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EDITED BY

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REVIEWED BY

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De Montfort University, United Kingdom
Nabanita Chatterjee,
The Ohio State University, United States

*CORRESPONDENCE

Ann Mary Joseph
✉ joseph.annmary@gmail.com
Uday Kishore
✉ ukishore@hotmail.com;
✉ uday.kishore@uaeu.ac.ae

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Phenotypic heterogeneity and tumor immune microenvironment directed therapeutic strategies in pancreatic ductal adenocarcinoma

Remya P. G. Ramesh¹, Hadida Yasmin², Pretty Ponnachan¹,
Basel Al-Ramadi^{3,4,5}, Uday Kishore^{1,4*} and Ann Mary Joseph^{1*}

¹Department of Veterinary Medicine, UAE University, Al Ain, United Arab Emirates, ²Immunology and Cell Biology Laboratory, Department of Zoology, Cooch Behar Panchanan Barma University, Cooch Behar, West Bengal, India, ³Department of Medical Microbiology and Immunology, College of Medicine and Health Sciences, United Arab Emirates University, Al Ain, United Arab Emirates, ⁴Zayed Center for Health Sciences, United Arab Emirates University, Al Ain, United Arab Emirates, ⁵ASPIRE Precision Medicine Research Institute Abu Dhabi, United Arab Emirates University, Al Ain, United Arab Emirates

Pancreatic cancer is an aggressive tumor with high metastatic potential which leads to decreased survival rate and resistance to chemotherapy and immunotherapy. Nearly 90% of pancreatic cancer comprises pancreatic ductal adenocarcinoma (PDAC). About 80% of diagnoses takes place at the advanced metastatic stage when it is unresectable, which renders chemotherapy regimens ineffective. There is also a dearth of specific biomarkers for early-stage detection. Advances in next generation sequencing and single cell profiling have identified molecular alterations and signatures that play a role in PDAC progression and subtype plasticity. Most chemotherapy regimens have shown only modest survival benefits, and therefore, translational approaches for immunotherapies and combination therapies are urgently required. In this review, we have examined the immunosuppressive and dense stromal network of tumor immune microenvironment with various metabolic and transcriptional changes that underlie the pro-tumorigenic properties in PDAC in terms of phenotypic heterogeneity, plasticity and subtype co-existence. Moreover, the stromal heterogeneity as well as genetic and epigenetic changes that impact PDAC development is discussed. We also review the PDAC interaction with sequestered cellular and humoral components present in the tumor immune microenvironment that modify the outcome of chemotherapy and radiation therapy. Finally, we discuss different therapeutic interventions targeting the tumor immune microenvironment aimed at better prognosis and improved survival in PDAC.

KEYWORDS

pancreatic cancer, tumor microenvironment, tumor heterogeneity, immunotherapy, desmoplastic stroma, immunosuppression

1 Introduction

Pancreatic Ductal Adenocarcinoma (PDAC) is the predominant form of aggressive pancreatic cancer, characterized by high mortality rates, originating from the ductal cells that form the pancreatic ducts (1). Microscopically margin-free surgical removal of the pancreas is the only viable and potentially curative method. The majority of individuals exhibit this condition at a non-resectable stage, reinforcing the necessity for early diagnosis and identification. Unfortunately, aggressive disease progression and early metastasis contribute to late diagnosis, with less than 20% of patients presenting with a resectable tumor at diagnosis (2). In addition, phenotypic heterogeneity in PDAC refers to the variation in cell types and characteristics within a tumor. This heterogeneity is a significant factor in the disease progression, chemotherapy resistance, and overall prognosis. The metastatic potential is also extremely high and tumors spread mainly through lymphatic and blood vessels. Most of the patients already have metastasis in the liver and lymph nodes at the

time of diagnosis (3, 4) (Figure 1A). Recent reviews on PDAC heterogeneity, tumor immune microenvironment (TiME), and emerging therapies shed light into the current research (5–7). We intend to provide an understanding about PDAC and the molecular and immune landscape affecting various therapeutic interventions. Then we discuss the difficulties associated with phenotypic heterogeneity affecting chemotherapy, adjuvant therapies and immunotherapy.

2 Stages in PDAC development

In pancreas, acinar to ductal metaplasia is a usually reversible phenomenon of healing upon injury or inflammation. However, this becomes irreversible due to accumulation of KRAS mutation. This KRAS hyperactivation in acinar cells makes them incapable to redifferentiate and puts a halt at the duct-like cell stage leading to pancreatic intraepithelial neoplasia (PanIN) (8, 9) (Figure 1B).

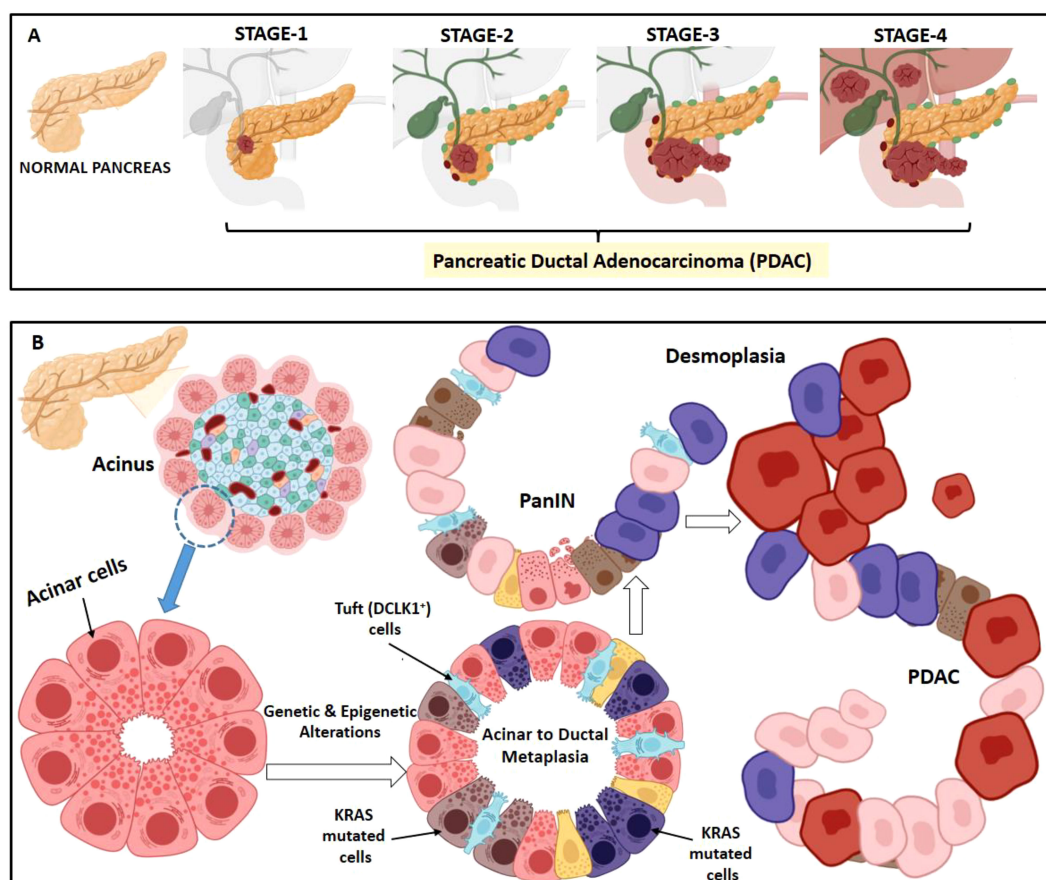


FIGURE 1

Stages in PDAC development. (A) PDAC can be pathologically categorized on the basis of TNM staging system i.e. size and extent of the tumor, spread to lymph nodes and metastasis. Stage 1 is when the tumor is restricted to the pancreas; stage 2 when the tumor has spread to 2 or 3 nearby lymph nodes; stage 3, when the tumor has spread to 4 or more nearby lymph node and may have also reached nearby blood vessel; stage 4, when the cancer has metastasized in other organs (liver, lungs etc.). (B) Acinar to ductal metaplasia is a normal regeneration process happening in pancreas during inflammation. However, due to KRAS hyperactivation, acinar cells fail to redifferentiate and progresses to duct-like cells forming pancreatic intraepithelial neoplasia (PanIN). PanINs are microscopic papillary or flat non-invasive epithelial neoplasms arising in pancreatic ducts characterized by mucin-containing cuboidal to columnar cells. With accumulation of subsequent additional mutations, the cancer progresses to a desmoplasia condition causing PDAC.

PDAC exhibits genetic heterogeneity and presents with several clinical characteristics associated with epithelial neoplasms. Patient stratification is essential based on histology and molecular characteristics for effective therapy. The three well established precursor lesions of pancreatic cancer includes PanIN, intraductal papillary-mucinous neoplasm (IPMN) and mucinous cystic neoplasm (MCN) (10, 11) Atypical flat lesion is yet another precursor (12). PanINs are microscopic papillary or flat non-invasive epithelial neoplasms arising in pancreatic ducts characterized by mucin-containing cuboidal to columnar cells. IPMNs are tumors of the duct epithelium characterized by mucin-producing epithelial neoplasms, usually with papillary architecture. They arise from the main pancreatic duct or branch ducts. Activating KRAS mutations are observed in 50% of IPMNs; its prevalence increases as does the degree of dysplasia (13).

Oncogenic KRAS mutations are the most common genomic alterations identified in PDAC followed by tumor protein p53 (TP53), cyclin-dependent kinase inhibitor 2A (CDKN2A), and suppressors of mothers against decapentaplegic homolog 4 (SMAD4) (14, 15). Progression from low grade PanIN-1 to PanIN-2 and high grade PanIN-3 lesions are with 45% incidence of KRAS mutations in PanIN-1 stage along with telomere shortening in 90% of cases (16, 17). Overexpression of another PDAC associated gene, p21(WAF1/CIP1) occurs early in the development of PanIN (18). Inactivation of CDKN2A and TP53 is found in IPMNs with high grade dysplasia, loss of SMAD4 is observed in a small subset. Prevalence of KRAS mutations and aberrant nuclear TP53 accumulation is noted with increasing degree of dysplasia in MCN (19). With gradual acquisition of gene mutations, the cancer progresses with increase in desmoplasia, a condition of excessive formation of fibrous connective tissue causing PDAC.

