



# Shared and Unique Features of Human Interferon-Beta and Interferon-Alpha Subtypes

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Type I interferons (IFN-I) were first discovered as an antiviral factor by Isaacs and Lindenmann in 1957, but they are now known to also modulate innate and adaptive immunity and suppress proliferation of cancer cells. While much has been revealed about IFN-I, it remains a mystery as to why there are 16 different IFN-I gene products, including IFN $\beta$ , IFN $\omega$ , and 12 subtypes of IFN $\alpha$ . Here, we discuss shared and unique aspects of these IFN-I in the context of their evolution, expression patterns, and signaling through their shared heterodimeric receptor. We propose that rather than investigating responses to individual IFN-I, these contexts can serve as an alternative approach toward investigating roles for IFN $\alpha$  subtypes. Finally, we review uses of IFN $\alpha$  and IFN $\beta$  as therapeutic agents to suppress chronic viral infections or to treat multiple sclerosis.

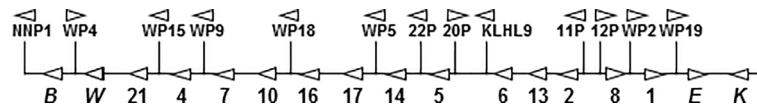
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## INTRODUCTION

Type I interferons (IFN-I) are monomeric cytokines that are best known for their antiviral activity but that also suppress proliferation of cancer cells and modulate innate and adaptive immune responses. IFN-I were first discovered as an antiviral factor by Isaacs and Lindenmann in 1957 and were subsequently revealed to include IFN $\beta$  and multiple subtypes of IFN $\alpha$  (1, 2). We now know that human type I IFNs comprise a family of 17 functional genes and 9 pseudogenes clustered on chromosome 9 (3) that encode 16 proteins: IFN $\beta$ ,  $\epsilon$ ,  $-\kappa$ ,  $-\omega$ , and 12 subtypes of IFN $\alpha$  (**Figure 1**). Since protein sequences for mature IFN $\alpha$ 1 and IFN $\alpha$ 13 are identical, we will collectively refer to them as IFN $\alpha$ 1.

IFN $\beta$  may be considered the “primary” IFN-I because it is expressed by all nucleated cells and may be expressed in isolation of all other IFN-I (except IFN $\alpha$ 1, discussed below). Two IFN-I genes are selectively expressed in specific organs or by specific cell types: IFN $\epsilon$  is hormonally regulated and primarily expressed in the female genital tract (4) and has recently been reviewed elsewhere. IFN $\kappa$  is primarily expressed by keratinocytes (5) where it has recently been shown to have a role in protection against cutaneous herpes simplex virus (6), papilloma virus (7), and cutaneous lupus erythematosus (8). Like IFN $\epsilon$ , IFN $\kappa$  is constitutively expressed (9). By contrast, IFN $\kappa$  expression is activated and suppressed by TGF $\beta$  and ERK1/2 kinases, respectively (7, 10).

While IFN $\omega$  is the least studied IFN-I in human biology, feline IFN $\omega$  is well characterized and licensed as a veterinary antiviral therapeutic. In felines, IFN $\omega$  is leukocyte specific (11, 12). While little is known about IFN $\omega$  expression patterns, the presence of neutralizing autoantibodies is



**FIGURE 1** | Gene map of the human IFN-I gene cluster. Above the line are pseudogenes for IFN $\nu$  (NNP), IFN $\alpha$  subtypes, IFN $\omega$ , and for the functional KLHL9 gene. On the line are the 17 functional type I IFN genes. Genes for IFN $\alpha$  subtypes are labeled only by number.

indirect proof that it is expressed and suggest a role in human disease. For example, in 2006, Meager et al. reported that 100% of *AIRE*-deficient patients with the autoimmune polyendocrinopathy syndrome have high titers of neutralizing autoantibodies against both IFN $\omega$  and IFN $\alpha$  (13). More recently, Bastard et al. reported that ~1% of patients with severe Covid19 has selective neutralizing auto-antibodies against IFN $\omega$  (14), suggesting that the importance of this type I IFN is in viral infections is underappreciated.

Mature IFN $\beta$  and eleven of the 12 IFN $\alpha$  subtypes are 166 a.a. in length (IFN $\alpha$ 2 is 165 a.a. due to deletion of D44) with a MW of ~20 kD. IFN $\epsilon$  and IFN $\omega$  are 187 a.a. and 174 a.a., respectively, both due to an elongated carboxy-terminal, while IFN $\kappa$  is 179 a.a. due to an insertion following residue 116. As shown in **Supplemental Figure 1** (15), IFN $\beta$  and IFN $\omega$  share 31%–38% and 55%–60% identity with all IFN $\alpha$  subtypes, respectively, whereas identity among the IFN $\alpha$  subtypes ranges from 76%–96%. IFN $\beta$ , IFN $\omega$ , and two IFN $\alpha$  subtypes are glycosylated; IFN $\beta$  at N80 (16), IFN $\omega$  at N78 (17), IFN $\alpha$ 2 at T108 (18), and IFN $\alpha$ 14 at N72 (19).

Despite sharing only ~30% identity across all IFN-I, the three-dimensional structures are remarkably similar (20, 21). The salient structural features of all IFN-I, which are reviewed in detail by Walter et al. in this series include: 1) cylindrical proteins that consist of five 11–24 residue  $\alpha$ -helices (labeled A–E), each parallel to the long axis of the cylinder; 2) Loops that connect the helices, of which the AB loop is relatively long and includes three short  $3_{10}$  helices (22, 23); 3) conserved bonding including disulfide bridges (one in IFN $\beta$ , two each in IFN $\omega$  and all IFN subtypes) and a network of hydrogen bonds to form and stabilize the tertiary structure; 4) IFNAR2 binding residues in Helix A, the AB loop and Helix E, and IFNAR1 binding sites spaced among helices B–D and the CD loop (21).

All IFN-I signal through a heterodimeric receptor that is comprised of two subunits, IFNAR1 and IFNAR2. In the classical model of IFN signaling, IFN first binds IFNAR2 forming a high-affinity binary complex which then recruits IFNAR1 to form a functional ternary structure that triggers phosphorylation of Jak1 and Tyk2-initiating “canonical” signaling (24). In canonical IFN-I signaling (**Figure 2**), activation of Jak1 and Tyk2 is followed by phosphorylation of STAT1 and STAT2, which trimerize with IRF9 to form the transcription factor interferon-stimulated growth factor-3 (ISGF3) (25). Once assembled, ISGF3 translocates to the nucleus and binds to interferon stimulated response elements (ISRE) to promote transcription of interferon stimulated genes (ISGs). Through this canonical pathway, many genes are highly susceptible to shifts in expression with small amounts of IFN-I, thus earning the label of “robust” ISGs (26).

