide-regulated DEGs (Table 4). Among these genes, 3 were involved in chlorophyll biosynthesis (Figure 4), including those encoding a glutamate-1-semialdehyde 2,1-



vern diagram and network analysis of gene ontology (GO) terms in the biological process category enriched by DECs that were up- or down-regulated by ammonium or the algicide. Up/Down.NH: processes enriched by the DEGs that were up- or down-regulated by ammonium; Up/Down.Algicide: processes enriched by the DEGs that were up- or down-regulated by the algicide. Nodes in the network analysis represent enriched processes (Merico et al., 2010). Edges connect nodes with shared genes, and their thickness is proportional to the overlap between the gene sets represented by the respective nodes (Merico et al., 2010). Venn diagram analysis was done using InteractiVenn (Heberle et al., 2015). Network analysis was done using the Enrichment Map plugin (Merico et al., 2010) in the Cytoscape software (v 3.8.0) (Shannon et al., 2003). siRNA, small interfering RNA; RNA; RNA interference.

TABLE 2 Expression of key genes from RNA-seq sequencing involved in oxidative stress response identified in the DEGs of *K. veneficum* treated with IRI-160AA.

Transcript ID	Gene description	Normalized expression levels		
		Con.	NH ₄	Alg.
DN129673_c0_g1_i1	*Peptidyl-prolyl cis-trans isomerase D (CyP40)	0.00	2.01	2.33
DN90161_c0_g1_i1	Putative pentatricopeptide repeat-containing protein (PPR40)	1.29	5.13	0.00
DN98677_c0_g1_i1	Multidrug resistance-associated protein (MRP)	6.30	5.01	0.00
DN133053_c0_g1_i1	Hydroxyacid oxidase (HAO)	3.28	4.57	0.00

Gene expression was measured as TPM (transcripts per million) and normalized using the TMM (trimmed mean of M values) method, generated by Salmon (Patro et al., 2017) embedded in Trinity (v2.8.5) (Grabherr et al., 2011; Haas et al., 2013). Con., control; NH₄, ammonium; Alg., algicide. Asterisk "*" indicates the gene was also identified as a DEG in *K. veneficum* treated with ammonium. Sequences for transcripts were included in the Supplementary Material.

aminomutase (GSAT), an oxygen-dependent coproporphyrinogen-III oxidase (HEMF), and a light-dependent protochlorophyllide reductase (LPOR). *LPOR* was up-regulated in both ammonium and the algicide treatments, while *GSAT* was only down-regulated and *HEMF* was only up-regulated by the algicide. Furthermore, a *PETD* gene encoding the cytochrome b6-f complex subunit IV was only upregulated by the algicide. A gene encoding a serine/threonine-protein phosphatase 5 (PAPP5) involved in the chloroplast-nucleus signaling pathway was only down-regulated by the algicide. In addition, 3 genes encoding photorepair proteins were up-regulated by both ammonium and the algicide; one of these genes encoded an ultraviolet-B receptor (UVR8), and two others encoded deoxyribodipyrimidine photolyases.

4 Discussion

In this research, a transcriptomic study was conducted on the photosynthetic dinoflagellate *K. veneficum* exposed to the algicide IRI-160AA to examine the impact of bacterial derivatives on dinoflagellates at the molecular level. This transcriptomic profile was compared with a transcriptomic response of *K. veneficum* treated with ammonium to differentiate the biological pathways involved in the response to ammonium vs. the algicide.

Here, we provide evidence for a global response in *K. veneficum*, including changes in gene expression that are related to molecular, physiological and morphological features of the cell (Figure 5).

Algicide-regulated DEGs (highly differentially expressed genes) supporting previously reported physiological effects of the algicide were also identified (Pokrzywinski et al., 2017b), including those DEGs involved in stress and ROS response and DNA damage response, as well as DEGs related to PCD. Additionally, results of this analysis suggest the activity of photobiological recovery mechanisms in *K. veneficum* exposed to IRI-160AA, including an enhancement of chlorophyll biosynthesis and up-regulated photorepair DEGs.

4.1 Transcriptomic analysis overview

The number of genes in the *de novo* assembly of *K. veneficum* in this research (160,206) was similar to the number of assembled genes from other dinoflagellates, such as *Cochlodinium polykrikoides* [191,212 genes; (Guo et al., 2016b)] and *Karenia mikimotoi* [202,600 genes; (Wang et al., 2019)]. Validation of the transcriptome data using RT-qPCR analysis of selected transcripts demonstrated a significant correlation with gene expression (p=0.00018, R=0.9; Supplementary Figure 1). As reviewed by Akbar et al. (2018), a challenge for studies of gene expression in dinoflagellates is the low proportion of genes that can be reliably annotated; for instance, only 28% of all genes were annotated in *Alexandrium minutum* in a study conducted by Yang et al. (2010). Transcriptome analysis of *K. veneficum* presented the same challenge, as only 47% of assembled genes were annotated in this study.

TABLE 3 Expression of key genes from RNA-seq sequencing related to DNA damage, cell cycle regulation, and programmed cell death (PCD) in the DEGs of *K. veneficum* treated with IRI-160AA.