2.1 PDAC subtypes

Transcriptional profiling using microdissected PDAC samples has led to identification of major molecular subtypes, namely classical, quasi-mesenchymal and exocrine-like (20). The classical subtype (CLA) showed high expression of epithelial and adhesion-associated genes, such as the transcription factor *GATA6*; this is associated with a favorable prognosis in terms of survival following PDAC resection. Quasi-mesenchymal subtype exhibits high expression of mesenchymal-associated genes, relatively less dependent on KRAS than CLA and is associated with poor prognosis. Finally, the exocrine-like subtype revealed high expression of digestive exocrine enzyme genes (21). Moffit's classification identified two tumor specific subtypes, Basal like (BL), CLA subtype and two stromal subtypes (22). BL shows poor prognosis and therapy resistance to gemcitabine-based chemotherapy and FOLFIRINOX; CLA subtype is responsive to erlotinib, an EGFR antagonist (23–25). Puleo et al. classified PDAC into five subtypes: Pure-basal-like, Stroma-activated, Desmoplastic, Pure-classical, and Immune-classical (26). This classification was based on the influence of TME and the tumor cells. These PDAC

subtypes have characteristics of both cancerous and immunological cells that may be sensitive to drug therapy. Espinet et al. explored the molecular mechanisms driving subtype heterogeneity in PDAC and its influence on therapy response. It highlights the role of immune cells, transcriptional networks, and epigenetic factors in shaping PDAC subtypes, particularly in BL subtype with therapy resistance (27). Growing evidence supports the coexistence of BL and CLA subtypes (28). A significant proportion of tumors comprises cells that co-express CLA and BL markers, thereby creating a continuum between these two phenotypes, due to cytokine gradients secreted by tumor and stromal cells in a paracrine manner within various spatially distinct microenvironments (29–31).

3 Genomic and epigenomic aspects

3.1 Activating and inactivating mutations

The Cancer Genome Atlas (TCGA) pancreatic cancer study reveals a low burden of tumor mutation, typically < 50 mutations; only a few cases showing > 80 mutations. However, recurrent mutations occurring in certain cancer-associated genes are the main cause of the disease pathogenesis (32). The most common activating mutation that occurs in approximately 65.4% of pancreatic cancer cases is KRAS mutation (32, 33). The KRAS mutation that initiates PDAC takes place over a period of 12 years (34).

Molecular pathogenesis in PDAC is marked by the alterations in the canonical genes. Genetic analyses indicate that PDAC tumors harbor multiple high-potency oncogenic and tumor suppressive lesions. Whole genome sequencing revealed that genetic aberrations lead to the development of PDAC. Approximately 90% of PDAC patients have KRAS mutations (35), resulting in the formation of pancreatic lesions called PanIN. 50–74% have inactivating mutations in TP53, 46–60% in CDKN2A mutations, and ~31–38% have mutations in SMAD4, which can occur at the late stages (36, 37). Activation of oncogenic KRAS (38) or inactivation of tumor suppressor TP53 (39), SMAD4 (40) or CDKN2A (41), Krüppel-like Factor 5 (KLF5), is increased in PDAC which promotes proliferation and also acinar-to-ductal metaplasia, PanIN, and leads to tumor growth in mice (42). Genetic analysis has provided insights into the altered signaling pathways (43).

The KRAS gene encodes a small GTPase, acting as a molecular switch cycling between an active GTP-bound and inactive GDP-bound state for various cellular processes, proliferation and survival. KRAS protein, once bound to GTP, is capable of interacting with downstream proteins and activates the effector signaling pathways that drives cancer growth. Thus, the mutation in KRAS gene leads to impairment of GTPase activity hampering other signaling pathways, including inactivation of tumor suppressor pathways (44–46). KrasG12D mutation alone leads to formation of PanIN (47, 48). In addition to KRAS, the mutational inactivation of TP53 (49), SMAD4 (50) and CDKN2A (47, 51) tumor suppressor genes leads to progression of PanIN formation to rapid and high-frequency metastatic PDAC.

CDKN2A gene codes for cell cycle inhibitors, p16 signalling and SMAD4, which act as transducers of the transforming growth factor beta (TGF- β) signalling pathway, and are two commonly mutated tumor suppressors found in about 20% of PDAC cases (52). *CDKN2A* encodes p16^{INK4A} and p19^{ARF} through a common locus on chromosome 9p (53). p16^{INK4A} is a cell cycle inhibitor acting at the G1-S checkpoint through *cyclin-dependent kinases* (CDKs) (54), whereas p19^{ARF} binds to mouse double minute 2 homolog (*MDM2*), an *E3 ubiquitin-protein ligase* inhibiting p53 degradation and thus, causes cell cycle arrest independent of CDKs (55). Large homozygous deletions, missense mutations, promoter methylation of *CDKN2A* along with promoter silencing, inactivates both these protein (p16^{INK4A} and p19^{ARF}), resulting in almost universal loss of *CDKN2A* leading to PDAC (33).

Approximately 50-74% of pancreatic cancers have inactivating mutations in TP53, the most frequently detected genetic alteration (56). About 66% of *TP53* mutations are missense affecting its DNA binding domain (43, 56); nonsense mutations, frameshifts and homozygous deletions are considered vital mechanisms of *TP53* inactivation in PC (43). In late-stage pancreatic cancers, almost 50% of all mutations in TP53 cause loss of protein expression leading to null alleles (56). Integrated mutation profiling of actionable cancer targets (MSK-IMPACT study) and the GENIE project suggests mutations of *TP53* in about 70% PDAC subsets (52). p53 mutations are observed in some PanIN lesions, but not in IPMN, developing at the later stage where two-thirds of the mutations are missense mutations (57).

SMAD4 or *DPC4* (deleted in pancreatic cancer locus 4) gene that is inactivated in about 60% of PDAC cases in late stages, is an intracellular transcriptional mediator of the TGF- β signaling pathway (58, 59). TGF- β is one of the most commonly mutated signal transduction pathway in PDAC (60, 61). However, inactivating mutation in TGF β receptor 1 (*TGFBR1*), *TGFBR2*, activin A receptor type 1B (*ACVR1B*) or *SMAD3* has been identified in about 10% cases, possibly via inhibiting TGF- β signaling (14, 43). KLF11 is a transcription factor that increases TGF- β signaling by inhibiting Smad7, a negative regulator of the pathway. This facilitates growth inhibition and tumor suppression in normal epithelial cells. In pancreatic cancer cells harboring oncogenic Ras mutations, the Erk-MAPK pathway phosphorylates KLF11, thereby impairing its association with mSin3A corepressor and inhibiting Smad7 suppression. The inactivation of KLF11 results in diminished TGF- β signaling, which facilitates the growth of pancreatic cancer (62).

A study involving 142 pancreatic cancer patients, using next-generation sequencing platforms showed heterogeneity among 2,016 non-silent mutations and 1,628 copy-number variations (14). Sixteen mutated genes, along with *KRAS*, *CDKN2A* and *TP53*, were identified. They are Myeloid/lymphoid or mixed-lineage leukemia protein 3 (*MLL3*), AT-rich interactive domain-containing protein 1A (*ARID1A*), AT-rich interactive domain-containing protein 2 (*ARID2*), Transforming growth factor- β receptor type II (*TGFBR2*), and Splicing Factor 3b Subunit 1 (*SF3B1*), Enhancer of polycomb homologue 1 (*EPC1*), Dual

specificity mitogen-activated protein kinase kinase 4 (*MAP2KA*), Ataxia telangiectasia mutated (*ATM*), Zinc finger imprinted 2 (*ZIM2*), Sodium leak channel non-selective protein (*NALCN*), Melanoma-associated antigen 6 (*MAGEA6*), and Solute carrier family 16 member 4 (*SLC16A4*). Somatic aberrations in genes guiding axons in SLIT/ROBO signaling were also observed (14, 24). *ATM* (gene product mutated in human genetic disorder ataxia telangiectasia) is one of the most commonly mutated DDR genes which is sporadically mutated in familial pancreatic cancer (63). *ATM* encodes for PI3K-related serine/threonine protein kinase capable of repairing DNA double-strand breaks, have been identified with somatic mutations in 2 to 18%, and 1 to 34% of germline mutations in PDAC patients (64).

To avoid cross-contamination of tumor cells with non-neoplastic cells, prior to sequencing, each tissue sample was first immunolabeled for the proteins encoded by most commonly altered tumor-suppressor genes inactivated in PDAC (*CDKN2A*, *TP53*, and *SMAD4*) (65). The activating mutations of *KRAS* are almost ubiquitous and inactivation of *TP53*, *SMAD4* and *CDKN2A* occur at the rates of >50%. Missense mutations were identified in *TP53*, *ARID1A* and in *SMAD4* corresponding to the immunolabeling patterns which were uniformly present in all samples studied (65). Whole-genome sequencing and copy number variation (CNV) analysis of 100 PDAC samples suggested prevalence of gene disruption due to chromosomal rearrangements, which affected *TP53*, *SMAD4*, *CDKN2A*, *ARID1A* and *ROBO2* (37). The study showed a total of 857,971 somatic point mutations with small insertions and deletions, where 5,424 genes exhibited a total of 7,888 non-silent mutations. Out of 11,868 somatic structural variants, 10,114 were intra-chromosomal and 1,754 inter-chromosomal translocations. In the case of intra-chromosomal locations, 5,860 showed intrachromosomal rearrangements, 1,629 inversions, 1,393 deletions, 5,799 inversions, 346 amplified inversions, 128 duplications, and 179 tandem duplications (37). This study came up with 4 subtype classification of PDACs, on the basis of variations in chromosomal structure with potential clinical relevance; stable (subtype-1), locally rearranged (Subtype-2), scattered (subtype-3) and unstable (subtype-4). In case of subtype-1, the tumor genomes had ≤ 50 structural variation events and often showed aneuploidy. In subtype-2, there were rearranged genomes with regions of amplifications containing known oncogenes such as *KRAS*, *SOX9* and *GATA6*, in addition to genes of therapeutic targets such as *ERBB2*, *MET*, *CDK6*, *PIK3CA* and *PIK3R3*, among 1-2% of patients. This subtype also showed complex genomic rearrangement events such as breakage-fusion-bridge or chromothripsis resulting in a ring chromosome. In 36% of samples (subtype-3), a moderate range of non-random chromosomal damage was observed. The unstable type (subtype-4) seen in 14% of samples showed high genomic instability with >200 structural variation events, suggesting sensitivity to DNA-damaging agents. Mutations in BRCA pathway genes accounted for approximately half of the patients with a high BRCA mutational signature and overlapping deleterious mutations in *BRCA1*, *BRCA2* and *PALB2* with unstable genomes pointing towards deficiencies in DNA damage repair (37).

