

# Complement system part I – molecular mechanisms of activation and regulation

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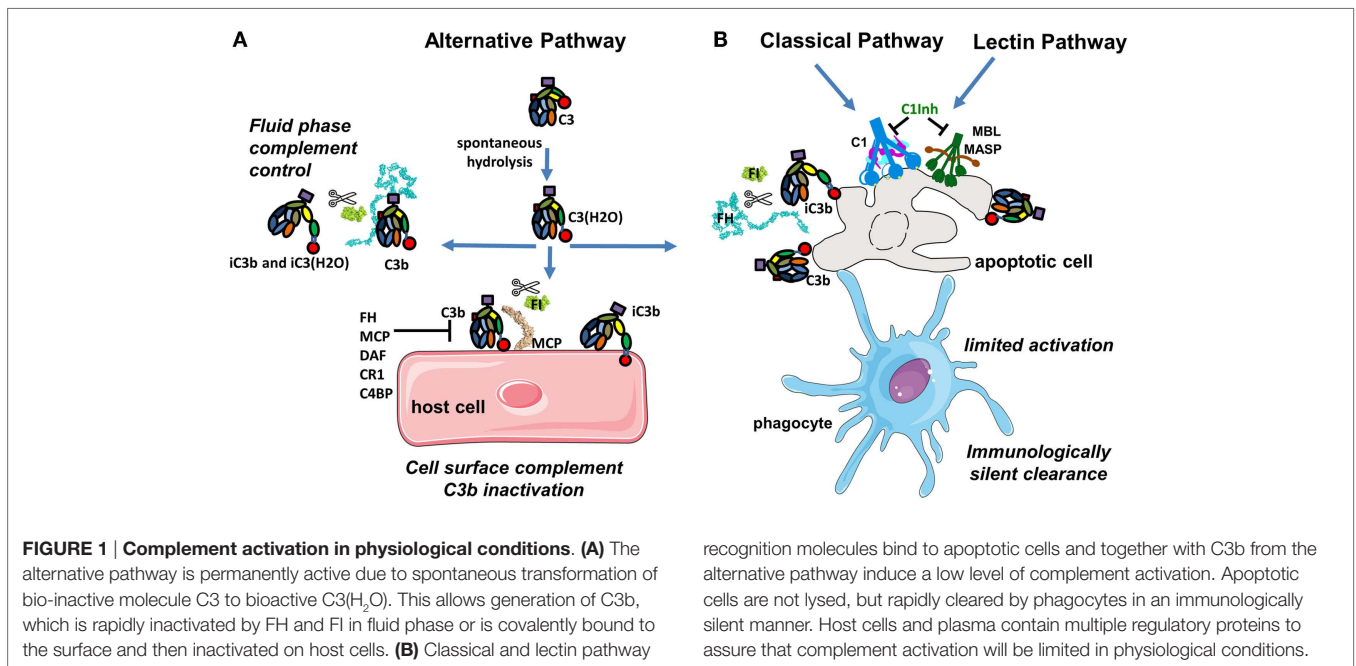
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Complement is a complex innate immune surveillance system, playing a key role in defense against pathogens and in host homeostasis. The complement system is initiated by conformational changes in recognition molecular complexes upon sensing danger signals. The subsequent cascade of enzymatic reactions is tightly regulated to assure that complement is activated only at specific locations requiring defense against pathogens, thus avoiding host tissue damage. Here, we discuss the recent advances describing the molecular and structural basis of activation and regulation of the complement pathways and their implication on physiology and pathology. This article will review the mechanisms of activation of alternative, classical, and lectin pathways, the formation of C3 and C5 convertases, the action of anaphylatoxins, and the membrane-attack-complex. We will also discuss the importance of structure–function relationships using the example of atypical hemolytic uremic syndrome. Lastly, we will discuss the development and benefits of therapies using complement inhibitors.

**Keywords:** complement system proteins, complement regulatory proteins, structure–function relationships, anaphylatoxins, membrane-attack-complex, classical complement pathway, alternative complement pathway, endothelial cells

## Introduction

Complement is a central part of the innate immunity that serves as a first line of defense against foreign and altered host cells (1). The complement system is composed of plasma proteins produced mainly by the liver or membrane proteins expressed on cell surface. Complement operates in plasma, in tissues, or within cells (2). Complement proteins collaborate as a cascade to opsonize pathogens and induce a series of inflammatory responses helping immune cells to fight infection and maintain homeostasis. The complement system can be initiated depending on the context by three distinct pathways – classical (CP), lectin (LP), and alternative (AP), each leading to a common terminal pathway. In a healthy individual, the AP is permanently active at low levels to survey for presence of pathogens (**Figure 1A**). Healthy host cells are protected against complement attack and are resistant to persistent low-grade activation. The three pathways are activated on the surface of apoptotic cells, which are constantly generated within the body during normal cellular homeostasis



(Figure 1B). This complement activation is tightly regulated to eliminate dying cells without further activation of other innate or adaptive immune components. Complement is only fully activated in cases of pathogen infection. During an infection, complement leads to inflammation, opsonization, phagocytosis, and destruction of the pathogen and ultimately results in activation of the adaptive immune response (Figure 2). Both inefficient and over stimulation of complement can be detrimental for the host and are associated with increased susceptibility to infections or non-infectious diseases, including autoimmunity, chronic inflammation, thrombotic microangiopathy, graft rejection, and cancer.

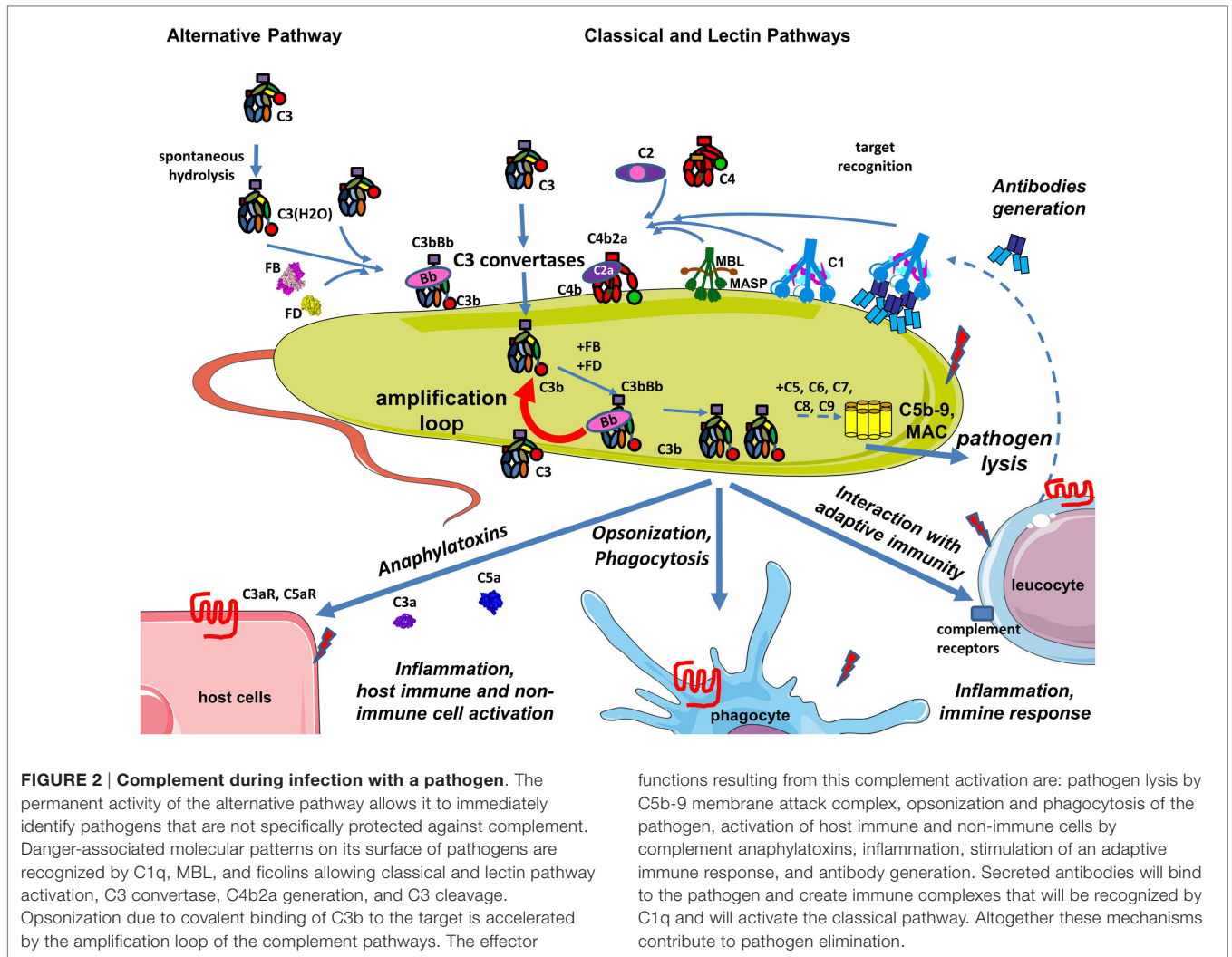
In this review, we discuss recent advances in the molecular and structural basis of activation and regulation of the complement pathways followed by the discussion of one complement-mediated disease – atypical hemolytic uremic syndrome (aHUS) to illustrate how the knowledge of the structure–function relationships between complement proteins helps to understand aHUS pathophysiology and aid in the development of targeted therapy. In the second part of this review, published in the same issue of *Frontiers in Immunology*, we provide a detailed review of the literature related to the role of the complement system in immunity (3).

## Complement Activation During Normal Homeostasis and Pathogen Infection

The central component of the complement system is  $C_3$ . The activation of each of the three pathways (CP, LP, and AP) results in cleavage of inactive  $C_3$  protein into the functional fragments  $C_3a$  and  $C_3b$ .  $C_3a$  is an inflammation mediator and  $C_3b$  is an opsonin, which can bind covalently and tag any surface in the immediate proximity to the site of its generation.

## Complement Tick-Over in the Alternative Pathway

In the plasma, during normal physiological conditions, the dominant active complement pathway is the AP (Figure 1A). The AP monitors for pathogen invasion by maintaining a low level of constitutive activation by a process known as tick-over (4). Tick-over is the spontaneous hydrolysis of a labile thioester bond, which converts  $C_3$  to a bioactive form  $C_3(H_2O)$  in the fluid phase (5). The rate of hydrolysis of  $C_3$  to  $C_3(H_2O)$  can be accelerated by interactions between  $C_3$  and a number of biological and artificial interfaces, including gas bubbles, biomaterial surfaces, and lipid surfaces and complexes (6). Upon hydrolysis, the thioester domain (TED) of  $C_3$  undergoes a dramatic structural change that exposes a binding site for another member of the AP called Factor B (FB). The  $C_3(H_2O)$ -bound FB is then cleaved by a serine protease (SP) Factor D (FD) allowing formation of a fluid phase  $C_3$  convertase complex  $C_3(H_2O)Bb$ .  $C_3(H_2O)Bb$  is able to interact and cleave native  $C_3$  molecules to  $C_3a$  and  $C_3b$  (5, 7–11). During normal physiological conditions, this  $C_3$  convertase constantly generates small amounts of  $C_3b$ , which is able to bind covalently via its TED domain to any adjacent surface containing hydroxyl groups. Nevertheless, not all hydroxyl groups attract equally  $C_3b$  (12). The -OH in the 6th position appears to be more reactive than the average -OH group in sugars. Therefore, the particular sugars composition of the pathogen surface will determine the efficacy of complement activation.  $C_3b$  will bind covalently to a surface that is located within about 60 nm from the convertase, due to the fact that the half-life of the thioester in  $C_3b$  is  $\approx 60 \mu s$  with a poor attachment efficiency of 10% (13). On host cells, bound  $C_3b$  molecules are rapidly inactivated by an army of membrane-expressed or fluid phase-recruited complement regulators (described in detail below). A tick-over



mechanism for spontaneous activation of the CP has also been suggested, but the molecular interactions of this process are not well understood (14).

### Clearance of Apoptotic Cells

Apoptosis, programmed cellular death, is a process of normal cellular homeostasis and in healthy individuals everyday billions of cells die by this mechanism. Complement activation occurs on apoptotic cells with low levels of C3b deposition to facilitate their elimination without releasing danger signals, which could lead to further immune responses (15, 16) (Figure 1B). This complement activation occurs by membrane alterations and by decreased expression of complement regulators on the membrane of apoptotic compared to resting cells. The silent clearance of the apoptotic cells is assured by the binding of the initiators of the CP (C1q) and LP [Mannose-Binding Lectin (MBL) and ficolins]. These initiator proteins interact with receptors on phagocytic cells (immature dendritic cells or macrophages), which elicit immune tolerance and prevent immune responses toward self-antigens (17–20).

### Pathogen Elimination

On pathogens that lack specific regulators of complement, C3b interacts with FB and FD to form a surface-bound C3 convertase as part of the AP, which cleaves C3 into C3a and C3b. Maximum complement activation is achieved during pathogen recognition leading to a pro-inflammatory milieu, contributing to generation of an adaptive immune response and rapid elimination of the pathogen (Figure 2). Complement-derived anaphylatoxins have potent inflammatory mechanisms including recruitment of phagocytes to the site of infection and activation of leukocytes, endothelial cells, or platelets. Upon activation, terminal complement components also have direct lytic capacity to kill pathogens.

The CP and LP have a critical role in pathogen recognition and initiation of the complement cascade. However, the AP assures more than 80% of the terminal complement activity during pathogen recognition (21). Additional AP C3 convertases are formed on the C3b molecules generated either by CP activation or the AP C3 convertases. This chain reaction amplifies opsonization of the target and increases generation of anaphylatoxins. This

amplification loop augments the effect of all pathways and is the heart of the complement cascade (22).

## Structural Basis of Complement Activation and Regulation

### Target Recognition and Initiation of Complement Pathways

The CP and LP have clearly identified recognition molecules, C1q, MBL, and ficolins, which trigger each pathway only when and where it is necessary. The recognition event induces a structural change in the recognition molecule, which in turn induces the activation of enzymes able to cleave the subsequent molecules in the cascade and generate the central enzymatic complexes of complement, CP and AP C3 convertases. The AP lacks a traditional target recognition molecule as an initiator. However, several molecules, such as properdin and P-selectin, can recruit C3(H<sub>2</sub>O) and C3b to the cell surface and serve as local initiators of the AP.