Transcript ID	Gene description		Normalized expression levels		
		Con.	NH_4	Alg.	
DN108337_c0_g1_i1	*Serine/threonine-protein kinase chk1 (CHK1)	0.00	2.48	1.80	
DN114329_c0_g1_i1	Serine/threonine-protein kinase chk2 (CHK2)	0.00	0.99	5.75	
DN123899_c0_g1_i1	*SWI/SNF-related matrix-associated actin-dependent regulator of chromatin subfamily A-like protein (SMARCAL1)	0.00	3.12	1.61	
DN113858_c0_g1_i1	*E3 ubiquitin-protein ligase 2 (HERC2)	0.00	3.81	11.06	
DN152371_c0_g1_i1	*Cathepsin B-like cysteine proteinase (CathB)	0	7.547	3.249	
DN132040_c0_g1_i1	Calpain-type cysteine protease (Calpain)	0	0.808	1.76	

Con., control; NH₄, ammonium; Alg., algicide. Gene expression was measured as TPM (transcripts per million) and normalized using the TMM (trimmed mean of M values) method, generated by Salmon (Patro et al., 2017) embedded in Trinity (v2.8.5) (Grabherr et al., 2011; Haas et al., 2013). Asterisk "*" indicates the gene was also identified as a DEG in *K. veneficum* treated with ammonium. Sequences for transcripts were included in the Supplementary Material.

Transcript ID	Gene description	Normalized expression levels		
		Con.	NH ₄	Alg.
DN150647_c0_g1_i1	Glutamate-1-semialdehyde 2,1-aminomutase (GSAT)	1.99	7.91	0.00
DN137136_c0_g1_i1	Oxygen-dependent coproporphyrinogen-III oxidase (HEMF)	0.00	0.70	1.87
DN125940_c0_g1_i1	*Light-dependent protochlorophyllide reductase (LPOR)	0.00	12.63	5.56
DN129251_c0_g1_i1	Serine/threonine-protein phosphatase 5 (PAPP5)	1.29	5.13	0.00
DN38153_c0_g1_i1	Cytochrome b6-f complex subunit IV (PETD)	0.00	0.00	8.50
DN162070_c0_g1_i1	*Ultraviolet-B receptor (UVR8)	0.00	1.63	7.08
DN109087_c0_g1_i1	*Deoxyribodipyrimidine photolyase	0.00	3.39	3.29
DN128076_c0_g1_i1	*Deoxyribodipyrimidine photolyase	0.00	1.79	3.47

TABLE 4 Expression of key genes from RNA-seq sequencing related to photosynthesis identified in the DEGs of K. veneficum treated with IRI-160AA.

Con., control; NH₄, ammonium; Alg., algicide. Gene expression was measured as TPM (transcripts per million) and normalized using the TMM (trimmed mean of M values) method, generated by Salmon (Patro et al., 2017) embedded in Trinity (v2.8.5) (Grabherr et al., 2011; Haas et al., 2013). Asterisk "*" indicates the gene was also identified as a DEG in *K. veneficum* treated with ammonium. Sequences for transcripts were included in the Supplementary Material.

The low percentage of DEGs in the assembled genes (4.92%) in this study was also consistent with previous research suggesting that regulation of gene expression may play a reduced role in dinoflagellates, which primarily rely on post-transcriptional regulation (Moustafa et al., 2010; Guo et al., 2016a; Guo et al., 2016b; Riaz et al., 2018; Wang et al., 2019). For instance, Wang et al. (2019) demonstrated only 0.36% of total genes were differentially expressed in *K. mikimotoi* in responce to UV radiation.

4.2 Gene ontology (GO) enrichment analysis

Among the biological processes affected by ammonium and/or the algicide, only a few (17%) were shared by these treatments, while the majority of these processes (83%) were uniquely regulated by either ammonium treatment (61%) or by the algicide (22%) (Figure 3). The differential effects of IRI-160AA and ammonium on *K. veneficum* observed in the GO analysis were consistent with the



Schematic representation of chlorophyll biosynthesis (Brzezowski et al., 2019). The pathway of phytochromobilin formation is not shown in detail (dashed arrow). The metabolite (5-aminolevulinic acid [ALA]) shown in blue increased in the cell pellets of *Karlodinium veneficum* treated with IRI-160AA (Wang and Coyne, 2022). DEGs identified in the algicide treatment of *K. veneficum* were indicated in red. Besides the metabolite and genes, arrows indicate the increase or decrease of the content (of the metabolite) (Wang and Coyne, 2022) or expression (of genes) in *K. veneficum* treated with IRI-160AA compared to the control. The stimulatory effect of ALA on chlorophyll biosynthesis intermediates (Aarti et al., 2006; Stenbaek et al., 2008; Wu et al., 2018) was indicated by a grey arrow; the feedback inhibitory impact of these intermediates on ALA biosynthesis (Pattanayak and Tripathy, 2011) was indicated by a grey line with a bar. GSAT, glutamate-1-semialdehyde 2,1-aminomutase; HEMF, oxygen-dependent coproporphyrinogen-III oxidase; LPOR, light-dependent protochlorophyllide reductase.



