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# [Synergistic multi-altimeter](https://www.frontiersin.org/articles/10.3389/fmars.2022.1113387/full) [for estimating water level](https://www.frontiersin.org/articles/10.3389/fmars.2022.1113387/full) [in the coastal zone of Beibu](https://www.frontiersin.org/articles/10.3389/fmars.2022.1113387/full) [Gulf using SEL, ALES + and](https://www.frontiersin.org/articles/10.3389/fmars.2022.1113387/full) [BFAST algorithms](https://www.frontiersin.org/articles/10.3389/fmars.2022.1113387/full)

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Accurately monitoring and predicting the large-scale dynamic changes of water levels in coastal zones is essential for its protection, restoration and sustainable development. However, there has been a challenge for achieving this goal using a single radar altimeter and retracking technique due to the diversity and complexity of coastal waveforms. To solve this issue, we proposed an approach of estimating water level of the coastal zone in Beibu Gulf, China, by combination of waveform classifications and multiple sub-waveform retrackers. This paper stacked Random Forest (RF), XGBoost and CatBoost algorithms for building an ensemble learning (SEL) model to classify coastal waveforms, and further evaluated the performance of three retracking strategies in refining waveforms using Cryosat-2, SARAL, Sentinel-3 altimeters. We compared the estimation accuracy of the coastal water levels between the single altimeter and synergistic multi-altimeter, and combined Breaks for Additive Season and Trend (BFAST), Mann-Kendall mutation test (MK) with Long Short-Term Memory (LSTM) algorithms to track the historical change process of coastal water levels, and predict its future development trend. This paper found that: (1) The SEL algorithm achieved high-precision classification of different coastal waveforms with an average accuracy of 0.959, which outperformed three single machine learning algorithms. (2) Combination of Threshold Retracker and ALES+ Retracker (TR\_ALES+) achieved the better retracking quality with an improvement of correlation coefficient (R, 0.089~0.475) and root mean square error (RMSE, 0.008∼ 0.029 m) when comparing to the Threshold Retracker & Primary Peak COG Retracker and Threshold Retracker & Primary Peak Threshold Retracker. (3) The coastal water levels of Cryosat-2, SARAL, Sentinel-3 and multi-altimeter were in good agreement (R>0.66, RMSE<0.135m) with Copernicus Climate Change Service (C3S) water level. (4) The coastal water levels of the Beibu Gulf displayed a slowly rising trend from 2011 to 2021 with an average annual growth rate of

8mm/a, its lowest water level focused on May-August, the peak of water level was in October-November, and the average annual growth rate of water level from 2022-2031 was about 0.6mm/a. These results can provide guidance for scientific monitoring and sustainable management of coastal zones.

KEYWORDS

coastal zone, multi-altimeter, ensemble learning, ALES+ retracker, BFAST and LSTM, water level change monitoring

# 1 Introduction

The coastal zones are of enormous economic and social value, where about 40% of the population in the world lives, providing about \$1.5 trillion in economic value to mankind from the ocean ([Vignudelli et al., 2019;](#page-19-0) [Melet et al., 2020](#page-19-0)). In the context of climate warming, the global mean sea level is continuously rising, and the risk of sea level rise in coastal areas has further increased. Its long-term cumulative effect has increased the frequency and intensity of storm surges, floods and saltwater intrusion, posing serious challenges to the survival and development of human society ([Reimann et al., 2018](#page-19-0); [Taherkhani et al., 2020](#page-19-0)). Accurate knowledge of the dynamic characteristics of coastal water level can better understand and predict ocean processes, and provide a scientific basis for the sustainable development of coastal zones.

Tide gauge (TG), GNSS Reflectometry (GNSS-R) and Satellite Altimetry are the most commonly used methods to measure sea level. Among them, the TG provides high-accuracy and long-term sea level data, but data acquisition is difficult and only available at monitoring points, which is not suitable for large-scale monitoring of sea level dynamics [\(Cazenave et al.,](#page-18-0) [2018\)](#page-18-0). GNSS-R can monitor the dynamics of water level without direct contact with the ocean, but it has low spatial coverage and is sometimes affected by high latitudes, extreme weather conditions and ionospheric effects ([Adebisi et al., 2021](#page-18-0)). Satellite altimetry has the characteristics of large-scale and high-accuracy, which can provide continuous water level monitoring with large-scale and long time series. Since 1992, traditional low- resolution mode (LRM) altimeters have played a key role in estimating water level changes, and the precision has allowed the centimeter level [\(Poisson et al., 2018](#page-19-0); [Peng et al.,](#page-19-0) [2021;](#page-19-0) [Tran et al., 2021\)](#page-19-0). However, traditional LRM altimeters do not perform well in coastal areas due to the land reflection signal. Cryosat-2 and Sentinel-3 are the first altimetry missions carrying radar altimeters using the synthetic aperture radar (SAR) mode, which uses delayed Doppler technology to significantly improve the resolution and accuracy of data along the track. Several studies have shown that SAR altimeters have superior performance over conventional LRM altimeters in coastal

zones ([Birgiel et al., 2018;](#page-18-0) [Raynal et al., 2018](#page-19-0); [Fenoglio et al.,](#page-18-0) [2021](#page-18-0)). In addition, the SARAL/AltiKa mission carrying a highfrequency Ka-band altimeter is also able to observe coastal processes well due to the improved spatio-temporal resolution of the Ka-band with frequencies and bandwidths of 35.75 GHz and 500 MHz, respectively ([Palanisamy et al., 2015](#page-19-0)). However, the temporal and spatial resolution of water level change monitoring using a single altimeter is limited, and synergistic multi-altimeter can achieve higher spatial and temporal resolution. [Prandi et al. \(2021\)](#page-19-0) combined Cryosat-2, SARAL and Sentinel-3 altimeter data to construct an Arctic sea level anomaly(SLA) dataset, improving the resolution of this class of products. [Sun et al. \(2020\)](#page-19-0) used multiple altimeter data to monitor sea level variability in the China sea and its vicinity. Therefore, we combined Cryosat-2, SARAL and Sentinel-3 altimeters to increase the spatial and temporal density of altimetry data, to reach high-precision inversion of water level in the coastal zone of Beibu Gulf for a long time series.

