



Using Satellite AIS to Analyze Vessel Speeds Off the Coast of Washington State, U.S., as a Risk Analysis for Cetacean-Vessel Collisions

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Greig NC, Hines EM, Cope S and Liu X (2020) Using Satellite AIS to Analyze Vessel Speeds Off the Coast of Washington State, U.S., as a Risk Analysis for Cetacean-Vessel Collisions. Front. Mar. Sci. 7:109. doi: 10.3389/fmars.2020.00109 Most species of whales are vulnerable to vessel collisions, and the probability of lethality increases logistically with vessel speed. An Automatic Identification System (AIS) can provide valuable vessel activity data, but terrestrial-based AIS has a limited spatial range. As the need for open ocean monitoring increases, AIS broadcasts relayed over earth-orbiting satellites, satellite AIS (SAIS), provides a method for expanding the range of AIS broadcast reception. We used SAIS data from 2013 and 2014 to calculate vessel density and speed over ground around the coast of Washington state in the northwestern United States. Nearby shipping lanes connecting the Ports of Seattle, Tacoma, Portland, and in Canada, Vancouver, have the greatest density of vessel traffic arriving and departing. Knowledge of shipping activity is important in this area due to the nearby presence of NOAA designated Cetacean Density and Distribution Working Group's Biologically Important Areas (BIA) for large whale species vulnerable to vessel collisions. We quantified density and speed for each vessel type that transits through BIA's. We found that cargo and tanker vessels traveled the farthest distance at the greatest speeds. As ship-strike risk assessments have traditionally relied on terrestrial AIS, we explored issues in the application of SAIS data. Temporal gaps in SAIS data led to a resulting systematic underestimation of vessel speed in calculated speed over ground. However, SAIS can be helpful in documenting minimum vessel speeds across large geographic areas and across national boundaries, especially beyond the reach of terrestrial AIS receivers. SAIS data can also be useful in examining vessel density at broad scales and could be used to assess basin-wide open ocean routes. Future use of additional satellite platforms with AIS receivers and technological advances will help rectify this issue and improve data coverage and quality.

Keywords: satellite automatic identification system, cetacean ship strikes, geographic information systems, olympic coast national marine sanctuary, baleen whales, biologically important areas, shipping speed

INTRODUCTION

Shipping, a highly globalized industry, is an important component of international trade, and is correlated with global economic patterns (Schwehr and McGillivary, 2007; Rodrigue, 2010; Frisk, 2012). While the world's vessel fleet is growing over time (Silber et al., 2010), so does the need to effectively track vessel movements. The Automatic Identification System (AIS) is a non-proprietary tracking technology standardized by the International Telecommunications Union and required for most vessels by the International Maritime Organization's Safety of Life at Sea Convention (Tetreault, 2005; United States Coast Guard [USCG], 2008). Originally conceived to improve ships' navigational safety, terrestrial AIS broadcasts are limited roughly to line-of-sight (Calder and Schwehr, 2009), so coverage does not extend well into the open ocean (Silber et al., 2014). Satellite AIS (SAIS), since 2008, can help overcome the terrestrial line-of-sight limitation by collecting AIS broadcasts from a constellation of earth-orbiting satellites (Ball, 2013; Robards et al., 2016).

Despite the initial intent of AIS as a navigational aid, the data provide valuable insight on human use of marine areas in the context of environmental conservation. Events like the establishment of large off-shore protected areas clarify the need to extend the range of traditional AIS broadcasts to better monitor vessel activity far from shore (McCauley et al., 2016). SAIS data have been employed to monitor fishing activity and protected area regulation compliance (Natale et al., 2015; de Souza et al., 2016; Rowlands et al., 2019). Terrestrial AIS has provided valuable data to assess the risk of collision between ships and whales near established shipping lanes in coastal areas (Williams and O'Hara, 2010; Wiley et al., 2011; Redfern et al., 2013; Jensen et al., 2015), but lethal interactions may also occur outside of these highly monitored areas (Rockwood et al., 2017). For off-shore areas, SAIS has the potential to contribute vessel activity data necessary for collision risk assessments (Williams and O'Hara, 2010; van der Hoop et al., 2012).

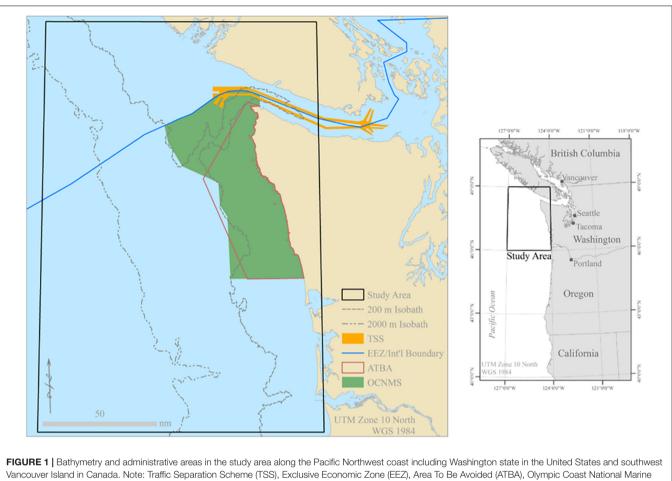
Since 2009, the International Whaling Commission has reported more than 1,200 confirmed incidents of vessel collisions with whales (Cates et al., 2017). Vessel speed is an important component of shipping's potential impact on cetaceans (Gende et al., 2011; Conn and Silber, 2013; Currie et al., 2017). The conservation benefit to whales by reducing vessel speed is well established and is generally expressed as a simple logistic relationship between vessel speed and probability of lethality (Vanderlaan and Taggart, 2007; Gende et al., 2011; Wiley et al., 2011; Conn and Silber, 2013). There is a significant positive relationship, and the greatest rate of change generally occurs between 9 and 15 knots, corresponding roughly to an increase in probability of lethality from 20 to 80% (see Vanderlaan and Taggart, 2007). Vessel speed limits help to reduce the anthropogenic mortality risk and possibly collision probability (Gende et al., 2011; Conn and Silber, 2013). AIS provides data on vessel speed which has been combined with density to examine threats of collision (Felski et al., 2015; Rockwood et al., 2017).

