



# Do Oil and Gas Lease Stipulations in the Northwestern Gulf of Mexico Need Expansion to Better Protect Vulnerable Coral Communities? How Low Relief Habitats Support High Coral Biodiversity

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The continental shelf of the northwestern Gulf of Mexico harbors extensive reefs and banks that support diverse coral reefs and mesophotic communities. Mesophotic communities range in depth from 40 to 200 m and, in this region, foster some of the densest coral forests [aggregations of mesophotic octocoral, antipatharian, and branching stony coral communities] reported in published literature ( $10.23 \pm 9.31$  col/m<sup>2</sup>). The geologic features underlying the exposed substrates that harbor mesophotic communities are targeted for extensive hydrocarbon exploration and extraction, as they often contain oil and/or natural gas. The Bureau of Ocean Energy Management regulates offshore energy development in the United States and is tasked with protecting sensitive biological communities from impacts related to oil and gas activities. This study analyzed alpha and beta diversity of mesophotic coral forests on fourteen topographic banks in the northwestern Gulf of Mexico. The objective of the study was to examine differences in structure and community in relation to lease stipulations established by the Bureau of Ocean and Energy Management. It was determined that dense and diverse mesophotic coral forests and carbonate producers exist in present regulatory zones that prohibit oil and gas activities; however, the coral communities exist in higher densities, diversity, and richness in low relief substrates outside of these regulatory zones. Our findings suggest low relief hard substrates serve as important habitat for mesophotic coral forests; thus, we suggest the expansion of current stipulations should be considered to provide better protection to vulnerable coral communities on low relief features. Furthermore, additional studies to refine the relationship between low relief structures and biodiversity are needed to develop more meaningful habitat definitions to support resource management and improve resource protection in the future.

**Keywords:** mesophotic coral, potentially sensitive biological features, oil and gas, coral forest, no activity zone, Gulf of Mexico, lease stipulations, conservation policy

## INTRODUCTION

In the Gulf of Mexico (GOM), mesophotic communities occur on many hard substrates between 40 and 200 m depth (Semmler et al., 2016). In these assemblages, corals and sponges contribute three-dimensional structural complexity and promote ecosystem development (Roberts et al., 2009; Hogg et al., 2010; Hourigan et al., 2017; Rossi et al., 2017). Similar to structurally complex terrestrial ecosystems, these mesophotic coral forests provide refuge, resources and substrate for a multitude of other organisms (Rossi et al., 2017), and create hotspots of biological diversity in deep water environments (Hourigan et al., 2017; Chimienti et al., 2019, 2020).

The continental shelf of the northwestern GOM contains scattered reef and bank features that encompass a wide variety of habitats from 15 to 200 m depth, including coral reefs and mesophotic communities. Above 50 m, light dependent scleractinian corals dominate the cnidarian community, including several threatened species of *Orbicella*. Below 70 m is predominantly composed of antipatharians [protected against international trade by the Convention on International Trade in Endangered Species (CITES)], octocorals, and few branching corals (Hickerson and Schmahl, 2005; Schmahl et al., 2008). These communities are considered vulnerable due to their susceptibility to bottom disturbing activities, including fishing, dredging, and hydrocarbon exploration, production, and decommissioning (Gass and Roberts, 2006; Lumsden et al., 2007; Reed et al., 2007; Heifetz et al., 2009; Yoklavich et al., 2018; Chimienti et al., 2019). Their life history traits, including slow growth rates, late maturity, low recruitment, and long life spans (Fossa et al., 2002; Hall-Spencer et al., 2002; Mortensen and Buhl-Mortensen, 2004; Bo, 2008; Roark et al., 2009; Clark et al., 2016), make them unlikely to recover quickly from detrimental impacts (Roark et al., 2009; Sherwood and Edinger, 2009; Clark et al., 2010; Prouty et al., 2011). Activities that cause physical damage, including bottom fishing (with trawling regarded as the most damaging), anchoring, and underwater construction, can reduce the three-dimensional structure created by the corals into rubble fields (Hall-Spencer et al., 2002; Clark and Koslow, 2007; Clark et al., 2010; Cordes et al., 2016), further impacting other communities, such as fish, that utilize the habitat created by the coral forest. Sedimentation from dredging, construction, and drilling can cause smothering or burial of coral polyps and shade light dependent corals, and depending on the duration of the event and the sensitivity of the corals impacted, can result in tissue necrosis and promote disease and infection (Brooke et al., 2009; Trannum et al., 2011; Erftemeijer et al., 2012; Cordes et al., 2016; Jones et al., 2019). Accidental discharges, spills, and dredging can introduce contaminants and pollutants into the water column that, depending on the specific chemicals and duration of the event, can cause patchy tissue death and non-acute general declines in coral condition (Esslemont et al., 2004; Erftemeijer et al., 2012; White et al., 2012; Hsing et al., 2013; Girard and Fisher, 2018).

The shallow hermatypic coral reefs and coral communities of the Flower Garden and Stetson Banks have been extensively studied (Nuttall et al., 2020; Johnston et al., 2021), were

recognized as features of national significance, and were protected by the National Oceanic and Atmospheric Administration (NOAA) as Flower Garden Banks National Marine Sanctuary (FGBNMS) in 1992 and 1996, respectively. Exploration and characterization of the deeper communities (>40 m) in this region has increased over the past two decades, with modeling studies suggesting that the northern GOM has 20 times more potential habitat from 40 to 150 m than the United States Caribbean and Hawaii combined. This represents the most extensive potential habitat in this depth range in United States waters (Locker and Hine, 2020). In 2021, FGBNMS expanded, adding an additional 14 primarily mesophotic reefs and banks (15 CFR Part 922 – Subpart L, 2021), selecting boundaries that aligned with areas historically identified by regulators charged with protection of sensitive assemblages from activities on oil and gas leases (NTL No. 2009-G39, 2009).

Approximately 17% of total United States crude oil and 5% of domestic gas are produced offshore in the GOM (EIA, 2021). The surface expressions of underlying salt domes targeted for hydrocarbon extraction serve as the primary substratum for mesophotic coral communities in the northwestern GOM (Sammarco et al., 2016a). This geologic tie to vulnerable organisms raises the concern for their preservation. The protection of sensitive biological communities within the United States Exclusive Economic Zone (EEZ), where these features occur, are under the jurisdiction of the Department of the Interior, Bureau of Ocean Energy Management (BOEM). Since 1973, BOEM has taken a precautionary approach in the northwestern GOM to protect biological communities in water depths less than 300 m through stipulations that require either avoidance or other restrictions on allowable activities. The most recent stipulations are detailed in a Notice to Lessees (NTL No. 2009-G39, 2009) and are based on biological characterizations from the 1980s (Bright and Rezak, 1978; Rezak and Bright, 1981; Rezak et al., 1985). The crest of topographic features that provide hard-bottom habitats and support high biomass and diversity of animal and plant communities are protected through No Activity Zones (NAZs) designated using depth contours (either at the 55 or 85 m isobath). The NAZ prohibits bottom-disturbing activities and has associated buffer zones that restrict the release of drilling wastes. The NTL classifies medium to high relief features ( $\geq 2.44$  m) outside of NAZs, which provide habitat for the growth of benthic invertebrates and attract large numbers of fish, as Potentially Sensitive Biological Features (PSBF). Bottom-disturbing activities are also prohibited in these areas. However, these features are not delimited and instead are identified on a case by case basis through environmental assessment. The remaining habitat that falls outside of the NAZ and PSBF definitions in the NTL are reviewed by BOEM during environmental assessments but are not subject to the protective stipulations. Studies indicate that the remaining and undiscovered hydrocarbon reserves, of which 80 – 85% reside below 120 m, will continue producing for many decades (Kaiser and Narra, 2019; Locker and Hine, 2020) and, therefore, evaluation and updates of these mitigation strategies are needed to ensure best management practices into the future.