Except for the altimeter characteristics, accurate classification and retracking of coastal waveforms are essential to achieve high accuracy of altimeter observations in coastal zones. The complex surface types in coastal zones are susceptible to the influence of land and islands, resulting in complex shapes of radar echo waveforms. Therefore, accurate classification of coastal waveforms is the key to obtain reliable water level data. In recent years, the Support vector machine model [\(Arabsahebi](#page-18-0) [et al., 2020\)](#page-18-0), Bayesian model [\(Zygmuntowska et al., 2013](#page-19-0)) and Knearest neighbor model ([Jiang et al., 2019\)](#page-18-0) have been applied to waveform classification and have achieved better classification results. However, the single classification method is not stable for different classification scenarios and different shapes of waveforms. The Stacking ensemble learning (SEL) algorithm can integrate the advantages of each base model and weaken the effects of overfitting, improving the classification accuracy. It has been confirmed that the SEL algorithm has been successfully applied to crop classification ([Sonobe et al., 2018](#page-19-0)), multi-type flooding delineating [\(Rahman et al., 2021](#page-19-0)), mangrove species classification [\(Fu et al., 2022a\)](#page-18-0), LAI estimation of mangrove communities [\(Fu et al., 2022b](#page-18-0)), and other fields. However, the application of the SEL algorithm to waveform classification of

altimeters lacks systematic justification. In addition, selecting a suitable retracker to reprocess different classes of waveforms is also a crucial step to achieve high-accuracy water level observation. In recent years, sub-waveform retrackers have been considered one of the most effective retracker for waveform retracking in coastal zones. Using a sub-waveform instead of the full waveform for retracking can discard the contaminated part to the maximum extent, so that the valid information of the waveform carried in the sub-waveform can be used to obtain a more accurate leading edge midpoint. Currently, multiple sub-waveform retrackers have been proposed, such as Primary peak empirical retracker [\(Jain et al.,](#page-18-0) [2015](#page-18-0)), MWaAPP retracker [\(Villadsen et al., 2016\)](#page-19-0), ALES+ retracker ([Passaro et al., 2018\)](#page-19-0), and good retracking results have been achieved. Therefore, a variety of retrackers need to be combined according to the coastal waveform characteristics to maximize the retracking quality of the coastal waveforms.

Sea level rise exacerbates the occurrence of flooding, storm surges and shoreline erosion faced by coastal zones [\(Arns et al.,](#page-18-0) [2017;](#page-18-0) Orejarena-Rondón et al., 2019). To effectively address these issues, it is critical to clarify the historical and current processes and trends in sea level change. In recent years, various change detection algorithms have been developed to analyze and mine the change characteristics of long time series data. Mann Kendall mutation test (MK) has been widely used for trend detection of hydrometeorological time series such as water quality parameters [\(Kisi and Ay, 2014\)](#page-19-0), rainfall records (Güç[lü, 2020\)](#page-18-0) and temperature records ([Alashan, 2020](#page-18-0)) due to its robustness in missing values and nonnormality. However, the algorithm can only analyze the long-term changes and mutation process of the time series, and cannot describe their seasonal variations. The BFAST algorithm can detect long-term trends and seasonal changes in time series, and can also capture abrupt changes, which has received a lot of attention from scholars ([Xu](#page-19-0) [et al., 2020](#page-19-0); [Mendes et al., 2022\)](#page-19-0). However, this algorithm requires the existence of regular observation frequency of time series data, and it has not been applied in the detection of sea level trends. In addition, sea level changes often have both linear and nonlinear characteristics, making it difficult to monitor the dynamics of sea levels by a single method [\(Sun et al., 2020](#page-19-0)). Therefore, the comprehensive use of multiple time series changes detection methods to reveal the accurate change process of sea level still faces a big challenge. Meanwhile, the analysis of dynamic changes in sea level should not be limited to analyze its historical change process, but should further improve the prediction accuracy of future change trends based on an accurate assessment of its historical change process. The LSTM algorithm can accurately capture the change characteristics of time series using a relatively small dataset and has a strong temporal prediction capability. It has been well used in traffic flow prediction [\(Kang et al., 2017](#page-19-0)), financial market forecasting ([Bukhari et al., 2020\)](#page-18-0), and precipitation nowcasting [\(Shi et al.,](#page-19-0) [2015\)](#page-19-0), but it is less used in sea level trend forecasting. Therefore,

we attempted to analyze the historical change process of coastal sea level using MK and BFAST algorithms, and predicted its future change trend using the LSTM algorithm, to reveal the dynamic change process of coastal sea level.

This study proposed a new approach for estimating coastal water levels in Beibu Gulf, China, by combination of stacking ensemble learning (SEL) and multi-retrackers with the Cryosat-2, SARAL and Sentinel-3 altimeters. We also attempted to combinate BFAST, MK with LSTM algorithms to reveal the dynamic change pattern of coastal water level in Beibu Gulf from 2011-2031. The main contributions of this study were as follows: (1) We constructed an SEL-based coastal waveform classification model by stacking RF, XGBoost and CatBoost algorithms, and examined its ability to identify ocean-like waveforms, quasispecular waveforms and complex waveforms. (2) We proposed three retracking strategies by combination of Threshold Retracker, ALES+ Retracker, Primary Peak COG Retracker and Primary Peak Threshold Retracker, and evaluated their performance in retracking different coastal waveforms. (3) We explored the estimation accuracy of the coastal water levels between the single altimeter and synergistic multi-altimeter using Cryosat-2, SARAL and Sentinel-3 altimeters. (4) We combined MK, BFAST with LSTM algorithms to track the historical change of coastal water level, further predict the future change trend, and finally reveal the dynamic change pattern of water level in the Beibu Gulf, China.

# 2 Study area and data sources

## 2.1 Study area

The coastal zone of Beibu Gulf in Guangxi Zhuang Autonomous Region (Guangxi) (107°29′E~110°20′E, 20°58′ N~22°50′N) is located at the southwest end of China's mainland coastline, including three coastal cities of Fangchenggang, Qinzhou and Beihai with a total sea area of 128,000  $\text{km}^2$  and a total population of 6,157,100 at the end of the year 2021. This region is the subtropical monsoon climate with an average annual temperature from 21.5°C to 22.4°C, and an average annual rainfall from 1500 to1800 mm. The study area is the frontier of China-ASEAN economic cooperation and has an important strategic location. In addition, the mangroves on the coastal zone of Beibu Gulf in Guangxi accounted more than 37% of the total mangrove area in China and the study area also are the second largest mangrove habitat in China ([Jia et al., 2015\)](#page-18-0). However, as the threat of sea level rise, parts of the shoreline of the study area have been severely eroded, and the frequency and intensity of typhoons and storm surges have increased from 1949 to 2013. Therefore, it is significant for its sustainable development to exactly monitor the dynamic changes in water level. [Figure 1](#page-3-0) showed the geographic location of the study area and the ground tracks of the three altimeters in 2021.

<span id="page-3-0"></span>

# 2.2 Data sources

## 2.2.1 Satellite altimetry data

This paper used Cryosat-2 altimeter data from January 2011- December 2021, SARAL altimeter data from March 2013- December 2021, and Sentinel-3 altimeter data from April2016- December 2021 to estimate the water level in the coastal zone of Beibu Gulf. The main parameters of three radar altimeters were shown in Table 1.

### (1) Cryosat-2 altimeter data

The Cryosat-2 mission was launched by ESA on April 8, 2010, with an orbital altitude of 717 km, an inclination of 92°, and a repeat cycle of 369 days, which could be downloaded by <https://science-pds.cryosat.esa.int/> ([Salameh et al., 2018](#page-19-0)). The Cryosat-2 mission is equipped with an advanced synthetic aperture interferometric radar altimeter (SIRAL) that operates in three different measurement modes: Low Resolution Mode

(LRM), Synthetic Aperture Radar Mode (SAR), and Synthetic Aperture Radar Interferometer Mode (SARIn). Cryosat-2 altimeter data include L1 and L2 data, where L1 data contain orbital information and waveforms, and L2 data contain various geophysical corrections, range and altitude estimates. In this paper, the data products of GOP L1 and L2 LRM mode based on Baseline-C processing from January 2011-December 2021 were used.