3.2 Circulating DNA markers

Different types of circulating DNA are traced in liquid biopsies, such as total circulating cell free cfDNA, circulating tumor-specific cell-free DNA (ctDNA), mRNA, and microRNA (miRNA) containing tumor-derived markers. cfDNA is double-stranded fragmented DNA found at a low level under physiological concentrations, but its level increases under chronic and acute pathological conditions including cancers (66). The concentration of cfDNA in blood ranges between 0–5 and >1000 ng/ml in cancer patients while in the range of 0 and 100 ng/ml in healthy individuals (67).

cfDNA, which are greater than 10 kb, are mostly released in the blood or in body fluids from healthy cells of hematopoietic origin, or from cancer cells probably due to apoptosis and necrosis of tumor tissue during cancer treatment (68). However, shorter fragments of DNA (<100bp) mostly comprises of ctDNA, mitochondrial DNA (mtDNA), and bacterial DNA. ctDNA is single or double-stranded DNA harboring tumor specific somatic mutations, and thus, its use as a biological marker has drawn much attention and considered a promising prognostic factor for PDAC (69, 70). Its smaller size makes them difficult to detect and quantify. The half-life of ctDNA ranges between 16 and 150 mins, and thus, also provides lower sensitivity during early detection in liquid biopsies (67, 70–72). The presence of ctDNA in the blood has been linked to relapse and residual disease after PDAC surgery, possibly due to surgery-induced injury to the tumor tissue releasing the DNA (72–74).

In a study to investigate whether ctDNA could identify minimal residual disease (MRD) and predict relapse of PDAC after surgery, panel-captured sequencing was performed to detect somatic mutations in 27 patients and 65 plasma samples (75). ctDNA was detected in 18 of 27 preoperative plasma samples, resulting in a detectable rate of 66.67% and reaching 100% for stage IV PDAC. Nine patients were positive for post-operative ctDNA and had a markedly reduced disease-free survival (DFS) with ctDNA-negative ones. Compared to matched tumor tissue, the frequency of mutant genes was lower in ctDNA, suggesting poor shedding into peripheral blood in PDAC patients and low allelic frequency that is hard to detect considering the genomic heterogeneity and multiple mutations in PDAC. However, about 90% recurrence patients were postoperative ctDNA-positive, suggesting sensitivity of postoperative ctDNA analysis than CT scanning in MRD identification (75).

Study of serum samples from 61 metastatic PDAC patients suggested higher association of cfDNA concentration (median concentration being 33 ng/mL) and fragmentation (100–1100 bp) levels with poorer survival in metastatic PDAC (76).

5-methylcytosine (5mC) and 5-hydroxymethylcytosine (5hmC) markers from cfDNA have recently been considered as important markers for non-invasive diagnosis for PDAC. A recent study involving high throughput sequencing (cfMeDIP-seq) and cell-free 5hmC sequencing methods, global loss of 5hmC in the PDAC samples was observed by Integrative Genomics Viewer (77). 5hmC enriched regions were high in coding DNA sequence (CDS), 5'UTRs, exons, 3'UTRs, and promoters, but introns and intergenic regions were depleted in 5mC as well as 5hmC. Upon

comparing the distribution, 5mC peaks were found two-fold higher than 5hmC peaks where only 16.7% overlapped with 5hmC peak sites. The overlapping peaks were in five types of histone modifications (H3K36me3, H3K27ac, H3K4me1, H3K4me3, and H3K27me3). Over 80% of 5mC peaks occurred at sites distinct to 5hmC, where 17,340 genes carried both the types of modifications. Combining both 5mC and 5hmC features and paired datasets from PDAC and healthy samples, around 51 features were found that could discriminate PDAC from healthy samples and disparity of the weighted diagnosis score (wd-score) was statistically significant. The wd-score with the 5hmC model could also distinguish stage I patients from stage II–IV PDAC patients (77).

A significant global decrease in 5hmC signal was seen in PDAC compared to non-cancer cohort, which increased over 3'UTR, transcription termination sites (TTS) and intron regions and decreased over promoters (78). Few studies also suggested overlap in gene centric functional regions such as in promoters, untranslated regions (3'UTRs and 5' UTRs), TTS, exons and short interspersed repetitive elements that increased in comparison to genomic background. In almost all PDAC stages, 5hmC decrease over H3K4me3-marked active TSS sites was noted. Among top 50 hyperhydroxymethylated and hypohydroxymethylated genes, PDAC tissue-derived hyper-hydroxymethylated genes were capable of discriminating between non-cancer and PDAC cfDNA samples. Hydroxymethylation in genes such as GATA4, GATA6, PROX1, ONECUT1, and MEIS2, which plays an important role in development and functioning of pancreas, as well as in genes YAP1, TEAD1, PROX1, IGF1, were found involved in cancer pathogenesis (78).

In addition, altered miRNA expression has also been associated with various cancers. miRNAs regulate gene expression at the transcriptional level. Significant upregulation of various miRNAs in PDAC serum samples includes, miR-215-5p, miR-122-5p, and miR-192-5p and decreased levels of miR-30b-5p and miR-320b, compared to chronic pancreatitis and hepatocellular carcinoma. Hence, this panel can serve as a non-invasive biomarker for an early detection of PDAC (79).

3.3 PDAC biomarkers

Proinflammatory cytokine IL-8, along with CA19-9 and carcinoembryonic antigen cell adhesion molecule 6 (CEACAM6), is considered a biomarker for PDAC diagnosis (80). Canonical markers cytokeratin 17 (CK17) for the BL subtype, GATA6 for the CLA subtype, and CK19 as a pan-cancer cell marker are also useful biomarkers (31). A serum biomarker panel of CA-125 and CA19-9 could be used for effective clinical management of PDAC patients undergoing neoadjuvant chemotherapy (NAC) (81). Yet another biomarker, CCL3 which is tumor derived, directs TGF β signaling inducing macrophages to acquire its M2 phenotype and Lif secretion and sustaining a mesenchymal/basal-like subtype. TGF β inhibition by galunisertib redirects macrophage polarization to M1, reducing Lif and shifting PDAC cells to a more epithelial/CLA phenotype, improving gemcitabine sensitivity (61). Mutant KRAS

ctDNA is detected in patients at diagnosis, Neoadjuvant chemotherapy and after resection. Clearance of ctDNA during NAC was associated with improved overall survival (OS). Detection of mutant KRAS G12V after NAC and resection was associated with shorter OS (82). Aberrant expression of microRNAs (miR) is known to be indicators of PDAC therapy resistance or therapeutic response (83). PDAC serum samples showed a significant overexpression of miR 215 5p, miR 122 5p, and miR 192 5p. On the other hand, PDAC had substantially lower levels of miR 30b 5p and miR 320b than hepatocellular carcinoma and chronic pancreatitis. This panel can therefore be used as a non-invasive biomarker for an early identification of PDAC (79).

Methylated DNA markers (FER1L4), along with CA 19-9, are also identified as blood-based biomarker for enhancing early detection and diagnostic sensitivity (84). Crucial biomarkers like CXCR4 and CD4 were identified which dysregulate the immune system, highlighting the significance of immune associated pathways (85). Differentially expressed genes were identified, mainly *PLAU* and *COL17A1* (86). Another set of differentially expressed genes which can act as biomarkers pertinent to PDAC includes CDK1, CDC20, KIF11, DLGAP5, CCNB1, BUB1, and CEP55 as hub genes which affect PDAC survival rate (87).

3.4 Epigenetic alterations- a potential driver for PDAC

Epigenetic mechanisms regulate gene transcription, and the proper functioning of these mechanisms is essential for normal development and tissue differentiation. Appropriate gene expression is maintained through self-regulatory mechanism that defines the epigenetic landscape and thus, any deviation or aberration can lead to cancer. The advancement in epigenomics has enriched our knowledge in understanding PDAC at the translational level. Evidences support that epigenetic alterations also contribute to the PDAC progression, a mechanism by which a genotype can have different phenotypic effects (88) (Figure 2). Although genetic mutations drive PDAC initiation, possibly triggering the early neoplastic lesions, they are it is not the only reason to explain its aggressive nature, as with tumour progression, the epigenomic landscape controls the gene expression directing the heterogenetic differentiation. Epigenetic mechanisms, including aberrant DNA methylation and histone modifications, and nucleosome remodeling significantly contribute to inter- and intratumoral heterogeneity, disease progression and metastasis (89). Epigenetic modifications correlate with distinct PDAC subtypes in patient-

