



# Response of Pelagic Calcifiers (Foraminifera, Thecosomata) to Ocean Acidification During Oligotrophic and Simulated Up-Welling Conditions in the Subtropical North Atlantic Off Gran Canaria

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Planktonic Foraminifera and thecosome pteropods are major producers of calcite and aragonite in the ocean and play an important role for pelagic carbonate flux. The responses of planktonic foraminifers to ocean acidification (OA) are variable among the species tested and so far do not allow for reliable conclusion. Thecosome pteropods respond with reduced calcification and shell dissolution to OA and are considered at high risk especially at high latitudes. The present investigation was part of a large-scale *in situ* mesocosm experiment in the oligotrophic waters of the eastern subtropical North Atlantic. Over 62 days, we measured the abundance and vertical flux of pelagic foraminifers and thecosome pteropods as part of a natural plankton community over a range of OA scenarios. A bloom phase was initiated by the introduction of deep-water collected from approx. 650 m depth simulating a natural up-welling event. Foraminifers occurred throughout the entire experiment in both the water column and the sediment traps. Pteropods were present only in small numbers and disappeared after the first two weeks of the experiment. No significant CO<sub>2</sub> related effects were observed for foraminifers, but cumulative sedimentary flux was reduced at the highest CO<sub>2</sub> concentrations. This flux reduction was most likely accompanying an observed flux reduction of particulate organic matter (POM) so that less foraminifers were intercepted and transported downward.

**Keywords:** ocean acidification, pteropods, foraminifers, mesocosm experiment, oligotrophic ocean, subtropical, North Atlantic, export flux

## 1. INTRODUCTION

The global ocean absorbs yearly about 27% of the anthropogenically emitted CO<sub>2</sub> (Rhein et al., 2013; Le Quéré et al., 2015) whereby the seawater chemistry is changed and the pH, the carbonate ion concentration [CO<sub>3</sub><sup>2-</sup>] and the saturation states (Ω) of the calcium carbonates (CaCO<sub>3</sub>) calcite (ca) and aragonite (ar) decline (Zeebe and Wolf-Gladrow, 2001). This phenomenon is termed ocean

acidification (OA). Presently, the mean ocean surface pH ranges between 7.8 and 8.4 and models project a further decrease of 0.1–0.4 units by the end of this century (Ciais et al., 2013). OA has the potential to impact marine life on organismal and ecosystem levels (e.g., Wittmann and Pörtner, 2013; Dutkiewicz et al., 2015; Hammill et al., 2017; Riebesell et al., 2017). Calcifying organisms are particularly sensitive because shell and skeleton formation becomes increasingly difficult with decreasing calcium carbonate saturation states (Guinotte and Fabry, 2008; Doney et al., 2009; Kroeker et al., 2010, 2013). The ability of calcifiers to deal with OA conditions therefore critically depends on how much they are able to regulate intracellular pH during calcification (Stumpp et al., 2012). Foraminifers for example can elevate the pH at calcification site by one unit above seawater pH (Bentov et al., 2009; de Nooijer et al., 2009).  $\text{CaCO}_3$  saturation states are generally highest in the tropics and lowest at high latitudes, because  $\text{CO}_2$  solubility increases with decreasing temperature (Fabry et al., 2008). The higher  $\text{CO}_2$  solubility in cold water intensifies this process due to increased uptake from the atmosphere. In contrast, the warm surface waters of the tropics and subtropics will not become aragonite or calcite undersaturated over the range of  $\text{CO}_2$  concentrations projected for this century (Ciais et al., 2013), although in some upwelling regions shoaling aragonite saturation horizons intrude on the depth ranges of calcifying planktonic organisms (Feely et al., 2004).

The vertical and temporal distribution of planktonic foraminifers (Protozoa) is mainly controlled by sea surface temperature (SST), hydrography and phytoplankton biomass in the productive surface layers (Schiebel and Hemleben, 2000; Wilke et al., 2009). Similar relationships determine the occurrence of pteropods (metazoa, pelagic gastropods) (Almogi-Labin et al., 1988; Fischer et al., 1996). Planktonic foraminifers and euthecosomatous (shell-bearing) pteropods are the major calcifiers among marine zooplankton (Fabry et al., 2008). Pelagic Foraminifera make their shells (or tests) of calcite, whereas pteropods produce shells of aragonite, a metastable form of  $\text{CaCO}_3$  that is 50% more soluble than calcite (Mucci, 1983). Both groups are wide-spread in the ocean and contribute significantly to particulate inorganic carbon (PIC) and they also contribute to particulate organic carbon (POC) flux to depth (Schiebel, 2002; Tsurumi et al., 2005; Wilke et al., 2009). On a global mean, 25% of total calcite production of planktonic foraminifers sinks to the deep ocean sediment surface which is equivalent to about 32–80% of total calcite flux to the deep ocean (Schiebel, 2002). Pteropods are more patchily distributed and thus aragonite flux rates show a high temporal and regional variability, but occasionally they can dominate carbonate flux (Bathmann et al., 1991; Schiebel, 2002). The contribution of aragonite to the total calcium carbonate flux oceanwide was estimated to ~12% (Berner and Honjo, 1981), but in some areas it can amount to >50% of total  $\text{CaCO}_3$  flux (Lalli and Gilmer, 1989). The contribution of pteropods to global pelagic carbonate production is estimated to 20–42% (Bednaršek et al., 2012a).