No Activity Zones (NAZs) and PSBFs were developed based on the best available information in the 1980's and have successfully provided significant protection to sensitive communities from oil and gas activities. However, since their designation, extensive mapping, exploration, and characterization has occurred along the continental shelf in the northwestern GOM. In recognition of this, BOEM initiated collaboration with NOAA to determine whether current NAZs and PSBFs are sufficient to protect the full range of sensitive biological communities or if updated classifications are needed to achieve management goals. This study combines previously examined BOEM datasets (Sammarco et al., 2016a,b,c) with additional NOAA data to investigate the biodiversity of vulnerable mesophotic corals in the northwestern GOM. These robust datasets were used to compare coral biodiversity and community structure in current NAZs and PSBFs with lower relief areas, examine how location and multibeam derived variables effect coral biodiversity, and provide recommendations on ways to improve resource protection and management.

## MATERIALS AND METHODS

### Study Location

This study focused on the northwestern GOM, generally recognized as the area from the Texas-Mexico border to the state line between Louisiana and Mississippi, in the United States (Figure 1A). The analysis targeted 14 banks subject to the existing "Topographic Features" lease stipulations, including: Sonnier, 29 Fathom, Alderdice, Bouma, Rezak, McGrail, Parker, Sidner, Rankin, 28 Fathom, Bright, Horseshoe, Elvers, and Geyer Banks (Figure 1B). With the exception of 29 Fathom Bank, these areas are also of particular interest given their recent inclusion into FGBNMS (15 CFR Part 922 – Subpart L, 2021).

### Remotely Operated Vehicle Surveys

From 2011 to 2013, remotely operated vehicle (ROV) surveys were conducted on selected hard substrates outside of BOEM designated NAZs associated with the 14 targeted banks. Habitats examined included PSBFs and other low relief hard substrates. The Deep Ocean Engineering Phantom S-2 ROV, owned and operated by University of North Carolina at Wilmington Undersea Vehicle Program (UNCW-UVP), was equipped with a downward facing Insite-Tritech Scorpio Plus digital still camera with strobes, dual scale lasers set at 10 cm, an ORE Offshore 4410C Trackpoint II underwater acoustic tracking system with an ORE Offshore 4377A transponder with depth telemetry and altimeter, and a Northstar 951XD differential GPS.

From 2016 to 2018, additional ROV surveys were conducted on sites of interest to FGBNMS for expansion. This included habitats within NAZs, PSBFs, other low relief hard substrates, and soft substrates. The SubAtlantic MOHAWK 18 ROV (owned by National Marine Sanctuary Foundation, and operated by UNCW-UVP) was equipped with a forward facing Kongsberg Maritime OE14-408 10 mp digital still camera, Insite Pacific Mini Zeus II HD, OE11-442 strobe, two Sidus SS501 50 mW green spot

lasers set at 10 cm in the still camera frame for scale, and an ORE transponder with ORE TrackPoint II.

### Transect Delineation/Determination

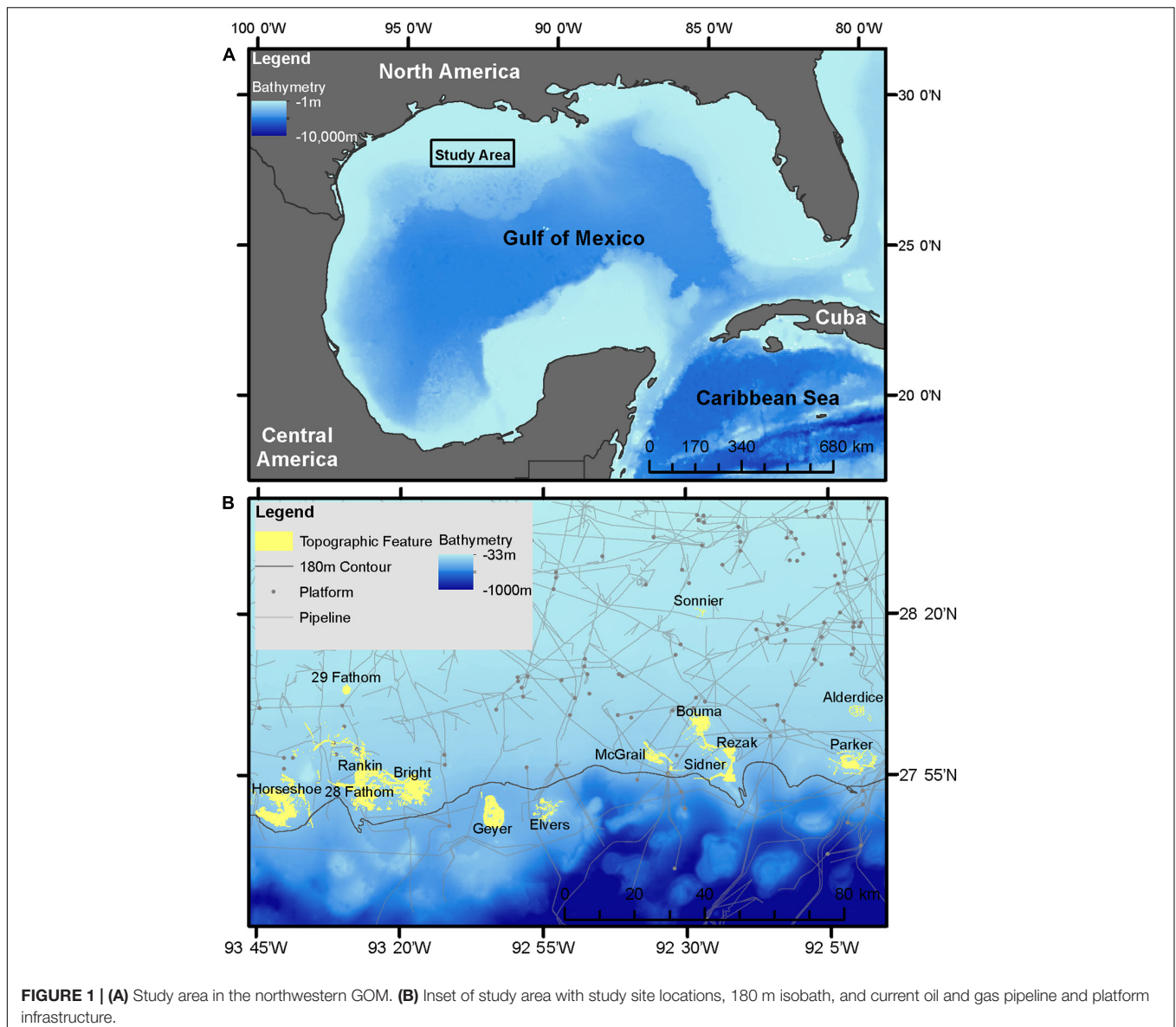
All transects were constrained within the "core biological zone" (CBZ) of each feature, developed by the Office of National Marine Sanctuaries in 2007 (ONMS, 2016; Figure 1). This polygon represents an aggregation of important biological and geological features associated with each bank, identified through visual interpretation of seafloor topography and previous scuba and submersible investigations demonstrating the presence of high-diversity coral reefs, coralline algal reefs and deep coral. Within the CBZ, habitats were further characterized into four groups; NAZ, PSBF, low relief rock substrate (LRRS), and flat substrate (FS), based on 4 m<sup>2</sup> resolution multibeam bathymetric data. NAZs were identified for each bank by drawing a polygon along the isobath designated in NTL No. 2009-G39 (either 55 or 85 m). The remaining habitats were identified based on local relief. Local relief was calculated from bathymetric data using a focal window to calculate the depth range within a 2 × 2 grid cell window using ESRI's ArcGIS. The resulting grid was converted to polygons where PSBFs were > 2.44 m relief, LRRSs were between 0.33 and 2.44 m relief, and FSs were < 0.33 m.

