



A Scientific Basis for Designation of the Northeast Canyons and Seamounts Marine National Monument

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The Northeast Canyons and Seamounts Marine National Monument (NECSMMN) was designated by President Barack Obama in 2016, using his authority under the Antiquities Act of 1906. The Act allows a President to proclaim as national monuments “historic landmarks, historic and prehistoric structures, and other objects of historic or scientific interest” that are “upon the lands owned or controlled” by the United States but to reserve each designation to “the smallest area compatible with the proper care and management of the objects to be protected.” Protection in general excludes commercial scale extraction and is in perpetuity. Here we present analyses of physiographic and ecological datasets that facilitated assessment of the conservation benefits of protections for a new monument. We also review and synthesize the ecological literature to describe processes that operate in continental margin and deep-sea settings, in order to demonstrate the monument area is bounded for proper management and is an object of scientific interest. Results indicate that the current monument designation is an area of high diversity and ecological connectivity across depths and along the continental margin. The monument boundaries contain hot spots (areas of high abundance and species richness) for seafloor communities (inclusive of benthic invertebrate and demersal fish) as well as marine mammals in the epipelagic. Many species are sensitive to disturbance and vulnerable to human activities (e.g., deep-sea corals and sponges) with very long recovery times and extremely low resilience. The monument contains at least nine exemplars of offshore northwest Atlantic marine wildlife communities and habitats (e.g., deep shelf invertebrates, shelf fish, deep sea corals and sponges in canyons and on seamounts, deep sea fish, chemosynthetic communities, deep sea soft sediment, shelf edge cetaceans, and seabirds). The region is relatively undisturbed and can serve as a reference site to focus future research on ecological processes in an increasingly industrialized ocean and one subject to the synergies of regional climate effects. These results suggest that there is great potential for discovery and novel research in this first Atlantic Ocean Marine National Monument.

Keywords: marine reserve, marine protected area, benthic, marine mammal, diversity, hotspot, seafloor complexity, geospatial

INTRODUCTION

President Barack Obama designated the Northeast Canyons and Seamounts Marine National Monument (hereafter NECSMN) by proclamation on 15 September, 2016 (Federal Register, 2016), using authorities delegated to the President of the United States by the Antiquities Act of 1906 (United States Congress, 1906). The Antiquities Act (hereafter “the Act”) was the first federal legislative tool for the protection of cultural and natural resources in the United States and preceded the National Park Service Act by 8 years. The Act allows a President to proclaim as national monuments “historic landmarks, historic and prehistoric structures, and other objects of historic or scientific interest” that are “upon the lands owned or controlled” by the United States but to reserve each designation to “the smallest area compatible with the proper care and management of the objects to be protected.”

Passage of the Antiquities Act was a Congressional response to public concerns related to theft and destruction of archeological sites and was ultimately designed to provide a rapid means to protect threatened federal lands and resources. Since it was signed into law by President Theodore Roosevelt, 17 of 20 Presidents have used the Act to create 158 monuments, including the Grand Canyon, Zion, Olympic, Statue of Liberty, and Stonewall (CRS, 2018).

For this monument as with earlier proclamations since President Roosevelt first used the authority in 1906, there have been conflicts between those that seek a utilitarian approach to use of public lands and waters and those that desire protections for some of the best examples of diverse natural resources across the United States (Costello, 2019).

The history of proclamations includes those that have identified particular types of objects (e.g., archeological objects) needing protection, while others have protected scenic resources (e.g., geologic features) and ecosystem or community types (e.g., coral reefs) of scientific and educational interest. The types of threats to resources within monuments are addressed within proclamations but an immediate threat of harm is not required by the Act to use this authority.

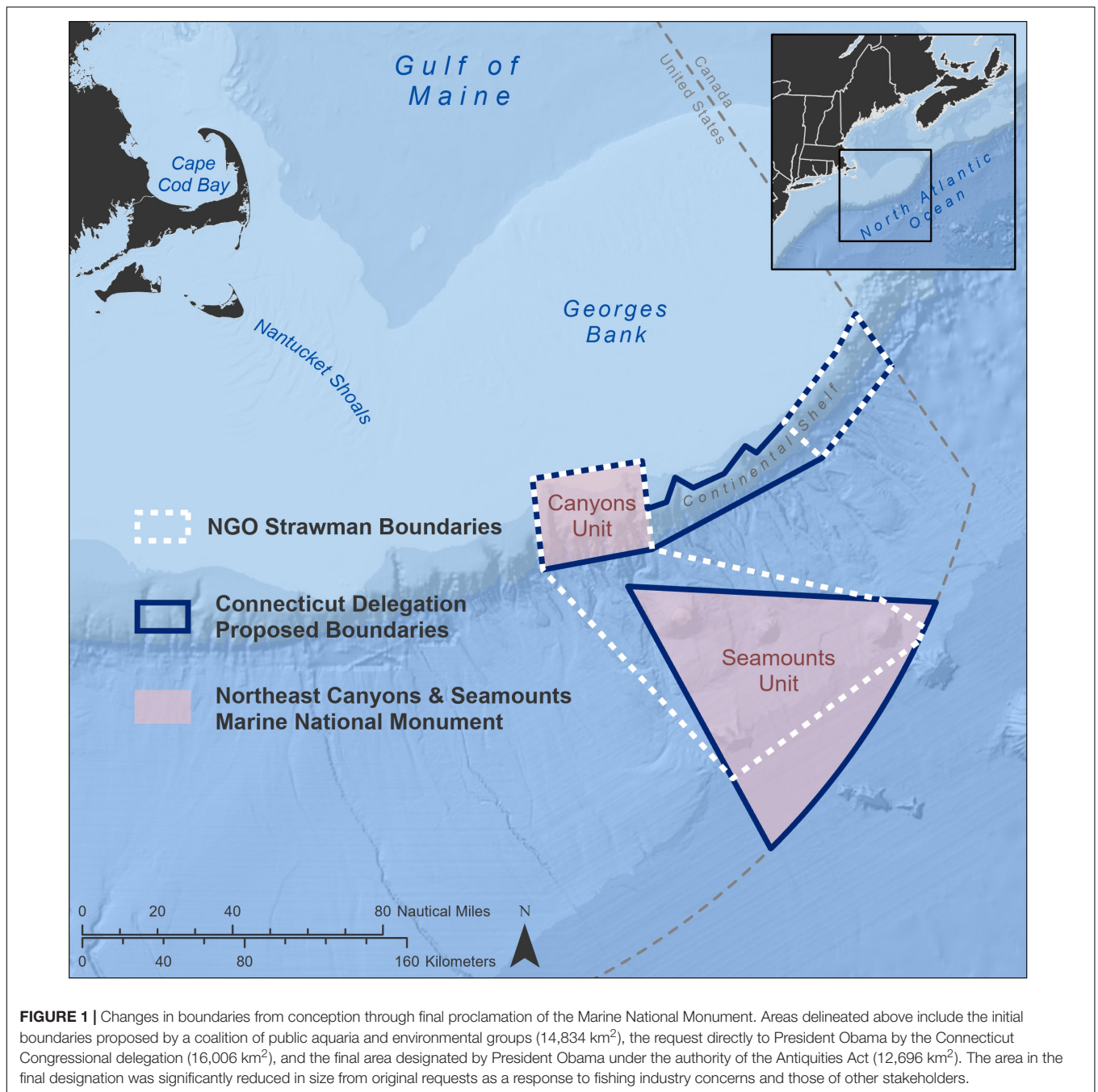
Here we address the scientific justification for designation of the NECSMN in terms of the principal requirements of the Act. Our focus is on the rationale for “... the smallest area compatible ...” and the designation protects “objects of ... scientific interest.” The Proclamation states that the monument includes the waters and submerged lands in and around the deep-sea canyons Oceanographer, Lydonia, and Gilbert, and the seamounts Bear, Physalia, Retriever, and Mytilus. Further, it stipulates explicitly that the objects to be protected “... are the canyons and seamounts themselves, and the natural resources and ecosystems in and around them.” The rationale for the monument rests with the complex and precipitous geology and associated biological diversity of the region. Indeed, the proclamation emphasizes that “... the Atlantic Ocean meets the continental shelf in a region of great abundance and diversity as well as stark geological relief. The waters are home to many species of deep-sea corals, fish, whales and other marine mammals. Three submarine canyons and,