#### (2) SARAL altimeter data

The SARAL/Altika mission was successfully launched on February 25, 2013, with 501 orbits and a 35-day repeat cycle (ftpaccess.aviso.altimetry.fr). SARAL/Altika is the first altimeter to operate in Ka-band with an enhanced 500 MHz bandwidth for better range resolution [\(Verron et al., 2015\)](#page-19-0). SARAL/Altika Data Center offers three types of data products, Operational Geophysical Data Records (OGDR), Interim Geophysical Data Records (IGDR), and Geophysical Data Records (GDR). This





<span id="page-4-0"></span>study used the GDR products from March 2013 to December 2021, which have three different data types, including GDR-SSHA, GDR and SGDR data. In this study, we used SGDR data with a total of 92 cycles from 1-35,100-156, which contains 1hz, 40hz high rate and waveform data.

#### (3) Sentinel-3 altimeter data

The Sentinel-3 mission consists of two satellites, Sentinel-3A and Sentinel-3B, with an orbital altitude of 815 km and a repeat cycle of 27 days, and can be downloaded via [https://archive.](https://archive.eumetsat.int/usc/UserServicesClient.html) [eumetsat.int/usc/UserServicesClient.html](https://archive.eumetsat.int/usc/UserServicesClient.html) ([Shu et al., 2020](#page-19-0)). Sentinel-3 is the first satellite altimeter to provide global coverage in SAR mode with a dual-frequency (13.575 GHz in Ku-band and 5.41 GHz in C-band) synthetic aperture radar altimeter (SRAL) that provides more precise along-track resolution observations. Sentinel-3 offers three types of SRAL Level 2 data products, including Near Real Time (NRT), Short Time Critical (STC), and Non-Time Critical (NTC) products. This paper adopted the NTC Level 2 WAT products for April 2016-December 2021, which contain three data files, including the 'Reduced', 'Standard' and 'Enhanced' data files, and the "Enhanced" data was utilized in the following analysis.

#### 2.2.2 Validation data

The validation data for this paper is the sea level daily gridded data from satellite observations of the global ocean from 1993 to present, which can download through the Copernicus Climate Change Service (C3S) [\(https://climate.copernicus.eu/](https://climate.copernicus.eu/)). The C3S SLA dataset is computed with respect to a twenty-year mean reference period (1993-2012) using up-to-date altimeter standards [\(Taburet et al., 2019](#page-19-0)). And this dataset has a spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$ , obtained by the DUACS processing system by merging two stable satellite missions, thus ensuring the homogeneity and stability of the SLA record. The vDT2021 released in 2021 is the latest version of the C3S SLA dataset, which updates the altimetric standards and geophysical parameter corrections, refines the measurement errors, and achieves significant improvements in mesoscale observations compared with vDT2018 [\(Faugère et al., 2022](#page-18-0)). Therefore, the C3S SLA dataset from January 2011-December 2021 of the DT2021 version was selected as the validation data in our research.

Since the C3S SLA data only had a measurement for each grid point in every day, we used the inverse distance weighting function to find the geographical position closest to the C3S SLA



measurements from the four altimeter-based SLA measurements, then calculated the average of altimeter-based and C3S SLA measurements each day to obtain the daily average water level, respectively.

# 3 Methods

The workflow of this study includes three main parts, as shown in [Figure 2](#page-4-0). Firstly, we constructed an SEL model by stacking RF, XGBoost and CatBoost algorithms to classify the coastal waveforms of three altimeters into ocean-like waveforms, quasi-specular waveforms and complex waveforms. We further used three retracking strategies, including Threshold Retracker & ALES+ Retracker (TR\_ALES+), Threshold Retracker & Primary Peak COG Retracker (TR\_PPCR) and Threshold Retracker & Primary Peak Threshold Retracker (TR\_PPTR), to retrack three coastal waveforms to gain the retracked range, respectively, and reconstructed the time-series water level of Cryosat-2, SARAL and Sentinel-3 using the parameters of geophysical corrections, satellite altitude, range and retracked range. Secondly, we assessed the classification performance of the SEL model and three machine learning models for coastal waveforms using two metrics of overall accuracy and average accuracy, verified the estimation accuracy of water level based on three strategies for retracking coastal waveforms using R, RMSE, and standard deviation(SD), and quantitatively evaluated the accuracy of four altimeter-based measurements of water levels using R, RMSE, and Bland-Altman. Finally, we used the BFAST and MK algorithms to track the historical changes of time-series water level, and further utilized the LSTM model to predict the future change trends of the coastal water level in the Beibu Gulf.

# 3.1 Stacking-based waveforms classification

This paper divided the coastal waveforms of the Beibu Gulf into ocean-like waveforms, quasi-specular waveforms and complex waveforms, as displayed in Figure 3. The overall shape of the ocean-like waveforms conformed to the standard ocean-like waveforms, the leading edge was not contaminated, and the subwaveforms width of the ocean-like waveforms was long enough. Quasi-specular waveforms could be regarded as the combination of quasi-specular waveforms and multiple quasi-specular waveforms, its main characteristics were narrow waveform width and peak value. Complex waveforms included waveforms with irregular shapes and waveforms with multiple peaks.



The ensemble learning algorithm has been widely used in various fields of classification and has shown better classification performance than single algorithms. The stacking algorithm belongs to heterogeneous integration algorithms with a two-layer structure, where the base classifier is first used to train a model on the feature variables and then the prediction results are used as training data for the second layer of meta-classifiers to enhance the classification ability. RF, XGBoost and CatBoost algorithms have the advantages of fast computational speed, classification ability and generalization ability [\(Fu et al., 2022a\)](#page-18-0). Therefore, we selected to stack these three models to establish the stacking ensemble learning (SEL) classification model. The specific training process was displayed below. (1) 500 ocean-like waveforms, quasi-specular waveforms and complex waveforms from three kinds of altimeters were selected as sample data, and the sample data were split into a training set and test set in the ratio of 7:3 in the RStudio platform, and the training set was divided into 5 subsets. (2) Constructing RF, XGBoost and CatBoost models by using 5-fold cross-validation to predict the training and validation subsets in turn. Finally, stacking the classification results of the two data sets as new feature variables. (3) Inputting the feature variables in step 2 to build a meta-classification model to realize higher accuracy waveform classification. To further enhance the classification results, the base model with the highest overall classification accuracy was selected as the meta-model.

## 3.2 Waveforms retrackers

According to the characteristics of the three coastal waveforms, selection of the appropriate retracker is a key step in obtaining high-precision water levels. We evaluated the quality of three strategies (Table 2) for retracking coastal waveforms by combination of Threshold Retracker (TR), ALES+ Retracker, Primary Peak COG Retracker (PPCR) and Primary Peak Threshold Retracker (PPTR). The detail of three

strategies included Threshold Retracker & ALES+ Retracker (TR\_ALES+), Threshold Retracker & Primary Peak COG Retracker (TR\_PPCR), and Thresholdod Retracker & Primary Peak Threshold Retracker (TR\_PPTR).