FIGURE 5

Schematic representation of the cellular impact of IRI-160AA on *Karlodinium veneficum*. Putative processes revealed by the transcriptome data are portrayed in dashed boxes (Figure 3). Red arrows indicate processes that were stimulated (upward pointing arrows) or suppressed (downward pointing arrows) by the algicide exposure. Black arrows indicate the regulation by ammonium. Processes in solid boxes are those demonstrated by previous physiological data (Tilney et al., 2014; Pokrzywinski et al., 2017a; Pokrzywinski et al., 2017b); genes with putative functions in these processes were also identified and discussed further in the text. Evidence for a global response to the algicide includes impacts on various biological processes at the transcriptional, post-transcriptional, and post-translational levels as supported by the transcriptome data. IRI-160AA stimulated ROS production, inducing the formation of biomarkers for oxidative stress, antioxidants, other compounds involved in DNA damage and programmed cell death, as well as the chlorophyll precursor in the cell pellets of the algicide treatment of *K. veneficum* (Wang and Coyne, 2022). Results also point to photoinactivation and accompanied photorepair in cells exposed to IRI-160AA. There was also evidence for DNA damage and DNA damage response (DDR), as well as cell cycle checkpoint activation. Support for a genetically programmed pathway to cell death involving the activation of caspase-like and calpain enzymes, as well as the ATM-CHK2 and ATR-CHK1 signaling pathways involved in cell cycle progression is provided in the data. Cell cycles are monitored by cell cycle checkpoints (G1/S, intra-S phase, G2/M, and spindle checkpoints), which can prevent cell cycle progression when DNA damage is detected, leading to DNA repair and resultant cell survival or cell death. ROS, reactive oxygen species; TRPTK, transmembrane receptor protein tyrosine kinase; RNAi, RNA interference. The figure was created with BioRender.com.

differential impacts revealed by the bioassay (Figure 1), as well as previous research demonstrating the involvement of other compounds in addition to ammonium in the algicidal activity of IRI-160AA (Grasso, 2018; Ternon et al., 2019). For instance, Grasso (2018) demonstrated IRI-160AA had a significant impact on several photochemical parameters, including the maximum quantum yield of PSII (Fv/Fm), photochemical connectivity between PSII reaction centers (ρ), and primary quinone re-oxidation rate (τ) of dinoflagellate species, while these parameters were not significantly affected by ammonium alone. Ternon et al. (2019) demonstrated a synergistic effect of ammonium and n-butylamine, one of the compounds identified in IRI-160AA, that was greater than the effects by each of the individual compounds.

The biological processes enriched by the algicide-regulated DEGs revealed a global response in *K. veneficum* exposed to IRI-160AA (Figures 2, 3). This global response was evident by transcriptional regulation affecting cellular activities at several levels of organization, from gene expression (e.g., pseudouridine synthesis and RNAi [RNA

interfering]), to protein activities (e.g., phosphorylation), to changes affecting morphology (e.g., cell adhesion). These essential biological processes and their implications in *K. veneficum*'s response to IRI-160AA are discussed below.

The down-regulation of pseudouridine synthesis observed in *K. veneficum* in response to the exposure to IRI-160AA provides an indication of early impacts on gene expression (Figure 3). Pseudouridine synthesis (pseudouridylation) is an RNA modification (RNA editing) process converting uridine within RNA to pseudouridine (Ψ) (Adachi et al., 2019). Pseudouridylation is among the most abundant RNA modification types (Karijolich et al., 2010; Adachi et al., 2019). Pseudouridylation has been extensively identified on rRNA and spliceosomal snRNAs (small nuclear RNAs); it plays critical roles in cellular processes such as gene expression modulation, protein translation, and mRNA splicing (Adachi et al., 2019). Studies also demonstrated its importance in cell viability and survival (Wu et al., 2016; Antonicka et al., 2017). For instance, inhibition of pseudouridylation on mitochondrial rRNAs

The down-regulated pseudouridine synthesis observed here implies pseudouridylation may play a similar role in regulating gene expression in this species (Figure 3). Spliced leader (SL) RNA trans-splicing is conserved machinery in dinoflagellates, in which a conserved dinoflagellate-specific 22-nucleotide RNA fragment (Dino SL) is spliced onto the 5' end of mRNA transcripts (Zhang et al., 2007; Song et al., 2018). Though no research has been conducted on the pseudouridylation of Dino SL, studies have revealed pseudouridylation is involved in SL RNA biogenesis in trypanosomes (Michaeli, 2011), where the disruption of pseudouridylation resulted in SL RNA defects and trans-splicing inhibition (Barth et al., 2005). The involvement of pseudouridine synthesis in dinoflagellates, as well as its potential unique role in these species with regard to their conserved Dino SL, remain unknown. Recent research has identified pseudouridine synthases were among the most highly regulated genes in dinoflagellates in response to changes in growth environment, such as glucose supplementation to Symbiodinium (Xiang et al., 2018), as well as life stage transitions, such as a transition from vegetative cells to cysts in Yihiella yeosuensis (Jang et al., 2019).