beyond them, four undersea mountains lie in the waters approximately 130 miles southeast of Cape Cod. This area (the canyon and seamount area) includes unique ecological resources that have long been the subject of scientific interest.” For perspective, the canyons are deeper than the Grand Canyon (i.e., when measured from the head to the deepest channel feature the submarine canyons measure 2,272 m deep, versus 1,857 m depth of the Grand Canyon) and the seamounts taller than any mountains east of the Rocky Mountains (i.e., seamounts rise as high as 3,272 m above the surrounding seafloor while Harney Peak in South Dakota is 2,194 m). While the monument includes waters from the ocean surface to the seafloor, the shallowest seafloor depths begin just north of the canyon heads at 92 m and stretch to the abyss at 4,382 m. The shallowest seamount peak, on Bear Seamount, is at 1,110 m below sea level with the deepest peak on Mytilus Seamount at 2,389 m.

Here we summarize a series of analyses that quantified the “objects of scientific interest” and the natural resources of the region, which were used to facilitate discussions about monument boundaries and conservation benefits. In addition, we synthesize existing literature linking the scope of oceanographic drivers and species interactions to the spatial boundaries described in the proclamation. Our findings indicate that the final monument boundaries capture dominant processes and a high level of the diversity of species represented in the northeast region of the continental shelf edge and adjacent deep-sea ecosystems.

DEVELOPING BOUNDARIES

Prior to designation, there was an initial strawman set of boundaries that were advanced by a coalition of environmental groups for a monument designation (**Figure 1**). This set of boundaries included a western unit inclusive of Oceanographer, Gilbert, and Lydonia submarine canyons as well as Bear, Physalia, Retriever, and Mytilus seamounts. An eastern unit included Nygren to Heezen submarine canyons. These proposed units covered an area of 16,211 km². After a period of extended discussions with executive branch agencies, other regional congressional delegations, and the White House, the Connecticut congressional delegation led by U.S. Senator Richard Blumenthal, sent a formal request to the President to designate a continuous section of the continental margin from Oceanographer to Heezen canyons as a northern unit and separate seamounts unit to the south, proposing to protect an area of 16,006 km² (Blumenthal et al., 2016). The final designation by President Obama, after considering feedback from the fishing industry (Mitchell, 2016), reduced the size of the proposal but included Oceanographer, Gilbert, and Lydonia canyons as a northern unit and the four seamounts as a separate southern unit, creating a monument of 12,696 km². The designation protects an area approximately the size of the state of Connecticut and represents just 1.5% of the Atlantic region of the U.S. EEZ and 0.11% of the entirety of the U.S. EEZ.