Our objective was to use available SAIS data to delineate spatial locations where vessel traffic density and speed were high in known areas of cetacean concentrations off the coast of Washington state. The use of SAIS data was necessary because only a little more than half of the study area water was within the potential range of terrestrial AIS.

In Washington state waters, there were 19 of 130 (15%) strandings from 1980 to 2006 that showed evidence of collisions with vessels. Numerous biases in collision detection lead to underestimates in true numbers of mortalities (Douglas et al., 2008), so the actual number is likely higher (Williams et al., 2011). There are Biologically Important Areas (BIAs) in this research area for gray (*Eschrichtius robustus*) and humpback whales (*Megaptera novaeangliae*) (Calambokidis et al., 2015; **Figures 1**, **2**). BIAs are species, region, and time specific areas which the National Oceanic and Atmospheric Administration (NOAA) Fisheries, Cetacean Density and Distribution Working Group has identified as important for reproduction, feeding, migrating, or small and residential populations (Ferguson et al., 2015).

At the time of this writing, NOAA has declared an active Unusual Mortality Event (UME) for gray whales along the United States west coast from California to Alaska indicating above-average mortality rates: 34 strandings in Washington state waters and 10 in Canadian waters between January and September of 2019 (National Oceanic and Atmospheric Administration [NOAA], 2019). The gray whale BIAs in our study area were based on migratory corridors between annual feeding and reproductive areas, from numerous survey methods and expert opinion (Calambokidis et al., 2015; Figure 2). Assessing patterns of vessel behavior in these areas can help managers evaluate potential impacts to this vulnerable population suffering from elevated mortality events. While the United States Fish and Wildlife Service removed the species-level listing of humpback whales on the Endangered Species List, some unique migratory populations are still listed as Threatened or Endangered (United States Fish and Wildlife Service [USFWS], 2016). The humpback whale BIAs were based on surveys and opportunistic sources about highly concentrated feeding animals (Calambokidis et al., 2015; Figure 2). We used these BIAs as a proxy for areas of high cetacean concentration for each species.

SAIS can now facilitate a complete global picture of vessel activity (Skauen, 2019). The growing number of satellites in orbit capable of transmitting SAIS data suggests that temporal gaps between satellite passes over an area will be minimized (McCauley et al., 2016). If this trend continues, it may become increasingly more attractive to use SAIS data instead of terrestrial AIS data due to its spatial coverage. We took this opportunity to investigate and evaluate potential issues related to monitoring vessel density and speed using SAIS data that may need to be rectified before more pervasive use of the technology can occur. AIS is an imperfect data source as it is limited by human input, data corruption, signal noise, GPS faults, and gyrocompass or other instrument failure onboard the target vessel (Aarsæther and Moan, 2009; McGillivary et al., 2009; Silber and Bettridge, 2010; Robards et al., 2016). Further, gaps in SAIS data are also evident (Allen et al., 2018; Eriksen et al., 2018), especially in areas of high activity due to satellite congestion (Jia et al., 2019). Despite these issues, both AIS and SAIS provide insights on vessel activity otherwise unavailable.



Sanctuary (OCNMS).

In this research, we provide a baseline understanding of vessel activity off the Washington coast as a foundation for future risk assessments. Extensive research on risk to North Atlantic right whales on the United States east coast has shown the effectiveness of reduced speed and routing alternatives in reducing whale mortality from vessel collisions (Laist et al., 2014). However, the vessel patterns within United States waters outside the Strait of Juan de Fuca has still not been studied. Multiple species of large whales off the coast of Washington state are vulnerable to collisions (Douglas et al., 2008; Silber et al., 2010). This geographic focus area is important due to its connections to several primary ports and the presence of multiple species of slow-reproducing whales recovering from past population declines.