### Recognition Molecules of the Classical and Lectin Complement Pathways

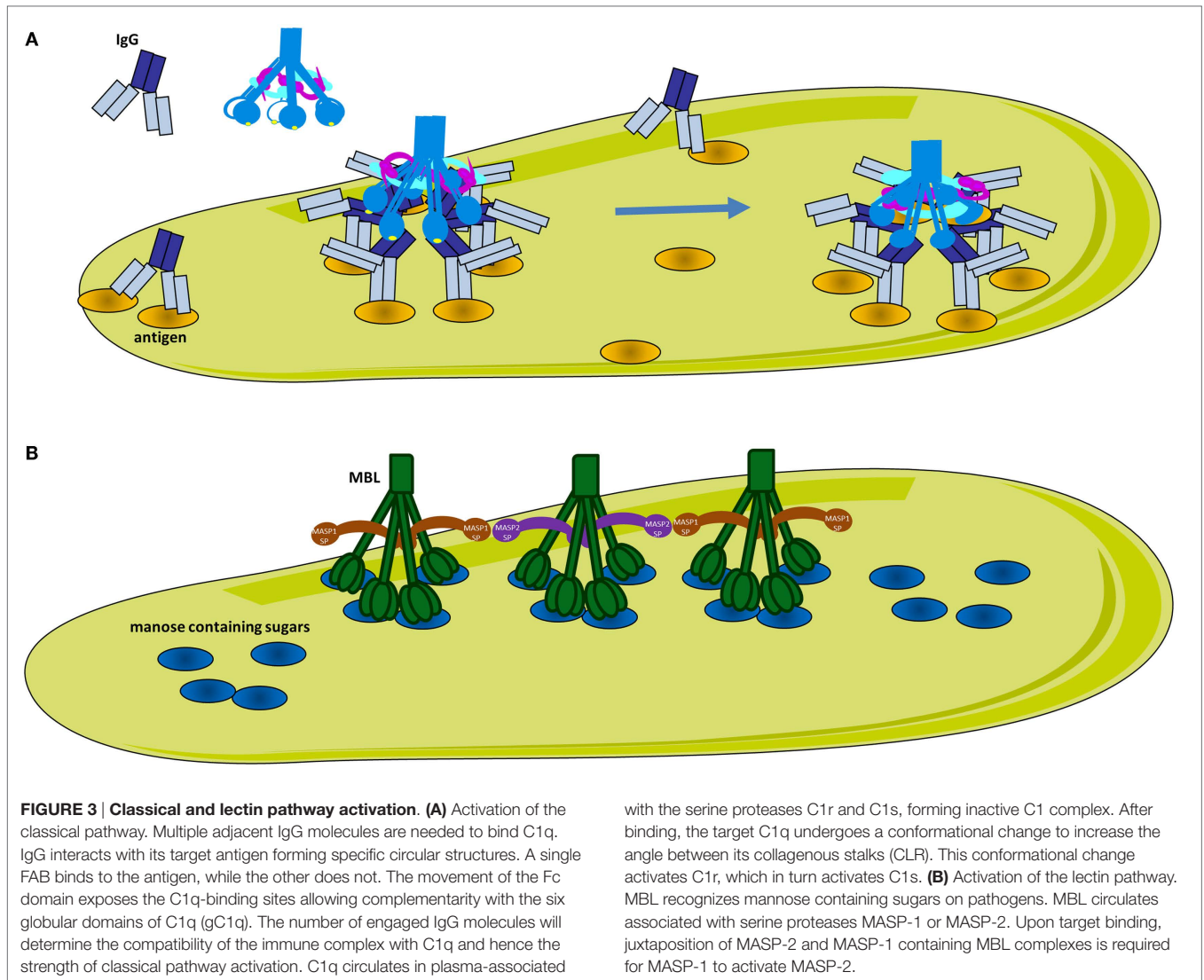
Complement pathway and LP are triggered after interaction of a pattern-recognition molecule with the target structure. The recognition molecule of the CP, C1q, has an extra-hepatic origin and is produced mainly by immature dendritic cells, monocytes, and macrophages (23). It has a complex, described as a “bouquet of flowers” topology (**Figure 3A**), composed of 18 chains of three types (A, B, and C), forming six globular target recognition domains (gC1q) attached to a collagen-like region (CLR). Each gC1q domain carries a Ca<sup>2+</sup> ion, which maintains domain stability and regulates the electrostatic field (24). C1q recognizes mostly charged patterns and can bind to more than 100 different target molecules, including IgG and IgM containing immune complexes and surface-bound pentraxins [C-reactive protein (CRP), pentraxin 3 (PTX-3)] (25). Therefore, CP can be activated in either an immune complex-dependent and -independent manner. Mapping of the target molecule-binding sites on gC1q by a site-directed mutagenesis, revealed that charged residues on the apex of the gC1q heterotrimer (with participation of all three chains), as well as, the side of the B-chain are crucial for binding to IgG, IgM, CRP, and PTX-3. These binding sites are not identical, but partially overlapping (24, 26–29). C1q recognizes pathogen-associated molecular patterns including lipopolysaccharide (LPS) (30) and bacterial porins (31). The gC1q domain also recognizes molecules, exposed on the surface of dying cells (32, 33), including phosphatidylserine (34, 35), double stranded DNA (36, 37), glyceraldehyde-3-phosphate dehydrogenase (GAPDH) (38), annexins A2 and A5 (39), and calreticulin (35, 40–42). The most well-characterized target recognition molecule of the LP is the MBL, which recognizes carbohydrates (43). MBL has a similar overall structure to C1q, but exists in multiple oligomeric forms (trimers, tetramers, and higher ordered oligomers) (**Figure 3B**). C1q and MBL associate in a Ca-dependent manner with SP complexes, consisting of C1r and C1s for the CP (44, 45) and MBL-associated serine proteases (MASP) for the LP (46, 47). In absence of Ca<sup>2+</sup> ions (such as in plasma samples collected in EDTA), C1q and MBL cannot interact with C1r<sub>2</sub>C1s<sub>2</sub> and MASPs, respectively and CP and LP activation

is prevented. In the presence of Ca<sup>2+</sup> ions, after activation, SPs cleave subsequent complement components C4 and C2. The resulting complex C4b2a is the C3 convertase for CP and LP. This C3 convertase has enzymatic activity and is able to cleave the central complement component C3 to bioactive fragments C3a and C3b.

### Mechanism of Activation of the Classical Pathway

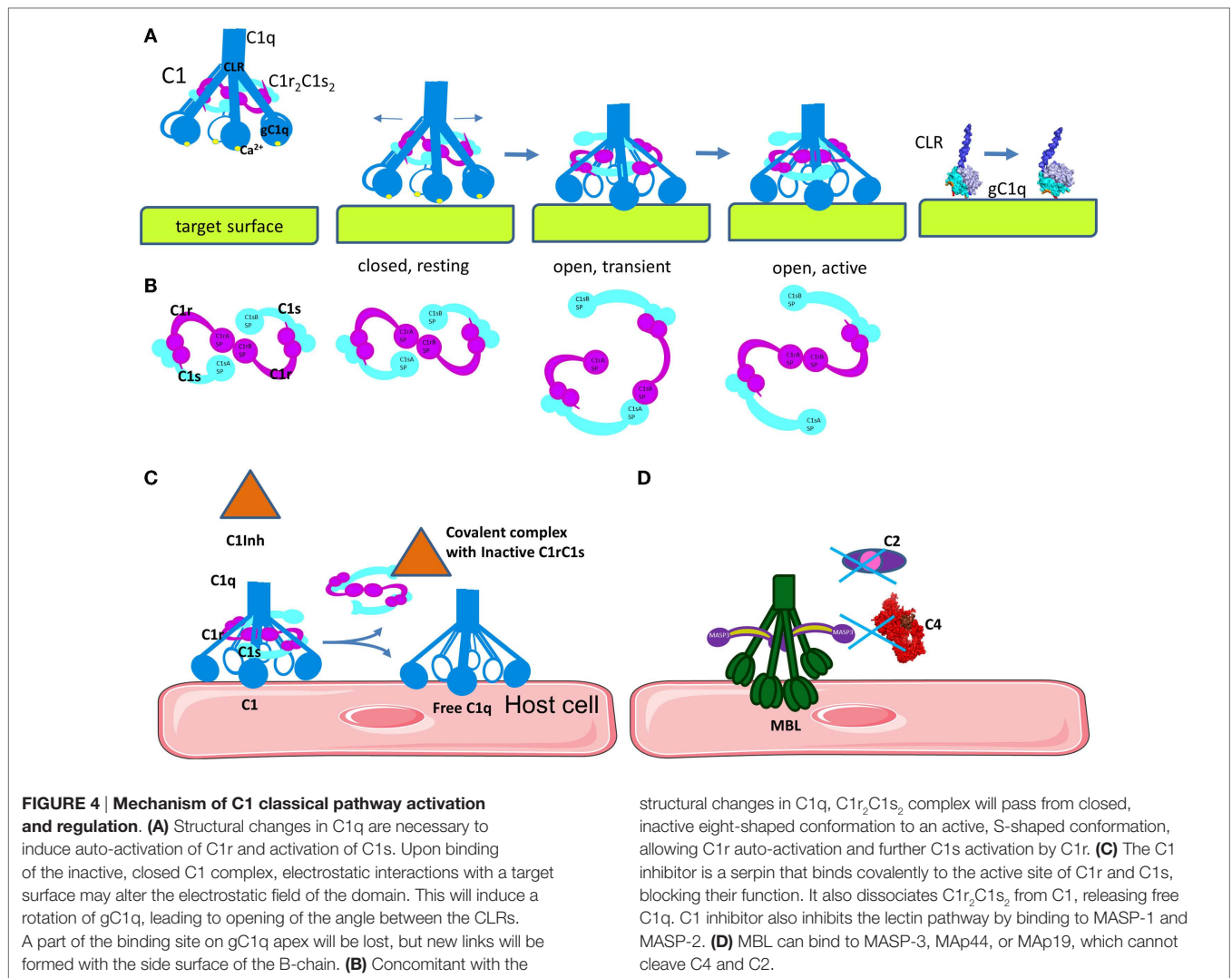
Recent studies have shed light on the molecular mechanisms of activation of CP and LP. It has long been established that C1q requires one surface-bound IgM or several IgG molecules in close proximity in order to interact with several of its globular domains and to activate complement. However, the molecular mechanisms and the C1-antibody stoichiometry required for optimal activation remain poorly understood (48). IgM is a planar polymeric molecule (pentamer or hexamer), in which C1q-binding sites are hidden. A conformational change occurs upon binding to an antigen (staple conformation), leading to exposure of C1q-binding sites. Contrary to IgM, IgG is a monomer and despite the presence of the C1q-binding sites, only very low affinity binding can be achieved. The epitope distribution of the antigen and the density of the IgG binding determine the level of complement activation, however the molecular mechanisms were unknown until recently. Diebold and colleagues demonstrated that specific non-covalent interactions between Fc fragments of IgG and formation of ordered antibody hexamers on the antigen surface are needed for efficient C1q-binding (**Figure 3A**) (49). Their proposed model could explain the strong antigen and epitope dependency of complement activation. Efficient C1q-binding could only occur upon formation of a platform of IgG Fc fragments with a steric compatibility for gC1q domains. Clustering of IgG molecules on the antigen surface could be affected by antigen size, density, and fluidity (50, 51) such that smaller antigen-antibody complexes will allow only moderate complement activation. In addition, binding stoichiometry is further complicated by antibodies fluidity on surfaces of regularly spaced epitopes. It has been demonstrated that IgG exhibit “bipedal” stochastic walking forming transient clusters that might serve as docking sites for the C1q-binding and complement activation (52).

Once C1q binds to its target surface, a conformational change is required to transmit the signal from the gC1q domain via the CLR to induce auto-activation of C1r (**Figure 4A**) (53). Molecular modeling, mutagenesis, and disease-associated mutation analysis revealed the structure of the C1r<sub>2</sub>C1s<sub>2</sub> binding site in the cone of collagenous arms of C1q (44, 54, 55). The C1r<sub>2</sub>C1s<sub>2</sub> proenzyme tetramer within the C1 complex in a resting state adopts an eight-shaped form (**Figure 4B**). Upon activation, conformational changes in the tetramer allow transition to an S-shaped active form, passing through a transition state. This conformational change allows auto-activation of the C1r SP domain. Subsequently, activated C1r will cleave and activate C1s. The driving force for this auto-activation of C1r is an increase of the angle between the collagen stalks of C1q (48). However, the mechanism for this structural change is poorly understood. Mutagenesis experiments have revealed that residues on the apex and the lateral surface of the B-chain of gC1q are important for IgG, IgM, CRP, or PTX-3



interactions (27–29). Taking into account the surface morphology of gC1q and its targets, it is difficult to contemplate how these residues can engage simultaneously in binding. One possibility is that these residues form binding sites, which make contact with the target subsequently and not simultaneously (**Figure 4A**). These data, combined with the importance of the  $\text{Ca}^{2+}$  ions for the electrostatic field of C1q (24), and the induced conformational change leading to an increased angle between the collagenous stalks by gC1q and target interaction (48, 56–60) has led to the proposal of an electrostatic model for the activation of the C1 complex (**Figure 4A**). This model suggests that the increase in the angle between the collagen stalks occurs because of a rotation of the gC1q domains, driven by electrostatic interactions between gC1q and the target molecule (IgG, IgM, or CRP) (24). Interactions between the negatively charged binding sites on the target may cause a removal of the  $\text{Ca}^{2+}$  ion from gC1q. Loss of  $\text{Ca}^{2+}$  changes dramatically the size and orientation of the electric moment vector of gC1q. This electrostatic change can induce the rotation of gC1q allowing it to engage the lateral surface of the B-chain. This rotation may provide

the mechanical stress necessary for the transition of the  $\text{C1r}_2\text{C1s}_2$  complex from closed, inactive eight-shaped conformation to an active, S-shaped conformation, allowing C1r auto-stimulation and further C1s activation by C1r. In this active form allows the tetramer to unfold and to extend its C1s ends outside the C1q cone for interaction with C4 and C2. Cleavage of C4 and C2 by C1s allows formation of the CP C3 convertase in the immediate proximity to the C1 complex-binding site (44, 45, 53, 61). It is a matter of debate whether the C1s catalytic domain faces the exterior or the interior of the C1q cone. If the recognition and cleavage of C4 occurs inside the cage-like structure of the cone of C1 (62), this may increase the efficacy of the covalent binding of the bioactive cleavage product C4b to the surface. However, it is still unclear what would be the driving force to assure the entrance of both C4 and C2, as well as the substrate molecules of C3, in this confined space. These data imply that one C1 complex will have limited efficacy, generating only one or two C3 convertases as a result of steric hindrance. It is too few compared to the experimental evidence that one activated C1 complex generates about 35 C4 molecules during its lifespan (63).



In order to understand the exact architecture of the C1 complex, further investigation will be required.

### Mechanism of Activation of the Lectin Pathway

The pattern-recognition molecules of the LP are MBL, collectins, as well as ficolins H, L, and M (64, 65). The LP pattern-recognition molecules have an N-terminal collagenous region similar to C1q, however their C-terminal domains differ from gC1q. Collectins contain carbohydrate recognition domains, which recognize sugar patterns. MBL, which belongs to the collectin family, recognizes terminal monosaccharide exposing horizontal 3'- and 4'-OH groups (glucose, mannose, and *N*-acetyl-glucosamine) in a Ca-dependent manner. These sugars are rarely present on host proteins and cell surfaces, but frequently expressed on bacteria, viruses, and dying cells. Ficolins are associated with MASPs protein in the circulation and have C-terminal recognition fibrinogen-like domains, which are able to bind acetyl groups, such as *N*-acetyl-glucosamine, on the surface of bacteria. Following binding, MASPs associated with MBL or ficolins are activated and result in the cleavage of C4 and C2 (66, 67). Similar to C1q, stable binding can be achieved only

when the ligands are clustered on the surface forming a specific pattern. This complex can simultaneously engage several carbohydrate recognition domains or fibrinogen-like domains for collectins and ficolins respectively.

