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## Prediction, prevention, and precision treatment of immune checkpoint inhibitor neurological toxicity using autoantibodies, cytokines, and microbiota

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Cancer immunotherapy with immune checkpoint inhibitors (ICIs) has revolutionized oncology, significantly improving survival across multiple cancer types. ICIs, such as anti-PD-1 (e.g. nivolumab, pembrolizumab), anti-PD-L1 (e.g. atezolizumab, avelumab), and anti-CTLA-4 (e.g. ipilimumab), enhance T cellmediated anti-tumor responses but can also trigger immune-related adverse events (irAEs). Neurological irAEs (n-irAEs), affecting 1-3% of patients, predominantly involve the peripheral nervous system; less commonly, n-irAEs can present as central nervous system disorders. Although irAEs suggest a possible correlation with treatment efficacy, their mechanisms remain unclear, with hypotheses ranging from antigen mimicry to cytokine dysregulation and microbiome alterations. Identifying patients at risk for n-irAEs and predicting their outcome through biomarkers would be highly desirable. For example, patients with high-risk onconeural antibodies (such as anti-Hu or Ma2), and elevated neurofilament light chain (NfL) levels often respond poorly to irAE treatment. However, interpreting neuronal antibody tests in the diagnosis of n-irAEs requires caution: positive results must align with the clinical context, as some cancer patients (e.g., SCLC) may have asymptomatic low antibody levels, and false positive results are common without tissue-based confirmation. Also, the use of biomarkers (e.g. IL-6) may lead to more targeted treatments of irAEs, minimizing adverse effects without compromising the anti-tumor efficacy of ICIs. This review provides a comprehensive overview of the latest findings on nirAEs associated with ICIs, with a focus on their prediction, prevention, as well as precision treatment using autoantibodies, cytokines, and microbiota. The most interesting data concern neuronal antibodies, which we explore in their pathogenic roles and as biomarkers of neurotoxicity. Most of the available data on cytokines, both regarding their role as diagnostic and prognostic biomarkers and their role in supporting therapeutic decisions for toxicities, refer to nonneurological toxicities. However, in our review, we mention the potential role of

CXCL10 and CXCL13 as biomarkers of n-irAEs and describe the current evidence, as well as the need for further studies, on the use of cytokines in guiding selection of second-line therapies for n-irAEs. Finally, no specific microbiome-related microbial signature has been proven to be linked to n-irAEs specifically, leading to the need of more future research on the topic.

#### KEYWORDS

neurologic adverse events, neurological complications, Guillain-Barré syndrome, limbic encephalitis, autoimmune encephalitis, paraneoplastic neurological syndromes, myositis, myasthenia gravis

## Introduction

Cancer immunotherapy using immune checkpoint inhibitors (ICIs) has revolutionized the field of oncology by significantly extending the survival of patients across various cancer types (1). To understand how these drugs work and their potential adverse events, it is essential to first discuss the regulation of the immune system, particularly T cells, under normal conditions. T cells play a critical role in protecting the body from pathogens and neoplasia, but their activity must be carefully regulated to prevent autoimmunity (1). T cell activation requires three key steps (three-signal model): (i) antigen recognition, the presentation of an antigen via major histocompatibility complex molecules to the T cell receptor, (ii) co-stimulation between co-stimulatory molecules on APC and CD28 receptor on the T cell, (iii) cytokines, with regulatory and differentiation functions. Recently, a fourth step has been proposed to highlight the importance of the metabolic/ nutritional status of T cells, particularly in the context of the tumor microenvironment (2).

Conversely, the immune response can also be inhibited by evolutionarily conserved negative regulators like cytotoxic Tlymphocyte antigen-4 (CTLA-4) or programmed death receptor-1 (PD-1), after binding with their ligands, namely CD80 and PD-1 ligand (PD-L1), respectively (3, 4). Tumor cells may exploit these mechanisms by overexpressing checkpoint ligands like PD-L1, thereby suppressing the immune response. Blocking these interactions with monoclonal antibodies (Abs) targeting PD-1 (e.g., nivolumab, pembrolizumab), PD-L1 (e.g., atezolizumab, avelumab), CTLA-4 (e.g., ipilimumab), and more recently, Lymphocyte Activation Gene-3 (LAG-3) (e.g., relatlimab) has transformed cancer treatment (3, 4).

While ICIs can induce powerful anti-tumor responses, they can also cause immune-related adverse events (irAEs), which may affect any organ system, including the nervous system in 1-3% of patients (3). Patients with severe irAEs need hospitalization, discontinuation of therapy with ICIs, and treatment with immunosuppressants. In some cases, irAEs can be fatal (5), and fatality rate is high for neurological irAEs (n-irAEs) (6). More than 50% of the patients with n-irAEs who survive the acute phase develop a chronic condition (7).

Although there is some evidence suggesting a link between irAEs occurrence and the effectiveness of ICIs (5), the underlying mechanisms of these toxicities still need to be clarified. Several hypotheses have been proposed to explain these adverse events, including antigen mimicry between cancer and self-antigens (8), development of neoantigens and subsequent breakdown of immune tolerance (9), cytokine dysregulation (10), and microbiome alterations (11). Given the rapid expansion of ICIs use, it is crucial both for neurologists and oncologists to become familiar with the diagnosis and management of neurological irAEs.

