



# Antibodies against conserved antigens provide opportunities for reform in influenza vaccine design

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High-performance neutralizing antibody against influenza virus typically recognizes the globular head region of its hemagglutinin (HA) envelope glycoprotein. To-date, approved human vaccination strategies have been designed to induce such antibodies as a sole means of preventing the consequences of this infection. However, frequent amino-acid changes in the HA globular head allow for efficient immune evasion. Consequently, vaccines inducing such neutralizing antibodies need to be annually re-designed and re-administered at a great expense. These vaccines furthermore provide little-to-no immunity against antigenic-shift strains, which arise from complete replacement of HA or of neuraminidase genes, and pose pandemic risks. To address these issues, laboratory research has focused on inducing immunity effective against all strains, regardless of changes in the HA globular head. Despite prior dogma that such cross-protection needs to be induced by cellular immunity alone, several advances in recent years demonstrate that antibodies of other specificities are capable of cross-strain protection in mice. This review discusses the reactivity, induction, efficacy, and mechanisms of antibodies that react with poorly accessible epitopes in the HA stalk, with the matrix 2 membrane ion channel, and even with the internal nucleoprotein. These advances warrant further investigation of the inducibility and efficacy of such revolutionary antibody strategies in humans.

**Keywords:** influenza virus, antibody, hemagglutinin, matrix 2 external domain, nucleoprotein, vaccine

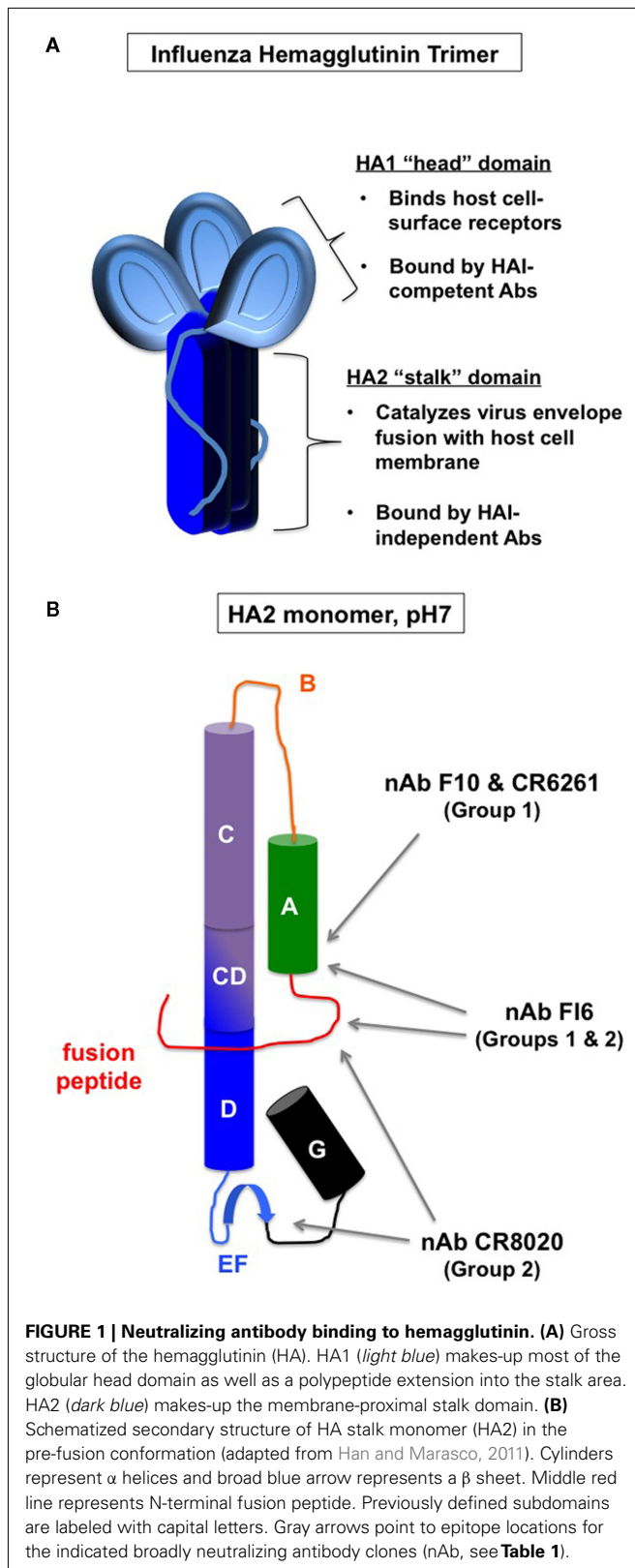
## INTRODUCTION

Influenza virus epidemics are characterized by a 3-day fever, respiratory affliction, and muscle pain affecting many individuals suddenly, a rise in elderly hospitalization and death, and widespread (pandemic) outbreaks at 10- to 50-year intervals (Potter, 2001; Gerdil, 2003; Taubenberger and Kash, 2010). These characteristic patterns allow estimation of influenza occurrences in the distant past (Potter, 2001; Gerdil, 2003). The term “influenza” is derived from the Italian word for “influence,” based on its temporal association with, and initially presumed causality of astronomical patterns observed in winter skies, when flu outbreaks tend to occur (1989; Gerdil, 2003). This conclusion appeared reasonable only in the absence of alternative evidence. Despite more than 300 years of observation, identification of a causative agent, and even development of prevention strategies, influenza outbreaks still cost society billions of dollars in healthcare and lost productivity (Li and Leader, 2007; Molinari et al., 2007; Nichol et al., 2009; Nichol, 2011). To deal with this burden, influenza vaccination strategies have focused on inducing antibodies that neutralize virus by binding to the highly variable globular head domain of its hemagglutinin (HA) envelope spike (Tsuchiya et al., 2001; Tosh et al., 2010; Xu et al., 2010; Han and Marasco, 2011). However, efficient viral immune evasion driven by these antibodies necessitates costly re-formulation and re-administration efforts that struggle to keep pace with HA head antigenic changes (antigenic drift) and with replacement of HA in its entirety (antigenic-shift). This process has been repeated for decades with the presumed

logic (to a degree, based on unawareness of alternative evidence) that classical neutralization is the only feasible means to prevent the consequences of this infection. However, a recent antigenic-shift-induced influenza pandemic in the year 2009 (Fraser et al., 2009; Neumann et al., 2009) underscores the long-overdue need to implement alternative vaccination strategies that do not rely on antibody recognition of the variable HA globular head. Encouragingly, antibodies against other viral components have shown significant efficacy in animal models. Here, we discuss such antibodies and their implications for advancing human immunization strategies against influenza virus.