Due to their aragonitic shell, thecosome pteropods are particularly threatened by OA because their shells dissolve easily when  $\Omega_{\text{ar}}$  is nearing 1 and calcification rates decline with

decreasing  $\Omega_{\text{ar}}$  (Comeau et al., 2010a,b; Lischka et al., 2011; Bednaršek et al., 2012b, 2014). Also, their survival is affected by  $\text{pCO}_2$  and habitat suitability is declining where the occurrence of undersaturated water with respect to aragonite is increasing (Lischka et al., 2011; Bednaršek et al., 2014; Thabet et al., 2015). No true OA perturbation studies are currently available on planktonic foraminifera. Studies that are available do not allow for clear distinction of confounding factors (e.g., Lombard et al., 2010; Keul et al., 2013). For benthic foraminifers, lab experiments revealed a range of responses to OA that include positive and negative correlations with  $\text{pCO}_2$  depending on species (e.g., Schmidt et al., 2014). Survivorship of large benthic foraminifers for example was unaffected (McIntyre-Wressnig et al., 2013; Schmidt et al., 2014; Prazeres et al., 2018). Growth and calcification of benthic species increased in response to elevated  $\text{pCO}_2$  (Vogel and Uthicke, 2012), yet net calcification rates of the planktonic foraminifer *Neogloboquadrina pachyderma* decreased under low pH conditions and in combination with elevated temperature this effect was moderated. Survival was not affected at all (Manno et al., 2012). Field observations underline that there is no simple relationship between abundance and growth of planktonic foraminifers and environmental conditions (e.g., carbonate saturation, temperature, productivity, optimum growth conditions) with substantial interspecies- and intraspecies-specific variations (Gonzalez-Mora et al., 2008; Beer et al., 2010; Weinkauff et al., 2016). Shell weight of *Globigerinoides ruber* from the Arabian Sea for example could be related to anthropogenic induced OA scenarios but also to periods of different upwelling intensities (de Moel et al., 2009). Studies along natural  $\text{CO}_2$  gradients revealed that densities and diversity of benthic foraminifer assemblages declined sharply with increasing  $\text{pCO}_2$  at pH levels of <7.9 (>700  $\mu\text{atm pCO}_2$ ) (Dias et al., 2010; Uthicke et al., 2013). On the other hand, modern *Globigerina bulloides* from the Southern Ocean had 30–35% lower shell weights as compared to the underlying Holocene-aged sediments (Moy et al., 2009). This finding is consistent with Davis et al. (2017) who found in laboratory experiments with *Globigerinoides bulloides* calcification and oxygen consumption to decrease with declining pH conditions. It should be noted, however, that most of the species tested, especially the benthic ones, bear symbionts which may mitigate the response to OA by  $\text{CO}_2$ -fertilization through the symbionts. With respect to the ability of organisms to cope with elevated  $\text{pCO}_2$  in the long run, insights from natural habitats may be more conclusive than results from short-term lab experiments can suggest (Vogel and Uthicke, 2012).

For pteropods, most of these studies were conducted in polar regions. In some areas of the Arctic Ocean aragonite undersaturation is starting already now and will continue to expand and intensify in the coming decades. In tropical regions,  $\Omega_{\text{ar}}$  will stay well above 1 even beyond the end of this century and thus, OA may affect calcification rates but probably not cause shell dissolution in these regions. For foraminifers, IPCC projections for tropical regions for the second half of this century correspond with pH ranges for which drastic decrease of densities and diversity of benthic foraminifers have been described (Ciais et al., 2013; Uthicke et al., 2013).

One of the most pressing questions in OA research is, how OA effects described for single species play out at the community level with respect to species abundance and diversity, trophic interactions, and elemental cycling, including the vertical flux of carbon and carbonate. In this regard, large-scale *in situ* mesocosm experiments enclosing natural plankton communities provide a powerful approach to gain a more realistic insight into how OA effects on individual organisms translate to the community level. Recent mesocosm experiments performed in the Baltic Sea, the Skagerrak, and the Mediterranean Sea suggest OA effects on plankton communities may be stimulated during times of low inorganic nutrient concentrations (Paul et al., 2015; Sala et al., 2016; Bach, et al., 2016). Possible OA effects on plankton communities in the oligotrophic waters of the North Atlantic subtropical gyres have not yet been studied. The oligotrophic waters around the Canary Islands in the subtropical North Atlantic are characterized by low nutrient concentrations during most of the year (Aristegui et al., 1997), but regular upwelling events of deep water through island-induced eddy formation as well as upwelling filaments reaching out to the Canary Islands from the West-African coast provide for frequent nutrient pulses and accompanying bloom situations (Aristegui et al., 2001; Sangra et al., 2009).

In this study, we intended to test how possible OA responses of the plankton community may change during a temporary shift from oligotrophic to eutrophic conditions. To simulate this, we conducted a 9-week CO<sub>2</sub>-manipulated mesocosm experiment to which we added natural nutrient-rich deep-water collected in the field midway of the experiment to mimic a natural upwelling event (Taucher et al., 2017). As part of the Gran Canaria KOSMOS study, described in detail in Taucher et al. (2017), this paper focusses on possible OA effects on the occurrence and succession of pelagic calcifiers (thecosome pteropods, heteropods, planktonic foraminifers) in the water column of the mesocosms and on their vertical flux to the sediment traps. Establishing OA conditions through the addition of different amounts of CO<sub>2</sub>-enriched seawater to the mesocosms assured a realistic OA scenario. Thus, this study provided the unique opportunity to investigate for the first time the impact of OA on pelagic calcifiers on ecosystem-level.

## 2. METHODS

### 2.1. Mesocosm Set-Up

To investigate OA effects on a natural plankton community in the oligotrophic subtropical North Atlantic, nine off-shore pelagic mesocosms (KOSMOS: “Kiel Off-Shore Mesocosms for Ocean Simulations”) were deployed and moored on 23 September 2014 in the northern Gando Bay at 27° 55'41" N, 15° 21'55" W (Gran Canaria). Approximate bottom depth at the mooring site was ~ 20–25 m. The bags of the mesocosms extended down to 13 m and were closed with a 2 m long conical sediment trap that allows for regular collection of settled material via a vacuum pump system. After deployment, the bags were initially kept open and submerged to ~ 1 m below the ocean surface so that a free exchange with the surrounding plankton community and water was ensured for 4 days. During this time, the upper

and lower end of the bags were covered with a 3 mm mesh to exclude larger plankton (Cnidaria) and fish. The experiment started on 27 September 2014, when these nets were removed and simultaneously the sediment traps were attached to the bottom and the mesocosm bags pulled up to above the ocean surface to isolate the enclosed plankton community from the surrounding water masses. The water volumes right before deep-water addition ranged between 31.57 and 37.75 m<sup>3</sup> (Taucher et al., 2017). The first CO<sub>2</sub> addition was performed on 1 October and is denoted day 0 (t0), i.e., the start of the experiment was 4 days prior to the first CO<sub>2</sub> manipulation and is denoted day -4 (t-4). The experiment lasted for 62 days with the last sampling day on 27 November (t57). To simulate a natural upwelling event, on t24 we injected deep water in each of the mesocosms. For this 20% of the enclosed water was substituted with deep water collected at 650 m depth to simulate the input of comparable amounts of inorganic nutrients as observed during natural upwelling events in this region (Aristegui et al., 1997; Neuer et al., 2007). Deep-water volumes added to the mesocosms ranged between 7.50 and 8.95 m<sup>3</sup> (Taucher et al., 2017).