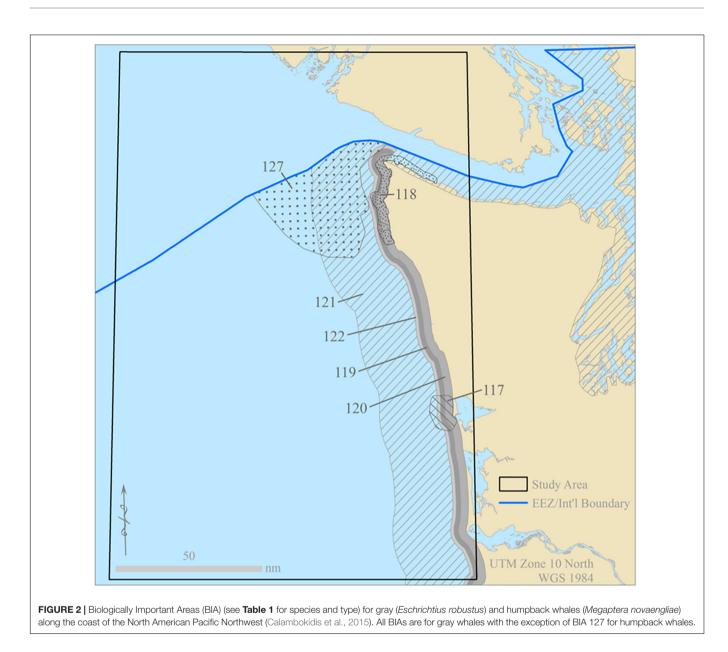
MATERIALS AND METHODS

Study Area

Our research site was offshore from the important North American west-coast ports of Vancouver, Seattle, Tacoma, and Portland (**Figure 1**). The specific study area was between 46–49°n and 124–127°W, and defined the extent of SAIS data

collection. Reaching 90 to 125 nm offshore of the state of Washington in the northwestern United States, the study area extended from roughly the mouth of the Columbia River in the south to the Strait of Juan de Fuca and southern Vancouver Island in the north.

Inside the Strait of Juan de Fuca are the Ports of Tacoma and Seattle, major United States shipping ports, and Port Metro Vancouver (Figure 1). Port Metro Vancouver is the largest Canadian port, handling roughly the same amount of total tonnage as the Port of New York and more than Seattle and Tacoma combined (United States Army Corps of Engineers [USACE], 2016; Port Metro Vancouver, 2017). Tacoma and Seattle ranked 7th and 10th, respectively, in the United States for total container ship traffic in 2013 and 29th and 31st, respectively, for total tonnage in the United States in 2014 (United States Army Corps of Engineers [USACE], 2015, 2016). These ports connect internationally to East and Southeast Asia, and domestically to Alaska, Hawaii, and the West Coast of the United States. Additionally, the Port of Portland is located inland from the mouth of the Columbia River. Portland ranked 25th among United States ports for total container ship traffic in 2013 and 28th for total tonnage in 2014 (United States Army Corps of Engineers [USACE], 2015, 2016).



Within the full 75,367 km² study area, 88% is open water and 12% is land. Administrative areas in the study area include the NOAA administered Olympic Coast National Marine Sanctuary (OCNMS), an International Maritime Organization (IMO) designated Area to be Avoided (ATBA), and part of the United States Coast Guard (USCG) controlled Juan de Fuca Traffic Separation Scheme (TSS) (**Figure 1**). Within the study area, there are seven BIAs, six for gray whales and one for humpback whales (**Table 1**; and **Figure 2**).

SAIS Information

Satellite AIS data were collected by exactEarth Ltd. (Cambridge, ON, Canada) for the calendar years 2013 and 2014, and received from the OCNMS. Each record in the tables corresponded to an individual AIS broadcast. AIS information is comprised of static information that does not change over the course of a

voyage, and dynamic information that can change as frequently as every AIS broadcast.

The original SAIS data that were received had 3,045,407 records for the year 2013 and 2,941,900 for 2014. The years 2013 and 2014 had consistent fuel sulfur regulations (10,000 ppm or 1.0%) for vessels operating within the North America Emissions Control Area, 200 nm from the coast (Environmental Protection Agency [EPA], 2010). Thus, there were no temporal changes in traffic patterns based on emissions controls standards during our study period (Jensen et al., 2015). A further reduction in sulfur regulations to 0.1% occurred in 2015 (International Maritime Organization [IMO], 2019). Although evidence from California suggests that ships may alter their speed based on new regulations (Moore et al., 2018), differences in shipping patterns are seen to principally reflect longer term economic changes (Jensen et al., 2015). The results of this study are meant to inform management

Number	Species	Name	Туре	Months	Are a within study area (nm) ²	Description
117	Gray whale	Grays Harbor	Feeding	April–November	86.8	Outside Grays Harbor
118	Gray whale	Northwest Washington	Feeding	May-November	150.1	N o rthwest tip o f Olympic Peninsula
119	Gray whale	Northbound Phase A	Migration	January–July	652.3	Within 8 km of coast
120	Gray whale	Northbound Phase B	Migration	March-July	393.8	Within 5 km of coast
121	Gray whale	Potential Presence	Migration	Jan.–July, Oct.–Dec.	4111.8	Within 47 km of coast
122	Gray whale	Southbound	Migration	October-March	828.7	Within 10 km of coast
127	Humpback whale	Northern Washington	Feeding	May-November	989.2	Northern part of United States EEZ

TABLE 1 Summary of Biologically Important Areas (BIA) within the North American Pacific Northwest (Calambokidis et al., 2015).

of past trends and provide insight on the use of SAIS data for evaluating vessel activity.

Data Preparation

We conducted data quality control, starting with removing duplicate SAIS records, defined as records having the same Maritime Mobile Service Identity (MMSI), latitude, longitude, and time. The MMSI is a unique, regulated, and coded identifier for a ship. The second quality control step was to remove all records with a missing or null MMSI. Next, we created a tabular relationship between dynamic SAIS information and static vessel information, using the MMSI as a primary key. We used ArcGIS (Environmental Systems Research Institute [ESRI], 1999–2018) geoprocessing and the programming language Python (Python Software Foundation, 1990–2019) running in PyScripter (Vlahos, 2005–2015) to write or modify numerous Python scripts.