Despite the similarity between the architecture of the C1 and MBL/MASP complexes, the mechanism of activation of the LP is different than the classical one (64). While in the CP each C1 complex carries both C1r and C1s, the majority (~70%) of the MBL molecules present in plasma are associated with only one homodimer of either MASP-1 or MASP-2 to assure their separation (Figure 3B) (68). In physiological conditions, MASP-1 is required for the activation of MASP-2 and both activated proteases can cleave C2 while MASP-2 can also cleave C4. Auto-activation properties confer to MASP-1 a fluctuating state between inactive and active-like conformations, giving it a key role in LP activation (69–74). Auto-activation of MASP-2 provides a residual capacity (~10%) to cleave its natural substrate C4 in zymogen form (75). Since MASP-1 and 2 are associated with different MBL or ficolin molecules, they are required to juxtapose their recognition molecules on ligand surfaces to facilitate activation of different

MASPs (76). Therefore, MASP-1 from one complex will activate MASP-2 from the adjacent complex, allowing C4 cleavage (77). MASP-3 also influences LP activation.

The described mechanisms of activation of the CP and LP illustrate two of the key characteristics of the complement cascade. Complement activation relies on the versatility of the target patterns recognition molecules (C1q, MBL, ficolins) that can discriminate between self and non-self and bind to pathogen- or danger-associated molecules. These molecular patterns are often generated after a specific conformational changes, such as with IgM or particular clustering, such as with IgG, CRP, or pathogen-associated carbohydrates. Complement is driven by these conformational changes that transmit a signal as a result of the recognition event to the subsequent complement components, activating them or modulating their function.

### Regulation of the Classical and Lectin Pathways Initiation

Activation of the CP is controlled by a serpin molecule, C1 Inhibitor (C1Inh). C1Inh binds and inactivates C1r and C1s, leading to dissociation of the C1 complex and liberation of free C1q leaving an inactive covalent complexes between C1Inh and C1r or C1s (**Figure 4C**) (78, 79). C1Inh is thought to bind to and stabilize unactivated C1r and C1s in the C1 complex thus retarding their spontaneous activation (80), but this function is poorly studied. C1Inh has additional functions outside complement inactivation, related to kinin pathway (a plasma system related to inflammation, vasodilatation, and pain). Angioedema is disease caused by hereditary or acquired C1Inh deficiency. The edemas are triggered by increased permeability of the blood vessels in response to elevated levels of bradykinin as a result of the C1Inh deficiency. Recombinant and plasma-derived C1Inh are approved therapeutic agents for hereditary angioedema (81).

C1q inhibitors released under physiological or pathological conditions such as chondroitin-4 sulfate proteoglycan and the hemolysis derivative heme can bind to C1q and inhibit the CP (55, 82). The mechanism of action of heme, as well as a number of synthetic C1q inhibitors, rely on binding to gC1q and alteration of its electrostatic properties (55, 83). Calreticulin, released during cell death or from parasites can also act as an inhibitor of C1q to prevent CP activation (84, 85).

Inhibition of the LP is influenced by MASP-3, MAp44, and MAp19 proteins, which share high sequence homology with MASP-1 and -2 and have similar binding affinity to MBL and ficolins (70, 86). These proteins may compete with MASP-1 and -2, but are unable to cleave MASP, C2, and C4 preventing further activation of the LP cascade (**Figure 4D**). In addition, C1Inh is able to control LP activation by inhibiting MASP-1 and MASP-2 but not MASP-3 activity (87, 88).

### Platforms for Surface Assembly of the Alternative Pathway C3 Convertase

C3b can bind to the cell surface not only via its own thioester bond, but also by interacting with surface molecules that serve as platforms for C3b recruitment (**Figure 5**). Recently, it has been observed that C3b and C3(H<sub>2</sub>O) can also bind to the cell surface by these platforms resulting in local activation of the AP.

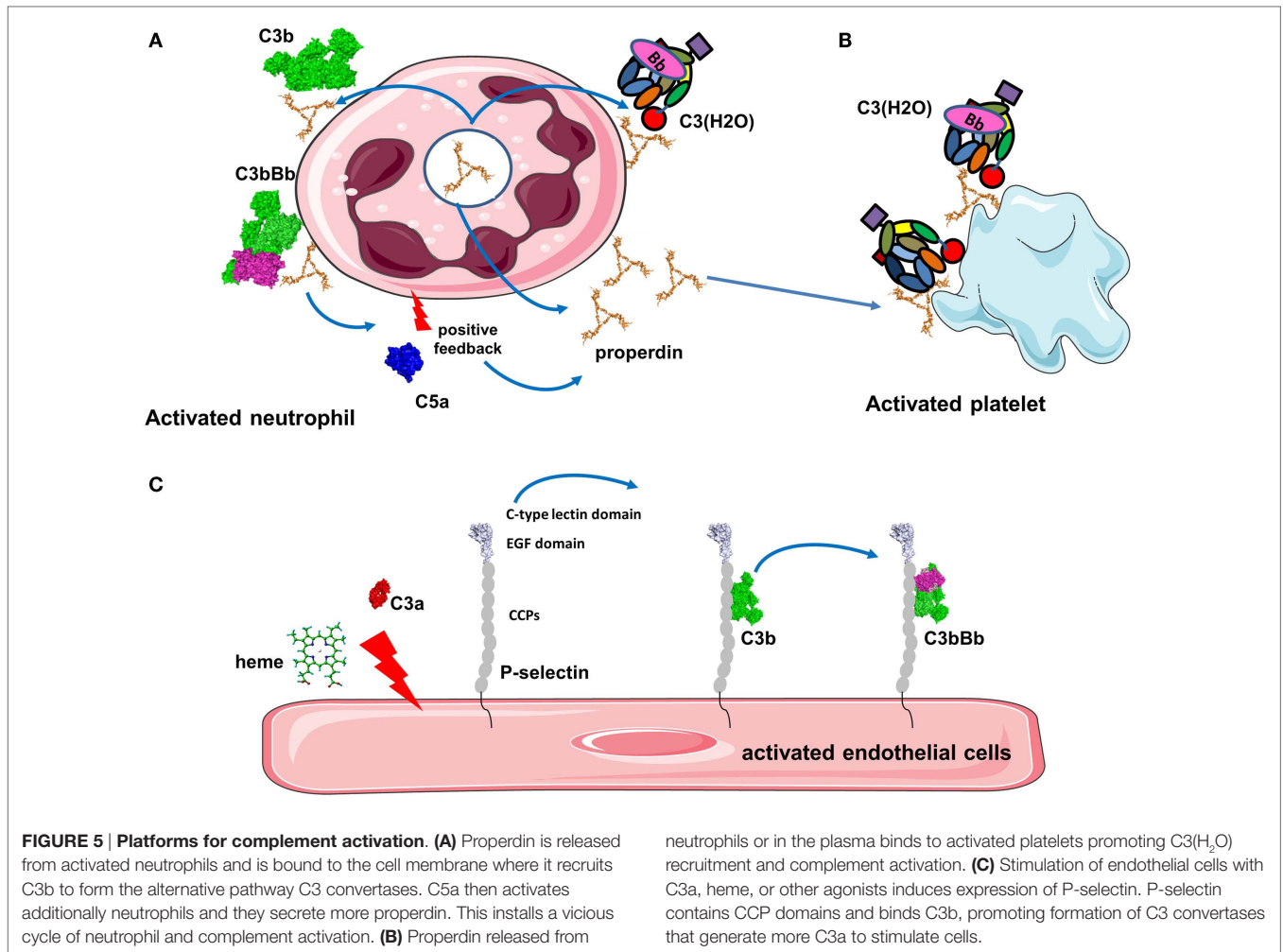
Although activated platelets are the predominant cell type that binds C3(H<sub>2</sub>O) (89, 90), the exact molecules it binds are not yet well defined. Nevertheless, several molecules are likely candidates for activated C3 recruitment on different cell types.

Properdin, which stabilizes the alternative C3 convertase (91), is able to bind pathogens, activated or damaged host cells to induce stimulation of the AP (**Figure 5A**) (92, 93). Properdin recognizes heparin and heparan sulfate on tubular cells leading to complement activation (94). Properdin contributes to the AP activation on human neutrophils and has been observed in neutrophil-mediated diseases (95). Degranulation stimuli on neutrophils induce low properdin release and deposition, triggering AP by recruiting C3b and promoting C3 convertase formation. Plasma properdin is also required for an efficient C3b feedback loop and amplified AP activation (95). Generation of C5a also further stimulates properdin release and amplifies complement activation and neutrophil stimulation. Moreover, it has been demonstrated that myeloperoxidase secreted by neutrophils during degranulation binds to properdin and leads to the AP activation (96). Properdin released by activated neutrophils can also bind to activated platelets. In the absence of C3, properdin can also bind directly to platelets after interaction with a strong agonist and serves as a platform for recruitment of C3b or C3(H<sub>2</sub>O) and C3 convertase formation (**Figure 5B**) (90). This complement activation may contribute to localization of inflammation at sites of vascular injury and thrombosis.

Another protein, which can recruit C3b to a cell surface is complement Factor H (FH)-related protein 4A (CFHR4A). This protein shares sequence homology with FH and is able to bind C3b via its C-terminal domain. CFHR4A lacks regulatory domains and cannot inactivate C3b. Even more, it has been suggested that CFHR1A can serve as a platform for the alternative C3 convertase formation. Formed convertase on CFHR4A had a higher resistance to FH-mediated decay.

P-selectin (CD62P) recruits leukocytes via binding to P-selectin glycoprotein ligand 1 (PSGL-1) (97) and has been described to bind C3b on the cell surface leading to the activation of AP (**Figure 5C**) (98, 99). Morigi et al. showed the effect of P-selectin as a platform for C3 convertase formation *in vitro* and in a murine model of Shiga toxin (Stx2)/LPS-induced hemolytic uremic syndrome (HUS) (99). P-selectin expression was partially triggered by the anaphylatoxin C3a contributing to a vicious circle of complement activation aggravating microvascular thrombosis HUS pathology (99).

Another activator of C3 convertase, heme, is released from hemoglobin during hemolysis, where it stimulates the AP. Heme induces deposition of C3 activation product in erythrocytes and has been shown to play a role in malaria pathogenesis (100, 101). Heme binds C3 (not C3b), likely near to the TED domain, leading to the generation of C3(H<sub>2</sub>O) and homophilic C3 complexes associated with overactive C3/C5 convertases (102). Furthermore, *in vitro* experiments on human EC have shown that heme-induced mobilization of specific EC granules that store von Willebrand Factor and P-selectin called Weibel Palade bodies, is at least in part induced by TLR4 (102, 103). This TLR4 stimulation lead to degranulation of P-selectin accompanied by C3b and C3(H<sub>2</sub>O) binding to the cell surface of EC. Heme is a hydrophobic molecule that binds to lipid bilayers and it is hypothesized that cell-bound heme may serve as a platform to recruit C3(H<sub>2</sub>O) (102).



Collectively, these examples lead us to propose a general mechanism for a positive feedback loop implicating protein platforms in tissue damage. An initial trigger will stimulate the cell to either express a platform protein (properdin for neutrophils or P-selectin for EC and platelets) or to bind molecules from the fluid phase (properdin, CFHR4A, or heme in case of hemolysis). The type of the platform will likely depend on the cell type, location of activation, and other yet undiscovered factors. C3(H<sub>2</sub>O) will bind to these platforms and will initiate local complement activation and C3b deposition. The amplification loop will generate C3a and C5a, which upon binding to their receptors (described below) will augment cell activation and increase expression of platform proteins stored in intracellular granules or recruited from the plasma. These events will form an intensified circle resulting in local inflammation, thrombosis, and tissue damage.

## Structure and Function of the C3 Convertases

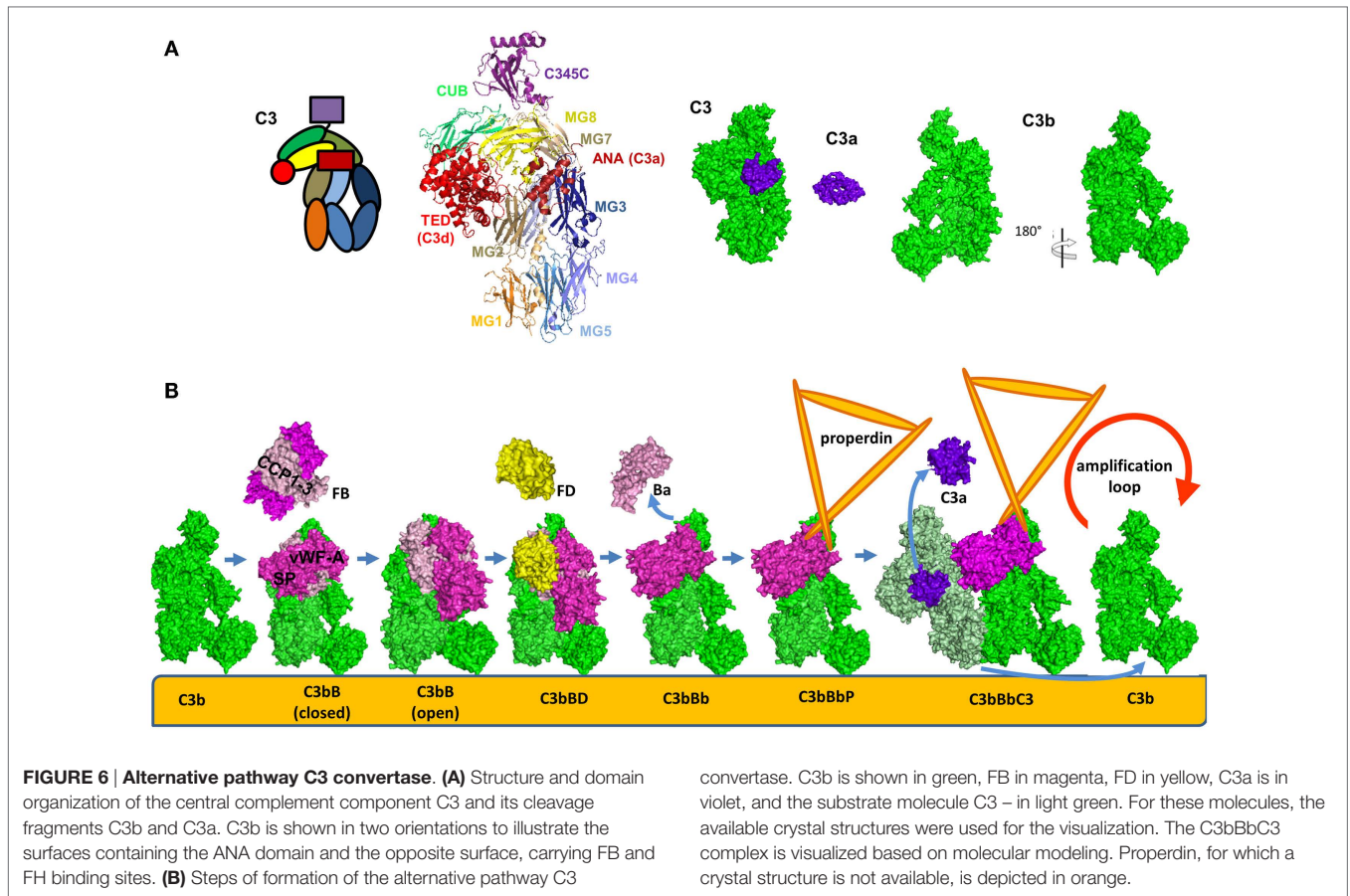
### Alternative Pathway C3 Convertase

The structure and function of the AP C3 convertase has been dissected during the few last years. Upon cleavage and removal

of C3a, C3b undergoes a dramatic structural change (**Figure 6A**) leading to exposure of novel binding sites. This allows recruitment of FB which binds in a Mg<sup>2+</sup>-dependent manner and yields the pro-convertase C3bB (**Figure 6B**) (104). This interaction occurs via the Von Willebrand Factor A-type (VWF-A) domain and three complement control protein (CCP1-3) domains of FB (104, 105). The catalytic SP domain of FB undergoes large conformational changes oscillating between a closed (loading) and an open (activation) forms (**Figure 6B**) (104–106). In the open (activation) conformation, the scissile bond is exposed and the FD binding site is formed correctly.