Neurological toxicities involve the peripheral nervous system three times more frequently than the central nervous system (CNS) (6). The most common disorders, in order of frequency, are myositis, peripheral neuropathies, myasthenic syndromes, encephalitis, and cranial neuropathies (6). Other CNS manifestations include demyelinating disorders (6) and cerebellar irAEs (12). Many of these disorders are associated with neuronal Abs in ICI-naïve patients, but their role in the context of cancer immunotherapy has not yet been systematically explored.

Currently, we are unable to accurately predict either the onset of toxicity or the potential toxicity phenotype. However, preferential associations between the type of toxicity, cancer, and ICIs have been reported in the literature. The available data are frequently derived from clinical studies/case series with a limited number of patients, due to the rarity of neurological toxicities; studies are often retrospective and heterogeneous in terms of the definition of the clinical phenotype and analysis of different patient subgroups. Despite these limitations, similar evidence has emerged across different patient cohorts. For example, Farina et al. (13) analyzed the frequency of clinical toxicity phenotypes in relation to the type of ICI used, and observed that in patients treated with anti-PD-L1 therapy, myositis and limbic encephalitis phenotypes predominated, while in patients treated with anti-CTLA-4 +/- an anti-PD-L1, polyradiculoneuropathy and meningitis phenotypes were more prevalent. These correlations were also observed in another study (6), which highlighted that in patients with

myasthenic syndromes the use of anti-PD-1/PD-L1 was more prevalent, in the cohort of patients with meningitis the use of anti-CTLA-4 predominated, while in patients who developed cranial neuropathies exposure to anti-PD-1/PD-L1 and anti-CTLA-4 was more frequent compared to the entire examined sample. A higher frequency of neuromuscular junction dysfunction in patients treated with anti-PD-1/PD-L1 compared to those treated with anti-CTLA-4 was also observed in a large cohort of patients (14); in the same cohort, Guillain-Barré syndrome and non-infectious meningitis were more frequent in the group of patients treated with combined anti-PD-L1 and CTLA-4 therapy compared to monotherapy. Regarding cancer type and non-irAE associations, lung cancer was significantly more frequent in patients with CNS toxicities and paraneoplastic-like syndromes (e.g., limbic encephalitis), while melanoma was more common in those with peripheral neuropathies or meningitis (13, 15).

Identifying patients at increased risk of autoimmunity through biomarkers would be highly desirable, allowing for preemptive intervention to reduce the risk of irAEs without compromising the anti-tumor efficacy of ICIs (16). Serum biomarkers are particularly valuable because they can be easily obtained with minimal discomfort for the patients, and serum analysis can be conducted rapidly and cost-effectively (5). Moreover, the use of effective biomarkers could facilitate more targeted treatments, aiming to resolve irAEs without a negative impact on cancer prognosis.

This review provides a comprehensive overview of the latest findings on n-irAEs associated with ICIs, with a focus on their prediction, prevention, as well as precision treatment using auto-Abs, cytokines, and microbiota.

# How ICIs may induce the production of auto-Abs: the role of B cells

Checkpoint molecules play a crucial role not only in regulating T cell tolerance but also in the function of B cells (16). Upon encountering an antigen, B cells can differentiate into plasma cells, which are specialized in secreting large quantities of Abs. This process highlights why certain neuronal Abs may be detected following treatment with ICIs. However, the clinical significance of these Abs can vary, ranging from a mere epiphenomenon to actively contributing to disease development (3).

Recently, the correlation between T cell receptor (TCR)/B cell receptor (BCR) and irAEs has been investigated. Che et al. (17) analyzed TCR and BCR repertoires in a cohort of patients with basal cell carcinoma treated with anti-PD-1 (18) and observed a significant variability both across patients and between tumor samples taken before and after PD-1 inhibitor treatment in the same patient. From a predictive point of view, they identified an increase in unique BCR clonotype counts in the surviving patient group after anti-PD-1 administration. Lozano et al., instead, observed an increased pretreatment diversity of the TCR repertoire in a cohort of metastatic melanoma patients treated with anti-PD-1 monotherapy or anti-PD-1 and anti-CTLA-4

combination ICIs, who developed severe irAEs (19). Further studies are needed to validate and explore the predictive role of BCR and TCR in the development of neurological toxicities. The observation of a link between ICIs and B cells is also sustained by substantial evidence that supports the role of CTLA-4 and PD-1 in the modulation of B cells (16).

Patients with heterozygous CTLA-4 germline mutations develop B cell alterations and have an increased risk of autoimmunity (20), including cases with neurological involvement (21). The effect of ICIs on B cells appears to be more pronounced when combinations of different drugs are adopted. Das et al. analyzed changes in circulating B cells before and after the first cycle of therapy in patients with advanced melanoma receiving ICIs (16). Patients treated with combined checkpoint blockage (anti-CTLA-4 and anti-PD-1) experienced a significant decrease in the total number of circulating B cells, along with greater increase in plasmablasts compared to patients in the monotherapy-treated cohorts (16). Corroborating these findings, combination therapy led to a greater increase in plasma C-X-C motif chemokine ligand 13 (CXCL13) levels, a recently described marker of germinal center activation (22), which is crucial for the development of a robust and specific immune response. Also, combination therapy led to distinct early changes in B cells, including an increase in the distinct B cell subset known as CD21low B cells. CD21low B cells are potent antigen presenting cells because of the high baseline surface expression of co-stimulatory markers, contributing to the activation and cytokine release from CD4 T cells (23). It is therefore not surprising that CD21low B cells are expanded in many autoimmune diseases, such as systemic lupus erythematosus and rheumatoid arthritis (23), therefore potentially representing an early marker of irAEs. Conversely, changes in circulating T, NK, and myeloid cell numbers after therapy do not correlate with a risk of irAEs (16).