The first script reprojected the point data into the Universal Transverse Mercator (UTM) Zone 10 North projection based on the Geographic Coordinate System (GCS) World Geodetic System (WGS) 1984, which allows distance to be measured in meters. AIS latitude and longitude are collected from a GPS receiver, which is based on GCS WGS 1984. The second Python script ran a spatial selection of only the SAIS points that were broadcasted from the water, and eliminated random error points located on land (Jensen et al., 2015). We added and calculated new fields for season and day/night based on the time stamp. Seasons were defined as Winter (January-March), Spring (April-June), Summer (July-September), and Autumn (October-December) (Forney and Barlow, 1998; Becker et al., 2014; Jensen et al., 2015). Day and night were defined by using published nautical twilight times from the United States Naval Observatory, Astronomical Applications Department for Forks, WA and Ocean Shores, WA for the years 2013 and 2014. The nautical twilight was defined per month by using the average time from the 15th of each month over the study area (Jensen et al., 2015).

We created ship transit line segments and evaluated transit contiguity (Jensen et al., 2015) by joining sequential SAIS data points from the same vessel to create straight line segments between these points. The time difference and distance between sequential points defined the calculated speed over ground (SOG). While reported SOG from terrestrial AIS systems has previously been used to evaluate threat of collision to cetaceans in coastal areas (van der Hoop et al., 2012; Conn and Silber, 2013), we chose to use a calculated SOG due to frequent gaps in SAIS data. Eriksen et al. (2018) used calculated SOG to identify SAIS records with large temporal or positional gaps, therefore unusable in analysis, by removing vessel transits with implausible speeds. We employed a similar approach (see below). The final part of the script evaluated transit contiguity, a single vessel on a continuous transit, based on MMSI, Trip ID, time between broadcasts, and heading difference.

We merged all 24 months' of line segments and the 22 OCNMS-specified vessel types (see **Table 2**) together to create complete transit lines. We analyzed the years 2013 and 2014 together to simplify calculations, despite differences in overall mean vessel speeds. In 2013, 42.8% of the data were removed due to duplicate records, whereas this was only 5.8% for 2014. We conducted analyses at the vessel type level to minimize inherent inter-type vessel differences.

Calculated Speed Truncation

Truncation of calculated SOG values, an attempt to enumerate the highest possible legitimate speed per vessel type, was necessary because of errors in the data or processing that led to implausible SOG values and means, common in SAIS data (Eriksen et al., 2018). The truncation threshold was an estimate of the maximum plausible and attainable speed for each vessel type. The maximum calculated speed of liquefied gas carriers, cable layers, and pollution control vessels were less than one knot under the truncation threshold. Furthermore, the three vessel types in the tanker category had identical truncation thresholds. Combined, these relative measures of accuracy and precision indicate that the truncation threshold method was a workable approximation for maximum speed.

Truncation meant removing any record where the calculated speed was greater than a given threshold from the following equation:

$$TT_{\alpha} = \bar{x}_{\alpha} + (3 \times \sigma_{\alpha})$$

where TT_{α} is the truncation threshold for vessel type α , \bar{x}_{α} is the mean non-0 broadcast SOG value for type α , and σ_{α} is the standard deviation of non-0 broadcast SOG values for type α . This equation was derived from examining histograms and statistics of broadcast SOG values and validated by expert opinion (G. Galasso, Deputy Superintendent, Olympic Coast National Marine Sanctuary, pers. comm.). The use of non-zero broadcast SOG values was necessary because 36.7% of all

TABLE 2 Overall calculated and broadcast speed over ground (SOG) by vessel type for 2013 and 2014 combined.

		ated spee ground	ed	Broadcast speed over ground		
Vessel type	Mean (Knots)	SD (Knots)	Count	Mean (Knots)	SD (Knots)	Count
Cargo						
Bulk carrier	10.5*	4.1	963279	8.5	5.4	976195
Cargo ship	11.2*	3.8	77292	9.5	5.2	78431
Container ship	16.1*	4.7	382776	13.4	7.3	392957
Refrigerated cargo	11.7*	2.6	2851	8.4	5.3	3144
RORO Cargo Ship	16.9*	5.7	45424	14.0	8.1	46857
Vehicle carrier	14.0*	4.9	194421	11.5	6.8	197485
Tanker						
Chemical carrier	11.8*	3.7	51393	9.8	5.5	52312
Liquefied gas carrier	13.5*	3.8	2627	12.8	4.5	2660
Oil tanker	12.4*	3.4	198504	10.3	5.5	201838
Tug						
Articulated tug barge	9.1*	2.7	85510	7.5	4.3	86458
Tug	6.7*	3.2	317997	5.4	3.9	322224
Fishing						
Fishing vessel	3.0*	3.6	1400609	2.5	3.4	1408449
Passenger						
Passenger ship	18.2*	4.7	50239	15.8	7.8	51086
Miscellaneous						
Cable layer	8.1	5.1	2056	8.3	5.1	2061
Dredger	3.6*	3.7	50877	2.5	3.4	51693
Drill ship	3.0	4.4	750	3.2	4.4	752
Pollution control	0.6*	1.9	55869	0.4	1.8	55941
Private vessel	7.5*	5.3	24783	6.2	5.6	25025
Public vessel	6.5*	8.1	276096	6.2	8.4	282194
Research ship	5.0*	4.4	37174	4.4	4.3	37404
Supply ship	10.4	6.6	4306	10.9*	6.7	4531
Unknown	3.8*	3.9	833	3.1	3.9	842

Vessel types are as specified by the Olympic Coast National Marine Sanctuary. The asterisk (*) indicates a statistically significantly higher mean SOG ($\alpha = 0.05$) when comparing calculated versus broadcast SOG within a vessel type.

broadcast SOG values were zero, skewing the mean and inflating the standard deviation.

