

Influence of Functional Group [Modification on the Toxicity of](https://www.frontiersin.org/articles/10.3389/fmars.2021.800782/full) **Nanoplastics**

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Nanoplastics (NPs) are ubiquitous in harvested organisms at various trophic levels, and more concerns on their diverse responses and wide species-dependent sensitivity are continuously increasing. However, systematic study on the toxic effects of NPs with different functional group modifications is still limited. In this review, we gathered and analyzed the toxic effects of NPs with different functional groups on microorganisms, plants, animals, and mammalian/human cells in vitro. The corresponding toxic mechanisms were also described. In general, most up-to-date relevant studies focus on amino (−NH2) or carboxyl (−COOH)-modified polystyrene (PS) NPs, while research on other materials and functional groups is lacking. Positively charged PS-NH² NPs induced stronger toxicity than negatively charged PS-COOH. Plausible toxicity mechanisms mainly include membrane interaction and disruption, reactive oxygen species generation, and protein corona and eco-corona formations, and they were influenced by surface charges of NPs. The effects of NPs in the long-term exposure and in the real environment world also warrant further study.

Keywords: nanoplastic, functional group modification, surface charge, toxic effect, mechanism

INTRODUCTION

Plastics discarded into the environment has become a global concerned pollution [\(Alak et al.,](#page-8-0) [2021;](#page-8-0) [Zhang et al.,](#page-10-0) [2021\)](#page-10-0). Microplastics, especially nanoplastics (NPs, smaller than 1 μ m), could more likely to penetrate the cell membranes and impose adverse impacts on living organisms [\(Shen et al.,](#page-9-0) [2019\)](#page-9-0). However, due to limitations in quantitative detection, the varying effects of their environmental concentrations are still unclear, although [Schirinzi et al.](#page-9-1) [\(2019\)](#page-9-1) reported traces of nano-sized polystyrene (PS) in estuarine and surface waters of the West Mediterranean Sea. Toxicities of NPs on development, behavioral alterations, and oxidative stress have attached great importance in various organisms [\(Duan et al.,](#page-8-1) [2020\)](#page-8-1). Ultimately, they may cause hazards to human health [\(Sun M. et al.,](#page-10-1) [2021\)](#page-10-1).

The aged plastics through photo-degradation, biodegradation, hydrolysis, and mechanical abrasion in the environment, will result in different surface modifications in NPs. Negatively charged NPs, such as the carbonyl groups (−COOH), are expected to be the most common ones due to surface oxidation and acquisition of functionalities during the weathering [\(Luan](#page-9-2) [et al.,](#page-9-2) [2019\)](#page-9-2). Positively charged NPs, such as amino modification (−NH2), may also consider as an important counterpart due to the hydrolyzation of polyamides [\(Wang et al.,](#page-10-2) [2019\)](#page-10-2). However, compared with morphology and size [\(Aznar et al.,](#page-8-2) [2019;](#page-8-2) [Cheng](#page-8-3) [et al.,](#page-8-3) [2020\)](#page-8-3), the presence of functional groups on the surface modifications of plastic polymers working on their toxicological effects remains to be systematically studied.

Thus, the toxic effects of NPs, with different functional groups or without modification (bare NPs), were reviewed and compared on microorganisms, plants, animals, and mammalian/human cells in vitro in the present study. We aim to provide some new information concerning on the health risk of NPs in the environment.

BIBLIOMETRIC ANALYSIS

The keywords used in the bibliographic search were as follows: "nanoplastics, toxic mechanism, toxic effects, surface modification, and functional group" or "nanoplastics, toxic mechanism, toxic effects, and amino-modified" or "nanoplastics, toxic mechanism, toxic effects, and carboxyl-modified" in Science Direct database and Web of Science database from January 2012 to December 2021. A total of 477 references were obtained, including review articles and research articles. Abstracts of the retrieved publications were reviewed separately to screen the relevant literature. Only studies that involved the toxic effects and/or toxic mechanisms of NPs researched on organisms were selected for further analysis. Literature that did not specify whether NPs were modified, or the information of NPs was incomplete, or the toxic mechanisms were not assessed based on organisms, were excluded. In addition, a manual review of the reference lists of the selected publications was conducted to recover articles not included in the bibliographic search. Eventually, 6 review articles and 59 research articles (summarized in **[Table 1](#page-2-0)**) were screened, accounting for approximately 13.6% of the total. The numbers of manuscripts talked about the functional groups including: −NH² (48) , $-COOH$ (40), $-COC$ (1), $-SO₃H$ (3), $-CNH₂NH₂$ ⁺(1), and bare NPs (19).