## SEASONAL HEMAGGLUTINATION-INHIBITING ANTIBODY

HA is a transmembrane glycoprotein in the influenza virus lipid envelope (Skehel and Wiley, 2000; Rossman and Lamb, 2011). HA is composed of a membrane-distal globular head domain that mediates host-cell receptor binding, and a membrane-proximal stalk domain that directs envelope fusion with the host-cell (Skehel and Wiley, 2000; Gamblin and Skehel, 2010; Figure 1). Anti-HA head antibodies can inhibit virus replication in true neutralization assays (inhibiting virion entry into host cells) *in vitro*, functioning both independently of additional host-derived effector molecules, but are also capable of cooperating with complement, depending on the antibody subclass (Mozdzanowska et al., 1999). In humans and experimental animals, pre-existing neutralizing antibody titers correlate with less febrile illness, fewer days of virus shedding, and lower viral titers



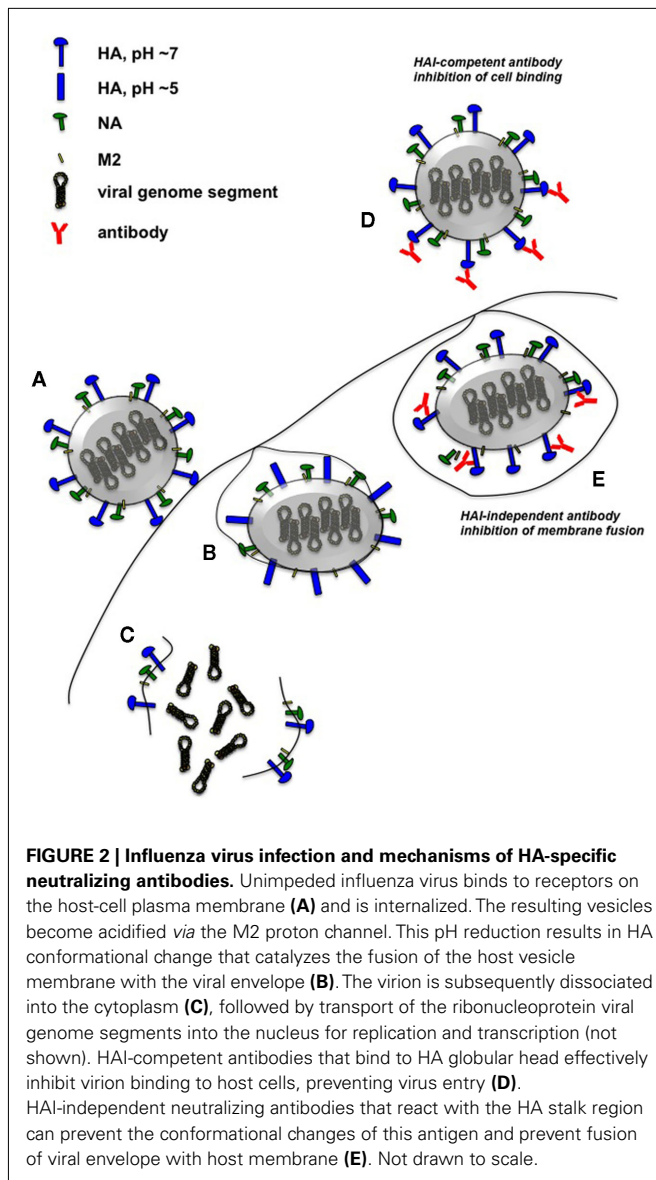
after infection (Murphy et al., 1972). The cause-and-effect relationship between anti-HA antibody and *in vivo* antiviral efficacy against matched strains is well-validated in laboratory animals

both by active vaccination (Brett and Johansson, 2005; Nayak et al., 2010) and by passive transfer of antibody (Mozdzanowska et al., 1999; Yu et al., 2008). Functional activity of HA globular head-reactive antibodies can be approximated through their ability to inhibit virus-induced agglutination of vertebrate red blood cells *in vitro* – hence the term “hemagglutination inhibition” (HAI). Although “HAI” and “neutralizing antibody” have been frequently used interchangeably in the past, recent appreciation of virus-neutralizing antibodies lacking HAI activity (discussed below) are leading to more discriminate use of such terms. Additionally, multiple HAI-independent antibodies described in the sections below provide broader definitions of “protection” to include mechanisms other than preventing virion entry into host cells, because such antibodies nonetheless can reduce viral load and delay or prevent infection-induced death in experimental animals.

The receptor-binding site on the HA globular head is surrounded by protruding peptide loops. Anti-head antibodies against these loops sterically hinder receptor binding, and efficiently prevent host-cell attachment and virus entry, as depicted in Figure 2 (Skehel and Wiley, 2000; Han and Marasco, 2011). Thus, these classical, HAI-competent neutralizing antibodies are the condoms of the immune system, in that they provide an effective barrier method. Unfortunately, one size does not fit all. The HA head protruding loops are highly variable among virus strains, and the head-binding antibodies do not neutralize viruses that are not closely related to the immunogen (Yu et al., 2008; Hensley et al., 2009; Skountzou et al., 2010).

HA subtypes for influenza A are categorized based on a nomenclature that began with retrospective identification of the strain responsible for the 1918 influenza pandemic (H1N1, “Spanish Flu”), which killed 50 million humans world-wide (Basler and Aguilar, 2008; Taubenberger and Kash, 2010). Since this outbreak, the amino-acid globular head sequence of H1 circulating in humans significantly drifted from the 1918 H1 sequence, while H1 concurrently circulated in swine with little divergence (Krause et al., 2010; Xu et al., 2010). After genetic reassortment with human and avian viral strains, swine H1 recently re-introduced itself into human circulation, causing a wide-spread, although less severe H1N1 pandemic in the year 2009 (Fraser et al., 2009; Itoh et al., 2009; Neumann et al., 2009; Smith et al., 2009). Whereas pre-2009 seasonal H1 human strain amino-acid sequences were only 50–60% identical with 2009 H1, 1918, and 2009 H1 were 80% identical to each other (Xu et al., 2010). This pattern likely explains a curious 2009 pandemic resistance among older adults previously exposed to the 1918 virus, correlating with long-lived cross-neutralizing antibodies in this cohort that is otherwise most susceptible to seasonal outbreaks (Yu et al., 2008; Fraser et al., 2009; Itoh et al., 2009; Xu et al., 2010; Xie et al., 2011).

As would be expected from the similarity between 1918 and 2009 H1 molecules, antibodies induced in humans before 1920 have HAI and neutralizing activity against 2009 H1N1 pandemic virus (Hancock et al., 2009; Krause et al., 2010). At least some of these antibodies can also reduce lung viral titers when passively transferred into mice challenged with 2009 H1N1 virus (Krause et al., 2010). However, such antibodies show little, if any, recognition of strains circulating in the decades more immediately



preceding the 2009 pandemic (Yu et al., 2008; Hancock et al., 2009). Priming mice by either sub-lethal infection or by vaccination with inactivated 1934 and 1957 H1N1 strains can induce HAI against 2009 H1N1, and *vice versa* (Skountzou et al., 2010). These reactivities correlate with cross-protection against lethality after challenge with live virus (Skountzou et al., 2010). However, priming mice with later (1983 and 1999) H1N1 strains is much less effective at inducing HAI against 2009 H1N1 (Skountzou et al., 2010). Therefore, the antigenic distance acquired over the seasons may contribute to waning cross-protection in humans, possibly explaining the unusually high incidence of the 2009 H1N1 infection in younger individuals (Fraser et al., 2009). These observations indicate that although cross-protection with HAI-competent antibodies is possible, it is a continually moving target.

