



# Imprint of Trace Dissolved Oxygen on Prokaryoplankton Community Structure in an Oxygen Minimum Zone

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The Eastern Tropical North Pacific (ETNP) is a large, persistent, and intensifying oxygen minimum zone (OMZ) that accounts for almost half of the total area of global OMZs. Within the OMZ core (~350–700 m depth), dissolved oxygen is typically near or below the analytical detection limit of modern sensors (~10 nM). Steep oxygen gradients above and below the OMZ core lead to vertical structuring of microbial communities that also vary between particle-associated (PA) and free-living (FL) size fractions. Here, we use 16S amplicon sequencing (iTags) to analyze the diversity and distribution of prokaryotic populations between FL and PA size fractions and among the range of ambient redox conditions. The hydrographic conditions at our study area were distinct from those previously reported in the ETNP and other OMZs, such as the ETSP. Trace oxygen concentrations (~0.35  $\mu\text{M}$ ) were present throughout the OMZ core at our sampling location. Consequently, nitrite accumulations typically reported for OMZ cores were absent as were sequences for anammox bacteria (Brocadiales genus *Candidatus* Scalindua), which are commonly found across oxic-anoxic boundaries in other systems. However, ammonia-oxidizing bacteria (AOB) and archaea (AOA) distributions and maximal autotrophic carbon assimilation rates (1.4  $\mu\text{M C d}^{-1}$ ) coincided with a pronounced ammonium concentration maximum near the top of the OMZ core. In addition, members of the genus *Nitrospina*, a dominant nitrite-oxidizing bacterial (NOB) clade were present suggesting that both ammonia and nitrite oxidation occur at trace oxygen concentrations. Analysis of similarity test (ANOSIM) and Non-metric Dimensional Scaling (nMDS) revealed that bacterial and archaeal phylogenetic representations were significantly different between size fractions. Based on ANOSIM and iTag profiles, composition of PA assemblages was less influenced by the prevailing depth-dependent biogeochemical regime than the FL fraction. Based on the presence of AOA, NOB and trace oxygen in the OMZ core we suggest that nitrification is an active process in the nitrogen cycle of this region of the ETNP OMZ.

**Keywords:** eastern tropical north pacific, oxygen minimum zones, prokaryoplankton community structure, oxygen gradients, nitrification

## INTRODUCTION

In response to ongoing climate change and localized human activities, concentrations of dissolved oxygen have been declining in the open ocean and in coastal marine systems (Breitburg et al., 2018). Estimated oxygen loss from the open ocean during the past 60 years exceeds 2% (Schmidtko et al., 2017), creating concerns about the consequences of oxygen-depleted zone expansion (Paulmier and Ruiz-Pino, 2009). Open ocean OMZs form when high surface primary production fuels biological oxygen demand in subsurface waters that exceeds rates of physical ventilation at depth. Oxygen concentrations in OMZ water columns can have steep gradients (oxycline) above and below the oxygen-depleted core creating hypoxic (typically between 2 and  $\sim 90 \mu\text{M}$ ), suboxic ( $< 2 \mu\text{M}$ ) and anoxic (below detection limit ( $\sim 10 \text{ nM}$ ) layers of varying dimensions (Bertagnolli and Stewart, 2018). Oxygen gradients lead to vertical structuring of metazoan and microbial communities and biogeochemical processes along these extensive oxyclines (Belmar et al., 2011).

Some of the highest rates of nitrogen loss have been recorded in OMZs of the Eastern Tropical North Pacific (ETNP) and South Pacific (ETSP) (Callbeck et al., 2017; Penn et al., 2019), the permanently stratified Cariaco Basin (Montes et al., 2013), the Arabian Sea (Ward et al., 2009), and the OMZ of the Benguela upwelling system (Kuypers et al., 2005). In these systems, the microbial processes of canonical denitrification (heterotrophic reduction of nitrate to nitrogen intermediates and often to dinitrogen gas) and anammox (anaerobic ammonium oxidation) lead to nitrogen losses that can potentially limit primary production (Ward et al., 2007). Moreover, oceanic nitrous oxide emissions (a potent greenhouse gas) from microbial denitrification occurring in OMZs is estimated to account for at least one third of global natural nitrous oxide emissions (Naqvi et al., 2010).

The ETNP OMZ is a large, persistent, and intensifying oxygen minimum zone that accounts for almost half of the total area of global OMZs, is located between  $0\text{--}25^\circ\text{N}$  latitude and  $75$  and  $180^\circ\text{W}$  longitude (Paulmier and Ruiz-Pino, 2009; Schmidtko et al., 2017). Due to their ecological importance, the biogeochemistry and microbial diversity of different ETNP OMZ regions have been studied intensively (e.g., Beman and Carolan, 2013; Duret et al., 2015; Ganesh et al., 2015; Chronopoulou et al., 2017; Pack et al., 2015; Peng et al., 2015). Previous studies report that dissolved oxygen in this OMZ core ( $\sim 250\text{--}750 \text{ m}$  depth) is typically near or below analytical detection limits ( $\sim 10 \text{ nM}$ ) (Tiano et al., 2014; Garcia-Robledo et al., 2017). However, along the northern margin of the ETNP's OMZ (study site location  $\sim 22^\circ\text{N}$ ) oxygen concentrations at  $500 \text{ m}$  can reach annual averages between  $10$  and  $20 \mu\text{M}$  (Paulmier and Ruiz-Pino, 2009; Data from World Ocean Atlas 2013)<sup>1</sup>. During the field campaign reported herein, we measured oxygen in the OMZ core at sufficient concentrations ( $0.35 \mu\text{M}$ ) to support aerobic microbial processes, such as ammonium and nitrite oxidation, and partially inhibit important anaerobic microbial processes. Aerobic microbial processes have been detected previously in

seemingly suboxic or anoxic layers of the ETNP OMZ (Peng et al., 2015; Garcia-Robledo et al., 2017; Penn et al., 2019). However, factors controlling the distribution and activities of specific functional groups of microorganisms in OMZs are not yet fully understood.

The presence of nitrifiers where oxygen is undetectable in an OMZ can be explained by recent shifts in the vertical position of the oxycline due to episodic vertical oxygen ventilation, which can lead to ephemeral trace oxygen levels within OMZ cores (Muller-Karger et al., 2001; Ulloa et al., 2012; Garcia-Robledo et al., 2017). Such transient conditions can be exploited by aerobic or microaerophilic populations, including nitrifiers. Moreover, sinking particles from the epipelagic (aggregated cells, fecal pellets, and complex organic materials) can contain trace levels of oxygen (Ganesh et al., 2014). Thus, oxygen and aerobic microbes can be transported to otherwise anoxic waters, temporarily allowing aerobic metabolisms to occur in association with particles. Particles are known to be hotspots of microbial biogeochemical cycling (Simon et al., 2002; Ganesh et al., 2014) and can support contrasting anaerobic or aerobic microbial processes that are not observed in the free-living state (Alldredge and Cohen, 1987; Wright et al., 2012; Suter et al., 2018).

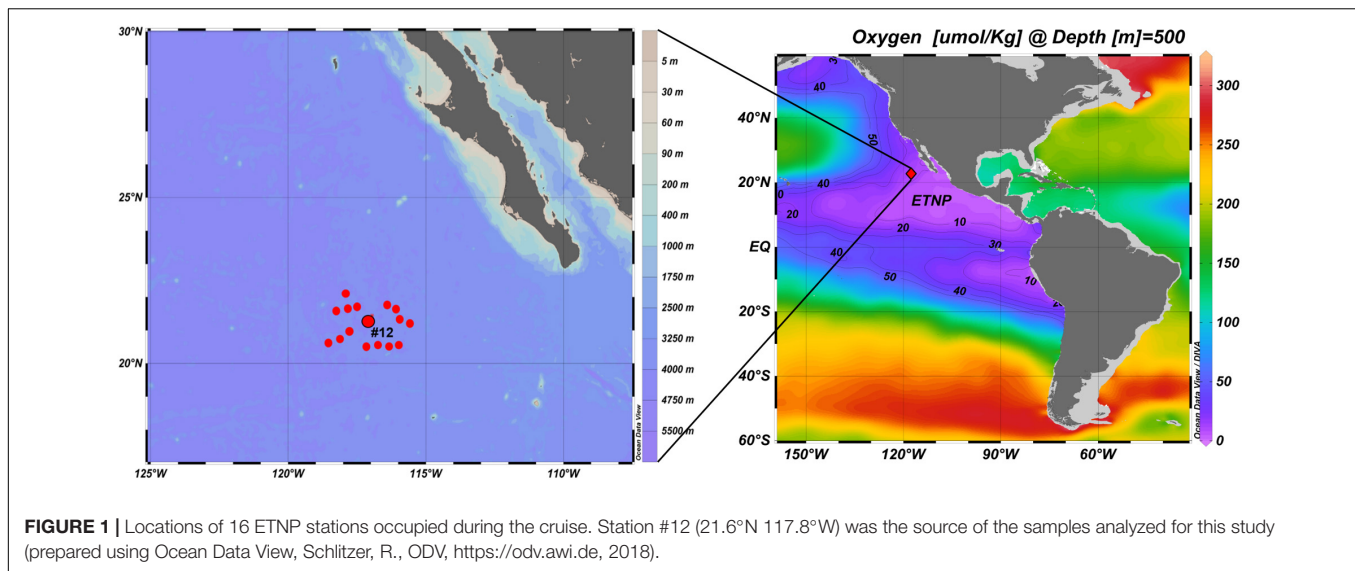
In the present study, we investigate prokaryotic communities occupying the northern margin of the ETNP's OMZ and environmental factors that likely influence their vertical distributions using 16S amplicon sequencing (iTags) coupled with multivariate statistics. We examined two size fractions; the free-living ( $0.2\text{--}2.7 \mu\text{m}$ ) fraction, and the particle-associated fraction ( $> 2.7 \mu\text{m}$ , capturing particles as well as protistan cells) at multiple depths along the oxycline that correspond to distinct redox conditions.