Since the development team of ALES+ retracker has not yet applied it to the Cryosat-2 waveforms in LRM mode, only two retracking strategies, TR\_PPCR and TR\_PPTR, were used in this paper for the Cryosat-2 altimeter. In addition, several studies have shown that a 50% threshold has superior performance in oceanic retracking compared to other thresholds [\(Peacock and](#page-19-0) [Laxon, 2004;](#page-19-0) [Salameh et al., 2018](#page-19-0)). Therefore, a 50% threshold level was chosen for TR, PPCR, and PPTR in this research.

(1) Threshold Retracker

Threshold Retracker was first proposed by [Davis \(1997\)](#page-18-0) to estimate the thermal noise  $P_N$  through the first five bins of the waveforms, the threshold value  $P_{thres}$  was calculated according to the amplitude A obtained from the OCOG retracker and a given threshold of 50%, and linearly interpolated between the proximity sampling values to obtain the midpoint of the corrected leading edge  $C_{rt}$  thres.

$$
P_N = \frac{1}{5} \sum_{i=1}^{5} P_i
$$
\n
$$
A = \sqrt{\sum_{i=1}^{N} P_i}
$$
\n
$$
P_{\text{thres}} = (A - P_N) \times 50\% + P_N
$$
\n
$$
C_{rt_{\text{thres}}} = i \text{thres} - 1 + \frac{P_{\text{thres}} - P_{\text{thres}-1}}{P_{\text{thres}} - P_{\text{thres}-1}}
$$
\n(1)

Where  $N$  is the total number of retracking bins for the altimeter waveforms, ithres is the first bin with power greater than the threshold  $P_{thres}$ ,  $P_{ithres}$  is its corresponding power, *ithres-1* is the bin before *ithres*,  $P_{ithres-1}$  is the power corresponding to *ithres-1*, and  $P_i$  is the power value corresponding to each bin.

(2) Primary Peak Empirical Retracker

<b>Altimeters</b>	Ocean-like	Quasi-specular	Complex	Combined retrackers
Cryosat-2	<b>PPCR</b>	TR	PPCR	TR_PPCR
	<b>PPTR</b>	TR	<b>PPTR</b>	TR_PPTR
SARAL	ALES+	TR	ALES+	TR_ALES+
	<b>PPCR</b>	TR	PPCR	TR PPCR
	PPTR	TR	PPTR	TR_PPTR
Sentinel-3	ALES+	TR	ALES+	TR_ALES+
	<b>PPCR</b>	TR	PPCR	TR_PPCR
	PPTR	TR	<b>PPTR</b>	TR PPTR

TABLE 2 Summary of the retracking strategies of three radar altimeters.

waveforms.

Primary Peak Empirical Retracker includes Primary Peak COG Retracker(PPCR) and Primary Peak Threshold Retracker (PPTR). [Jain et al. \(2015\)](#page-18-0) found that retracking sub-waveforms rather than full waveforms are expected to raise retracking accuracy. The Primary Peak Empirical Retracker set a start gate  $Th_{start}$  and a stop gate  $Th_{stop}$ , and a bin within the start and stop gate intervals was a valid sub-waveform. PPCR

performed an OCOG retracker using the sub-waveforms, and similarly, PPTR performed a threshold retracker on the sub-

$$
T_{\text{start}} = \sqrt{\frac{d_2^i = P_{i+2} - P_i}{\frac{(N-2)\sum\limits_{i=1}^{N-2} (d_2^i)^2 - (\sum\limits_{i=1}^{N-2} (d_2^i)^2}{\frac{(N-2)(N-3)}{(N-2)(N-3)}}}
$$
(2)  

$$
\frac{d_1^i = P_{i+1} - P_i}{\frac{(N-1)\sum\limits_{i=1}^{N-2} (d_2^i)^2 - (\sum\limits_{i=1}^{N-2} (d_2^i)^2}{\frac{(N-1)(N-2)}{(N-1)(N-2)}}}
$$

#### (3) ALES+ Retracker

ALES+ retracker was developed by [Passaro et al. \(2018\)](#page-19-0) and was based on the Brown-Hayne model, which divided the procedure into standard ocean leading edge detection (SOLED) procedure and non-standard ocean leading edge detection (NOLED) procedure according to the Pulse Peakiness (PP) ([Peacock and Laxon, 2004](#page-19-0)) index. In this study, the SOLED procedure was used to retrack the oceanlike waveforms of the SARAL and Sentinel-3 altimeters, and the NOLED procedure was used to retrack the complex waveforms of the SARAL and Sentinel-3 altimeters.

$$
\begin{cases}\nV_m(t) = a_{\xi} P_u \frac{[1+erf(u)]}{2} \exp(-v) + T_N \\
a_{\xi} = \exp\left(\frac{-4\sin^2\xi}{\gamma}\right), \gamma = \sin^2\left(\theta_0\right) \frac{1}{2\ln(2)}, u = \frac{t-\tau - c_{\xi}\sigma_c^2}{\sqrt{2}\sigma_c}, \\
v = c_{\xi}(t - \tau - \frac{1}{2}c_{\xi}\sigma_c^2)\n\end{cases}
$$
\n(3)\n
$$
\sigma_s = \frac{SWH}{2c}, \sigma_c^2 = \sigma_s^2 + \sigma_p^2, c_{\xi} = b_{\xi}a, a = \frac{4c}{\gamma h(1 + \frac{1}{R_c})}, \\
b_{\xi} = \cos\left(2\xi\right) - \frac{\sin^2\left(2\xi\right)}{\gamma}\n\end{cases}
$$

Where c is the speed of light, h is the satellite altitude,  $R_e$  the Earth radius,  $\zeta$  the off-nadir mispointing angle, t the time,  $\theta_0$  the antenna beam width,  $\tau$  the epoch,  $\sigma_c$  the rise time of the leading edge (depending on a term  $\sigma_s$  linked to SWH and on  $\sigma_p$  the width of the radar point target response),  $P_u$  the amplitude of the waveform and  $T_N$  the thermal noise level.

## 3.3 Water level estimation

In this study, the Retracked Range was obtained by retracking three coastal waveforms with the above retracking

strategies. The sea level anomaly (SLA) value for each measurement point could be calculated by combining the distance between the satellite and the reference ellipsoid Altitude, the distance from the satellite to the Earth's surface Range, Retracked Range, Corrections and mean sea level MSS:

$$
SLA = Altitude - Range - Retracked Range - Corrections
$$
  
- *MSS* (4)

Where Corrections include dynamic atmospheric correction, dry tropospheric correction, ionosphere correction, wet tropospheric correction, ocean tide, pole tide, sea state bias, solid tide.

In this work, we used a three-step method to eliminate the outliers of the above SLA measurements. (1) The obvious SLA outliers that were particularly high or low were eliminated by visual interpretation. (2) A threshold value of [-1m,1m] was set to exclude all measurements outside this interval. (3) After screening by the threshold value, there were still certain outliers, so the 3-Sigma rule was used to screen all SLA data that do not conform to this rule, so that the water level time series of the three altimeters were obtained separately.