Cite Space software (5.8.R2, 64 bit) and Origin Pro 9.0 (Origin Lab Corp., Northampton, MA, United States) were used to perform visualization and bibliometric analysis, mainly for the number of annual publications and keyword co-occurrence analysis. As shown in **[Figure 1](#page-5-0)**, the number of published articles on NPs increased from 2 in 2012 to 272 in 2021, which indicated that the toxicity of NPs played important roles in relevant studies. However, only 11 studies compared the toxicities of NPs with different functional groups in 2021. The co-occurrence network (**[Figure 2](#page-6-0)**) showed that Caenorhabditis elegan, Artemia franciscana, Daphnia magna, mussel, oyster,

and algae were the main species used in the previous studies. The main toxic effects included growth, behavior, apoptosis, and cytotoxicity. The main toxic mechanisms discussed involved oxidative stress, accumulation, activation, adsorption, ingestion, surface charge, size, aggregation, and extracellular polymeric substance.

TOXIC EFFECTS OF NPS WITH DIFFERENT FUNCTIONAL GROUP MODIFICATIONS

Microorganisms

Microorganisms play important roles in the biological chain as decomposers for the ecosystem [\(Liu et al.,](#page-9-3) [2020\)](#page-9-3). NPs can penetrate into cells through microbial cell membranes and destroy cell functions [\(Ning et al.,](#page-9-4) [2021\)](#page-9-4). The toxicity is greater when the particle size is smaller [\(Miao et al.,](#page-9-5) [2019\)](#page-9-5). For example, PS NPs of 100 and 200 nm had no effect on the growth of Escherichia coli, whereas PS NPs of 30 nm had an increased inhibition on bacterial growth [\(Ning et al.,](#page-9-4) [2021\)](#page-9-4).

Amino-modified NPs are usually positively charged, which make it easier for them to get into the negatively charged bio-membrane due to the electrostatic interaction [\(González-](#page-8-4)[Fernández et al.,](#page-8-4) [2018;](#page-8-4) [Tallec et al.,](#page-10-3) [2018\)](#page-10-3). Therefore, the toxicity of amino-modified NPs was supposed to be higher than that of carboxyl-modified NPs and bare NPs. However, to our knowledge, only five manuscripts compared the toxicity of NPs with different functional groups in microorganisms to date. PS-NH² NPs more strongly inhibited the growth of Synechococcus and damaged the membrane integrity of Synechococcus than PS-SO₃H NPs [\(Feng et al.,](#page-8-5) [2019\)](#page-8-5). PS-NH₂ NPs of 50 nm produced a higher reactive oxygen species (ROS) level in Halomonas Alkaliphilathan that bare PS NPs of 55 nm, and the generated ROS may cross extracellular polymers (EPS) and cause great damages [\(Sun et al.,](#page-10-4) [2018\)](#page-10-4). PS-NH2, bare PS, and PS-COOH NPs caused cell membrane damage and induced oxidative stress in activated sludge and biofilms, and PS-NH² NPs induced the highest effect among them [\(Miao et al.,](#page-9-5) [2019;](#page-9-5) [Qian et al.,](#page-9-6) [2021\)](#page-9-6). NPs inhibited the bacterial growth of Escherichia coli in the order of $PS-NH_2 > PS\text{-}COC > PS\text{-}COOH$ [\(Ning et al.,](#page-9-4) [2021\)](#page-9-4).