## MATERIALS AND METHODS

### Sampling and Analytical Procedures

A 28-day oceanographic expedition starting from Manzanillo, Mexico and returning to San Diego, CA was conducted onboard the R/V *Sikuliaq*, from 19 January to 15 February 2017 (UNOLS cruise number SKQ201701S). Sampling of OMZ waters was conducted off the Baja California Peninsula. Among the 16 stations occupied during this cruise, Station #12 ( $21.6^\circ\text{N}$   $117.8^\circ\text{W}$ ) was the source of all samples analyzed for this study. This station is located at the northern margin of the ETNP OMZ, approximately 350 nautical miles ( $\sim 650 \text{ km}$ ) offshore from the Baja California Peninsula (Figure 1). At this station, hydrographic data were obtained using a conductivity-temperature-depth (CTD) system (Seabird 3 temperature sensor, Seabird nine digital pressure sensor, and a Seabird four conductivity sensor), a Seapoint fluorimeter (range:  $0.01$  to  $125 \mu\text{g Chl a L}^{-1}$ ), and a RLNKO III series optical oxygen sensor (JFE Advantech Co., Ltd.), all mounted on a rosette. The oxygen sensor was calibrated by the two point calibration method using air-bubbled water for 100% DO saturation and a dilute sodium sulfite solution for 0% DO saturation. Water samples for biological and chemical analyses were collected in 10-liter Niskin bottles on the rosette.

<sup>1</sup><https://www.nodc.noaa.gov/OC5/woa13/>



From Niskin bottles, 2–5 L of water from 10 depths were directly passed through a 2.7  $\mu\text{m}$  hydrophilic glass fiber filter (EMD Millipore, Burlington, MA, United States) and then through an in-line 0.2  $\mu\text{m}$  Millipore® Sterivex™ filter (EMD Millipore, Burlington, MA, United States) to collect material for 16S amplicon sequencing (iTags). The >2.7  $\mu\text{m}$  filters primarily captured the particle and protist-associated cells (“PA” fraction) and the 0.2  $\mu\text{m}$  filter primarily captured free-living cells (0.2–2.7  $\mu\text{m}$  = “FL” fraction). The 10 depths sampled targeted different biogeochemical regimes (**Table 1**); including normoxic conditions below the photic zone (=115  $\mu\text{M}$   $\text{O}_2$ , “O”), upper oxycline (114–5  $\mu\text{M}$   $\text{O}_2$ , “UOC”), the OMZ core (0.35–5  $\mu\text{M}$   $\text{O}_2$ , “OMZc”), lower oxycline (5–50  $\mu\text{M}$   $\text{O}_2$ , “LOC”), and deep normoxic (> 50  $\mu\text{M}$   $\text{O}_2$ , “DO”) samples. Two biological replicates were processed from each of the 10 sampled depths. Filters were immediately preserved for nucleic acid extraction by adding 1.8 ml sterile DNA lysis buffer (50 mM Tris-HCL, 40 mM EDTA, 0.73 M sucrose) through the inlet of the Sterivex filter using a micropipette. The Sterivex filter was sealed with parafilm. Glass

fiber filters were transferred into sterile 4 ml cryovials and were immersed in the lysis buffer. Sterivex and glass fiber filters were stored at  $-80^\circ\text{C}$ .

Photoautotrophic and chemoautotrophic production were measured using bulk community  $^{13}\text{C}$ -bicarbonate incorporation according to Fisher and Haines (1979) and Sambrotto et al. (2015). A CTD cast was performed to obtain the irradiance profile based on the attenuation of PAR just prior to the collection of water for productivity measurements. For photoautotrophic  $^{13}\text{C}$ -assimilation, water from five depths (corresponding to 100, 55, 30, 10, and 1.5% of surface irradiance) was dispensed into 2 L transparent polycarbonate (PC) bottles. Incubation bottles were covered with neutral density plastic screen to simulate *in situ* irradiance levels and were incubated for 24 h in an on-deck incubator. The incubators were continuously flushed with surface seawater to maintain *in situ* mixed layer temperature (at  $\sim 23^\circ\text{C}$ ) which was monitored throughout the experiments. Water for dark  $^{13}\text{C}$ -assimilation was collected the same way at six depths (100, 200, 500, 650, 750, and 1500 m) and incubated with  $^{13}\text{C}$ -bicarbonate for 24 h in 2 L PC bottles in the dark at *in situ* temperature. After incubation, particulate material was collected on GF/F filters (previously combusted) under gentle vacuum. Total carbon content and  $^{13}\text{C}/^{12}\text{C}$  ratios of the samples were measured by a continuous flow isotope ratio mass spectrometer at the UC Davis stable isotope facility<sup>2</sup>.

Water for nutrients (nitrate, nitrite, phosphate and ammonium) was collected at 20 depths that included normoxic conditions within and below the photic zone, upper and lower oxycline depths, OMZ core, and deep normoxic waters. These samples were analyzed by continuous flow analysis (CFA) at the Instrumental Analysis Laboratory, School of Marine and Atmospheric Sciences, Stony Brook University, following methods of Gordon et al. (1993).

To enumerate total prokaryoplankton abundances, 200 ml samples from 20 depths throughout the water column were

**TABLE 1** | Oxygen concentrations and collection depths for ENTNP samples.

Oxygen condition	Depth (m)	Oxygen concentration ( $\mu\text{M}$ )
Oxic (O)	106	203
Oxic (O)	125	153
Oxic (O)	150	115
Upper Oxycline (UOC)	200	70
OMZ core (OMZc)	500	0.35
OMZ core (OMZc)	640	0.86
OMZ core (OMZc)	650	0.92
OMZ core (OMZc)	700	2.30
Lower Oxycline (LOC)	900	7.23
Deep Oxic (DO)	2,500	90

FL = 0.2–2.7  $\mu\text{m}$  size fraction; PA = >2.7  $\mu\text{m}$  size fraction.

<sup>2</sup><https://stableisotopefacility.ucdavis.edu/>

preserved with 2% borate-buffered formaldehyde in high density polyethylene (HDPE) bottles and stored at 4°C. Back in the laboratory, subsamples were filtered, and all filters were stained with 1 µg/ml (final concentration) 4',6-diamidino-2-phenylindole (DAPI) and abundances of DAPI-positive cells were quantified using an epifluorescence microscope with a UV excitation filter set (Porter and Feig, 1980).

## Nucleic Acid Extraction and Sequencing

For DNA extractions, we used a modification of the Somerville et al. (1988) protocol appropriate for extracting DNA from glass fiber filters. To improve handling and increase the extraction yield, we transferred the glass fiber filters from the 4 ml cryovials that were collected onboard, into 15 ml sterile Falcon tubes. Glass fiber and Sterivex filters were immersed in 40 µl lysozyme solution (50 mM) for 1 h in a 37°C shaker incubator. Lysozyme solution contained 2 mg lysozyme in 40 µl DNA lysis buffer; 50 mM Tris-HCl, 40 mM EDTA, 0.73 M sucrose). After the lysozyme treatment, 100 µl of Proteinase K solution (20 mg/ml) and 100 µl of 20% SDS were added to both types of filters and the tubes were shaken in the incubator for an additional 2 h at 55°C. After the Proteinase K incubation, crude lysates from each glass fiber and Sterivex filter were transferred to separate 15 ml sterile Falcon tubes. Both filter types were rinsed twice with 1 ml lysis buffer and incubated during each rinse for 15 min at 55°C in a shaker incubator. After rinsing, lysate fluids were combined with the crude lysate from their respective Sterivex and glass fiber filters. DNA extraction of the lysates was performed using a phenol-chloroform protocol. Bacterial and archaeal 16S rRNA gene fragments were PCR amplified from the samples using the primers: 515F-Y (5'-GTGYCAGCMGCCGCGGTAA) and 926R (5'-CCGYCAATTMTTTRAGTTT[GT2]) (Parada et al., 2016). PCR products were sequenced at Georgia Genomics and Bioinformatics Core Facility (U. of Georgia) using Illumina MiSeq PE300 and barcoded primers.

## Sequence Analysis

Sequence analysis was performed using Quantitative Insights Into Microbial Ecology (QIIME) v1.9.1 software (Caporaso et al., 2010). Raw sequence reads were processed through Trim Galore<sup>3</sup>, FLASH (Magoč and Salzberg, 2011) and FASTX\_Toolkit<sup>4</sup> for trimming and removal of low quality/short reads. During quality control, sequences shorter than 250 nucleotides were removed. Operational Taxonomic Unit (OTU) clusters were constructed at 97% similarity with the script pick\_otus.py within QIIME (Caporaso et al., 2010) v1.9.1 software and "uclust". All sequence data generated in this study has been deposited into Sequence Read Archive (SRA) GenBank under the accession numbers SRR10173043-SRR10172999.