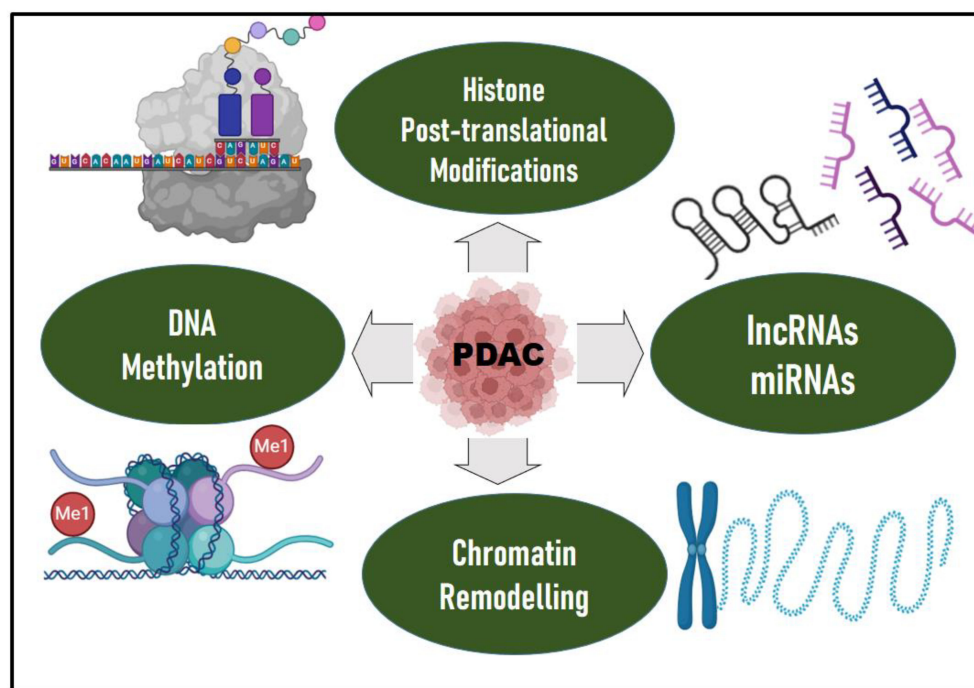


FIGURE 2

Epigenetic drivers of PDAC. Epigenetic mechanisms, including aberrant DNA methylation and histone modifications, chromatin remodeling, long non-coding RNAs (lncRNAs) and microRNAs (miRNAs), significantly contribute to inter- and intratumoral heterogeneity, disease progression and metastasis in PDAC. Epigenetic modifiers such as DNA methyltransferases, histone methyltransferases, histone acetyltransferases, histone demethylases, or deacetylases are mutated which contributes to PDAC. Mutations in epigenetic regulators such as TET2, DNMT3A, ASXL1, ARID1A/B, PBRM1, MLL2/3/4, KDM6A, SMARCA2/4 are evident in PDAC. Significant upregulation of various miRNAs such as miR-215-5p, miR-122-5p, and miR-192-5p and decreased levels of miR-30b-5p and miR-320b, were observed in PDAC compared to chronic pancreatitis and hepatocellular carcinoma. Protein arginine methyl transferase is also overexpressed in PDAC. The DKK1-Super Enhancer (DKK1-SE) in PDAC is characterized by aberrantly active histone modifications. Epigenetic modifications correlate with distinct PDAC subtypes in patient-derived xenografts, suggesting that distinct epigenetic states may underpin inter patient PDAC transcriptional heterogeneity.

derived xenografts, suggesting that distinct epigenetic states may underpin inter patient PDAC transcriptional heterogeneity (90).

Epigenetic modifiers such as DNA methyltransferases, histone methyltransferases, histone acetyltransferases, histone demethylases, or deacetylases are mutated which also contributes to PDAC (91). A subset of PDAC patients have germline premature truncating variant mutations in epigenetic regulators (e.g., *TET2*, *DNMT3A*, and *ASXL1*) (92). In addition, whole exome and genome sequencing identified somatic mutations in the epigenetic regulators and chromatin remodeling complexes like ARID1A/B, PBRM1, MLL2/3/4, KDM6A, SMARCA2/4 (36, 37).

ATAC-seq (Assay for Transposase-Accessible Chromatin using sequencing) to analyze chromatin accessibility of surgically resected PDAC between patients found 1092 differentially accessible chromatin peaks. Subsequent computational TF motif analysis identified 61 TFs with binding motifs within these chromatin regions. These TFs included tumor-promoting ZKSCAN1 from the open chromatin regions of metastatic patients and tumor suppressor HNF1B from the open chromatin regions of non-metastases patients; and this can remodel chromatin landscape and accessibility to recruit or prevent TF binding as a mechanism to initiate tumor metastasis (93).

Histone methylation is one of the most complicated epigenetic modification process and arginine methyl transferase plays a major role. Arginine methyl transferase is overexpressed in PDAC. This induces expression of GLUT1 and HK2, which reduces the effectiveness of chemotherapeutic drugs (94). Furthermore, arginine methyl transferase inhibitors, in combination with chemotherapeutic drugs or immunotherapy, increased the anti-tumor effects by increasing CD8⁺ T cell count (94, 95).

Dickkopf-1 (DKK1) is increased in multiple tumors, and its silencing leads to tumor inhibition across diverse malignancies. The DKK1-Super Enhancer (DKK1-SE) in PDAC is characterized by aberrantly active histone modifications. Its principal component, enhancer e1, in conjunction with AP1 transcription factors JUND and FOSL2, triggers chromatin remodeling, hence facilitating the transcriptional activation of DKK1. The elimination of DKK1-SE significantly slows PDAC growth and alleviates the complexities of its microenvironment. DKK1-SE facilitated the advancement of PDAC by enhancing DKK1 expression, highlighting that the aberrant activation of DKK1 is induced by the epigenetic reprogramming of PDAC, thereby offering novel insights into the role of dysregulated histone modification in the progression of PDAC (96). Further understanding of the epigenetic landscape in PDAC could offer new potential biomarkers and tailored therapeutic approaches.

3.5 Long non-coding RNAs

About 25% of the RNA in human cells is made up of long non-coding RNAs (lncRNAs); PDAC carcinogenesis is frequently linked to their dysregulation (97). They modulate transcriptional and post-transcriptional gene expression, chromatin remodeling and epigenetic regulation (98). It is also reported to be involved in

various steps of pancreatic cancer development and have a potential value in the diagnosis, treatment and prognostic prediction of PDAC (99). lncRNAs are highly upregulated in liver cancer (HULC) and Plasmacytoma variant translocation1 (PVT1) levels in tissue or plasma correlate with PDAC progression and clinical outcomes. lncRNAs that are upregulated in PDAC include HOX Transcript Antisense Intergenic RNA (HOTAIR), metastasis-associated lung adenocarcinoma transcript 1 (MALAT1), and H19 (100, 101).

3.6 Pathological conditions leading to PDAC

The microbiome has emerged as a contributor to oncogenesis in several gastrointestinal tract malignancies including pancreatic cancer. The dysbiosis of the microbial populations leads to inflammatory reactions and influences the immunological response of the host, therefore promoting cancer growth, as well as influencing the efficacy of anti-cancer treatment. Infection with *E. faecalis* may be involved in chronic pancreatitis progression, ultimately leading to development of pancreatic cancer (102). Although studies on the influence of bacteria on pancreatic cancer are still in their early years, it is clear that gut and oral microbiomes can act as potential pancreatic cancer diagnostic biomarkers and therapeutic targets (103). Pancreatic cancer immunogenicity increases with gut microbiota removal, which polarizes CD4⁺ T cells to Th1 and increased CD8⁺ T cell infiltration while decreasing MDSC infiltration (104). There is yet another complex relationship between Diabetes and PDAC (105). Long standing Type 2 Diabetes Mellitus is a known risk factor for PDAC (106).

4 Tumor immune microenvironment

The pancreatic TiME is indispensable for pancreatic cancer progression. It is a highly immunosuppressive environment, characterized by desmoplastic reaction with abundant stromal response. Reciprocal communication between cancer and stromal cells induces changes in cellular components of the PDAC TME, which can prime the primary tumor for metastasis and cell migration. The cells comprise of variable numbers of infiltrating immunosuppressive cells, such as tumor-associated macrophages (TAMs), myeloid-derived suppressor cells (MDSCs), regulatory T cells (T_{reg} cells), dysfunctional T cells and distinct cancer-associated fibroblast (CAF) subtypes, tumor-associated neutrophils, together with heterogenous extracellular matrix (ECM) impact patient prognosis and therapeutic outcome (6).

4.1 Immunosuppressive TME in PDAC

PDAC is characterized by the immunosuppressive TME with complex and diverse tumor infiltrating immune suppressive stromal,

ECM and malignant tumor cells that contribute to poor prognosis and immunotherapy resistance. Several immunosuppressive cells are predominant in the TME that includes MDSCs, Tregs, and TAMs.

4.1.1 Myeloid-derived suppressor cells

MDSCs are the heterogenous population of immature myeloid cells (CD15⁺, CD11b⁺), which have a significant role in immunosuppression (107). Immunosuppression mediated by MDSCs takes place via multiple signaling pathways. MDSCs suppress the anti-tumor functions of T cells and NK cells which leads to dismal prognosis; IL-6 is identified as a regulator of MDSC accumulation (108). Immunosuppression and immune evasion by MDSCs are also mediated by epidermal growth factor receptor-mitogen-activated protein kinases (EGFR-MAPK) - dependent upregulation of PD-L1 expression on tumor cells (109). It suppresses the anti-tumor immune functions of CD8⁺ T cells. Three major subsets of MDSCs have been identified in cancer and other chronic inflammatory conditions are: polymorphonuclear (PMN-MDSC), granulocytic (Gr-MDSC) and monocytic (M-MDSC) (110). Due to the immature nature of MDSCs, yet another phenotype, early-stage MDSC (eMDSC) has been proposed MDSC (110). Though they are not phenotypically different, they have distinct functional properties. M-MDSCs are typically differentiated by the surface markers CD11b⁺Ly6G⁻Ly6C^{hi} and PMN-MDSC with CD11b⁺Ly6G⁺Ly6C^{lo}.

In PDAC patients, the amount of MDSCs in systemic circulation and bone marrow can be correlated with the stage of the disease (111). GM-CSF is one of the several growth factors implicated in this increase which is produced by malignant pancreatic epithelial cells (112). GM-CSF is a regulator of inflammation and immune suppression.