OA was simulated by adding different amounts of CO<sub>2</sub>-saturated seawater to seven of the nine mesocosms according to Riebesell et al. (2013) to set up an initial pCO<sub>2</sub> gradient from ambient levels of ~400 μatm to a maximum concentration of ~1,480 μatm in the highest CO<sub>2</sub> treatment. A detailed description of the CO<sub>2</sub> manipulations is given in Taucher et al. (2017). In short, about 1,500 L natural pre-filtered seawater was collected from Melenara Bay using a pipe and bubbled with pure CO<sub>2</sub> gas until saturation. Before filling the CO<sub>2</sub>-saturated seawater in 20 L containers and subsequent boat-transport to the mesocosms, it was filtered again (20 μm). The different amounts of CO<sub>2</sub>-saturated seawater were added to the mesocosms with a special distribution device that assures uniform distribution within a radius of ~1 m (Riebesell et al., 2013). The volume of CO<sub>2</sub>-saturated seawater needed to reach target pCO<sub>2</sub> levels in the mesocosms was calculated from measured DIC and TA concentrations. Determination of the carbonate system was part of the regular sampling effort carried out every second day. CO<sub>2</sub> additions were done stepwise over 7 days to allow for gradual acclimation of the plankton community, and thus final starting conditions were reached on t6. To compensate for a CO<sub>2</sub> loss through air-sea gas exchange, two further CO<sub>2</sub> manipulations were conducted on t21 prior to the deep-water addition (oligotrophic phase) and on t38 during the post-bloom phase. The CO<sub>2</sub> gradient was chosen according to IPCC scenarios projected for this century. M1 and M9 served as controls and were not CO<sub>2</sub> manipulated. Manipulations resulted in average pCO<sub>2</sub> values over the experiment duration (t1–t55) ranging from 352 μatm to 1,025 μatm (Table 1). Unfortunately, M6 was lost on t27 due to strong currents (Taucher et al., 2017).

### 2.2. Sampling and Enumeration of Calcifiers in the Water Column

Mesozooplankton net sampling was conducted vertically with an Apstein net of 55 μm mesh size and 17 cm diameter aperture.

**TABLE 1** | Mesocosm set-up with mean  $p\text{CO}_2$  [ $\mu\text{atm}$ ], mean  $\text{pH}_T$  (total scale), mean carbonate ion concentration [ $\text{CO}_3^{2-}$ ], mean  $\Omega_{\text{calcite}}$  ( $\Omega_{\text{ca}}$ ), and mean  $\Omega_{\text{aragonite}}$  ( $\Omega_{\text{ar}}$ ) values, averaged over the whole experimental duration (t1–t55; except for M6).

Mesocosm	Symbol	$p\text{CO}_2$	$\text{pH}_T$	$[\text{CO}_3^{2-}]$	$\Omega_{\text{ca}}$	$\Omega_{\text{ar}}$	Note
M1	■	369 (43)	8.09 (0.04)	248 (17.5)	5.8 (0.4)	3.8 (0.26)	Control
M9	▲	352 (60)	8.11 (0.06)	260 (26.5)	6.11 (0.62)	4.02 (0.40)	Control
M5	●	448 (67)	8.02 (0.06)	221 (22.9)	5.19 (0.54)	3.41 (0.35)	
M3	▲	563 (86)	7.94 (0.06)	190 (21)	4.47 (0.49)	2.94 (0.32)	
M7	■	668 (121)	7.78 (0.07)	170 (25)	3.99 (0.59)	2.63 (0.39)	
M4	●	716 (136)	7.86 (0.08)	162 (23.6)	3.8 (0.56)	2.5 (0.37)	
M6	▲	970 (126)	7.74 (0.05)	129 (14.5)	3.03 (0.34)	2.0 (0.23)	Mean t1–t26 lost on t27
M2	■	887 (206)	7.78 (0.09)	140 (25.7)	3.29 (0.6)	2.16 (0.4)	
M8	●	1025 (230)	7.72 (0.09)	125 (22.1)	2.93 (0.52)	1.93 (0.34)	
Atlantic	◆	399 (15)	8.06 (0.01)	227 (4.8)	5.37 (0.11)	3.53 (0.08)	

Carbonate system parameters were calculated from measured DIC and TA concentrations (Taucher et al., 2017). The standard deviation is given in brackets. Definition of symbols and color-code used in the figures.

All mesocosms were sampled evenly, i.e., the same amount of net hauls was taken from all mesocosms on each sampling event. The first net hauls were taken on t-3, the day after mesocosm closure. Beginning on t1, further net hauls were done on a regular 8 days basis. However, due to adverse weather conditions, sampling on t49 had to be shifted to t50 resulting in a 9 days interval between t41 and t50. Additional net samples were also taken at experiment closure (t56). Sampling depth was 13 m to avoid resuspension of material from the sediment trap zone resulting in 295 L of total filtered water volume. Net hauls were always done between 14:00 and 16:00 CET. After retrieval of the net, zooplankton was quantitatively rinsed into sample bottles with filtered seawater and stored in cooled containers to prevent them from heating. Samples where brought back to PLOCAN (Platforma Oceánica de Canarias) where the research campaign was hosted (Taucher et al., 2017). Back in the PLOCAN laboratories, samples were immediately preserved in 70% ethanol.