## Statistical Analysis

The normalized relative abundance table of OTUs present in each sample was obtained using QIIME v1.9.1. Non-Metric Dimensional Scaling (nMDS) and Analysis of Similarities test

(ANOSIM) were conducted based on this relative abundance OTU table using R (version 3.2.1).

Bubble plots were created in R (version 3.2.1) to present diversity in each sample at two taxonomic levels (Phylum, Order) using the Vegan package, version 2.3–2. First, OTUs were segregated by FL and PA size fractions (0.2–2.7 µm and >2.7 µm) and analyzed separately. Relative abundances of individual OTUs from replicate biological samples were averaged and then normalized using the Hellinger transformation (Clarke et al., 2013). Only OTUs with >0.1% average relative abundance in at least one sample were included.

nMDS analysis of all samples was performed in R using the same version and package above. Input for these analyses was a Bray-Curtis dissimilarity matrix of the Hellinger-transformed OTU tables. For nMDS analyses, stress values are reported as a measure of goodness of fit. To assess the influence of biotic and abiotic factors on sample ordination, vectors representing contextual data (oxygen, nutrients, DIC assimilation, total prokaryoplankton counts, etc.) were fit to the ordination plots using goodness of fit statistics ( $r^2$  and  $p$ -value) as indicators for each vector.

Finally, in order to assess if groups of OTUs were significantly different among water samples from different redox regimes (O, UOC, LOC, OMZc, and DO) and size fractions, ANOSIM tests between groups was performed using the dissimilarity matrix as input (Clarke et al., 2013).

## RESULTS AND DISCUSSION

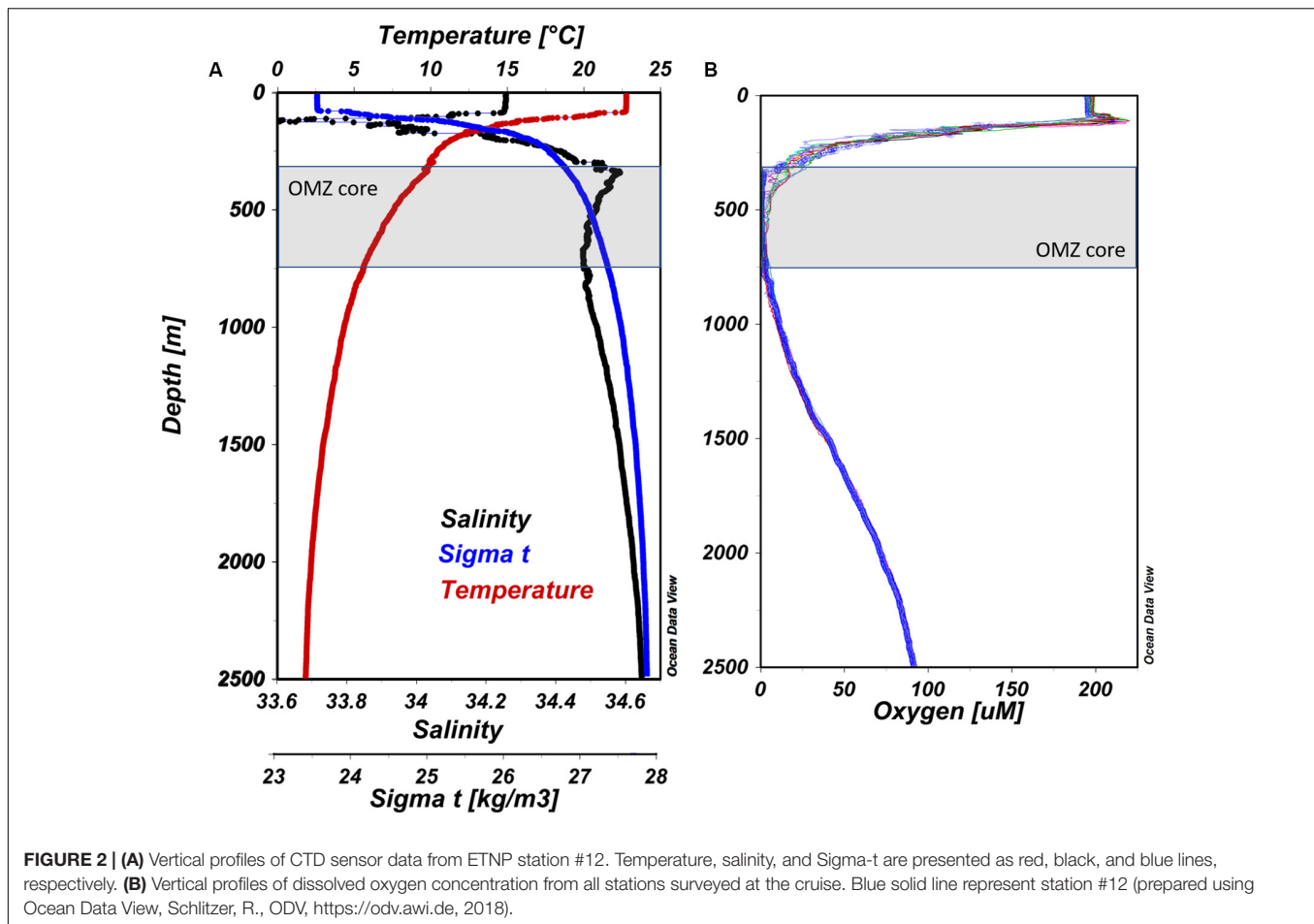
### Hydrographic and Geochemical Setting

The hydrographic conditions at our study area were distinct from those previously reported in the ETNP (Podlaska et al., 2012) and other OMZs, such as the ETSP (Bristow et al., 2016). Oxygen profiles measured *in situ* from all 16 stations occupied during the cruise, consistently indicated trace oxygen was present in the OMZ core of this region. The minimum oxygen concentrations within the water column varied between 0.28 and 0.38 µM, revealing the presence of trace oxygen even in OMZ core waters (~350–700 m). In addition, nitrite did not accumulate within the OMZ core at station #12, indicating the presence of microoxic conditions during the period of our cruise. The presence/absence of nitrite accumulation has been used previously to indicate anoxia in OMZs (Ulloa et al., 2012; Peng et al., 2015; Sun et al., 2019).

Vertical profiles of temperature, salinity, and water density (Sigma-t) for our master station (#12) are presented in **Figure 2A**. The surface layer was well-mixed to 100 m, below which a steep thermocline appears where temperatures dropped from ~23 to 12°C between 100 and 250 m, and declined more gradually to ~2°C by 2,500 m. Salinity values were highest in surface waters (34.25 PSU), decreasing down to ~150 m (33.60 PSU), and then gradually increasing toward a maximum of 34.60 PSU by ~400 m. In general, seawater density (Sigma-t) increased gradually from 100 to 2,500 m reflecting decreasing temperature and increasing salinity. At station #12, a narrow peak in oxygen concentration was observed below the well-oxygenated mixed

<sup>3</sup>[http://www.bioinformatics.babraham.ac.uk/projects/trim\\_galore/](http://www.bioinformatics.babraham.ac.uk/projects/trim_galore/)

<sup>4</sup>[http://hannonlab.cshl.edu/fastx\\_toolkit/](http://hannonlab.cshl.edu/fastx_toolkit/)