An RNAi-related process in *K. veneficum* was also affected by exposure to the algicide (Figure 3). RNAi is an endogenous cellular mechanism widely shared by eukaryotes involving small RNAs, which leads to targeted RNA degradation and transcriptional or posttranscriptional gene silencing (Cerutti et al., 2011; Chang et al., 2012; Heigwer et al., 2018; Rosa et al., 2018). It has been demonstrated that RNAi plays a crucial role in plants' response to stress and pathogen resistance (Rosa et al., 2018; Zotti et al., 2018). Here, the up-regulated RNAi-related process provides evidence that this mechanism could be involved in the response by *K. veneficum* to the algicide. This is in line with the presence of the potential RNAi system in dinoflagellates, including *K. veneficum*, revealed by recent transcriptomics and genomics studies (Zhang and Lin, 2019). The potential role of RNAi machinery in modulating algae-bacteria interactions, including dinoflagellate-bacteria relationships, requires further research.

Processes related to protein phosphorylation were stimulated in the algicide treatment, supporting previous reports that posttranscriptional regulations play key roles in dinoflagellates (Riaz et al., 2018) (Figure 3). Protein phosphorylation is fundamentally important for organisms in response to intra- and extracellular stimuli (Brumbarova and Ivanov, 2016; Böhm et al., 2019; Demongeot et al., 2019); it is involved in a number of critical processes, including signal transduction, DNA damage repair, and cell cycle regulation (Ardito et al., 2017; Li et al., 2019). In dinoflagellates, various conserved kinases have been identified that play key roles in protein translational regulation (Roy et al., 2018), S and M cell cycle progression (Morse et al., 2016), as well as DNA damage response pathways (Morse et al., 2016; Li and Wong, 2019). As dinoflagellates primarily regulate their proteins at the posttranscriptional and translational levels (Riaz et al., 2018; Roy et al., 2018), protein post-translational modifications such as phosphorylation may play an essential role in the response of these species to the algicide exposure.

Cell adhesion plays important roles in stress response, cell communication, signal transduction, cell differentiation, cell migration, and cell cycle progression, as well as programmed cell death in animals (Khalili and Ahmad, 2015; Vlahakis and Debnath, 2017). Reduced cell adhesion has been linked to changes in cell membrane morphology, including plasma membrane blebbing (Fackler and Grosse, 2008). Recent research identified genes encoding cell adhesion proteins in symbiotic dinoflagellates Symbiodinium (Xiang et al., 2015) and Durusdinium (Poquita-Du et al., 2020). These cell adhesion genes were down-regulated by environmental stimuli including light (Xiang et al., 2015), heat (Poquita-Du et al., 2020), and sediment exposure (Poquita-Du et al., 2020). The down-regulation of these genes was correlated with changes to cell surface morphology, accompanied by reduced surface architecture in Symbiodinium (Xiang et al., 2015). While K. veneficum does not form symbiotic relationships, down-regulated genes encoding proteins involved in cell adhesion in this species after exposure to IRI-160AA (Figure 3) may be related to plasma membrane blebbing observed in dinoflagellates exposed to the algicide as previously reported (Pokrzywinski et al., 2017a). In addition, cell adhesion also plays a role in regulating apoptosis in animals (Khalili and Ahmad, 2015; Vlahakis and Debnath, 2017). The suppression of transcripts encoding cell adhesion proteins accompanied by an up-regulation of apoptosis-related genes reported here (Table 3; discussed below), as well as for the dinoflagellate symbiont Durusdinium (Poquita-Du et al., 2020) provides some evidence that this regulatory role is shared with other organisms.

4.3 Linking transcriptomics to previously reported physiological data

Previous research indicated IRI-160AA induced ROS (specifically H₂O₂) production, DNA damage, and cell cycle arrest, as well as other markers of PCD in dinoflagellates (Pokrzywinski et al., 2017b), accompanied by impaired photosynthetic efficiency and PSII inhibition (Tilney et al., 2014). Recent metabolomics analysis also demonstrated the enrichment of a suite of compounds in K. veneficum by the algicide IRI-160AA, including oxidative stress biomarkers, antioxidants, compounds involved in DNA damage and PCD, as well as the chlorophyll precursor 5-aminolevulinic acid (Figure 5) (Wang and Coyne, 2022). An intertwined relationship between these markers and PCD, as well as photosynthesis, has also been noted in plants (Yoshiyama et al., 2013; Mhamdi and Van Breusegem, 2018) and other algal species (Rezayian et al., 2019; Zhao et al., 2019; Zhao et al., 2020). Below, key DEGs related to these processes are identified (Tables 2-4), and their putative functionality related to previously reported physiological data will be discussed.