Factor D is synthesized in an inactive pro-FD enzyme lacking proteolytic activity (107). It was suggested that this zymogen form can be cleaved by MASP-1/3 into a form with limited activation to support the basal levels found in the AP (108, 109) and becomes fully activated only upon binding to C3bB open complex. The physiological relevance of MASPs-mediated cleavage of pro-FD is still being debated. MASPs cleavage is not the only mechanism for FD activation, since mice deficient in MASP-1/3 have reduced but detectable AP activity (110) and the only patient found to be deficient in MASP-1 and -3 was reported to have a normal AP activity (111). Further studies are needed to elucidate the





**FIGURE 6 | Alternative pathway C3 convertase. (A)** Structure and domain organization of the central complement component C3 and its cleavage fragments C3b and C3a. C3b is shown in two orientations to illustrate the surfaces containing the ANA domain and the opposite surface, carrying FB and FH binding sites. **(B)** Steps of formation of the alternative pathway C3

convertase. C3b is shown in green, FB in magenta, FD in yellow, C3a is in violet, and the substrate molecule C3 – in light green. For these molecules, the available crystal structures were used for the visualization. The C3bBbC3 complex is visualized based on molecular modeling. Properdin, for which a crystal structure is not available, is depicted in orange.

mechanism of FD activation in mice and men. Insights into this pathway could help lead to the development of MASP-1 inhibitors as a strategy to treat renal diseases associated with uncontrolled AP activation.

Upon activation, FD binds to and cleaves C3b-bound FB releasing the N-terminal fragment Ba (comprising the CCP1-3 and the  $\alpha$ L helix). This results in the formation of the AP C3bBb (Figure 6B) (112). The Bb fragment consists of a VWF-A and a SP domain. The SP domain undergoes a new structural change and is positioned in a conformation, similar to the closed form of the pro-convertase (Figure 6B) (113). A substrate molecule of C3 binds then to the alternative C3 convertase and is cleaved generating new C3b and C3a molecules (Figure 6B) (113).

Factor B binds in a similar manner to  $C3(H_2O)$  to form the alternative C3 convertase  $[C3(H_2O)Bb]$  in the fluid phase (114). Even if FB has a higher affinity to  $C3(H_2O)$  than to C3b, the convertase activity remains lower than the C3bBb complex, as measured by C3a released. The fluid phase convertase  $C3(H_2O)Bb$  also has higher resistance to decay by complement inhibitors (114).

### Classical Pathway C3 Convertase

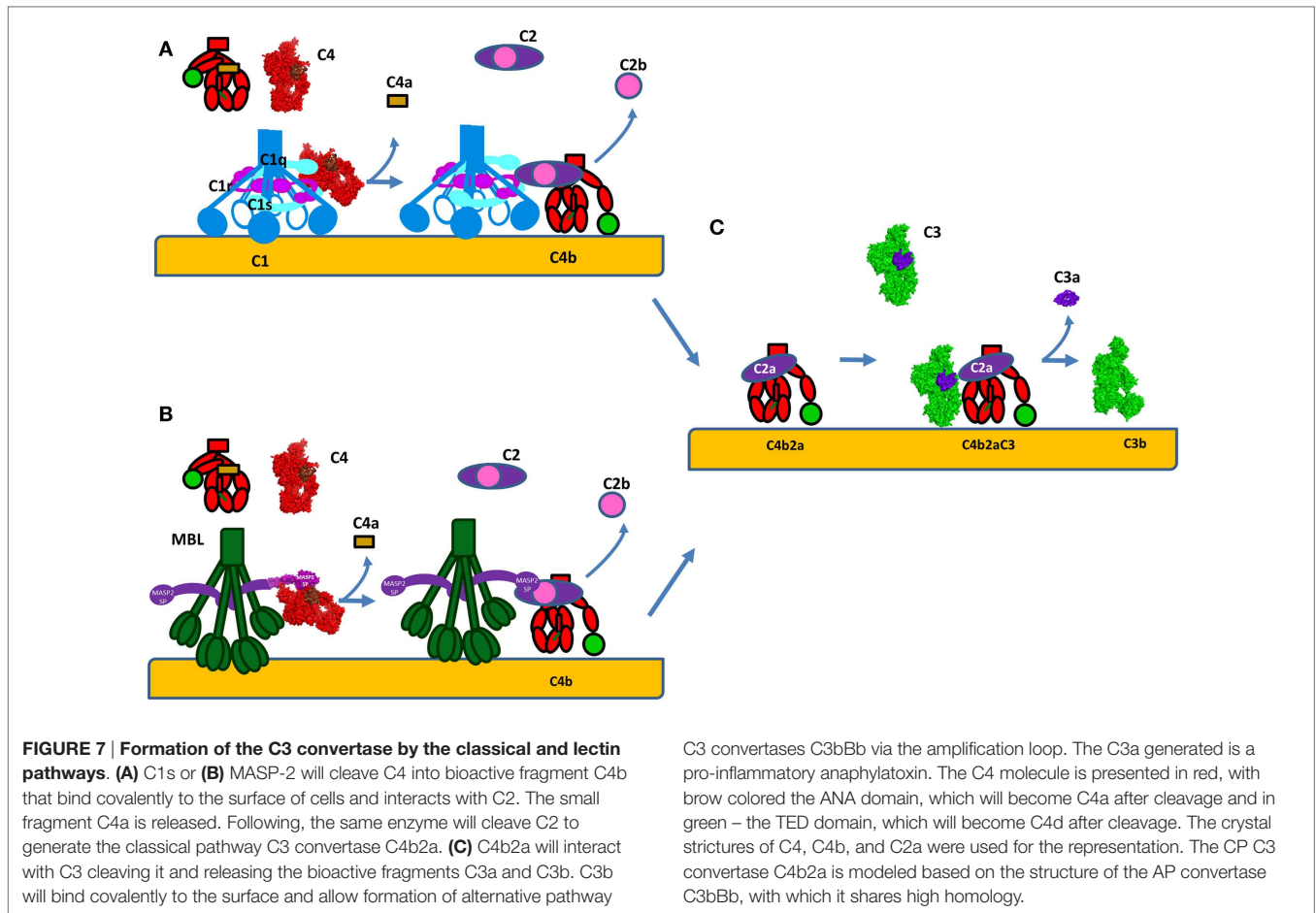
The structure and mode of action of the CP C3 convertase is not well understood. C4 and C2 share high degree of sequence and structure homology to C3 and FB respectively, thus the mechanism of formation of the classical C3 convertase may be

similar to the well-studied AP C3 convertase, described above (Figure 6). C4 is cleaved by activated C1s or MASP-2 to bioactive fragment C4b and a small fragment C4a (Figures 7A,B). C4b contains an internal thioester bond, similar to that in C3b, and forms covalent amide or ester linkages with the antigen–antibody complex or the adjacent surface of a cell. C4b binds C2 in  $Mg^{2+}$ -dependent manner. C2 is then cleaved by C1s or MASPs. Since the concentration of C2 is about 20–30-fold lower compared to C4, one active C1 complex can cleave about 35 C4 molecules, while only 4 C2 will be cleaved for the same time (63). The larger fragment C2a remains bound to C4b and forms the CP C3 convertase C4b2a (Figure 7C) (115) and the smaller fragment C2b is released in the circulation. Historically, C2b was considered to have kinin-like properties, but recent reports failed to confirm this function (116, 117).

The C3 convertases are an excellent example of a general mechanism that governs different steps of the complement cascade. Each subsequent step can only occur after a conformational change, triggered by the preceding step, thus assuring the temporal and specific control of this powerful destruction cascade (118).

### Stabilization of the Alternative Pathway C3 Convertase

The C3bBb is a short-lived complex with a half-life of about 90 s (119) and, therefore a stabilization of this complex is required to assure



efficient host defense (**Figure 6B**) (93). The AP C3 convertase is stabilized 5- to 10-fold by association with properdin (91). Properdin (120) is secreted by monocytes/macrophages and T lymphocytes (121, 122) and is stored in secondary granules of neutrophils (95, 123) and mast cells (124). Properdin is composed of identical rod-like protein subunits (125) that are associated head-to-tail to form cyclic dimers, trimers, and tetramers that resemble rods, triangles, and squares, respectively. The function of properdin is dependent on its level of polymerization, with the tetramer being approximately 10-fold more active than the dimer (126). Purified properdin results in aggregates and has artificially high binding activity (127, 128). Properdin binds C3bBb, as well as, the pro-convertase C3bB and C3b (92). Properdin interacts both with the C345C domain of C3b and the VWF-A domain of Bb, in order to stabilize the convertase (129). Interestingly, electron microscopy studies visualized that properdin binding induces a large displacement of the TED and CUB domain of C3b (129). These structural changes distort the FH binding site (130–132), which may explain the relative resistance of the stabilized C3 convertase to decay by FH.

Recent studies of Hourcade and colleagues demonstrated that properdin is not merely a stabilizer of the C3 convertase, but also a pattern-recognition molecule that binds to microbial surfaces including glycosaminoglycans (GAGs), apoptotic, and necrotic cells providing a platform for C3 convertases assembly (93).

C3 convertases C3bBb via the amplification loop. The C3a generated is a pro-inflammatory anaphylatoxin. The C4 molecule is presented in red, with brown colored the ANA domain, which will become C4a after cleavage and in green – the TED domain, which will become C4d after cleavage. The crystal structures of C4, C4b, and C2a were used for the representation. The CP C3 convertase C4b2a is modeled based on the structure of the AP convertase C3bBb, with which it shares high homology.

## Negative Regulation of the C3 Convertases

The amplification loop is the balance between two competing cycles, acting on the C3b–C3 convertase formation, which enhances both amplification and downregulation via the C3 breakdown cycle. Complement amplification depends on the balance between binding rates of each reaction (22). To regulate activation, several inhibitors of complement pathways, primarily against AP, exist in the fluid phase and on host cells. Complement inhibitors have overlapping functionality. FH works as a soluble inhibitor of the alternative C3 convertase, while membrane cofactor protein (MCP), decay acceleration factor (DAF), and complement receptor 1 (CR1) work as membrane inhibitors. FH is a specific cofactor for C3b and C4 binding protein (C4BP) primarily is a cofactor for C4b, while MCP and CR1 act as cofactors for the inactivation of both C3b and C4b via Factor I (FI).

### Inactivation of C3b and C4b by Factor I

Factor I is a SP found in the plasma that cleaves C3b in presence of different cofactor molecules, such as FH, MCP, CR1, or C4BP (**Figure 9A**). The protease activity of FI leads to the generation of degradation product of C3b, iC3b, which is unable to bind FB (133). A zymogen form of FI has not been detected in the

circulation. In fact, FI circulates in a proteolytic form but in inhibited conformation. The activity of the light chain of FI is allosterically inhibited in the circulation by a non-catalytic heavy-chain (134). In presence of its cofactors, the non-catalytic heavy-chain of FI is released and it is able to cleave C3b between Arg1281 and Ser1282 to give the iC3b fragment (134, 135). Molecular modeling suggested that FI binds FH1–4 and C3b simultaneously in a groove between two proteins, which is in agreement with the hypothesis that FI binds CCP1–3 of FH and C345C of C3b (132).

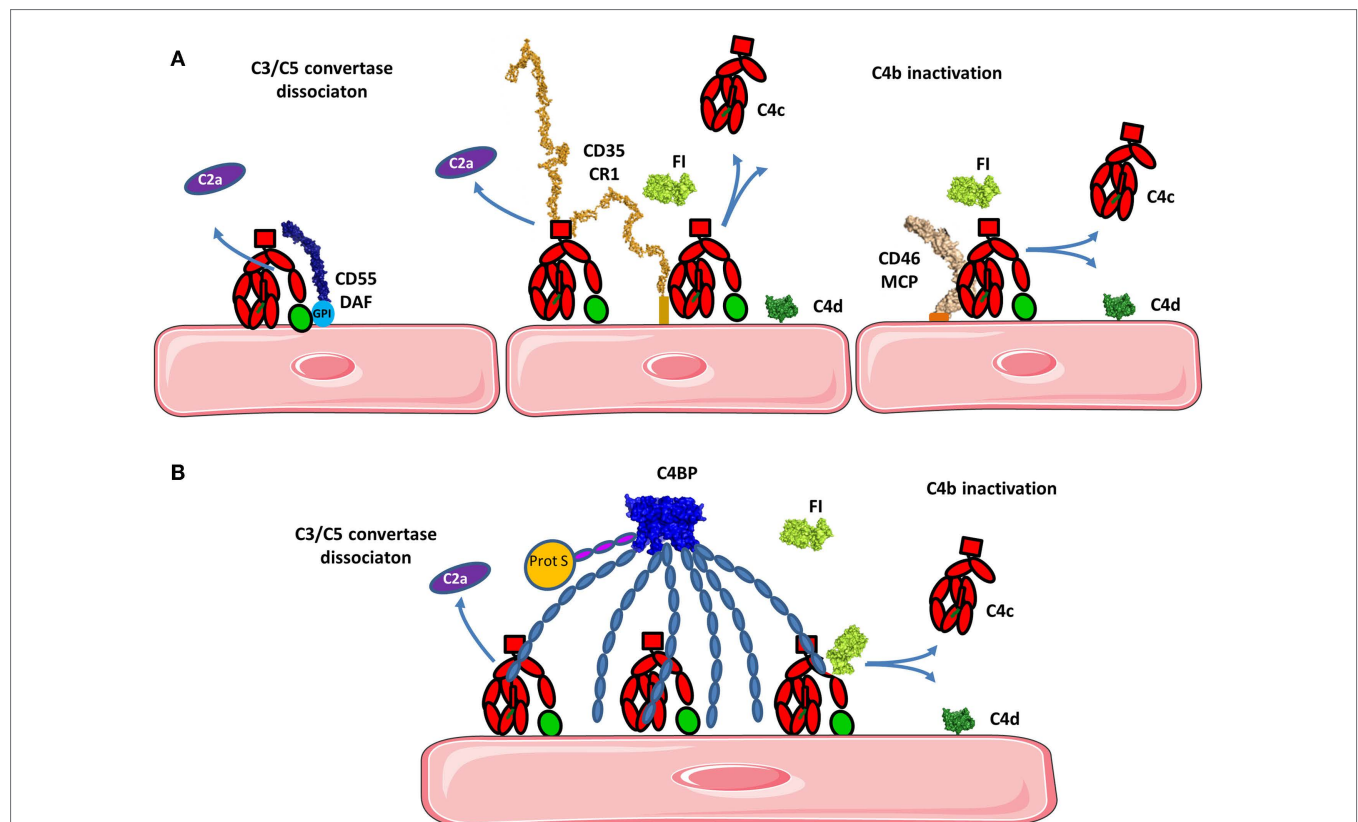
### Factor I Cofactors

Membrane cofactor protein, DAF, and CR1 (CD35) serve as cofactors for FI-mediated proteolysis of C4b and C3b. MCP is composed of 4 extracellular CCP domains expressed on all nucleated cells (136) and CR1 contains 30 extracellular CCP domains and is expressed on leukocytes, erythrocytes, and glomerular podocytes. MCP N-glycosylation on CCP2 and CCP4 is essential for MCP inhibitory activity (137). CCP1–4 of MCP binds to C3b and C4b and is structurally similar to the four N-terminal domains of FH (described below), both proteins serve as cofactors for FI for C3b inactivation (Figure 9A) (132,

138–140). FH only induces C3b-degradation and is inefficient for C4b. CCP-3 and -4 of MCP are responsible for binding to C3b and C4b, while CCP1–2 only interacts with C4b (Figure 8A) (141). The binding site for MCP on C3b is partially overlapping with the site for CCP-3–4 on FH (142).