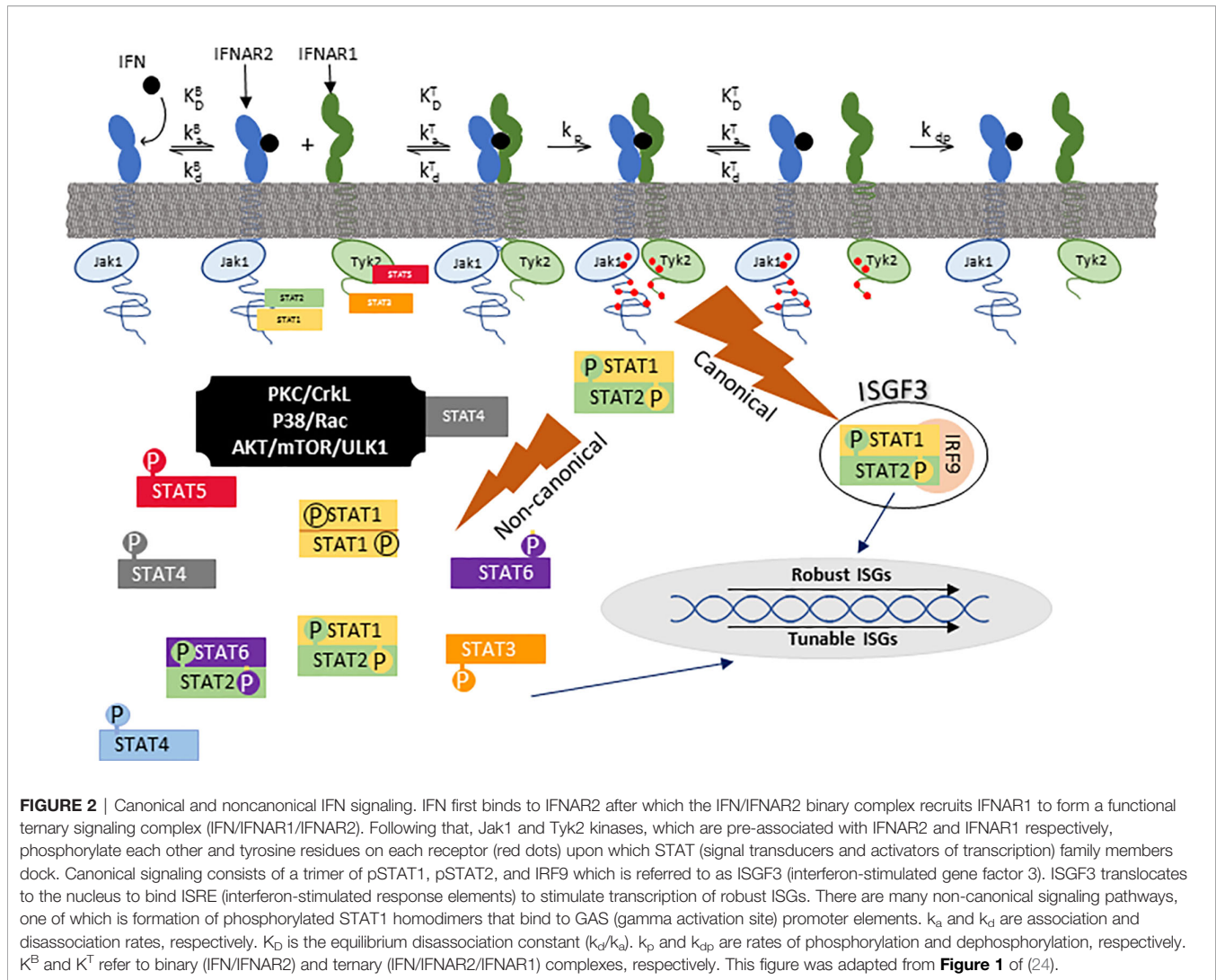
Robust ISGs include most antiviral effectors from which the name “interferon” was derived.

Non-canonical IFN-I signaling includes cell-specific pathways such as those mediated by STAT1 homodimerization, other STAT family members, and MAP- or PI $_3$ -kinases (**Figure 2**). To better characterize these pathways, Urin and colleagues used HeLa cell signaling-component deletion mutants to show that except for the formation of STAT1 homodimers or STAT2/IRF9 heterodimers, non-canonical signaling depends on phosphorylation of both STAT1 and STAT2 (27). For the most part, non-canonical signaling induces “tunable” ISGs (26), which exhibit gradual rather than steep dose-response curves, and higher IFN concentrations for peak expression (26). Non-canonical pathways such as suppression of cell proliferation best correlates with the stability of the IFN/IFNAR1/IFNAR2 ternary complex [defined as (IFN-I  $K_D$  IFNAR1\* IFN-I  $K_D$  IFNAR2)] (24). Non-canonical signaling also mediates expression of chemokines and cytokines that modulate innate or adaptive immunity, transcription factors that modulate cell phenotype, and some antiviral responses. As examples, APOBEC3, a cytidine deaminase that blocks HIV replication in macrophages, and IRF1, a transcription factor that mediates IFN-dependent and -independent viral immunity (28–31), share characteristics of tunable ISGs. While IFNAR2-independent signaling has been reported in mice (32), there are no data to controvert the current model that both IFNAR1 and IFNAR2 are necessary for signaling in humans.

Why there are so many IFN-I genes, and specifically so many IFN $\alpha$  subtypes, remains a mystery. As would be predicted by their common use of a shared receptor, evidence to date points to quantitative rather than qualitative differences among the IFN-I. In other words, differences in gene expression, antiviral, or antiproliferative activity at subsaturation are equalized by dose adjustments or in the extreme, by receptor saturation. Thus, while their evolutionary history and expression patterns suggest that at least some IFN-I serve specific functions, very few have been defined. Here, we focus on differences among IFN $\beta$  and the IFN $\alpha$  subtypes to propose a model by which patterns of expression mirror their evolutionary history, and thus provide an alternative approach toward deciphering their roles in human biology.