The objective of the 2011 to 2013 transects was to characterize biological communities associated with PSBFs inside the CBZ and outside NAZs (Sammarco, 2016). Ten dive sites were randomly generated at each bank within PSBFs and LRRSs, proportionally distributed by area in each habitat and with a minimum distance of 100 m between sites. Due to the objectives of the study, NAZs and soft substrates were excluded from site selections. Dive sites represented the geographic point at which the ROV made contact with the bottom to conduct transects (Figure 2). The ROV maintained a distance of 1 – 1.5 m above the bottom and traveled at 0.5 knots while completing five, non-overlapping, 10-min transects at each dive site. Transects were conducted along hard substrate and extensive soft substrate areas were avoided to align with the study objectives. On each transect, downward facing digital still images were taken every 30 s.

The objective of the transects conducted in 2016 – 2018 was to characterize previously unexplored areas, or interesting features, within the CBZ. At each dive site, one transect was conducted for each habitat encountered, based on the six habitats [coral reefs, coral communities, algal nodules (rhodolith substrate), coralline algae reefs, deep coral reefs, and soft bottom] described by Schmahl et al. (2008) (Table 1). Transects were 5 min in length, corresponding to a survey distance of approximately 100 m. The ROV traveled at 0.5 knots at an altitude of approximately 1 m off the bottom. Video and still cameras, maintaining a wide and fixed frame, were angled 45° toward the substrate, and high definition (HD) video was collected for the duration of the transect along with photographs every 30 s.

### Image Analysis

From 2011 to 2013, images that consisted of > 50% soft bottom or shadow, or were out of focus or silted, were removed from analysis. Nine to 11 images were randomly selected from each transect for analysis, where counts of octocoral, antipatharian,



stony, and soft coral species were recorded to family level. The area of each image was calculated using scale lasers and summed over the transect to obtain transect area.

In 2016, HD video was analyzed, where the entire 5-min survey was processed for colony counts. Corals were identified to family level and counted. Mean area was estimated by reviewing still frames and calculating mean field of view using scale lasers from all transects (0.86 m). This mean field of view was then multiplied over the approximately 100 m transect, resulting in a transect area of 86.2 m<sup>2</sup> for each transect in 2016.

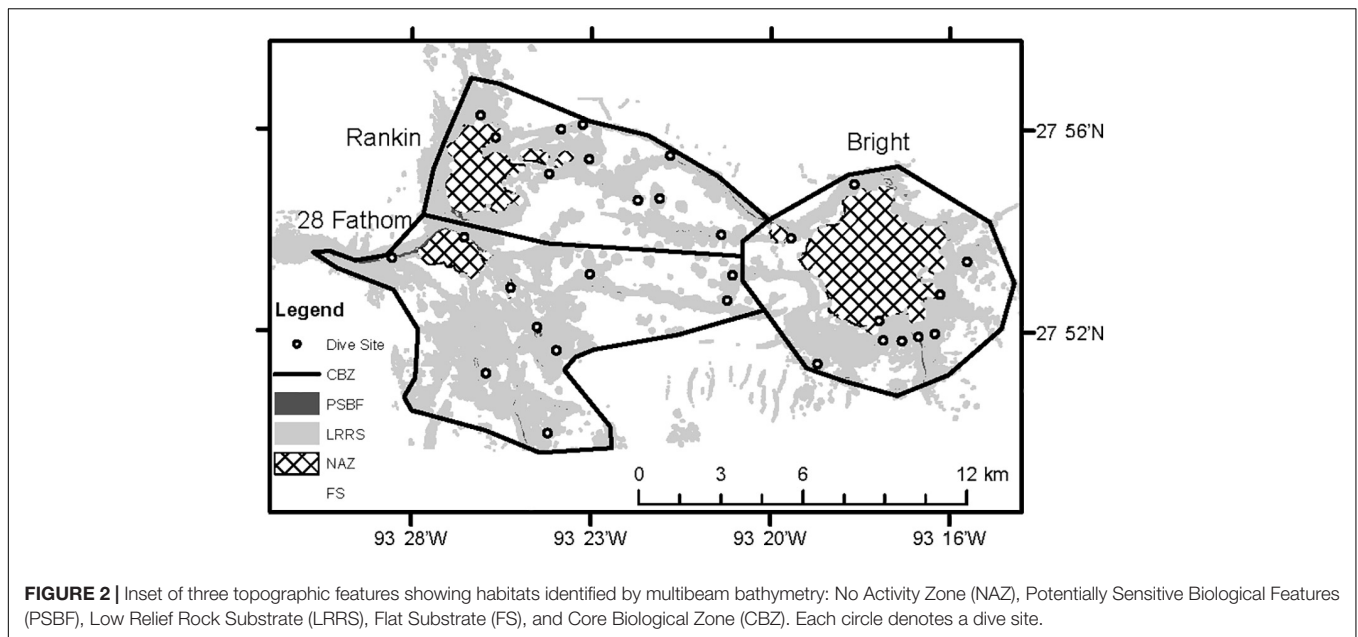
In 2017 and 2018, still images were analyzed. Images that consisted of >50% water column (i.e., off bottom images), or were out of focus or silted, were removed from the analysis. Fifteen to 20 images were randomly selected for colony count analysis. Corals were identified to the family level and counted. Area measurements were estimated each year by averaging the

field of view of two randomly selected images from each transect, resulting in a transect area of 2.6 m<sup>2</sup> and 6.5 m<sup>2</sup> in 2017 and 2018, respectively.

For all datasets, colony counts were summed across the transect and converted to density (col/m<sup>2</sup>) by dividing the transect colony count by the transect area. The predominant habitat for each survey was identified using the local scheme described by Schmahl et al. (2008) (Table 1), which included coral community (CC), deep reef (DR), coralline algae reef (CAR), rhodolith substrate (RS), and soft substrate (SS). Site summaries are presented in Table 2.

## Location and Multibeam Derived Variables

These variables were obtained for the start location of transects in all datasets using the ROV's onboard tracking system. Location



**TABLE 1 |** Description of habitats.

Habitat	Depth range (m)	Description
Coral reef	16–52	Actively accreting hermatypic coral assemblages; coral sand (coral debris interspersed with molluscan and algal components); bank or patch reefs
Coral community	16–52	Contain hermatypic coral species at low densities; characterized by other coral reef associated species (e.g., <i>Millepora</i> , sponge, macroalgae); environmental factors constrain the full development of reef building species
Coralline algal reef	45–98	Coralline Algae Reef Crustose coralline algae that actively produce carbonate substrate in the form of rocky outcrops where coralline algal crusts cover a substantial percentage of the hard substrate; hermatypic coral species can occur; rocky outcrops can provide habitat to <i>Antipatharia</i> , <i>Alcyonacea</i> , and sponges
		Algal Nodules (Rhodolith Substrate) Crustose coralline algae that actively produce carbonate substrate in the form of algal nodules or rhodoliths; leafy algae and sponges are abundant
Deep coral	50–200+	Depth zone that does not support active photosynthesis; solitary corals and deepwater branching corals (e.g., <i>Madrepora</i> , <i>Oculina</i> ) can be found; diverse assemblages of <i>Antipatharia</i> , <i>Alcyonacea</i> , crinoids, Bryozoans, sponges, azooxanthellate branching corals, and small, solitary hard corals; high sediment resuspension and turbid water
Soft bottom	16–200+	Sand, mud bottom; may contain quartz and molluscan hash

Source: Schmahl et al. (2008).

was recorded as latitude and longitude in decimal degrees (DD). Due to the east-west orientation of the coastline in this region, latitude is closely related to distance from shore (Figure 1A). Depth was extracted from 4 m<sup>2</sup> resolution multibeam bathymetry and depth derived habitat complexity variables (slope and local relief) were calculated using spatial analyst and zonal statistics in ESRI's ArcGIS. Transects were classified into four *a priori* categories based on location (designated NAZ) or local relief (PSBF, LRRS, or FS). Coherent curves were used to examine covariance between latitude, longitude, depth, slope, and local relief using a Type III similarity profile permutation test on Pearson correlations of normalized environmental variables to define clusters of coherent variables which were statistically indistinguishable (Sommerfield and Clarke, 2013). Variables found to have a significant effect on coral biodiversity were presented as bivariate scatter plots against  $\alpha$ -diversity statistics, with a locally weighted scatterplot smoothing line (LOWESS). Coherent curves

were generated in PRIMER version 7 (Clarke and Gorley, 2015) and scatterplots and smoothed lines were generated using the “PerformanceAnalytics” library (Peterson et al., 2020) in the R statistical package (R Core Team, 2018).