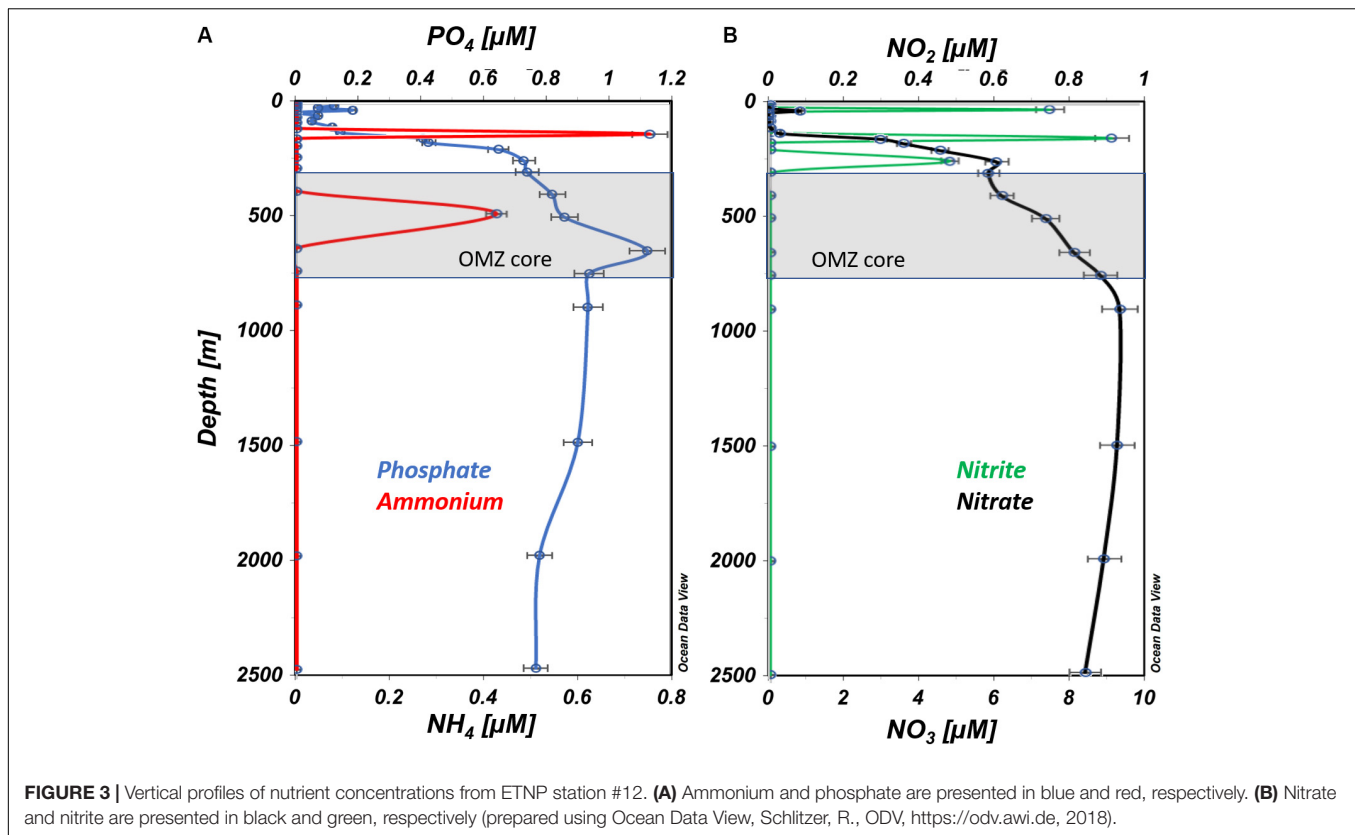
layer at 120 m ( $220 \mu\text{M O}_2$ ; **Figure 2B**), which coincided with a deep chlorophyll *a* (Chl *a*) fluorescence maximum at 106 m. A steep oxycline was observed between 200 and  $\sim 350$  m, above the OMZ core which extended from 350 to  $\sim 700$  m. Below  $\sim 700$  m depth, oxygen gradually increased to  $69 \mu\text{M}$  at 2,500 m.

The well-mixed surface layer (100 m) in this region is subject to high rates of primary production that influence nutrient concentrations. Phosphate and nitrate concentrations (**Figures 3A,B**) were lowest in the surface layer (0 –  $\sim 100$  m), and increased steeply from 150 to 250 m, followed by more gradual increases down to  $\sim 900$  m. Nitrite distributions were distinct from other nutrients, exhibiting maximum concentration at 150 m ( $\sim 1 \mu\text{M N}$ ) and secondary peaks at 25 and 250 m (**Figure 3B**). Ammonium was only detected at 150 m ( $\sim 0.7 \mu\text{M N}$ ) and 500 m ( $0.4 \mu\text{M N}$ ; **Figure 3A**).

Biological variables measured at station #12 suggest intense microbial activity just above the upper oxycline (100–150 m) down into the OMZ core (500 m). Dark and light dissolved inorganic carbon assimilation (DIC assimilation), fluorescence, a proxy of Chl *a* indicative of phytoplankton biomass, and total prokaryoplankton concentrations are shown in **Figure 4**. A very pronounced fluorescence peak ( $\sim 0.7$  relative fluorescence units) was observed at 106 m and corresponded with maximum representation of OTUs belonging to the

cyanobacterial Subsection I (*Prochlorococcus*). The highest prokaryotic abundances were measured in surface waters ( $6.8 \times 10^8 \text{ cells L}^{-1}$ ; **Figure 4**). In general, cell concentrations decreased with depth, but secondary peaks were observed coincident with the 106 m fluorescence peak and just above the OMZ core (300 m).

The maximum DIC assimilation ( $1.4 \mu\text{M C d}^{-1}$ ) was observed in the OMZ core at 500 m, coinciding with one of the ammonium concentrations peaks (**Figures 3B, 4**). Similar to the present study, Podlaska et al. (2012) reported maximum DIC assimilation rates varying between 0.02 and  $6.4 \mu\text{M C d}^{-1}$  among four ETNP stations  $7^\circ$  south of the current study. These rates are considerably faster than those reported for nitrifiers in normoxic regions, such as in the mesopelagic Atlantic Ocean,  $0.03\text{--}10.4 \text{ nM C d}^{-1}$  (Pachiadaki et al., 2017) or South China Sea and the Western Pacific Ocean,  $0.008\text{--}1.31 \text{ nM C d}^{-1}$  (Zhang et al., 2020). The disparity between these putatively nitrifier-driven rates may simply be explained by ammonium distributions.  $\text{NH}_4^+$  concentrations in the ETNP studies varied between 400 and  $2500 \text{ nM-N}$  with depth-dependent peaks (Podlaska et al., 2012; this study). Whereas at those normoxic sites,  $\text{NH}_4^+$  concentrations were only on the order of  $30\text{--}50 \text{ nM-N}$  through the depths sampled (Zhang et al., 2020). At two of Podlaska et al.'s (2012) stations, they



showed that  $30 \mu\text{M NH}_4^+$  amendments could stimulate DIC assimilation by 1.5 to 33-fold among samples from four to six depths. Observed stimulations suggest nitrifying activity in this system is ammonium limited.

Accumulation of ammonium often results from remineralization of sinking organic material that reaches depth from more productive surface waters (Lam et al., 2011; Beman and Popp, 2012). Alternatively, ammonium enrichments can be generated by excretion from vertically migratory mesozooplankton. In fact, during our cruise Wishner et al. (2018) conducted mesozooplankton surveys using a 1-m<sup>2</sup> MOCNESS net and observed an abundance peak of the copepod, *Lucicutia hulsemannae*, between 500 and 600 m. It is likely that the ammonium enrichment observed at 500m during the current study combined with the presence of trace oxygen fueled chemoautotrophic production by nitrifiers. In the photic zone, a smaller peak of DIC assimilation was observed at 90 m ( $0.38 \mu\text{M C d}^{-1}$ ) just above the Chl *a* peak where OTUs affiliated with the cyanobacterial Subsection I (*Prochlorococcus*) were most highly represented.

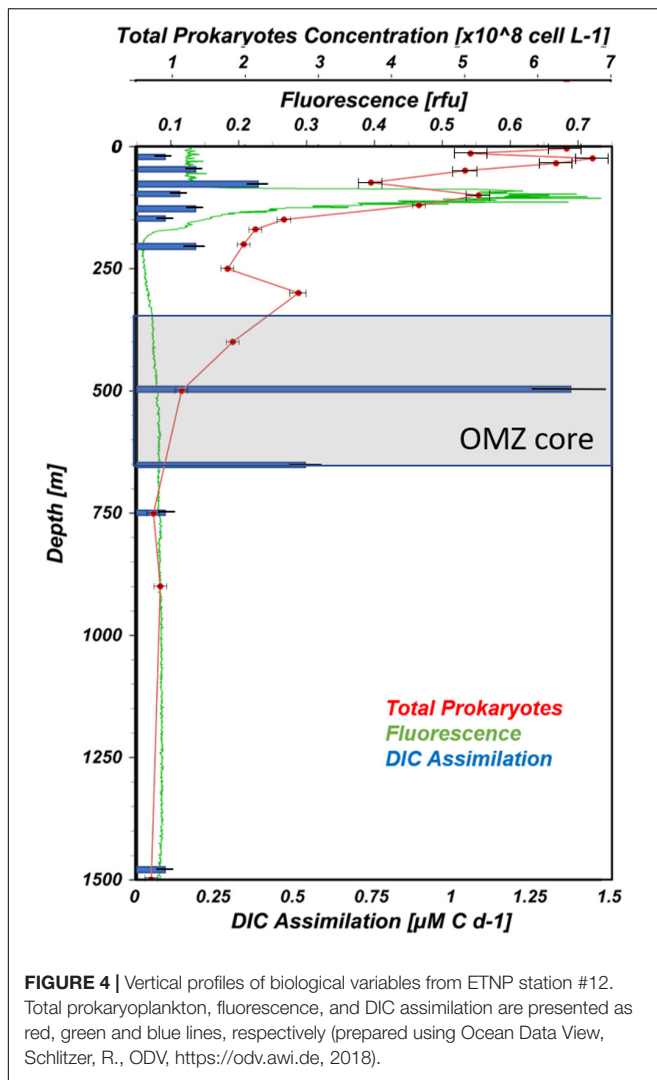
## Size Partitioning of Prokaryotic Assemblages

Microbial phylogenetic composition, cell abundances, depth distributions, and activities can vary widely among size-fractionated samples in OMZs (Ganesh et al., 2014; Suter et al., 2018). Ganesh et al. (2014) observed distinct phylogenetic

compositions of PA and FL assemblages in the ETSP. These differences suggested that many PA prokaryoplankton taxa are specialists favoring biogeochemical conditions in the detritosphere and prefer surface attachment, association with protists, or growth in biofilms. Furthermore, particles that have been colonized in surface waters and sink through the OMZ likely contain prokaryotic assemblages phylogenetically distinct from the surrounding waters from which they are collected (Suter et al., 2018).

