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Reduced toxicity matched sibling bone marrow transplant results in excellent outcomes for severe congenital neutropenia

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Severe congenital neutropenia (SCN) is caused by germline mutations, most commonly in ELANE, impacting neutrophil maturation and leading to high risk of life-threatening infections. Most patients with ELANE-mutant SCN can achieve safe neutrophil counts with chronic Granulocyte-Colony Stimulating Factor (G-CSF). However, up to 10% of patients have neutropenia refractory to G-CSF and require allogeneic stem cell transplant. Traditional conditioning for these patients includes busulfan and cyclophosphamide which is associated with significant toxicities. We present five patients with SCN without myeloid malignancy transplanted using a reduced toxicity regimen of busulfan, fludarabine and thymoglobulin. 5 pediatric patients with SCN underwent matched sibling donor bone marrow transplant (MSD-BMT) between 2014-2022 on or per CHP14BT057 (NCT02928991), a prospective, single center trial testing elimination of cyclophosphamide from conditioning in pediatric patients with single lineage inherited BMF syndromes. All patients had MSDs and no evidence of MDS. Conditioning consisted of PK-adjusted busulfan, fludarabine, and thymoglobulin, with calcineurin inhibitor and mycophenolate mofetil GVHD prophylaxis. With median follow-up of 48.4 months, overall and event-free survival were 100%. There was no acute GVHD and one instance of chronic limited GVHD. Patients exhibited >95% donor myeloid chimerism at 5 years post-BMT. Two patients experienced CMV reactivation without end-organ disease, and no other viral reactivation or significant infections occurred. MSD-BMT with reduced toxicity myeloablation for SCN provides excellent outcomes while minimizing toxicity. These data suggest that busulfan, fludarabine, and ATG can be considered an efficacious, low-toxicity standard of care regimen for patients with SCN undergoing MSD-BMT.

KEYWORDS

severe congenital neutropenia, stem cell transplant, conditioning regimen, busulfan and fludarabine, bone marrow failure, primary immunodeficiency

1 Introduction

Severe congenital neutropenia (SCN) defines a rare, heterogeneous group of disorders of neutrophil maturation. Prevalence estimates range from 1-9 cases per million individuals (1). More than thirty genes have been identified in which variants impair neutrophil differentiation, though this is not a universal finding (2–4). The most common of these are heterozygous *ELANE* mutations, accounting for approximately 50-60% of cases of SCN (5, 6). *ELANE* encodes neutrophil elastase, a serine protease enzyme contained in neutrophil azurophilic granules that hydrolyzes cell surface proteins and is released during the neutrophil activation process (6–8). Approximately two hundred distinct *ELANE* mutations have been identified, and the correlation of specific mutations to SCN phenotype are beginning to be understood (9, 10).

SCN typically presents with multiple severe infections in infancy and early childhood. These include omphalitis, pneumonia, bacteremia, abscess, and otitis media. Patients also frequently experience aphthous stomatitis and periodontitis. Historically, SCN had an approximate mortality rate of 50% in the first year of life due to sepsis, with a subsequent mortality of 6-7% per year. Since the advent of G-CSF therapy, patients with SCN have experienced a significant improvement in average lifespan and the mortality rate has been reduced to 0.9% per year (11). Patients with ELANEmediated SCN on long-term G-CSF, however, have a cumulative risk of 22% for progression to MDS/leukemia after 15 years of treatment (11). Despite this leukemogenic risk, G-CSF is the initial treatment of choice, with a goal of achieving ANCs exceeding 1.0x10⁹/L (12). Approximately 10% of patients are unable to maintain this ANC and remain at risk for morbidity and mortality due to sepsis (13). Allogeneic stem cell transplant (AlloSCT) is the only curative treatment option for SCN. Definitive reasons to pursue HSCT include failure to maintain appropriate ANC with G-CSF or progression to MDS/leukemia. The cumulative risk of malignancy with G-CSF raises questions regarding the advisability of pre-emptive transplant for patients with high G-CSF requirements, recurrent intractable infections, or at some centers high-risk ELANE variants (9). In cases where a MSD is available, transplant is often considered. The appropriateness of pre-emptive alloSCT for patients with only alternative donor options is less clear (14, 15).