## Coral Diversity and Community Structure Measures

Both alpha ( $\alpha$ ) and beta ( $\beta$ ) diversity measures were calculated to assess multidimensional patterns in biodiversity. Alpha-diversity quantifies diversity at each sample site while  $\beta$ -diversity describes the variation in community structure. For this study,  $\alpha$ -diversity was quantified by four indices: family richness, Shannon-Wiener diversity ( $H'$ ), Pielou evenness ( $J'$ ), and total density. Beta-diversity was calculated following Anderson et al. (2006), where  $\beta$ -diversity represented the variation in community composition in multivariate space. This was calculated using

**TABLE 2** | Site summary information.

Site	Lat. (DD)	Long. (DD)	NAZ isobath (m)	Number of transects 2011–2013	Depth surveyed (m) 2011–2013	Number of transects 2016–2018	Depth surveyed (m) 2016–2018
Sonnier	28.338	−92.462	55	40	55–62	4	25–54
29 Fathom	28.139	−92.491	64	7	61–64	–	–
Alderdice	28.084	−92.003	80	40	81–91	10	68–95
Bourma	28.058	−92.454	85	45	86–115	16	60–92
Rezak	27.969	−92.374	85	48	85–116	19	67–125
McGrail	27.966	−92.602	85	48	89–140	15	57–128
Parker	27.945	−92.028	85	40	85–114	33	64–131
Sidner	27.928	−92.376	85	50	86–153	14	65–142
Rankin	27.913	−93.45	85	45	90–109	–	–
28 Fathom	27.898	−93.453	85	46	84–146	2	110–115
Bright	27.892	−93.295	85	48	86–133	4	90–102
Horseshoe	27.833	−93.687	–	28	103–145	–	–
Elvers	27.827	−92.9	85	46	77–180	28	71–209
Geyer	27.821	−93.061	85	49	86–148	5	62–156

Location, depth range surveyed, NAZ isobath exclusion, and sample numbers are reported. Sites are listed in rank latitude.

distance-to-centroid as defined by the Jaccard index similarity on presence/absence transformed family density data.

## Statistical Analysis

Independently,  $\alpha$ -diversity measures provide limited information about a community, but when combined in multivariate space, can provide a more powerful understanding of community diversity. Therefore, permutational multivariate analysis of variance (PERMANOVA; Anderson, 2017) using a multivariate similarity matrix was used to examine  $\alpha$ -diversity. Rank similarity in  $\alpha$ -diversity was determined using a Bray-Curtis distance matrix and examined for significant differences between categories (NAZ, PSBF, LRRS, FS) and effects of habitat complexity measures (depth, slope, and local relief) and location (latitude and longitude) using PERMANOVA. To reduce the influence of numerically dominant families and improve normal distribution, density was square root transformed and local relief was fourth root transformed to improve the normal distribution of the data. Between-group dissimilarities were calculated with similarity percentages (SIMPER; Clarke, 1993; Clarke et al., 2014), using Bray-Curtis similarity index and transformations, to examine how  $\alpha$ -diversity measures contributed to significant differences. Significant differences in  $\beta$ -diversity between categories were tested with permutational analysis of multivariate dispersions (PERMDISP; Anderson et al., 2008). Statistical significance was determined by a threshold of  $p < 0.05$ . PERMANOVA, SIMPER, and PERMDISP were performed in PRIMER version 7 (Clarke and Gorley, 2015).

Multivariate regression trees (MRTs) are statistical descriptions of relationships between multispecies data and environmental characteristics. MRTs split multivariate data into hierarchical clusters based on explanatory variables, where each step minimizes dissimilarity within the cluster (De'ath, 2002). Each cluster represents a biodiversity assemblage where environmental values define the association (De'ath,

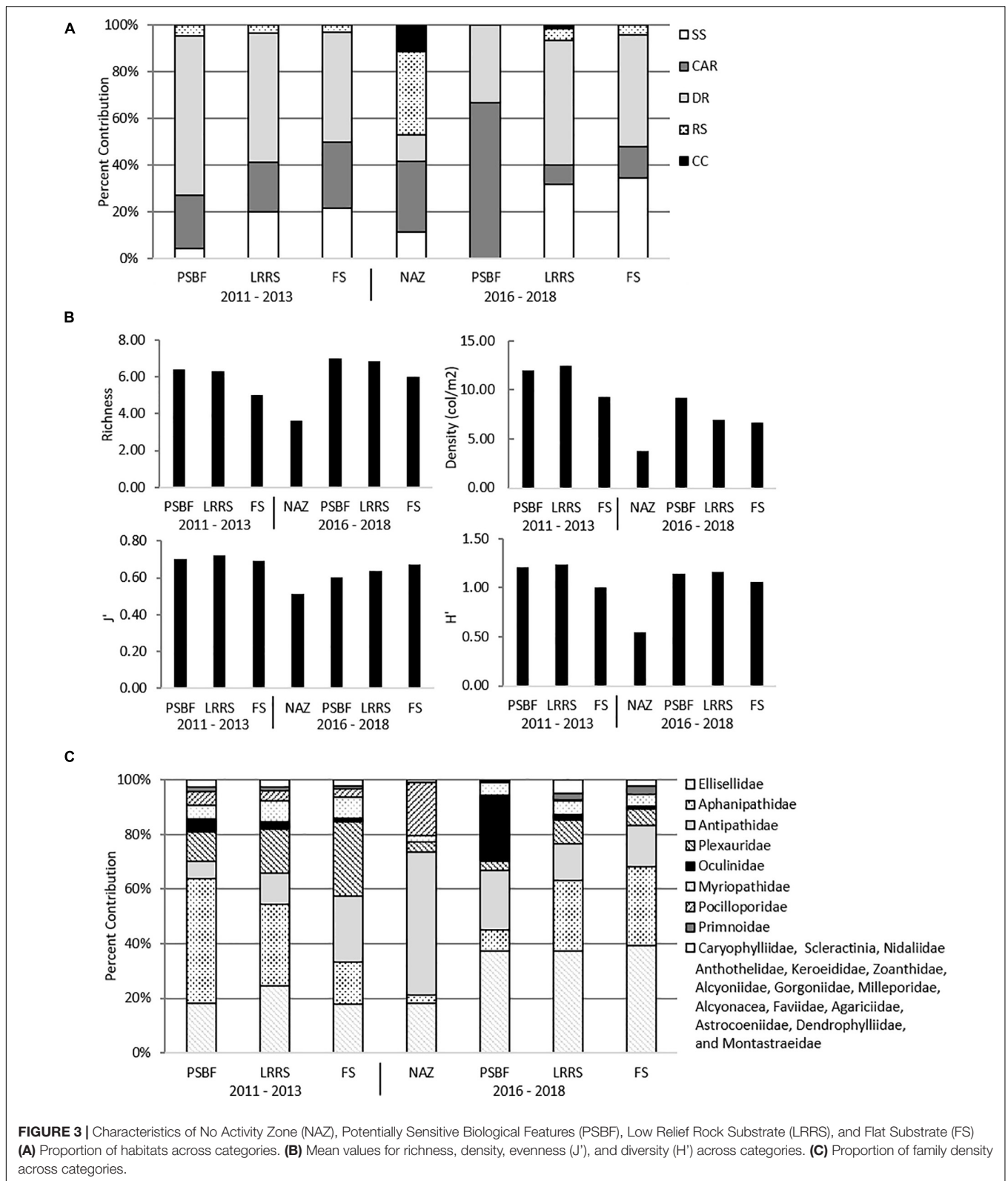
2002). This sequential testing process enables tree-based models to handle variable collinearity well. Overall fit is measured by relative error. MRTs were used to examine the relationship between  $\alpha$ -diversity and habitat complexity variables (latitude, depth, and local relief) with a significant effect on coral biodiversity. Trees were developed with 100 iterations and the best tree, within one standard error (SE), was selected. MRT analysis was performed in R using the “mvpart” library (Therneau et al., 2014) in the R statistical package (R Core Team, 2018).