4.3.1 Stress and ROS responsive genes

Cyclophilin proteins (CyPs) are conserved proteins among both prokaryotic and eukaryotic organisms (Jandova et al., 2013; Ponmani et al., 2015). They are essential proteins responding to environmental stresses (Jandova et al., 2013; Jia et al., 2013; Ponmani et al., 2015; Yau et al., 2016; Abassi et al., 2017) and stress-induced ROS in animals,

plants, and algae (Wu et al., 2009; Jandova et al., 2013; Dos Santos and Park, 2019), including the dinoflagellate Prorocentrum minimum (Ponmani et al., 2015). Here, the up-regulation of the CyP gene encoding the cyclophilin 40 protein (CyP40; peptidylprolyl isomerase D; Table 2) in algicide-treated K. veneficum was consistent with previous observations of ROS production in this and other dinoflagellates exposed to IRI-160AA (Pokrzywinski et al., 2017b). Jandova et al. (2013) demonstrated the cytosolic CyP40 may play a role in regulating the mitochondrial membrane potential (MMP), the mitochondrial permeability transition pore (MPTP), as well as key proteins involved in MPTP regulation, including the mitochondrial CyP protein (CyPD). The transient opening of MPTP can trigger the release of cytochrome c and subsequent apoptosis (Jandova et al., 2013; Wan et al., 2019). The knockdown of the CyP40 gene in UVAstressed human cells led to apoptosis prevention, accompanied by reduced MMP dissipation and MPTP opening; the mitochondrial superoxide levels were also lower in the CyP40 knockdown cells (Jandova et al., 2013).

While CyP40 was up-regulated after exposure to both ammonium and the algicide, genes that encode vital protective proteins against oxidative stress, including PPR40 (mitochondrial pentatricopeptide repeat [PPR] domain protein) and MRP (multidrug resistanceassociated protein), were suppressed only in the algicide treatment (Table 2). PPR domain proteins play an essential role in the stress tolerance of plants (Zsigmond et al., 2008). For instance, Arabidopsis with an inactivated PPR40 protein exhibited a semi-dwarf growth phenotype and a sensitivity to stresses, including salt, plant hormone, and oxidative stress, accompanied by cellular ROS accumulation (Zsigmond et al., 2008). MRPs are membrane proteins in the family of ATP-binding cassette (ABC) transporters that play important protective roles in stress tolerance in animals (Takahashi et al., 2012; Granitzer et al., 2020), plants (Park et al., 2012), and algae (Hou et al., 2016; Gu et al., 2019). Overall, suppression of genes for proteins that offer protection against oxidative stress (PPR40 and MRP) provide evidence for underlying mechanisms that may be involved in increased ROS reported for dinoflagellate cultures exposed to IRI-160AA (Pokrzywinski et al., 2017b; Figure 5).

Exposure to the algicide also resulted in a decrease in the expression of hydroxyacid oxidases (*HAOs*; aka glycolate oxidase, GOXs); this gene was not differentially regulated in the ammonium treatment (Table 2). HAOs, located in peroxisomes, are key enzymes involved in photorespiration in plants and are a part of the plants' defensive system against biotic and abiotic stresses (Dellero et al., 2016). HAOs are essential for plants' survival and photosynthesis even under normal growth conditions (Xu et al., 2009; Rojas and Mysore, 2012; Rojas et al., 2012; Jacobo-Velázquez et al., 2015; Cui et al., 2016; Xu et al., 2018). Rice with suppressed *HAO* genes exhibited impaired growth and net photosynthetic rates, accompanied by PSII inactivation (Xu et al., 2009). Chern et al. (2013) also reported resultant PCD in rice with silenced *HAOs*.

Despite their roles in improving photooxidative stress tolerance in plants (Cui et al., 2016), HAOs are also major ROS producers, in that H_2O_2 is one of the products during the catalytic process (Cui et al., 2016; Dellero et al., 2016; Rademacher et al., 2016). Recalcati et al. (2003) demonstrated a decrease in *HAO* gene expression in rats under oxidative stress. They noted this could be a mechanism for the

organism to prevent the formation of H_2O_2 and its further cell damage impact. The down-regulation of the *HAO* gene in *K. veneficum* responding to IRI-160AA could imply a similar mechanism; it may play a role in the decreased photosynthetic rates and PSII inactivation observed in Tilney et al. (2014), as well as markers associated with PCD demonstrated in Pokrzywinski et al. (2017b) for dinoflagellates exposed to the algicide.

4.3.2 Genes involved in DNA damage response

DNA damage response (DDR; Figure 5) involves complex signaling pathways (Zannini et al., 2014; Francis et al., 2020) that lead to appropriate responses by the cells, including DNA repair, cell cycle checkpoint activation, cell cycle regulation, and cell death (Patil et al., 2013). In eukaryotic cells, the cell cycle consists of a series of sequential phases, including gap phase 1 (G1), S-phase, gap phase 2 (G2), and mitosis (M) (El-Aouar Filho et al., 2017). Cells only enter the next phase when the previous phase is completed (Patil et al., 2013). The cell cycle progression is monitored by a surveillance mechanism, the cell cycle checkpoints, to ensure faithful cell cycle progression and cell division (Patil et al., 2013). The G1/S and G2/M checkpoints inhibit the entry to the S and M phases of the cells, respectively (Patil et al., 2013). The intra-S phase checkpoint monitors the DNA replication initiation and fork stability; it inhibits the cell cycle progression with arrested forks (Willis and Rhind, 2009; Patil et al., 2013). The spindle checkpoint checks for the alignment and segregation of the chromosomes (Patil et al., 2013).