Given the rarity of SCN, there remains a paucity of data on the most appropriate conditioning regimen. To date, most patients who have had alloSCT for SCN have received myeloablative conditioning with busulfan and cyclophosphamide (Bu/Cy), and a small minority have received varied other regimens (16). This Bu/ Cy myeloablative regimen carries numerous well-documented risks, including increased risk of veno-occlusive disease, pulmonary toxicity, infertility, and secondary malignancy (17). Busulfan and fludarabine has been proposed in this patient population as an alternative conditioning that can mitigate the severe side effects associated with cyclophosphamide (18-21). Our institutional experience supports this regimen, and we currently have an open phase I clinical trial to assess the efficacy of a busulfan/fludarabinebased conditioning regimen in bone marrow failure (BMF) patients. We present five pediatric patients with SCN transplanted using a conditioning regimen of busulfan, fludarabine, and ATG.

2 Methods

2.1 Patient characteristics

Five patients with *ELANE*-mediated SCN received MSD-BMT between 2014 and 2022 at our institution. Patient characteristics are listed in Table 1. All patients met phenotypic criteria for *ELANE*-related SCN prior to transplant, including ANC < $0.2x10^{9}$ /L in the absence of G-CSF treatment and a pathogenic *ELANE* variant. All patients were treated on or per CHP14BT057 (NCT02928991), a prospective, single center trial testing elimination of cyclophosphamide from conditioning in pediatric patients with single lineage BMF syndromes.

2.2 Transplant characteristics

All patients received a bone marrow graft from a 10/10 MSD. G-CSF was discontinued prior to start of conditioning. All patients received busulfan, fludarabine and ATG. Busulfan was PK-adjusted, and starting doses are listed in Table 2. For patients <1 year at time of transplant and for those treated before 2016, busulfan was administered every 6 hours, with target AUC of 900-1500 µmol*min/L (3.69-6.16 mg*h/L). The dose was adjusted based on the first dose PK per institutional SOP. Based on change in institutional SOP, for patients >1 year of age treated in or after 2016, daily dosing of busulfan was used targeting an AUC of 3600-6000 µmol*min/L (14.8-24.6 mg*h/L). Fludarabine was given at a dose of 150 mg/m2 or 5.2 mg/ kg for infants <10kg over 4 days for patients treated before 2016, and 150 mg/m2 or 5 mg/kg for infants <10kg over 5 days for patients treated after 2016. This difference was due to change in institutional SOP. ATG dose was either 4.5 or 9 mg/kg, with difference again based on era of transplant due to a change in institutional SOP. The full conditioning schedule is listed in Table 2. For GVHD prophylaxis, all patients received IV cyclosporine (CsA) infusion followed by transition to oral tacrolimus once engraftment was achieved for a minimum of 3 months followed by taper. Patients also received mycophenolate (MMF) for a duration up to 45 days. Time to neutrophil and platelet engraftment was assessed per CIBMTR criteria. Peripheral blood donor chimerism was assessed by variable nucleotide tandem repeat analysis methods per institutional standard practice.

3 Results

3.1 Disease history

ELANE variants for the 5 patients with SCN undergoing MSD-BMT are listed in Table 1, along with age at diagnosis, baseline ANC ranges (prior to G-CSF initiation) and history of pre-transplant complications. Notable pre-transplant infections included: omphalitis, recurrent otitis media, mastoiditis, skin infections, pulmonary infections, and lymphadenitis. No patients had evidence of MDS or leukemia at the time of transplant. Weight based G-CSF dosing at the time of transplant ranged from 5 mcg/kg/day to 66 mcg/kg/day. The indications for transplant included need for high doses of G-CSF (n=3),

TABLE 1 Patient characteristics.