Among human, swine, and avian influenza virus reservoirs, 16 total HA subtypes have been identified that are clustered into two phylogenetic groups based on amino-acid sequence (Skehel

and Wiley, 2000; Gamblin and Skehel, 2010; Han and Marasco, 2011; Medina and Garcia-Sastre, 2011). Phylogenetically, H1 clusters with H2, H5, H6, H8, and H9, whereas H3 clusters with H4 and H7 (Throsby et al., 2008; Sui et al., 2009; Han and Marasco, 2011; Medina and Garcia-Sastre, 2011). Between 1918 and 2009, two additional pandemics arose from genetic replacement of circulating H1 with H2 in 1957, and then replacement of H2 with H3 in 1968 (Basler and Aguilar, 2008; Taubenberger and Kash, 2010; Nabel et al., 2011). Since an accidental re-introduction of H1N1 virus in 1977, H1N1 and H3N2 viruses have co-circulated in humans, continuing to cause annual seasonal epidemics (2011). Unlike H1 and H3 viruses, H5-containing viruses are more common in birds than in humans. However, in 1997, the first of several sporadic and fatal (60% mortality) human cases were reported in Asia (Basler and Aguilar, 2008; Korteweg and Gu, 2008). To-date, H5N1 transmission from human-to-human has been rare (Korteweg and Gu, 2008). Yet, given the highly pathogenic nature of such viruses, a pandemic threat remains if even minor genetic changes in HA were to confer more efficient transmission among humans (Basler and Aguilar, 2008). A lack of existing herd immunity to H5 in humans coupled with the extreme virulence of such viruses predicts a pandemic of the severity more similar to that of the 1918 outbreak, rather than the more mild occurrence in 2009 (Basler and Aguilar, 2008). Rare cases of infection with H7N7 and H9N2 viruses have also been detected in humans, associated with agricultural exposure (Peiris et al., 1999; Koopmans et al., 2004; Taubenberger and Kash, 2010). Thus, continual HA drift in circulating human strains, emergence of new subtype sequences, and even re-emergence of archaic sequences from animal reservoirs, all of which may have little recognition by existing human immunity, provide a challenging task of seasonal vaccine matching as well as diverse opportunities for continued pandemic risks.

Current influenza vaccine strategies in humans, most commonly inactivated virus preparations, are designed to induce anti-HA globular head antibodies (Mossad, 2007, 2008; Du et al., 2010; Tosh et al., 2010). Thus, HAI has been used as a measure of vaccine “take” and its presumed indication of “protection” (Whitley and Monto, 2006; Xie et al., 2011). Seroprotection levels of HAI are reported to be induced in 75–98% of individuals vaccinated, with an antiviral efficacy of 25–75% (Ohmit et al., 2006; Nichol et al., 2007; Beran et al., 2009; Jackson et al., 2010; Xie et al., 2011). The accuracy of these latter values can be influenced by seasonal virus attack rates, study cohorts, degree of strain matching, and study endpoint criteria (Ohmit et al., 2006; Nichol et al., 2007; Jackson et al., 2010). Rise in circulating antibody-secreting cells (ASC) against the vaccine occurs within a week of immunization, concurrently with rise in HAI (which persists for weeks whereas ASC responses subsequently decline; Halliley et al., 2010). About 40% of the ASC against seasonal influenza vaccine are against HA, 20% of which are HAI-competent (Wrarmert et al., 2008). Given their relative specificity to the immunogen (Lee et al., 2011), these ASC responses are thus a very useful tool to measure vaccine immunogenicity without confounding effects of pre-existing antiviral serum antibody titers.

Because HA amino-acid sequences continue to drift (Han and Marasco, 2011), seasonal inactivated influenza vaccine is reformulated each year in an attempt to match the virus strains that

are predicted to circulate (Gerdil, 2003; Mossad, 2007, 2008; Tosh et al., 2010). Because H1 and H3 influenza A viruses have been co-circulating together with influenza B since 1977, the current seasonal inactivated influenza vaccine consists of one H1N1 strain, one H3N2 strain, and one influenza B strain (Gerdil, 2003; Mossad, 2007, 2008; Tosh et al., 2010). This combination is referred to as the trivalent-inactivated vaccine, TIV. Because circulating H2N2 was replaced by H3N2 influenza in 1968, H2 strains are no longer included in annual vaccinations. Thus, HAI against H2N2 is highest in people between 61 and 70 years of age, and is very rare in people under 40 (as of 2011; Nabel et al., 2011). However, given the re-emergence of swine H1N1 as a *de novo* pandemic despite existing human H1N1 in circulation, concern has been raised that a similar re-emergence could occur with H2 (Nabel et al., 2011). Whether such a threat warrants preemptive H2N2 vaccinations is currently debated (Nabel et al., 2011). Such measures for any of the remaining HAs may have a high cost-to-benefit ratio, because they cannot be predicted with certainty.

In addition to limited cross-reactivity, HAI-competent antibodies are efficient at selecting escape mutants *in vitro* (Tsuchiya et al., 2001). Furthermore, serial passage of influenza virus in homologously vaccinated mice promotes the recovery of progeny viruses with mutated HA globular head sequences (Hensley et al., 2009). Such escape mutants are resistant to *in vitro* HAI and neutralization with polyclonal antibody, strongly correlating with increased host-cell binding capacity of the mutant viruses (Hensley et al., 2009). These mutant viruses are rapidly selected for in co-infections with the parental wild-type virus strain (Hensley et al., 2009). Therefore, despite the efficacy of anti-globular head antibodies, HAI-resistant viruses are efficiently selected by matched influenza vaccines and the antibodies that they induce.

## ANTIBODIES AGAINST HA STALK DOMAIN

As discussed above, HAI-competent neutralizing antibodies are highly efficient at preventing and clearing influenza virus infection, but also provide a selective pressure for viral immune evasion. Thus, cross-protection against variant viral serotypes would

require the immune system to recognize more conserved epitopes, which tend to be less accessible than the HA globular head. Such epitopes can be found in the membrane-proximal stalk region of HA (Han and Marasco, 2011; **Figure 1B**; **Table 1**). Anti-stalk antibodies lack HAI activity and do not inhibit virion binding to mammalian host cells, but nonetheless have neutralization activity in sensitive assays using HA-expressing pseudoviruses, and also passively protect mice from lethal challenge *in vivo* (Okuno et al., 1994; Throsby et al., 2008; Sui et al., 2009). Structural modeling suggests that these antibodies bind a hydrophobic patch in the stalk region helical bundle of the protein's tertiary structure (Throsby et al., 2008; Ekiert et al., 2009; Sui et al., 2009). Binding of cell surface HA by anti-stalk antibodies is sensitive to low pH and to reducing agents (Throsby et al., 2008), indicating conformation-sensitive recognition of the molecule. Anti-stalk antibody binding does not change HA trimer structure, and actually prevents pH-induced "post-fusion-like" conformation change (Ekiert et al., 2009). These observations suggest that the antiviral mechanism of anti-stalk antibodies involves inducing conformational changes that interfere with HA-mediated virus-host-cell fusion (**Figure 2**). This suggestion is supported by a cell culture-based syncytia-forming assay (Sui et al., 2009). However, a recently described anti-HA stalk antibody has been shown to have reduced *in vivo* efficacy if the constant region is mutated to eliminate complement binding, and is nearly (but not completely) eliminated if it cannot bind Fc receptors (Corti et al., 2011). To what degree these different mechanisms are responsible for the *in vivo* antiviral activity of different clones of these antibodies remains to be determined. Understanding these mechanisms will be useful in determining what antibody effector subclasses will be most useful to engineer for passively transferred antibodies and to induce with selected adjuvants in human vaccinations. Comparable *in vivo* efficacy and *in vitro* function have also been described for a monoclonal antibody recognizing a conserved epitope in the underside of the HA globular head (Oh et al., 2010).