Algae and Plants

Algae-adsorbed NPs might be ingested by aquatic animals and transmitted through the food chains, and ultimately result in health risk to human beings [\(Heddagaard and Møller,](#page-8-6) [2019;](#page-8-6) [Huang et al.,](#page-8-7) [2020;](#page-8-7) [Mateos-Cárdenas et al.,](#page-9-7) [2021\)](#page-9-7). The potential risks of NPs to the algae in freshwater and seawater have been well documented recently. The toxicity of NPs to the algae was affected by exposure doses, particle sizes, and types of functional groups [\(González-Fernández](#page-8-8) [et al.,](#page-8-8) [2019\)](#page-8-8). Exposure to carboxyl-modified NPs inhibited the growth of Raphidocelis subcapitata, diatom, Chlorella Vulgaris, Phaeodactylum tricornutum, and Rhodomonas baltica, which was manifested in morphological changes, interference

TABLE 1 | Summary of toxicity assessment of NPs with different functional groups.

TABLE 1 | (Continued)

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TABLE 1 | (Continued)

with mitotic cycle, reduction in chlorophyll content, and photosynthetic efficiency [\(Bellingeri et al.,](#page-8-9) [2019\)](#page-8-9). PS-NH² NPs with diameters of 90 and 200 nm decreased the biomass and the content of chlorophyll a in Chlorella Vulgaris, and mallsized PS-NH₂ NPs were more toxic than large-sized ones [\(Khoshnamvand et al.,](#page-9-10) [2021\)](#page-9-10).

Positively charged NPs induced higher toxicology on the algae than negatively charged NPs, which was also due to the electrostatic interaction with bio-membrane. For example, PS-NH² NPs had higher adsorption ratios on the cell surface of the algae than bare PS and PS-COOH NPs, which limited the material transfer, gas exchange, and energy transfer in diatom [\(Seoane et al.,](#page-9-9) [2019;](#page-9-9) [González-](#page-8-11)[Fernández et al.,](#page-8-11) [2020\)](#page-8-11). PS-NH₂ NPs more significantly inhibited the photo-system efficiency than PS-COOH NPs in Pseudokirchneriella subcapitata [\(Nolte et al.,](#page-9-8) [2017\)](#page-9-8) and PS-SO₃H NPs in Microcystis aeruginosa [\(Feng et al.,](#page-8-10) [2020\)](#page-8-10). Poly methyl methacrylate (PMMA) caused a higher impact on cellular and physiological parameters than PMMA-COOH [\(Gomes et al.,](#page-8-14) [2020\)](#page-8-14).

Land-based sources have been considered an important longterm sink for NPs [\(Rochman,](#page-9-29) [2018\)](#page-9-29). NPs could accumulate and aggregate on the leaves of land-based plants, transfer from leaves to stems, and finally to roots [\(Yu et al.,](#page-10-13) [2021\)](#page-10-13). However, the toxicity of NPs to land-based plants was poorly understood although three relevant studies were reported recently. PS-COOH NPs mainly accumulated on the root surface and cap cells of Arabidopsis thaliana and wheat, rather than in roots [\(Taylor](#page-10-6) [et al.,](#page-10-6) [2020\)](#page-10-6). Compared with PS-COOH NPs, PS-NH² NPs were more present in roots, which resulted in a stronger inhibitory effect on photosynthesis and growth of maize leaves; they also activated a more obvious oxidative defense mechanism [\(Sun H.](#page-9-13) [et al.,](#page-9-13) [2021\)](#page-9-13). PS-NH² NPs induced a higher accumulation of ROS in Arabidopsis thaliana, and they inhibited the plant growth

and the seedling development more strongly than $PS-SO₃H$ NPs [\(Sun X. D. et al.,](#page-10-5) [2020\)](#page-10-5).