Patient	Age at Diagnosis (years)	ELANE Mutation	Age at Transplant (years)	Time from Diagnosis to Transplant (years)	ANC Baseline (prior to GCSF)	Prior Chronic GCSF (Y/N)	Pre-Transplant GCSF Dose (mcg/ kg/day)	Pre-Transplant Infection History	Transplant Indication
1	0.4	Heterozygous c.607G>C	1.4	1.1	192	Υ	12	Mastoiditis with TM perforation and hearing loss	High GCSF need
2	1.4	Heterozygous c.617C>T	2.4	1	0	Y	15	Skin abscesses, otitis media with TM perforation	High GCSF need
3	0	Heterozygous c.640G>A	0.3	0.2	0	Y	66	Omphalitis	GCSF refractory
4	3.9	Heterozygous c.242G>C	18.9	15	180	Y	5	Recurrent pulmonary infections Recurrent otitis media Cervical lymphadenitis	Pt preference
5	0	Heterozygous c.629 G>T	1.2	1.2	0	Y	22.5	Skin abscesses	High GCSF need

TABLE 2 Conditioning regimen.

Patient	ATG Total (mg/kg)	ATG Timing (Day)	Fludarabine Total	Fludarabine Timing (Day)	Busulfan Start/ Cumulative Dose (mg/kg)	Busulfan Dose Sched	Busulfan Cumulative Dose AUC* (µmol*min/L)	Busulfan Timing (Day)	GVH/Rejection Prophylaxis
1	4.5	-10 to -8	5.2 mg/kg	-5 to -2	0.8/16.3	q6h	1197	-7 to -4	CsA/Tacro/MMF
2	4.5	-10 to -8	150 mg/m2	-5 to -2	1/16.1	q6h	906	-7 to -4	CsA/Tacro/MMF
3	9	-10 to -8	5 mg/kg	-6 to -2	0.8/12.9	q6h	1050	-7 to -4	CsA/Tacro/MMF
4	9	-10 to -8	150 mg/m2	-6 to -2	3.2/14.7	daily	4573	-7 to -4	CsA/Tacro/MMF
5	9	-10 to -8	5 mg/kg	-6 to -2	3.2/18.4	daily	4237	-7 to -4	CsA/Tacro/MMF

failure to achieve safe ANC with G-CSF therapy (n=1) and patient preference (n=1). Age at time of BMT ranged from 0.3-18.9 years.

3.2 Engraftment, survival, and donor chimerism

Median post-transplant follow-up ranged was 48.4 months (12-51.9 months). Median time to neutrophil engraftment was 21 days (16-38 days) (see Table 3). Two patients received G-CSF starting at Day +15 and Day +17 based on clinician preference and was continued for 4 days before stopping based on engraftment. Median time to platelet engraftment was 19 days (16-30 days). Overall and event-free survival were 100%. No patient required G-CSF after engraftment. All patients reached at least 95% total donor chimerism by 60 days after transplant and maintained >90% total chimerism throughout their entire period of follow-up (Figure 1). All patients reached 100% donor myeloid chimerism by day 36 after transplant and maintained >95% chimerism for the remainder of follow-up. T cell chimerism increased consistently in the first six months after transplant and remained at or above 89% in all patients.

3.3 GVHD, infections, and other adverse events

There were no cases of acute GVHD. One patient developed chronic limited GVHD which manifested as fasciitis limited to hands and wrists. These symptoms were treated with a course of prednisone and imatinib with full symptom resolution. No other patients required systemic GVHD therapy. Two patients developed low-level CMV reactivation managed with anti-viral medications. Neither developed CMV disease and time to resolution of CMV was 53 days post-transplant. There were no other viral reactivations. Bacterial and fungal infections during the peri- and post-transplant periods included *E. faecalis* bacteremia in one patient. No severe organ complications occurred. One patient had transient electrolyte wasting without evidence of renal dysfunction. No patients developed hepatic veno-occlusive disease, transplant-associated thrombotic microangiopathy, or chronic lung function impairment.