4.1.2 Tumor associated macrophages

Resident macrophages differentiate into TAMs which have two phenotypes, M1 and M2; M1 express IL-1 β and TNF- α (proinflammatory) and M2 phenotype is CD163⁺ and IL-10 producer (anti-inflammatory). Monocytes that infiltrate tumors exhibit phenotypes that share characteristics of M1 and M2; in the early stages of cancer, M1 phenotype predominates. As the disease progresses, they exhibit more of an anti-inflammatory M2 phenotype, leading to tumor evasion, which correlates with poor prognosis in PDAC patients. Both TAMs and MDSCs are known to promote pancreatic cancer stem cells (CSC) by the release of proinflammatory cytokines via STAT3 and NF- κ B signaling which enhances CSC proliferation. MDSCs are known to differentiate into M2 macrophages in the PDAC TME under hypoxic conditions mediated by HIF-1 α (113, 114).

HOXA9, a transcription factor, can potentiate PDAC progression by stimulating CD163 expression on TAM (115), which further increases therapy resistance (116). Twist is yet another transcription factor that contributes to tumor progression by epithelial -to- mesenchymal transition (EMT) and immunosuppression by reducing CD8⁺T and NK cells in the TME (117).

4.1.3 Regulatory T cells

Tregs are the major players of immune suppression in PDAC TME. Treg modulation, in conjunction with other chemotherapy regimen, has emerged as a novel method for PDAC therapy. MDSCs also influence the production of Tregs, which have important role in immunotolerance (118). They are identified by forkhead box protein 3 (FOXP3) protein expression and high levels of IL-2 receptor alpha chain, CD25. Tregs bind to DCs and prevent them from activating CD8⁺T cells. Alternatively, TGF- β promotes the proliferation of Tregs, inhibiting antigen presentation by DCs (119, 120). Tregs inhibit the immune response against PDAC cells facilitating the premalignant stage to invasive PDAC (121), and thus, leading to higher mortality rates (122).

4.1.4 Tumor associated neutrophils

Tumor associated neutrophils (TANs) represent an important cell population in PDAC TME, displaying extensive plasticity between anti-tumorigenic N1 and pro-tumorigenic N2 neutrophils in the TME, and is contributing to survival and immune infiltrations. Significantly increased N2 neutrophils favor tumor and is associated with poor survival. OS and recurrence free survival are associated with high infiltration of N1 neutrophils (123). N2 neutrophils improve angiogenesis via the secretion of matrix metalloproteinase and VEGF (124). They also promote metastasis by enhancing the expression of Bv8, S100A8, and S100A9 (premetastatic proteins). TANs secrete chemokine ligand 5 (CCL5) in abundance, and upregulate nectin2, subsequently enhancing cancer cell migration and invasion (125) by inhibiting the cytotoxic activity of CD8⁺ T cells. Secreted CCL5 and CCL17 also attract Tregs to TME that promote tumor progression (126). TGF- β , which is abundant in PDAC TME, also has a role in neutrophil polarization; blocking TGF- β improved antitumor TANs (127).

4.1.5 Cancer associated fibroblasts restricting tumor infiltrating immune cells

Cancer associated fibroblasts (CAFs) are a major non-neoplastic, cellular constituent of the desmoplastic stroma in PDAC and can be derived from pancreatic stellate cells (PSCs) (128), tissue-resident fibroblasts, and tumor-infiltrating mesenchymal stem cells (MSCs) (129). CAFs are characterized by fibroblast activation protein (FAP) and α -smooth muscle actin (α -SMA), fibroblast-specific protein 1 (FSP1), and platelet-derived growth factor receptors alpha/beta (PDGFR α/β). In PDAC, CAFs are heterogenous, constituting myofibroblastic CAFs (myCAF), inflammatory CAFs (iCAF) and antigen-presenting CAFs (apCAF). myCAF and iCAF switch between phenotypes based on the context (130). Fibrillar collagens, fibronectin, elastin, laminins and hyaluronan are ECM components in PDAC, which is secreted by myCAF (131). They are the source of ECM and provide nutrients to tumor cells and make them more aggressive. Furthermore, they modulate cancer progression by various cytokines/chemokines like TGF- β , VEGF, IL-6, CXCL12. CAF migration and differentiation, mediated by ARP2/3, have been

implicated in the initiation of PDAC (132). Immune escape of CAF's mediated by releasing immunosuppressive cytokines and chemokines, such as IL-6, IL-1 β , CXCL1, CXCL2, and CXCL12, and expressing immune checkpoint ligands (133).

ECM secretion by CAFs also builds the mechanical barrier and interstitial pressure in the TME that impedes drug delivery and immune cell infiltration leading to hypoxic TME. To ameliorate the hydrostatic pressure, hyaluronidase was used to breakdown hyaluronan in an attempt to improve drug delivery and tumor response (134, 135). A phase II trial of PEGylated hyaluronidase (PEGPH20), in combination with chemotherapy (gemcitabine/nab-paclitaxel), achieved 40% response, and the progression free survival (PFS) was 6.0 months (136).

Sonic hedgehog (Shh) signaling is overactive in PDAC. This overexpression leads to desmoplastic reaction (137, 138). Shh is a soluble ligand expressed by neoplastic cells in PDAC, which drives formation of a fibroblast-rich desmoplastic stroma (137, 139). By deleting the Shh in a PDAC mouse model, the stromal content was reduced by restraining tumor angiogenesis.

Mast cells in the TME promote *in vivo* growth of PDAC (140). Mast cell-derived factors like IL-13 and tryptase stimulate PSC proliferation leading to production of TGF- β 2 and Smad2 phosphorylation (141). This results in desmoplastic stroma which promotes proliferation of PSCs and eventually leading to poor prognosis.

4.1.6 Cytokines and chemokines

Chemokines are key drivers of inflammation, and the major promoters of cancers. However, a combination of chemokines (CCL19, CCL21, CXCL9, CXCL10, CXCL12 and XCL1) together with cytokines IL-2, IL-12, granulocyte-macrophage colony-stimulating factor (GM-CSF), stimulate T cells, NK cells or tumor antigen-pulsed DCs (142, 143) contributing to TME. Therefore, targeting chemokines or chemokine receptors is a promising strategy for enhancing immunotherapy in PDAC.

Role of CCL2 in M-MDSC migration into tumor site and accumulation occurs via CCR 2, 4, and 5 (144). CXCR2 is a chemokine receptor that mediates MDSC recruitment to the PDAC TME. CXCR2⁺ MDSCs migrate in response to ligands like CXCL1, CXCL2, and CXCL5, which are often secreted by PDAC cells. Targeting CXCR2 has shown potential in reducing MDSC infiltration and enhancing antitumor immunity.

4.1.7 Soluble factors

There are many soluble mediators that play a significant role in the PDAC immune response. Properdin, the only known positive regulator of the alternative complement pathway, is significantly elevated in the early stages of PDAC but declines in advanced disease. Neutrophils, which store properdin in their granules, contribute to its increased expression in patients, with high neutrophil infiltration correlating with the CLA PDAC subtype and improved survival outcomes (145). Properdin exhibits anti-tumorigenic properties by promoting apoptosis in BL PDAC cell lines. However, its levels are markedly reduced in the blood of PDAC patients, suggesting that complement suppression aids immune evasion and reduces the efficacy of cancer

immunotherapy. Human surfactant protein D (SP-D) is another soluble factor that modulates cytokines and chemokines. Kaur et al. studied the role of SP-D in suppressing EMT in PDAC by downregulating TGF- β signaling (146). A recombinant fragment of human SP-D, containing homotrimeric neck and C-type lectin domains, has been shown to induce apoptosis in pancreatic cancer cell lines through the Fas-mediated pathway (147). These studies suggest that soluble factors can potentially be used to therapeutically target pancreatic cancer cells. Another complement component, C1q, plays a pro-metastatic role in PDAC, particularly in hepatic metastases. C1q expression progressively increases from normal pancreatic tissue to primary tumors and then to hepatic metastases driven by M2 macrophages, which are known for their tumor-promoting and immunosuppressive functions. C1q facilitates PDAC cell migration and invasion, contributing to disease progression. Additionally, alterations in the TME, including upregulation of the complement cascade, have been linked to enhanced metastatic potential in PDAC (148). Recent spatial transcriptomic study uncovered the role of C1q in contributing to the establishment of the immune-suppressive microenvironment that supports tumor progression. The role of C1q in promoting metastasis, especially in liver metastases, is critical as it interacts with immune cells, particularly macrophages, influencing the tumor's ability to thrive in new locations. This insight supports the idea that complement subcomponents such as C1q can aid in tumor adaptation to the metastatic niche, promoting PDAC spread (149).

4.2 Aberrant signaling pathways in PDAC

Aberrant cell signaling is an important hallmark of cancer progression. Cancer cells as well as the cells in the stromal microenvironment continuously interact and perceived by cellular signaling networks. Signaling pathways that drive tumor progression and therapy resistance include KRAS, TGF- β , Notch, hypoxia-inducible factor (HIF), and Wnt/ β -catenin. Aberrant signaling results from multiple genetic and epigenetic alterations such as the mutation in driver genes (KRAS, *CDKN2A*, *p53*), genomic amplification of regulatory genes (*MYC*, *IGF2BP2*, *ROIK3*), deregulation of chromatin-modifying proteins (HDAC, WDR5), among others. Pancreatic cancer cells need continuous K-Ras signaling for their proliferation and survival. Inactivation of GTPase due to mutation constitutively activates Ras signaling and downstream effector pathways (150). The downstream effectors in pancreatic cancer predominantly act through canonical Raf/MAPK/extracellular signal-regulated kinase (Erk), PI3Ks/(PDK-1)/Akt, RalGEFs, and phospholipase C ϵ (150). The most recurrently mutated signal transduction pathway in PDAC is TGF β signaling, thus, inhibition of this pathway can lead to therapeutic approach (60, 151).