Calcifiers [Thecosomata, Pterotracheoidea (formerly heteropods), and Foraminifera] were counted and identified under a WILD M3B stereomicroscope assuming 100% filtering efficiency of the net. Abundances were calculated as individuals  $\text{m}^{-3}$ . Foraminifera had a strong tendency to clump together with their spines and therefore accurate enumeration was not possible without prior sorting of the individuals and likewise it was not possible to assure accurate splitting to estimate abundances from subsamples. Therefore, all calcifiers were counted and identified from the whole sample after species identification and abundance determination of the bulk zooplankton was completed (Algueró-Muñoz et al. submitted). As identification and enumeration of all foraminifer specimen was not possible on the species level due to their small size, the species inventory was determined on some representative specimen only under higher magnification on a Keyence microscope (model number of microscope: VH-Z250R, model number of computer: VHX-700FD) with the help of Dr. N. Keul at Kiel University, but enumerations were done on family level (Globigerinidae). The same approach was applied to the sediment trap samples.

### 2.3. Sampling and Enumeration of Calcifiers in the Sediment Trap Material

The sediment traps were emptied every 2 days using a manual vacuum pump to collect the settled material via a silicon tube connected to the collection cylinder of the sediment trap (Riebesell et al., 2013; Boxhammer et al., 2015). Pteropods, heteropods, and foraminifera were counted prior to processing of these samples for quantification and characterization of bulk particulate matter. Initially, we tried to count representatives of the three groups from the whole sediment sample. However, from t5 onwards, samples became too voluminous and foraminifera too abundant that regular every other day counting became too laborious and time-consuming. Therefore, enumeration of foraminifera had to be restricted to 50 ml subsamples from t5 onwards. Pteropods and heteropods, however, were much less abundant and were not found representatively in subsamples, and hence were continually counted from the complete sample until t35. On that day, sedimentation had increased even stronger due to enhanced production in the water column in response to the deep-water addition on t24 and it was no longer possible to enumerate pteropods and heteropods from the complete sediment trap samples. Thus, from t35 onwards, only the 50 ml subsamples were checked for the occurrence of calcifiers.

### 2.4. Statistics

We performed statistical analyses on the abundance of planktonic Foraminifera (all belong to the family Globigerinidae) in the water column and on the flux of Globigerinidae and the sexual stage of *Orbulina universa* to the sediment traps. GLM (generalized linear mixed models) or GAMM (generalized additive mixed model) with a Gaussian distribution were used to test whether  $p\text{CO}_2$  had an effect on the temporal development of abundances. “Mesocosm” was included as random intercept. In case of GAMM a smoother on experiment day was included.  $p\text{CO}_2$  was used as continuous explanatory variable for each t-day to account for the change over time due to biological activity. We log-transformed flux data of Globigerinidae, because

preceding GLM models suggested significance for CO<sub>2</sub> but model validation showed strong variance heterogeneity especially due to the factor experiment day that could not be adequately captured by different variance structures tested. M6 was excluded from all analyses because it was lost on t26. t-3 data were excluded from analyses of water column data to assure equally spaced data. All analyses were carried out with R using the package nlme, mgcv, Hmisc and MASS. All plots were done in ggplot (R Core Team, 2013).

Pelagic mollusks occurred only very shortly during the first days of the experiment, both in the water column and sediment traps. In the water column, the spherical stage of *Orbulina universa* was only found on t9. Thus, sampling frequency was comparatively low for these groups and, therefore, we did not do any statistical analyzes on the temporal trends in the different mesocosms.

### 3. RESULTS

#### 3.1. Percent Contribution of Calcifiers to the Mesozooplankton Community in the Water Column

The abundance of pelagic calcifiers in the mesocosms was generally low throughout the study. Among the three groups of calcifying zooplankton, foraminifers were most numerous. Thecosome pteropods and heteropods (Pterotracheidae) were present only during the first days of the experiment and were virtually absent from t9 onwards. Highest contributions of pteropods were 1.7% (M6, t9) and of heteropods 0.7% (M8, t1) of the total mesozooplankton abundance (data not shown). Foraminifers were present during the whole study and contributed between 0.2 and 11.3% to the total mesozooplankton abundance with some exceptional peaks in M7 on t9, in M8 on t33, and in M2 on t50. In the surrounding Atlantic water, pteropods were always found during the study period (0.7–6%) with a contribution peak on t25 but heteropods were only occasionally identified with very low contributions (max. 0.4%). As in the mesocosms, foraminifers occurred continually in the Atlantic but had somewhat lower contributions as in the mesocosms (1–6%). Representatives of both groups were mostly smaller than 200 μm, many of them even smaller than 100 μm in size. Only very few larger pteropods (older stage of *Creseis* sp.) were found.

#### 3.2. Temporal Dynamics of Calcifiers

##### 3.2.1. Water Column

###### 3.2.1.1. Pteropoda and Heteropoda

Abundances of thecosome pteropods in the mesocosms varied between 0 and 86 ind. m<sup>-3</sup> and of heteropods between 0 and 32 ind. m<sup>-3</sup> (Figures 1A,B). Compared to the mesocosms, numbers of pteropods in the surrounding Atlantic water were higher (18–157 ind. m<sup>-3</sup>), and those of heteropods lower (0–11 ind. m<sup>-3</sup>). In the mesocosms, both groups had abundance peaks during the first days (t-3, t1). As mentioned above already, pteropods and heteropods almost completely disappeared after t9/t17 in the mesocosms. Deep-water addition on t24 did not have an obvious effect on the occurrence of pteropods and heteropods in

the water column. Abundances showed no trend with the CO<sub>2</sub> concentration over time.

###### 3.2.1.2. Dominant pteropod and heteropod species/genus

Most pteropod specimen found were *Heliconoides inflatus* with a maximum abundance of 86 ind. m<sup>-3</sup>. Occasionally, specimen of *Limacina trochiformis* (max. 4 ind. m<sup>-3</sup>) and embryonal stages of some cavolinid thecosomes were found. Cavolinids most likely belonged to the genus *Creseis* sp. or *Styliola* sp. and reached maximum numbers of 61 ind. m<sup>-3</sup> (data not shown). Heteropods identified in the samples belonged exclusively to the family Atlantidae (genus *Atlanta*) and the individuals found were all juvenile stages. In the surrounding Atlantic water, *Heliconoides inflatus* was present during the study period (18–157 ind. m<sup>-3</sup>). *L. trochiformis* was not found, *Creseis* sp. and *Styliola* sp. as well as heteropods occurred only sporadically at low numbers (max. 4 and 11 ind. m<sup>-3</sup>, respectively).