Animals and Mammalian/Human Cells in vitro

The functional groups of NPs influenced their toxicities to zooplankton in fresh water and seawater [\(Saavedra et al.,](#page-9-15) [2019;](#page-9-15) [Kim et al.,](#page-9-19) [2020;](#page-9-19) [Gola et al.,](#page-8-32) [2021\)](#page-8-32). PS-NH² NPs enhanced the gonad development, the reproductive capacity, and the genotoxicity to nematode (Caenorhabditis elegan) compared with bare PS NPs and PS-COOH NPs [\(Qu et al.,](#page-9-16) [2019;](#page-9-16) [Kim et al.,](#page-9-19) [2020;](#page-9-19) [Sun L. et al.,](#page-9-17) [2020;](#page-9-17) [Yilimulati et al.,](#page-10-7) [2020;](#page-10-7) [Schultz et al.,](#page-9-18) [2021\)](#page-9-18). Compared with PS-COOH NPs, PS-NH₂ NPs induced higher mortality in rotifers (Brachionus plicatilis) [\(Manfra et al.,](#page-9-20) [2017\)](#page-9-20); PS-NH² NPs caused more effects on the molting amount, the developmental toxicity on larval Artemia franciscana [\(Bergami](#page-8-16) [et al.,](#page-8-16) [2016,](#page-8-16) [2017;](#page-8-12) [Varó et al.,](#page-10-9) [2019\)](#page-10-9), larval Ciona robusta [\(Eliso](#page-8-15) [et al.,](#page-8-15) [2020\)](#page-8-15), and Daphnia magna [\(Lin et al.,](#page-9-14) [2019\)](#page-9-14); they also more significantly reduced the swimming activity of Euphausia superba [\(Bergami et al.,](#page-8-24) [2020\)](#page-8-24).

As for other aquatic animals, pre-fertilization exposure of sperm to PS-NH² NPs decreased offspring size and swimming performance in the European whitefish (Coregonus lavaretus) [\(Yaripour et al.,](#page-10-8) [2021\)](#page-10-8). PS-NH² NPs stimulated the increase in extracellular ROS, induced lysosomal damage, and decreased shell length in two kinds of mussels, Mytilus galloprovincialis [\(Canesi et al.,](#page-8-17) [2015,](#page-8-17) [2016;](#page-8-19) [Balbi et al.,](#page-8-18) [2017;](#page-8-18) [Auguste et al.,](#page-8-20) [2020a](#page-8-20)[,b\)](#page-8-21) and Meretrix [\(Liu et al.,](#page-9-21) [2021\)](#page-9-21). Compared with PS-COOH NPs, PS-NH² NPs induced severe developmental defects and genetic regulations in the development of sea urchin (Paracentrotus Lividus) embryos [\(Della Torre et al.,](#page-8-22) [2014\)](#page-8-22). Nevertheless, the controversial joint effects were obtained in some cases. PS-COOH NPs had a significant increase in ROS production in sperm cells of Crassostrea gigas, whereas PS-NH² NPs did not [\(González-Fernández et al.,](#page-8-4) [2018\)](#page-8-4). In contrast to PS-COOH, positively charged PS-NH₂ seemed to affect the antioxidant and immune genetic responses differently and to a lesser extent in coelomocytes of the Antarctic sea urchin [\(Bergami et al.,](#page-8-23) [2019\)](#page-8-23).

Few studies have been conducted on their toxic effects on mammals except Xu et al. [\(2021\)](#page-10-10) reported that $PS-NH₂ NPs$ significantly affected the body weight of mice compared with PS-COOH NPs. Several in vitro studies on mammalian and human cells have also confirmed the high toxicity of positively charged NPs. PS-NH² NPs were more highly internalized in neonatal rat ventricular myocytes when compared with PS-COOH NPs, which resulted in decreased myocardial contractility [\(Roshanzadeh et al.,](#page-9-24) [2021\)](#page-9-24). PS-NH² NPs accumulated more than bare PS NPs in human hepatocellular carcinoma (HepG2) cells, and caused greater oxidative damage than PS-COOH NPs in $HepG2$ cells [\(He et al.,](#page-8-25) [2020\)](#page-8-25). PS-NH₂ NPs increased the cytotoxicity and induced cell apoptosis of human BEAS-2B [\(Chiu](#page-8-28) [et al.,](#page-8-28) [2015\)](#page-8-28), Calu-3 [\(Paget et al.,](#page-9-25) [2015\)](#page-9-25), Caco-2 [\(Walczak et al.,](#page-10-11) [2015;](#page-10-11) [Busch et al.,](#page-8-26) [2020\)](#page-8-26), HT29-MTX-E12 [\(Inkielewicz-Stepniak](#page-8-29) [et al.,](#page-8-29) [2018\)](#page-8-29), THP-1 cell lines [\(Fuchs et al.,](#page-8-30) [2016;](#page-8-30) [Hesler et al.,](#page-8-27) [2019\)](#page-8-27), and human alveolar cells [\(Roshanzadeh et al.,](#page-9-28) [2020\)](#page-9-28). On

the contrary, bare PS NPs and PS-COOH NPs did not or were in a lesser extent.