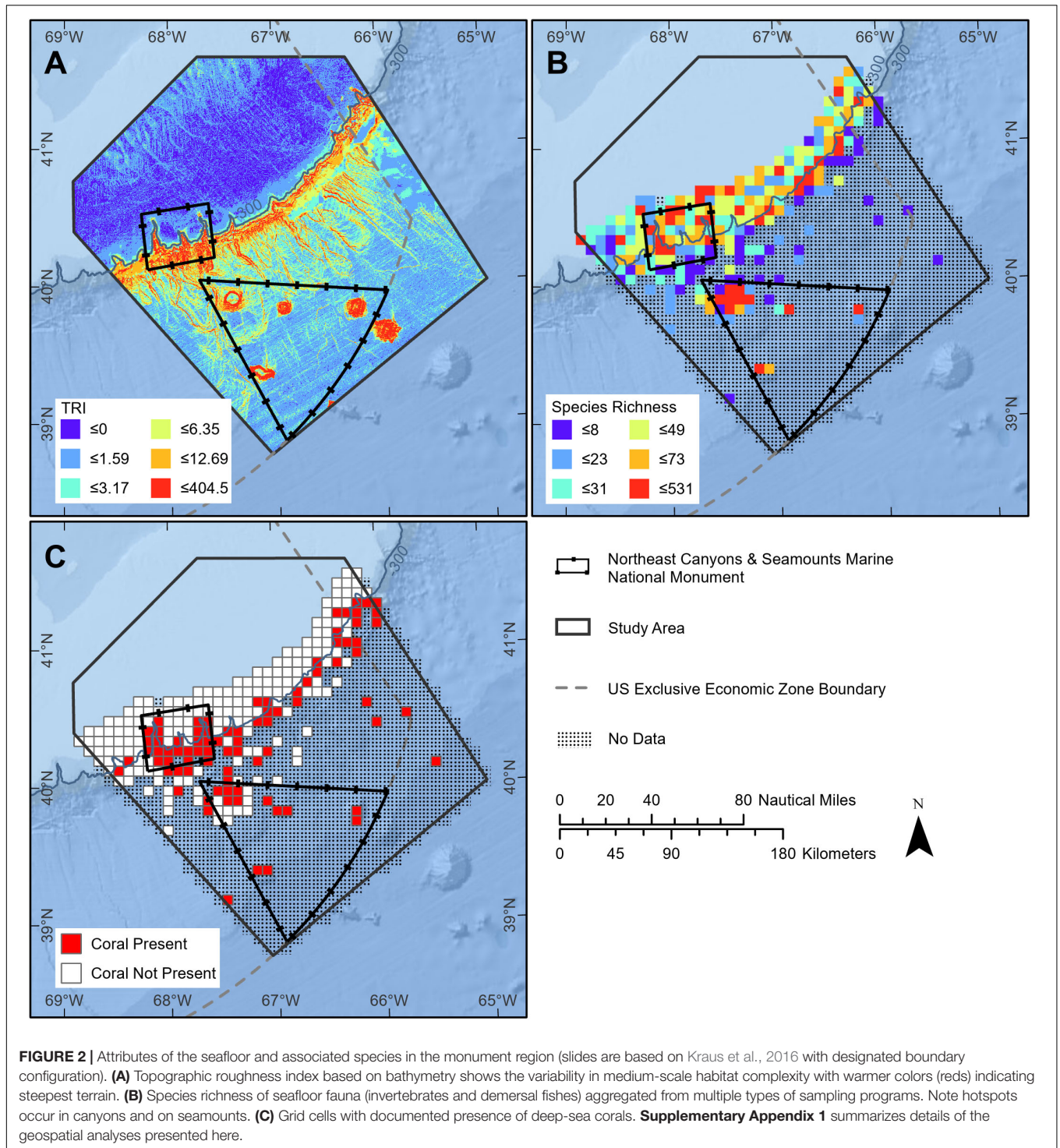


OBJECT OF SCIENTIFIC INTEREST

The seamounts and canyons, due to their geographic complexity and location, influence oceanographic conditions at multiple space and time scales. The submarine topography (steep slopes, deep canyons, and tall undersea mountains; **Figure 2A**) influences the currents, upwellings, stratification, and mixing (Shank, 2010) that make the species and ecosystems within the monument so diverse, abundant, and unique. Indeed, the seamounts affect the Gulf Stream and deeper Atlantic western boundary currents (e.g., Ezer, 1994), producing complex currents

around seamounts at the seafloor, along the continental margin, and throughout the overlying water column (Auer, 1987; Waring et al., 2001; White et al., 2007). This interaction of currents and topography influence both temperature and nutrient transport, upon which the community structure of these deep-ocean ecosystems depend (Griffin, 1999; Waring et al., 2001).

Marine species are differentially distributed across depths and substrates, similar to the various plant and animal zones observed across different altitude zones of tall mountains on land. Because the monument encompasses a diversity of topography, depths, and substrates, it protects multiple communities of



organisms and their component species in a small area. For seafloor species alone, four major community types have been identified along the continental slope and rise binned by depth interval (i.e., upper slope 200–700 m, upper middle slope 700–1,200 m, transitional 1,200–1,300 m, and lower slope 1,300–>2,400 m; Hecker et al., 1980; Hecker, 1990b). At least four other ecological communities have been classified down the slopes of

the seamounts grading into the abyss (i.e., <1,300 m, 1,300–2,300 m, 2,300–2,600 m, and >2,600 m; Cho, 2008). Further, the canyons and the seamounts sections of the Monument harbor significantly different marine communities, even when taking depth variation into account (Kilgour et al., 2016). In addition, there are both cold-seep chemosynthetic and deep-sea Xenophyophore communities within the boundaries of the

monument. The small spatial-scale variability of substrate types and topographies contributes greatly to these overall patterns of diversity (Ryan et al., 1978; Valentine, 1987; Auster et al., 2005). Thus, within a relatively small area, the combined canyon and seamount units in the Monument capture a wide diversity of species and biological community types (Table 1). This unique combination of marine ecosystem elements, as well as the abundance and biological diversity of life at the seafloor, in the water column, and at the surface, all meet the definition of “objects of scientific interest”, as we will address below.

As a result of the complex interactions between topography and oceanography, the canyons and seamounts within the Monument are biodiversity hotspots (Kelly et al., 2010; Kilgour et al., 2016) and protect outstanding examples of our marine biological heritage that are scientific objects of national significance. Hotspots have been identified for seafloor diversity (including deep sea corals, sponges, deep sea fish, and cold-seep chemosynthetic species; Kraus et al., 2016; Figures 2B,C). Many species function as ecosystem engineers within canyon and seamount communities [e.g., American lobster *Homarus americanus* and tilefish *Lopholatilus chamaeleonticeps* burrow into canyon walls and produce small-scale habitat complexity; deep-sea corals support species-specific commensals; both types of interactions (i.e., species and habitat) enhance local biological diversity; Cooper et al., 1987a; Auster et al., 2005; Watling et al., 2011]. Whales, dolphins, seabirds, sea turtles, and pelagic fishes (tunas, billfish, and sharks) exhibit high abundance and diversity along the continental shelf edge (CeTAP, 1982; Powers, 1984; Hain et al., 1985; Kenney and Winn, 1986, 1987; Palka, 2012; Kraus et al., 2016). Further, analyses of whale and dolphin sighting data demonstrate both abundance and diversity hot-spots of whales and dolphins in the monument area (Figures 3A–D).

The outer shelf around the canyon heads is a vital initial link to the ecological processes that occur within canyons and contributes to the patterns of diversity and productivity. For example, dense near-bottom swarms of krill have been observed during daytime in canyon heads at 300–400 m that rise in the water column toward the surface at night (Greene et al.,

1988). These dense swarms exceeded 1,000 animals per cubic meter in a layer up to 50 m above the seafloor and occur due to a combination of “topographic blockage” at the shallowest heads of the canyons and surrounding deep shelf (ca. 200 m) during downward migration at dawn, and funneling produced by the canyon head morphology (Greene et al., 1988; Hobson et al., 1989). Such swarms can function as trophic subsidies to a diversity of large zooplanktivores because krill are energy rich, large in size, and at high densities are easy to prey on. Dense aggregations of fish and squid that feed on krill and small fishes have been observed in these areas, which explains the high density and diversity of marine mammals in canyon environments (Moors-Murphy, 2014).