As would be expected from antigenic sequence conservation, anti-stalk antibodies initially generated against H5 react with and show *in vitro* activity against other phylogenetic group 1 HAs,

**Table 1 | HA stalk-reactive antibodies that have been structurally analyzed.**

Ab clone	Source	Strain reactivity	Epitope location*	Ab chains used	V genes	HCDRs used	Reference
F16	Sequence-optimized from human plasma cell cultures	Both group 1 and group 2	Fusion peptide and A helix**	Both HC and LC	VH3-30, V $\kappa$ 4-1	3 only	Corti et al. (2011)
F10	Phage-display library selected with H5	Group 1 only	A helix**	Only HC	VH1-69	1, 2, and 3	Sui et al. (2009), Corti et al. (2011)
CR6261	Human IgM memory-phenotype B cell phage-display library selected with H5	Group 1 only	A helix	Only HC	VH1-69	1, 2, and 3	Ekiert et al. (2009), Throsby et al. (2008)
CR8020	Immortalized human memory-phenotype B cells selected with fluorescently labeled H3	Group 2 only	Base $\beta$ sheet and fusion peptide	Both HC and LC	VH1-18, V $\kappa$ 3-20	1, 2, and 3	Ekiert et al. (2011)

\* See **Figure 1B**.\*\* F16 and F10 cross-compete.

Ab, antibody; HC, antibody heavy chain; LC, antibody light chain; HCDRs, complementary-determining regions of the antibody heavy chain.

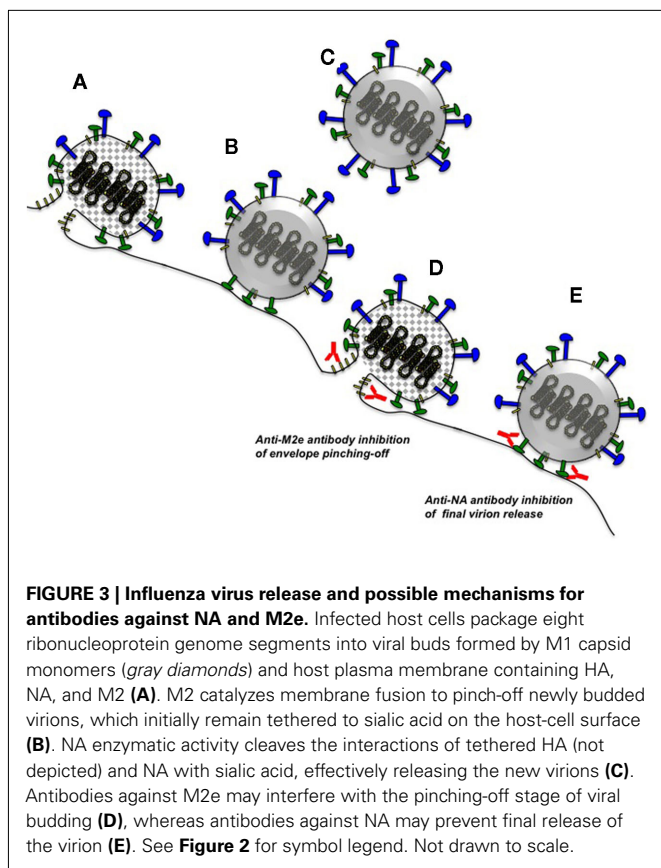
including H1, H2, H6, H8, and H9 (Throsby et al., 2008; Ekiert et al., 2009; Sui et al., 2009; Corti et al., 2010; Steel et al., 2010; Wei et al., 2010). However, such cross-reactivity is typically limited to group 1 HAs, as these antibodies poorly react or function against the group 2 proteins H3, H4, or H7 (Throsby et al., 2008; Ekiert et al., 2009; Sui et al., 2009; Corti et al., 2010; Steel et al., 2010; Wei et al., 2010). Similarly, anti-stalk antibodies injected *in vivo* generated against H5 trimers protect mice from lethal doses of group 1, but not group 2 viruses (Okuno et al., 1994; Throsby et al., 2008; Sui et al., 2009; Wrammert et al., 2011). Reciprocally, another antibody clone has been described that can function effectively against group 2, but not group 1 viruses (Ekiert et al., 2011). The anti-group 2 antibody binds the HA stalk closer to the viral envelope compared with the epitope bound by group 1-reactive antibodies (Figure 1B; Table 1; Ekiert et al., 2011). Additional clones have been identified that can effectively function against both group 1 and group 2 viruses (Corti et al., 2011). Some intriguing differences between the pan-reactive clones and the group-restricted clones include the derivation of the latter from CD138<sup>+</sup> plasma cells as opposed to IgM memory-phenotype B cell sequences, as well as a more restricted use of complementary-determining region (Table 1). These differences possibly suggest distinct induction pathways of pan-specific and group-specific responses. The cost-benefit relationship of determining how to confidently induce one pan-specific response with monovalent vaccination versus developing bivalent vaccines to induce a combined response to Group 1 and Group 2 viruses remains to be determined.

The characterization of stalk-reactive antibodies is a promising advance for improving cross-protective human vaccine development. To fully take advantage of this benefit, it is important to understand how such antibodies can be induced. Interestingly, cross-reactive anti-HA stalk monoclonal antibodies have been generated from the acute response to 2009 H1N1 pandemic virus and also from healthy subjects vaccinated with inactivated virus (Corti et al., 2010, 2011; Sui et al., 2011; Wrammert et al., 2011). Whereas these approaches used cell and molecular biology techniques to isolate and express these antibody specificities, it is unknown how to induce high-titer anti-HA stalk antibody in humans. Anti-HA stalk antibodies can be induced in mice by priming with HA-encoding DNA followed by seasonal TIV boost (Wei et al., 2010). This strategy has the advantage of using material (TIV) already approved for human use. Anti-HA stalk antibody can also be induced by immunizing mice with virus-like particles containing a “headless” HA protein lacking the globular domain (Steel et al., 2010). In both of these approaches, protection by active immunization and by passive serum transfer is restricted to viruses whose HAs are in the same phylogenetic group as that of the immunogen (Steel et al., 2010; Wei et al., 2010). However, if mice are instead vaccinated with a construct of only the long  $\alpha$  helix of the H3 stalk (strain A/HK/68 HA amino acids 76–130 fused with the carrier protein, keyhole limpet hemocyanin), improved mouse survival and reduced viral load is observed for both group 2 and for some group 1 viruses (Wang et al., 2010). Although this protection is less robust in the opposite group, this result indicates that a more cross-protective epitope(s) is contained within the long  $\alpha$  helix that is/are immunogenically obscured by the remainder of the stalk domain. This critical finding will likely help advance development of human vaccines to induce such antibodies.

Despite the promising cross-protective potential demonstrated for anti-HA stalk antibodies, such antibody can select virus escape mutants *in vitro* after several passages (Tsuchiya et al., 2001; Throsby et al., 2008). Such viral escape mutants are characterized by replacements of lysine 40 to either arginine or glutamine, as well as threonine 273 replaced with lysine (Tsuchiya et al., 2001). However, HAI-competent neutralizing antibody selects mutant viruses at a higher rate than non-HAI neutralizing antibody when compared side-by-side (Tsuchiya et al., 2001). Thus, at least in the shorter term, anti-stalk antibodies are more cross-protective with less potential for promoting immune evasion compared with HAI-competent antibodies. These observations strongly suggest that advances in vaccine development would benefit from shifting focus from HAI-dependent to HAI-independent antibody induction. Notably, homosubtypic-immune serum in which HAI antibodies predominate, can transfer immune protection from death in as little as 10  $\mu$ l per recipient mouse (Brown et al., 2006), whereas the anti-stalk-immune serum has been tested at 200  $\mu$ l (Wang et al., 2010). Careful side-by-side comparisons of purified antibody will need to be performed to determine whether or not anti-HA stalk antibodies have particular quantitative advantages over those against highly conserved proteins, discussed in subsequent sections.