In the present study, two size fractions ( $0.2\text{--}2.7 \mu\text{m}$  and  $>2.7 \mu\text{m}$ ) of the microbial community from each depth were analyzed. ANOSIM tests results ( $R$  value = 0.67,  $p < 0.001$ ) revealed that bacterial and archaeal taxonomic representation was significantly different between the FL and PA size fractions. **Supplementary Figure 1** shows the nMDS for all samples based on the relative abundances of 463 OTUs, clearly showing community differences among the size fractions (FL and PA).

Size partitioning of prokaryote assemblages is evident in the average relative abundances of bacterial and archaeal iTags at the phylum taxonomic level (**Figure 5**). Of the 17 main groups with average relative abundances above 0.1% in at least one sample, only Proteobacteria and Thaumarchaeota were abundant in both size fractions and under all redox conditions. The top three most abundant bacterial classes were alpha-, gamma- and delta-proteobacteria with alpha-proteobacteria dominating bacterial inventories at all depths. Signatures of cyanobacteria and/or chloroplasts were only abundant in the surface waters in both size fractions. Marinimicrobia (SAR 406) and Euryarchaeota



were abundant throughout the water column in the FL fraction, while Planctomycetes and Bacteroidetes were also abundant throughout all depths in the PA fraction. Firmicutes were most highly represented in the PA fraction at 150 and 500 m depth.

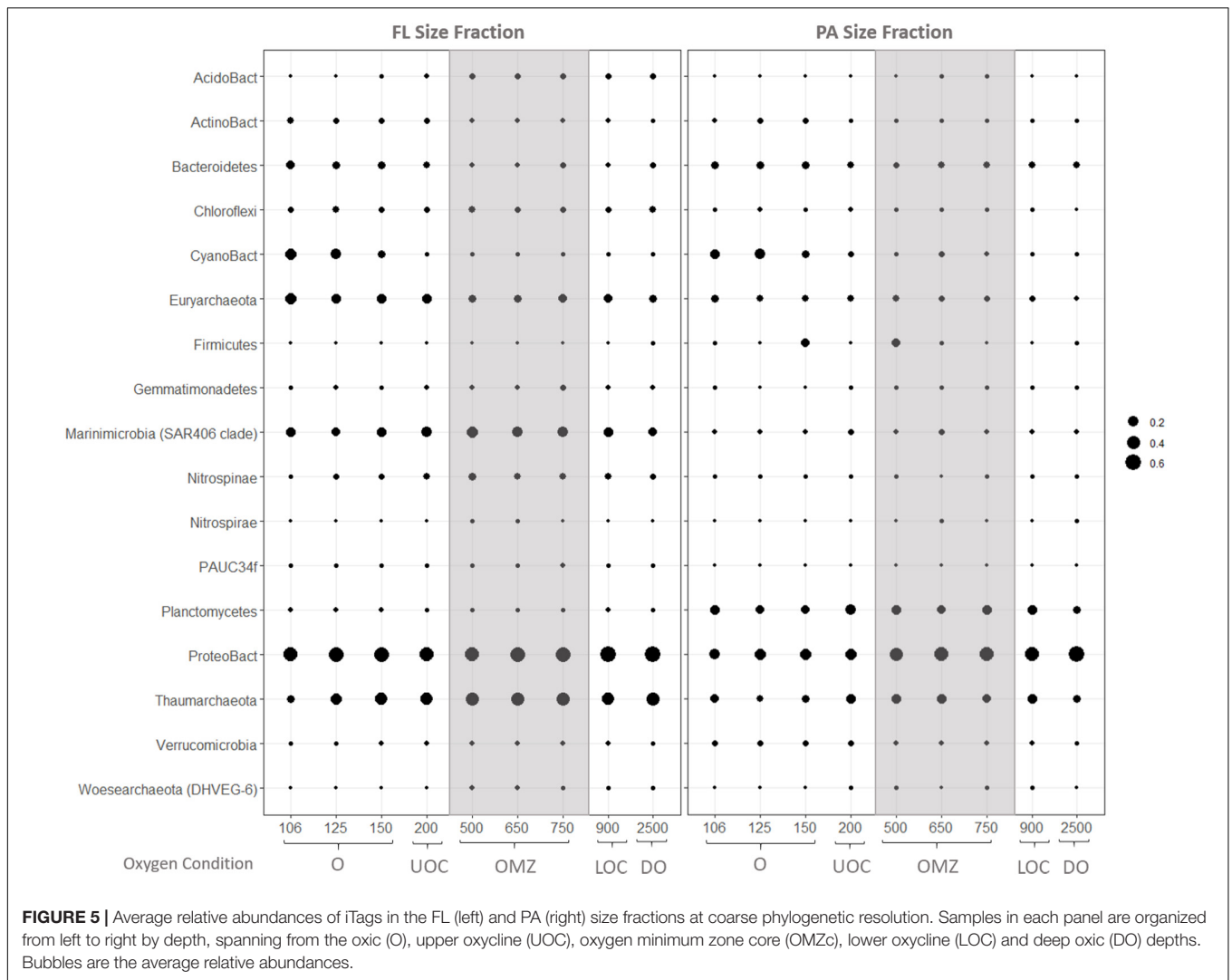
*Anaerobacillus* sp. (Bacillaceae) comprised 75% of the OTUs belonging to the Firmicutes in the PA fraction at 150 m (O) and 500 m (OMZc). The four known species within this genus are strictly anaerobic, heterotrophic, diazotrophic bacteria that possess the *nifH* gene which is a widely sequenced marker gene for nitrogen fixation (Zavarzina et al., 2009; Wang et al., 2015). Although our study lacks metagenomic and metatranscriptomic data on *nifH*, we hypothesize nitrogen fixation is possibly carried out by particle-associated *Anaerobacillus* species at 150 and 500 m, the  $\text{NH}_4$  maxima. This highlights the potential importance of particle-associated microorganisms in OMZ water columns and can offer an explanation for how anaerobic nitrogen fixation can occur at the same depths as microaerophilic nitrifiers that oxidize ammonium to nitrite.

We postulate that the ammonium peak observed at 500 m supports ammonium oxidation (the first step of nitrification)

if AOB, AOA and trace oxygen are present. In fact, our iTag data reveal an abundant, well-described AOA, Marine Group I (Thaumarchaeota) OTU in the FL and PA fractions of OMZ core (Figures 6, 7) coincident with the 500 m ammonium maximum ( $0.4 \mu\text{M}$ ) and oxygen minimum ( $0.35 \mu\text{M}$ ). Marine Group I were also abundant in the UOC and DO in both size fractions in our station. BLASTn analysis revealed many of our Marine Group I SSU signatures were affiliated with uncultured archaeon clones L4, L5, and L6 (100% query coverage; 97.1% percentage ID and  $e$ -value 0). Zou et al. (2019) determined that these clones are affiliated with AOA, providing indirect evidence that our Marine Group I signatures are also largely AOA. Other phylogenetic studies from oxygen minimum zones and seasonally stratified anoxic basins reveal that Marine Group I is well represented in the oxycline and is believed to be a major driver of nitrification in the oceans (Pitcher et al., 2011; Swan et al., 2014). Studies of the Chilean OMZ showed that AOA and NOB could be responsible for ammonium and nitrite oxidation rates between  $0.16$  and  $1 \mu\text{M N d}^{-1}$  at very low oxygen levels ( $5$ – $30 \text{ nM}$ ) if oxygen fluxes are sufficient (Ward et al., 1989; Molina et al., 2010; Bristow et al., 2016). Elsewhere in the ETNP, Peng et al. (2015) reported that AOA dominated ammonia oxidation over AOB within the oxycline. Likewise, Podlaska et al. (2012) suggested that ammonium and nitrite oxidation were important in the upper oxycline, OMZ core, and deep waters at their ETNP stations, based on metabolic activity and nitrogen species profiles. Considering that our iTag library revealed AOA in the OMZ core and that nitrification can occur at trace oxygen concentrations, we posit that ammonium oxidation was an active process in the OMZ core at this site in the ETNP.

Similarly, based on a sharp decrease in nitrite concentrations below 150 m (Figure 3) and evidence of nitrite-oxidizing taxa in our iTAG libraries, we hypothesize that nitrite oxidation must be an active process where traces of oxygen are present. Four genera are recognized to be responsible for nitrite oxidation in the ocean; *Nitrobacter* (alpha-proteobacteria), *Nitrococcus* (gamma-proteobacteria), *Nitrospina* (Nitrospinae) and *Nitrospira* (Nitrospirae). However, several studies report the last two as the most common groups in the open ocean (Levipan et al., 2014; Pachiadaki et al., 2017; Sun et al., 2019). We did not recover any *Nitrococcus* or *Nitrobacter* sequences in our iTag libraries. *Nitrospina* sp. was the dominant NOB taxon in the oxic layer (150 m), UOC, OMZ core and deep waters, appearing primarily in the FL fraction (Figure 6), but also in the PA fraction (Figure 7) to a lesser extent. Although *Nitrospira* OTUs were found in the oxic layer and OMZ core their average relative abundances were less than 0.1% in all samples (FL and PA fractions).