We overlaid vessel transit lines with truncated average speed values across a range of areas of interest. This included the entire space and time of the study area, and spatial subsets that included the OCNMS, ATBA, and the BIAs during active months. We examined temporal subsets that included day versus night and the four seasons. The overall truncation rate of 1.28% allowed the vast majority of data to be retained for further analysis. However, truncation was necessitated in almost 55,000 records, due to either anomalous location or time stamp broadcasts.

Several vessel types with the highest truncation thresholds (supply ship, container ship, roll-on/roll-off (RORO) cargo ship, and public vessel) also had some of the highest rates of data truncation (**Supplementary Table S1**). The source of these high rates of truncation and any possible correlation within SAIS is unclear.

Hexagon Average Speed and Density

The Olympic Coast NMS has used hexagons, one square statute mile or 2.6 square kilometers in area, as a unit of measurement or observation as part of their spatial planning process (N. Wright, Marine Geographer, Olympic Coast NMS, pers. comm.). There are 29,542 homogenous hexagons for the entire study area, including the ONMS. We calculated mean SOG and number of vessel transits per month for vessel transits across each hexagon that intersected the BIAs.

RESULTS

Total Transits and Distance Traveled

The commercial ports of Vancouver, Seattle, Tacoma, and Portland drove much of the vessel traffic in the study area. Bulk carriers had the most cargo transits. Miscellaneous category vessels without private and public vessels accounted for a very small proportion of vessel transits and distance traveled.

The 42,629 sum total transits of all vessels in the years 2013 and 2014 covered 2,694,197 nm. Fishing vessels account for the most total vessel transits at 26.9%, followed by bulk carriers at 23.5% (**Supplementary Table S2**). The only other vessel type to have greater than 10% of the total transits is container ships (10.4%). Seasonally, as found in Jensen et al. (2015) outside San Francisco Bay, vessel speeds did not change overall, with the exception of passenger ships that traveled more slowly in the autumn and winter, although still at speeds greater than 15 knots (**Supplementary Table S3**).

The cargo category made up 41.7% of total transits, the most of any category. With the exception of public vessels and private vessels, most vessel types in the miscellaneous category registered very few transits. The remaining seven vessel types in the miscellaneous category only account for 2.2%. Vessels in the cargo and tanker categories averaged 27.7 transits per day.

Bulk carriers accounted for the most distance traveled by any one vessel type (32.0%). Only fishing vessels (16.8%) and container ships (13.2%) accounted for more than 10% of total distance traveled. Cargo category vessels traveled more than half (56.0%) of all distance traveled.

Overall Average Calculated SOG

Passenger ships, including ferries, showed the greatest average calculated SOG, 18.2 knots (**Table 2**). These were followed by RORO cargo ships (16.9 knots), container ships (16.1 knots), and vehicle carriers (14.0 knots). The five vessel types with the greatest average calculated SOG also had the five greatest average broadcast SOG. Supply ships were the only miscellaneous category vessel type to average greater than 10 knots (10.4 knots). Public vessels had the greatest variability of speeds, with a standard deviation of 8.1 knots, followed by supply ships (6.6 knots) and RORO cargo ships (5.7 knots).

With the exceptions of three vessel types (cable layer, drill ship, and supply ship), all vessel types had a greater calculated SOG than broadcast SOG. We tested if the calculated and broadcast speeds came from the same statistical population using both the parametric Welch t-Test and the non-parametric Mann-Whitney-Wilcoxon Test. Further, with the exception of four vessel types (fishing vessels, dredgers, pollution control, and research ships), all vessel types had an equal or greater broadcast SOG standard deviation than calculated SOG standard deviation (**Table 2**).

Biologically Important Areas

There are three feeding BIAs in the study area, each of which was transited by most vessel types (**Figure 3**). The areas of the BIAs most frequented by vessels were the western and northern regions of the humpback whale feeding BIA. The southeastern part of this BIA is inside the IMO-designated ATBA, which specifies an area that all ships greater than 400 gross tonnage should avoid for safety and environmental concerns. The shipping lanes entering and exiting the Strait of Juan de Fuca had the highest density of vessels. Vessels in the Grays Harbor and Northwest Washington feeding BIAs for gray whales were not as common, with the exception of the Strait of Juan de Fuca. Commercial vessels infrequently transited the Grays Harbor BIA.

There were four gray whale migration BIAs within the study area. The Northbound Phase A, Northbound Phase B, and Southbound migration BIAs are located within eight-, five-, and ten-kilometer buffers of the coast, respectively. Due to this coastal proximity and the ATBA, there were relatively few vessels in any of these BIAs. However, the potential presence BIA extends 47 km from the coast and was transited by all vessel types (**Figure 4**). Fishing vessels, tugs, private vessels, public vessels, and research ships utilized the entire area, while most vessels in the cargo and tanker categories avoided the ATBA, which overlaps with a large portion of the central part of this BIA.