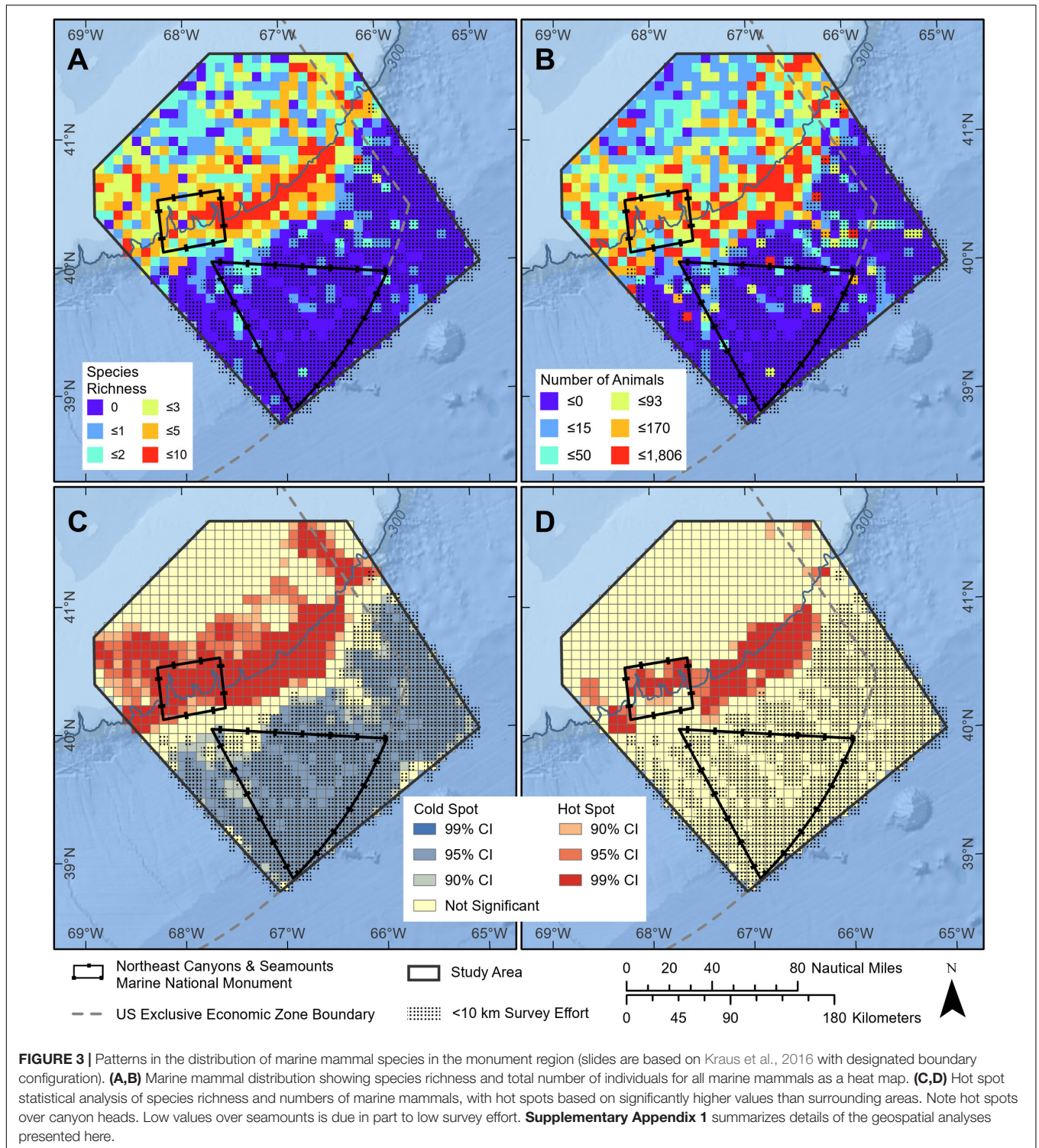
The occurrence of marine mammals in the monument area is remarkably high in terms of both abundance and diversity (CeTAP, 1982; Kenney and Winn, 1986, 1987; Payne and Heinemann, 1993; Palka, 2012; Kraus et al., 2016) hosting at least 10 dolphin species, seven large whale species, and six medium whale species. In this latter group, the monument is home to the extreme deep diving species on the planet, the beaked whales (Waring et al., 2001). At least three species of beaked whale reside in the monument region, all capable of diving to nearly 1,900 m based on tagging studies elsewhere, and staying submerged for over an hour. Evidence of beaked whale predation, based on characteristic gouge marks in the seafloor from whale beaks manipulating prey, was found in Gilbert and Lydonia Canyons with a maximum depth of 2,745 m, nearly 900 m deeper than any beaked whale previously recorded (Auster and Watling, 2010, but see Schorr et al., 2014). While there is undoubtedly migration along the shelf edge by marine mammals, whenever systematic surveys have been done in the Monument, abundance has been high (CeTAP, 1982; Palka, 2012). A report posted on 12 August, 2016 from an Atlantic Marine Assessment Program for Protected Species (AMAPPS) cruise aboard the NOAA Ship Henry Bigelow states “... the scientific party encountered the most animals ever in a single day” in Oceanographer and Lydonia canyons. Sightings included sperm whales in deep waters near Oceanographer Canyon and in the canyon head region between Oceanographer and Lydonia Canyons (100–200 m) “2,500 common dolphins, 120 fin whales, 50 humpback whales, 60 Risso’s dolphins, 70 pilot whales, 80 bottlenose dolphins, 100 striped dolphins, with a few beaked whales and ocean sunfish when the ship was in the deeper waters” (NEFSC, 2016).

Many acoustic and sightings surveys have consistently verified this high level of abundance and diversity. It appears that the monument area has an unusually high abundance of beaked whales, deep divers that appear to be taking advantage of the deep water canyons and oceanography to forage (Auster and Watling, 2010; Cholewiak et al., 2013; Wenzel et al., 2013; Stanistreet et al., 2017; DeAngelis et al., 2018). The monument also continues to be year-round habitat for sperm whales (Stanistreet et al., 2018) as well as a variety of other species of dolphins and whales. Indeed, a recent New England Aquarium aerial survey on 28 June, 2019 observed 1,252 marine mammals, including 1,036 common dolphins (*Delphinus delphis*), 192 Risso’s dolphins (*Grampus griseus*), and four Cuvier’s beaked whales (*Ziphius cavirostris*). An earlier survey on 6 September,

TABLE 1 | Comparison of species richness (S) represented by each boundary alternative as illustrated in Figure 1.