Since the SLA measurements obtained by a single altimeter were instantaneous and had a limited ground tracks, continuous water level observations for long time series cannot be achieved. Consequently, we combined Cryosat-2, SARAL and Sentinel-3 altimeters to construct a multi-altimeter water level to increase the frequency of spatial and temporal coverage in the coastal zone of Beibu Gulf. Because the Sentinel-3 and Cryosat-2 altimeters use the WGS84 ellipsoid and the SARAL altimeter uses the T/P ellipsoid, it is necessary to convert them to the same reference ellipsoid. Therefore, we converted the T/P ellipsoid to the WGS84 ellipsoid by performing the following conversion [\(Salameh et al., 2018](#page-19-0)).

$$
\Delta h = \frac{a \left(1 - e^{2}\right)}{\sqrt{1 - e^{2} \sin^{2} \theta}} - \frac{a(1 - e^{2})}{\sqrt{1 - e^{2} \sin^{2} \theta}}
$$
(5)

Where  $\theta$  is the latitude of the SARAL measurements,  $\Delta h$  is the altitude change due to the conversion of the T/P ellipsoid to the WGS84 ellipsoid; a and e is the semi-long axis and eccentricity of the WGS84 ellipsoid, a=6378137m, e=0.081819190842621, a′ and  $e'$  is the semi-long axis and eccentricity of the T/P ellipsoid,  $a'$  $=6378136.3$  m,  $e' = 0.081819221456$ .

Due to the systematic errors of different altimeters, there were still elevation differences in the SLA estimated after the unified ellipsoid. In this study, referring to [Chen and Liao](#page-18-0) [\(2020\),](#page-18-0) we took the Cryosat-2 water level time series as the reference series, and subtracted the water level differences between Cryosat-2 and SARAL, Cryosat-2 and Sentinel-3 to weaken the systematic elevation errors, the calculation was as follows.

$$
SLA_{cor}(t_i) = SLA_{ini}(t_i) + \left(\overline{SLA_{ref}} - \overline{SLA_{ini}}\right)
$$
 (6)

Where  $SLA_{cor}(ti)$  is the corrected water level at time  $t_i$ ,  $SLA_{ini}$  $(t_i)$  is the uncorrected water level at time  $t_i$ ,  $\overline{SLA_{ref}}$  is the mean of the Cryosat-2 water level time series, and  $\overline{SLA_{ini}}$  is the mean of the uncorrected water level time series for SARAL and Sentinel-3, respectively.

# 3.4 Water level time series dynamic change monitoring and trend prediction

Water level changes often exhibit both linear and nonlinear characteristics, so it is difficult to monitor its dynamic changes by a single method. Therefore, we combined the BFAST, MK, and LSTM algorithms to track the dynamic process of the coast water level in Beibu Gulf comprehensively.

In this study, we took the monthly average water levels of Cryosat-2, SARAL, Sentinel-3, and multi-altimeter as input data, using MK and BFAST algorithms to explore the historical change process of the coastal water level in Beibu Gulf. Firstly, we employed the MK algorithm to detect the specific change process of the water level time series and the moments when abrupt changes occurred, and the MK was calculated as follows.

$$
\begin{cases}\n m_{i,j} = \begin{cases}\n 1, SI_{A(i,j)} > SI_{A(h,j)} \\
 0, SI_{A(i,j)} \le SI_{A(h,j)}\n \end{cases}\n \end{cases}\n \tag{7}
$$
\n
$$
UF_k = \frac{d_k - E(d_k)}{\sqrt{Var(d_k)}}
$$

Where  $SI_{A(i,j)}$  is the monthly average water level data,  $UF_k$  is a series of statistics calculated in time series order, and at a significance level  $\alpha_2$ , if  $|UF_k| > U_{1-\alpha/2}$ , it indicates that there is a significant trend change in the series.  $UB_k$  is an inverse ordered time series,  $UB_k=-UF_k$ ,  $k = n, n-1,...,1$ , when the two curves intersect and the intersection point is between  $-U_{1-\alpha/2}$  and  $U_{1-\alpha/2}$ , then the moment corresponding to the intersection point is the time when the mutation starts. In this paper, only the region where  $UB_k$  and  $UF_k$  had a unique intersection point was retained, and  $\alpha_2 = 0.05$ was taken.

As the MK algorithm cannot discover the seasonal variation of time series, we applied the BFAST algorithm to further analyze the long-term variation and seasonal variation. The basic structure of the BFAST algorithm was as follows.

$$
Y_t = T_t + S_t + e_t \quad (t = 1, 2, ..., n)
$$
 (8)

Where  $Y_t$  is the original monthly average water level data,  $T_t$ is the trend component,  $S_t$  is the seasonal component,  $e_t$  is the residual component, and  $n$  is the length of the water level time series.

The BFAST algorithm required equal time interrupted time series data in the fitting process, while the SARAL altimeter data used in this paper were from March 2013-December 2021, and Sentinel-3 altimeter data were from April 2016-December 2021. And due to the influence of retracking errors, data screening and other factors, the monthly average water level data may have individual months missing, which cannot meet the requirements of the BFAST algorithm. Thus, this study applied linear fitting to supplement the time series data.

Finally, we took 132 multi-altimeter monthly average water levels as input data, divided the input data into a 70% training set, 20% validation set, and 10% test set, and substituted the test set data into the LSTM model to predict the future trend of coastal water level in Beibu Gulf. In addition, we also selected R and RMSE to assess the reliability of the LSTM model.

The LSTM model consists of four main parts, including the forget gate, the input gate, the memory cell, and the output gate. The forget gate determines which information should be discarded from the cell state. The input gate determines whether data enters the training network at a specific time. The memory cell stores computed values for using in the next stage. The output gate decides whether to output the computed values. the exact structure of LSTM at moment  $t$  was as follows.

$$
\begin{cases}\nf_t = \sigma(W_f x_t + U_f h_{t-1} + b_f) \\
i_t = \sigma(W_i x_t + U_i h_{t-1} + b_i) \\
C_t = f_t * C_{t-1} + i_t * \widetilde{C}_t \\
o_t = \sigma(W_o x_t + U_o h_{t-1} + b_o)\n\end{cases} \tag{9}
$$

Where  $f_t$  is the forget gate,  $i_t$  is the input gate,  $o_t$  is the output gate, and  $C_t$  is the cell state.

# 4 Results

## 4.1 Waveform classification results and accuracy assessment

This paper used validation samples to calculate overall classification accuracy and average accuracy indicators, quantitatively evaluated the classification ability of the SEL algorithm and three single machine learning algorithms about coastal waveforms of Cryosat-2, SARAL, and Sentinel-3 altimeters. [Table 3](#page-9-0) showed the classification accuracy of the four algorithms.

As can be seen from [Table 3,](#page-9-0) all four algorithms achieved better classification results in recognizing coastal waveforms, and the overall classification accuracy was above 0.927. The overall classification accuracy of the SEL algorithm was more stable than the three basic algorithms, and the SEL algorithm realized the highest average accuracy of 0.959, which was 0.5% higher than RF, 0.9% higher than XGBoost, and 1% higher than CatBoost, proving that the SEL algorithm had stronger classification capability for coastal waveforms. Among the three altimeters, Cryosat-2 altimeter had the highest average



<span id="page-9-0"></span>TABLE 3 Comparison of the overall accuracy of coastal waveforms based on four classification algorithms.

accuracy of 0.963, which was 0.05% higher than SARAL altimeter, and 2.5% higher than Sentinel-3 altimeter, indicating that Cryosat-2 altimeter had more obvious features among different coastal waveforms and was easier to distinguish.