## EVOLUTION OF TYPE I INTERFERONS

Types I and III IFNs evolved from a common ancestor gene that shares the 5-exon/4-intron organizational structure of the IL-10

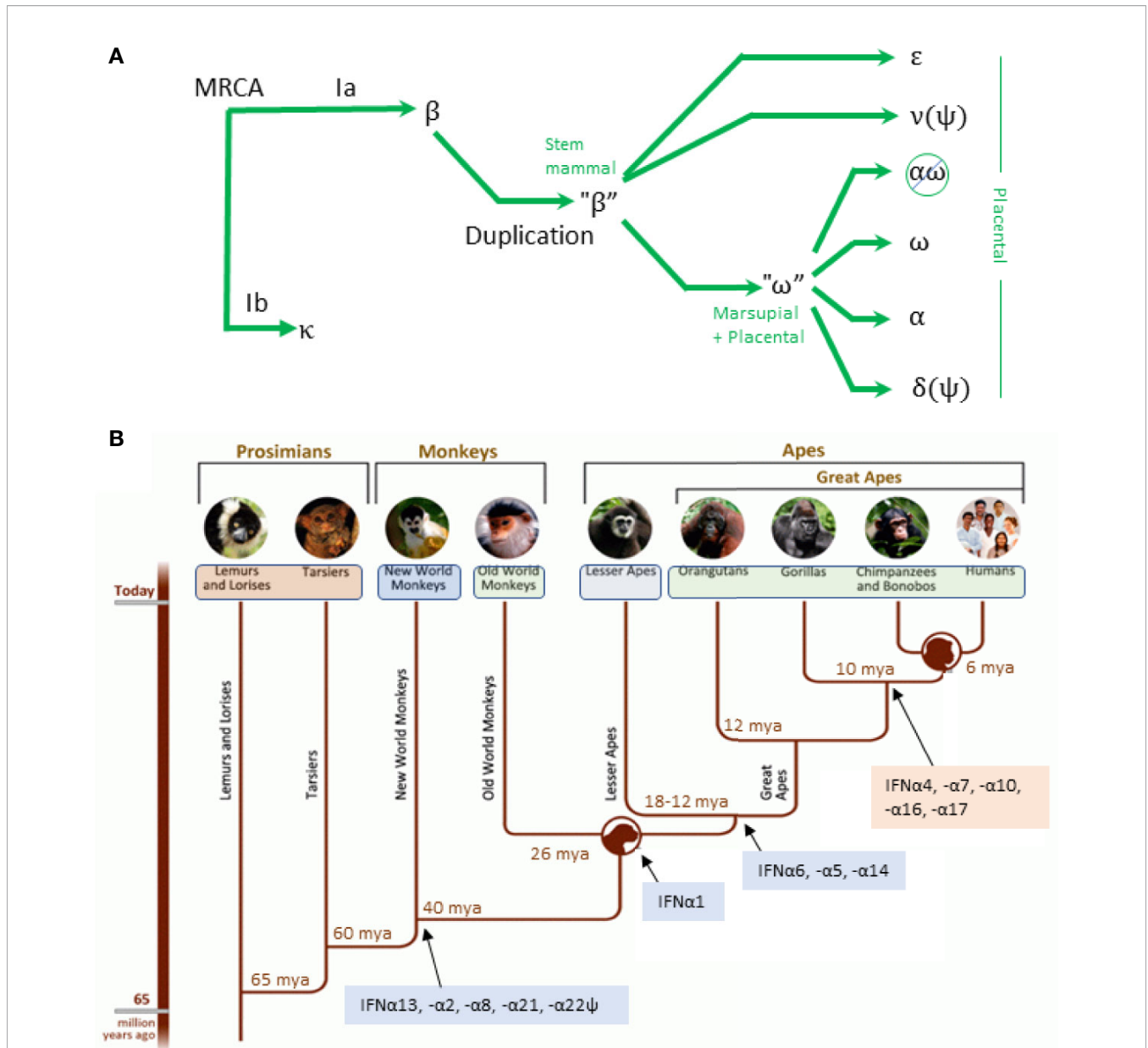


family of cytokines. The intronless IFN-I genes of all higher order primates evolved and diversified from those of cartilaginous and bony fish. As shown in **Figure 3A**, IFN $\kappa$  was the first to evolve from the “most recent common ancestor” (MRCA), followed by IFN $\beta$ . Both were present ~200 million years ago (MYA) before eutherians and marsupials diverged. IFN $\epsilon$  arose from IFN $\beta$ , which later duplicated to give rise to IFN $\omega$  and the IFN $\alpha$  genes (15). Primate IFN-I are highly divergent from other mammals. For example, in bats and ungulates, IFN $\omega$  emerged as a multigene subtype (33) while primates have one functional IFN $\omega$  gene and multiple IFN $\alpha$  subtypes.

The first *IFNA* gene appeared 95–105 MYA, which through duplication and conversion gave rise to an expanded set of IFN $\alpha$  subtypes in a subset of placental mammals (15). *IFNA* gene duplication and conversion that occurred before speciation gave rise to a conserved cluster of IFN $\alpha$  subtypes that are dissimilar, but that are shared across species. Conversely, duplication after speciation gave rise to variant clusters that are highly similar within each species but are not shared across species. As shown in

**Figure 3B**, the first IFN $\alpha$  subtypes that are present in humans and simiiforms—*IFNA13*, *-A2*, *-A8*, and *-A21*—were present before the divergence of new world and old world monkeys (NWM and OWM) 65–47 MYA. NWM have one gene each for *IFNA13* (syntenic with *IFNA13* in monkeys and apes), *IFNA2* and *IFNA21*, and two genes each that are similar to *IFNA8* and *IFNA5* in higher order primates. Subsequently, *IFNA13* duplicated to give rise to *IFNA1* (present in OWM and apes), and *IFNA5*, *IFNA6*, and *IFNA14* arose to complete the set of *IFNA* subtypes that are conserved during primate evolution (**Figure 3B**, blue background). The subset of human *IFNA* subtypes that are variant among primates (pink background) arose after orangutans and the other great apes diverged. It has been proposed that *IFNA4*, *IFNA10*, *IFNA17* are products of partial conversions from *IFNA14* or *IFNA21* (*IFNA4*, *-A10*, and *-A17*) (15) and that *IFNA10* may have converted *IFNA7* or vice versa (34).

Based upon a detailed analysis of human polymorphisms in sub-Saharan African, Asian, and European populations, Manry et al. (35) found the fewest polymorphisms in *IFNA6*, *-A8*, *-A13*, and *-A14*. Exclusion of *IFNA1* from this group appeared to be



**FIGURE 3 | Evolution of IFN-I. (A)** Simplified evolution of type IFN-I in mammals adapted from Krause and Petska. The most recent common ancestor (MRCA) gave rise to IFN $\kappa$  and a progenitor for IFN $\beta$ . A duplicate of the IFN $\beta$  progenitor gave rise to IFN $\epsilon$ , IFN $\nu$  (a pseudogene in mammals), and a progenitor for IFN $\alpha$ . The IFN $\omega$  progenitor gave rise to the remaining subtypes. In simiiforms, IFN $\alpha\omega$  is deleted and IFN $\delta$  is a pseudogene. **(B)** Evolution of IFN subtypes from simians to homininae showing conserved (blue) and variant (orange) subtypes. Figure adapted from: <http://humanorigins.si.edu/evidence/genetics>.