Seafloor communities	Grid cells	S	% Total
Total in study region	249	1,927	100
Initial NGO strawman	100	1,599	
CT delegation proposal	98	1,612	
Final designation	57	1,454	75.40%
Marine mammal communities			
Total in study region	1,013	63	100
Initial NGO strawman	234	43	
CT delegation proposal	252	43	
Final designation	200	41	65.10%

Grid cell counts are those fully or partially within each boundary alternative (see grid cell configurations in Figures 2, 3 for seafloor and marine mammal communities, respectively). Supplementary Appendix 1 provides details on sources and construction of datasets.



2018 observed 335 common dolphins, 234 Risso's dolphins, 30 bottlenose dolphins, two Cuvier's beaked whales, three ocean sunfish (*Mola mola*), and a rare giant manta ray (*Manta birostris*). On 23 April, 2018, the day's sightings included 169 bottlenose dolphins (*Tursiops truncatus*), 44 Risso's dolphins, 13 Sowerby's beaked whales (*Mesoplodon bidens*), 57 pilot whales

(*Globicephala* spp.), four sperm whales (*Physeter macrocephalus*), and 44 other dolphins whose species could not be determined (see text footnote 1)¹. These sightings and publications demonstrate

¹<https://www.andersoncabotcenterforoceanlife.org/blog/scientists-see-over-1200-animals-in-marine-monument-in-just-over-one-hour/>

that over at least 40 years, the monument area has been home to consistently high levels of marine mammal abundance and diversity, apparently due to the dynamic and productive oceanographic and bathymetric features that enhance trophic interactions in a relatively small area (Griffin, 1997).

The shallowest areas in the Monument (the western upper canyon regions and the epi-pelagic zones over the seamounts) are critical to protecting the ecosystem linkages that both transport nutrients to the surface through predator-prey interactions, and organic carbon to deep sea ecosystems (corals and benthic communities) through plankton and fecal detritus, downwelling materials, down-slope currents, and animal migration and mortality (e.g., Youngbluth et al., 1989; Hecker, 1990a; van Oevelen et al., 2009; Soetaert et al., 2016). These processes influencing carbon flux and sequestration in the ocean are enhanced in canyon and seamount landscapes, by linking shallow seafloor and pelagic areas to the deep sea. Carbon sequestration through this oceanographic “pump” is of global importance, and the implications for the monument are that the extraordinary diversity of species, including corals at depth, produce a wide array of ecological benefits in these undisturbed communities.

Mid-water (mesopelagic) fish are the most abundant group of vertebrate animals on our planet (Irigoiien et al., 2014) and also play a significant role in the transport of carbon from surface waters into the deep sea (Davison et al., 2013; St. John et al., 2016). These highly abundant animals undertake large daily vertical migrations through the water column where gut carbonates, released through digestion as particulates at the surface, interact with dissolved CO₂ that subsequently rapidly sinks into the deep ocean. Protected areas like the monument contribute to the health of such fish populations and enhance resilience to the stresses from acidification and temperature due to climate change. Roberts et al. (2017) hypothesized that “mesopelagic fish may drive an upward alkalinity pump that is currently acting to counter surface ocean acidification.” Currently there is increased interest in exploiting deep sea mesopelagic fish species, and the Monument will provide significant ecological benefits not generally considered when setting reference targets for sustainable fishing.

At the upper trophic levels, the high density and diversity of shelf-edge marine mammals that feed on deep sea squid and fish, transfer deep-sea productivity to the surface through defecation, and ultimately back to the seafloor through fecal-detritus as well as via the deposition of dead animals (Smith and Baco, 2003; Roman and McCarthy, 2010; Schmitz et al., 2014). Because the Monument is an area of consistently high numbers of marine mammals (Waring, 1998; Kraus et al., 2016; NEFSC, 2016), this nutrient cycling may be critical for local ecosystem functioning (Lavery et al., 2014; Roman et al., 2014; Doughty et al., 2016). Further, the oceanographic and biological characteristics of the Monument create essential feeding and navigation waystations for marine mammals, seabirds, sea turtles, tunas, sharks, and swordfish (Holland and Grubbs, 2007; Kaschner, 2007; Litvinov, 2007; Santos et al., 2007; Thompson, 2007; Wenzel et al., 2013).

Past studies and current interest demonstrate that the monument has value for future scientific inquiry. The monument

has had limited historic and contemporary disturbance from oceanic industries, is the only Atlantic Marine Monument, and encompasses a complete section of “shelf edge to deep sea” marine habitat off the continental United States. This fully protected area will serve an important role to understanding the effects of climate change (e.g., Roberts et al., 2017), by providing an undisturbed reference region in contrast to areas subject to commercial scale fisheries and other human activities. Predicted benefits include the conservation of genetic diversity, the enhanced resilience of fish, mammal, and invertebrate populations impacted by fishing and acidification, the protection of apex predators, the enhancement of commercially valuable fisheries through spillover (to recolonize habitats and communities affected by fisheries; Auster and Shackell, 2000; Sackett et al., 2017), and the sequestration of carbon. The Monument will be a critical research site with limited human impacts, a problem associated with studies in other deep-sea areas (NEFMC, 2017). Since the waters of New England and the Canadian Maritimes are warming faster than any other region of the Atlantic Ocean (Mills et al., 2013), this relatively pristine system will serve as a laboratory to assess the impacts of climate change alone and in comparison to areas with direct human impacts that lack protection (e.g., from fishing and the potential future impacts from mining methane hydrates and manganese crusts; ISA, 2008; Hand, 2014; WOR, 2014).