Container ships had the greatest combined average SOG and density, particularly in the northern region of the BIA. The northern portion of the BIA at the mouth of or inside the Strait of Juan de Fuca had the highest densities of most vessel types. Drill ships and unknown vessel types were very uncommon in the potential presence BIA. Tugs and ATBs showed very different movement patterns. Tugs traversed the entire BIA, but ATBs followed the pattern of commercial vessels and avoided the ATBA.

A full tabular statistical summary for calculated SOG in the four BIAs analyzed can be found in **Supplementary Table S4**. Most transits for most vessel types across the BIAs occurred at less than 15 knots (**Table 3**). Notable exceptions were the fastest vessel types (container ship, RORO cargo ship, vehicle carrier, and passenger ship). Grays Harbor had the greatest proportion of vessel speeds below 15 knots. This BIA is just offshore, so vessels were likely approaching or leaving port at slower speeds.

DISCUSSION

Satellite AIS data are valuable for assessing broad-scale patterns of human activity in off-shore waters (Rowlands et al., 2019) and will likely grow in value and accessibility over time (McCauley et al., 2016), as the use of SAIS-derived data allows for vessel tracking much further from the coast than is possible with terrestrial receivers. By analyzing vessel density and speed using SAIS data, our contribution to the current understanding of cetacean collision risk assessment was two-fold. First, we broadly assessed vessel density and speed by vessel type and further narrowed the assessment within the active months of BIAs for local cetaceans. Second, we investigated issues related to the nature of SAIS data and their potential impact on its successful use for informing cetacean-vessel collision risk assessments in the future.

Overall Average Calculated Speed Over Ground

Most vessel types had a greater average calculated SOG than average broadcast SOG. It is important to use calculated SOG in any analysis so that the risk from potential vessel collisions is not underestimated by using broadcast SOG. Bulk carriers, cargo ships, refrigerated cargo, and chemical carriers had an average broadcast SOG less than 10 knots, but an average calculated SOG greater than 10 knots, the speed limit for North Atlantic right whale seasonal management areas (Laist et al., 2014). Similarly, container ships and RORO cargo ships crossed the 15 knot threshold when average calculated SOG was considered instead of average broadcast SOG. Exceeding these thresholds could have important management implications. More research is needed to document the difference between broadcast and calculated SOG when using SAIS data.

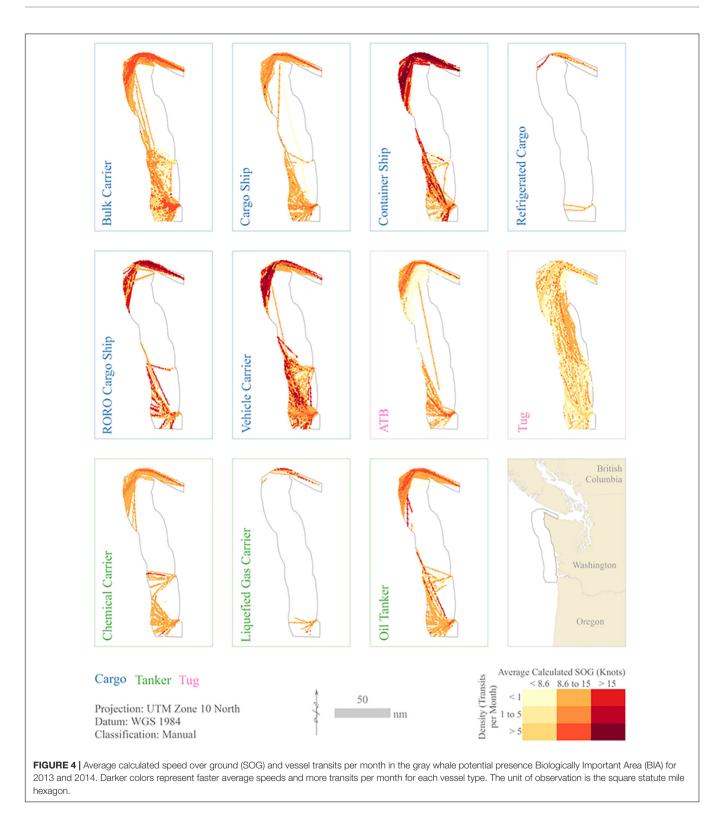
Container ships were one of the fastest and most common vessel types. Although passenger ships did not comprise a large proportion of transits or total distance, they were the fastest vessel type, and thus warrant special consideration in any potential future risk assessment. Fishing vessels and bulk carriers, the most common vessel types, had average calculated SOG less than or near the 10 knot speed restrictions that are commonly used in whale management areas (Laist et al., 2014). All other cargo and tanker ships had average calculated SOG values above 10 knots. Vessel categories that transited across the study area (cargo, tanker, and passenger) tended to have greater average speeds than those working within the study area (fishing, miscellaneous). These categories should warrant potentially differing policy and analysis considerations. Tugs and ATBs had characteristically different patterns, with more common tugs behaving like small vessels and less common ATBs behaving like larger cargo ships. ATBs are generally much larger than tugs, and are likely subject to ATBA restrictions.