4 Discussion

ELANE-related SCN is a rare disorder of neutrophil maturation that carries a high risk of life-threatening infections. It requires lifelong G-CSF therapy to mitigate this risk, but G-CSF may cause severe longterm side effects, including significant osteopenia, splenomegaly, and risk of myeloid malignancies. AlloSCT is the only currently available curative therapy for SCN. The discussion to consider alloSCT becomes more acute in patients who develop clonal evolution involving somatic *RUNX1* mutations or cytogenetic abnormalities and many centers will consider using best available alternative donor in these cases. Thus, the question of which patients should consider pre-emptive transplant in the absence of myeloid malignancies is much debated. Most centers consider patients who require high standing doses of G-CSF ($\geq 8 \text{ mcg}$ /

Bacterial and Fungal Infections	None	None	E. faecalis bacteremia	None	None
Viral Reactivation (Y/N)	Y, CMV	Z	Y, CMV	N	N
Chronic GVHD (Y/N)	Z	Y (limited)	Z	Z	Z
Acute GVHD (Y/N)	Z	N	Ν	N	Ν
Total Chimerism at ~60 days After Transplant (%)	95	97	96	98	66
Time to Platelet Engraftment (days)	16	17	28	30	19
Time to Neutrophil Engraftment (days)	16	21	21	38	17
Follow - up Time (months)	47.7	48.0	51.2	39.0	4.7
Patient	1	2	3	4	Ŋ

Results and transplant complications

TABLE 3



kg/day) and who have a suitable available MSD to be excellent candidates for pre-emptive transplant, but whether this approach should be offered to patients with lower daily G-CSF requirements or for those whose options are limited to alternative donor transplant is less certain.

There are no defined standards of care for conditioning in alloSCT for *ELANE*-related SCN. Busulfan and cyclophosphamide is the most common regimen used in published cases (16), but poses significant risks from dual alkylating agents. We present 5 patients that received MSD-BMT using a reduced toxicity busulfan and fludarabine conditioning regimen for *ELANE*-mutant SCN without myeloid malignancy. None of the patients in this study developed significant lung, liver, or kidney toxicity, and overall survival was 100%. This strategy also did not compromise efficacy. All patients exhibited event-free survival, time to neutrophil and platelet engraftment and total donor chimerism comparable to busulfan and cyclophosphamide-based regimens (21–23). Our patients exhibited low rates of GVHD with no cases requiring ongoing systemic therapy. Viral reactivation occurred in a minority of patients and resolved without end-organ damage in all cases.

MSD-BMT with myeloablative but reduced-toxicity conditioning for *ELANE*-mutant SCN provides excellent outcomes with minimal toxicity. Additional reduced-toxicity regimens could also be considered, including treosulfan/fludarabine. Treosulfan has demonstrated comparable efficacy and a favorable toxicity profile compared to busulfan (24–26), and may represent an opportunity to further optimize outcomes. However, the currently enrolling clinical trial BMT-CTN 1904 examining a treosulfan-based conditioning regimen for patients with bone marrow failure excludes patients with SCN due to a paucity of data using similar regimens including busulfan/fludarabine. As our study demonstrates excellent engraftment for a busulfan/fludarabine/ATG regimen, we theorize that treosulfan might perform similarly and should be tested in patients with SCN.

Our study is limited in scope by the small size and lack of control group receiving traditional busulfan/cyclophosphamide regimens for comparison; however, comparisons to cases in the literature are favorable. These pilot data suggest that this busulfan and fludarabine-based approach should be studied in multicenter clinical trials, where any patient with *ELANE*-related SCN who has a MSD and daily G-CSF requirement would be eligible.

Data availability statement

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author.

Ethics statement

The studies involving humans were approved by Children's Hospital of Philadelphia Institutional Review Board. The studies were conducted in accordance with the local legislation and institutional requirements. Written informed consent for participation in this study was provided by the participants' legal guardians/next of kin.

Author contributions

JO: Writing – original draft, Writing – review & editing, Conceptualization, Methodology. NG: Writing – original draft, Writing – review & editing, Data curation, Formal analysis. KV: Investigation, Writing – review & editing, Resources, Project administration. CE: Conceptualization, Resources, Supervision, Writing – review & editing. LW: Conceptualization, Resources, Writing – review & editing. JW: Supervision, Writing – review & editing. TO: Conceptualization, Supervision, Writing – original draft, Writing – review & editing, Methodology.

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Conflict of interest

JO consults for Emendo Biotherapeutics.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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