To specifically analyze the distribution characteristics of the three types of waveforms, this study divided the coastal zone of Beibu Gulf into four regions, including 0-5 km, 5-10 km, 10- 20 km and 20-30 km along the coast. Table 4 depicted the distribution of the three types of coastal waveforms within 30 km. It can be seen that 5 km from the coast was a very obvious dividing line, and the distribution of waveforms within 5 km from the coast and beyond 5 km had very significant characteristics. Within 5 km, the discharge of rivers and the influence of land reduced the sea surface roughness, increasing the number of quasi-specular waveforms, with all three altimeters accounting for more than 18% of the coastal waveforms. Land echoes could seriously contaminate the trailing edge of coastal waveforms, causing an increase in complex waveforms, and the Cryosat-2 complex waveforms occupied 65% of the all-coastal waveforms. Therefore, within 0-5 km, quasi-specular waveforms and complex waveforms were the dominant coastal waveforms, with a high percentage (> 60%). For Cryosat-2 coastal waveforms, the percentage of quasispecular and complex waveforms was even more than 90%. Beyond 5 km, with increasing distance from the coast, ocean-like waveforms increased when quasi-specular and complex waveforms decreased. The percentage of ocean-like waveforms

remained at high levels (>80%) beyond 5 km from the coast, except for Cryosat-2, which had 57.53% of ocean-like waveforms within 5-10 km.

# 4.2 Evaluation of waveform retracking quality

This paper used the C3S SLA dataset to calculate R, RMSE, and standard deviation (SD) index, evaluating the water level accuracy after retracking coastal waveforms with three strategies, Threshold Retracker and ALES+ retracker(TR\_ALES+), Threshold Retracker & Primary Peak COG Retracker (TR\_PPCR), and Threshold Retracker & Primary Peak Threshold Retracker (TR\_PPTR). The water level accuracy after retracking was shown in [Table 5](#page-10-0).

[Table 5](#page-10-0) showed that the waveform retracking quality after using TR\_ALES+ was significantly better than TR\_PPCR and TR\_PPTR. For the SARAL altimeter, compared to TR\_PPTR, TR\_ALES+ had the highest accuracy with R of 0.661, RMSE decreased from 0.125m to 0.096m, and SD decreased by 0.01m. For the Sentinel-3 altimeter, R increased from 0.264 to 0.739, RMSE declined by 0.015 m, after using TR\_ALES+ when compared to TR\_PPTR. The above study demonstrated the superior performance of the TR\_ALES+ retracker in improving the coastal waveforms. Besides, the water level accuracy after using TR\_PPCR was also preferred over

TABLE 4 Percentage of different coastal waveforms in the 0-30 km for three altimeters.





<span id="page-10-0"></span>TABLE 5 Comparison of estimation accuracy of coastal water level based on three retracking strategies.

TR\_PPTR. Compared with TR\_PPTR, the waveform retracking quality of SARAL and Sentinel-3 altimeters were enhanced to different degrees after using TR\_PPCR, while the improvement of the Cryosat-2 altimeter was not obvious.

In summary, among the three retracking strategies, TR\_ALES+ had superior retracking performance, and TR\_PPCR had a better retracking performance than TR\_PPTR. Therefore, we selected TR\_ALES+ to retrack the coastal waveforms of SARAL and Sentinel-3 altimeters, and selected TR\_PPCR to retrack the coastal waveforms of the Cryosat-2 altimeter. Figure 4 displayed the correction results of the Sentinel-3 coastal waveforms using TR\_ALES+ retracker.

To compare the ability of multiple retrackers and single retracker to refine coastal waveforms, this study used ALES+ and TR methods to retrack SARAL and Sentinel-3 coastal waveforms. TR and PPCR methods were used to retrack the Cryosat-2 coastal waveforms. [Table 6](#page-11-0) showed the retracking performance of the multiple retrackers and single retracker.

A comprehensive analysis of [Table 6](#page-11-0) indicated that the SLA measurements after retracking were more stable and the fluctuations of the data were not significant (SD  $\leq$  0.14m).

Comprehensive evaluation of the R, RMSE and SD of the three altimeters revealed that multiple retrackers outperformed the single retracker in modifying coastal waveforms.

# 4.3 Comparative analysis of water level estimation

This study used absolute differences of two consecutive SLA estimates and C3S SLA products to verify the ability of three altimeters to extract coastal water levels in the Beibu Gulf from 2011-2021.

The median of the absolute difference between two consecutive SLA estimates ([Peng et al., 2021](#page-19-0)) was used to analyze the noise level in four different coastal distance bands. [Figure 5](#page-12-0) displayed the noise level of SLA estimates for three altimeters in four different coastal distance bands. It could be seen that there was a large difference in the precision within and outside 5 km from the coast. Within 5 km from the coast, the SLA noise level of the three altimeters were large and fluctuate more sharply among the values, due to the influence of coastal



<b>Altimeters</b>	Retrackers	$\mathsf{R}$	RMSE (m)	SD(m)
Cryosat-2	TR PPCR	0.824	0.077	0.136
	TR	0.798	0.081	0.133
	PPCR	0.827	0.077	0.136
SARAL	TR ALES+	0.661	0.096	0.119
	TR	0.070	0.213	0.140
	ALES+	0.676	0.093	0.118
Sentinel-3	TR_ALES+	0.739	0.097	0.140
	TR	0.734	0.090	0.127
	ALES+	0.718	0.099	0.138

<span id="page-11-0"></span>TABLE 6 Comparison of estimation accuracy of coastal water level between single and multiple retrackers.

topography with more quasi-specular waveforms and complex waveforms. Beyond 5 km from the coast, the SLA estimated by the three altimeters at 5-10 km, 10-20 km and 20-30 km were relatively stable, and the proportion of noise level varied within 0.1m increased, with Cryosat-2 accounting for an average of 95.6%, SARAL accounting for an average of 76.3%, and Sentinel-3 accounting for an average of 95.3%, which could reach highaccuracy SLA estimation.

We used the C3S SLA dataset to calculate R and RMSE ([Figure 6](#page-13-0)) for verifying the feasibility of estimating water level of three altimeters and multi-altimeter in the coastal area of Beibu Gulf. This paper also utilized the Bland-Altman method to examine the consistency of altimeter-based water levels and C3S SLA data ([Figure 7\)](#page-14-0).

As can be seen from [Figure 6,](#page-13-0) the altimeter-based water levels were in good agreement with the C3S SLA products (R>0.66), indicating that the application of the three altimeters to estimate the water level in the coastal zone of Beibu Gulf was more reliable. The multi-altimeter water level was consistent with the C3S products (R=0.669), indicating that it is feasible to combine the three altimeters to construct a long time series of water level, and the fused altimeter water level increased the spatial and temporal coverage of the observation data. The Bland-Altman plots in [Figure 7](#page-14-0) showed that the scattered points of the Cryosat-2, Sentinel-3, and multi-altimeter were all within the consistency limits, and >98% scattered points of the SARAL altimeter were within the consistency limits, demonstrating that the altimeter-based water levels and the C3S SLA products were in good agreement, further illustrated that it is feasible to apply the coastal zone water level estimating approach proposed in this paper to the water level estimating in the coastal Beibu Gulf. The RMSE of the altimeter-based water levels were all within 0.135m, reflecting that the accuracy of altimeter-based water levels estimated in this paper could reach the decimeter level, and three single altimeters have reached the centimeter level accuracy.