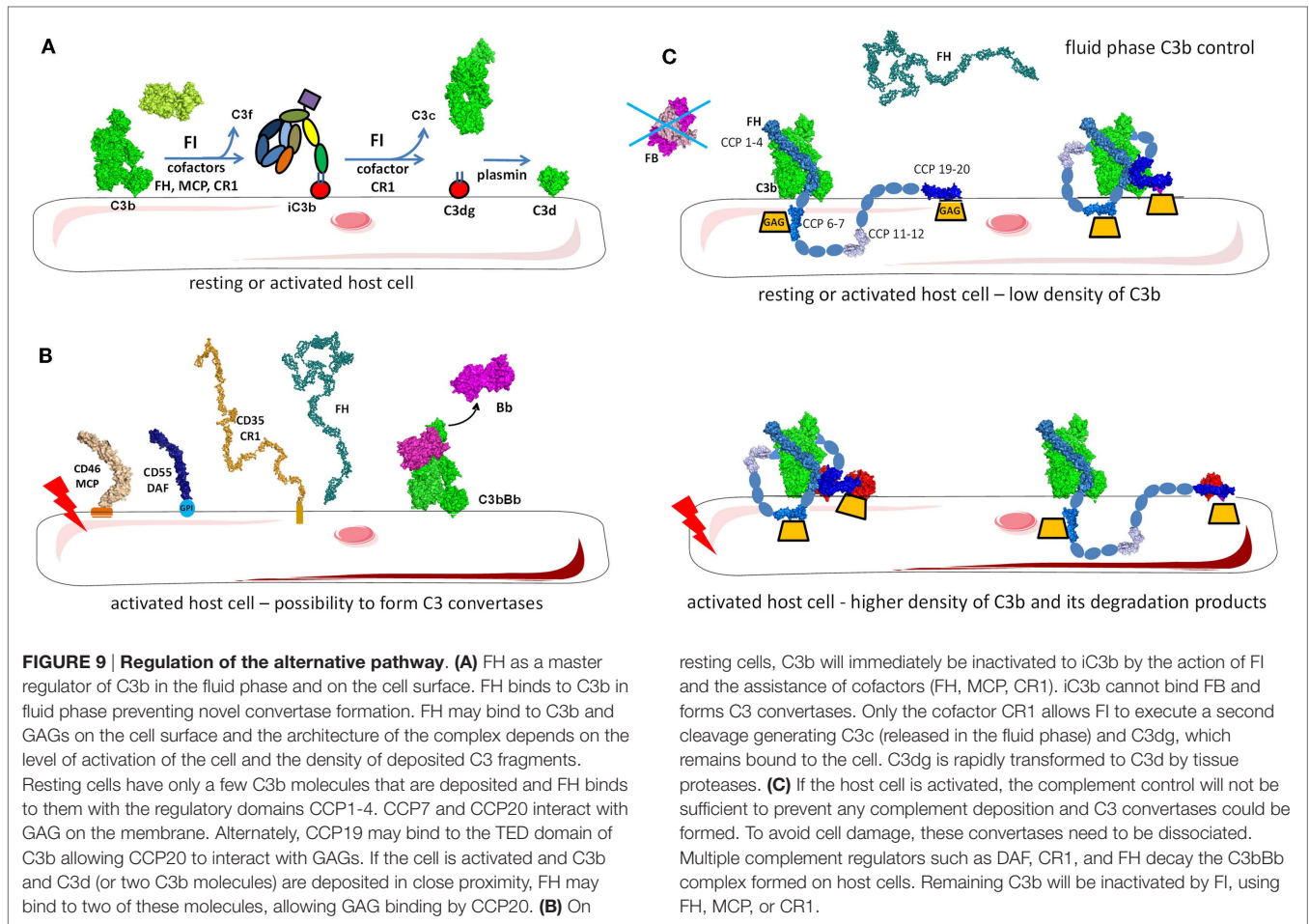
The first 28 CCP of CR1 are 4 long homologous repeats (LHR) for 7 CCP domains containing the binding sites for C3b and C4b (143, 144). C3b and C4b-binding sites located in CCP8–10 (LHR2) and CCP15–17 (LHR3), respectively, are responsible for FI cofactor activity (143, 145). CCP15–17 has a major role in C3b/C4b inactivation (146). CCP15 carries a positively charged region essential for the C4b-binding and a basic region in the CCP16 that is necessary for C3b-binding. Although the architecture of the CR1/C3b and CR1/C4b is not well defined, it is known that CR1 interacts with the  $\alpha$ 'NT region of C3b (residues 727–767), which overlaps with the FH CCP1-binding site, but not MCP-binding site (132, 133, 142, 147).

C4b can be inactivated by the action of C4BP, a plasmatic cofactor for FI (Figure 8B) (148–151). C4BP has a complex, octopus-like structure comprised of CCP domains containing  $\alpha$ - and  $\beta$ -chains (152, 153). The first three CCP domains of each  $\alpha$ -chain are involved in cofactor and convertase dissociation functions. A



**FIGURE 8 | Regulation of the classical and lectin pathways C3 convertase.** To avoid overactivation, the CP and LP tightly regulate signaling. **(A)** If a C4b2a C3 convertase is formed, it will be rapidly dissociated by DAF and/or CR1 depending on the cell type. Bound C4b will be inactivated by FI in presence of cofactors such as CR1 and/or MCP. C4d will remain bound to the surface and C4c will be released. **(B)** C4BP can act in fluid phase as well as on

the cell surface. C4BP has an octopus structure and interacts with several C4b molecules. It dissociates the C3 convertase and serves as a cofactor for FI in the cleavage of C4b to inactive fragments C4c and C4d. The structures of the complexes of C4b with the regulatory proteins have not been resolved yet, therefore the proteins are depicted in proximity one to another, represented by their known structures, but no complex could be reliably modeled.



maximum of four C4b molecules can simultaneously interact with the  $\alpha$ -chains of one C4BP molecule (154). The  $\beta$ -chain binds coagulation protein S and contributes to regulation of the coagulation cascade (155).

Complement receptor 1 is a unique cofactor of FI due to its ability to induce further cleavage of iC3b, generating C3c and C3dg degradation fragments (Figure 9A) (133), where FH, MCP, and C4BP only induce the cleavage of C3b to iC3b.

Several proteins have been shown to enhance FI-mediated cleavage in the presence of cofactors. Thrombomodulin binds to C3b and FH and negatively regulates complement by accelerating FI-mediated inactivation of C3b in the presence of FH and C4BP (156). Von Willebrand factor also enhances the efficacy of FH as a cofactor for FI (157). In specific *in vitro* buffer conditions, Von Willebrand factor has been suggested to have direct cofactor activity, but the physiological function of this interaction requires further validation (158).

### C3 Convertase Dissociation

The AP C3 convertase is dissociated and Bb is released primarily by the action of CCP1-4 in FH (described below). CR1 dissociates the C3 and C5 convertases. CCP1-3 of CR1 carries a binding site for C4b allowing it to induce accelerated decay of

the C3 convertase in the CP and AP (Figures 8A and 9B) (143, 159). C4BP prevents the formation of the classical C3 and C5 convertases (148-151).

Decay acceleration factor accelerates decay of the C3 and C5 convertases in the CP and AP (Figures 8A and 9B). DAF has four extracellular CCP domains, a highly glycosylated domain and a GPI anchor (160). DAF inhibits the AP C3 convertase by binding to Bb on the vWA domain with its CCP2 domain (161). CCP2 of DAF has a higher affinity for Bb compared to the intact FB. As a consequence, the active convertase is more sensitive to rapid decay, compared to C3bB (162, 163). DAF also binds to the C3 convertase on C3b via its CCP4 domain (163) and CCP-3 contributes to the accelerated decay function (164).

### Factor H – The Master Regulator of the Alternative Pathway

Factor H regulates the AP and the amplification loop of the complement pathways (Figure 9). It is a soluble inhibitor of the C3 convertase competing with FB for binding to C3b (Figure 1A) (165). It also serves as a cofactor for C3b inactivation by FI (Figure 9A) and induces C3bBb complex dissociation (Figure 9B) (166, 167). FH is composed of 20 CCP domains arranged as beads on a string (168) (Figure 9C). CFHL1 is a shorter protein, containing the seven

N-terminal domains of FH, generated via alternative splicing from the same gene (169) and functions as a fluid phase complement regulator. The fluid phase convertase C3(H<sub>2</sub>O)Bb is more resistant than the alternative cell-bound convertase and is less susceptible to regulation by FH (114). FH has two main ligands, C3b and the GAG, found on host cells surface. Recent crystallographic and mutation analysis resulted in precise mapping of the C3b and GAG-binding sites in FH.

Factor H binds to C3b and C3(H<sub>2</sub>O), but not to uncleaved C3. The conformational changes in the TED and CUB domains accompanying the transition from C3 to C3b expose the FH-binding sites. FH binds to C3b in at least two regions, at the N-terminus and the C-terminus of the protein. The N-terminal four CCPs contain the complement regulatory activity (132) and the CCP1–4 interacts with the MG ring of C3b. CCP-3 interacts with the CUB domain and CCP4 interacts with the TED domains (132, 142). CCP1–2 competes with FB causing its dissociation from C3b (**Figure 9C**). Both Bb and CCP1–2 are negatively charged leading to electrostatic repulsion. CCP19–20 carry a second binding site for C3b and can also interact with C3d (130, 131, 170, 171).

It has been difficult to unravel questions regarding the stoichiometry and architecture of the C3b–FH complex in the physiological fluid phase and on the surface of cell (**Figure 9C**). Does FH interact in the same way with C3b and C3(H<sub>2</sub>O) or with C3b in fluid phase and on cell surface? Could FH bind with its N- or C-terminal domains on the same C3b molecule or can it interact with two adjacent C3b or C3b and C3d molecules or with one C3b molecule via CCP1–4 at the C-terminus and engage in cell membrane binding? If it is assumed that FH CCP1–4 and CCP19–20 bind to the same C3b molecule and if there is a linear arrangement of the C-terminal CCPs, could there be a steric clash between CCP18 and CCP4? The crystal structure of CCP18–20 indicates a bend-back conformation of CCP18, allowing binding on the TED domain of both CCPs 1–4 and CCPs 19–20 (172). Studies of the C3b/C3d binding site on CCP19–20 showed that it may be overlapping, but it is not identical with the GAG-binding site (173–177). The C3b/C3d-binding site is extended toward the CCP19 and the GAG binding is extended toward the apex of the CCP20. It is possible that FH19–20 domains may bind both C3d and GAG at the same time (131, 172, 174, 177). Site-directed mutagenesis of the FH19–20 domains indicates that a ternary complex between C3d/FH19–20/heparin can be formed and is essential for the functional activity of FH (177). The formation of a ternary complex was confirmed by the crystal structure of FH19–20 with C3d and a model sialylated trisaccharide, where a surface area extending from SCR19 to the beginning of CCP20 binds C3d and CCP20 carries a highly conserved binding site, which may accommodate GAGs and sialic acid containing glycans (174). Structural analysis of the complex of FH19–20 with C3d showed that CCP20 also may interact with C3d suggesting potential competition between C3d and GAG at this site of FH (130). Analysis of published PDB files indicates that this CCP20–C3d interaction is present in the other FH CCP19–20 crystals, but was considered a crystallization artifact. Nevertheless, mutations in CCP20 appeared to affect the interaction with C3b and C3d, suggesting that a C3d–CCP20 interaction is possible. Based on the accumulating structural and functional data, it can be

hypothesized that the architecture of the C3b–FH complex is governed by the target surface and the density of the C3b and C3d molecules (**Figure 9C**). On host cells, one isolated C3b molecule will bind CCP1–4, while SCR7 and the C-terminus will interact with the GAG of the cell membrane. Since FH may circulate in plasma in a folded back hairpin conformation (178–181), simultaneous interactions with the N- and C-termini to the same C3b molecule could be possible, while CCP7 and 20 bind to the GAGs of the membrane. Indeed, CCPs 10–13 are involved in the inclination of FH, allowing both CCP1–4 and CCP19–20 binding to C3b (182). Using crystal structures of CCP10–11, CCP11–12, and CCP12–13, the authors also demonstrated that a tilt of 80–100° occurs allowing a hairpin structure formation. The compact architecture of the C3b–FH complex is supported by the existence of a third binding interface involving CCP6–10 in FH and the C3c portion of C3b (171, 183, 184).

Glycosaminoglycans are an important constituent of the cell membrane and play a critical role in complement regulation. In addition to the GAG-binding site in CCP20, FH carries another GAG-binding site located in CCP7 (183, 185–189). These GAG-binding sites in FH allow it to recognize negatively charged heparan sulfate moieties on the membrane and may explain the differences in the affinity of FH binding and a dependence on the expression of GAG and sialic acid on the cell surface (190). The difference in the susceptibility of sheep and rabbit erythrocytes to lysis by human complement, which are at the basis of the classical hemolytic tests for complement activation, is expression level of sialic acid on the surface of these cells. This allows them to bind (sheep) or not to bind (rabbit) to FH (165, 191). Together with the low affinity of properdin for heparin and heparan sulfate (94), GAG expression is a powerful regulator of the complement homeostasis between negative regulation and stimulation of the complement pathways. The two regions anchoring FH to the cell membrane, CCP7 and CCP20, are specialized in binding to unique GAG, expressed in different types of cells, however both are necessary to assure functional activity of FH on the cell surface (189). CCP7 containing construct CCP6–8 binds stronger to heparin than CCP20 containing construct CCP19–20 (192), while CCP6–8 and CCP19–20 do not recognize the same sulfate GAG. GAG and sialic acid are expressed in multiple human organs with different subpopulations and distinct structures that may provide a variation of the binding affinity of complement regulators (193). These differences can potentially explain why polymorphisms or mutations in these regions are associated with complement-mediated diseases.

Mutations or polymorphisms in the GAG-binding sites of FH may create an imbalance in the homeostasis of complement regulation and could explain its association with different diseases. For example, a polymorphism in CCP7 leading to a modification from a tyrosine to histidine at amino acid 402 (Y402H) is the strongest genetic susceptibility factor for age-related macular degeneration (AMD) (194–197). Detailed analysis of this mechanism revealed that CCP7 in FH binds not only to GAG, but also to oxidized lipids, including malondialdehyde (MDA) (198–200). Y402H binds more weakly to MDA and oxidized phospholipids expressed on retinal pigment epithelium compared to the non-mutated protein (198, 200).

Another ligand of CCP7 and CCP19–20 in FH is the CRP, which is secreted by the liver during inflammation acute phase. Binding of FH to CRP can enhance complement inhibition, particularly on apoptotic or damaged cells during inflammatory conditions (201).

The interaction of FH with different cell surfaces is controlled by CFHR proteins. CFHRs belong to the FH family and comprise five different members (CFHR1–5). CFHRs are composed of five to nine CCP domains, present a high sequence homology with FH, and are recently described in detail (202). CFHR1, CFHR2, and CFHR5 share high homology in their two N-terminal domains, allowing them to form homo- and heterodimers (Figure 10) (203, 204). CFHR3 and CFHR4 do not form dimers, the C-termini of each share a high sequence homology with FH leading to competition between CFHRs and FH for binding to C3b, C3d, and GAG on the cell surface (203, 205, 206). Therefore, the CFHRs will enhance complement activation, preventing the action of FH.