## Prediction of n-irAEs

### Neuronal autoantibodies

Since autoreactive B cells can be activated following ICI treatment, auto-Abs are likely to play a significant role in irAEs, including n-irAEs. These auto-Abs could be valuable in predicting risk of such toxicities (if they are present before treatment), as well as in early diagnosis and prognosis. Regarding the latter, it should be considered that some auto-Abs are pathogenic (e.g. neuronal surface or synaptic Abs), directly contributing to the disease, while others serve as biomarkers of a destructive T cell-mediated immune response (e.g. onconeural or so called "high risk" Abs), with obvious implications for patient outcomes.

(a) CNS irAEs. Among irAEs affecting the CNS, three main phenotypes were identified: limbic encephalitis (LE), meningoencephalitis, and cerebellitis (15). LE triggered by ICIs show clinical (seizures, psychiatric symptoms, working memory deficits), and neuroradiological (T2-weighted fluid-attenuated inversion recovery magnetic resonance imaging (MRI) alterations restricted to the medial temporal lobes) evidence of a focal encephalitis affecting the limbic system (15, 24). This syndrome is otherwise indistinguishable from ICI-naïve LE, which can be paraneoplastic (e.g. in the context of anti-Hu or anti-Ma2 autoimmunity) or non-paraneoplastic (e.g. as in anti-LGI1 encephalitis). Indeed, the same paraneoplastic "high-risk" Abs (e.g., anti-Hu, anti-Ma2) can be found in LE triggered by cancer immunotherapy, suggesting a common immunopathological background between this type of irAEs and naturally occurring paraneoplastic neurological syndromes (PNS). Moreover, in both scenarios, the presence of onconeural Abs generally implicates a poor prognosis. Conversely, the occurrence of neuronal surface or synaptic Abs associated with the most common form of autoimmune encephalitis (i.e. NMDAR Abs), which generally responds favorably to treatment, is very rare after ICIs (6).

ICI-related meningoencephalitis, a non-focal syndrome with altered mental status and pleocytosis, has distinctive features in terms of (i) immunological associations (patients are either Abnegative, harbor Abs against unknown antigenic specificities, or develop Abs against glial fibrillary acidic protein - GFAP); (ii) oncological associations (most of the patients do not harbor neuroendocrine cancers); (iii) greater likelihood of treatment response; (iv) diagnostic findings (e.g., patients are less likely to show MRI abnormalities and often present more pronounced cerebrospinal fluid (CSF) inflammatory findings as compared to focal encephalitis); (v) more frequent association with concurrent non-neurological irAEs.

Interestingly, ICI-related cerebellar toxicity, a rarer disorder, is also different from its paraneoplastic counterpart (i.e. paraneoplastic cerebellar ataxia, PCA) due to different demographic and clinical aspects (male patients with lung cancer in the former, women with gynecological/breast cancers in the latter), as well as immunological findings (heterogeneous and lesscharacterized Abs such as tripartite motif-containing protein 9 (TRIM9) or neurofilament light chain (NfL) Abs in cerebellar irAE versus well-characterized high-risk Abs, especially anti-Yo, in the PCA group), and outcome (better outcome in cerebellar irAE as compared to PCA) (12).

When approaching a patient with suspected n-irAEs, there are several caveats in the interpretation of neuronal Ab testing: (i) a positive Ab result should always be interpreted in combination with the clinical picture, since patients with certain cancers (e.g. smallcell lung cancer, SCLC) may asymptomatically harbor low neuronal Ab titers (e.g. anti-Hu) in 16-22% of cases (25); (ii) false positive test results are common if commercial kits are used alone, without the required confirmation using tissue-based assays (26); (iii) Hu and Ma2-Abs may be detected retrospectively in pre-ICI serum samples, indicating that these Abs can be present prior to the development of immune-related neurological symptoms (suggesting a "double hit hypothesis") (25, 27). Taken together, these findings indicate that a subset of patients with SCLC may develop a subclinical, low-titer anti-neuronal immune response, which could be exacerbated to a clinically apparent level after treatment with ICIs (25, 28). However, since only a minority of these patients will develop the anti-Hu or anti-Ma2 neurological syndrome, this should not be considered a criterion for excluding them *a priori* from cancer immunotherapy. Other relevant factors are likely involved, such as specific Human Leukocyte Antigen (HLA) haplotypes. For example, a study found that 3/5 (60%) patients with atezolizumab-induced meningoencephalitis harbored the rare HLA-B\*27:05 allele (29).