Due to the different study objectives (one excluding soft substrates and the other not), survey methods, area calculations, and image processing techniques, each dataset was analyzed independently in all calculations and multivariate analyses. The exclusion of soft substrates in 2011 – 2013 transects means these habitats are underrepresented in this dataset, potentially resulting in an inflated coral density in FS as all habitats were hard substrate. The survey methods and area calculations in 2011 – 2013 transects were more exact than 2016 – 2018 transects, where depth of field assumptions were made and mean area calculated from either a subset of assumed representative images or an assumed transect length of 100 m, increasing the uncertainty of density calculations in these transects.

## RESULTS

### Coral Diversity and Community Structure

Within the four categories (NAZ, PSBF, LRRS, FS) surveyed, five major habitats, as defined by Schmahl et al. (2008), were identified: CC, DR, CAR, RS, and SS. CC, DR, and CAR represent sedimentary rock substrates, RS is a biogenic substrate, and SS is unconsolidated substrate. Within NAZs, RS and CAR were the predominant habitats, while outside NAZs, CAR and DR dominated (**Figure 3A**). FS was primarily composed of DR and CAR rock pavements or rubble.



Among all datasets and categories, coral density ranged from 0 to 61.84 col/m<sup>2</sup> on all transects, with PSBFs and LRRSs possessing the highest mean density in both 2011 – 2013 (12.03 ± 2.15

SE and 12.44 ± 0.64 col/m<sup>2</sup>, respectively) and 2016 – 2018 datasets (9.24 ± 5.83 and 6.99 ± 1.54 col/m<sup>2</sup>, respectively). Similarly, across both datasets PSBFs and LRRSs also had the

**TABLE 3** | Alpha-diversity PERMANOVA results and  $\beta$ -diversity PERMDISP results.

Variable	Test	2011–2013							2016–2018						
		Source	df	SS	MS	Pseudo-F	P(perm)	perms	Source	df	SS	MS	Pseudo-F	P(perm)	perms
Alpha diversity	Main Test	<b>Local Relief</b>	<b>1</b>	<b>5007.3</b>	<b>5007.3</b>	<b>14.536</b>	<b>&lt; 0.001</b>	<b>9942</b>	<b>Local Relief</b>	<b>1</b>	<b>2796.3</b>	<b>2796.3</b>	<b>6.4633</b>	<b>0.004</b>	<b>9947</b>
		Slope	1	474.64	474.64	1.3779	0.233	9950	Slope	1	483.23	483.23	1.1169	0.31	9946
		<b>Depth</b>	<b>1</b>	<b>22799</b>	<b>22799</b>	<b>66.186</b>	<b>&lt; 0.001</b>	<b>9951</b>	<b>Depth</b>	<b>1</b>	<b>7913</b>	<b>7913</b>	<b>18.29</b>	<b>&lt; 0.001</b>	<b>9947</b>
		<b>Latitude</b>	<b>1</b>	<b>3500.1</b>	<b>3500.1</b>	<b>10.161</b>	<b>&lt; 0.001</b>	<b>9958</b>	<b>Latitude</b>	<b>1</b>	<b>1556.5</b>	<b>1556.5</b>	<b>3.5977</b>	<b>0.032</b>	<b>9948</b>
		Longitude	1	921.32	921.32	2.6746	0.077	9952	Longitude	1	930.38	930.38	2.1505	0.111	9958
		<b>Relief Category</b>	<b>2</b>	<b>2308.3</b>	<b>1154.2</b>	<b>3.3505</b>	<b>0.017</b>	<b>9953</b>	<b>Relief Category</b>	<b>3</b>	<b>5704.2</b>	<b>1901.4</b>	<b>4.3949</b>	<b>0.001</b>	<b>9943</b>
		Residuals	572	1.97E + 05	344.47				Residuals	132	57108	432.64			
		Total	579	2.32E + 05					Total	140	76492				
		Pairwise Tests	Groups	t	P(perm)	perms			Groups	t	P(perm)	perms			
			LRRS, FS	1.617	0.084	9955			<b>NAZ, LRF</b>	<b>3.5083</b>	<b>&lt; 0.001</b>	<b>9955</b>			
	LRRS, PSBF	0.69454	0.596	9950			NAZ, FS	1.2078	0.217	9953					
	<b>FS, PSBF</b>	<b>2.6041</b>	<b>0.003</b>	<b>9949</b>			NAZ, PSBF	0.55035	0.787	9950					
							LRRS, FS	0.92648	0.414	9945					
							LRRS, PSBF	0.50806	0.807	9948					
							FS, PSBF	0.89398	0.445	9942					
Beta diversity	Main Test	<b>F</b>	<b>P(perm)</b>				<b>F</b>	<b>P(perm)</b>							
		<b>10.616</b>	<b>0.0005</b>				<b>4.7518</b>	<b>0.0174</b>							
Pairwise Tests	Groups	t	P(perm)				Groups	t	P(perm)						
	<b>(LRRS,FS)</b>	<b>4.4779</b>	<b>&lt; 0.001</b>				<b>(NAZ,LRRS)</b>	<b>3.4429</b>	<b>0.003</b>						
	(LRRS,PSBF)	0.55221	0.652				(NAZ,FS)	1.251	0.29						
	<b>(FS,PSBF)</b>	<b>2.7416</b>	<b>0.027</b>				(NAZ,PSBF)	2.2775	0.121						
							(LRRS,FS)	1.318	0.295						
							(LRRS,PSBF)	1.0446	0.536						
							(FS,PSBF)	1.5187	0.317						

*Bold indicates significant results.*



highest richness and diversity measures. NAZs, only documented in 2016 – 2018 transects, possessed the lowest mean density ( $3.75 \pm 0.52$  col/m<sup>2</sup>) and had the lowest richness and diversity in that dataset (**Figure 3B**). Across all datasets, a total of 25 families were observed, and included scleractinian corals, antipatharians, octocorals, and soft corals (**Supplementary Table 1**). Different coral families were found between categories, where Aphanipathidae and Ellisellidae dominated in PSBF and LRRS, Antipathidae in NAZs, and Plexauridae and Ellisellidae in FS (**Figure 3C**).

In both 2011 – 2013 and 2016 – 2018 transects, slope and local relief covaried (correlation = 0.51,  $p = 0.130$  and correlation = 0.59,  $p = 0.134$ , respectively) and depth and latitude covaried (correlation = 0.71,  $p = 0.138$  and correlation = 0.62,  $p = 0.140$ , respectively).