Nitrospinae is a cosmopolitan chemoautotrophic bacterial clade present in major oxygenated mesopelagic water masses (e.g., Pachiadaki et al., 2017). Although, the type species for the Nitrospinae phylum (*Nitrospina gracilis*) was described to be strictly aerobic (Lücker et al., 2013), it has been found to be present and active in OMZ locations and in an anoxic basin (Rodriguez-Mora et al., 2013; Bristow et al., 2016; Sun et al., 2019). Suter et al. (2018) found a relatively high abundance



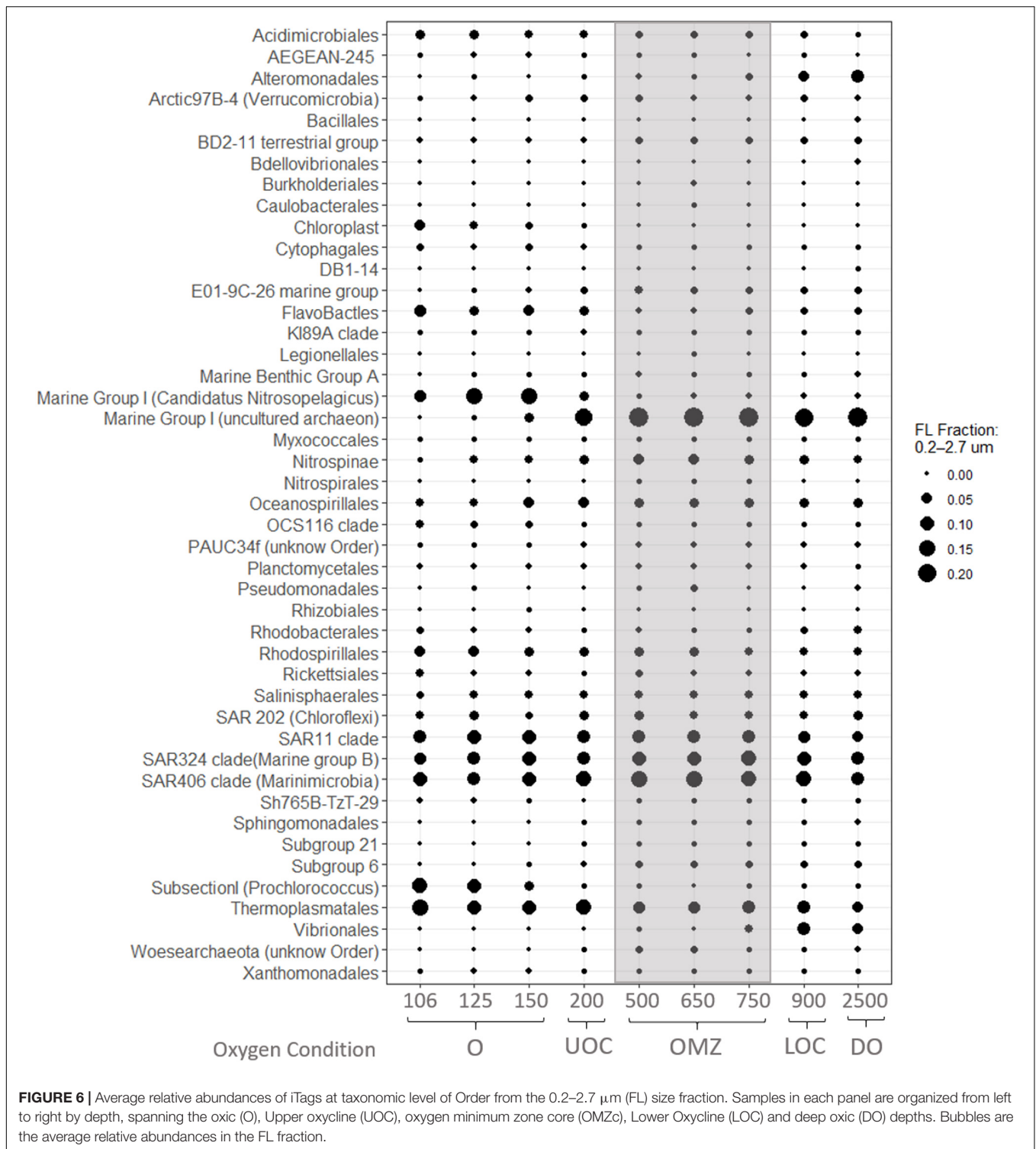
of Nitrospinae along the oxycline of the Cariaco Basin, where oxygen concentrations declined from  $\sim 120$  to  $0.4 \mu\text{M}$ , but they were absent in anoxic and euxinic layers, suggesting an adaptation to low but non-zero oxygen conditions. Studies in coastal habitats and in open ocean waters showed that abundances and distributions of Nitrospinae correlated with those of AOA, implicating metabolic interdependence between members of these clades (Mincer et al., 2007; Santoro et al., 2010). Furthermore, *Nitrospina gracilis*' genome encodes for a cytochrome *cbb3*-type terminal oxidase with high  $\text{O}_2$  affinity and for nitrite and ammonia transporters that enable *Nitrospina* to sustain respiration and assimilatory nitrogen uptake in low-oxygen environments (Lücker et al., 2013). If the Nitrospinae detected at our station are similarly adapted, then it is plausible that these aerobes are actively oxidizing nitrite in the OMZ core where oxygen concentrations average  $0.35 \mu\text{M O}_2$ . This proposition is also consistent with evidence for microaerophilic respiration (nitrite oxidation) at functionally anoxic depths from the Peruvian OMZs and ETNP (Kalvelage et al., 2015; Sun et al., 2017). Thus, we suggest that coupled ammonia and

nitrite oxidations likely play an important role in nitrogen cycling in the OMZ core at this location when traces of oxygen are present.

## Oxygen Effect on Prokaryoplankton Assemblage Composition

Distributions of bacterial and archaeal OTUs appeared to be strongly influenced by vertical oxygen distributions. ANOSIM tests were performed to compare taxonomic compositions of both size fractions and of waters from oxic (O), upper oxycline (UOC), oxygen minimum zone core (OMZc), lower oxycline (LOC) and deep oxic (DO) layers. For the FL fraction, the ANOSIM  $R$  value (0.80,  $p < 0.001$ ) suggests a strong dissimilarity among prokaryotic assemblages along the redox gradient. nMDS ordination of OTUs in the FL fraction (Figure 8A) reveals that groups living in well-oxygenated surface waters (O) are significantly different from those in underlying layers. The goodness of fit ( $r^2$  and  $p$ -values) of each environmental variable vector in the ordination space for the