#### ANTIBODY AGAINST NEURAMINIDASE

Neuraminidase (NA) is the second major influenza transmembrane glycoprotein, which exists as a homotetramer in the viral envelope (Gamblin and Skehel, 2010). NA cleaves sialic acid from the surface of infected cells and from newly formed viruses, allowing new virus release while preventing virion aggregation (Gamblin and Skehel, 2010). *In vitro*, anti-NA antibody does not neutralize virus (prevent host-cell entry), but instead prevents viral plaques from enlarging (Mozdzanowska et al., 1999). Thus, antibody-mediated inhibition of NA enzymatic activity may function to prevent new virion release (Figure 3). NA-inhibiting serum titers correlate with lower incidence of influenza-induced febrile illness, fewer days of virus shedding, and lower viral titers in humans (Murphy et al., 1972). Although NA-reactive antibody can be induced in humans after TIV immunization, this induction is reported to occur in fewer individuals than those that experience HAI induction with the same vaccine (Powers et al., 1996). Swine vaccination with inactivated virus has also failed to induce NA reactivity (Kim et al., 2006). Immunization of laboratory animals with NA protein or DNA induces NA-inhibiting antibody titers that correlate with reduced viral load, although such immunization can be less effective than HA immunization (Johansson and Kilbourne, 1993; Johansson et al., 1998; Brett and Johansson, 2005; Nayak et al., 2010). Similarly, passive transfer of anti-NA monoclonal antibody into mice can reduce viral load upon challenge infection, but the clones tested thus far appear to be less effective than anti-HA or anti-M2 (matrix 2) antibody (discussed below; Treanor et al., 1990; Mozdzanowska et al., 1999; Sandbulte et al., 2007). Reports differ on how well NA vaccination can cross-protect laboratory animals against NA-heterologous virus of the same subtype as the vaccine (Johansson et al., 1998; Brett and Johansson, 2005; Sandbulte et al., 2007). However, whole virus vaccination of mice does not induce *in vivo* selection of NA mutants, as is the case for HA (Hensley et al., 2009). Nonetheless, in the context of



other options for antibody reactivities against influenza virus, the relative efficacy and immunogenicity of anti-NA antibody have prompted little sustained interest by vaccinologists.

## FUNCTIONAL NON-NEUTRALIZING ANTIBODIES AGAINST CONSERVED ANTIGENS

### MATRIX 2 EXTERNAL DOMAIN

Matrix 2 (M2) is a third transmembrane protein expressed in the influenza A virus envelope (Lamb et al., 1985; Jegerlehner et al., 2004; Gabbard et al., 2009; De Filette et al., 2011; Rossman and Lamb, 2011). M2 homotetramers form a proton channel that participates in virus budding by orchestrating membrane pinching-off into enveloped virions (Rossman and Lamb, 2011). *In vitro*, anti-M2 antibodies prevent virus release into culture supernatant and also reduce viral plaque size without affecting plaque number (Mozdzanowska et al., 1999; Gabbard et al., 2009; Nayak et al., 2010). Thus, like anti-NA antibodies, anti-M2 antibodies may restrict viral propagation by preventing new virion release (Figure 3). *In vivo*, anti-M2 antibody can reduce mouse lung viral load and rescue survival after virus challenge (Treanor et al., 1990; Mozdzanowska et al., 1999; Jegerlehner et al., 2004; Ernst et al., 2006; Tompkins et al., 2007; El Bakkouri et al., 2011). This protective effect does not require lymphocytes to function downstream of the antibody (Jegerlehner et al., 2004; Zharikova et al., 2005), but does require activating Fc receptors as well as clodronate-sensitive cells (El Bakkouri et al., 2011), suggesting macrophage involvement in the antiviral mechanism. In fact, Fc

receptor expression on alveolar macrophages is sufficient to mediate anti-M2 antibody-mediated protection (El Bakkouri et al., 2011). Thus, whether the mechanism suggested by *in vitro* data in the absence of macrophages (Figure 3) is simply not responsible for *in vivo* efficacy or requires cooperation with Fc receptors is unknown.

In mice, respiratory infection with live influenza A virus (Feng et al., 2006; Rangel-Moreno et al., 2008) or vaccination with inactivated virus (Jegerlehner et al., 2004) induces little, if any, anti-M2 serum antibody. Such poor responses may result from the poorly exposed external domain (M2e), which is only 23 amino acids on the virus' exterior. Nonetheless, protective titers of anti-M2e antibody correlating with reduced viral load and improved post-infection survival can be induced by immunizing animals with plasmid and viral vectors encoding M2 (Epstein et al., 2000, 2005; Tompkins et al., 2007; Lo et al., 2008; Mispilon et al., 2010; Nayak et al., 2010) or by immunizing with M2e peptide expressed as a chimeric molecule with larger proteins (Neiryneck et al., 1999; Jegerlehner et al., 2004; Ernst et al., 2006; De Filette et al., 2011). Such protein fusions are poorly immunogenic and poorly effective if only the N-terminal nine amino acids of M2e are used (De Filette et al., 2011), indicating that the protective epitopes are between amino acids 10 and 23, corresponding to the sequence proximal to the viral membrane when *in situ*. The immunogenicity and efficacy of M2 immunization in mice has been linked to host genetic factors, including major histocompatibility loci (Mispilon et al., 2010). Thus, careful consideration needs to be given to how specific T cell help for M2e-specific antibodies could be induced among genetically diverse human individuals.

At the amino-acid level, M2e is ~90% identical among influenza A strains (Gabbard et al., 2009). This conservation is likely why M2e vaccination or passive transfer of M2e-immune antibody can protect mice from various strains of influenza (Neiryneck et al., 1999; Ernst et al., 2006; Tompkins et al., 2007). However, a position-10 amino-acid difference between human (proline) and avian (leucine) strains can prevent cross-protection with the same antibodies (Tompkins et al., 2007). Additionally, antibody-resistant mutants can be selected *in vitro*, which are then resistant to the antiviral effects of these antibodies *in vivo* (Zharikova et al., 2005). Such M2e-escape mutants tend to have a proline-10 change to either histidine or leucine (Zharikova et al., 2005; Gabbard et al., 2009). Thus, like anti-stalk antibodies, anti-M2e antibodies are more cross-reactive and cross-protective than anti-head HAI-competent antibodies, but are nonetheless not completely universal.