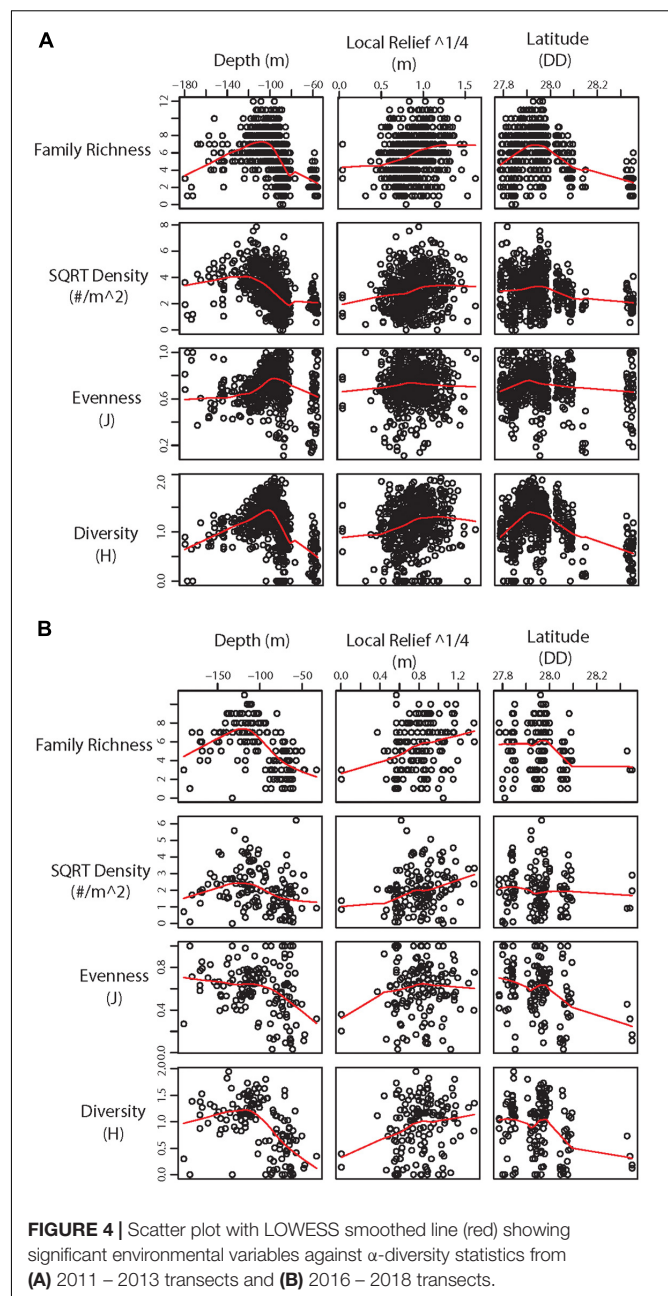
Statistical analysis of 2011 – 2013 transects found significant differences in  $\alpha$  diversity between categories and a significant effect of depth, local relief, and latitude (**Table 3**). While generally  $\alpha$ -diversity measures increased with increasing square root local relief and decreased with increasing latitude (closer to shore),  $\alpha$ -diversity measures were maximized at depths between 100 and 140 m (**Figure 4A**). Pairwise tests indicated  $\alpha$ -diversity was significantly different between PSBF and FS ( $t = 2.604$ ,  $p = 0.003$ ), where greater family richness and density in PSBF contributed >80% of the dissimilarity (**Table 3**). Further,  $\beta$ -diversity indicated FS had significantly greater community variation as compared to PSBFs ( $t = 2.741$ ,  $p = 0.027$ ; mean distance from centroid = 37.77 and 31.57, respectively) and LRRSs ( $t = 4.478$ ,  $p < 0.001$ ; mean distance from centroid = 37.420 and 33.058, respectively).

Similar to the 2011 – 2013 data, analysis of 2016 – 2018 transects found significant differences in  $\alpha$ -diversity between categories and significant effect of depth, local relief, and latitude (**Table 3**). Again,  $\alpha$ -diversity measures increased with increasing square root local relief and decreased with increasing latitude, while diversity measures were maximized at depths between 100 and 140 m (**Figure 4B**). Pairwise tests found significant differences between NAZ and LRRS ( $t = 3.508$ ,  $p < 0.001$ ), where greater family richness and density in LRRS contributed >80% of the dissimilarity (**Table 3**). Beta-diversity indicated NAZs had significantly greater community variation compared to LRRS ( $t = 3.443$ ,  $p = 0.003$ ; mean distance from centroid = 37.771 and 30.116, respectively).

In both datasets, NAZs and FSs had the greatest community variation but the lowest mean coral density and family richness, while PSBFs and LRRSs had the lowest community variation and the greatest mean coral density and family richness.

## Coral Community Relationship to Location and Multibeam Derived Variables

In MRT analyses, depth was the most significant variable in both datasets. In 2011 – 2013 transects, surveys were split into shallow (<93.69 m) and deep ( $\geq 93.69$  m), then further split by latitude. The shallower transects (<93.69 m) were split into mid shelf ( $\geq 28.08$  DD), shelf edge (< 28.08 DD,  $\geq 27.84$  DD), and slope (< 27.87 DD) regions. Deeper transects ( $\geq 93.69$  m) were

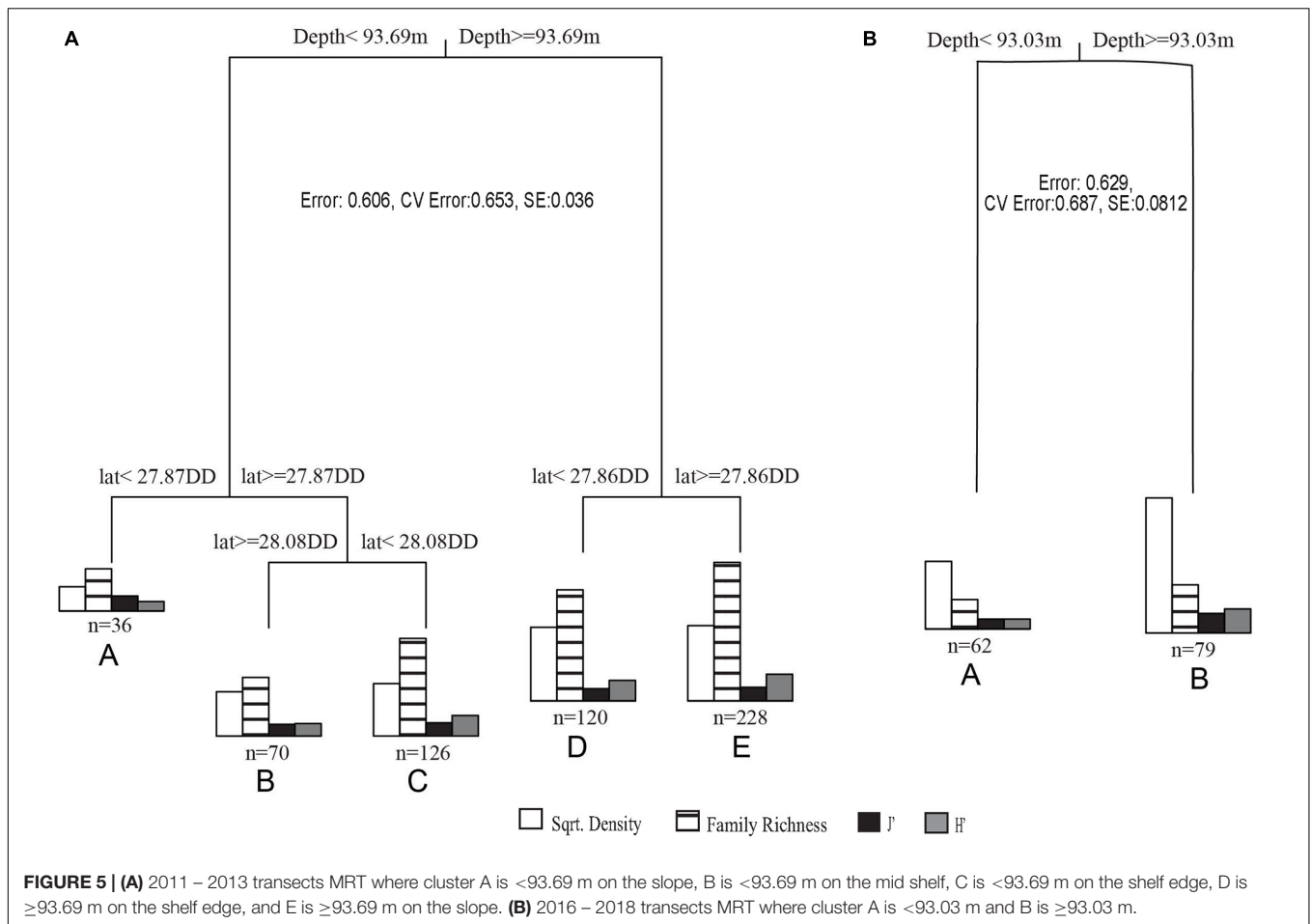


**FIGURE 4** | Scatter plot with LOWESS smoothed line (red) showing significant environmental variables against  $\alpha$ -diversity statistics from (A) 2011 – 2013 transects and (B) 2016 – 2018 transects.

separated into shelf edge ( $\geq 27.86$  DD) and slope (< 27.86 DD) regions (**Figures 5A, 6A**). Deeper surveys had greater richness, density, and diversity, but lower evenness, compared to shallower surveys. In 2016 – 2018 transects, depth was the only split, where greater coral richness, density, and diversity, but lower evenness, were found in deeper ( $\geq 93.03$  m) transects (**Figures 5B, 6B**).