###### 3.2.1.3. Dominant foraminiferan species/groups

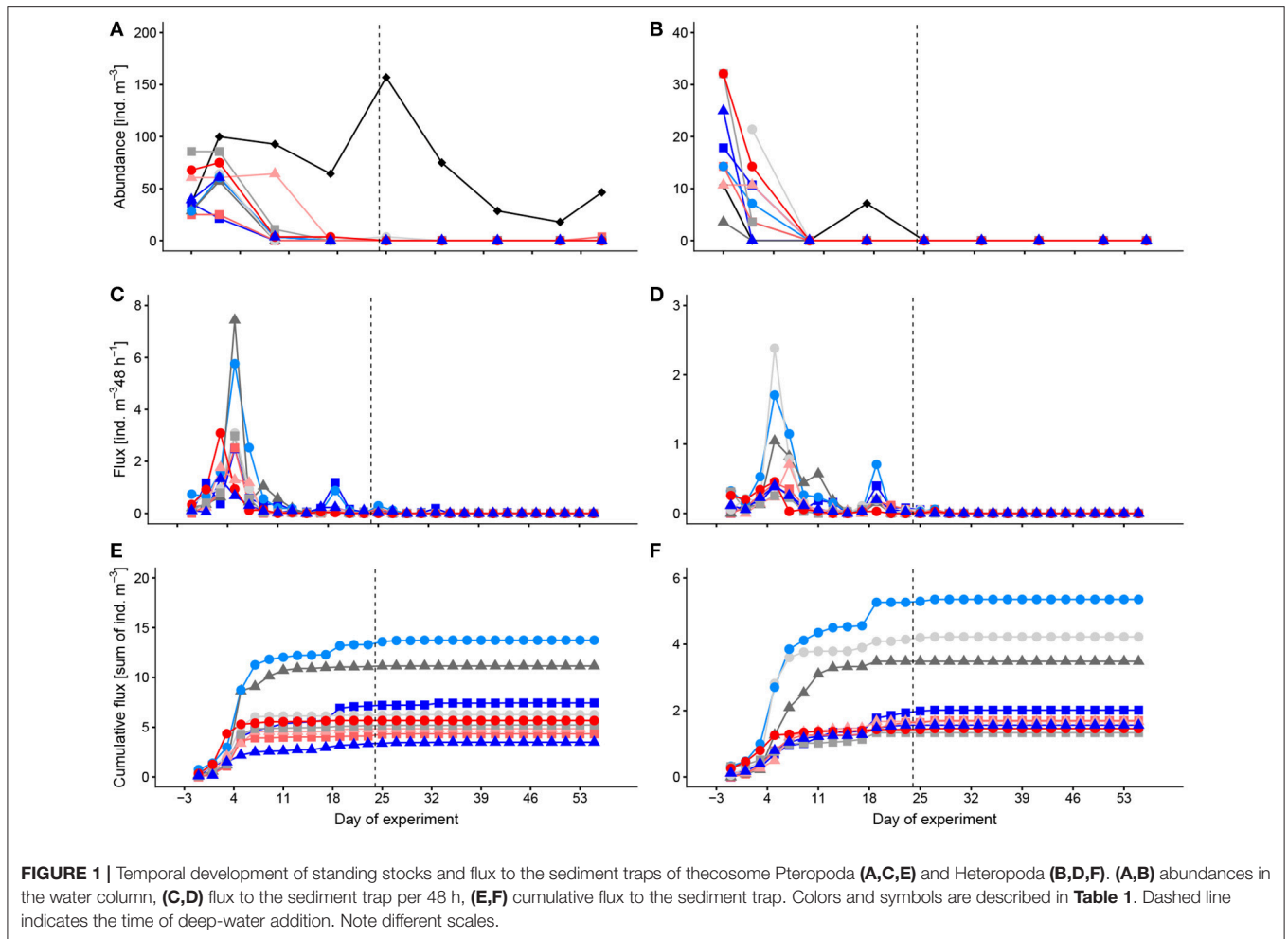
Of the planktonic foraminiferans (family Globigerinidae), the most abundant species that we identified were *Globigerinoides ruber*, *Globigerina bulloides*, *Globigerinella siphonifera*, and *Orbulina universa* (Figure 2A). The abundances of Globigerinidae ranged between 18 and 610 ind. m<sup>-3</sup> (Atlantic: 25–103 ind. m<sup>-3</sup>). Temporarily, we found the sexual stage of *O. universa* in the mesocosms with peak abundances of 68 ind. m<sup>-3</sup> on t9, but we did not find it in the net samples taken in the surrounding Atlantic water (Figure 2B). Occasionally, we also found a few specimen of the planktonic *Globorotalia* but these were too rare to analyze quantitatively. We also occasionally found many specimen of the benthic *Tretomphalus* with floating chambers in the net samples. These were, despite their abundance, not considered in the analysis, because they are not part of the normal pelagic community. Most likely, the mesocosms acted as artificial substrate for these benthic foraminifer species and reproduction may have been stimulated by nutrient fertilization after the deep-water addition. However, as mentioned already, they are not part of the pelagic community and therefore are not further considered here.

Abundances of Globigerinidae were not significantly affected by CO<sub>2</sub> (GAMM,  $p = 0.39$ ) over time.

#### 3.2.2. Sediment Trap

##### 3.2.2.1. Pteropoda and Heteropoda

Peak occurrence of pelagic mollusks in the sediment traps was around t5 shortly after their abundance peak in the water column (Figures 1C,D) with a maximum time delay between peak abundance in the water column and in the sediment traps of 4 days. Sedimentation peaks of pteropods and heteropods were highest in M3, M4, and M5. A small increase in flux of both groups, pteropods and heteropods, in M1, M9, and M5 followed on t19. After that pelagic mollusks were absent, both in the sediment traps and the water column. Strikingly though, the total flux differed considerably from the abundance of pelagic mollusks in the water column (Figures 1E, F). This flux deficit is most likely due to the difficulty to find remains of these fragile organisms in the sediment traps.