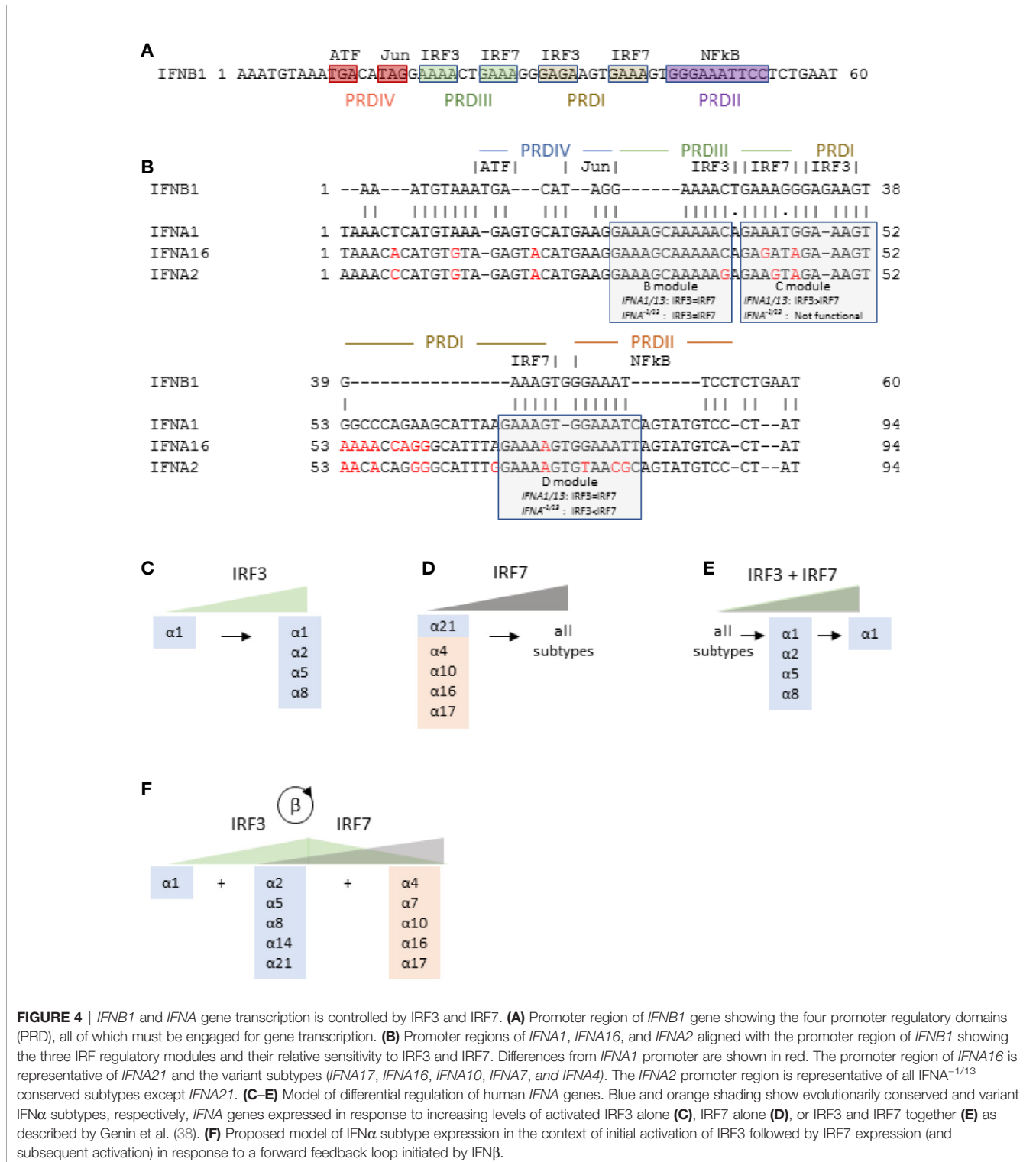
based on the A137V substitution (residue 114 of the mature peptide), that is predicted to have no damaging effects, and in our experience, is not functionally different from A137 IFN $\alpha$ 1 (36). Manry et al. concluded that these evolutionarily conserved subtypes have undergone selection against nonsynonymous variants. Taken together, the conserved cluster may have evolved to counter pathogens common that threatened the MRCA to OWM and great apes, and there is a selective advantage for having two genes, *IFNA1* and *IFNA13*, that express IFN $\alpha$ 1.

### REGULATION OF TYPE I INTERFERON EXPRESSION BY IRF3 AND IRF7

Comparing promoter regions and transcription factor usage provides insight toward specialized roles for the different IFN-I. The interferon regulatory factor (IRF) family members are the dominant transcription factors that regulate IFN-I expression. While IRF1, -2, -5, and -8 have been shown to regulate IFN-I expression, this review will focus on the two most important members, IRF3 and IRF7.

IFN $\beta$  is expressed after stimulation of pattern-recognition receptors (PRRs) such as RIG-I-like receptors (RLRs) and toll-like receptors (TLRs) by pathogen-specific molecular motifs referred to as pathogen associated molecular patterns (PAMPs) [reviewed in (37)]. Once activated, PRRs trigger signaling cascades that activate assembly of the “enhanceosome,” which

consists of the transcription factors ATF-2/c-Jun, NF $\kappa$ B (p50/65 heterodimer) and two interferon response factor (IRF) dimers [Figure 4 (39)] that bind to four promoter regulatory domains (PRDs). Based primarily on mouse models, it was initially thought that PRDs III and I required either IRF7 homodimers or IRF3/IRF7 heterodimers for a functional enhanceosome (40).