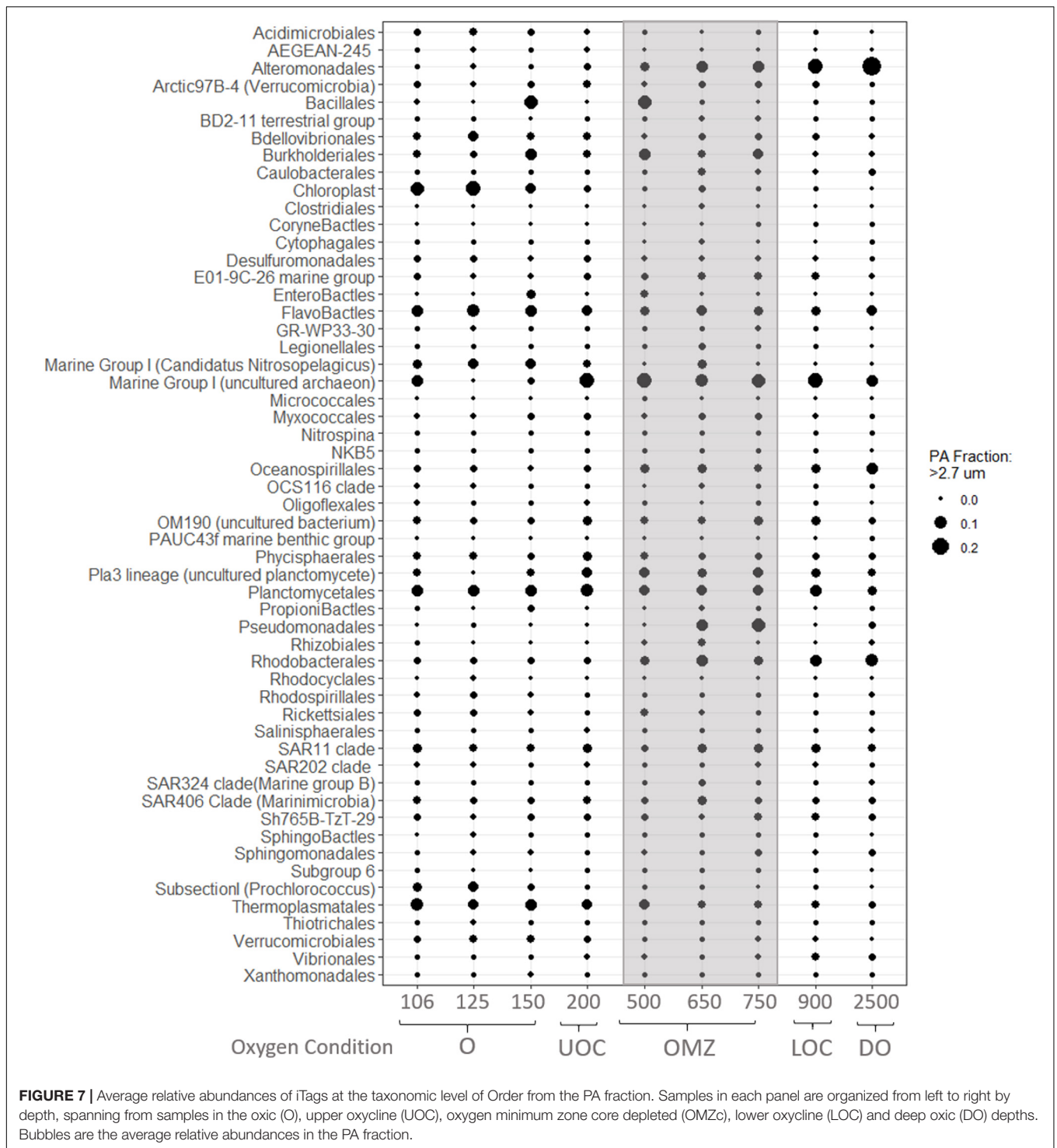




FL prokaryoplankton assemblage shows that OTU distributions are strongly influenced by oxygen ( $r^2 = 0.85$ ;  $p < 0.001$ ), nitrite ( $r^2 = 0.92$ ;  $p < 0.001$ ), and ammonium ( $r^2 = 0.91$ ;  $p < 0.001$ ) concentrations.

As expected for surface waters, recovered sequences in the FL fraction were dominated by OTUs of cyanobacteria mainly

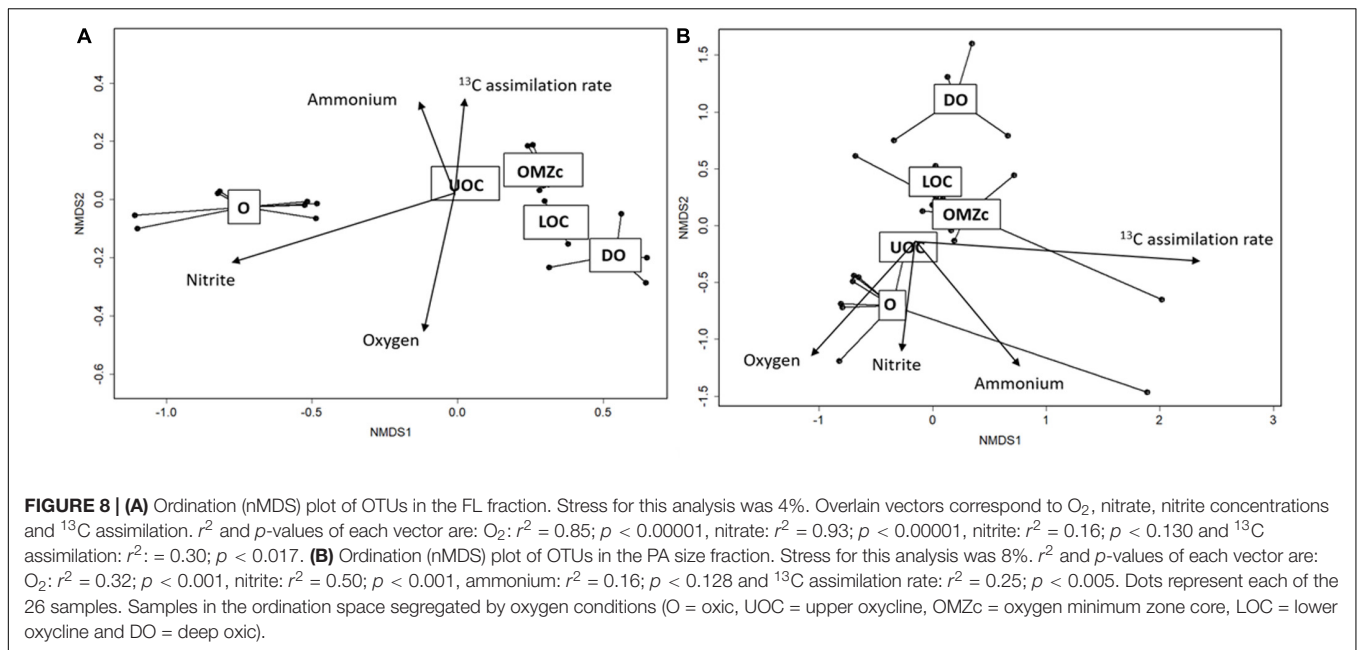
affiliated with *Prochlorococcus*. Other notable OTUs present in the FL fraction from the surface waters included the autotroph *Candidatus Nitrosopelagicus* (Thaumarchaeota), and OTUs from the heterotrophic clade of Flavobacteriales (Figure 6). Both *Candidatus Nitrosopelagicus* and Flavobacteriales (Figure 6) were abundant in our oxic water samples and co-occurred with



the higher nitrite and ammonium concentrations observed near the base of the euphotic zone (150 m) (Figure 3).

Thaumarchaeota are the major ammonia oxidizers in the oceans that aerobically oxidize ammonia to nitrite. The presence of *Candidatus Nitrosopelagicus* in the FL fraction in the oxitic layer may indicate active ammonia oxidation with concomitant production of nitrite near the base of the euphotic zone. Highly

represented Flavobacteriales in the FL fraction of the oxitic layer is consistent with observations from other studies in the ETNP OMZ (Fuchsman et al., 2017). The presence of Flavobacteriales in epipelagic waters of the seasonal deep OMZ in the Gulf of Alaska was reported to be a response to phytoplankton blooms (Muck et al., 2019). Other studies have shown that increased abundances of Flavobacteriales in epipelagic waters are



significantly related with phytoplankton-derived polysaccharides and decomposition of algal-derived organic matter (Teeling et al., 2012; Taylor et al., 2014).

Members of the Vibrionales and Alteromonadales were highly represented in the deep oxic FL samples, but not in the surface water libraries (Figure 6). Specific ecotypes of Alteromonadales in deep waters are considered to have an important ecological role in denitrification and in the degradation of recalcitrant compounds in sinking particles found at meso- and bathypelagic depths (Martinez et al., 2008). We hypothesize that they play a similar role in our 900 and 2,500 m samples. Within the Vibrionaceae family, *Vibrio* and *Photobacterium* were the most highly represented genera. Some *Vibrio* and *Photobacterium* species can be free-living, while others are bioluminescent symbionts occupying light organs of deep-sea fishes (Haygood, 1990). The Vibrionales whose signatures we detected at 900 and 2,500 m could be symbionts of deep-sea fishes or free-living bacteria.

In the FL fraction, SAR11, SAR324 (Marine Group B) and SAR406 (Marinimicrobia) clades were abundant throughout the water column (Figure 6). Wright et al. (2012) reported that OTUs representing the SAR11 and SAR324 clades dominated suboxic and anoxic environments in other OMZs and suggested that members of these clades could have overlapping habitat preferences. Within single-cell amplified genomes, Tsementzi et al. (2016) identified SAR11 lineages that carry adaptations for low oxygen. These include genes for respiratory nitrate reductases (*nar*) that represented ~40% of the *nar* transcripts identified in the ETNP OMZ off Mexico. The vertical distribution of these SAR11 *nar* transcripts was similar to that reported by Ganesh et al. (2015), showing a *nar* expression peak in the OMZ core. Furthermore, phylogenetic analysis revealed affiliation with facultative aerobic gamma-proteobacteria, Deferritales and *Geobacter* sp. (delta-proteobacteria), all of which are known

nitrate-reducers (Tsementzi et al., 2016). Marinimicrobia and SAR324 clades identified in the Northeastern subarctic Pacific OMZ and the seasonally anoxic Saanich Inlet have been reported to commonly encode for key enzymes in denitrification, i.e., nitrate reductases (*nar*), nitrous oxide reductases (*nos*), and nitrite reductases (*nir*) genes (Hawley et al., 2017). While observed high relative abundances of SAR11, SAR324 (Marine Group B), and SAR406 (Marinimicrobia) at all depths is not definitive evidence of their specific activity, we hypothesize that these clades may be contributing to denitrification at this site.

The PA size fraction also showed vertical structuring of prokaryotic assemblages along the redox gradient. The goodness of fit (*r*<sup>2</sup> and *p*-values) of each vector representing contextual variables in the ordination space with PA prokaryoplankton assemblage showed that OTU distributions had significant, but weaker correlations than those observed for the FL fraction with oxygen (*r*<sup>2</sup> = 0.32, *p* < 0.001), nitrite (*r*<sup>2</sup> = 0.50, *p* < 0.001), ammonium (*r*<sup>2</sup> = 0.50, *p* < 0.001). The ANOSIM *R* value (0.26) suggests that biogeochemical conditions exert selective pressures on both FL and PA assemblages, but the pressures on PA were weaker. The observed weaker vertical zonation of PA assemblage composition may simply be explained by sinking particles being colonized in surface waters, where most form, and sinking downward with shallow water assemblages. Alternatively, it may be indicative of particles from different depths sharing greater similarities in their chemical microenvironments than experienced in the surrounding waters by the FL fraction. Support for these hypotheses will be evaluated below.