Biologically Important Areas

The northern-most portion of the BIAs had the highest concentration of vessel traffic and the fastest average vessel speeds. The Northern Washington feeding BIA for humpback whales had the greatest number of transits among the feeding BIAs, due to its location just offshore of the TSS. While this BIA does not extend north of the United States EEZ to cover the shipping lanes between the Strait of Juan de Fuca and Alaska and Asia, it does overlap the shipping lanes toward the United States West Coast and Hawaii. The Northwest Washington feeding BIA for gray whales was infrequently transited, as it is located close to shore. The Grays Harbor feeding BIA for gray whales was



FIGURE 3 | Average calculated speed over ground (SOG) and vessel transits per month in the feeding Biologically Important Areas (BIA) for gray and humpback whales for 2013 and 2014. Darker colors represent faster average speeds and more transits per month for each vessel type. The unit of observation is the square statute mile hexagon.



transited most commonly by tugs, fishing, public, and private vessels. Commercial traffic in this area was uncommon.

The potential presence migration BIA for gray whales is spatially extensive and located from the mouth of the Strait of Juan de Fuca south along the coast, and was transited by all vessel types. The majority of large, commercial vessels abided by the ATBA restrictions. Notable vessel types inside the ATBA were tug, public, private, research, and fishing vessels. Each of these vessel types had a low average calculated SOG. The other gray whale migration BIAs are located within several kilometers of

TABLE 3 | Percent of calculated speed over ground (SOG) values less than 15 knots for the entire research area and within each of the Biologically Important Areas (BIA) for 2013 and 2014.

	Biologically important areas									
Vessel type	Overall	Grays Harbor	NW Washington	Potential presence	N Washington					
Cargo										
Bulk carrier	95.2	99.4	98.3	94.7	93.4					
Cargo ship	92.2	100.0	100.0	91.3	86.2					
Container ship	33.8	55.2	30.7	40.6	32.8					
Refrigerated cargo	89.7		100.0	89.7	79.5					
RORO cargo ship	33.7	77.8	26.9	47.4	34.8					
Vehicle carrier	44.8	90.2	67.4	53.1	32.5					
Tanker										
Chemical carrier	90.2	98.8	92.0	91.7	90.7					
Liquefied gas carrier	61.1		0.0	82.2	75.7					
Oil tanker	83.6	100.0	97.0	86.5	87.1					
Tug										
Articulated tug barge	99.7		100.0	99.7	99.8					
Tug	100.0	100.0	100.0	100.0	100.0					
Fishing										
Fishing vessel	100.0	100.0	100.0	100.0	99.9					
Passenger										
Passenger ship	17.7	100.0	34.8	25.5	17.0					
Miscellaneous										
Cable layer	98.6			98.1	99.3					
Dredger	100.0	100.0	100.0	99.9	100.0					
Drill ship	100.0			100.0	100.0					
Pollution control	99.9	100.0	99.2	99.5	100.0					
Private vessel	93.2	99.1	93.7	91.2	85.4					
Public vessel	84.0	93.1	58.9	68.3	83.7					
Research ship	99.3	100.0	99.8	99.5	99.7					
Supply ship	83.3	100.0	98.2	71.8	100.0					
Unknown	100	100.0	100.0	100.0	100.0					

the coast (Figure 2). Exploratory analyses showed relatively few vessels transits in these areas, so they were not considered for further analysis.

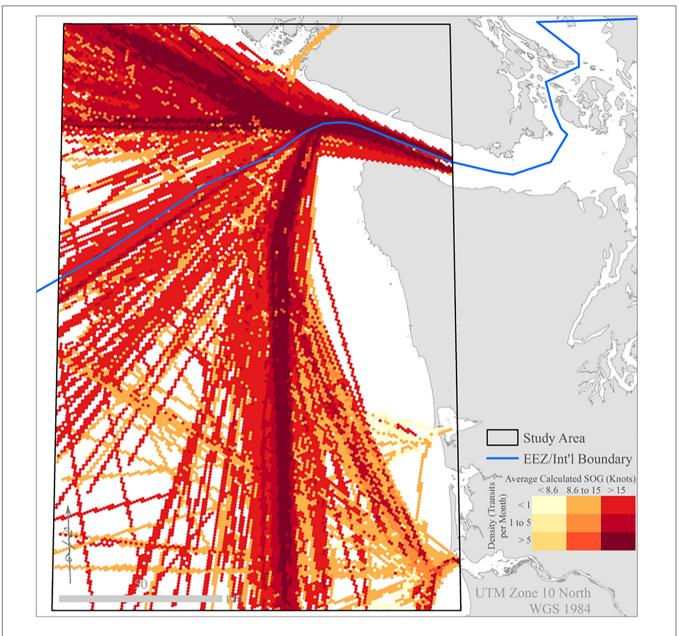
The BIAs were designated solely within the United States EEZ and do not cross international boundaries (Calambokidis et al., 2015). However, the shipping routes between the Strait of Juan de Fuca and Asia and Alaska continue north and west of the United States EEZ, in an area with frequent humpback whale sightings (Calambokidis et al., 2015). The study area extended to cover Canadian waters, and vessel speed and density remain high in the shipping routes extending toward Asia and Alaska (dark red in **Figure 5**). We recommend that future analyses or management planning concerning whales or vessel traffic, including risk analyses, should be considered a transboundary effort.

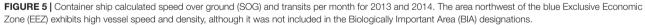
Marine Spatial Planning

Using AIS in the risk analysis process is one potential tool in marine management (Wiley et al., 2013). Marine Spatial Planning (MSP) is designed as an adaptive spatial planning process to

help manage current and future human activities in the marine environment to meet a variety of objectives and minimize useruser and user-environment interactions by engaging multiple stakeholders (Ehler and Douvere, 2007; Ehler, 2008; Foley et al., 2010; Redfern et al., 2013). Recent examples of successful MSP for cetacean protection are the shifts in the TSS outside Boston, Massachusetts, and San Francisco Bay, California (Wiley et al., 2013; United States Coast Guard [USCG], 2013). The scientific processes used stakeholder involvement throughout, created numerous alternatives, showed how challenges can help the process, and used AIS to evaluate and monitor results (Wiley et al., 2013).