**FIGURE 4** | *IFNB1* and *IFNA* gene transcription is controlled by IRF3 and IRF7. **(A)** Promoter region of *IFNB1* gene showing the four promoter regulatory domains (PRD), all of which must be engaged for gene transcription. **(B)** Promoter regions of *IFNA1*, *IFNA16*, and *IFNA2* aligned with the promoter region of *IFNB1* showing the three IRF regulatory modules and their relative sensitivity to IRF3 and IRF7. Differences from *IFNA1* promoter are shown in red. The promoter region of *IFNA16* is representative of *IFNA21* and the variant subtypes (*IFNA17*, *IFNA16*, *IFNA10*, *IFNA7*, and *IFNA4*). The *IFNA2* promoter region is representative of all *IFNA*<sup>-1/13</sup> conserved subtypes except *IFNA21*. **(C–E)** Model of differential regulation of human *IFNA* genes. Blue and orange shading show evolutionarily conserved and variant IFN $\alpha$  subtypes, respectively, *IFNA* genes expressed in response to increasing levels of activated IRF3 alone **(C)**, IRF7 alone **(D)**, or IRF3 and IRF7 together **(E)** as described by Genin et al. (38). **(F)** Proposed model of IFN $\alpha$  subtype expression in the context of initial activation of IRF3 followed by IRF7 expression (and subsequent activation) in response to a forward feedback loop initiated by IFN $\beta$ .

In most cells, however, basal IRF7 expression is low while IRF3 is ubiquitously expressed. Thus, in most cells, viral PAMPs trigger activation of IRF3, which homodimerizes to complete the functional enhanceosome and initiate transcription of IFN $\beta$ . Subsequently, autocrine/paracrine IFN $\beta$  increases expression of IRF7 (a robust ISG) in infected and bystander cells—a well-documented critical step in a forward feedback loop for IFN $\beta$  to enhance its own expression (41).

The critical importance of IRF3 toward initiating IFN expression is emphasized by the number of pathogens with gene products that antagonize its activation (41) and by reports that cells from IRF3-deficient patients express little or no IFN $\beta$  (42, 43). The critical importance of the IRF7-mediated forward feedback loop is supported by an *in vitro* study in which the percentage of IFN $\beta$ -expressing cells after viral infection was dependent on cell density, and secretion of IFN $\beta$  (44), and reports that IRF7 deficient patients poorly express IFN $\beta$  (45, 46). By contrast, cells that constitutively express IRF7, as is the case for macrophages and plasmacytoid dendritic cells (pDC) (47) highly express IFN-I in response to synthetic ligands (imiquimod or CpG oligonucleotides) or pathogens such as influenza (48, 49). Taken together, the IFN $\beta$ -IRF7 forward feedback loop is a sentinel at the early stages of viral infection in local environments that enhances the antiviral state of common target cells for viral infection such as respiratory or gastrointestinal epithelium.

After the crystal structure of the *IFNB1* enhanceosome was published, Genin et al. described promoter regions of the *IFNA* genes (38) and modulated cellular expression of IRF3 and IRF7 to determine their effects on IFN $\alpha$  subtype expression. **Figure 4A** shows the promoter region of *IFNB1*, and **Figure 4B** shows the *IFNB1* promoter region aligned to representative *IFNA* subtypes up to -30 bp from the transcription start site. Overall, the *IFNA* promoter regions align well to that of *IFNB1* with 95% identity excluding several insertions and three short deletions. As shown in **Figure 4B**, the insertions into the *IFNA* promoters shift the IRF binding sites, referred to as modules B, C, and D, 5' from the transcriptional start site such that the B module ends half-way through *IFNB1* PRDIII, the *IFNA* C module straddles *IFNB1* PRDIII and PRDI, and the *IFNA* D module straddles *IFNB1* PRDI and PRDII (to which NF $\kappa$ B binds in the *IFNB1* promoter). Among the three modules, only module B, which is equally responsive to IRF3 and IRF7, is essentially identical among all the subtypes. By contrast, module C, which preferentially binds to IRF3, is functional only in the *IFNA1* (and *IFNA13*) promoter. Module D also differs between *IFNA1/13* and the other subtypes. For *IFNA1*, module D binds equally to IRF3 and IRF7, while for all the other *IFNA* subtypes, module D preferentially binds to IRF7. Binding of IRF3 to *IFNA1* promoter modules C and D explains why *IFNA1* and *IFNB1* can be co-expressed in the absence of any other *IFNA* subtypes (38, 49–51).

The promoter regions of the *IFNA* subtypes other than *IFNA1* (which we will refer to as *IFNA*<sup>-1/13</sup> or IFN $\alpha$ <sup>-1/13</sup> for the gene and protein, respectively) cluster into two groups. The first cluster consists of *IFNA4*, -A7, -A10, -A16, -A17, and -A21, (represented by *IFNA16* in **Figure 4B**). Note that this set

includes all the evolutionarily variant *IFNA* subtypes (15) along with *IFNA21*, from which the variant subtypes may have arisen. The substitutions in the C modules of these subtypes renders them nonfunctional, and the 73G/A substitution in their D modules renders them more sensitive to IRF7. The B, C, and D modules are identical among the *IFNA* subtypes in this cluster.

The second cluster of *IFNA*<sup>-1/13</sup> subtypes is represented by *IFNA2* and includes *IFNA5*, -A6, -A8, and -A14. These are all evolutionarily conserved subtypes. The C module for this cluster is also non-functional, and their D modules include the 73G/A substitution that renders them more sensitive to IRF7. Unlike the cluster represented by *IFNA16*, however, there are substitutions in the B and D modules that may affect their relative sensitivity to IRF3 and IRF7 (52).

Based on analysis of the *IFNA* promoter regions and expression studies with EBV-transformed B cells, Genin et al. proposed a model for differential regulation of the *IFNA* genes by either activation of IRF3 or IRF7, or by co-activation of both IRF3 and IRF7 (Genin, 2009 #71) (15, 52). In this model, low activation of IRF3 is sufficient to induce expression of IFN $\alpha$ 1, while increased IRF3 activation may induce expression of IFN $\alpha$ 2, - $\alpha$ 5, and - $\alpha$ 8 (**Figure 4C**). Similarly, increasing levels of IRF7 activation will first induce expression of IFN $\alpha$ 21 and the evolutionarily variant subtypes followed by the remaining subtypes (**Figure 4D**). Co-activation of IRF3 and IRF7 at low levels induces expression of all subtypes, but coactivation increases, IRF3 inhibits IRF7 and thus limits the number of subtypes expressed (**Figure 4E**).