4.3 Microbiome influence on chemotherapy resistance

Systemic therapy in PDAC patients eventually leads to drug resistance and there is evidence to suggest that microbiota have

potential to induce chemotherapy resistance (152). Intratumor bacteria are found in many cancers including PDAC (153). The most common bacteria in the PDAC intratumor microbiome are *Gamma-proteobacteria*, with the dominant genus *Pseudomonas* (104), which carries long-form cytidine deaminase that metabolizes the chemotherapeutic drug gemcitabine (2',2'-difluorodeoxycytidine), into its inactive form (2',2'-difluorodeoxyuridine). The anti-cancer efficacy of gemcitabine is negatively affected by the cytidine deaminase activity of *Mycoplasma*, which leads to drug catabolism in the TME (154). This deamination is further potentiated by mycoplasma-derived pyrimidine nucleoside phosphorylase (PyNP) activity. Intratumoral microbiota of BL PDAC are *Acinetobacter*, *Pseudomonas*, and *Sphingopyxis*, which are associated with worse prognosis due to induction of inflammation (155). Microbial dysbiosis is another reason for gemcitabine and albumin-bound paclitaxel resistance (156).

4.4 Metabolic regulation of PDAC

Tumor tissues have lower levels of glucose, high glycolytic intermediates, creatine phosphate, and the amino acids glutamine and serine, which are the main metabolic substrates, according to metabolomic comparisons between human PDAC tumor tissue and normal surrounding tissue (157). Acetyl-CoA, which is produced from acetate, is linked to protein acetylation. These regulatory processes include altered cellular signaling, epigenetic alterations, gene expression, DNA replication, and DNA damage repair. Immunohistochemical (IHC) examination of pancreatic cancer samples reveals increased histone acetylation. Another defining feature of tumor growth is acidosis, which may result from an overactive glycolytic metabolism. Acidosis affects tumor metabolism by increasing mitochondrial activity and decreasing glycolysis (158). Targeting the metabolic nodes is another mode for improving therapy in PDAC.

5 Intratumor heterogeneity

Intratumor heterogeneity is another major hurdle for effective therapeutic options. Heterogeneity is observed in CSCs, transcriptional and epigenetic variation, epithelial mesenchymal transition and metabolic difference (159). Tumor initiating capacity or the stemness of PDAC may be attributed to the presence of CSCs in cancer cell populations. It is identified by various cell surface markers CD133, CXCR4, CD44, CD24 and EpCAM and several other markers (160, 161). Yet another marker is cell surface tetraspanin, CD9, for both murine and human PDAC CSCs (162). mRNA binding proteins, Msi1 and Msi2, have been characterized in murine PDAC having tumor initiating capacity. Furthermore, Msi2 directly binds and modulates the transcript levels of epigenetic modifiers such as Brd4 and Hmga2 (163). Different therapeutic strategies have been developed to target CSCs (164).

Transcriptional and epigenetic control indicate a great ability of PDAC neoplastic cells to modify their phenotypic identity.

Epigenetic co-regulators and lineage-specific TFs are important indicators of PDAC subtype specificity and disease progression. The function of AP1 TFs in the heterogeneity of PDAC has recently been reported, emphasizing the divergent tumor states driven by JUNB/AP1 and cJUN/AP1. JUNB preserves a CLA phenotype by inhibiting inflammatory signals and stabilizing differentiation factors such as GATA6, while cJUN fosters a BL phenotype through TNF- α -mediated macrophage recruitment (165). The absence of JUNB results in an inflammatory milieu, diminishing T cell infiltration and facilitating BL transition. Targeting TNF- α by immunotherapy and chemotherapy increases T cell presence, inhibits macrophages, and enhances survival in mice, indicating a potential therapeutic approach to mitigate PDAC immune suppression and aggressiveness (165).

5.1 Stromal heterogeneity

Stromal microenvironment influences the intratumoral composition of PDAC (166). Normal and activated stromal subtypes are identified according to the PDAC subtype classification (22), the latter having worse outcomes. Patients with activated stroma have higher myCAF and csCAF myofibroblastic and immunogenic fibroblasts, M2 macrophages and Tregs. Normal stroma has higher PSCs (167).

6 Therapeutic strategies in PDAC

Chemotherapy profoundly alters the PDAC TME and might lead to further resistance to immunotherapy due to reduced inhibitory check point molecule expression and interactions involving CD8⁺T cells (31). As it tends to develop therapy resistance and has such a worse prognosis, PDAC is a malignant tumor with a very high mortality rate. Although chemotherapy, radiation therapy and immunotherapy are standard treatments, systemic chemotherapy is still the most used approach. However, immune checkpoint inhibitors, which have had considerable success in treating other solid tumor types show limited effectiveness in PDAC. A key feature of PDAC is its inherent resistance to drug therapy. This inherent chemoresistance arises from various cellular mechanisms, including drug efflux, stemness properties, cell cycle regulation, and an elevated apoptotic threshold in response to drug exposure. These resistance mechanisms are driven by multiple oncogenic signaling pathways and dysregulated cellular processes (168). The various therapeutic options in PDAC are schematically represented in Figure 3.

6.1 Surgical resection

Surgery is considered as the primary treatment option for PDAC, along with adjuvant. Since 1940, Pancreaticoduodenectomy (PD) has been widely applied; Whipple reported the classical procedure including distal gastrectomy and total duodenectomy and this has

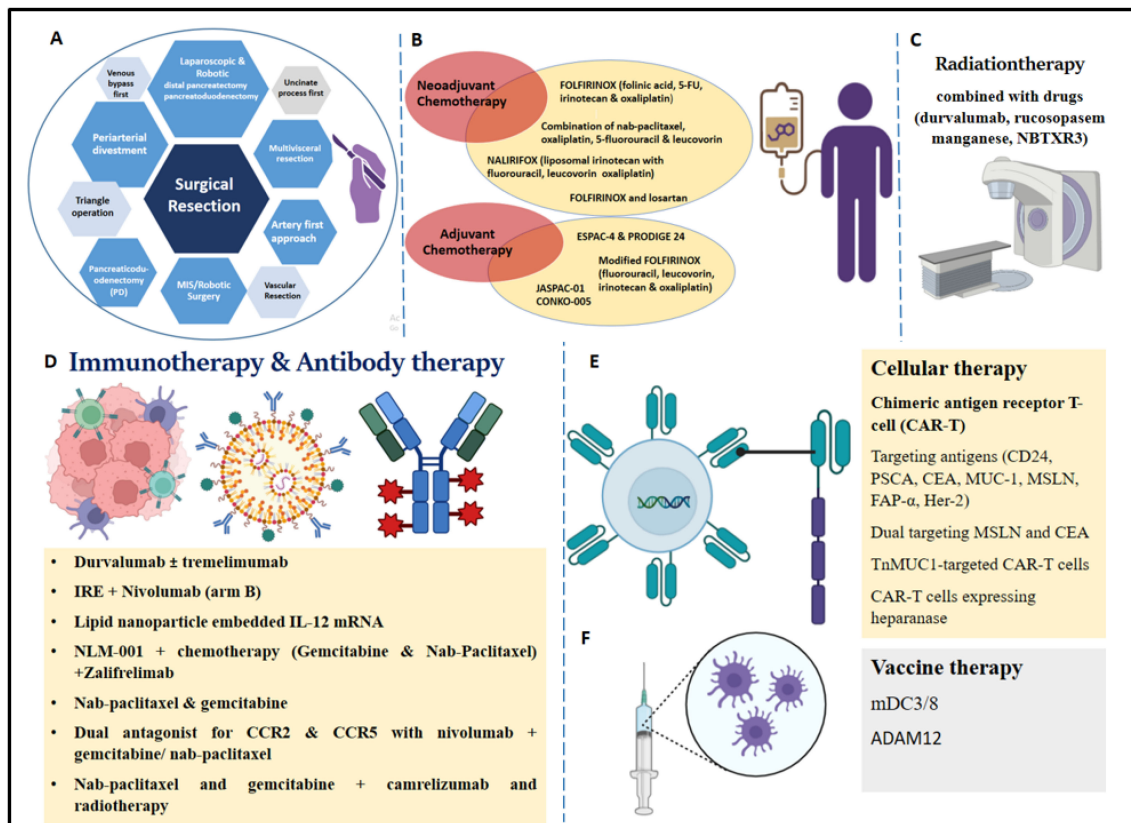


FIGURE 3

Various therapeutic options for treatment of PDAC patients. (A) Surgical resection (a. Pancreaticoduodenectomy, b. artery first approach, uncinate process first, triangle operation, c. venous bypass first, d. periarterial divestment, vascular resection, multivisceral resection, f. MIS/Robotic Surgery, g. laparoscopic and robotic distal pancreatectomy/robotic pancreatoduodenectomy). (B) Neoadjuvant Chemotherapy (a. FOLFIRINOX regimen stage IV disease, b. novel combination of nab-paclitaxel, c. oxaliplatin, 5-fluorouracil, and leucovorin in advanced PDAC patients, d. phase III NALIRIFOX trial, e. FOLFIRINOX and losartan); Adjuvant chemotherapy (a. ESPA-4 and PRODIGE 24, b. modified FOLFIRINOX regimen, c. JASPAC-01, d. CONKO-005, e. combination of nab-paclitaxel and gemcitabine). (C) Radiotherapy in combination with different drugs (durvalumab, rucosopasem manganese, and NBTXR3). (D) Immunotherapy (a. durvalumab with or without tremelimumab, b. IRE (Irreversible Electroporation) + Nivolumab (arm B), c. Lipid nanoparticle embedded IL-12 mRNA, d. NLM-001 + chemotherapy (Gemcitabine and Nab-Paclitaxel) + Zalifrelimab; Antibody therapy (a. durvalumab with tremelimumab, b. nab-paclitaxel and gemcitabine, c. dual antagonist for CCR2 and CCR5 with nivolumab and gemcitabine/ nab-paclitaxel, d. nab-paclitaxel and gemcitabine + camrelizumab and radiotherapy). (E) Cellular therapy (a. chimeric antigen receptor T-cell (CAR-T), b. targeting antigens (CD24, PSCA, CEA, MUC-1, MSLN, FAP- α , Her-2), c. dual targeting MSLN and CEA, d. TnMUC1-targeted CAR-T cells, e. CAR-T cells expressing heparanase). (F) Vaccine therapy (a. dendritic cell vaccine (mDC3/8), b. vaccination against ADAM12).

been modified (169). Specific techniques used in the surgery are as follows: artery first approach (170), uncinate process first (171), triangle operation (172, 173), venous bypass first (174–176), periarterial divestment (177, 178), Vascular (Venous and Arterial) Resection (179), multivisceral resection (180, 181), MIS/Robotic Surgery (182–184), laparoscopic and robotic distal pancreatectomy (185, 186), and laparoscopic and robotic pancreatoduodenectomy (187, 188). Over years, pancreatic surgical procedures have improved leading to 5% reduction in mortality (189).