## C5 Convertases and the Terminal Complement Pathway

C3b binds to the C3 convertase to form a new enzymatic complex – C5 convertase which cleaves C5 to bioactive fragments C5a and C5b (Figure 11). C5b recruits complement components C6, C7, C8, and C9 which polymerize to form the membrane-attack-complex (MAC) ring (Figure 12) (207). The structures of individual components and overall architecture of the C5b-9 complex are starting to be elucidated, while, the structure and the mechanism of action of the CP and AP C5 convertases is not fully understood and require further investigation.

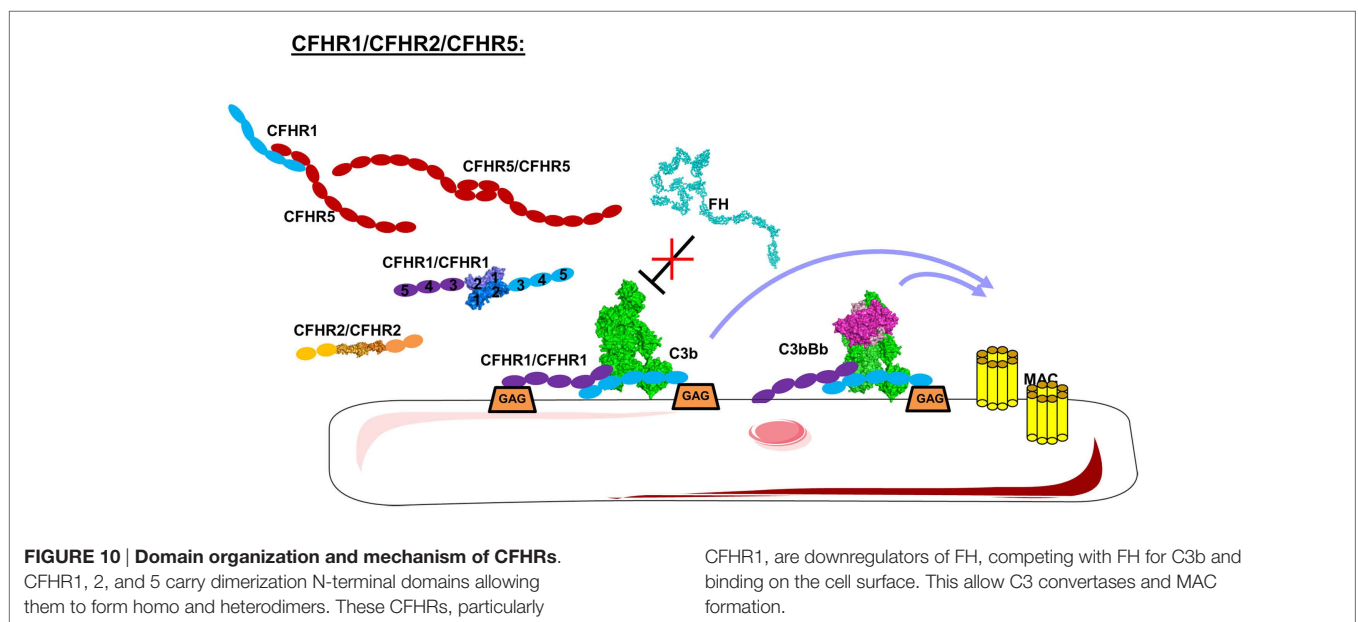
### C5 Convertases

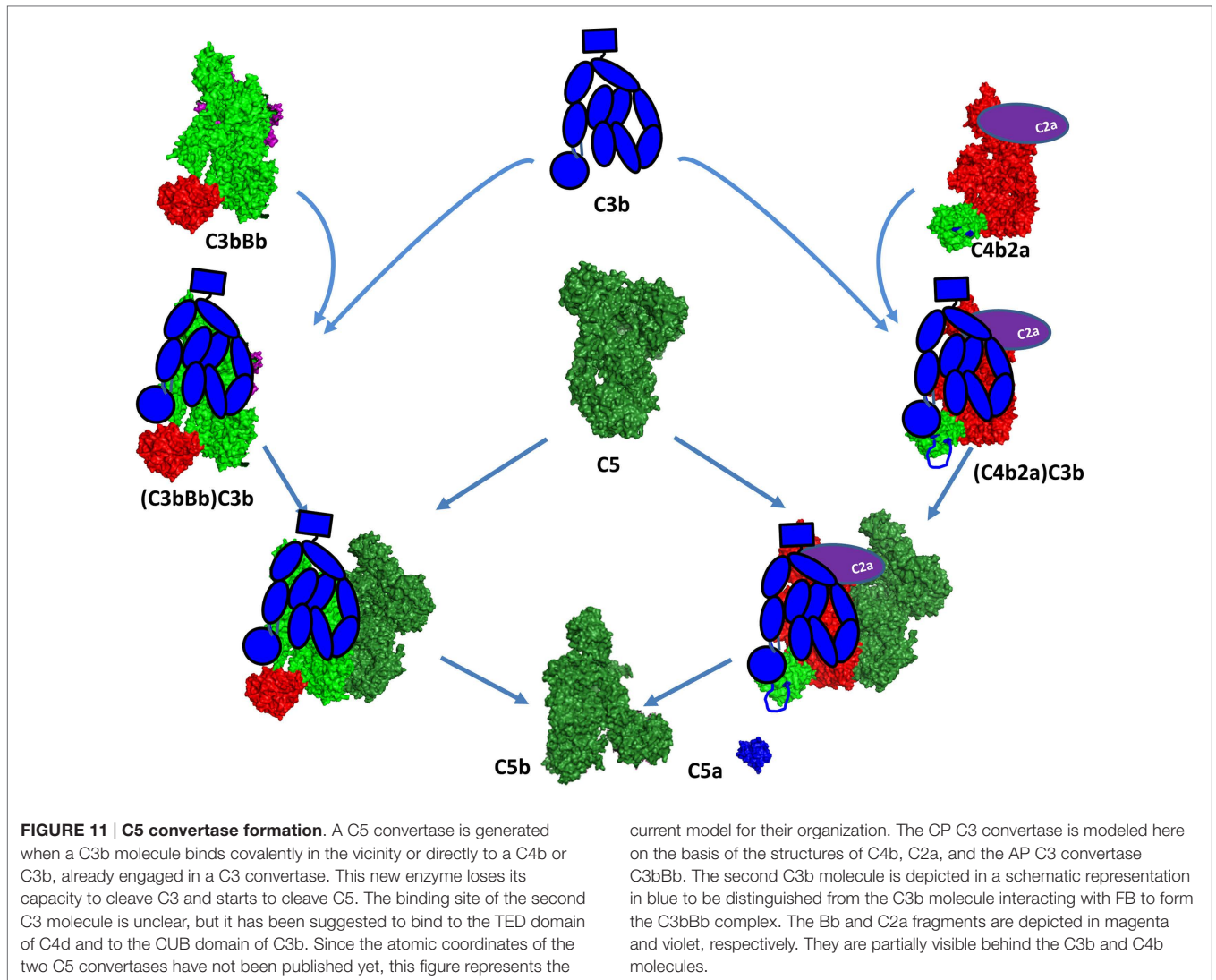
The C3 convertases C4b2a and C3bBb are the precursors of the C5 convertases. The C3 convertases are able to bind C5, but with a very low affinity and cleavage rate. These C3 convertases switch their specificity and start to bind and cleave C5 only after binding

of an additional C3b molecule in the immediate proximity or most likely over the C3 convertase itself (Figure 11) (208). The structure of this trimolecular complex is unknown, but has been suggested that the covalent C3b-binding site on C4b is the residue Ser1217 (p.Ser1236) within the TED domain (209). This residue is not conserved in C3b and the binding site seems to be located outside of the TED domain, in the  $\alpha$ 43 fragment (residues 1268–1641) (210), suggesting different topology of the two trimolecular complexes. Whether the newly bound C3b molecule interacts with C5 or affects the conformation of the C3 convertase subunits is currently unknown. Laursen and colleagues propose a model by which the MG1, MG4, MG5, and TED domains of C3b will be able to contact the CUB and TED domains of C4b. The CUB, MG2, MG6, and MG3 domains appear to be capable of reaching mainly the rest of C4b, while the MG7, MG8, and C345C domains potentially could be in direct contact with C5 (Figure 11) (211).

The dramatic conformational change of C4 upon release of C4a is very similar to C3 (212). TED domain, MG8, and CUB are exposed after C4 cleavage, allowing covalent bond with Gln1013. The crystal structure of C4b confirms the implication of the flexible residues 1231–1255 in the interaction with the TED domain of C3b (209, 212). This model supports the idea that C3b has no direct interaction with C5 in the classical (and most likely in the alternative) C5 convertase (Figure 11). C4b and CVF-C5 structures suggest that C4b-binding area for C5 is within the domains MG4, MG5, and MG7, supporting Laursen hypothesis.

The loss of affinity to C3 and the acquisition of affinity to C5 results in cessation of C3b opsonization and initiation of MAC-induced membrane damage. Upon covalent binding of a C3b molecule to a CP convertase C4b2a, there is a formation of a trimolecular complex with about 1000-fold increased enzymatic activity toward C5 cleavage (213) compared to the bimolecular complex. The CP C3 convertase cleaves on average 1.5 C5 molecules per minute. The gained activity of the trimolecular complex





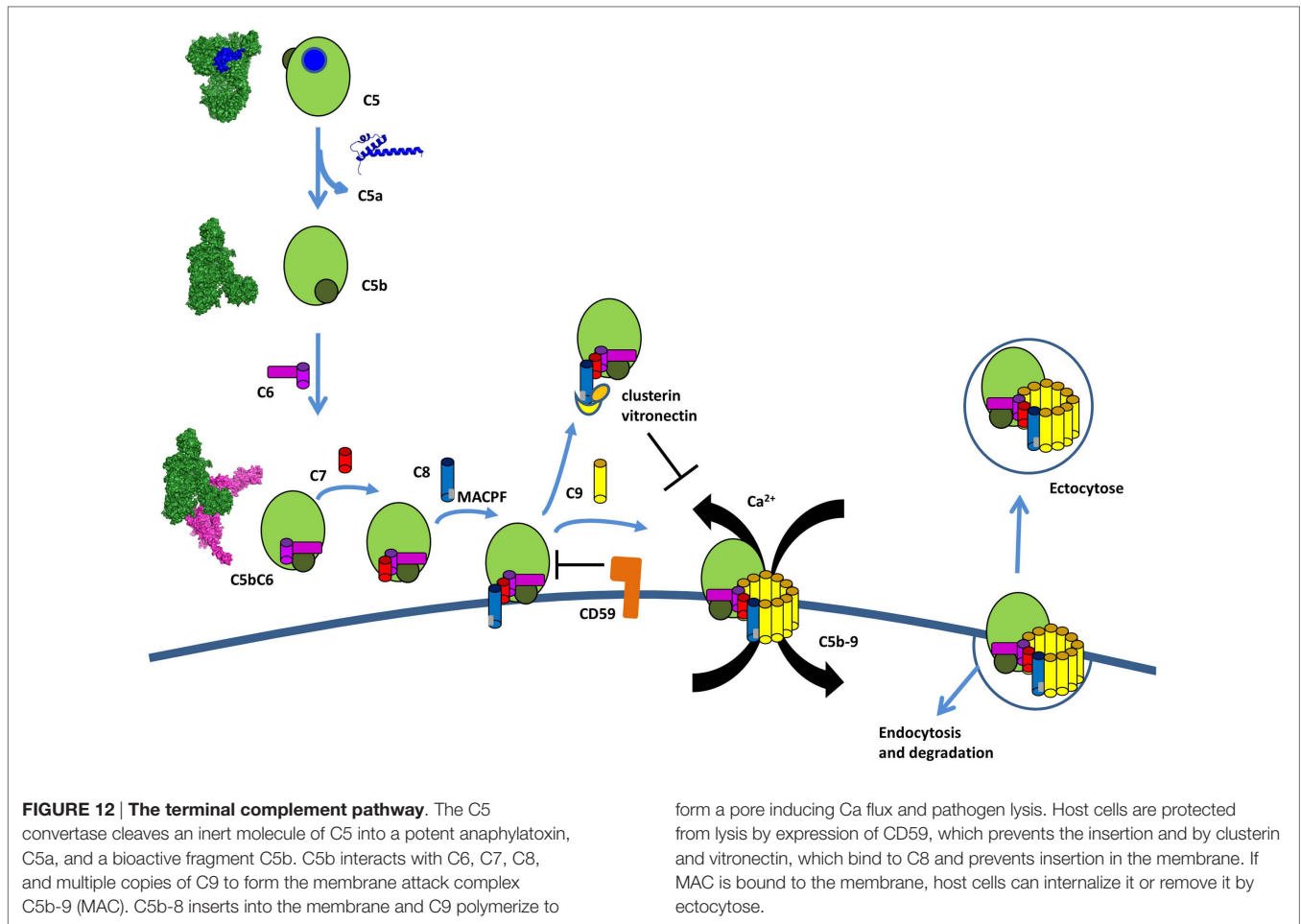
of the AP C3bBbC3b is six to ninefold weaker compared to the classical, cleaving less than 0.3 C5 molecules per minute (214). To compensate for the weaker efficacy, the AP C5 convertase can be stabilized by properdin (215, 216), which increases its half-life from approximately 3–30 min. This is different than the classical C5 convertase, for which a natural stabilizer has not been described. Together with the fact that the AP amplification loop generates a large number of C3b molecules makes the AP C5 convertase the main source of the terminal pathway complex C5b-9. In 2002, Pangburn and Rawal proposed a ring model for the amplification of the complement activation on the cell surface (213). In this model, any deposited C3b molecule coming from the classical or AP initiation can form an AP C3 convertase that will cleave one or several molecules of C3 (depending on the stabilization by properdin). A ring of new C3 convertases is formed or C3b binds on top of the C3 convertase itself to form a C5 convertase. As the activation spreads, the older enzymes will be inactivated by FH and FI leaving an expanding inner core of inactivated C3b surrounded by an active band of C5 convertases. The outermost band of newly

formed C3 convertases is responsible for the growth of the site as it expands across the surface of the target.

### Complement-Independent Cleavage of C5 and C3

Accumulating evidence suggests the existence of additional complement activation pathways in the plasma (217) aside from the three established pathways. Thrombin, human coagulation factors IXa, XIa, Xa, and plasmin were all found to effectively cleave C3 and C5 (218). C5a and C5b can be generated from C5 via thrombin, independently of the plasma complement system (217, 219). Thrombin cleaves C5 poorly at R751, which is the site of action of the C5 convertase (220). However, it does cleave C5 at an alternate site, R947, generating intermediates C5T and C5bT. These activation fragments will be generated at sites of activation of the coagulation cascade. Interestingly, C5bT formed a C5bT-9 MAC with significantly more lytic activity than with C5b-9.

Complement-independent cleavage of C3 by plasmin has also been suggested in the literature (221–223). The fragments generated by plasmin-mediated cleavage (C3a-like, C3b-like, iC3b-like,



C3c-like, and C3dg-like) are similar, but not identical to fragments generated by the complement cascade and are biologically active.