TOXIC MECHANISM OF NANOPLASTICS WITH DIFFERENT FUNCTIONAL GROUP MODIFICATIONS

This manuscript summarizes the toxic effects of NPs with different functional groups in various organisms. Most studies focus on PS NPs, while research on other materials of NPs is lacking. The above mentioned studies show that NPs with different functional groups greatly impact on the toxicity of NPs, but most groups are concentrated in amino (−NH2) and carboxyl (−COOH) groups. Only a few studies have been performed on the toxic effects of other functional groups. The surface charge of NPs considerably contribute to the toxic mechanisms of NPs [\(Banerjee and Shelver,](#page-8-33) [2020;](#page-8-33) [Zhao et al.,](#page-10-14) [2020\)](#page-10-14). Positively charged NPs (PS- $NH₂$) usually induce stronger toxicity than bare NPs and negatively charged NPs (PS-COOH, $PS-SO₃H$ NPs, and PS-COC).

In general, the stimulatory effect of exogenous particles causes granulocytosis to generate ROS in organisms [\(Qin et al.,](#page-9-30) [2021\)](#page-9-30). Significant decreases in the cell viability and the changed membrane integrity due to the generation of ROS and other cellular parameters are common toxic mechanisms in the microorganisms, algae, plants, animals, and mammalian/human cells in vitro we reviewed above. Positively charged NPs are more likely to interact with the cell membranes due to the negative charges of cell surfaces and cell walls; thus, they will generate more ROS [\(Sun X. D. et al.,](#page-10-5) [2020\)](#page-10-5), which will result in more effects on oxidative stresses, changes in membrane permeability, and destruction of cell function; they even induce cell apoptosis [\(Pan et al.,](#page-9-26) [2016;](#page-9-26) [Ning et al.,](#page-9-4) [2021\)](#page-9-4).

Nevertheless, the differences of toxicity mechanisms affected by charged groups of NPs still exist among different species. For

microorganisms, the toxic mechanism is limited to the membrane disruption via the generated ROS [\(Sun et al.,](#page-10-4) [2018\)](#page-10-4), because larger particles cannot be internalized. Algae and plant cells are affected mainly by the adsorption of NPs to the surface through disrupted/damaged membrane, aquaporins, and cell pores, and further cause ROS generation and sequentially damage to the photosynthesis system [\(Sun H. et al.,](#page-9-13) [2021\)](#page-9-13). As for animal/human cells, the cellular processes of NPs internalized into lysosomesis are also related to their different surface charges [\(Fröhlich et al.,](#page-8-31) [2012;](#page-8-31) [Raghnaill et al.,](#page-9-27) [2014\)](#page-9-27). Negative NPs can escape from lysosomes and interact with cellular components to trigger cellular stress [\(Wang et al.,](#page-10-12) [2013;](#page-10-12) [Marques-Santos](#page-9-22) [et al.,](#page-9-22) [2018;](#page-9-22) [Matthews et al.,](#page-9-31) [2021\)](#page-9-31), whereas Positive NPs destabilize lysosomes and initiate a cascade of cellular damage via ROS generation due to the proton sponge hypothesis [\(Nel](#page-9-32) [et al.,](#page-9-32) [2009\)](#page-9-32). Thus, the cellular process of NPs in animals and human beings might be more complicatedly affected by their charged groups.