# 4.4 Analysis of water level dynamic changes

To clarify the water level dynamic change rule in the coastal area of Beibu Gulf, this paper combined two change detection algorithms, BFAST and MK, to detect the long-term change and abrupt change of water level in Beibu Gulf from 2011-2021. [Figure 8](#page-15-0) showed the MK test results for the time-series water levels from 2011-2021.

It can be seen from [Figure 8](#page-15-0) that there were multiple mutation points for the four time-series water levels, most of the  $UF_k$  statistics were within the critical line of the significance level of 0.05, illustrating that the changes of the four time-series water levels were relatively smooth. In [Figure 8A](#page-15-0), Cryosat-2 time-series water level was in a decreasing trend from January 2011 to August 2011, and after August 2011, the water levels all showed an increasing trend, with 19.7% of the monthly average water levels exceeding the critical line of the significance level of 0.05, indicating a significant increase in water levels during this period. In [Figure 8B,](#page-15-0) the SARAL time-series water level changed more frequently from 2013 to 2015, and the level followed an increasing trend after 2016, then raised from 2017 to 2019, and then decreased again in 2020 and 2021. In [Figure 8C,](#page-15-0) the Sentinel-3 time-series water level was in a downward trend from July 2016 to May 2017, and the rest of the months were in an upward trend. In [Figure 8D](#page-15-0), there were 65% of the monthly average water level in the multi-altimeter displayed an increasing trend, with the monthly average water level from November 2020 to December 2021 being outside the threshold of significance level 0.05, indicating a significant increase in water levels during this period. In general, the four time-series water levels showed an overall increasing trend.

[Table 7](#page-15-0) counted the number of abrupt changes for the four time-series water levels in each season to better explain the abrupt changes. As can be seen from [Table 7,](#page-15-0) Cryosat-2 and multi-altimeter water levels did not mutate in spring and winter,

<span id="page-12-0"></span>

and the mutation points were concentrated in summer and autumn, proving that the water levels changed more slowly in winter and spring, and more drastically in summer and autumn. SARAL and Sentinel-3 water levels mutated in different seasons. SARAL water level had the most mutations in spring, and Sentinel-3 water level had the same number of mutations in each season. In addition, the four time-series water levels

mutated in 2021, indicating that the water levels changed frequently in 2021.

To further analyze the dynamic change characteristics of the coastal water level in the Beibu Gulf from 2011 to 2021, this study used the BFAST algorithm to further check the long-term change, seasonal change and abrupt change of the four timeseries water levels [\(Figure 9](#page-16-0)).

<span id="page-13-0"></span>

As shown in [Figure 9](#page-16-0), the seasonal component (St) of the four time-series water levels displayed a regular cyclical characteristic with a one-year variation period. On the trend component (Tt), the time-series water levels of the three single altimeters showed a monotonic upward trend, and the water level of the multi-altimeter increased monotonically from January 2011 to September 2012, with a breakpoint in September 2012 and a monotonic increase from October 2012 to December 2021. In the residual component (Rt), the four time-series water levels did not reveal a significant regularity. In addition, the original time series (Yt) of the four altimeter-based water levels displayed good consistency in intra-annual variation, showing an overall declining-rising-declining variation process, with a distinct peak and a minimum value throughout the year. The four time-series water levels varied slowly in January-March, and frequently in April-August. The lowest values occurred in May-August, after which the water levels presented an upward trend and reached a peak in October-November, with the monthly average water levels in September-December being significantly higher than those in January-August.

Based on mastering the historical change process of the coastal water level in Beibu Gulf from 2011 to 2021, this paper took the monthly average water level of multi-altimeter as the input data, utilized the LSTM model to simulate and predict the water level change trend in the coastal zone of Beibu Gulf from 2022 to 2031[\(Figure 10](#page-17-0)).

In [Figure 10A,](#page-17-0) the monthly average water levels predicted by the LSTM model have good agreement with the true monthly average water levels, with R reaching 0.848 and RMSE of 0.049 m, indicating that it is feasible to predict the water level variation over a long time series using the LSTM algorithm. In [Figure 10B](#page-17-0), the standard deviation between the predicted water levels and the true water levels using the LSTM algorithm was small, and most of the standard deviation varied between 0.0005 and 0.08 m, which had good prediction accuracy. Therefore, we predicted the monthly average water level changes in the coastal zone of Beibu Gulf in the next 10 years (January 2022-December 2031), and the prediction results were displayed in [Figure 10C.](#page-17-0) From [Figure 10C,](#page-17-0) it can be found that the water level time series in Beibu Gulf in the next 10 years also had distinct seasonality, with a seasonal variation period of one year. In the interannual variation, the water level showed a slow upward trend from 2011-2021, with a water level rise rate of 8 mm/a, the water level change from 2022-2031 also displayed a slow upward trend, with a water level rise rate of about 0.6 mm/a.

<span id="page-14-0"></span>

# 5 Discussion

This paper found that the SEL algorithm achieved high accuracy classification of coastal waveforms with an average accuracy of 0.959 ([Table 3](#page-9-0)). The SEL algorithm improved the average accuracy by 0.5%-1% over three single machine learning classification algorithms, proving that the SEL algorithm could effectively improve the classification accuracy of coastal waveforms, and this conclusion was consistent with the conclusion reached by [Fu et al. \(2022a\).](#page-18-0) In addition, the SEL algorithm also displayed superior classification performance in recognizing coastal waveforms from different altimeters. In the Cryosat-2 altimeter, the SEL algorithm had the highest accuracy in recognizing ocean-like waveforms and complex waveforms. In the SARAL altimeter, the SEL algorithm had the highest accuracy in recognizing quasi-specular waveforms and complex waveforms. In the Sentinel-3 altimeter, the SEL algorithm had higher accuracy in recognizing all three coastal waveforms than the three single machine learning algorithms. The above findings demonstrated that the SEL algorithm was more adaptable to multi-source data and had good and stable performance in classifying coastal waveforms [\(Cai et al., 2020\)](#page-18-0). Based on the coastal waveforms classification results of three altimeters using the SEL algorithm, this paper found that quasi-specular waveforms and complex waveforms within 0-5 km accounted for more than 60% of all waveforms ([Table 4](#page-9-0)), and ocean-like waveforms within 5-30 km accounted for more than 80% of all waveforms. These results illustrated that the coastal waveforms within 0-5 km were heavily contaminated, and it is necessary to use multiple retrackers for optimal processing of different coastal waveforms.