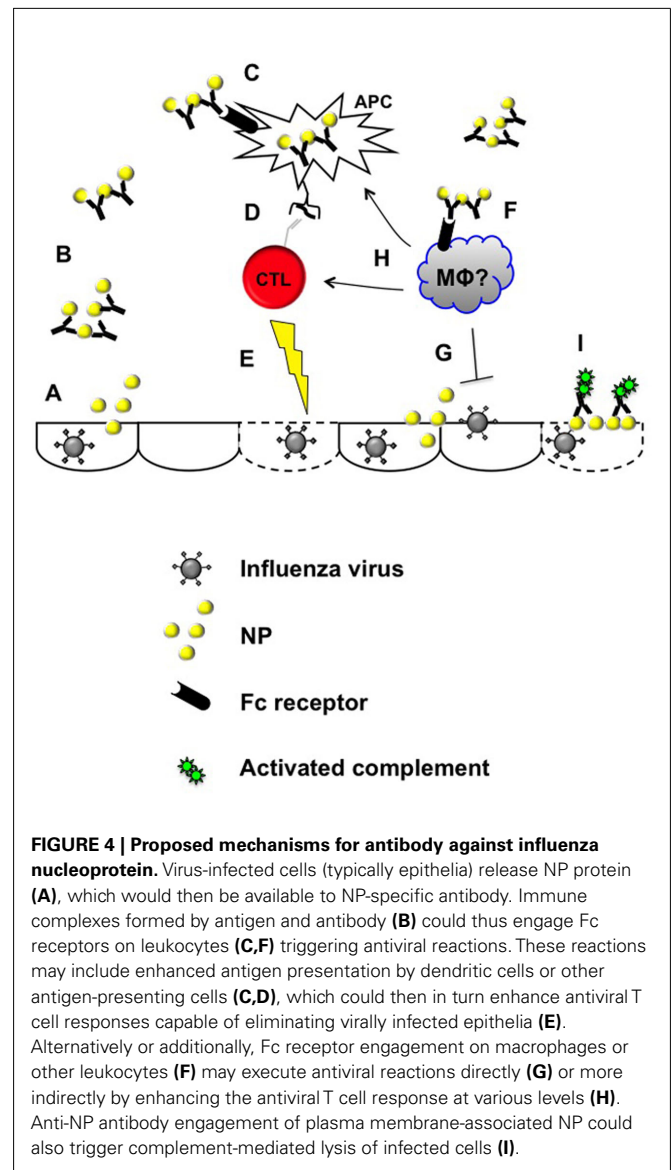
### NUCLEOPROTEIN

Influenza nucleoprotein (NP) is a histone-like polypeptide that forms the chromatin structure of the virus' RNA genome (Portela and Digard, 2002; Hutchinson et al., 2010). In infected host cells, NP also participates in viral RNA synthesis and ribonucleoprotein complex nuclear translocation (Portela and Digard, 2002; Hutchinson et al., 2010). It is thus not immediately apparent that antibody against such a protein would be able to find its cognate antigen during an infection in order to stimulate putative antiviral reactions, the nature of which, would be even less obvious. However, as early as 2 days after mouse infection with influenza virus,

cell-free NP protein is readily detectable in the airways (LaMere et al., 2011a). Whether such release of this protein is associated with death of infected cells or some other mechanism linked to the virus replication cycle is unknown. Similarly, the role, if any, of plasma membrane-associated NP detected on cultured cells (Virelizier et al., 1977; Yewdell et al., 1981) in virus replication is also unknown.

NP antigen has long been an attractive candidate for a universal influenza vaccine, due to its extensive conservation among influenza A strains (Shu et al., 1993; Portela and Digard, 2002). In fact, NP immunization promotes viral clearance and prevents mortality in mice after challenge with various viral serotypes (Wraith et al., 1987; Ulmer et al., 1993, 1998; Fu et al., 1999; Epstein et al., 2000, 2005). NP-immune protection correlates with the induction of NP-specific CD8 T cell responses (Wraith et al., 1987; Ulmer et al., 1993, 1998; Fu et al., 1999; Epstein et al., 2000, 2005; Lo et al., 2008), and T cell depletion can abrogate NP-immune protection (Ulmer et al., 1998; Epstein et al., 2000). This evidence was initially interpreted to mean that NP-immune protection in a universal human vaccine should be solely based on inducing the appropriate T lymphocyte responses, and that anti-NP antibody would have little, if any, role in this process. Nonetheless, NP-immune antibody can promote virus clearance and survival in mice (Carragher et al., 2008; LaMere et al., 2011a,b), and may explain the need for B cells and antibody in subtype cross-protection induced by previous infection with HN-disparate strains (heterosubtypic immunity; Nguyen et al., 2007; Rangel-Moreno et al., 2008; LaMere et al., 2011a).

Compared with antibodies against virion envelope proteins, the antiviral mechanisms of anti-NP antibody are much less apparent. As expected, anti-NP antibody does not neutralize virus or substantially change viral plaques *in vitro* (Mozdzanowska et al., 1999; Gabbard et al., 2009). However, cross-protective influenza-immune non-neutralizing antibody can cooperate with cultured macrophages to inhibit virus replication (Sambhara et al., 2001), although it is unclear if this effect is due to anti-NP antibody. Cultured influenza-infected cells with NP exposed on the plasma membrane can be lysed by complement in the presence of anti-NP antibody (Yewdell et al., 1981). Whether complement or other non-neutralizing mechanisms such as antibody-dependent cell-mediated cytotoxicity (Ahmad et al., 2001; Forthal et al., 2001; Gomez-Roman et al., 2005; Hessell et al., 2007) or antibody-mediated cytokine induction (Palmer et al., 2000; Chehadeh et al., 2001; Hober et al., 2001; Stratov et al., 2008) contribute to the *in vivo* activity of anti-NP antibody is unknown. At least some of the *in vivo* antiviral activity of this antibody against lethal, but not sub-lethal influenza infection has been attributed to type I interferon receptor signaling (LaMere et al., 2011a). Whether the source of the interferon is plasmacytoid dendritic cells, natural killer cells, or some other cell type remains to be determined. *In vivo*, the antiviral activity of anti-NP antibody is partially dependent on activating Fc receptors expressed on hematopoietic cells (LaMere et al., 2011a). Thus, the antiviral reactions of this antibody may begin with the formation of immune complexes once NP is released from infected cells (Figure 4). Such immune complexes would then be capable of engaging Fc receptors on one or more effector



leukocytes, that would then trigger further reactions to eliminate virions and/or virally infected cells. Whether the candidate leukocytes are macrophages or some other cell type remains to be determined.

When immune complexes consisting of antibody with cognate antigen (including anti-NP with NP protein) bind to Fc receptors on dendritic cells, they can enhance dendritic cell activation and antigen-presenting activity *in vitro* (Regnault et al., 1999; Rodriguez et al., 1999; Machy et al., 2000; Dhodapkar et al., 2002; Bergtold et al., 2005; Groh et al., 2005; Harbers et al., 2007; Zheng et al., 2007). Furthermore, anti-NP antibody can enhance NP-specific T cell responses when injected into mice, detected as either cytotoxic T cell activity (Zheng et al., 2007) or by flow cytometry as MHC–NP peptide-binding CD8<sup>+</sup> T cells (LaMere et al., 2011a). Notably, when NP-immune antibody is transferred into T cell-deficient mice or into mice depleted of CD8<sup>+</sup> cells, its antiviral activity is reduced or abrogated (Mozdzanowska et al., 1999;

Zharikova et al., 2005; Carragher et al., 2008; LaMere et al., 2011a). Thus, the antiviral mechanism of this antibody involves both Fc receptors and T cells, suggesting that immune complex stimulation of antigen presentation through these receptors may enhance cytotoxic T cell responses that can eliminate virus (**Figure 4**). In support of this mechanism, optimal heterosubtypic immunity correlates with both NP-specific CD8 T cell responses as well as anti-NP IgG responses (Rangel-Moreno et al., 2008; LaMere et al., 2011a). Importantly, antiviral mechanisms triggered by immune complex formation or by recognition of cell-bound NP would not require direct antibody engagement with replication-competent virus. Thus, unlike the antibodies described above, anti-NP antibody would be highly unlikely to provide a selective pressure for viral immune evasion.