The Canyons and Seamounts Monument includes species and habitat types not found in any other Sanctuary, National Park or monument. Recent research conducted within the monument region shows it has an extraordinarily high potential for new scientific discoveries. Recent discoveries have included new species, genetic variability and hidden genetic structure within species, and range extensions of species known from elsewhere. Given the limited exploration work in this area, such discoveries are likely to continue, greatly increasing our understanding of marine deep sea biology (e.g., Mills, 2003; Moore et al., 2003; Cairns, 2006; France, 2007; Packer et al., 2007; Thoma et al., 2009; Cho and Shank, 2010; Quattrini et al., 2015; Coykendall et al., 2016), with positive implications for medical, aquaculture, and marine technology industries. Finally, the monument includes exemplars (**Figure 4**) of a diversity of Atlantic marine ecological communities and habitats, some of which are known to be unique (e.g., deep sea coral-sponge, canyon-head, cold seep, and xenophyophore communities) but are only poorly studied (see NOAA, 2013; Skarke et al., 2014; Quattrini et al., 2015).

Research in this region historically has involved many national and international investigators and has included studies on a broad range of ecological processes and taxonomic groups, from marine microbes to the great whales. The potential for ongoing research partnerships and collaborations is high, and such activities – particularly studies that collect long-term time series data – were given renewed momentum by designation of the Monument. For example, time series studies of canyon head communities by Cooper et al. (1987b) in the early 1980s resulted in a 5-year data set from submersible-based photo transects that can serve as a baseline to measure change over a 30 year time frame to the present. Previous transect

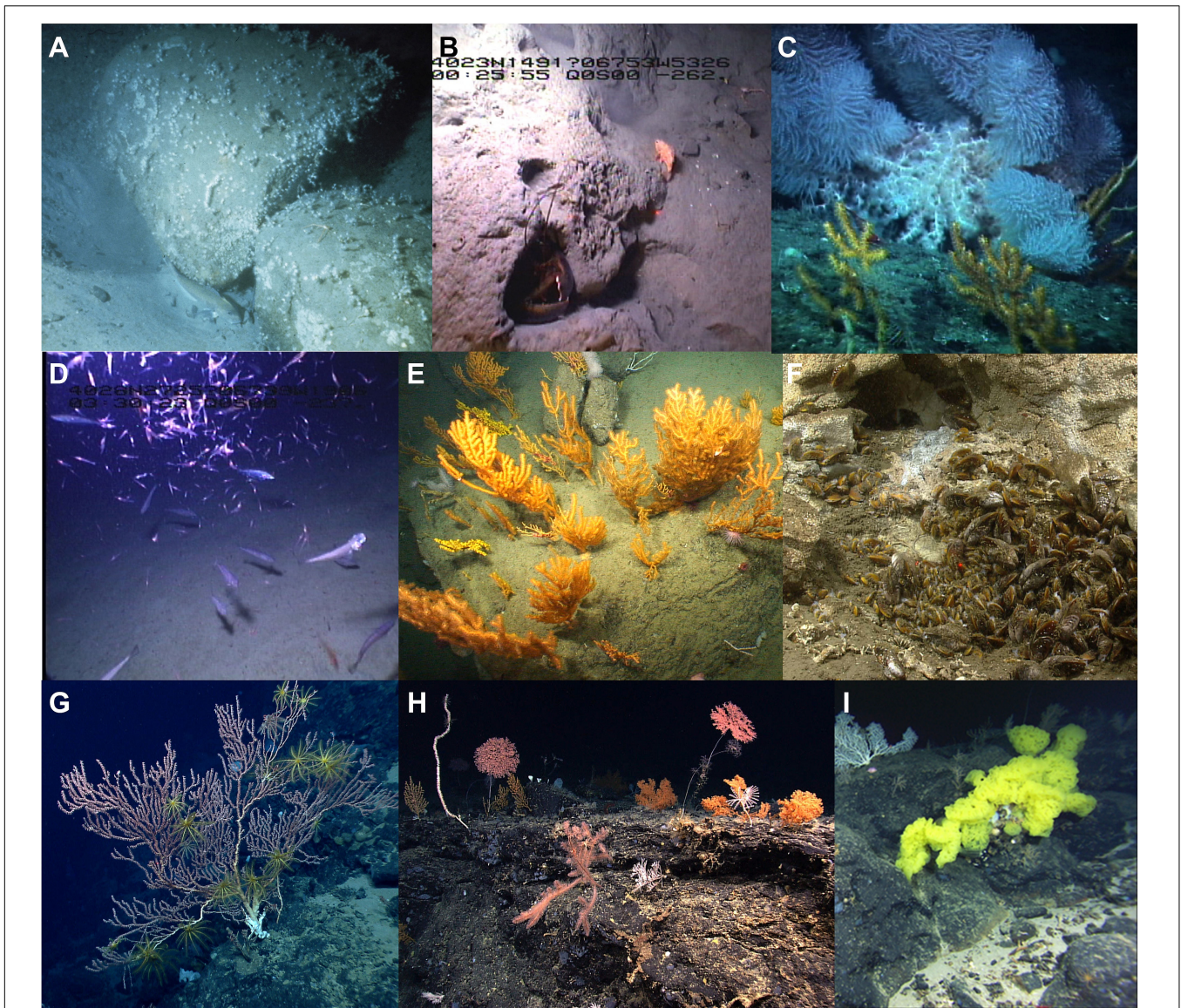


FIGURE 4 | Images of some exemplar communities and habitats. (From top, left to right) **(A)** Glacier rafted boulders at the head of Oceanographer Canyon. Golden tilefish using crevice for shelter. **(B)** American lobster burrowed into clay wall at the head of Gilbert Canyon. **(C)** Octocorals, including a recently described species *Thouarella (Euthouarella) grasshoffi* (Cairns, 2006) in Oceanographer Canyon. **(D)** Dense krill and amphipods over seafloor with offshore hake *Merluccius albidus* preying upon the aggregation in Lydonia Canyon. **(E)** Dense forest of *Paramuricea placomus* along outcrop in Oceanographer Canyon. **(F)** Cold seep with bacterial mat and chemosynthetic mussels (from Nygren Canyon and representative of seeps located with multibeam in Oceanographer and Lydonia Canyons by Skarke et al., 2014). **(G)** *Jasonis* sp. on the flank of Mytilus Seamount. **(H)** A diverse coral assemblage on a manganese encrusted outcrop on Retriever Seamount, including stony corals, octocorals, precious corals, black corals, and bamboo corals. **(I)** Dense sponges (Euplectellidae) on Retriever Seamount. [Images **(A,B,D)** from UConn; **(C)** DeepEast Expedition NOAA/WHOI/UConn/UMaine; **(E-I)** Multiple NOAA Ocean Exploration Program expeditions to canyons and seamounts region].

data collected by DSV Alvin and the ROV Deep Discoverer in the canyons and seamounts of the Monument will also serve as a foundation for time series studies. Kilgour et al. (2016) demonstrated that benthic marine ecosystems below fishing depths in Oceanographer Canyon were remarkably stable using image data from dive transects from five different years between 1978 and 2013 (Figure 5). Such long-term studies are critical to understanding the nature and trends in oceanic ecosystem changes, with implications for both the conservation

of biodiversity and the health of economically valuable offshore fisheries in the Atlantic.