Since AIS is an international standard (Tetreault, 2005), the SAIS data that were provided crossed jurisdictional boundaries along the border with Canada. Off the coast of British Columbia, risk to several species of cetaceans has been investigated (Williams and O'Hara, 2010). The findings of the present research, including calculated SOG and SAIS data, should be important considerations in any MSP processes off the coast of the state of Washington and British Columbia in Canada. The

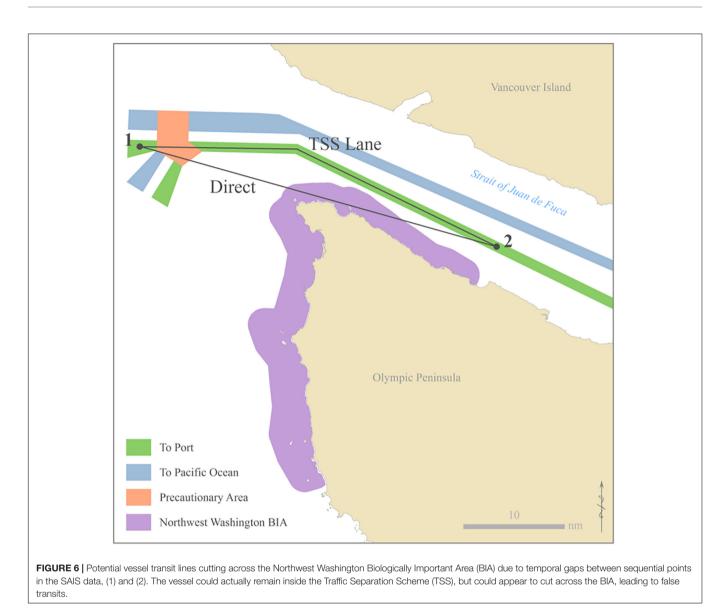




SAIS data, within its limitations and at small scale, are an effective means to delineate areas of high use for vessel traffic, even across international boundaries. Calculating vessel speed is critical to avoid underestimating vessel speed and the probability of a lethal vessel and cetacean collision.

Limitations

One of the main limitations of this research is the temporal resolution of the available SAIS data. The ability to precisely track vessel movement decreases with increasing time between sequential points. Vessels can potentially transit around corners, but large amounts of time between SAIS broadcasts can make transit lines appear to cut those corners. This is evident in many vessel types on the northwestern tip of the Olympic Peninsula and the near-shore BIAs (see **Figure 6** as an example). This introduces the potential for a vessel to appear to cross a BIA when in fact it did not. The TSS, controlled by the USCG and used by vessels for insurance and accident coverage purposes, never intersects the Northwest Washington BIA. However, the TSS circumnavigates this BIA, and it is therefore possible that a vessel remaining in the TSS could appear to transit through the BIA. This results in calculated transits across the BIA, sometimes at high SOG, that never actually occurred. The other BIAs, the TSS, ATBA, and OCNMS are also susceptible to this limitation.



Since the shortest distance between two points is a line and the time between points remains identical despite the actual path taken, the calculated SOG, while faster than broadcast SOG, is still a systematic underestimation of true SOG, assuming random GPS error. As distance increases for a vessel to traverse around a corner and time remains the same, speed also must increase. Using the example in **Figure 6**, the TSS lane distance and speed are greater than the direct distance and speed between points 1 and 2. Although we may be overestimating the number of transits through some administrative areas, this would be associated with an underestimation of SOG. Most vessels are required to broadcast every few seconds while under way using engine, but the time gap between SAIS records is frequently on the order of minutes or hours. The uncertainty in vessel path is unknown, but will increase with path sinuosity.

As an emerging technology, current SAIS presents tremendous opportunities for research, but caution should be used and uncertainty addressed when using this technology for large scale applications. Temporal gaps in vessel transits add uncertainty to transit path and calculated SOG that was not quantified in this research. Duplicate records accounted for 42.8% of total SAIS records for the year 2013. Broadcast SOG values were zero in 36.7% of all records. There were numerous time, location, and missing value errors that had to be addressed prior to data analysis. Units of measurement for vessel length were also not consistent. These factors cast into question the reliability of individual values and the present quality of SAIS data as a whole, and add uncertainty to automated aggregate calculations. SAIS data in its current state should not be used in a policy enforcement context or for documenting individual presence or absence in an administrative area at large scale. However, SAIS data can be helpful to assess general or overall compliance within an area of interest. As calculated SOG is an underestimation of true vessel speed, SAIS can be helpful in documenting minimum vessel speeds across large geographic areas, especially beyond the reach of terrestrial AIS receivers.

SAIS is useful in examining vessel density at broad scales, and could be used to assess basin-wide open ocean routes. Future additional satellite platforms with AIS receivers will only increase the quality of SAIS data and decrease the amount of temporal gaps. This will open potential research questions involving larger scale questions of specific areas.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

AUTHOR CONTRIBUTIONS

NG conducted the analysis and led the project. All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fmars. 2020.00109/full#supplementary-material

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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