## DISCUSSION

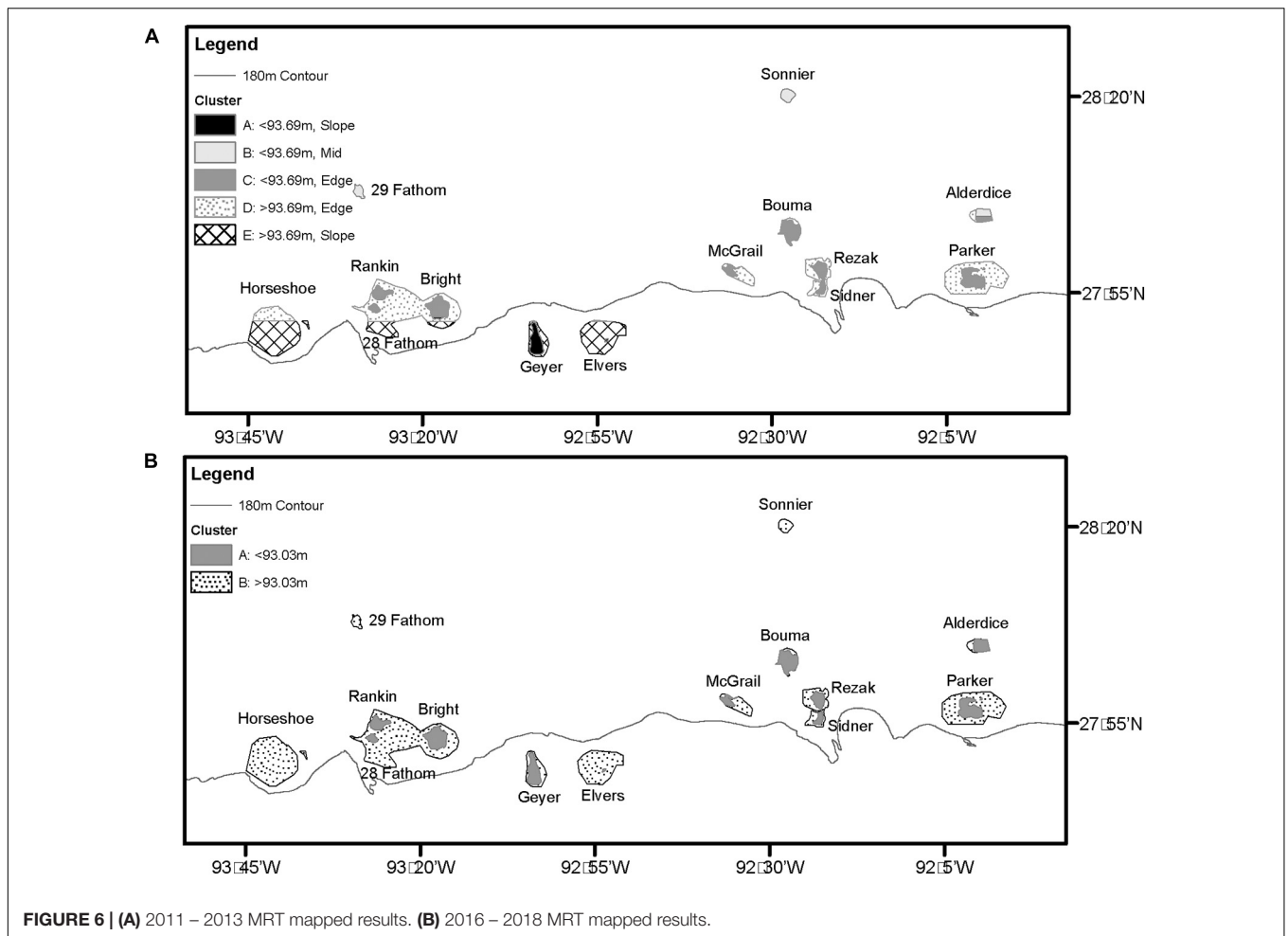
The reefs and banks along the continental shelf of the northwestern GOM provide extensive habitats that support vulnerable mesophotic coral forests in densities greater than



those documented to date in the Caribbean Sea (Slattery and Lesser, 2021), the North Atlantic Ocean (de Matos et al., 2014), and the Mediterranean Sea (Cau et al., 2015; Chimienti et al., 2020). However, most of the topographic features that support these communities represent surface expressions of underlying salt dome or diaper formations, whose geologic processes resulted in the formation of oil and natural gas deposits beneath the seafloor and, as a result, oil and gas exploration around these features has been extensive (Drew et al., 1982; Faucon, 2013). Routine activities associated with oil and gas exploration, production, and decommissioning can include, among others, anchoring, pile driving, platform placement and removal, explosive use, pipeline laying, and material disposal (Cordes et al., 2016). All of these can be detrimental to coral communities by causing sedimentation, contamination, and physical disturbance (Cordes et al., 2016). Therefore, BOEM has taken proactive steps to mitigate impacts through designated NAZ and PSBF (NTL No. 2009-G39, 2009) and accompanying lease stipulations. However, with the continued use and expansion of oil and gas infrastructure in the region, these mitigation strategies need periodic review to ensure management goals are being met.

We found that BOEM's currently designated NAZs supported a distinct mesophotic coral forest of moderate density and variable community composition. Further, NAZs were found to

be predominantly composed of rhodolith bed substrates. These are areas of active carbonate production and contributors to major ecosystem functions, including algal seed banks (Fredericq et al., 2019). They are also bioindicators for ocean acidification (Ragazzola et al., 2012) and they support a high biodiversity of reef fish (Moura et al., 2021), algae (Fredericq et al., 2019), and other invertebrates (Hinojosa-Arango and Riosmena-Rodríguez, 2004). Rhodolith beds are low relief, light dependent, communities and therefore contribute only a small proportion of the habitat within BOEM defined PSBFs, which were instead predominantly coralline algal reefs or deep reef substrates. PSBFs supported a greater density and diversity of mesophotic coral forests than NAZs, but were not found to support unique communities in terms of coral biodiversity and clustered with LRRSs. LRRSs, which are hard bottom features with less than 2.4 m of relief, are not currently identified as biologically sensitive underwater features in NTL No. 2009-G39. Both PSBFs and LRRSs supported the highest coral densities and diversities found in this study and community composition was the least variable. Despite these similarities, PSBFs and LRRSs supported different family assemblages, potentially due to the different proportion of habitat types encountered (Figure 3), where LRRSs had a greater proportion of interspersed soft substrate habitat than PSBFs. LRRSs were found to be similar in coral biodiversity and habitats



**FIGURE 6 | (A)** 2011 – 2013 MRT mapped results. **(B)** 2016 – 2018 MRT mapped results.

as FS. However, due to the data collection methods, FS habitats were primarily represented by rock pavements or rubble features, while soft substrate habitats were underrepresented.