(b) Peripheral nervous system irAEs. In peripheral nervous system disorders triggered by ICIs the role of auto-Abs might be more relevant in the setting of myasthenic syndromes, where 32/56 cases (57%) were positive for anti-Acetylcholine Receptor (anti-AChR) in a previous study, while anti-Muscle-Specific Kinase (anti-MuSK) or anti-Voltage-Gated Calcium Channel (anti-VGCC, the biomarker of Lamber Eaton myasthenic syndrome) were detected only exceptionally (6). Despite such a significant prevalence (which is, however, significantly lower than in ICI-naïve myasthenia gravis, MG), it is still unclear whether anti-AChR Abs are pathogenic in the setting of immune-related neuromuscular junction dysfunction. In two patients with anti-AChR positive immune-related MG, the auto-Abs did not harbor effector functions (i.e., complement fixation and blocking properties) (30). Additionally, patients with myositis may show low-titer AChR Abs despite lacking clinical or neurophysiological evidence of neuromuscular junction dysfunction (6, 31), therefore physician should refrain from giving a diagnosis of ICI-related MG based on the positive AChR Abs result alone. Apart from AChR Abs, the presence of myositisspecific and myositis-associated Abs is otherwise uncommon in muscular disorders triggered by ICIs (6). On the other hand, antistriated muscle Abs (e.g., titin Abs) can be found in patients with thymoma-related MG (32). Similarly, anti-titin Abs were detected in cases triggered by ICIs in which myasthenia and myositis overlap, suggesting a possible pathophysiological similarity between ICIinduced and thymoma-associated autoimmunity. Notably, a subgroup of patients can develop the severe "triple M" syndrome, when also myocarditis adds to the clinical picture (6). Intriguingly, 4/5 patients with thymoma who had pre-existing AChR Abs, were shown to develop myositis after anti-PD-L1 treatment (33). In agreement with this finding, Suzuki and colleagues demonstrated that the majority of cancer patients with MG induced by treatment with nivolumab exhibited preexisting AChR Abs (34). From a broader perspective, it has been proposed that neuromuscular auto-Abs, including anti-titin, anti-skeletal muscle, anti-cardiac muscle, anti-Lipoprotein Receptor-Related Protein 4 (LRP4), anti-Ryanodine Receptor (anti-RyR), and anti-AChR, could be used as biomarkers for the diagnosis and potential prediction of ICIinduced neuromuscular diseases, such as myositis and severe MG (35).

Some authors recommend testing for AChR Abs before initiating ICI treatment in patients with thymoma (31). In our experience, this testing is particularly useful when thymoma is a secondary cancer and ICIs are being used to treat another malignancy, such as lung cancer. This is especially important given that the use of ICIs in thymoma itself is relatively rare.

Finally, auto-Abs are detected in a minority (10/42, 24%) of patients with ICI-related Guillain-Barré-like syndrome, the most frequent being anti-gangliosides (in particular GM1 and GM2) (6).

### Systemic autoantibodies

As irAEs tend to be more prevalent in patients with autoimmune diseases or pre-existing auto-Abs, it has been suggested that Ab-mediated autoreactivity could be a predictive marker and/or a causal factor (36). In a recent study, a customized array of 162 antigens was used to analyze the level of auto-Abs in cancer patients at baseline and during ICI therapy. Compared to healthy controls, patients already had a greater number of IgG and IgM reactivities prior to ICI administration, and those who manifested irAEs demonstrated pre-ICI IgG reactivity to a higher number of autoantigens than patients who did not develop irAEs (37). Additionally, microarray analysis of 120 autoantigens commonly associated with autoimmune disease demonstrated that melanoma patients who experienced specific irAE had fewer expressed auto-Abs at baseline than those that did not have irAE, but a greater fold change in Ab concentration from baseline to 6 weeks linked to specific irAE development. However, no auto-Abs were identified as being predictive of specific events (38). Further studies are needed to assess whether these considerations also apply to n-irAEs.

### NfL, S100B, and GFAP

GFAP and NfL are well-validated biomarkers of astroglial and neuronal injury, respectively. GFAP is an intermediate filament of astrocytes and its up regulation in the blood or CSF is a specific marker of astrocyte activation and/or injury. For example, in case of traumatic brain injury, GFAP levels reflect the clinical severity (39). NfL has emerged as an excellent biomarker of neuronal injury in many neurologic conditions, including multiple sclerosis, dementia, stroke, and amyotrophic lateral sclerosis and is being applied to monitor disease including assessment of treatment efficacy (40). In a single-center retrospective cohort study, the analysis of brain damage markers S100 calcium-binding protein B (S100B) and NfL concentration in blood showed high sensitivity and specificity for early detection and monitoring of CNS irAEs in cancer patients treated with combined CTLA-4 and PD-1 blockage (41). High levels of NfL were found in serum and CSF of patients with severe immune-related encephalitis associated with nivolumab/ ipilimumab, and serum NfL levels reflected the clinical course better than MRI findings. These findings suggest that NfL levels might be utilized as an additional monitoring parameter during ICI treatment (42). In a recent study, serum NfL levels in ICIencephalitis were found to be comparable to Herpes simplex virus (HSV) encephalitis, and effectively distinguished patients with definite ICI-encephalitis from cancer-matched controls, as well as treatment responders from non-responders (24). Collectively, these findings suggest that serum NfL levels could be valuable for identifying patients who may need to discontinue ICI treatment and undergo further diagnostic procedures (e.g., lumbar puncture, brain MRI, EEG, and neural Ab testing) to confirm the diagnosis and initiate immunosuppression (24). This is particularly important because a subset of patients (12-20%) with ICI-related encephalopathy may not exhibit neuronal Abs or inflammatory changes in CSF/brain MRI but still respond to immunosuppressive therapy (24, 43). However, it is crucial to approach this diagnosis with caution, as several alternative diagnoses, such as neoplastic leptomeningeal disease, infectious conditions, and metabolic disorders, must be thoroughly excluded before attributing symptoms to neurological toxicity from ICI (44, 45). Unlike NfL, serum S100B levels (also a melanoma biomarker) only differentiated definite ICI-encephalitis from cancer-matched controls after excluding patients with melanoma. Finally, serum GFAP levels did not distinguish between any of the groups (24).

