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EDITED BY

Zhongbo Hu,
St. Jude Children's Research Hospital,
United States

REVIEWED BY

Natalie Grover,
University of North Carolina at Chapel Hill,
United States
Jeffrey J. Pu,
Harvard Medical School, United States
Smitha Hosahalli Vasanna,
Case Western Reserve University,
United States

*CORRESPONDENCE

Lung-Ji Chang
✉ c@szgimi.org

[†]These authors share first authorship

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4SCAR2.0 therapy for the management of post-transplantation relapse of B-cell acute lymphoblastic leukemia

Rui Zhang^{1†}, Juan Xiao^{2†}, Yuan Sun², Sanfang Tu³, Yuhua Li³,
Leping Zhang⁴, Yifei Cheng⁴, Song Xue⁵, Yongping Zhang⁵,
Bin Wang⁶, Huyong Zheng⁶, Nobuhiro Nishio⁷,
Yoshiyuki Takahashi⁷, Seiji Kojima⁷, Yingying Wang¹,
Biljana Horn⁸ and Lung-Ji Chang^{1,6,7*}

¹Geno-Immune Medical Institute, Shenzhen, China, ²Department of Hematology, Beijing Jingdu Children's Hospital, Beijing, China, ³Department of Hematology, Zhujiang Hospital, Southern Medical University, Guangzhou, China, ⁴Department of Hematology, Peking University People's Hospital, Beijing, China, ⁵Department of Hematology, Aerospace Central Hospital, Beijing, China, ⁶Department of Hematology, Beijing Children's Hospital, Beijing, China, ⁷Department of Pediatrics, Nagoya University Graduate School of Medicine, Nagoya, Japan, ⁸University of Florida (UF) Shands Children's Hospital, University of Florida, Gainesville, FL, United States

Introduction: Allogeneic hematopoietic stem cell transplantation (allo-HCT) is a standard treatment for relapsed/refractory B-cell acute lymphoblastic leukemia (r/r B-ALL). However, about 30-40% of patients still relapse after HCT. Chimeric antigen receptor-modified T-cell (CAR-T) therapy has been proven effective in the treatment of relapsed or refractory B-ALL.

Patients and methods: We report a cohort of 30 B-ALL patients, who relapsed after HCT and were enrolled in the 4SCAR2.0 study, receiving CD19 CAR-Ts alone (20 patients), or two types of CAR-Ts targeting CD19, CD22, CD38 or CD123 antigens (10 patients), depending on the tumor antigen expression profile. These patients had extramedullary (EM) relapse or bone marrow (BM) relapse, or both. Based on the GVHD history, donor chimerism, and the available T-cell source, 25 patients received allogeneic donor CAR-Ts, and 5 patients received autologous CAR-T treatment.

Results: All 20 patients receiving a single CD19 CAR-T infusion achieved a minimal residual disease (MRD) remission within 60 days. The remaining 10 patients, due to low CD19 antigen expression profile, received 2 CAR-T products given on the same day, and 9 of 10 achieved complete remission (CR) and one had disease progression within 60 days. After CAR-T infusion, no cytokine release syndrome (CRS) was observed in 14 patients, and 16 patients experienced grade 1 CRS, and there was no neurotoxicity. Seventeen of the 30 patients who achieved remission (57%) remained in continuous remission following CAR-T treatment with a median follow-up period of 2 years and a median duration of remission of 12 months (range: 2.8 months - 67 months). Twelve out of 29 patients (41%) who achieved remission, subsequently relapsed at a median of 6.3 months (range: 2.8 months - 22.3 months) after CAR-T treatment. In summary, 29 patients (97%) achieved MRD negative remission

within 60 days of therapy with a single or double CAR-T infusion, and seven patients remained in durable remission (7/30, 23%) after more than 2 years of follow-up.

Discussion: The tumor antigen profile-guided precision 4SCAR2.0 regimen for the treatment of *r/r* B-ALL after allo-HCT was highly effective with low toxicity. This approach warrants extended follow-up and further studies.

Clinical trial registration: [ClinicalTrials.gov](https://clinicaltrials.gov), identifier NCT03125577.

KEYWORDS

CAR-T, B-ALL, transplantation, CD19, GvHD

Introduction

Chimeric antigen receptor (CAR) gene therapy is a breakthrough technology in the treatment of refractory hematologic malignancies (1–3). Currently, four chimeric antigen receptor-modified T-cell (CAR-T) products have been approved by the U.S. FDA for treating leukemia and lymphoma, all targeting the CD19 antigen. However, antigen escape, disease recurrence, CAR-T exhaustion, long manufacturing time, and high cost have been the major limitations of currently approved CAR-T therapies.

Allogeneic hematopoietic stem cell transplantation (allo-HCT) remains the standard-of-care treatment for relapsed or refractory B-cell acute lymphoblastic leukemia (*r/r* B-ALL) patients. The outcomes are poor, however, for patients with relapsed disease after HCT, and there is a need for novel treatments for these patients (4). CAR-T therapy has been proposed for treating *r/r* B-ALL patients after transplantation, yet several important issues remain, including how to choose the CAR-T source (allogeneic *vs.* autologous), the risk of graft-versus-host disease (GVHD), the best timing for CAR-T therapy, and the persistence of CAR-T in the HCT patients.