## PATTERNS OF HUMAN TYPE I INTERFERON EXPRESSION IN RESPONSE TO SYNTHETIC LIGANDS AND VIRAL INFECTION

To characterize expression patterns of IFN $\alpha$  subtypes in response to synthetic ligands or viral infection, transcripts are usually measured with RT-qPCR. **Table 1** summarizes human IFN $\beta$  and IFN $\alpha$  subtype expression patterns reported in the literature. As predicted by Genin et al., IFN $\alpha$ 1 is co-expressed with IFN $\beta$  after activation of IRF3 with poly I:C. Additionally, when potently stimulated, pDC (which constitutively express IRF7) express all IFN $\alpha$  subtypes, while weaker stimulation of IRF7 with CpG B class oligodeoxynucleotides (ODN) induced expression of a set of IFN $\alpha$  subtypes that share the IRF7-sensitive promoter region exemplified by *IFNA16* (**Figure 4B**). By contrast, stimulation of cells that do not constitutively express IRF7 with viral RNA or the synthetic analog poly I:C primarily induces expression of a core set of conserved subtypes. **Table 1** also suggests the possibility that specific pathogens such as influenza virus, HIV, or hepatitis C may preferentially induce IFN $\alpha$ 5.

Of particular interest is the report by Zaritsky et al., who infected the U937 histiocytic cell line with Sendai virus at low and high multiplicity of infections (MOI). While the U937 cells

**TABLE 1** | Reported expression patterns of human IFN-I.

Cell type	Stimulus	$\beta$	Conserved cluster					Variant cluster					CC	Reference	
			$\alpha 1$	$\alpha 8$	$\alpha 2$	$\alpha 6$	$\alpha 5$	$\alpha 14$	$\alpha 17$	$\alpha 16$	$\alpha 10$	$\alpha 7$			$\alpha 4$
PBMC	poly I:C	X	X		X			X			X				(49)
	CpG B-D class	X	X	X	X			X		X	X	X		X	(53)
	Imiquimod	X	X		X			X							(53)
	Sendai Virus <sup>a</sup>	nd	X	X	X			X			X			X	(19)
	Hepatitis C virus		X				X								(54)
Mo	poly I:C	X	X					X							(49)
MDM	poly I:C	X						X			X				(49)
	CpG D class	X						X			X				
	M. tuberculosis	X	X												(50)
MDDC	poly I:C	X	X												(49)
	RSV	X	X	X	X			X					X		(55)
pDC	poly I:C, LPS	X	X					X	X						(49)
	Imiquimod	X	X	X	X	X	X	X	X	X	X	X	X	X	
	CpG A, C, D	X	X	X	X	X	X	X	X	X	X	X	X	X	
	CpG B class	nd						X			X	X	X		(48)
	IAV H1N1	nd	X	X	X	X	X	X	X	X	X	X	X	X	(48)
	HIV	nd	X	X	X	X	X	X	X	X	X	X	X	X	(56)
	HIV	nd	X	X	X		X	X							(57)
Calu3 <sup>b</sup>	IAV H5N1	X					X								(58)
BEAS2B	RSV	X	X												(51)
Lung explants	IAV H3N2	nd	X	X	X		X	X		X					(59)
U937 <sup>c</sup>	Sendai Virus (low MOI)	X	X	X	X	x	x	x	x	x	x	x	x	x	(60)
	Sendai Virus (high MOI)	X	X	X	X		X	X					X		

<sup>a</sup>Expression patterns determined by mass spectrometry.

<sup>b</sup>Expression patterns determined by RNAseq, which may be insensitive to detecting highly identical transcripts.

<sup>c</sup>Lower case "x" refers to the IFN $\alpha$  subtypes that were not expressed after IFNAR2 blockade (see text).

PBMC, peripheral blood mononuclear cells; Mo, monocytes; MDM, monocyte derived macrophages; MDDC, monocyte derived dendritic cells; pDC, plasmacytoid dendritic cells; poly I:C, polyinosinic-polycytidylic acid; CpG, CpG oligodeoxynucleotides; HIV, human immunodeficiency virus; SARS-Cov, Severe adult respiratory syndrome coronavirus; MERS-CoV, Mideast respiratory syndrome coronavirus; MOI, multiplicity of infection. Blue and orange shading show evolutionarily conserved and variant IFN $\alpha$  subtypes, respectively.

expressed all IFN $\alpha$  subtypes after infection at low MOI, expression was limited almost exclusively to the conserved set after infection at a high MOI. Furthermore, while IFNAR2 blockade (which repressed the IFN $\beta$ -IRF7 forward feedback loop) did not affect the expression pattern in the high MOI infection, it significantly repressed all subtypes except IFN $\alpha 1$ ,  $\alpha 2$ , and  $\alpha 8$  after low MOI infection (60). Taken together, these studies support the model of Genin et al. in which activated IRF3 alone induces expression of conserved IFN $\alpha$  subtypes (Figure 4C), and IRF7 alone first induces IFN $\alpha 21$  and variant subtypes and subsequently induces expression of all subtypes (Figure 4D). In the context of the IFN $\beta$ -IRF7 forward feedback loop, however, Table 1 suggests that conserved subtypes are first expressed, followed by variant subtypes (Figure 4F).

It is important to note that the evolutionarily conserved or variant IFN $\alpha$  subtype clusters are not expressed *en bloc*. One possible explanation is that unlike the variant subtypes, the B and D promoter modules vary by one or two bp, which may affect their relative sensitivity to IRF3 or IRF7 (52). Another factor is that IRF3 and IRF7 are not the only mediators of subtype expression. For example, a set of *IFNA* transcripts is regulated by a competing endogenous RNA (ceRNA) network. Kimura