### Cytokines

Cytokines are pleiotropic immune-regulators with extensive biological activities which play key roles in controlling cell development, growth, survival, and differentiation, through autocrine or paracrine pathways (46). In the tumor microenvironment, all types of cytokines are involved in intercellular communications including interleukins (ILs), interferons (IFNs), the tumor necrosis factor (TNF) superfamily, chemokines, and growth factors (47). Numerous studies have been aimed at identifying a possible correlation between systemic cytokines levels and ICI efficacy (48) or onset of irAEs (49). Pretherapeutic assessment of inflammation and cytokine profiles may predict response and survival in patients with non-small-cell lung cancer treated with single ICI agent. Specifically, patients with elevated neutrophil-to-lymphocyte ratio, signs of systemic inflammation and increased IL-6 and IL-8 levels showed significantly lower response to ICI treatment and reduced progression free survival. Conversely, elevated levels of interferon- $\gamma$  (IFN- $\gamma$ ) defined a subgroup of patients who significantly benefits from ICI treatment (50). In a broader context, an important observation was that lower baseline levels of specific cytokines at onset (low level of systemic inflammation) and their excessive upregulation after ICI treatment (suggestive of ICI-related T cell activation) is closely related to the occurrence of irAEs. In a recent study in which a large panel of cytokines and chemokines were assessed in sera of patients receiving ICIs, a significant upregulation of CXCL9, 10, 11 and 13 was closely related to the occurrence of immune-mediated toxicities including pneumonitis, endocrinopathies, dermatitis, arthritis and encephalitis (10). Furthermore, an elevated level of certain cytokines was found prior to ICI treatment in melanoma patients developing severe irAEs, suggesting that ICI treatment amplified an unrecognized subclinical inflammatory status (51). In detail, the CYTOX score, which includes proinflammatory cytokines such as IL1a, IL2, and IFN $\alpha$ 2, was proposed as a new tool for predicting severe adverse reactions that may help in the early management of toxicity in patients with melanoma treated with combination anti-CTLA-4 and anti-PD-1 (51). To date, few studies investigated the possible correlation between cytokine levels and n-irAEs triggered by ICIs, probably also due to their lower incidence as compared to gastrointestinal, hepatic, or lung toxicities. Elevated levels of

interleukin 17 (IL17A), but no other cytokines, have been associated with immune-related neuroendocrine toxicity, characterized by insulin-dependent diabetes, hypophysitis and a myasthenic-like syndrome in a patient with sarcomatoid mesothelioma treated with dual immune checkpoint blockade (anti-PD-1 and anti-TIM3 Abs) (52). Finally, an immune signature indicative of enhanced chemotaxis and inflammation was also identified by multiplex cytokine assay in ICI-treated cancer patients with highgrade n-irAE, identifying CXCL10 as a promising prognostic biomarker, showing the strongest increase in n-irAEs patients compared to controls, the highest levels detected during acute illness and a significant rise in the setting of multiorgan immunotoxicity (53). The increase of CXCL13 (a key player involved in T cell-B cell interaction required for B cell activation) has also been hypothesized as a potential biomarker, both in central and peripheral neurological toxicities (54).

### Microbiota

Growing evidence reveals that gut microbiota plays a role in shaping host immunity, influencing both the innate and the adaptive immune system. Pattern recognition receptors (PRRs) expressed on epithelial and innate immune cells in the intestine recognize pathogen-associated molecular patterns (PAMPs), triggering an intracellular cascade of events culminating in the transcription of inflammatory mediators (55). Microorganisms are therefore capable of influencing specific intracellular pathways. On the other hand, in the gut-associated lymphoid tissue (GALT), PRRs stimulation also induces naïve B and T cells priming and subsequent further differentiation (55).

Not only does gut microbiota determine host immunity, but also it plays a role in tumor response and autoimmune complications related to ICI therapy (56). However, considering the complexity of microbiome-immune system interaction, drawing well-established conclusions on how gut microbial composition influences ICI clinical response or the development of irAE is extremely difficult: several variables come into play influencing gut microbiome, including diet, medication use, geography, and ethnicity (56).

(a) Protective role against irAEs. Several studies have linked certain bacterial species or phyla to increased or decreased susceptibility to irAEs. Bacteria belonging to the Bacteroidetes phylum have been demonstrated to be protective against the development of ICI-related colitis in metastatic melanoma patients treated with anti-CTLA-4 (57, 58), though possibly correlating with a poorer oncological outcome (57). Similarly, Bacteroides and Parabacteroides (Bacteroides phylum), together with Phascolarctobacterium (Firmicutes phylum) were shown to negatively correlate with immune-mediated diarrhea in a group of advanced lung cancer patients treated with anti-PD-1 (59). The protective role of Bacteroidetes seems to be confirmed by the identification of decreased abundance of Bacteroides species in inflamed intestinal regions of solid cancer patients developing

immune-related colitis after receiving anti-PD-L1 treatment (60). Baseline relative abundance of Bacteroides vulgatus was also associated with a lower risk of irAEs in advanced melanoma patients treated with ICI combination therapy; however, the same authors demonstrated that Bacteroides dorei was linked to an increased risk of irAEs, implying that individual species belonging to the same genus may have a differential impact on irAEs (61). Gut enrichment in Bifdobacterium and Desulfovibrio genera also appears to be protective in lung cancer patients undergoing single anti-PD-1/PD-L1 immunotherapy (62). Bifdobacterium, together with Enterobacter spp. and an unclassified genus of Erysipelotrochaceae were also associated with reduced toxicity to combination ICI (anti-PD-1 plus anti-CTLA-4 blockade) (63); the same work showed a possible role of Dialister sp. in patients with reduced irAEs to nivolumab.