Experimental infection of laboratory animals with influenza virus induces an NP-reactive serum IgG response within 2 weeks (Epstein et al., 1997; Kim et al., 2006; Rangel-Moreno et al., 2008; LaMere et al., 2011a,b). NP-reactive antibody can also be detected in most human subjects (Cretescu et al., 1978; Sukeno et al., 1979; Yamane et al., 1981; Ennis et al., 1982; Khurana et al., 2009; LaMere et al., 2011b), likely due to some prior exposure to influenza virus. In fact, the early transient antibody-secreting cell response in mice and humans during the first week of infection includes reactivity to NP (LaMere et al., 2011a; Lee et al., 2011). Whether this response is induced by soluble NP released during infection (LaMere et al., 2011a) or cell surface-associated NP during infection (Yewdell et al., 1981) is unknown. It was originally assumed that this antibody contributed little, if at all, to accelerated clearance of subsequent exposure to HN-disparate viruses (heterosubtypic immunity). However, mice with defective antibody production or defective B cell development have poor heterosubtypic immunity (Nguyen et al., 2007; Rangel-Moreno et al., 2008; LaMere et al., 2011a), which in the latter case, can be rescued by injection with anti-NP IgG (LaMere et al., 2011a).

Given the antiviral activity of this antibody and its contribution to mouse heterosubtypic immunity, why then, does the human population with anti-NP IgG remain susceptible to influenza-mediated illness? On one hand, the antiviral mechanisms functional in mice may simply not be present or sufficient in humans. Alternatively, the antiviral activity may go undetected, as no studies to-date have measured anti-NP antibody as a function of infection frequency, viral shedding, or signs of influenza-like illness. It may also be the case that the levels of this antibody are simply too low in some individuals. In mice, injection of purified anti-NP IgG requires a final equilibrated serum titer of  $10^5$  to reduce viral load (LaMere et al., 2011a), but serum titers in humans tend to be at least 10-fold lower (LaMere et al., 2011b). The relative functional equivalence of these values among species is unknown, but the results nonetheless suggest that high titers of this antibody are necessary for antiviral efficacy.

An additional factor in the efficacy of anti-NP antibody may be the availability of antiviral CD8 T cells with which these antibodies need to cooperate (possibly as a direct part of the antibody's mechanism; Mozdzanowska et al., 1999; Zharikova et al., 2005; Carragher et al., 2008; LaMere et al., 2011a). Influenza-specific T cell immunity wanes significantly over time (McMichael

et al., 1983; Liang et al., 1994). Thus, the efficacy of anti-NP antibody may vary in different individuals depending on their serum titer, together with how recent the previous infection was, in addition to other unidentified factors. In this case, vaccination strategies to boost existing anti-NP antibody titers may extend or rejuvenate poor long-lived heterosubtypic immunity. In fact, boosting influenza H3N2 virus-immune mice with purified NP protein can accelerate viral clearance after subsequent H1N1 challenge, correlating with increased titers of anti-NP IgG (LaMere et al., 2011b). This effect can be recapitulated by passive transfer of the antibody prior to challenge (LaMere et al., 2011b). Furthermore, anti-NP IgG can rescue diminished mouse heterosubtypic immunity up to 1.5 years after the primary infection (LaMere et al., 2011b). Thus, vaccination strategies to boost existing levels of anti-NP antibody may be a very feasible approach to inducing long-lived resistance to all influenza A strains.

Interestingly, despite abundant NP content in seasonal TIV, annual immunizations typically do not boost existing anti-NP antibody levels in humans (Ennis et al., 1982; LaMere et al., 2011b). Unadjuvanted TIV, as given to humans, also poorly induces anti-NP antibody in influenza-naïve mice (LaMere et al., 2011b). Thus, vaccine strategies to boost this effective antiviral immunoglobulin would need to be in a different form from the current vaccines, such as purified protein. It is unknown if live, cold-adapted influenza vaccine (FluMist<sup>®</sup>) induces serum or mucosal antibody against NP in humans. However, intranasal vaccination with cold-adapted virus induces anti-NP (but not anti-M2e) antibody in mice, correlating with protection against lethal heterosubtypic challenge (Lo et al., 2008; Price et al., 2009; Soboleski et al., 2011). Whether such cross-protective effects correlate with anti-NP antibody levels in human FluMist<sup>®</sup> recipients remains to be determined.

Overall, the evidence from mice indicates that antibodies against the conserved antigens M2e and NP are highly effective against multiple influenza A strains. Because the development and use of influenza vaccines were, for many decades, focused on antibodies against the ever-changing external viral antigens, seriously shifting our efforts toward greater cross-protection by human vaccination focused on these more conserved antigens would be a revolutionary advance.

## ANTIBODIES REACTIVE WITH OTHER INFLUENZA VIRUS ANTIGENS

As discussed above, strong experimental data indicate that HAI-independent antibodies against HA stalk domain, against M2e, and against NP have clear advantages over HAI-competent antibodies in controlling influenza infections because they can recognize more viral strains and are less likely to promote high-rate immune evasion (**Table 2**). It has additionally been argued that sterilizing neutralization mechanisms that rapidly eliminate challenge virus before the adaptive immune system can respond effectively prevent the induction of heterosubtypic immunity (Bodewes et al., 2009, 2010). Thus, carefully choosing which antiviral antibodies to induce may allow optimization of the most effective long-term cross-protective responses. With this concept in-mind, developing strategies to induce such antibodies should consider whether



**Table 2 | Comparison of antiviral antibodies showing efficacy against influenza virus.**

Target antigen	<i>In vitro</i> mechanism	<i>In vivo</i> requirements	Immunogenicity	Advantages	Disadvantages
HA head	Prevent receptor binding	T and B cell-independent	Good with infection, inactivated virus, or DNA/viral vectors	True barrier of infection	Poor cross-protection; strong selection for immune evasion
HA stalk	Inhibit membrane fusion	Unknown	Good with (pandemic) infection, DNA prime with TIV boost, or long $\alpha$ helix fused to KLH	Cross-protection among HA subtypes within a group	Less protective between HA groups; selection possible
NA	Prevent virion release	T and B cell-independent	Good with infection, inactivated virus, or DNA/viral vectors	Prevents early virus spread	Selection possible (?); appear to be less efficacious than other antibodies
M2e	Prevent virion release	T and B cell-independent; needs FcR; can function through FcR on M $\phi$	Poor with infection or inactivated virus; Good with protein fusion or DNA/viral vectors	Cross-protective among human and mouse viruses	Some selection possible; alone works poorly against H5 avian viruses if not matched at aa P10
NP	Stimulate DC activation; stimulate complement-mediated cytolysis	Optimal activity requires FcR on leukocytes and T cells/CD8 <sup>+</sup> cells	Good with infection, purified protein, or DNA/viral vectors; poor with inactivated virus	Reacts with all influenza A sequences; mechanism unlikely requires association with virion (unlikely to promote selection)	High titers of antibody needed
NS1	Unknown	Unknown	Good with infection; poor with protein immunization	Not associated with virion (unlikely to promote selection)	Moderate conservation of Ag among viral strains

FcR, Fc receptors; TIV, trivalent-inactivated influenza vaccine; KLH, keyhole limpet hemocyanin; M $\phi$ , macrophages; Ag, antigen; DC, dendritic cell.