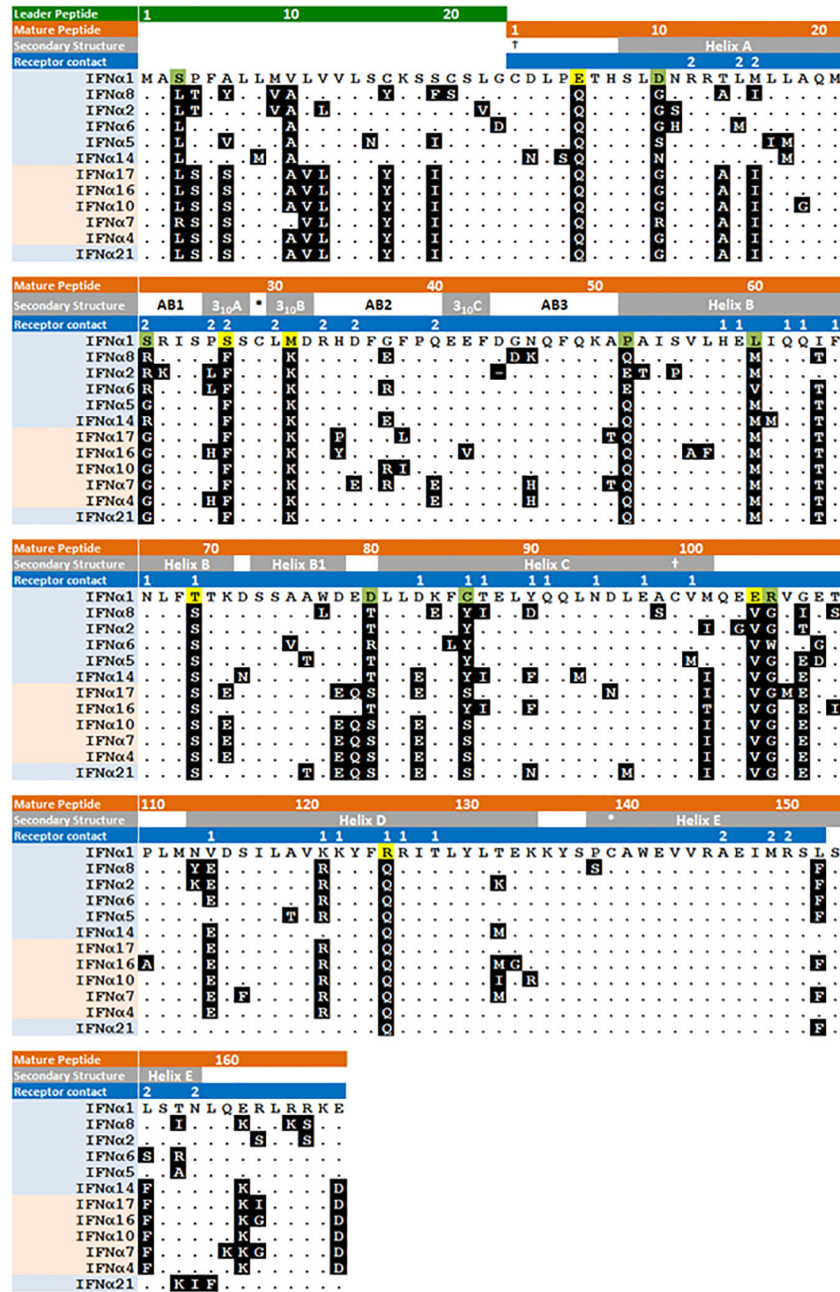
and colleagues first described stabilization of *IFNA1* transcripts by a natural antisense transcript (NAT) that spans the coding region and extends well beyond the 3' poly-A UTR (61). They subsequently determined that the *IFNA1* NAT includes binding sites for microRNA-1270 (i.e., a microRNA response element) which otherwise represses *IFNA1* transcript levels. Additionally, NAT for *IFNA8*, *-A10*, *-A14*, and *-A17* (Kimura et al., personal communication) also sequester miRNA-1270 to enhance their transcript levels (62).

## IFN $\beta$ , THE HIGH-AFFINITY SENTINEL

In addition to its evolutionary emergence as the first non-tissue specific IFN-I and its high sensitivity to IRF3/IRF7, IFN $\beta$  also has exceptionally high affinities for IFNAR1 and IFNAR2 ( $K_D = 0.1 \mu\text{M}$  and  $0.1 \text{nM}$ , respectively). As estimated by the product of IFNAR1 and IFNAR2 affinities ( $K_D \text{ IFNAR1} * K_D \text{ IFNAR2}$ ), the stability of the IFN $\beta$ /IFNAR1/IFNAR2 ternary complex is 10-fold higher than for IFN $\omega$  and at least 50-fold higher than the highest affinity IFN $\alpha$  subtypes, IFN $\alpha 14$  and IFN $\alpha 6$  (Figure 5).







**FIGURE 6** | Amino acid sequence of human IFN $\alpha$  subtypes. IFN $\alpha$  subtypes are shown in order of arrangement on chromosome 9 with evolutionarily conserved and variant subtypes highlighted in blue and pink respectively. Secondary structure and IFNAR1/2 contact residues, labeled 1 and 2 respectively, are shown in the gray and blue highlighted text. Amino acids are shown with IFN $\alpha$ 1 as the comparator, showing those that are unique to IFN $\alpha$ 1 and otherwise identical among all the IFN $\alpha$ <sup>-1/13</sup> subtypes, or otherwise varies among the other IFN $\alpha$ <sup>1/13</sup> subtypes. \* and † indicate cysteine disulfide bonds. Figure modified from (80).

affinity for IFNAR2 by 4-fold as the polar side chain of serine is predicted to disrupt the hydrophobic interaction otherwise stabilized by phenylalanine (80), and R22S, which together with S27 decreases affinity by ~14-fold (65). Although not a contact point, the substitution K31M in IFN $\alpha$ 1 may also contribute to its decreased affinity for IFNAR2 by disrupting the second 3<sub>10</sub> helix.

While the low affinity of IFN $\alpha$ 1 for IFNAR2 suggests the possibility of a qualitative difference in signaling or functional outcome, the evidence to date only supports a quantitative difference. Reports of IFNAR2-independent signaling in mice (32) have not been replicated in human cells, for which it has been reported that both IFNAR1 and IFNAR2 are essential for signaling and gene expression (27). Additionally, while IFN $\alpha$ 1

also has unique substitutions at contact points for IFNAR1 that may affect its conformation at the SD2-SD3 hinge that affect binding affinity (81), conformational changes do not necessarily indicate an effect in IFN signaling (25).

The substitutions that decrease the affinity of IFN $\alpha$ 1 for IFNAR2 also decrease its affinity for B18R, a soluble receptor antagonist encoded by vaccinia virus. According to this model, secreted B18R (or other poxvirus orthologues) block high affinity IFN-I from binding their receptors, while leaving these low affinity IFNs relatively unaffected (65). Similarly, the organ-specific IFN-I, IFN $\kappa$ , and IFN $\epsilon$  also bind to IFNAR2 and B18R with low affinity. While IFN $\kappa$  and IFN $\epsilon$  may protect against poxviruses that infect local environments (skin and female reproductive tract), IFN $\alpha$ 1 may defend against invasive strains such as variola. It is intriguing to speculate that the low frequency of polymorphisms in human *IFNA1* and *IFNA13* (35) is a consequence of a selective advantage toward surviving smallpox.

Among the IFN $\alpha$ <sup>-1/13</sup> subtypes, there are fewer substantial differences in their peptide sequences. **Figure 6** shows the shared residues that account for the high levels of identity among the evolutionarily conserved subtypes (**Supplementary Figure 1**) and differences in the unstructured C-terminal tail that contribute to higher antiviral and antiproliferative potencies of IFN $\alpha$ 8 (82). Since the receptor contact points are conserved, variation in their binding affinities is apparently due to substitutions in adjacent residues.