6.2 Chemotherapy

Chemotherapy is the primary therapeutic option for locally progressed, metastatic, or recurrent PDAC. A combination of nab-paclitaxel and gemcitabine has been approved as a first-line therapy

for locally advanced unresectable or metastatic PDAC due to a higher median OS rate than gemcitabine alone (190).

6.2.1 Neoadjuvant chemotherapy

Neoadjuvant chemotherapy, refers to chemotherapy administered before primary treatment with surgery and/or radiation therapy (191). A phase III randomized trial of gemcitabine alone versus gemcitabine plus capecitabine in metastatic PDAC patients demonstrated improved objective response rate and progression-free survival (192). The FOLFIRINOX regimen (folinic acid, 5-FU, irinotecan, and oxaliplatin) has shown a 31.6% response rate in patients with stage IV disease, although with increased hematologic and neurologic toxicity (193).

A phase III trial investigated the safety and efficacy of nab-paclitaxel plus gemcitabine versus gemcitabine monotherapy in metastatic PDAC. Despite increased rates of peripheral neuropathy

and myelosuppression, the combination therapy improved OS, progression-free survival, and response rate (190). A phase I trial confirmed the improved safety and tolerability profile of nab-paclitaxel plus gemcitabine, along with considerable anti-tumor activity (194).

A study found that drug funding decisions led to increased uptake of newer treatments and improved survival in advanced pancreatic cancer patients receiving first-line palliative chemotherapy. Both FOLFIRINOX and gemcitabine/nab-paclitaxel demonstrated survival benefits in specific patient populations (195).

A more recent study evaluated the novel combination of nab-paclitaxel, oxaliplatin, 5-fluorouracil, and leucovorin in advanced PDAC patients, showing improved activity and potential as an alternative to FOLFIRINOX with less gastrointestinal toxicity (196). The phase III NALIRIFOX trial (liposomal irinotecan with fluorouracil, leucovorin, and oxaliplatin) versus nab-paclitaxel and gemcitabine in metastatic PDAC patients showed improvements in overall and progression-free survival (197).

For patients who are receiving extended neoadjuvant FOLFIRINOX followed by surgical resection, survival outcomes increase by reducing the tumor for better access to surgery (198). Yet another study targeting the desmoplastic stroma on locally advanced PDAC showed better response with neoadjuvant FOLFIRINOX followed by chemoradiotherapy (CRT) and then surgical attempt. Losartan was included in this study as it could inhibit the renin-angiotensin system signaling that improved the delivery of chemotherapy to the tumor and inhibited collagen I synthesis (199, 200). Treatment with FOLFIRINOX and losartan was associated with a significant reduction in plasma thrombospondin-1 and TGF- β levels.

6.2.2 Adjuvant chemotherapy

Adjuvant therapy is given to PDAC patients as a curative therapy after surgery. The current standard of treatment has been established by the ESPAC-4 and PRODIGE 24 studies, even though single-agent adjuvant therapy with gemcitabine or 5FU has demonstrated survival advances. In 732 patients, ESPAC-4 indicated that gemcitabine/capecitabine increased OS compared to gemcitabine alone. In contrast to gemcitabine in the adjuvant context, modified FOLFIRINOX (lower irinotecan and no bolus 5-FU) also improved OS, according to PRODIGE 24, a major global phase 3 study (193, 201). Adjuvant therapy with a modified FOLFIRINOX regimen (fluorouracil, leucovorin, irinotecan, and oxaliplatin) led to significantly longer survival than gemcitabine among patients with resected pancreatic cancer, at the expense of a higher incidence of toxic effects (202). A PACT phase III trial that used adjuvant nab-paclitaxel and gemcitabine in comparison with gemcitabine alone showed better OS with combination therapy (203). The PREOPANC-3 trial is a randomized, multi-center, phase III trial; patients were either given 8 cycles of mFOLFIRINOX before surgery and 4 cycles after (arm 1), or surgery followed by 12 cycles of mFOLFIRINOX (arm 2) (204).

Earlier clinical trials using JASPAC-01, where S1, an oral drug containing tegafur (prodrug of fluorouracil), gimeracil (inhibitor of dihydropyrimidine dehydrogenase), and oteracil potassium (reduce

gastrointestinal toxicity) were recognized to be a standard adjuvant chemotherapy for patients with resected PDAC compared to adjuvant gemcitabine (205). Yet another trial, CONKO-005, involved adjuvant chemotherapy with targeted therapy using Gemcitabine and Erlotinib in comparison with Gemcitabine monotherapy in patients after R0 (resection for cure or complete remission) resection of PDAC which did not provide an improved survival benefits (206).

6.3 Radiation

Although there is little evidence that adjuvant radiation therapy works, it is widely used. The first randomized trial was the GITSG study in 1985, which examined 43 PDAC patients with negative surgical margins and compared adjuvant chemoradiation (40 Gy split-course with weekly 5-FU) (207). The original trial was prematurely ended due to inadequate patient enrolment (intended target was 100 patients), although it showed an OS benefit with CRT (median survival of 20 months versus 11 months). Even though CRT subsequently became the accepted adjuvant treatment, its benefit for survival has been questioned by various randomized trials (208, 209). Hepatic artery infusion chemotherapy may help avoid hepatic metastases and enhance long-term survival following a radical pancreatectomy for PDAC. However, considering the current investigation was retrospective and involved only a limited number of individuals, a larger, prospective study is required to verify these findings (210). Furthermore, with the development of new systemic therapies and a greater emphasis on managing local disease, radiation therapy is probably going to become even more significant (211). Current research is making use of radiation therapy combined with different drugs for locally advanced PDAC. Some of the drugs that are being tested include durvalumab, a PD-L1 inhibitor; rucosopasem manganese, which mimics superoxide dismutase; and NBTXR3, a radio-enhancer (212, 213). Whether neoadjuvant chemoradiation will improve OS in PDAC is difficult to predict (214).

6.4 Immunotherapy

Vaccines, adoptive cell therapies, and checkpoint inhibitors are all examples of cancer immunotherapies. These are designed to alter the activation of co-inhibitory and co-stimulatory receptors on immune cells, particularly T cells, which are critical for modulating adaptive immunological responses. To increase T cell activity against PDAC, clinical trials have assessed the use of immune checkpoint inhibitors that target the cytotoxic T lymphocyte antigen-4 (CTLA-4) and programmed cell death-1 (PD-1/PD-L1 pathways). PD-1/PD-L1 inhibition regulates the anti-tumor T cell response in peripheral tissues, while CTLA-4 blockade impacts Treg cell activity and enhances immunity against tumors. Blocking immunotherapy interferes with the interaction between the PD-1 receptor on T-cells and its ligand, PD-L1, which is often expressed on tumor cells.

This interaction affects T cell action that normally blocks them from effectively targeting cancer. However, using this therapy, specific antibodies to block this interaction between natural immunity against tumor tissue is boosted (215).

A phase II randomized trial used durvalumab with or without tremelimumab, in 65 patients with previously treated metastatic PDAC. In both the combination therapy (3.1%) and the monotherapy (0%), the objective response rate were extremely low. It was challenging to determine whether treatment response and PD-L1 expression or microsatellite instability status were related due to the small number of patients recruited in the study (216).

A randomized phase I trial to study the safety of the combination therapies IRE (Irreversible Electroporation) + Nivolumab (arm B) targeting the PD-1 receptor on T-cells and CpG (Toll-Like Receptor 9) ligand stimulated dendritic cells to release type I IFN, activated NK and infiltrating CD8+T cells and created a more pro-immunogenic TME. More studies should be done to prove the safety and clinical reproducibility (217).

Novel therapeutic strategies for the reversal of T cell exhaustion is a good treatment option. IL-12, a potent proinflammatory cytokine, enhances T cell effector function. Lipid nanoparticle embedded IL-12 mRNA utilized for the intratumoral delivery has proven safe and tolerable (NCT03946800) along with stereotactic body radiation therapy for the optimal activity which resulted in long term OS (218).

Another study utilized two prognostic genes to categorize M2-like TAMs in PDAC into anti-tumor bM2-like TAMs and pro-tumor mM2-like TAMs. The bM2-like TAMs activate T lymphocytes via ALCAM/CD6 and produce prognosis-favorable α SMA+ myofibroblasts by secreting TGF β , providing insights into TAM heterogeneity, prognosis prediction, and immunotherapy for PDAC (219). SLC12A5 is an integral membrane potassium-chloride cotransporter mainly involved in maintaining chloride homeostasis in neurons, is a potential prognostic biomarker for human cancer (220). ENPP2 (ATX) encodes a secreted enzyme that functions as both a phosphodiesterase and a phospholipase. Higher expression of these genes was correlated with improved survival in PDAC patients. This provides a new target for immunotherapy in PDAC.

Another ongoing phase Ib/IIa trial examined safety and efficacy of NLM-001, the Hedgehog inhibitor and chemotherapy (Gemcitabine and Nab-Paclitaxel) +Zalifrelimab, CTLA-4 blocker within the 17 months' time frame, the outcome is yet to be reported (221).

6.4.1 Antibody therapy

The most used approach for immunomodulation is monoclonal antibodies (222); targeting PD-1/PD-L1 and CTLA-4 has proven highly effective across numerous solid tumors. Combination of antibody and chemotherapy targets the tumor specific receptors such as anti-EGFR/VEGF antibody for increased improvement in tumorigenesis (223). A study that added cetuximab to the standard therapy showed no significant change in OS rate and toxicity (224). A phase II clinical trial tested durvalumab (anti-PD-L1 monoclonal antibody) with tremelimumab (anti-CTLA-4 monoclonal antibody)

versus durvalumab monotherapy in individuals, previously treated with chemotherapy for metastatic PDAC, did not increase OS rate (216). This highlights the need for translational studies that can circumvent the immunosuppressive and desmoplastic stroma of PDAC TME. Dual antagonist for CCR2 and CCR5 with nivolumab and gemcitabine/nab-paclitaxel in Borderline Resectable and Locally Advanced PDAC appeared to be safe and neoadjuvant use did not lead to delay in surgery (225).