**Table 3 | Antibodies with unknown efficacy against influenza virus.**

Target antigen	Reported in serum samples
M1	Mouse infection; swine infection; H5N1-convalescent human serum; rare in human volunteers experimentally infected
PB1	H5N1-convalescent human serum
PB2	H5N1-convalescent human serum
PB1-F2	H5N1-convalescent human serum; infected mice; seasonal-convalescent human serum
PA	H5N1-convalescent human serum
NS2	H5N1-convalescent human serum; swine infection

additional influenza-reactive antibodies (Table 3) could make further contributions.

Influenza matrix 1 (M1) protein, which constitutes the influenza viral coat, is >90% conserved at the amino-acid level among examined sequences (Lamb and Lai, 1981; Ito et al., 1991; Berthoud et al., 2011). Thus, antibody reactions against M1 would be expected to recognize multiple viral serotypes. Like anti-NP, anti-M1 antibody is induced by influenza infection of mice (Johansson et al., 1987) and swine (Kim et al., 2006). In one study, M1-specific antibody has been detected in convalescent serum from 5 of 5 H5N1 survivors (Khurana et al., 2009). However, an experimental human infection-induced a rise in anti-M1 in only ~4% of volunteers, few of whom began with anti-M1 antibody; by contrast, anti-NP antibody was much more common

at baseline, and 14.2% of volunteers had a rise in anti-NP after infection (Cretescu et al., 1978). Whether the less common induction of anti-M1 results from less or differential exposure of the antigen to B cells during infection is unknown. Unlike NP (Virelizier et al., 1977; Yewdell et al., 1981), M1 has not been detected on the surface of infected cells in culture (Mozdzanowska et al., 1999). It is unknown whether anti-M1 antibody is capable of antiviral activity. One tested monoclonal antibody against M1 neither neutralized virus *in vitro* nor cleared virus from infected *scid* mice (Mozdzanowska et al., 1999). However, it cannot be excluded that like anti-NP, this antibody could have antiviral activity at higher titers and/or in the presence of T lymphocytes.

The non-structural 1 (NS1) influenza gene product is expressed in infected cells, but not in virions (Hale et al., 2008). NS1 is a multifunctional virulence factor that disrupts the interferon response to virus, in addition to other mechanisms (Hale et al., 2008). Antibody against this protein is induced after influenza infection in mice (LaMere et al., 2011a,b), swine (Kim et al., 2006), and human (Khurana et al., 2009). Although induction of anti-NS1 antibody through active vaccination of influenza-naïve mice had little effect on viral clearance in our hands (unpublished), boosting existing levels of antibody induced by previous infection correlated with an early increase in viral load after challenge infection (LaMere et al., 2011a). However, preliminary results suggest that such boosting can reduce viral titers at 1 week, and that this effect can be transferred by immune serum (unpublished). The amino-acid identity of NS1 among most human influenza strains is reported to be greater than 93%, although these differ from avian strains by up to

40% (Hale et al., 2008; Kuo et al., 2010). Thus, the antiviral capabilities of anti-NS1 antibodies might be limited in instances of zoonotic transmission of the latter viruses. As a non-virion protein, however, any antiviral reactions of these antibodies would be highly unlikely to select for escape-mutant viruses. Antibodies against the NS1 splice variant, NS2, are also induced after influenza infection (Kim et al., 2006; Khurana et al., 2009); however, their antiviral potential is unknown.

Antibody against the influenza RNA polymerase subunits PA, PB1, PB2, and against the reading frame-shift product of the PB1 RNA genome segment called PB1-F2 have been detected in humans and mice known or likely to have been exposed to influenza infection (Khurana et al., 2009; Krejnosova et al., 2009). To our knowledge, antiviral activity of such antibodies has not been tested. The putative efficacy of anti-PA antibody could depend on whether it is capable of inducing corresponding specific T cell responses, as is the case with anti-NP antibody. Unlike virus-reducing NP-specific T cells, PA-specific T cells can increase viral load in virus-challenged mice (Crowe et al., 2005, 2006). Thus, antibodies against PA may result in proviral conditions. A T cell-independent antiviral role for any polymerase-specific antibodies cannot be excluded, however.

Overall, newly appreciated antiviral activities of antibodies against HA stalk, M2e, and NP (Table 2), possibly in addition to other less-explored reactivities (Table 3) provide diverse opportunities for developing more cross-protective, and conceivably universal, influenza vaccines. Whether such strategies will be optimal alone, in combination with HAI antibodies, or with each other remains to be determined. Vaccination with a combination of M1 and NP DNA in a modified vaccinia vector was recently demonstrated to be safe and to induce antigen-specific T cell responses in a human phase-I trial (Berthoud et al., 2011). Follow-up trials should include an analysis of anti-M1 and anti-NP antibody in the context of virus attack rate, viral load, and influenza-like illness in vaccinated compared with control subjects. Testing different formulations to optimize long-lived serum antibody against these antigens, such as whole protein immunization, can also be compared in the future.

One important consideration for formulation and scheduling approaches to induce multiple antibodies against influenza virus is the fact that one antigen may influence the immunogenicity of another. In chickens, HAI and neutralizing activity induced by HA DNA vaccination with a Newcastle disease virus vector can be

inhibited by co-vaccination with an M2-encoding vector (Nayak et al., 2010). Reciprocally, NA and/or HA co-immunization can inhibit chicken antibody responses to M2 as well (Nayak et al., 2010). These effects on antibody levels correlate with the relative efficacy of the respective vaccinations against highly pathogenic influenza viral load and host animal survival (Nayak et al., 2010). It is unknown if the inhibitory effects of M2 with HA and NA immunogenicity are due to responses to the antigens *per se*, or due to these vectors influencing each others' gene product expression.

Seasonal TIV (consisting of proteins from whole, inactivated, detergent-split virus) induces little anti-NP antibody in mice; however, TIV together with purified NP protein at a low dose that does not induce anti-NP antibody on its own, induces a strong anti-NP response (LaMere et al., 2011b). By contrast, anti-NP IgG titers induced by high-dose NP protein can be suppressed by high doses of TIV (LaMere et al., 2011b). Thus, the stoichiometry of NP with other TIV components can influence the antibody response to NP protein. It is unknown which TIV component(s) confer this effect. Such considerations, as well as further animal and human trials will be needed to develop optimal immunogenicity protocols for protective influenza antigens.

Although influenza was initially named based on an erroneously concluded causality (1989; Gerdil, 2003), this disease clearly "influences" human civilization in profound ways (Li and Leader, 2007; Molinari et al., 2007; Nichol et al., 2009; Nichol, 2011). In turn, we have put enormous effort into countering influenza virus with an immune mechanism that fails to keep pace with, and can even drive viral escape. The recent influenza pandemic of 2009, together with abundant animal reservoirs of new viral serotypes, some of which are highly pathogenic, illustrate a pressing need to change the course of our approach. To this end, exploitable mechanisms that are more cross-protective and are much less likely to promote viral escape can be mediated by antibodies against multiple viral antigens. Clearly, we have a great capacity to "influence" our own fate by applying the experimental findings about these antibodies to practical human use.

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**Conflict of Interest Statement:** A patent is pending on the use of anti-NP antibodies against influenza virus.

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