Studies in and around the marine monument area have been using internationally known collections as reference materials and as repositories for new biological specimens. Primary institutions include Smithsonian Institution, Yale Peabody Museum, and Harvard Museum of Comparative Zoology. These institutions have been collecting specimens from Ocean Exploration and Census of Marine Life trawl-dredge and

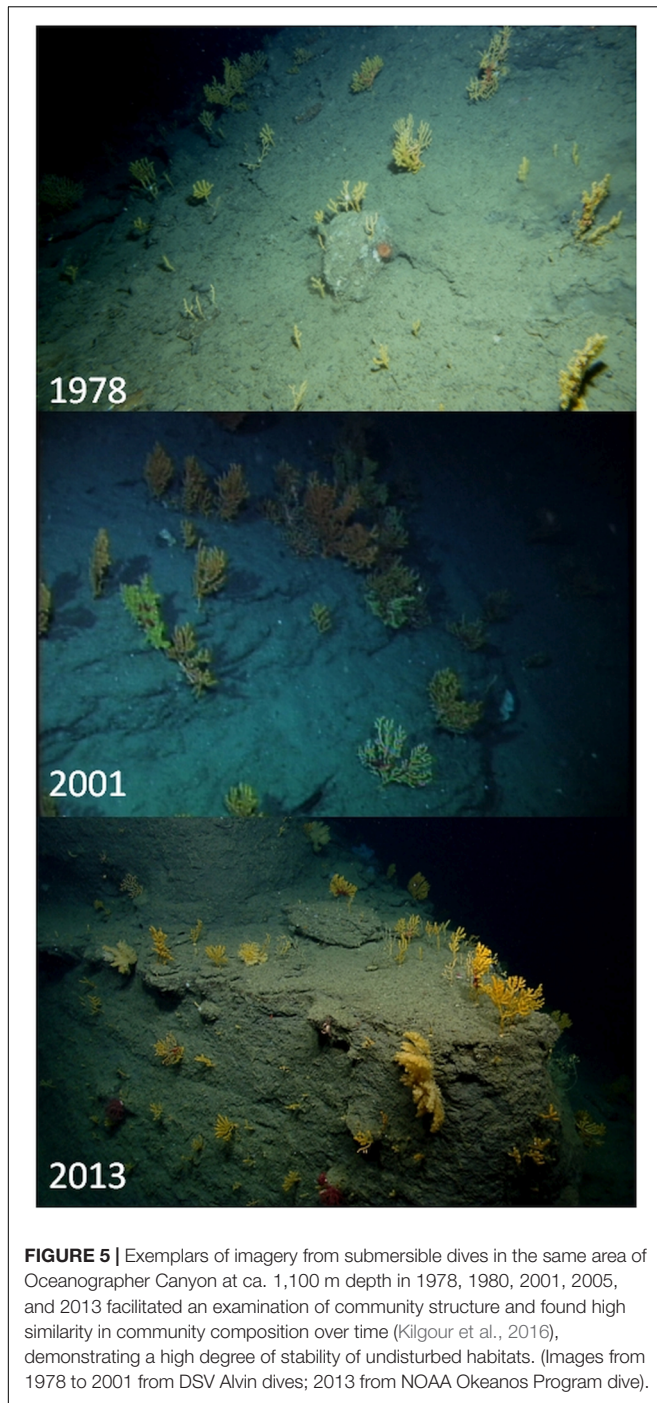


FIGURE 5 | Exemplars of imagery from submersible dives in the same area of Oceanographer Canyon at ca. 1,100 m depth in 1978, 1980, 2001, 2005, and 2013 facilitated an examination of community structure and found high similarity in community composition over time (Kilgour et al., 2016), demonstrating a high degree of stability of undisturbed habitats. (Images from 1978 to 2001 from DSV Alvin dives; 2013 from NOAA Okeanos Program dive).

submersible expeditions since 2001, and have active curators and associated scientists with scholarly interests in this region.

MONUMENT SIZE AND BOUNDARY CONSIDERATIONS

The scientific case that the existing boundaries for the NECSMN encompass the smallest area for proper care and

management of the objects of scientific interest is strong. The patterns and processes that produce and influence the Monument's biological productivity, diversity, and ecosystems, indicate that the pelagic and abyssal elements of the Monument include a unique combination of ocean systems, all critically important and wholly appropriate to the governing mandate of the Monuments Act within a remarkable small area.

Exploiting fish populations in ever deeper waters over the course of time has been an enduring pattern of commercial fisheries (Morato et al., 2006; Watson et al., 2015) and is in part mediated by factors such as fuel costs, available biomass, value of landed catch, as well as subsidies provided by governments and private enterprises (Norse et al., 2012). While the direct effects of disturbance by fishing are well known in terms of type and direction of impacts (Auster and Langton, 1999; Koslow et al., 2016), our understanding of how such effects cascade through communities and ecosystems is only currently emerging. Bailey et al. (2009) demonstrated that the effects of exploitation of deep-sea fish populations extends beyond the depth of directed fishing, as species with populations only partially within the depths of those fisheries responded and declined despite occurring in a depth refugia. This pattern included species targeted by fisheries as well as populations of by-catch species. Other impacts from fishing are long lasting, on the scale of decades to millennia for the longest-lived habitat-forming species such as corals, with low overall ecological resilience (Koslow et al., 2001; Clark and Koslow, 2007; Waller et al., 2007). Based on the long-lived, slow reproduction, life histories of many deep-sea fish species, and empirical observations of exploited populations, most deep-sea taxa are easily overexploited, have very low ecological resilience, and could rapidly reach threatened or endangered status (Devine et al., 2006; Baker et al., 2009). In the current case, the monument as bounded protects a slice of the continental margin and seamounts region from such vertically cascading impacts and serves as a hedge against unintended impacts from fishing activities along the remainder of the continental margin.