Double- (DSBs) and single-strand breaks (SSBs) are among the most common DNA damage types; the most notable pathways that sense and repair these damages are the ATM (ataxia telangiectasia mutated) and ATR (ATM and Rad3 related) signaling pathways, involving the CHK2 and CHK1 checkpoint kinases, respectively (Patil et al., 2013; Francis et al., 2020). The ATM/ATR pathways activate the cell cycle checkpoints, leading to cell cycle arrest, DNA repair, and/or apoptosis (Patil et al., 2013). The up-regulation of both CHK1 and CHK2 genes in K. veneficum exposed to IRI-160AA (Table 3, Figure 5) was consistent with previous observations of DNA damage, cell cycle arrest, and markers associated with PCD in dinoflagellates exposed to the algicide (Pokrzywinski et al., 2017a; Pokrzywinski et al., 2017b). Treatment with ammonium alone resulted in an up-regulation of CHK1 but not CHK2, suggesting the involvement of other compounds present in IRI-160AA in the regulation of checkpoint kinases. Other key genes involved in these pathways have also been identified in K. veneficum in this study (e.g., histone H2AX, replication protein A [RPA], ATM, ATR, and RAD family proteins; not shown) but were not regulated transcriptionally. These genes have also been identified in other dinoflagellates (Li and Wong, 2019), providing evidence for a regulatory role of these pathways in the DDR of dinoflagellates. In addition, the upregulation of genes encoding fork-repair and checkpoint interacting proteins involved in DNA damage response, including the SWI/SNFrelated matrix-associated actin-dependent regulator of chromatin subfamily A-like protein (SMARCAL1) (Bansbach et al., 2009; Postow et al., 2009; Couch et al., 2013; Keka et al., 2015; Poole et al., 2015) and E3 ubiquitin-protein ligase 2 (HERC2) (Izawa et al., 2011; Mohiuddin et al., 2016) observed here was also consistent with

the previously indicated DNA damage and S-phase cell cycle arrest reported for dinoflagellates exposed to IRI-160AA (Pokrzywinski et al., 2017a; Pokrzywinski et al., 2017b). These genes were also upregulated in the ammonium treatment, consistent with the contribution of ammonium in the algicidal effect of IRI-160AA.

4.3.3 Genes encoding caspase and non-caspase proteases involved in PCD

Caspase and/or caspase-like proteases have been used as prominent biomarkers for PCD diagnosis in both prokaryotic and eukaryotic organisms, including bacteria (Lee and Lee, 2018), fungi (Wilkinson and Ramsdale, 2011), animals (Abu-Qare and Abou-Donia, 2001; Rodriguez-Ruiz et al., 2019), plants (Reape and McCabe, 2010; Kabbage et al., 2017), and algae (Johnson et al., 2014; Bidle, 2016; Sun et al., 2020), including dinoflagellates (Johnson et al., 2014; Pokrzywinski et al., 2017b). For instance, Johnson et al. (2014) demonstrated the involvement of a PCD-like pathway in the ROSmediated cell death of dinoflagellate Karenia brevis, accompanied by induced caspase-like activities and DNA damage. Cathepsin B proteases are caspase-3-like enzymes that initiate and regulate apoptosis in animals (de Castro et al., 2016; Sendler et al., 2016). Cathepsin B-like cysteine proteinases (CathBs) were also identified in plants; their role in PCD induction has been demonstrated (McLellan et al., 2009; Ge et al., 2016; Cai et al., 2018). The up-regulation of the CathB gene in K. veneficum was consistent with the increased caspaselike activity reported for dinoflagellates exposed to IRI-160AA (Table 3; Figure 5) (Pokrzywinski et al., 2017b), as well as their PCD-inducing roles in other organisms mentioned above. CathB was also up-regulated in the ammonium treatment, indicating the participation of ammonium in the induction of a PCD-like pathway by the algicide.

Calpains are non-caspase proteases that play a role in apoptosis execution in animals (Momeni, 2011). Genes encoding calpains have also been identified in *K. veneficum* (Lin, 2011) and other dinoflagellates (Johnson et al., 2012; Parkinson et al., 2016; Jang et al., 2019) in previous studies. The induction of the *calpain* gene after exposure to IRI-160AA, but not in the ammonium treatment, provides additional evidence that it plays a role in dinoflagellate PCD-like processes (Table 3; Figure 5).

4.4 Photobiology

As demonstrated previously, cell death in dinoflagellates exposed to IRI-160AA was accompanied by a change in chloroplast morphology (Pokrzywinski et al., 2017a), as well as inactivation of photosystem II, and a disruption of the electron transport chain in dinoflagellates (Tilney et al., 2014). In photosynthetic organisms, rapid and proper assembly of the photosynthetic apparatus is essential for the repair and recovery from damages (Komenda et al., 2012; Lu, 2016), and chlorophyll biosynthesis is critical in this process (Masuda et al., 2002; Sobotka et al., 2005; Komenda et al., 2012). For instance, chlorophyll biosynthesis was required for the assembly of the light-harvesting complex II (LHC-II) and PSI in the green alga *Dunaliella salina* during recovery from photodamage (Masuda et al., 2012). 2002) and was essential for the PSII assembly in cyanobacteria (Sobotka et al., 2005; Komenda et al., 2012). As discussed below, algicide-regulated DEGs involved in the chlorophyll biosynthesis reveal an up-regulation of this process in *K. veneficum* exposed to IRI-160AA (Table 4; Figure 4). DEGs indicating an impact on the photosystem of *K. veneficum* by IRI-160AA and accompanied photorepair will also be discussed.