A clinical trial of two years is being conducted to study the safety and efficacy of nab-paclitaxel and gemcitabine plus camrelizumab (Anti-PD-1 antibody) and radiotherapy versus nab-paclitaxel and gemcitabine monotherapy for locally advanced PDAC which showed super efficacy over the latter (226).

6.4.2 Vaccine therapy

DCs are the potent APCs which stimulate helper T cells (227). In PDAC, an open label trial to determine effects of a DC vaccine (mDC3/8) (primer and booster) for resective PDAC has been carried out, but the results are not published yet (228). Precision based and immunotherapy based clinical trials will open therapeutic options such as PARP inhibitors and PD-1 blockade for well defined subtypes of PDAC (229).

Targeting the desmoplastic stroma is an essential step for an effective therapy in PDAC. Disintegrin metalloprotease, ADAM12, expressed in CAFs and tumor cells, is reactivated in fibroblasts during fibrogenesis in desmoplasia. Vaccination against ADAM12 depletes CAFs and delays tumor growth, by reducing ADAM12⁺ CAFs, and decreases deposition of ECM. It also increases cytotoxic CD8⁺ T cell response and re-localization of T cells within the tumor tissue. Furthermore, it induces vascular normalization with decreased tumor hypoxia. This study highlights the importance of immunotherapies based on immunization that target CAFs and tumor desmoplasia (230).

6.5 Cellular therapy

Chimeric antigen receptor T-cell (CAR-T) therapy uses a patient's own T cells, which are collected and genetically modified to recognize and destroy cancer cells carrying a specific target antigen (231). CAR T-cell therapy in PDAC targets antigens such as CD24, Prostate stem cell antigen (PSCA), CEA, Mucin-1 (MUC-1), Mesothelin (MSLN), FAP- α , and human epidermal growth factor receptor 2 (Her-2) (232, 233). Dual targeting of cancer antigens in KRAS mutated PDAC, MSLN and CEA by computational approaches for elucidation of anti tumor response has been tested (234). Engineered CAR-T cells made to express heparanase (HPSE) showed improved capacity to degrade the ECM, which promoted tumor T cell infiltration and antitumor activity, a strategy to treat stroma-rich solid tumors (235). A recent phase I, open-label, multi-center, first-in-human trial of TnMUC1-targeted CAR-T cells in patients with advanced TnMUC1-positive solid tumors and multiple myeloma including PDAC is being conducted (236).

7 Strategies to overcome heterogeneity and future directions

Identifying the key oncogenic drivers can navigate PDAC therapeutics. This includes precision-based therapies against denoted KRAS mutation like G12D, G12V, G12R, G12C and other mutations (229). Since epigenetic changes drive much of the heterogeneity in PDAC, targeting these modifications can also be considered. Drugs that inhibit DNA methylation, histone modifications, and non-coding RNAs need to be investigated. Transcriptionally defined molecular subtype-based treatment regimen by gene expression profiling and immunotherapeutic approaches that can reprogram the T_H1E can revolutionize PDAC treatment. Another strategy could target immunosuppressive myeloid cells and reprogram DCs, macrophages and CAFs, leading to increased CD8⁺ T lymphocyte activity against PDAC cancer cells.

Efforts to enhance immune infiltration in PDAC TME have included targeting CXCR4, to increase T-cell chemotaxis. In fact, a combination of PD-1 and CXCR4 inhibition resulted in enhanced T-cell expansion and tumor cell death in pre-clinical models (237). CD40 activation may represent a strategy to reverse T-cell exhaustion, enhancing the anti-cancer effects of the T_H1E. Consistent with this notion, agonistic CD40 antibodies were shown to increase T-cell mediated cancer death and, in combination with chemotherapy, may rescue ICI sensitivity (238).

There is an insufficiency of preclinical pancreatic cancer models that replicate the extracellular, cellular, and biomechanical components of tumor tissues to evaluate responses to immunotherapy. To overcome this constraint and investigate the effects of immunotherapy in conjunction with chemotherapy, a multicellular 3D cancer model with a star-shaped poly (ethylene glycol)-heparin hydrogel matrix has been developed. Human pancreatic cancer cells, CAFs, and myeloid cells are cultured within hydrogels to replicate essential elements of tumor tissues, and cellular responses to therapy are evaluated. The combination of the CD11b agonist ADH-503 with anti-PD-1 immunotherapy and chemotherapy results in a substantial decrease in tumor cell viability, proliferation, metabolic activity, immunomodulation, and the release of immunosuppressive and tumor-promoting cytokines (239).

Using a combination of drugs that target different signaling pathways can help in overcoming resistance and heterogeneity. Multifaceted mechanisms are operating in the PDAC TME, influencing the response to chemotherapy, radiotherapy, and immunotherapy. Precision-based therapeutic decisions will help to overcome the heterogeneity in PDAC. Understanding the underlying reason to chemotherapy resistance can improve the efficiency of drugs. Advanced techniques like scRNA-seq and spatial transcriptomics can help in understanding the heterogeneity at a cellular level. This can lead to more personalized treatment approaches.

Author contributions

RR: Data curation, Formal analysis, Investigation, Resources, Visualization, Writing – original draft, Writing – review & editing. HY: Data curation, Formal analysis, Investigation, Software, Writing – original draft, Writing – review & editing. PP: Formal analysis, Software, Writing – original draft, Writing – review & editing. BA-R: Data curation, Formal analysis, Writing – review & editing. UK: Conceptualization, Formal analysis, Funding acquisition, Project administration, Supervision, Writing – original draft, Writing – review & editing. AJ: Conceptualization, Formal analysis, Investigation, Methodology, Resources, Writing – original draft, Writing – review & editing.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Glossary

ACVR1	activin receptor type-1	Gr-MDSC	granulocytic myeloid-derived suppressor cells
ARID1A	AT-rich interactive domain 1A	HER2	human epidermal growth factor receptor 2
ARP2	Actin Related Protein	HMGA 2	high-mobility group A2
ASXL	Additional sex combs-like	HPSE	Heparanase
ATM	ataxia telangiectasia mutated	HIF	hypoxia-inducible factor
ATAC-seq	Assay for Transposase-Accessible Chromatin sequencing	HOTAIR	HOX Transcript Antisense Intergenic RNA
BEAMing	beads, emulsion, amplification, magnetics	HK	hexokinase 2
BL	Basal like	HULC	highly upregulated liver cancer
BRCA	breast cancer	IGF1	insulin-like growth factor 1
Brd	bromodomain	IHC	Immunohistochemistry
CA	carbohydrate antigen	IL	Interleukin; IPMN, intraductal papillary-mucinous neoplasm
CAF	cancer associated fibroblasts	KRAS	Kirsten rat sarcoma viral oncogene homolog
CAR-T cell	Chimeric antigen receptor (CAR) T-cell	KLF	Krüppel-like factor
CCL	Chemokine (C-C motif) ligand	Lif	leukemia inhibitory factor
CCNB1	cyclin cyclin B1	lncRNA	long non coding RNA
CDC	Cell division cycle	MALAT1	metastasis-associated lung adenocarcinoma transcript 1
CDKs	cyclin dependent kinase	MAPK	mitogen activated protein kinase
CDKN2A	cyclin-dependent kinase inhibitor 2A	MEIS2	Meis homeobox 2
CDS	coding DNA sequence	MDM2	Murine double minute 2
CEP55	centrosomal protein 55	MDSCs	myeloid-derived suppressor cells
cfDNA	circulating cell free DNA	MCN	mucinous cystic neoplasm
CK	Cytokeratin	miR	micro RNA
CLA	classical	MLL	Mixed-Lineage Leukemia
CNV	copy number variation	MRD	minimal residual disease
COL17A1	collagen type XVII alpha 1 chain	mRNA	messenger RNA
CRT	chemoradiotherapy	mtRNA	mitochondrial RNA
ctDNA	circulating tumor-specific cell-free DNA	NALCN	Sodium leak channel, non-selective
CT	computerized tomography	NAC	Neoadjuvant chemotherapy
CTLA-4	cytotoxic T-lymphocyte-associated protein 4	NF-KB	nuclear factor-kappa B
CSC	Cancer stem cell	NK cells	Natural killer cells
CXCR4	CXC chemokine receptor 4	PC	pancreatic cancer
CXCL1	chemokine (C-X-C motif) ligand 1	PDAC	pancreatic ductal adenocarcinoma
DKK1	Dickkopf-1	PanIN	pancreatic intraepithelial neoplasia
DPC4	deleted in pancreatic cancer 4	PSC	pancreatic stellate cells
DLGAP5	discs large-associated protein	PVT1	plasmacytoma variant translocation 1
DNMT3A	DNA methyltransferase 3A	TAM	tumor associated macrophages
ECM	extra cellular matrix	TAN	tumor associated neutrophils
EGFR	epidermal growth factor receptor; eMDSC, early-stage myeloid-derived suppressor cells	TCGA	The cancer genome atlas
EMT	epithelial-to-mesenchymal transition	TGF-β	transforming growth factor beta; TME, tumor microenvironment
EPC1	enhancer of polycomb homolog 1	TNM	Tumor, Node, Metastasis
EPCAM	Epithelial cell adhesion molecule	TiME	tumor immune microenvironment
ENPP2	ectonucleotide pyrophosphatase/phosphodiesterase 2	T _{reg} cells	regulatory T cells
ERBB2	erythroblastic leukemia viral oncogene homolog 2	VEGF	Vascular endothelial growth factor
FOXP3	forkhead box protein 3	5mC	5-Methylcytosine
FSP1	ferroptosis suppressor protein 1	5hmc	5 hydroxymethyl cytosine