(b) Increased susceptibility to irAEs. While certain bacterial species seem to play a protective role against irAEs, some others have been linked to increased susceptibility to irAEs of variable severity. Enrichment in Bacteroides intestinalis and Intestinibacter bartlettii in baseline gut microbiome samples of patients exposed to combined ICI blockade correlated with development of  $\geq$  grade 3 irAEs (64). In patients with advanced lung cancer receiving anti-PD-1/PD-L1 therapy, one study demonstrated that Akkermansia species was associated with a lower severity of irAEs, while Agathobacter correlated with more severe irAEs, as well as better clinical outcomes (65). In another work, Veillonella (Proteobacteria phylum) prevailed in patients experiencing diarrhea (59). Lachnospiraceae spp. and Streptococcaceae spp. were both associated with irAEs in a cohort of melanoma patients treated with anti-PD-1; interestingly, the two species were associated with opposite effects on ICI clinical response (favorable and unfavorable, respectively) (66). Higher relative abundance of Streptococcus was also demonstrated on fecal samples during combined (anti-CTLA-4 plus anti-PD-1) ICI treatment, when patients developed severe (i.e., ≥grade 3) irAE; enrichment in pro-inflammatory genera Escherichia-Shigella was also demonstrated, both in baseline fecal samples and during treatment (67). However, such differences were not confirmed in patients undergoing single-agent anti-PD-L1 treatment. A third study pointed out the relevance of Streptococcus in irAEs, stating that patients treated with anti-PD-1 (in combination with either chemotherapy or antiangiogenic agents) and developing severe irAEs showed enrichment in Streptococcus, Faecalibacterium, and Stenotrophomonas, as compared with patients without or with mild irAEs (grades 0-2). In the latter group, Faecalibacterium and unidentified Lachnospiraceae prevailed (68). Interestingly, the same authors tried and develop a classification model based on five microbial biomarkers (Actinomyces graevenitzii, Dorea formicigenerans, Bacteroides ovatus, Bacteroides finegoldii, Lachnospiraceae bacterium) which managed to distinguish patients without irAEs from those with severe irAEs with a good predictive power (68).

(c) Predictive role in immunotherapy response. Other than the ability to predict irAEs following ICI exposure, gut microbiota signature may predict oncological response to immunotherapy (57,

60, 66, 69–77). It is likely that primary resistance to ICIs could be related to dysbiosis itself, as confirmed by the fact that fecal microbiota transplant from ICI responders to non-responders was able to overcome resistance in a subset of patients (78, 79).

Though evidence is preliminary, the role of microbiota is doomed to expand in the near future, possibly contributing to a higher quality management of the oncological patient undergoing immunotherapy. To our knowledge, no microbial signature has so far been linked to n-irAEs specifically, which therefore represents an interesting area of future research.

# Implications for the prevention and precision treatment of n-irAEs

The selection of immunomodulatory or immunosuppressive therapy for patients who develop n-irAE is currently driven by the nature and severity of the toxicity (80). The identification of prognostic factors for n-irAEs and predictive factors for response to immunosuppressive therapy is certainly a desirable goal to achieve. Investigating the potential role of specific biomarkers in guiding treatment choices for neurological toxicity could be highly valuable, especially given the widespread availability of many of these biomarkers:

### Cytokines

According to the ESMO guidelines (80), if severe toxicity and refractoriness to first-line neurologic immunotherapy (corticosteroids, intravenous immunoglobulin, plasmapheresis) occur, then a second-line therapy, such as rituximab, cyclophosphamide, tocilizumab or infliximab, may be considered. Drug selection is individualized and based on patient characteristics including comorbidities, presence of other irAEs, and potential impact on cancer prognosis. It is reasonable to assume that tocilizumab may be efficacious in patients with CNS toxicity and elevated IL-6 levels in serum and/or CSF (81). However, in a recent study, seven patients with focal encephalitis, including 4/5 with elevated CSF interleukin-6 (IL-6) levels, were treated with tocilizumab, but only one (14%) showed significant improvement (24), possibly due to the inability to cross the blood-brain barrier. It is important to note that there is currently no clear evidence supporting the use of CSF IL-6 levels as a marker for predicting treatment response to these drugs. The use of IL-6 blockade has shown more promising results in ICI-related myopathy, where transcriptomic analyses of muscle biopsy samples revealed that overexpression of IL-6 pathway genes is a key feature distinguishing immune-related myopathy from healthy controls and various forms of idiopathic inflammatory myopathy (82). Tocilizumab may also be considered to prevent irAEs in high-risk patients. In a recent study, two patients with pre-existing autoimmune disorders, including dermatomyositis and giant cell arteritis, were treated with tocilizumab prophylaxis to prevent disease flare after ICI (83). Of particular interest, the first patient, who had active underlying paraneoplastic dermatomyositis and stage IV melanoma, received one dose of anti-PD-1 and subsequently developed a severe flare with musculoskeletal involvement and elevated C-reactive protein levels, requiring immunosuppressive treatment. Due to disease progression, the patient was re-challenged with low-dose anti-PD-1 following prior administration of tocilizumab, and the dermatomyositis did not flare (83). To understand the risk of relapse in this setting, another study reported that dermatomyositis was exacerbated after ICI in 3 out of 4 patients with pre-existing PNS who did not receive preventive tocilizumab (84). In addition, tocilizumab also proved its efficacy in treating ICI-associated arthritis and in the prevention of relapses after rechallenge (85). It would be interesting to assess a similar use of tocilizumab in n-irAEs.