## THERAPEUTIC USES OF TYPE I INTERFERON

The antiviral and antiproliferative activities of interferons led to the development of their use as therapeutics. In 1986, IFN $\alpha$ 2b (Intron A<sup>®</sup>, Merck Sharp & Dohme) was the first IFN-I approved for use in the United States (83). The current U.S. market for interferons, including IFN $\gamma$  for chronic granulomatous disease and

malignant osteopetrosis, has grown to \$5B per year. **Table 2** shows the nine IFN-I licensed in the United States along with indications for use. As discussed elsewhere in this series of reviews (84), there are several ongoing clinical studies to test efficacy of IFN-I and IFN-III to treat Covid19.

IFN $\alpha$ 2a or IFN $\alpha$ 2b, which differ only at residue 23 (lysine or arginine, respectively), are prescribed for their antiviral or antiproliferative activity. These products are injectable preparations of either native or pegylated IFN proteins. Pegylation is modification of proteins with linear or branched polyethylene glycol to retards degradation and increase its serum half-life (85). While IFN $\alpha$ 2 was used to treat chronic hepatitis C, it has been replaced with the highly specific inhibitors of HCV NS3/4A, NS5A, and NS5B proteins, which may be curative and are associated with fewer adverse events (86).

IFN $\beta$  was first approved for treatment of relapsing remitting multiple sclerosis in 1993 after showing an 18-34% reduction in relapse rate. The efficacy for IFN $\beta$  was considered to be due suppression of viral infections that are associated with relapses and to direct immunomodulatory effects that include reduction of pathogenic Th1 and Th17 CD4+ T cells, and to increases in IL-10 producing T<sub>reg</sub> cells (87). All these may be mediated by increased expression of PD-L1 (CD274), an ISG that in mice is more responsive to IFN $\beta$  due to its high receptor affinity (88).

Therapeutic IFN-I has severe adverse events that are an obstacle to their use as therapeutics. The package inserts for pegylated IFN $\alpha$  includes black box warnings for the potential development of neuropsychiatric, autoimmune, ischemic, or infectious disorders. The package inserts also warn that treatment symptoms such as fever, fatigue, headache, myalgia, and nausea, which are usually associated with viral infections, are common side effects. More serious side effects can include cardiovascular and neurologic disorders, bone marrow, hepatic, and renal toxicity, and hypersensitivity reactions. Additionally, IFN $\beta$  for MS is associated with seizures, depression, suicide, and

**TABLE 2** | Licensed IFN-I in the United States.

Proprietary Name	Proper Name	Dosage Form	Dosage	Route	Indication	Expression System
Avonex	IFN $\beta$ -1a	30 $\mu$ g/0.5 ml	30 $\mu$ g per week	IM	Multiple sclerosis including relapsing-remitting and secondary active disease	CHO cells
Rebif	IFN $\beta$ -1a	8.8 $\mu$ g/0.2 ml 22/44 $\mu$ g/0.5 ml	22 or 44 $\mu$ g 3 times per week	SC		CHO cells
Plegridy	IFN $\beta$ -1a	63/94/125 $\mu$ g/ 0.5 ml	125 $\mu$ g every 14 days	SC		CHO Cells
Betaseron	IFN $\beta$ -1b	0.3 mg	0.25 mg every other day	SC		<i>E. coli</i>
Extavia	IFN $\beta$ -1b	0.3 mg	0.25 mg every other day	SC		<i>E. coli</i>
Pegasys	Peg IFN $\alpha$ 2a	180 $\mu$ g	Adult: 180 $\mu$ g per week Pediatric: 180 $\mu$ g/1.73 m <sup>2</sup>	SC	Chronic Hepatitis C, Chronic Hepatitis B	<i>E. coli</i>
Pegintron	Peg IFN $\alpha$ 2b	50/80/120/150 $\mu$ g/0.5 ml	Adult: 1.5 $\mu$ g/Kg/ week Pediatric: 60 $\mu$ g/m <sup>2</sup> / week	SC	Chronic Hepatitis C in patients with compensated liver disease	<i>E. coli</i>
Intron A	IFN $\alpha$ 2b	10/18/25 MIU	Diagnosis Dependent	IV, IM, SC, IL	Hairy Cell Leukemia, Malignant Melanoma, Follicular Lymphoma, Condylomata Acuminata, AIDS-related Kaposi's Sarcoma, Chronic Hepatitis C, Chronic Hepatitis B	<i>E. coli</i>
Sylatron	Peg IFN $\alpha$ 2b	200/300/600 $\mu$ g	6 $\mu$ g/Kg/week for 8 weeks then 3 $\mu$ g/Kg/week for up to 5 years	SC	Melanoma with metastasis to lymph nodes—to begin within 84 days of surgical resection	<i>E. coli</i>

Peg, polyethylene glycol; MIU, million international units; BSA; IM, intramuscular; IV, intravenous; IL, intraliesional; SC, subcutaneous; CHO, Chinese hamster ovary cells.

other psychiatric disorders. It is therefore not too surprising that as more selective therapeutic agents have been developed and licensed, use of IFN-I has become adjunctive rather than a primary treatment for chronic viral infections, cancer, or MS.

## CONCLUSIONS

As reviewed here, most if not all reported biological differences among IFN-I are quantitative rather than qualitative. While the antiviral subtype that most potently neutralizes infection *in vitro* may vary according to pathogen (57, 59, 89, 90), these differences may be overcome by increasing doses (57, 91). Similarly, differences in antiproliferative activity are largely dose dependent (92). While this may also be true for modulation of cytokine expression (36), immunosuppressive activity (i.e., induction of PD-L1) may be dependent on the exceptionally high affinity of IFN $\beta$  for IFNAR1/2.

As for the IFN $\alpha$  subtypes, other than escape from poxvirus soluble receptor antagonists (such as B18R by IFN $\alpha$ 1), any suggestion of specialized roles is inferred from their evolutionary history or expression patterns. It is therefore possible that the primary role of IFN $\alpha$  is to prolong or amplify the effects of IFN $\beta$  and that multiple IFN $\alpha$  subtypes simply provide multiple layers of redundancy, albeit with a range of receptor affinities. However, it is also possible that unique functions for IFN $\alpha$  subtypes have not been revealed because the common experimental approach of comparing treatment with individual IFN-I does not reflect the biological context in which defined patterns of IFN $\alpha$  are co-expressed together and with IFN $\beta$ . These patterns are likely most relevant at sub-saturating doses, which may more accurately reflect the environment of structural cells where organ specific immune responses are initiated (93).

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## AUTHOR CONTRIBUTIONS

MW, SC, EL, and RR performed the literature searches and contributed to draft versions of the manuscript. RR wrote and revised the final version of the manuscript. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

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