### Membrane-Attack-Complex C5b-9 Formation

Activation of the terminal complement pathway results in formation of MAC that form large, 10 nm wide, pores in the target membrane (207). These complexes are formed when C5 is cleaved into C5b by the C5 convertase. Upon cleavage, C5b undergoes a dramatic conformational change, similar to C3b, but with a TED domain ending up only halfway the distance to the MG ring (Figure 12) (224). This conformation of C5b interacts with C6, which wraps around the TED domain of C5b. C6 to C9 are homologous proteins that share similar central membrane-insertion domains called MACPF. Binding of C7, C8, and multiple copies of C9 results in MAC formation with C5b–C6–C7–C8 $\beta$ –C8 $\alpha\gamma$ –C9 making an arc with a protrusion at the beginning by C5b. C5b-7 is lipophilic and binds to the cell membrane (225). C8 is the first component to penetrate the lipid bilayer upon interaction with the forming MAC. The structure of the MACPF domain of C8 $\alpha$  (226) shows homology to perforin, a pore-forming protein released by cytotoxic T and NK cells, as well as, to bacterial cholesterol-dependent cytolysins. This resemblance suggests a common membrane perforation mechanism for MAC, perforin in the mammalian immune system,

and bacterial pore-forming proteins (226). A single MAC contains up to 18 C9 molecules forming a tubular channel. However, only one or two C9 molecules are sufficient to form functional pores (227, 228).

Each functional MAC is sufficient to lyse by colloid osmosis in the membrane of metabolically inert cells, like erythrocytes or liposomes (229). Gram-negative bacteria are also susceptible to complement killing, in particular the meningitis causing *Neisseria* species (230, 231). Individuals deficient in terminal complement components are at increased risk for recurrent meningitis. Gram-positive bacteria have an extremely thick cell wall that MAC cannot penetrate leaving them resistant to complement elimination. Metabolically active nucleated cells are also resistant to lysis by complement (228, 232). In order to induce killing in these cells, multiple MACs must be inserted in the cell membrane together with coordination of calcium flux and not well-understood signal transduction (233). Once MACs have inserted in these cells, calcium flux is induced in the pore from the extracellular space or is released from intracellular stores (234). Subsequently, multiple still not well-known signaling pathways are activated leading to cell proliferation or apoptosis, which is dependent on the targeted cell type and experimental conditions.



## Membrane-Attack-Complex Regulation

Membrane-attack-complex formation is tightly regulated to avoid accidental host cell damage and activation (**Figure 12**). C8 was suggested to play a dual role in MAC formation and regulation. In the absence of a cell membrane, the binding of C8 to C5b-7 induces conformational changes that result in a loss of ability to form pores, causing it to act as a MAC inhibitor (235). If soluble dead-end products sC5b-7, sC5b-8, and sC5b-9 are generated in fluid phase and do not bind to a membrane, they are scavenged by clusterin and vitronectin. These two regulators bind below the C5b-9 arc rendering it water soluble and preventing membrane binding (224, 236–238).

The GPI anchored protein, CD59 is expressed on most cell types (239, 240) and blocks membrane perforation by C5b-8 and C5b-9 (241, 242). CD59 does not bind free C8 and C9, but does interact with the MACPF domain of each protein upon conformational changes associated with C5b-9 complex formation (243–245). Furthermore, the lytic terminal complex of complement C5b-9 can be removed within minutes of its deposition on the membrane of target cells either by shedding via membrane vesicles (exocytosis) or by internalization and degradation (246–249).

## Complement Anaphylatoxins

The anaphylatoxins, C3a and C5a, are constantly released during complement activation. These small (10–14 kDa) peptides play a critical role in supporting inflammation and activation of cells that express anaphylatoxin receptors (250). To enhance inflammation, anaphylatoxins recruit immune cells to the site of complement activation and induce oxidative bursts on macrophages (251, 252), eosinophils (253), and neutrophils (254, 255). However, some studies challenged the concept for the pro-inflammatory role of C3a. C3a has a more complex function, depending on the context, with a balance between pro- and anti-inflammatory roles. The highlight anti-inflammatory properties of C3a re-evaluate its physiological role during inflammation (256). Furthermore, C3a and C5a induce histamine production by basophils (257, 258) and mast cells (259) to provoke vasodilatation. C5a also recruits T-cells (260) and myeloid-derived suppressor cells (261) that constitutively express C5aR. Although the functional activity of C4a is debated, it has been reported to activate macrophage and monocytes (262, 263). However, a lack of cognate C4a receptor identification and unreproducible data (264) warrant further studies to determine the physiological role of C4a.

Structural data show that both human C3a and C5a adopt an alpha-helical conformation with four- and three-helical bundles, respectively (**Figure 13**). The C5a crystal structure has a core domain constituted as an antiparallel alpha-helical bundle and the C-terminal domain links the core domain by a short loop containing two adjacent arginines in position 62 and 74 (265) that both interact at the same binding site on the receptor. In human plasma, these two fragments are rapidly converted by carboxypeptidase N to C3a desArg and C5a desArg by cleavage at the C-terminal arginine (266, 267). C3a desArg has a very similar structure to C3a (268), but is incapable of binding to C3aR. The alpha1-helix of C5a desArg is detached at the three others alpha-helices (269). Due to this conformational change, human C5a

desArg has 90% weaker pro-inflammatory activity compared to C5a (270). In contrast, murine C5a desArg is as potent as the murine C5a upon binding to C5aR on murine cells (271), which could be explained by the lack of major structural changes in the murine C5a desArg, compared to C5a. Both murine proteins form a four-helix bundle, contrary to the human C5a desArg, which adopts a three-helix bundle conformation upon cleavage of the terminal Arg residue. These inter-species differences need to be taken into account during analysis of *in vivo* experiments.

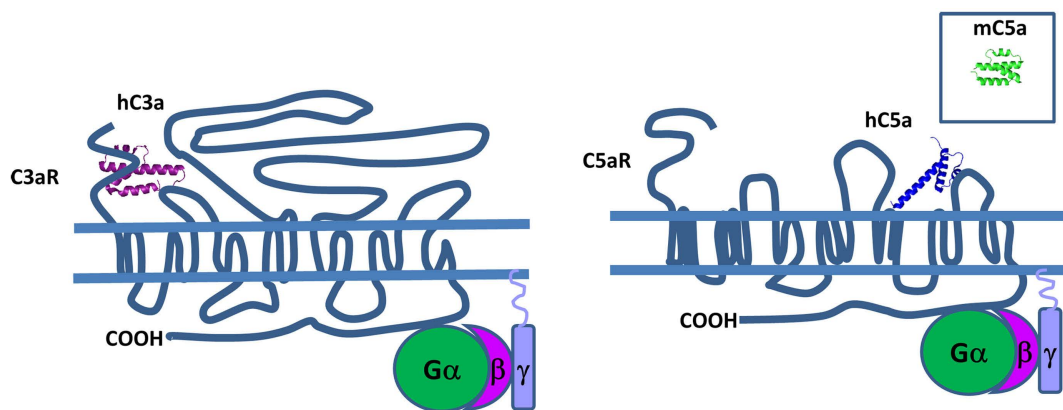
C3aR, C5aR, and C5aL2 belong to the G protein-coupled receptor (GPCR) family that contain seven transmembrane domains that are able to interact with C3a and C5a (**Figure 13**). The C3a-binding site of C3aR is located in the large second extracellular loop that contains a sulfotyrosine 174, which is critical for C3a docking (272). This interaction induces phosphorylation of intracellular pathways including PI3K, Akt, and MAPK leading to chemokine synthesis (273).

There are two sites in C5aR that are essential for C5a binding. The first site consists of basic residues from human C5a that interact with sequences rich in aspartic residues located on N-terminal extracellular domain of C5aR (274). The second site is in a binding pocket located near the fifth transmembrane domain (275) and interacts with the C-terminal region of human C5a (276). Then, two distinct clusters of hydrophobic residues allow a molecular switch in C5aR leading to G protein activation (277). This mechanism exposes preserved residues clustered in two intracellular and two transmembrane domains that participate to the initial interaction with G proteins (278). The second extracellular loop plays a role of a negative regulator of C5aR activation and may stabilize the inactivated form of the receptor (279). By binding human C5a, C5aR induces downstream effects including activation of PI3K- $\gamma$  (280, 281), phospholipase C $\beta$ 2 (282), phospholipase D (283), and Raf-1/B-Raf-mediated activation of MEK-1 (284).

Human C5a and C5a desArg are also able to bind C5L2 (285). C5L2 is again composed of seven transmembrane domains, however it is not coupled with G protein due to an amino acid alteration at the end of the third transmembrane in the DRY sequence (286). C5a has lower affinity to C5L2 compared to C5a desArg (287) and recently it has been demonstrated that C5L2 is a negative regulator of anaphylatoxin activity (288). It has also been reported that C5L2 and C5aR form a heterodimer (289) and this complex induces internalization of C5aR upon C5a binding (290). This internalization is essential for the induction of the late stage of ERK signaling (291, 292).

## Complement Receptors for C3 Activation Fragments

Complement participates actively in the opsonization of pathogens and dying host cells, in addition to the clearance of immune complexes. Recognition molecules in the CP and LP, as well as cleavage fragments of C3, opsonize the target structure and serve as bridging molecules with receptors on the surface of the phagocytes. Depending on the type of the opsonin present (C3b, iC3b, or C3d), the phagocyte will generate a pro-inflammatory response or tolerogenic suppression.



**FIGURE 13 | Complement anaphylatoxins.** C3a and C5a have a four and three helical bundle topology. Mouse C5a (in the square) is different from its human counterpart, because it has four helical bundle

structure. These anaphylatoxins bind to G protein-coupled receptors C3aR and C5aR and stimulate pro-inflammatory signaling pathways.

Pathogens, immune complexes, and cell debris opsonized by C3 cleavage fragments can be recognized by CR (**Figure 14**) with three different structural organizations: containing CCP modules (CR1 and CR2), integrin family members (CR3 and CR4), and the immunoglobulin superfamily member (CRIg) (293). CR1 is expressed on monocytes, macrophages, neutrophils, erythrocytes, and renal podocytes, CR2 is found on B-cells, CR3 and CR4 are expressed by macrophages, monocytes, dendritic cells, neutrophils, and NK cells and CRIg has restricted expression and is found mainly on Kupffer cells in the liver and resident tissue macrophages (294). Interestingly, the expression of CRIg on macrophages in inflamed tissue is lower compared to macrophages outside of an inflammatory area (295).

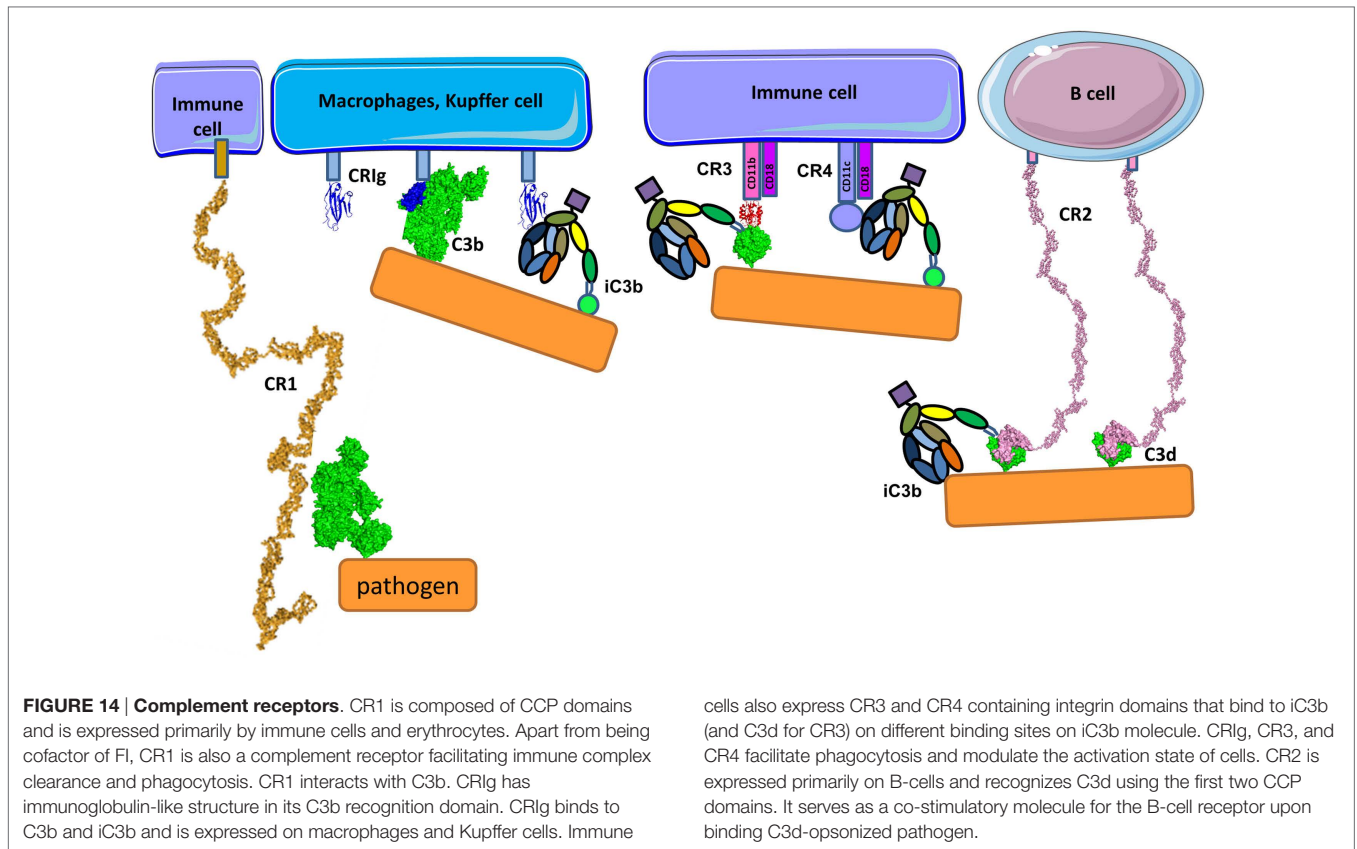
## Complement Receptor 2

Complement receptor 2 (CD21) is expressed on B-cells interacting with C3d and iC3b on the surface antigens (**Figure 14**) forming a co-receptor complex with CD19 and CD81 (296). C3d serves as a molecular adjuvant by lowering the threshold for B-cell activation by 1000–10,000-fold (297). The TED domain of C3 has a completely different conformational environment in the native protein as compared to its degradation products C3b, iC3b, C3dg, and C3d. Recent studies showed that the binding site for CR2 in iC3b and C3d lies within the common TED domain (298). The C3d-binding site is located in the two N-terminal CCP domains of CR2 (299). Two different crystal structures had been proposed for the complex CR2:C3d; the first one, described in 2001 by Szakonyi et al. (300), showed that only the CCP2 domain of CR2 interacts with C3d. Contrary to this result, biochemical studies showed that mutations on several basic residues on CCP1 domain affected C3d binding to CR2 (301). In 2010, a second structure was proposed (302) in agreement with the mutagenesis data (301) where both CCP1 and CCP2 are involved in the interaction (**Figure 14**). One possible explanation for the discrepancy between structures could be due to the high concentration of zinc in the crystallization buffer from 2001 leading to a non-physiological complex.