Not unexpectedly, the PA fraction yielded sequences of chloroplasts from larger eukaryotic species, such as *Eucampia Antarctica*, and several uncultured Prymnesiophytes (C19847, C5574). Also, Enterobacteriales and Firmicutes of the order Bacilliales were highly represented in oxic waters (150 m; Figure 7). The PA fraction in the OMZc and LOC samples

was dominated by iTags from Rhizobiales, Burkholderiales and Pseudomonadales, members of which are well-known chemoorganotrophic denitrifiers (Figure 7). The relative abundances of Rhodobacterales, Oceanospirillales, and Marine Group I (Thaumarchaeota) OTUs increased from the UOC and reached a maximum in the OMZc and deep oxic waters (Figure 7). Alteromonadales was another group that appeared to prefer conditions in the PA fraction of OMZc, LOC and deep oxic samples, with maximum iTag representation at 2,500 m (Figure 8).

Planctomycetes were abundant in the PA fraction under all redox conditions and largely absent in the FL fraction. Of the 491 OTUs recovered in this study, 10% belonged to this group. The two most numerically important classes within the Planctomycetes phylum were the Phycisphaerae (13 OTUs) and Planctomycetacia (23 OTUs). *Blastopirellula*, *Gemmata*, *Planctomyces*, and *Rhodopirellula* were the most abundant genera. These genera include heterotrophic, non-anammox species that can cope with low oxygen concentrations, but are obligate aerobes (Woebken et al., 2007).

Planctomycetes are widely distributed in marine environments with a prominent role in anaerobic ammonia oxidation. However, anammox Planctomycetes are only found within the genetically and metabolically distinct order, Brocadiales (Woebken et al., 2007; Fuerst and Sagulenko, 2011). The metabolic and genetic differences between anammox and non-anammox Planctomycetes involve the absence of C1 metabolic genes, absence of almost any planctomycete-specific genes (e.g., sulfatases that breakdown of sulfated polysaccharides and are involved in carbon recycling), and absence of paralogous gene families (probably involved in internal compartmentalization) in anammox planctomycetes (Woebken et al., 2007). Notably, no sequences of anammox bacteria belonging to “*Candidatus* Brocadiales” order or genus “*Candidatus* Scalindua” were recovered in our survey. This clade, however, is commonly reported from other ETNP locations (e.g., Rush et al., 2012; Ganesh et al., 2018) and from other oxygen-depleted systems, such as the ETSP (Stevens and Ulloa, 2008) or anoxic basins (Cernadas-Martín et al., 2017). While the absence of proof is not proof of absence, failure to recover “*Candidatus* Scalindua” sequences in our iTag libraries suggests either that this group was rare at this location in the ETNP during the sampled period or that our vertical sampling resolution was insufficient to capture a narrow anammox layer.

We hypothesize that denitrification dominated  $N_2$  production over anammox at the master station during our study period. Our iTag data reveal the presence of well-known denitrifiers in both size fractions and the complete absence of anammox bacterial sequences. Generally, higher rates of anammox ( $N_2$  production) are observed in anoxic, non-sulfidic systems, but denitrification dominates where hydrogen sulfide is produced (Thamdrup, 2012). We speculate that hydrogen sulfide production at this ETNP site is negligible because OTUs belonging to sulfur cycling organisms were not recovered at relative abundances exceeding 0.1% of total sequences in any library. Episodic input of new organic matter to the ETSP OMZ was determined to favor production of  $N_2O$  and  $N_2$  via denitrification, with anammox

bacteria oxidizing ammonium at a slower rate (Dalsgaard et al., 2012). It is possible that prior to our cruise an oxygen ventilation event occurred, leading to ephemeral trace oxygen levels and introduction of new organic matter within the OMZ core. Some denitrifying microorganism are known to be facultative aerobes, having the ability to colonize biogenic particles in oxic waters. As these particles sink into the OMZ and organic matter is remineralized, localized anoxia can develop within particles when microbial respiration exceeds diffusive  $O_2$  influx. Such conditions enable facultative aerobic microbes to occupy different niches within particles, conducting a range of anaerobic processes, including denitrification (Aristegui et al., 2009). Trace oxygen present in the OMZ core at the master station may have been sufficient to inhibit anammox bacteria leaving denitrification as the sole pathway for the production of  $N_2O$  and  $N_2$ .

The ability to denitrify is broadly polyphyletic within the domain Bacteria and therefore, denitrification genes (e.g., *nar*, *nor*, *nir*, and *nos*) are present in a range of organisms with widely different denitrification regulatory phenotypes (Bergaust et al., 2011). Dalsgaard et al. (2014) suggested that denitrification in OMZs results from individual processes catalyzed by different groups of coexisting microorganisms. This suggests that a functional group of diverse denitrifying taxa can develop an ecological “bet hedging” strategy in which an array of different ecophysiotypes are poised to respond to transient changes in redox conditions. Similar to Dalsgaard et al.’s (2014) proposal for the anoxic OMZ off Chile, we hypothesize that “bet hedging” strategies were operative at our master ETNP station. However, this hypothesis requires further investigation.

Organization of prokaryoplankton assemblages in response to biogeochemical gradients will depend upon how dependent, adaptable, or sensitive different groups are to any of a myriad of environmental variables. Our statistical analyses of iTag profiles revealed that PA assemblages were less influenced by the biogeochemical regime of surrounding waters than FL fraction assemblages. Particles are known to offer unique biogeochemical and physical microenvironments (Aldredge and Cohen, 1987; Ganesh et al., 2014). However, the degree to which PA assemblage composition changes among depths in OMZs in response to biogeochemical conditions in ambient waters remains an open question (Ganesh et al., 2014). Our results suggest that surrounding biogeochemical conditions in the ETNP’s OMZ do, in fact, influence observed structure of PA assemblages, i.e., shallow oxic, oxycline, core, and deep oxic assemblages were distinct from one another (Figures 7, 8B). If most sinking particles were formed in surface waters and microbial assemblages were essentially established there, then PA assemblages among depths would likely exhibit a higher similarity than evident in Figure 8B. Alternatively, particles may be generated at different depths by aggregation processes or release by vertically migrating zooplankton (Simon et al., 2002; Wishner et al., 2018). For slowly sinking particles generated in shallow waters, chance collisions with microorganisms and colonization by chemotactic attraction may be sufficiently frequent to shift assemblage composition as particles sink further into the OMZ (Stocker, 2012). In these cases, PA assemblages are likely to be dissimilar among depths.

Provenance of the particles captured in each of our PA samples cannot be determined with available data. Furthermore, we have no information on prokaryotes associated with protists captured in our PA size fraction. Therefore, we cannot determine to what degree differences in PA assemblages observed through the water column are driven by particle sources/quality, protist-prokaryotic associations, colonization in transit, or both ambient and particle biogeochemical regimes. Clearly, further focused studies are necessary to assess the relative contributions of these variables to prokaryote assemblage composition in size-fractionated samples.

## CONCLUSION

Our study site in the ETNP was characterized by a steep vertical oxygen concentration gradient that strongly influenced prokaryotic assemblage composition. Bacterial and archaeal OTUs were strongly segregated between the FL and PA size fractions at all depths sampled, with environmental conditions having a stronger influence on the FL fraction. In contrast to other ETNP OMZ studies, our location on the northern margin had a suboxic core (350–700 m), maintaining low oxygen concentrations (0.35–2.30  $\mu\text{M}$ ) and exhibiting very limited nitrite accumulation only in the upper oxycline. Prokaryoplankton assemblages within the core were distinct from those previously reported from other regions in the ETNP and the ETSP OMZs, and from truly anoxic water columns, such as the Cariaco Basin and Black Sea. Three major groups; SUP 05, artic96BD-19 (both gamma-proteobacteria), and *Candidatus Scalindua* (Brocadiales), commonly abundant in OMZs and anoxic environments, were completely undetected in our iTag libraries (Stevens and Ulloa, 2008; Podlaska et al., 2012; Beman and Carolan, 2013; Cernadas-Martin et al., 2017; Suter et al., 2018). While taxon abundance and activity are not always linked, scarcity of these key players at this site suggests chemoautotrophic oxidation of sulfur and anammox were not important processes at the time of sampling this site.

We detected the presence of ammonia-oxidizing archaea in the OMZ core which suggests that aerobic ammonium oxidation occurs at low oxygen concentrations ( $<2 \mu\text{M}$ ). We hypothesize that at the time of sampling oxygen supply to the OMZ core was sufficient to support ammonium and nitrite oxidation and to inhibit other important anaerobic processes. Microaerophilic nitrifiers may play an important role in nitrogen cycling in some regions of the ETNP OMZ. Furthermore, the growing ETNP literature illustrates that distributions of hydrographic and geochemical properties within this OMZ vary geographically and temporally. Accounting for this variability is crucial to

understanding how the expansion of the OMZ regions will affect future marine environments.

## DATA AVAILABILITY STATEMENT

All iTag sequence data generated in this study have been deposited into Sequences Read Archive (SRA) GenBank under the accession numbers SRR10173043–SRR10172999.

## AUTHOR CONTRIBUTIONS

All authors participated in experimental design. LM and PM collected samples, performed experiments, and conducted the data analysis. LM took the lead on manuscript writing with contributions from all authors.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2020.00360/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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