Infliximab (anti-TNF $\alpha$ ) is currently used for diverse irAEs, including gastrointestinal and rheumatological toxicities, in addition to corticosteroids (80, 86, 87), with the potential benefit of shortening the duration of corticosteroid therapy. In isolated reports, infliximab was used to treat CNS n-irAEs, without strong evidence of efficacy (15, 44). However, both infliximab and tocilizumab are potential options as second-line immunosuppressive therapies due to their selectivity of action and their probable absence of impact on cancer prognosis (86, 88, 89). Further studies will be required to confirm these hypotheses.

## Neuronal antibodies

In clinical practice, intracellular or surface neuronal Abs are not routinely assessed in patients being considered for ICI therapy. However, multiple studies have shown that the presence of highrisk Abs, along with their associated clinical PNS phenotype (90), is linked to a higher risk of n-irAEs and poor neurological outcomes (27, 44). This suggests a need for increased vigilance in the early diagnosis of n-irAEs and a more aggressive treatment approach, with early initiation of second-line therapies, especially when such high-risk Abs are detected (44). Specific neuromuscular Abs could potentially serve as predictive factors for development of neuromuscular irAEs (i.e. myositis and MG). However, these biomarkers could also play a role in therapeutic decision-making (35). Since T cell-mediated mechanisms prevail in patients with ICI-induced neuromuscular disease and striated muscle auto-Abs, T cell targeted therapies could be chosen. Instead, the presence of anti-AchR Abs in patients with ICI-induced neuromuscular disease might be pathogenic: in this subgroup, B cell depletion or plasma exchange treatment may be more indicated. Although the precise molecular mechanism remains unclear, it appears that ICIs may unleash the activation of autoreactive CD4+ T-cells, which, by assisting B-cell activation, lead to autoantibody production, such as the anti-AchR and anti-skeletal muscle Abs (32, 91).

## T-cell biomarkers

Considering the similarities between PNS triggered by ICI treatment and classical PNS, it is logical to assume that the same

immunopathological mechanisms are shared by these conditions. For example, tumors (SCLC) of patients with anti-Hu PNS show overexpression of T-cell- and IFNy-related signatures (92). Specifically, the analysis of transcriptomic profiles revealed that genes upregulated in the anti-Hu group were ZBP, which is involved in the production of type I interferon, and XCR1, which is involved in the connection between innate and adaptive immune response by presenting extracellular antigens to CD8+ T cells (92). STAT1 signaling, which boosts the cytotoxic activity of CD8+ T cells in response to type I interferons, and CCL2, which regulates the activation and recruitment of phagocytes in the CNS, have been shown to play a role in other T-cell mediated neuroimmunological disorders (93). Similarly, IFNyinduced activation of JAK-STAT signaling seems to be central to the development of n-irAEs. The JAK-STAT pathway is, therefore, a potential therapeutic target for irAEs (31) but, at the same time, it is also relevant for ICI-mediated anti-tumor T cell effector function. Two recently published clinical trials support such hypotheses: combined JAK inhibition and immune-checkpoint blockade in Hodgkin lymphoma patients who relapsed or were refractory following ICI treatment, was able to rescue and enhance the efficacy of ICI (94). Similar results were demonstrated in SCLC, where JAK inhibition was administered after immune-checkpoint blockade, improving antitumor response in mice (95). However, contrary to previous findings related to ruxolitinib and itacitinib, retrospective data suggest an increased risk of cancer in patients with rheumatoid arthritis treated with the JAK inhibitor tofacitinib (96). Finally, it is important to emphasize that if a diagnosis of PNS can be established, initiating therapy with ICI would lead to an exacerbation of clinical symptoms, a development of severe n-irAEs and a negative outcome (35, 97).

### Microbiota

As previously mentioned, microbiota composition plays a role in the prediction of irAEs, as it has been linked to increased or decreased susceptibility to irAEs. Regulating the abundance of beneficial bacteria by modulating microbiota composition, may therefore reduce the risk of immune-related toxicity. Several interventions have been explored, including fecal microbiota transplantation (FMT), probiotics and prebiotics administration, and dietary interventions; however, while several data confirm the potential of such interventions (most especially FMT) to improve immunotherapy efficacy and overcome ICI resistance (55, 98), little is known on how modulation of gut microbiota may prevent irAEs and guide precision treatment. To date, few data exist on the use of FMT in ICI-related colitis (99, 100), while to our knowledge gut microbiota role has not been explored in n-irAEs.