## Integrin Family Complement Receptors CR3 and CR4

Integrin family CR3 (also known as CD11b/CD18,  $\alpha_M\beta_2$  or Mac-1) and CR4 (also known as CD11c/CD18 or  $\alpha_X\beta_2$ ) are heterodimeric transmembrane complexes, composed of a unique  $\alpha$ -chain and a common  $\beta$ -chain. They bind multiple ligands participating in phagocytosis, cell adhesion to the extracellular matrix, leukocyte trafficking, synapse formation, and co-stimulation. Ligand binding and signaling through integrin receptors is governed by a complex cascade of conformational changes, known as inside-out signaling (303). A receptor in its inactive, bend-closed conformation can respond to a cytoplasmic signal, transmitted inside-out through the  $\beta$ -chain, passing to a low affinity binding extended-closed and high affinity binding extended-open conformation. Upon ligand binding, another signal is transmitted outside-in, leading to rapid cellular response, including actin remodeling, phagocytosis, degranulation, or slow responses involving protein neosynthesis. CR3 and to lesser extent CR4 are essential for phagocytosis of C3 fragments, opsonized immune complexes, and pathogens (304). CR3 and CR4 differ in their profile of recognized C3 fragments because both receptors bind to iC3b, but CR3 recognizes C3d, while CR4 binds to C3c, suggesting that the two receptors have distinct binding sites on the iC3b molecule (**Figure 14**) (305, 306). The iC3b and C3d binding site of CR3 is located in the VWF-A domain of the  $\alpha$ -chain, also called  $\alpha_I$  domain, and binds in a divalent metal ion-dependent manner (307). This type of domain is present also in FB, which requires divalent ions ( $Mg^{2+}$ ) in order to interact with C3b. However, the two VWF-A containing molecules do not bind to the same fragments of C3b (113, 305). FB interacts only with C3b, while CR3 binds to iC3b and C3d and the binding sites for these VWF-A domains are distinct (**Figure 14**). VWF-A domain of CR3 binds to the TED domain (C3d part) of iC3b and the CUB and C345C domains of iC3b may also contribute to the interaction with the  $\beta$ -propeller and  $\beta_I$  domains of the  $\alpha$ -chain of CR3 (305).

In contrast to CR3, CR4 binds to the C3c portion of iC3b (**Figure 14**). The architecture of the complex has only been observed at low resolution, by electron microscopy and displays



a CR4 binding site at the interface between MG3 and MG4 (306). The flexibility of the CUB domain after cleavage by FI allows re-orientation of the C3c part of iC3b leading to better exposure of the MG3–MG4 interface (9, 308). Initial studies of the structure and molecular details of the complex conformational changes in CR4 are starting to be elucidated (309) showing that CR4 binds iC3b through the  $\alpha$ I domain on the face known to bear the metal ion-dependent adhesion sites similar to CR3 (306).

### Immunoglobulin Superfamily Receptor CR1g

Immunoglobulin superfamily receptor CR1g is a CR expressed on macrophages and Kupffer cells in the liver that binds to C3b and iC3b (Figure 14) and mediates the phagocytosis of opsonized particles and pathogens (310, 311). CR1g acts as an inhibitor of the AP preventing the entry of the substrate molecule C3 and C5 into the C3 convertase (312). The CR1g-binding site on C3b is located in the MG ring of the  $\beta$  chain, engaging MG3 and MG6 domains. A conformational change in MG3 during the transition of C3 to C3b contributes to the formation of the CR1g-binding site and explains why CR1g binds to C3b and iC3b, but not to intact C3.

### Understanding Complement-Related Diseases Using Structure–Function Relationships

The importance of the complement system in physiology is illustrated by the severe and life threatening diseases occurring due to

inefficient or excessive complement activity. Abnormal complement activity is associated with many inflammatory, autoimmune, neurodegenerative, and age-related diseases. Here, we will describe the role of complement dysfunction in aHUS.

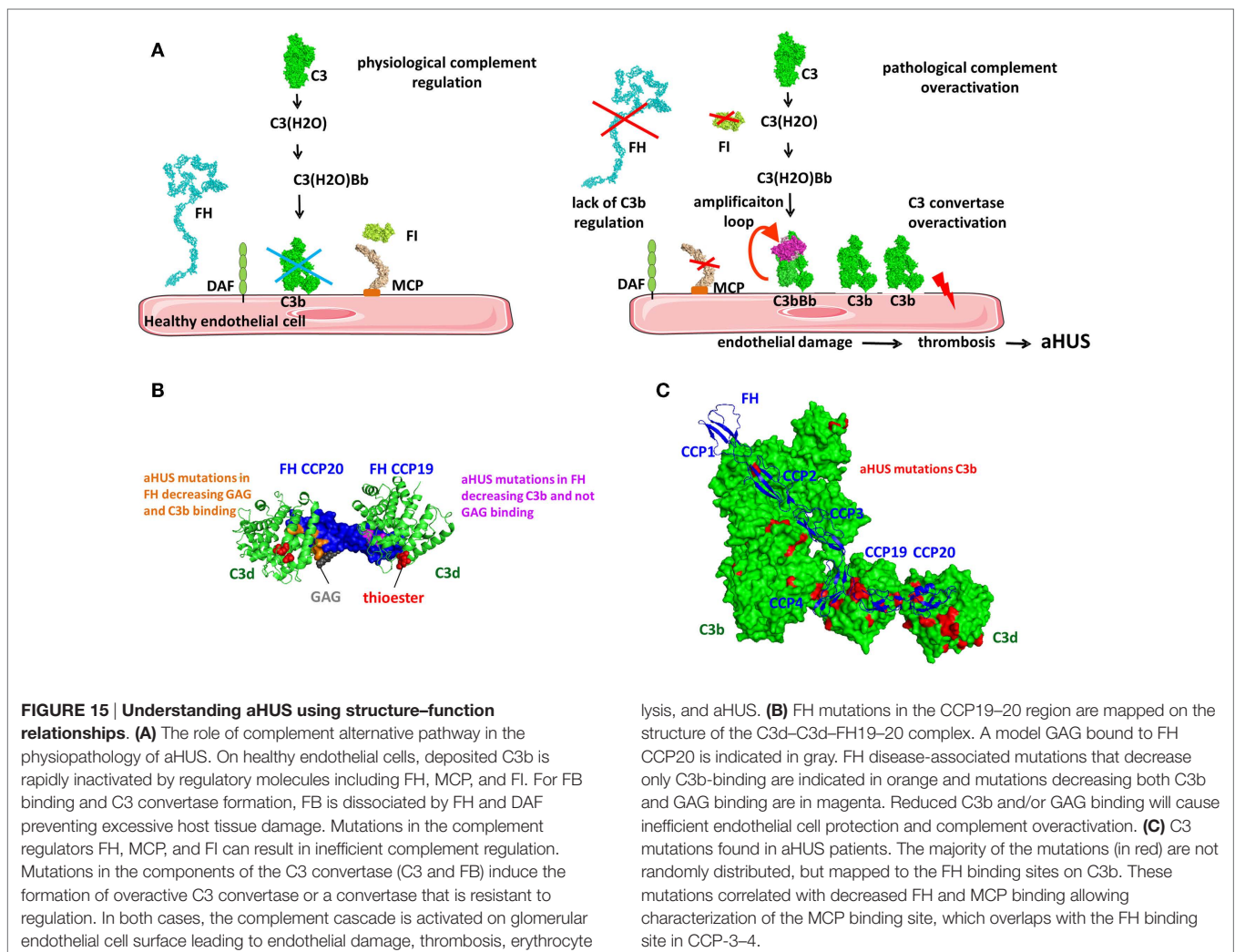
### Atypical Hemolytic Uremic Syndrome

The aHUS is a rare thrombotic microangiopathy that predominates in the kidney. Without appropriate treatment, it leads to end stage renal disease in approximately 60% of patients (313, 314). This thrombotic microangiopathy is different than typical HUS and thrombotic thrombocytopenic purpura because it is not associated with infection by Shiga toxin-producing bacteria or ADAMST13 deficiency, respectively. aHUS occurs at any age and has a poor prognosis (prior to the development of Eculizumab). In contrast, typical HUS is predominantly a pediatric disease and has a favorable renal outcome. aHUS is characterized by a triad of hemolytic anemia due to fragmented erythrocytes, thrombocytopenia, and acute renal failure. Renal failure is a result of platelets rich micro-thrombi, formed in the small vessels (capillaries and arterioles) of the kidney resulting in a prothrombotic state. The hallmark of the aHUS is the association with alternative complement pathway mutations (Figure 15A). Endothelial damage is known to be related to complement dysregulation. Screening for and characterization of mutations in the components of the C3 convertase (C3 and FB), its regulators (FH, FI, MCP, CFHR1, and thrombomodulin), or anti-FH antibodies has become an indispensable part of the diagnostic of the disease (315).

The importance of screening for mutations in complement factors can be observed in the examples of FH and C3. The majority of the mutations in FH found in aHUS patients does not induce protein quantitative deficiency and are located in the C-terminus of the protein (CCP19–20). These mutations affect either the interaction with C3b, GAG, or both ligands leading to impaired cell surface protection against complement attack [(175, 177, 316); summarized in Ref. (191, 315, 317, 318)]. Mapping of these disease-associated mutations on the complex of C3d with FH19–20 (130, 131, 174) revealed that the residues which decreased C3b-binding mapped to the C3b/C3d-binding site of FH in CCP19. The residues that affected both C3b and GAG interaction were located in the FH CCP20 interface (Figure 15B). These structural analyses help to explain the mechanism by which genetic abnormalities in FH induce impairment of C3b and/or GAG binding and hence a predisposition to develop aHUS. A similar phenomenon is observed with C3 mutations found in aHUS. These genetic changes are not randomly distributed but cluster alongside FH CCP1–4 and CCP19–20 binding sites (Figure 15C) (142, 315, 319, 320). Functional analysis revealed that mutations located in the FH-binding sites resulted in decreased FH binding,

thus showing the link with aHUS. Currently, there is a debate as to whether or not in physiological conditions FH CCP20 can interact with an adjacent C3d molecule (130, 131, 174). It is possible the observed interaction between the second molecule C3d and FH CCP20 may be a crystallization artifact and in turn the functional consequences of certain aHUS associated FH and C3 mutations will be more difficult to explain. However, these mutations fall within this suggested C3b/C3d binding site and indeed decrease FH/C3b interaction. This case exemplifies how structural analyses can aid in understanding disease pathophysiology and how disease pathophysiology improves our understanding of complement.

Disease-associated mutations also has resulted in the mapping of the MCP binding site on C3b. C3 mutations that decreased MCP binding mapped in an area overlapping with the FH CCP3–4-binding site (142). However, these mutations did not affect CR1 binding suggesting that the CR1 binding site is distinct and that CR1 is not involved in aHUS (142). Experimental and structural analysis revealed that for FH and C3 almost all studied genetic changes mapped to the ligand binding site and had clear functional consequences. aHUS mutations in FB seem to be exception of this rule. Mutations in FB were located in multiple



domains of the protein and in more than half of the cases they were far from any known binding sites (321). Mutations located within the C3b-binding site did induced formation of an overactive C3 convertase or a convertase resistant to regulation (321–323). The mutations far from this binding interface showed no functional defect as observed by FB functional tests (321). As shown for the majority of complement mutations in aHUS, mapping of disease-associated mutations together with detailed functional analysis should be performed to understand the mechanism of complement dysregulation associated with a disease.

### Structural Basis of Therapeutic Complement Intervention

We have described in depth the known molecular mechanisms of the complement system and this unleashes many possibilities for rational design of complement inhibitors for treatment of disease (324, 325). Here, we will give a few examples that illustrate this concept. Blockade of the late effector functions of complement can be obtained if the cleavage of C5 by the two C5 convertases is prevented. The therapeutic monoclonal antibody, Eculizumab, targets human C5 and blocks cleavage by C5 convertases (326). The Eculizumab binding epitope on C5 interacts with the contact interface between C5 and the C5 convertase (211) preventing the entry of C5 into the C5 convertase and blocking further cleavage and generation of the bioactive fragments C5a and C5b-9. Eculizumab blocks the terminal complement pathway but leaves the C3 convertases unaffected. Eculizumab showed significant improvement in clinical outcome and has been accepted for treatment of complement-mediated diseases including paroxysmal nocturnal hemoglobinuria (PNH) (327) and aHUS (328, 329) and clinical trials are ongoing for other diseases.

In order to control complement at an earlier step, inhibitors acting at the level of C3 have also been designed. Compstatin is a 13-residue peptide that is being tested pre-clinically. It binds to C3 and blocks its cleavage (330, 331). Compstatin binds to MG4 and MG5 of C3c and C3b where it undergoes a large conformational change upon interaction (332) causing steric hindrance of the substrate C3 to the convertase complexes and blocking complement activation and amplification. Compstatin has shown efficacy in complement blocking *in vitro* and in animal models including extracorporeal circulation (333), sepsis (334), and PNH (335). Both Compstatin and Eculizumab are species-specific and act only in humans and monkeys, but not in mice or rats, reflecting subtle differences in the structure of human and murine complement components.

Another strategy of rational design of complement inhibitors is to target the regulatory domains of FH or CR1 to the cell surface via potent C3b, iC3b, C3d, or membrane recognition domains, derived from FH or CR2. Mini FH molecules, containing CCP1–4 and CCP19–20 bind C3b and C3d with high affinity and show better efficacy compared to native FH in *in vitro* models of aHUS (336) and PNH (337). A hybrid molecule TT30, containing FH CCP1–5 and CR2 CCP1–4 is designed to accumulate preferentially at sites already under complement-mediated attack (338). TT30 interacts simultaneously with C3b and C3d merging the functionality of fluid phase FH binding to C3b with CR2 interaction to C3d on the surface of host cells (339). TT30 and its murine analog showed

significant improvement in models of AMD, ischemia/reperfusion injury, and PNH (340–342).

### Conclusion

Currently, we know that complement is not only a simple lytic system, but rather a powerful innate immune surveillance tool, serving as a sentinel against pathogens, modulator of the adaptive immune response, and as a regulator of host homeostasis. This cascade of enzymatic reactions is driven by conformational changes induced after a recognition event assuring that complement will be activated only when and where needed. This special and temporal control of complement activation is guaranteed also by the high specificity and selectivity of the enzymatic reactions, where the involved enzymes cleave only a single substrate and have a single ligand-binding site. In contrast to this high specificity of the propagation of the chain reaction, complement activation relies on target patterns binding by versatile recognition molecules, such as C1q, MBL, ficolins, and properdin. The activation of the three complement pathways leads to the generation of C3b, the Swiss army knife of complement, which interacts with a large variety of ligands and receptors with multiple distinct binding sites. The balance of these interactions determines whether full-blown activation will occur by the amplification loop of the complement pathways, with a generation of one of the most potent inflammatory mediators C5a or the effect will be attenuated by the C3b breakdown cycle. Again, the attenuation relies in large part on the capacity of a versatile recognition molecule FH to discriminate between self and non-self and to stop the amplification loop. C3b and C3(H<sub>2</sub>O) may bind to the cell surface via proteins-platforms, like properdin and P-selectin. Expression/binding of these molecules accelerates the complement activation and anaphylatoxin generation. The anaphylatoxins increase the expression/binding of P-selectin and properdin contributing to a vicious circle of complement activation, enhancing local inflammation, thrombosis, and tissue damage.

The knowledge of these molecular mechanisms that has been accumulated during recent years has allowed for better understanding of complement-related diseases. It also opens up the possibility for a rational design of novel molecules with therapeutic potential to control steps in the complement cascade. Clinical application of the anti-C5 blocking antibody Eculizumab has already demonstrated that controlling complement can revolutionize the treatment of patients with overactive complement-mediated diseases.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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