### 3.2.2.2. Foraminifera, Globigerinidae

The main flux of Globigerinidae and of the spherical stage of *Orbulina universa* was around t9–t15 only shortly after their main peaks in the water column (t1, t9; Figures 2C–F). The spherical stage of *O. universa* occurred in the sediments of most mesocosms [especially in M4, M8, and M2, (Figures 2D, F)] at low numbers also during the remainder of the experiment, indicating that net sampling failed to collect this stage in the water column after t9 (Figure 2B). The occurrence of the spherical stage of *O. universa* more or less throughout the experiment points to ongoing reproduction that likely was continuous. Reproduction and development is also indicated by comparing the abundance of Globigerinidae in the water column with the cumulative flux that the standing stock in the water column is continuously replenished.

The cumulative flux of Globigerinidae on the last sampling day (t55) is shown in Figure 3. The flux was lowest in one of the control mesocosms (M1, 361 ind. m<sup>-3</sup> 48 h<sup>-1</sup>) and the two high CO<sub>2</sub> mesocosms (M2, M8, 321 and 343 ind. m<sup>-3</sup> 48 h<sup>-1</sup>, respectively). The trend of the data resembles an optimum curve with highest flux at mid CO<sub>2</sub> levels. Interestingly, a similar trend was not found in the water column. GAMM on the flux of Globigerinidae revealed no significant effect of pCO<sub>2</sub> ( $p = 0.05$ ).

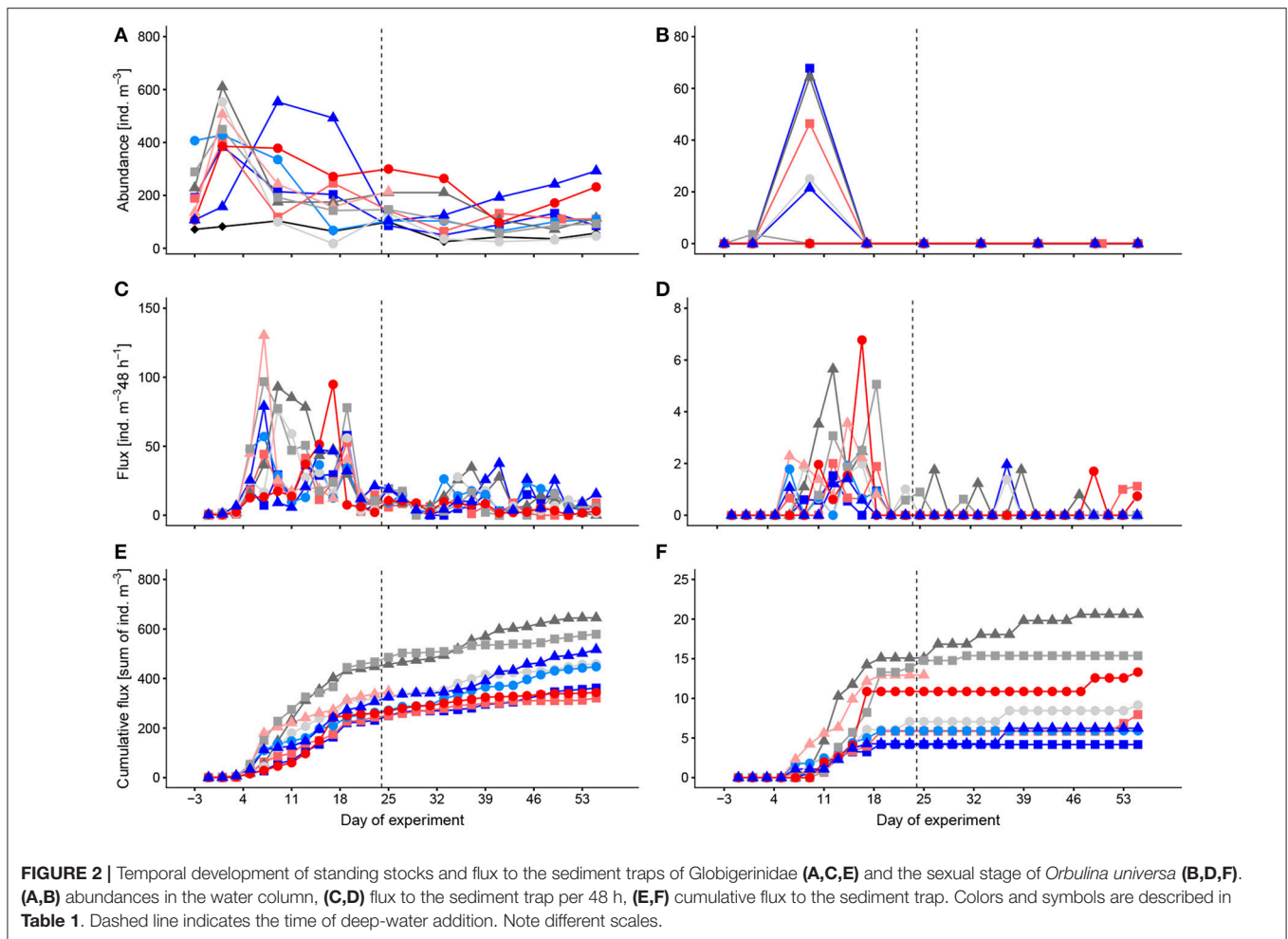
Also the flux of the sexual stage of *Orbulina universa* was not impacted by CO<sub>2</sub> concentration (GLM,  $p = 0.84$ ).