4.4.1 Chlorophyll biosynthesis

The plant hormone 5-aminolevulinic acid (ALA) is an essential growth regulator that can enhance plants' tolerance to environmental stresses (Brzezowski et al., 2019; Wu et al., 2019). ALA is also the precursor of all tetrapyrroles, including chlorophylls, in plants and algae (Brzezowski et al., 2019; Wu et al., 2019). Chlorophyll biosynthesis is part of a branched, multienzyme pathway shared by hemes and bile pigments (Figure 4) (Brzezowski et al., 2019). ALA is synthesized by a series of sequential steps from glutamate, where glutamate-1-semialdehyde 2,1-aminomutase (GSAT) catalyzes the last step of ALA biosynthesis (Brzezowski et al., 2019). ALA is then converted to downstream metabolites, catalyzed by multiple enzymes, including the oxygen-dependent coproporphyrinogen-III oxidase (HEMF) (Wu et al., 2019). HEMF converts coproporphyrinogen III to protoporphyrinogen IX, which is then converted to protoporphyrin IX (Proto IX) (Wu et al., 2019). Proto IX is the branching point leading to heme and chlorophyll biosynthesis (Brzezowski et al., 2019). In the chlorophyll biosynthesis branch, Proto IX is converted to chlorophylls through a series of sequential enzymatic steps and their respective intermediates; the lightdependent protochlorophyllide reductase (LPOR) catalyzes one of the rate-limiting steps in this process, converting protochlorophyllide (Pchlide) to chlorophyllide (Chlide), which is subsequently converted to chlorophylls (reviewed by Zhang et al., 2018b).

Previous metabolomics study (Wang and Coyne, 2022) revealed that ALA increased in the cell pellets and decreased in the cell filtrate of K. veneficum exposed to the algicide IRI-160AA compared to control cultures, suggesting that IRI-160AA may have stimulated the uptake of ALA from surrounding medium (Figure 4). This was consistent with the stimulating effects of the exogenous application of ALA on its intracellular accumulation observed in plants (Liu et al., 2016). The increase of ALA in K. veneficum cell pellets likely induced an up-regulation of the subsequent enzymatic processes, which was evident by an up-regulation of the HEMF and LPOR genes in the algicide treated K. veneficum, as shown in the transcriptomics data (Table 4; Figure 4). This was supported by other studies that demonstrated the exogenous treatment of ALA enhanced the chlorophyll biosynthesis pathway and increased concentrations of intermediate products in this pathway (Aarti et al., 2006; Stenbaek et al., 2008; Wu et al., 2018). The stimulated ALA uptake (Wang and Coyne, 2022) and subsequent chlorophyll biosynthesis (Figure 4) could be a defense response for K. veneficum to recover from the disruptions of PSII and the electron transport chain due to the exposure to IRI-160AA (Tilney et al., 2014). Additionally, while LPOR was up-regulated by both algicide and ammonium, HEMF was only up-regulated in the algicide treatment, indicating ammonium may have played a role in the chlorophyll biosynthesis

involved defense response of *K. veneficum*, but in a limited manner. This was also evident by the down-regulation of the *PAPP5* gene encoding the serine/threonine-protein phosphatase 5 observed here only in the algicide treatment (Table 4). PAPP5 has been demonstrated as a negative regulator of chlorophyll biosynthesis and was involved in the signaling transduction from chloroplast to nucleus (Barajas-López et al., 2013).

Additionally, the down-regulation of the GSAT gene in the algicide treatment of K. veneficum observed in this study could imply a feedback inhibition by ALA on GSAT biosynthesis and reflect a mechanism to avoid the accumulation of downstream intermediates (Table 4; Figure 4). This gene was differentially regulated by the algicide but not in the ammonium treatment, consistent with the limited contribution of ammonium to the algicide-stimulated chlorophyll biosynthesis pathway as discussed above. Chlorophyll biosynthesis intermediates, including Pchlide and its tetrapyrrole precursors (e.g., Proto IX, Mg-protoporphyrin IX [Mg-Proto IX], and Mg-protoporphyrin IX monomethyl ester [MPE]), are potently phototoxic (Stenbaek et al., 2008; Gabruk and Mysliwa-Kurdziel, 2015); the feedback inhibition of chlorophyll biosynthesis intermediates on ALA biosynthesis has also been demonstrated by other studies (Stenbaek et al., 2008; Gabruk and Mysliwa-Kurdziel, 2015).