Remotely sensed datasets are commonly used as a cost effective and efficient surrogate for *in situ* measurements of biodiversity (McArthur et al., 2010). While BOEM primarily uses remotely sensed high resolution geophysical and seismic survey data to identify PSBFs, as they have a demonstrated ability to predict the presence of deep-water habitats (Roberts et al., 2000; Roberts et al., 2010), these datasets are proprietary and difficult to access in a raw format. However, other seafloor datasets, such as bathymetric maps, are readily available, and several bathymetry derived products, such as depth, slope, and local relief have been successfully used as indicators of biodiversity (Sammarco et al., 2016c; Silva and MacDonald, 2017; Hu et al., 2020). Together, seafloor observations, remotely sensed data products, and multivariate modeling can provide guidance for resource managers for planning exploration or extraction activities. Depth, latitude (as a proxy for distance from shore in this region), and local relief were found to have a significant effect on mesophotic coral abundance and diversity.

Depth was found to have the greatest explanatory power for changes in mesophotic coral biodiversity in this study.

Richness, diversity, and density of mesophotic coral forests increased with depth, reaching a maximum around 100 m, and MRTs highlighted a significant hierarchical split at 93 m, where mesophotic coral forests in shallower water had lower overall density and biodiversity. This depth split is similarly classified by BOEM designated NAZs for shelf edge banks (85 m isobath), delineating the cluster of coral biodiversity unique to NAZs. It is also within this depth range (80 – 130 m) that the cross-over occurs from sunlit nutrient poor waters to nutrient rich waters, where light penetration is insufficient to facilitate biological carbonate production (Cullen, 1982; Sigman and Hain, 2012) and a significant change in the coral community from light dependent to heterotrophic species is expected in this depth zone. A greater proportion of reef-building pocilloporid corals and biogenic RS was found in NAZs, further supporting that current NAZs are protecting an area of actively accreting reef-building communities responsible for creating biogenic rock and where the greatest carbonate production is occurring. Below the depth ranges encompassed by NAZs, heterotrophic coral families predominate. While these communities are not actively creating biogenic rock substrates, their living skeletons create three-dimensional coral forests, providing structure and habitat for a multitude of organisms. Active management and protection

of these living communities is important to maintain their health and the health of the ecosystems they support.

Latitudinal location on the continental shelf was identified as a secondary contributor to changes in coral biodiversity. In this region, latitude directly corresponds with distance from shore (Figure 1A), where by as latitude decreases, distance from shore and depth increase and temperature and turbidity decrease. The changes in these physical parameters can drive changes in biodiversity (Rezak et al., 1985; Jordán-Garza et al., 2017) as tolerance varies amongst coral species and their degree of reliance on heterotrophy (Berkelmans and van Oppen, 2006; Grottole et al., 2006; Roberts et al., 2009). While latitude and depth variables covaried, the MRT model for 2011 – 2013 identified significant latitudinal splits in the data, a relationship long documented in the northwestern GOM. Neumann (1958) originally classified these features into three distinct groups: near shore (within 17 miles of the shore), mid shelf (45–75 miles offshore), and shelf edge (75 miles to shelf edge). MRT results identified mid shelf features occurred at  $\geq 28.08$  DD, corresponding to approximately 110 miles from shore. For shelf edge features, the split occurred between 28.08 DD and 27.87 DD, corresponding to approximately 110 to 130 miles from shore. Both these splits occurred a greater distance from shore than the classification made by Neumann in 1958, indicating that the mid shelf bank classification has a broader geographic footprint than previously thought. In addition, it is becoming apparent that features occurring beyond the continental shelf break [180 m isobath (Gore, 1992)] possess unique biological communities (Sammarco et al., 2016a) and coral biodiversity (this study) and should be considered as a fourth geographic group: slope features. MRT results identified this split at  $<27.87$  DD, corresponding to  $> 130$  miles from shore. Mid shelf features supported the lowest coral diversity and density while shelf edge features supported the greatest.

Similar to previous findings examining *in situ* relief by Sammarco et al. (2016b), biodiversity increased with increasing local relief. However, a significant split in local relief was not identified as an explanatory variable for changes in mesophotic coral biodiversity in the model. This may be due to a survey design that favored hard substrates (2011 – 2013 project) and features of interest within the CBZ (2016 – 2019 project) where coral density would have been higher than in flat, soft, sediments away from hard substrate or outside of the CBZ. In this study, FS areas were predominantly composed of hardbottom pavements and rubble, not soft substrates, and the observed similarities in  $\alpha$ -diversity of coral communities between FS and LRRS highlights that, while relief and biodiversity are positively correlated, flat hardbottom pavements and rubble fields provide potential habitat for mesophotic and deep-sea corals but require further examination. In order to draw conclusions about relief thresholds that characterize natural splits in mesophotic coral biodiversity, additional data needs to be collected to help define the relationship between flat habitats and coral biodiversity.

Extensive vulnerable mesophotic coral communities occur in association with each of the banks examined in this study and are the target of oil and gas exploration in the northwestern GOM due to their underlying salt diaper. As a

precautionary management strategy, BOEM developed NAZ and PSBF designations to protect sensitive biological communities, but these designations have not been formally re-evaluated since the 1980s. This study presents an extensive new dataset and found that currently designated NAZs continue to represent a distinct biological coral community that is sufficiently captured within the isobath definition implemented by BOEM for shelf edge and slope features. NAZs support unique and moderately dense coral communities, and extensive rhodolith bed substrates that play a critical role in the ocean carbon cycle. The recently expanded FGBNMS boundaries closely resemble the NAZs and therefore provide additional protections to these habitats. However, areas with greater coral density and diversity exist outside, but in close proximity, to NAZs and are not included in the current definition of PSBFs due to their low relief.

The  $>2.44$  m relief threshold used to define PSBFs by BOEM does not represent a low relief threshold for the development of the mesophotic coral communities and features with much lower relief support similar communities. Our findings suggest that low relief rock substrates serve as important habitat for abundant and diverse mesophotic coral forests that warrant consideration for protection from bottom disturbing activities. Further, we suggest an expansion of BOEMs lease stipulation should be considered in order to include biota on features of low relief (down to 0.33 m). An expansion of these lease stipulations will not only provide active protection for the vulnerable coral communities within the low relief substrates, but also support the preservation of broader ecosystems and ecosystem services (Moffitt et al., 2010; Edgar et al., 2014). Generally, the number of species protected increases with increasing size of the protected area, especially for species with smaller home ranges and where habitats are diverse (Moffitt et al., 2010). In dynamic open ocean environments like the study area, spatial prioritization to protect a wide representation of habitats is critical to support spatial connectivity at the population, genetic, and ecosystem level (Ban et al., 2014; Carr et al., 2017). Further, these protections should not be limited to a single sector (i.e., oil and gas) and instead need an integrated approach to better safeguard these vulnerable communities from other threats such as fishing and anchoring. Though not addressed here, further consideration should also be given to the delineating boundaries that can facilitate management and enforcement within these areas.

## CONCLUSION

- The northwestern GOM harbors some of the densest mesophotic coral forests reported to date.
- Low relief rock substrates serve as important habitat for abundant and diverse mesophotic coral forests.
- BOEMs current NAZ and PSBF lease stipulations do not fully encompass vulnerable mesophotic communities and would need to be enlarged to include assemblages on features of lower relief (possibly those  $>0.33$  m).
- Mesophotic coral forest diversity changes with latitude, which in this region correlates with distance from shore. Banks classified as “mid-shelf” based on their biotic

composition were found to occur at a greater distance from shore than previous research identified and a fourth geographic group, slope features, may be warranted.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## AUTHOR CONTRIBUTIONS

MN contributed to data processing, led the analysis, and writing of the manuscript. EH and PS were a principal investigator on data collection cruises, assisted with the development of, and provided edits for this manuscript. RB contributed to data processing, assisted with the development of, and provided edits for this manuscript. GS was the superintendent of FGBNMS, guided the development of the studies presented, assisted with the development of, and provided edits for this manuscript. All authors contributed to the development of the ideas and results presented in this manuscript.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2021.780248/full#supplementary-material>

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**Conflict of Interest:** MN and RB were employed by company CPC Inc.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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