## 4. DISCUSSION

### 4.1. Pteropods and Heteropods

#### 4.1.1. General Considerations

The occurrence of pteropods and heteropods in the mesocosms was only low and too short to allow for any sound conclusion on possible CO<sub>2</sub> effects. Therefore, we restrict the discussion to some general considerations of what might have caused the quick disappearance of pelagic gastropods in the mesocosms in this particular study. Pteropods vanished in the mesocosms soon after the experiment had started, whereas they occurred continuously in the surrounding Atlantic water during the entire study. Also heteropods did not occur in the mesocosms for very long but they were also rare in the outside Atlantic. Survival of pteropods in our previous mesocosm experiments was variable and it is difficult to explain what causes success or failure. In general, pteropods are very delicate against captivity and until now cannot really be cultivated over an entire reproductive cycle (Howes et al., 2014). The most likely explanation for their quick disappearance is entrapment in the sediment traps in the course



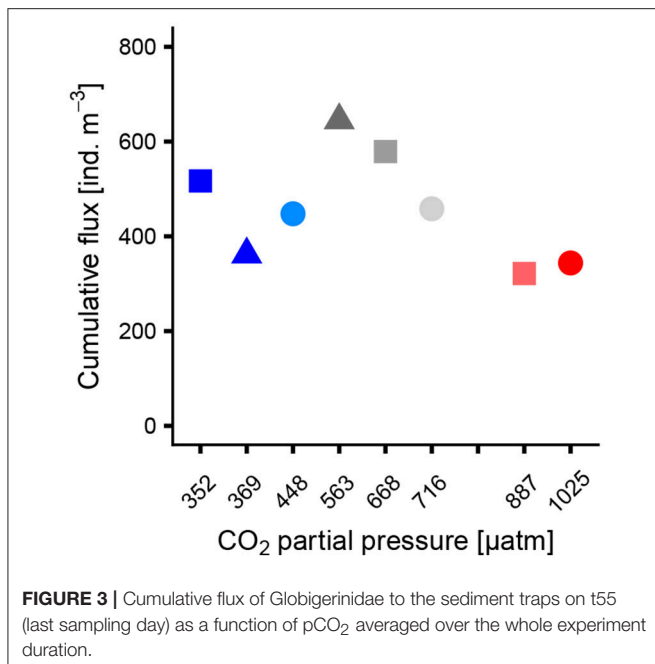
of their natural vertical migration. *Heliconoides inflatus*, the most dominant pteropod species in our experiment, performs diurnal vertical migrations in the upper 300 m (Bé and Gilmer, 1977). To descend pteropods can either rapidly sink down through a retraction of the wings into the shell or swim down. Rapid sinking is also used as escape reaction that can be easily initiated (Tsurumi et al., 2005) when accidentally bouncing against the mesocosm bags. Either way, the negative buoyancy of pteropods facilitates rapid sinking (Lalli and Gilmer, 1989), and in our study they may have accidentally fallen into the sediment trap of the mesocosm, where they entangled with the sedimented material and eventually died. Also in the mesocosm study performed in the Arctic, adult *Limacina helicina* vanished from the water column within a week most likely because they accumulated and died in the sediment traps (Niehoff et al., 2013). In contrast, all stages (veligers to adults) of the smaller North Atlantic species *Limacina retroversa* survived well throughout a 40 day long mesocosm experiment in the Norwegian Raunefjord conducted in 2011 (Howes et al., 2014, J. Bűdenbender and U. Riebesell unpublished). During another mesocosm study in Raunefjord in 2015, however, *L. retroversa* early developmental stages (veligers, juveniles) occurred during the full duration of the experiment (45 days), but adult specimen were mainly found during the first days

and were extremely rare after that (Lischka et al. unpublished). Given that *Limacina* spp. also migrate vertically, some favoring factor(s) must have contributed to their survival in the Bergen experiments in contrast to the Gran Canaria experiment. Reasons could be for example lower loss to the sediment traps due to less pronounced vertical migration behavior because of the longer day length at Raunefjord compared to the Canary region. However, the same explanation cannot hold for the Arctic study. Thus, so far no consistent picture emerges to explain loss or upkeep of pteropods in the mesocosms. In general, OA has the potential to affect standing stocks of pteropods negatively and to lower their calcification and, thus their contribution to the vertical flux of aragonite and calcite.

## 4.2. Planktonic Foraminifers

### 4.2.1. Species Inventory and Habitat Conditions in the Mesocosms

Mesocosms cannot provide optimal living conditions for planktonic foraminifera because they have characteristic depth habitats that can differ considerably between species, (Hull et al., 2011; Rebotim et al., 2017) and they migrate vertically during their life cycles. (Hemleben et al., 1989; Bijma et al., 1990). Accordingly, they can be found primarily in open



waters (Rebotim et al., 2017). Thus, their natural vertical living range exceeds mesocosm depth and it is likely, that specimen enclosed in the mesocosms were advected into Gando Bay. These ecologic characteristics likely impacted on the flux observed to the sediment traps. The average living depth of the species enclosed in the mesocosms varies between 40 and 60 m for *Globigerionoides ruber*, around 80 m for *Globigerinella siphonifera* and *Orbulina universa* and 100 m for *Globigerina bulloides* (Wilke et al., 2009; Rebotim et al., 2017). The vast majority of export flux of planktonic foraminifera is generated below 100 m depth and consists mostly of empty adult specimen (Erez and Honjo, 1981). Thus, considering vertical movements related to the species' life cycles and their preferred living depths, it is likely that not only dead shells were collected in the mesocosm sediment traps but that also living (juvenile) specimen were passively intercepted not able to leave again and continue growing. This process may have dominated flux of these protozoans in the mesocosms and depleted water column stocks over time. We frequently counted individuals of different size in the sediment trap samples supporting this assumption. However, this is a general problem for vertically migrating zooplankton kept in closed systems that also applied equally to all mesocosms in our experiment. It probably generated an off-set to natural flux but shouldn't impede observe a general CO<sub>2</sub> impact across our set-up.