4.4.2 Photosystem impacts and photorepair

In addition to the rapid impacts on photobiology induced by IRI-160AA, Tilney et al. (2014) also observed a light-dependent recovery of the PSII inactivation in *K. veneficum* exposed to IRI-160AA. The Fv/Fm only recovered in this species under the regular light to dark cycle after the exposure to IRI-160AA but not under continuous dark, implying the involvement of a light-dependent mechanism in the repair of the photosynthetic machinery in this species (Tilney et al., 2014). Concurrent with the recovery of Fv/Fm, however, cell density of this dinoflagellate remained low, implying a defensive response specifically related to photosynthesis function repair in the surviving cells (Tilney et al., 2014).

Although transcript levels in this study reflected short-term impacts on *K. veneficum*, there was evidence of up-regulation of repair mechanisms for photosynthetic machinery besides the enhanced chlorophyll biosynthesis discussed above. Among those up-regulated in the algicide treatment were a *PETD* gene encoding the cytochrome b6-f complex subunit IV, as well as *UVR8* gene encoding the ultraviolet-B receptor UVR8, and two genes encoding photolyases (Table 4). All of these genes except *PETD* were also up-regulated in the ammonium treatment, implying the contribution of ammonium to the photosynthetic machinery repair involved in the algicidal effect of IRI-160AA.

PETD is among the proteins with the fastest turn-over rates in plant leaves (Li et al., 2018) and is fundamental for the assembly of the cytochrome b6-f complex (Mirzaei et al., 2018). Li et al. (2017) suggested that PETD proteins could be involved in repairing damage related to electron transport pathways. UVR8, which was differentially expressed in both algicide and ammonium treatments, is involved in the protective responses of plants and plays a role in directly or indirectly maintaining photosynthesis under adverse

conditions (Singh et al., 2014). Photolyases, also up-regulated in both treatments, are key enzymes responsible for the lightdependent photoreactivation pathway for DNA damage repair, shown to be a key process to restore the damaged PSII in cyanobacteria (Vass et al., 2013). Recent efforts have identified multiple genes encoding photolyases in dinoflagellate species, implying their potential role in the DNA lesion repair and photosynthesis recovery in these organisms (Shoguchi et al., 2018; Li and Wong, 2019).

Overall, results of this research, together with the metabolomics (Wang and Coyne, 2022) and photobiology (Tilney et al., 2014) data presented previously, demonstrated the photoinhibition effect of IRI-160AA may be accompanied by an activation of defense mechanisms related to photosynthesis impairment, as well as a light-dependent DNA repair mechanism resulting in the eventual restoration of the photosynthetic apparatuses. Results of this study indicate that ammonium may have contributed to this defense mechanism, but in a limited manner.

5 Conclusion

Results of this research demonstrated a distinct impact of ammonium and IRI-160AA on K. veneficum at the transcriptional level. Differentially expressed genes (DEGs) in the ammonium and the algicide treatments only shared 17% enriched biological processes (membrane potential regulation, transmembrane receptor protein tyrosine kinase signaling pathway, and protein phosphorylation), while the vast majority (83%) of the enriched processes were only regulated by ammonium or the algicide alone. Besides those shared with the ammonium treatment, exposure to IRI-160AA also up-regulated a process involved in RNA interference. Processes including pseudouridine synthesis, cell adhesion, and chloroplast-nucleus signaling pathway were down-regulated by the algicide. It is likely that post-transcriptional regulations also participated in the response of K. veneficum exposed to the algicide. DEGs involved in ROS and stress response, DNA damage response (DDR), cell cycle checkpoint activation, and PCD were also identified in K. veneficum treated with the algicide. Results of this research also revealed a photorepair mechanism involving enhanced chlorophyll biosynthesis and lightdependent DNA damage repair in K. veneficum treated with the algicide.

Overall, this research provided insights into the algicidal effect of IRI-160AA on *K.veneficum* at the transcriptomic level and expanded our knowledge of the molecular response of dinoflagellates to bioactive substances produced by bacteria. Future research may focus on investigating the specific roles of the essential genes identified in this research in dinoflagellate species responding to other biotic and abiotic stresses.

Data availability statement

The data presented in the study are deposited in the NCBI-Sequence Read Archive (SRA; https://www.ncbi.nlm.nih.gov/sra) repository, accession number PRJNA922626.

Author contributions

Conceptualization, YW and KC. Methodology, YW and KC. Software, YW. Validation, YW and KC. Formal analysis, YW. Investigation, YW. Resources, KC. Data curation, YW. Writing original draft preparation, YW. Writing—review and editing, YW and KC. Visualization, YW. Supervision, KC. Project administration, KC. Funding acquisition, YW and KC. All authors have read and agreed to the published version of the manuscript.

Funding

This project was funded by the National Oceanic and Atmospheric Association (NOAA) Prevention, Control and Mitigation of HABs (PCMHAB) program (Grant # NA15NO S4780176 to K.J. Coyne, M.E. Warner, T. Targett, and J. Cohen; Grant # NA20NOS4780185 to K.L. Pokrzywinski, K.J. Coyne, Y. Wang, and A.J. Kennedy. PCMHAB contribution #65).

Acknowledgments

The content of this manuscript previously appeared online as a Ph.D. dissertation by YW from the University of Delaware (Title:

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmars.2023.1112913/ full#supplementary-material

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