This represents an area of interest for future research, as demonstrated by preliminary evidence in other neuroimmunological disorders. Short-chain fatty acids supplementation in multiple sclerosis patients has been proven effective in reducing annual relapse rate, disability progression, and brain atrophy (101, 102). In the mouse model, FMT from healthy donors was able to improve MG and experimental autoimmune encephalitis symptoms (103, 104).

## Conclusions

Cancer immunotherapy, particularly with ICIs, has become a vital tool in oncology, offering better tolerance compared to traditional chemotherapy. However, the rise of irAEs, especially neurological toxicities (rare, but associated with a high mortality rate), underscores the need for better predictive and preventive strategies. Table 1

TABLE 1 Role of biomarkers and microbiota in predicting, diagnosing, monitoring and defining the prognosis of neurological immune-related adverse events after treatment with immune checkpoint inhibitors.

	Biomarker	Comment	Advantages	Limitations
Prediction	Pre-existent neuronal Abs	Autoreactive B cells can be activated following ICI treatment, auto-Abs are likely to play a significant role in irAEs, including n-irAEs	High-risk, paraneoplastic antibodies could predict n- irAE development	Neuronal Ab testing must be interpreted in combination with the clinical picture; false positive results with commercial kits (without confirmatory tissue-based assays); SCLC patients may develop low- titer anti-neuronal immune response (e.g. Hu Abs), but only a minority will develop a neurological syndrome
	HLA-B*27:05 allele	1	Harboring such allele may predict the development of specific n-irAEs (i.e. encephalitis)	Under investigation, limited evidence
	Systemic autoantibodies	irAEs tend to be more prevalent in patients with autoimmune diseases	Ab-mediated autoreactivity could be a predictive marker and/or causal factor	No systemic auto-Abs are predictive of specific irAEs; lack of data on n-irAEs

(Continued)

### TABLE 1 Continued

	Biomarker	Comment	Advantages	Limitations
	Cytokines	Consider type, baseline levels and upregulation after ICI treatment	Significant increase over low baseline levels of CXCL9, 10, 11 and 13 was related to encephalitis development	Limited evidence in n-irAEs
	Microbiota	Gut microbiota plays a role in shaping host immunity, influencing both the innate and the adaptive immune system	Certain bacterial species or phyla may predict increased or decreased susceptibility to irAEs	Lack of evidence in n-irAEs; the complexity of microbiome-immune system-external variables interaction makes it difficult to pinpoint its role in irAEs development
Early diagnosis	NfL, S100B and GFAP	They are biomarkers of astroglial and neuronal injury	Blood and/or CSF concentrations may serve for early detection of CNS irAEs	Lack of specificity, lack of well-established thresholds for significance
Monitoring	NfL, S100B and GFAP	1	Blood and/or CSF concentrations may serve for monitoring of CNS irAEs	1
Prognosis	High-risk Abs	1	They generally implicate a poor prognosis in the appropriate clinical context (e.g. Hu Abs)	1
Precision treatment	Cytokines	May help in choosing the most appropriate second- line immunotherapy	Elevated IL-6 levels in serum and/or CSF may support tocilizumab use	Limited evidence
	Neuronal antibodies	High-risk Abs along with their associated clinical PNS phenotype are linked to a higher risk of n-irAEs and poor outcome	Their presence indicates the need of increased vigilance and early initiation of second- line immunotherapies	1
		T cell-mediated mechanisms prevail in patients with ICI- induced neuromuscular disease and striated muscle auto-Abs, while anti-AchR Abs may be pathogenetic	Prefer B-cell targeted therapies when anti-AchR Abs are present, otherwise T-cell directed therapies should be chosen	/

provides a summary of the current knowledge and limitations of the role of biomarkers and microbiota in predicting, diagnosing, monitoring and defining the prognosis of n-irAEs. The identification of serum biomarkers could enable early detection of patients at risk for autoimmunity, allowing for tailored interventions that minimize irAEs without compromising the anti-cancer effects of ICIs. As the field evolves, precision treatments based on biomarkers, auto-Abs, cytokines, and microbiota offer a promising approach to enhance patient outcomes while preserving cancer prognosis.

The limitations of current research include lack of data on microbiota and its possible role in the prediction, prevention and treatment of n-irAEs specifically. Evidence is still preliminary for cytokines and biomarkers of neuronal/astroglial injury, and further exploration of these fields is needed.

In the future, routine screening for neural Abs commonly associated with paraneoplastic neurological syndromes should be considered ahead of starting ICI treatment. In addition, future research should focus on pathological data, including tissue biopsies and autopsies of fatality cases, leading to better understanding of the mechanisms of immune-mediated toxicity and improved management of n-irAEs. Knowledge of pathogenetic mechanisms of n-irAEs could also be helpful in deepening our current understanding of "spontaneous" neuroimmunological disorders. In addition, recommendations on safety of rechallenge after n-irAEs are lacking; risk assessment and prevention of relapses during rechallenge represents an area of great interest for upcoming research.

## Author contributions

AV: Conceptualization, Funding acquisition, Writing – original draft, Writing – review & editing. MD: Writing – original draft, Writing – review & editing. IF: Writing – original draft, Writing – review & editing. GC: Writing – original draft, Writing – review & editing. RD: Writing – original draft, Writing – review & editing. RD: Writing – original draft, Writing – review & editing. GP: Writing – review & editing. SR: Writing – review & editing. VD: Funding acquisition, Writing – review & editing. MF: Writing – original draft, Writing – review & editing. MV: Writing – review & editing.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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