Among the three retracking strategies proposed in this study, Threshold Retracker & ALES+ Retracker (TR\_ALES+) exhibited the optimal retracking performance, followed by Threshold Retracker & Primary Peak COG Retracker (TR\_PPCR), and finally Threshold Retracker & Primary Peak Threshold Retracker (TR\_PPTR). Comparing the water level accuracy after retracking coastal waveforms with the three strategies [\(Table 5](#page-10-0)), it could be found that after retracking coastal waveforms using TR\_ALES+, the R of SARAL altimeter improved by 0.089~0.133, RMSE decreased by 0.021~0.029m, and SD decreased by 0.009~0.010m, the R of Sentinel-3 altimeter improved by 0.411~0.475 and RMSE decreased by 0.008~0.015m. The above study also showed that the ALES+ retracker improved the coastal waveforms better than PPCR and PPTR retracker. However, there were differences in

<span id="page-15-0"></span>

the improvement of coastal waveforms from different altimeters by ALES+ retracker. The water level accuracy after retracking coastal waveforms with multiple retrackers and single retracker ([Table 6](#page-11-0)) identified that the overall retracking performance of the Sentinel-3 altimeter was better than the SARAL altimeter when only used ALES+ retracker. Due to the small study area of this paper and the small number of quasi-specular waveforms and complex waveforms, the water level accuracy after retracking coastal waveforms with multiple retrackers and single retracker did not show significant differences. In addition to the retracking method, the operation mode of the altimeter is also an important factor affecting the water level accuracy in coastal areas. The water level accuracy of the three altimeters [\(Figure 6](#page-13-0)) revealed that the water level accuracy of the

Sentinel-3 altimeter in SAR mode was better than the SARAL altimeter in the LRM mode when the same TR\_ALES+ retracker was used, with an R improvement of 0.078, indicating that the SAR altimeter outperformed the LRM altimeter in the coastal region, which was consistent with the findings of [Fenoglio et al.](#page-18-0) [\(2021\).](#page-18-0) Furthermore, the coastal water level estimating approach proposed in this paper did not obtain high-accuracy water level data, which may be due to the following reasons: on the one hand, the performance of altimeters in coastal areas decreased compared to the superior performance in the open ocean, as well demonstrated by [Salameh et al. \(2018\)](#page-19-0). The results of [Vignudelli](#page-19-0) [et al. \(2019\)](#page-19-0) also showed that when the satellites were less than 6 km, the data availability decreased significantly. On the other hand, geophysical corrections such as dynamic atmospheric





<span id="page-16-0"></span>

correction, wet tropospheric correction, and sea state bias may also lead to large uncertainties of satellite altimeters in coastal areas [\(Marti et al., 2021](#page-19-0)). The geophysical corrections used in this paper were all from three satellite altimeter, and more models can be considered in the future, such as the MOG2D model, GPD+ model, FES2014 model, and Composite SSB model [\(Peng and Deng, 2020\)](#page-19-0).

In this study, the historical change processes of the four altimeter-based water levels were revealed using the BFAST and MK algorithms. The MK test results ([Figure 8](#page-15-0)) for the four altimeter-based water levels illustrated that the MK algorithm could well characterize the abrupt changes and long-term change processes of the water level time series ([Liang et al.,](#page-19-0) [2018\)](#page-19-0). The results based on the BFAST algorithm (Figure 9) for the four time-series water levels demonstrated that the BFAST algorithm could detect both long-term trends and abrupt changes in the water level time series, and also better describe the seasonal changes [\(Watts and Laffan, 2014\)](#page-19-0). The change detection results of the four time-series water levels based on the BFAST and MK algorithms displayed that the water level in the coastal zone in Beibu Gulf presented a slow upward trend overall and had a one-year periodicity in seasonal changes, with the lowest water level occurring in May-August and the highest water level concentrated in October-November, similar to the experimental results of [Sun et al. \(2020\)](#page-19-0). In addition, the 2021 China Sea Level Bulletin ([Ministry of Natural Resources, 2022\)](#page-19-0) reported that September-November was the seasonal high sea level period along the coast of Guangxi, which was consistent with the conclusion that the monthly average water levels in September-December were higher than the rest of the months reached in this paper. The LSTM model was used to predict the monthly average water level of the multi-altimeter from January 2011-December 2021 ([Figure 10\)](#page-17-0), and the correlation coefficient (R) between the predicted and true water level based on the LSTM model was 0.848, with an RMSE less than 0.05m, proving that the LSTM model could simulate the trend of the original water level time series well and had a strong prediction capability [\(Zhao et al., 2021](#page-19-0)). 2011-2031 water level displayed a slowly

<span id="page-17-0"></span>

rising trend, and the rate of water level rise was 8 mm/a in 2011- 2021 and about 0.6 mm/a in 2022-2031, and this conclusion was significantly different from that reported in the 2021 China Sea Level Bulletin ([Ministry of Natural Resources, 2022](#page-19-0)). The main reason for this discrepancy was that only 11 years of water level time series were constructed in this paper, and the length of the time series was relatively short, which may not accurately reflect the historical water level change pattern in Beibu Gulf. In future studies, longer water level time series should be built, and algorithms such as Singular spectrum analysis ([Shen et al.,](#page-19-0) [2018\)](#page-19-0), and Radial basis function [\(Yang et al., 2016\)](#page-19-0) can be combined to further improve the accuracy of future trend prediction of coastal water level, to grasp more accurate water level dynamic change patterns and provide a scientific basis for the sustainable development of coastal areas.

# 6 Conclusion

This study presented a new method for estimating water levels of coastal zone in Beibu Gulf, south China, by combination of SELbased waveform classifications and multiple retrackers using Cryosat-2, SARAL and Sentinel-3 altimeters from 2011-2021, and further tracked the dynamic change of water level using BFAST,

MK and LSTM algorithms. This paper found that: (1) SEL algorithm effectively improved the classification accuracy of coastal waveforms, and produced better classification performance than single machine learning algorithms. Quasispecular and complex echoes were the main coastal waveforms within 0-5 km, while ocean-like echo accounted for more than 80% of all waveforms beyond 5 km. (2) Combination of Threshold Retracker and ALES+ Retracker outperformed other two retracking strategies, and significantly improved the retrieval accuracy of water level in coastal areas. (3) Bland-Altman consistency test confirmed that altimeter-based measurements of coastal water level were consistent with the scientific dataset of C3S water level products at the 95% confidence interval, which indicated that the approach proposed in this study had a good performance on estimating coastal water levels, and monitoring its time-series dynamic changes using multi-sources altimeters. (4) We revealed that the coastal water level in Beibu Gulf exhibited a slow growth trend with an annual average growth rate of 8mm/a in 2011-2021, and the intrayear water level presented a fluctuation change with the decliningrising-declining procedures. We also found that the lowest average water level concentrated in May-August and the highest average water level focused on October-November. These results can provide guidance for scientific monitoring and sustainable management of coastal zones.

# <span id="page-18-0"></span>Data availability statement

Publicly available datasets were analyzed in this study. This data can be found here: <https://climate.copernicus.eu/>.

# Author contributions

JQ wrote the manuscript, and researched model, collected, and analyzed the data. BF planned the study, analyzed the data, and wrote the manuscript. HH, FW, LL and DF directed work and supervision. SL, HY, XH, and YL collected, and analyzed the data. JQ and BF did the main manuscript revision and editing. All authors contributed to the article and approved the submitted version.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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