The commercial fishing industry has voiced concerns about economic losses from exclusion of seafloor fisheries prosecuted around and in the heads of the submarine canyons, and with pelagic fisheries in surface waters throughout. Arguments have focused on the value of catch lost within monument boundaries, the authority of the President to use the Antiquities Act to designate monuments in the U.S. Exclusive Economic Zone, and the primacy of federal fisheries laws to manage fisheries throughout the region (Eilperin, 2016; White, 2016). Analyses of reported landings in the regional squid-mackerel-butterfish fishery, the principal seafloor fishery that included monument waters, demonstrate there has been an increase in catch since designation while the catch of highly migratory species has remained stable (i.e., comparing 3 years pre- to three post-designation; Eilperin, 2018; NRDC, 2019). Noteworthy is that allowable catch levels are not affected by the monument designation, just that fishing for these species must take place elsewhere. Challenging the authority of the President to designate monuments in the EEZ and the primacy of federal fisheries law (i.e., Magnuson Act and amendments) for fisheries have played out in Federal courts (Fedderly, 2018). Decisions

upheld Presidential authority to designate monuments in waters controlled by the United States and that federal laws do not impart primacy of one over another, and can be applied to meet disparate societal goals (Ryan, 2019).

If the monument boundaries were to be moved deeper, away from canyon heads into deeper regions of the pelagic zone, the effects of fishing on target and by-catch species will cascade through deeper portions of the monument. This is due to impacts at the level of populations that extend beyond fishing depths (Bailey et al., 2009), as well as through changes in predator-prey interactions, and shifts in rates of carbon transport from surface and mid-water regions to the deep sea. A monument boundary adjustment to deeper waters would also eliminate the principal way that the general public would experience the monument. The ability to visit the monument to observe the high diversity of marine mammals and seabirds in high density, in the absence of commercial activities would be eliminated. Recreational fisherman would also suffer the same degradation of experience. Indeed, the same important policy goal was applied in Glacier Bay National Park when commercial fishing activities were phased out to maximize the wilderness experience of visitors (Sloan, 2002; Sen, 2010). (Note the monument proclamation contains a seven-year sunset provision solely for offshore lobster and red crab trap fisheries because of the perceived difficulties of shifting fixed fishing gear to new grounds).

The boundaries of the Monument will encompass the only entanglement-free and by catch-free zone off the east coast of the United States after the sunset period for trap fisheries expires in 2023. All bottom contact gear threatens long-lived species such as deep-sea corals and other fragile structure-forming species. Fixed gear (traps, gillnets, and both bottom and pelagic long-line gear) with buoy and submerged lines (and associated traps, mesh, or hooks) are all identified as significant mortality risk to all marine mammals (Reeves et al., 2013; Lewison et al., 2014; Werner et al., 2015), sea turtles (Lewison et al., 2004; Finkbeiner et al., 2011), and seabirds (Winter et al., 2011; Hatch, 2017). Mobile gear including deep and mid-water trawls are known to catch and kill numerous species of dolphins (Rossman, 2010). Baited hooks also directly capture non-target species, including pilot whales and beaked whales, sea turtles, seabirds, and sharks (Northridge, 1996; Moore et al., 2009). Both short- and long-finned pilot whales are a primary component of marine mammal by catch in the pelagic long-line fishery in the monument region (Garrison and Stokes, 2014). The Western North Atlantic stock of long-finned pilot whales has a potential biological removal of only 35 individuals and therefore is extremely vulnerable to even low levels of mortality (Garrison and Stokes, 2014). Incidental catch also threatens leatherback and loggerhead sea turtle populations in the Monument, both of which are ESA listed species, and have been observed in large numbers among the canyons in the Monument (Northeast Ocean Data Working Group. Data Explorer²). The exclusion of commercial scale fishing in the monument therefore contributes to minimizing exposure of these vulnerable species to fishing gear in an area where intense feeding and species interactions are occurring.

² Available at: <http://www.northeastoceandata.org/data-explorer/>

The current boundaries also define an area that is protected from any activities related to the future extraction of oil, gas, methane hydrates and manganese crusts, resources under scrutiny for exploitation along the entire east coast and on the high seas (WOR, 2014; Snow, 2017). The impacts from such activities will be extreme and the monument represents a reference site to compare with impacted areas.

The Proclamation (No. 9496, 81 Fed. Reg 65,163) plainly states, “[t]hese canyons and seamounts, and the ecosystems they compose, have long been of intense scientific interest. . . [T]he waters and submerged lands in and around the deep-sea canyons and the seamounts contain objects of scientific and historic interest. These objects are the canyons and seamounts themselves, and the natural resources and ecosystems in and around them.” The final boundaries delineated in the proclamation of the monument considered the effects of designation on stakeholder communities, given the reduced size of the area compared to the original strawman advanced by public aquaria and environmental organizations, and the actual proposal submitted by the CT Congressional delegation to the President.

CONCLUSION

In conclusion, the canyons, seamounts and the natural resources and ecosystems within and in the surrounding waters are objects of scientific interest that are conserved with the existing boundaries of the NECSMN. The Monument’s boundaries capture the local scale processes that sustain the natural resources, ecosystems, and patterns of biological diversity we observe. The monument encompasses an incredibly diverse range of overlapping and interacting species, communities, and habitats all of which are vulnerable and sensitive to human disturbance. By eliminating all commercial extractive activities as described in the 2016 Proclamation, the unique biological and scientific features of this wild ocean setting, not only provides an area to study undisturbed oceanic wildlife but is a gift to the American public, indeed people around the world, as a place to marvel at a wonder of our natural marine heritage.

UPDATE: A proclamation signed by President Trump on 5 June, 2020 revises the earlier designation and eliminates protections from commercial fishing in the NECSMN (Holden, 2020). Legal challenges by environmental groups were filed on 17 June, 2020 in Federal court to challenge use of the Antiquities Act to reverse protections enacted by the original proclamation (Frazin, 2020).

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation, to any qualified researcher.

ETHICS STATEMENT

Ethical review and approval was not required for the animal study because this study used archived data throughout. All fieldwork

reported here is exempt from IACUC protocols as projects were observational in nature. The marine mammal surveys were all conducted under multiple marine mammal permits from NOAA to multiple investigators contributing to the data base.

AUTHOR CONTRIBUTIONS

PA and SK contributed to project design and identification of datasets. BH and MM organized data and conducted geospatial analyses as well as prepared manuscript figures. PA, BH, MM, and SK contributed to writing and reviewing the manuscript. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2020.00566/full#supplementary-material>

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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