The eco-corona on the surface of NPs will be stimulated through coating different components of natural organic matters (NOMs; [Grassi et al.,](#page-8-13) [2020\)](#page-8-13). The eco-corona formation enhances the aggregation of the NPs and accompanied with the decreased effective surface area, which will reduce the toxic impact of NPs [\(Bergami et al.,](#page-8-12) [2017\)](#page-8-12). The formation of the eco-corona is also influenced by the surface charge of NPs [\(Saavedra](#page-9-15) [et al.,](#page-9-15) [2019\)](#page-9-15). Negatively charged NPs are more effective to form the eco-corona in the presence of EPS than positively charged NPs, which helps them in more significantly lessening the oxidative stress and cytotoxic impact on biological cells [\(Natarajan et al.,](#page-9-11) [2020,](#page-9-11) [2021\)](#page-9-12). However, the characteristics of the surrounding environment will significantly influence the biological effects of eco-corona on NPs. For example, PS-NH² NPs are usually better dispersed than PS-COOH NPs in nature seawater [\(Della Torre et al.,](#page-8-22) [2014;](#page-8-22) [Bergami et al.,](#page-8-23) [2019\)](#page-8-23). Yet the abundance and composition of NOMs vary significantly across different seawater bodies. Humic acids (HA), one of the main compositions of NOMs in seawater, was found to stabilize negatively charged NPs due to electrostatic repulsion between negative charges and steric effect, whereas it induced PS-NH₂ NPs to agglomerate [\(Wu et al.,](#page-10-15) [2019\)](#page-10-15). Thus, the controversial joint effects might be obtained in marine organisms in some cases [\(González-Fernández et al.,](#page-8-4) [2018;](#page-8-4) [Bergami et al.,](#page-8-23) [2019\)](#page-8-23).

In addition, the types and charges of surface chemical modification will affect the formation of the protein corona on NPs [\(Ji et al.,](#page-9-23) [2020\)](#page-9-23). The protein corona might be formed on the surface of NPs when they enter the physiological environment [\(Li et al.,](#page-9-33) [2020\)](#page-9-33). The formation of the protein corona in the serum is considered as a general protective effect from the potential cytotoxicity of NPs [\(Coglitore et al.,](#page-8-34) [2019\)](#page-8-34), because they reduce NP surface energy by non-specific adsorption, which leads to the lowered membrane adhesion and uptake efficiency [\(Lesniak et al.,](#page-9-34) [2013\)](#page-9-34). Positively charged NPs usually adsorb more plasma proteins than negatively charged NPs, which cause more opportunities for them to form the protein corona [\(Liu et al.,](#page-9-35) [2019\)](#page-9-35). Thus, positively charged NPs are hypothesized to weaken their toxicity more than negatively charged NPs. However,

the formation of a PS-NH2-protein corona in hemolymph serum (HS) increased the short term cellular damage and ROS production of PS-NH² toward immunocytes [\(Canesi et al.,](#page-8-19) [2016\)](#page-8-19), because NP-protein complexes were hypothesized to function as recognizable molecular patterns to be cleared by phagocytic cells [\(Hayashi et al.,](#page-8-35) [2013\)](#page-8-35). In addition, the enhanced formation of the protein corona on positively charged NPs will promote their "Trojan-horse effect" on other pollutants [\(Matthews et al.,](#page-9-31) [2021\)](#page-9-31). The studies on the biological effects of NPs with the protein corona are still in the initial stage. Most of recent results obtained were in vitro, which do not entirely reflect a realistic exposure scenario in vivo. More efforts should be contributed on the specific cell biological behavior of various NPs in the real environment, not limited to their effects on cell uptake efficiency and biocompatibility [\(Qin et al.,](#page-9-30) [2021\)](#page-9-30).

CONCLUSION AND RESEARCH PROSPECTS

In sum, amino-modified polystyrene nanoparticles (PS-NH2) usually induce stronger toxicity than modified NPs, due to their positively charge characteristics. Positively charged NPs are more likely to interact with the cell membranes and generate more ROS than negatively charged NPs, which is mainly due to the negative charges of cell surfaces and cell walls. Nevertheless, there are still some differences existed among different species in the toxicity mechanisms of NPs affected by charged groups. The biological effects of NPs with the eco-corona and protein corona also contribute a lot to their differentiate toxic mechanisms. The exact environmental distributions of these functional groupmodified NPs are unclear to date due to the limitations of quantitative detection. The mass balance of NPs between intake and excretion in organisms is also far from being established. Thus, the transmission of these modified NPs on organisms needs to be further researched. Reducing the inherent toxicity of NPs will be an urgent topic due to the substantial environmental problems they induced. The effect of NPs in the long-term exposure and in reality should also be explored.

AUTHOR CONTRIBUTIONS

HZ: data curation and writing—original draft preparation. YW and HC: data curation. YS and WC: data collection. ZD: supervision, writing, reviewing, editing, and resources. LQ: conceptualization, writing, reviewing, and editing. All authors contributed to the article and approved the submitted version.

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