Globigerinidae species enclosed in the mesocosms are typical for the Canary Island region and the subtropical eastern North Atlantic. Also reported numbers of Globigerinidae in the winter season are in the same range of what we found though they were somewhat higher in the mesocosms (Wilke et al., 2009; Rebotim et al., 2017). Wilke et al. for example indicate concentrations of Globigerinidae of up to 300 individuals m<sup>-3</sup>, while Rebotim et al. found densities of around 80–100 m<sup>-3</sup>. After some initial high

values of about 600 individuals m<sup>-3</sup>, densities in the mesocosms stayed quite stable between about 100–200 m<sup>-3</sup>. These higher densities in the mesocosms are most likely due to the small mesh size used in our study (55 µm) compared to ≥100 µm meshes used in the field studies. Moreover these studies only considered individuals larger than 100 µm whereas we counted all specimen found independent of size. However, when considering particular species, *Orbulina universa* had peak numbers (on t9) that were ~30 times higher than maximum densities reported by Rebotim and co-workers. These numbers bargained for the sexual stage of *O. universa* that is very easy to identify, i.e., we can exclude false identification. An overestimation of the filtered volume and calculated densities is unlikely since the lack of currents in the mesocosms allowed for more or less strictly vertical net hauls. Possibly it could be an artifact of the rather small volume filtered and patchy distribution in the mesocosm. As such high numbers were only found at the beginning of the experiment, another possible explanation could be some small scale eddies occurring prior mesocosm closure leading to short-term concentrations of pre-adult specimen that were then enclosed in the mesocosms. Lack of potential predators such as pelagic fish may be another reason enabling such high densities.

#### 4.2.2. Succession and Flux of Globigerinidae

Foraminifers thrived well and reproduced in the mesocosms throughout the experiment. The most obvious evidence for most likely unsynchronized reproduction is the continuous occurrence of the spherical stage of *Orbulina universa* in the sediment traps more or less throughout the experiment. Constant replenishment is also suggested from the fact, that flux did not lead to deplete conditions in the water column despite we have to assume that also juvenile living specimen were passively caught in the traps as mentioned above. We exclude the possibility that the observed continuous flux was not due to reproduction but reflected maturation of juveniles smaller than the plankton net mesh-size (i.e., “not visible” in our water column densities). Firstly, because we found the same size spectrum of individuals in both, the water column and the sediment traps meaning that the visually identifiable minimum size was consistent. Secondly, as continuous flux continued throughout the experiment, a life span >4 weeks must be assumed for a large portion of the population enclosed in the mesocosms because the experiment lasted 54 days. Planktonic foraminifers usually have short life-cycles (lunar, semi-lunar), (Bijma et al., 1990; Schiebel et al., 1997) thus it is unlikely that the continuous occurrence of foraminifers can be explained by a successive prolongation of life-cycles of (very) small juveniles enclosed at experiment start that successively sustained population densities and flux. A certain portion of the small juveniles would have needed to arrest development in order to provide for a continuous replenishment or flux of organisms over time. Thirdly, even if it was the case that small juveniles matured that were not caught with our net and contributed significantly to continuous flux, water column densities should have decreased over time but they remained fairly constant.

We found no relation between the abundance and succession of foraminifers and the CO<sub>2</sub> concentrations in the mesocosms, i.e., Globigerinidae seemed to do equally well under the



CO<sub>2</sub> concentration range applied. However, our taxonomic resolution was low and we were not able to impose size-normalized weight of specimen of the different species to infer on calcification. Thus, we cannot say anything on possible CO<sub>2</sub>-sensitivities on shell calcification or dissolution. But generally speaking, the present study concurs with previous work that showed no major vulnerability of growth rates of some large benthic foraminifer species to OA exposure in short term (up to 12 weeks) aquarium experiments (Vogel and Uthicke, 2012).

Despite more or less stable abundances of Globigerinidae in the water column in all mesocosms, flux to the sediment traps was reduced in the two high CO<sub>2</sub> mesocosms during the post-bloom phase. Possibly, this reduced flux is in relation with the reduced POM flux described for the two high CO<sub>2</sub> mesocosms as a consequence of delayed development of zooplankton (Stange et al., 2018; Algueró-Muñiz et al. submitted). Foraminifers might have become attached to sinking particles (POM) and transported downward that way. If so, a lower POM flux could also have led to a lower flux of foraminifers. Similarly low flux, however, was also observed in one control mesocosm. Thus, we cannot be sure to what extent reduced POM flux or any other unknown factors contributed to flux reduction. Interestingly though, the observed zooplankton developmental delay was not found for planktonic foraminifers. This is discussed as a possible consequence of the occurrence of a harmful algae bloom in the two high CO<sub>2</sub> mesocosms (Algueró-Muñiz et al. submitted; Riebesell et al., unpublished). Apparently, planktonic foraminifers had different sensitivities against this bloom compared to the remaining micro- and mesozooplankton community.

With respect to planktonic Foraminifera, field and laboratory observations on their response to OA provide an inconclusive picture, ranging from evidence for impaired calcification and growth to no reaction. The same applies to benthic foraminifera, where some taxa have even shown positive response to OA. As a result, no prediction of the effect of OA on planktonic foraminifera can be made on ecosystem level and new data are required particularly from field experiments.

#### 4.2.3. Conclusion

Mesocosms cannot provide optimal living conditions for migrating zooplankton. This can bias specific response patterns especially those that are closely connected with species life cycles and migration patterns. Notably, in our study, this applied to the flux of planktonic Foraminifera. But this is a general problem true for each of the mesocosms, meaning a bias occurs consistently. Thus, differences that can be found between different treatment levels should still inform of possible treatment effects.

The present study did not reveal any statistically proven CO<sub>2</sub>-related trends on community level with respect to the

abundance, succession and flux of planktonic Foraminifera and thecosome pteropods. In case of pteropods and heteropods, their occurrence in the mesocosms was too short to allow for any sound conclusion. With respect to foraminifers, the low taxonomic resolution and methodological constraints in our study did not allow for more detailed analyses of possible OA effects on individual species (e.g., calcification, carbon/carbonate flux), and the resulting difficulty to adequately connect their development in the water column in relation to their different life cycles with the observed flux to the sediment traps. Flux in the two high CO<sub>2</sub> mesocosms could have been impacted by reduced POM flux that was in connection with CO<sub>2</sub> concentrations. But this conclusion conflicts with also reduced flux in one control mesocosm where POM flux was not reduced (Stange et al., 2018). Future studies looking at community-level response of planktonic foraminifers should include species-level identification and biomass/calcite mass determination to allow for more in-depth investigation of possible OA effects. This can only be done, however, if a substantially higher amount of time can be invested.

## AUTHOR CONTRIBUTIONS

SL, PS, and UR conceived, designed, and performed the experiment. SL analyzed the data. SL with input from all co-authors wrote the paper.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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