GVHD is a common complication after allo-HCT, but it also contributes to graft-versus-leukemia (GVL) effects. Despite GVHD, patients with B-ALL may develop extramedullary (EM) recurrence, such as central nervous system leukemia (CNSL) or testicular leukemia, without residual disease in the bone marrow (BM). Most chemotherapeutic agents and antibodies have limited CNS penetration, so EM B-ALL involving CNS poses a serious challenge. However, numerous reports indicate that CAR-T therapies are effective in patients with CNS-relapse of B-ALL and B-cell lymphomas (5–8).

To overcome the limitations of CAR-T therapies, here we report the results of the 4SCAR2.0 trial, which utilized CD19 CAR-T or a combination of CD19 with CD22, CD123, or CD38 CAR-T products based on individual leukemia antigen expression profiles, to target post-allo-HCT BM or extramedullary relapse of B-ALL. Similar to combining different chemotherapy agents to overcome chemotherapy resistance, we have started combining CAR-T therapies targeting different antigens to overcome tumor

antigen escape. The fourth-generation safety switch CAR (4SCAR) design improved the safety and effector activity of multiple CAR-T therapies with reduced manufacturing time and costs. This report illustrates a high remission rate and an encouraging efficacy with low toxicity.

Patients and methods

Patient enrollment

The current study was approved by the Institutional Review Board of Geno-immune Medical Institute (GIMI) of Shenzhen, China (GIMI-IRB-17.005) and is registered at [ClinicalTrials.gov](https://clinicaltrials.gov) as NCT03125577. The patients provided informed consent in accordance with institutional guidelines and the Declaration of Helsinki. This is an interim report from an ongoing multicenter trial of the 4SCAR2.0 study of combining multi-CAR-T therapies targeting B-cell malignancies.

Patients between 6 months and 80 years of age, who have had relapse after allo-HCT, did not have any active infections or major organ dysfunctions, and did not have a history of GVHD grade 3 or higher during their transplantation course were eligible for treatment in this study.

4SCAR2.0 study design

The 4SCAR2.0 regimen is designed to apply multiple CAR-T therapies based on target antigens identified in individual tumors. The targets for the CAR-T therapy were identified by flow cytometry or immunohistochemistry analysis of the pathological specimens, including blood, BM, spinal fluid, and EM tumor biopsies. The peripheral blood mononuclear cells (PBMCs) were obtained by apheresis from the patients at relapse with sufficient lymphocyte counts or from the healthy transplant donors, and the T cells were selected by CD3 magnetic beads. After activation, the T cells were transduced with a caspase 9-inducible, safety-engineered lentivector (LV) CAR containing multiple intracellular signaling

domains, including a target antigen-specific single-chain antibody, single-chain variable fragment (scFv)/CD28/CD27/CD3z-iCasp9 (4SCAR), targeting the specific surface antigens (CD19, CD22, CD38, and CD123) as described in Nair et al. (9). After immunodepletion with fludarabine and cyclophosphamide, all patients received CD19 CAR-Ts. In addition, patients with a high leukemia burden, EM disease, and/or low CD19 expression in leukemic cells based on flow cytometry analysis received another CAR-T infusion targeting a different antigen (CD22, CD38, or CD123) according to the leukemic antigen profile. Daily adverse event assessments, including cytokine release syndrome (CRS) and immune effector cell-associated neurotoxicity syndrome (ICANS), were performed within 14 days after infusion. The CAR-T expansion was measured by qPCR detection of the specific CAR DNA in the blood mononuclear cells after infusion. Long-term therapeutic responses continue to be followed up and evaluated by an oncologist.

Lentiviral CAR engineering and CAR-T preparation

Lentiviral vectors (LVs) were generated based on the NHP/TYF LV system, as previously described (9–11). A fourth-generation CAR, containing antigen-specific scFv fused with CD28-CD27-CD3z signaling domains and an inducible caspase 9 motif, was chemically synthesized and cloned into a pTYF-transducing vector behind a human EF1 α promoter as previously described (9, 12). The scFv gene for the CD19 CAR was human codon-optimized based on the hybridoma FMC63 clone (9). The scFv fragments for the CD38 CAR, CD22 CAR, and CD123 CAR were codon-optimized and chemically synthesized by Epoch Life Science (Sugar Land, TX, USA) based on monoclonal antibody clones Hu-Max-CD38, RFB4, and 7G3, respectively (13–15). In addition, a CD19 CAR design based on the IL-15Ra intracellular signaling domain (4SCAR19-153z) was constructed and functionally tested as previously described (9). The final LV-CAR constructs and their target-killing activities were verified by DNA sequencing and target-specific functional analyses. For the preparation of clinical-grade CAR-Ts, a standard operation procedure has been established in compliance with good manufacturing and laboratory practice (GMP) following the regulatory guidelines for cell and gene therapy products.

CAR detection by quantitative PCR

The CAR copy number in blood was determined by real-time qPCR, based on both SYBRTM and TaqManTM probe methods using primers designed according to the individual CAR scFv gene sequences; therefore, different CAR-Ts could be monitored in patients simultaneously (9, 12, 16). Genomic DNA was harvested from blood cells using a Promega genomic DNA purification kit (Promega Corp., Madison, WI, USA). The qPCR data were collected using a Mx3000PTM system (Stratagene, Agilent Technologies, Santa Clara, CA, USA). The percentage of CAR-Ts

in the PBMCs was calculated based on the copy number of a housekeeping gene.

Results

Patient characteristics

Patient characteristics are summarized in Table 1. The study profile is illustrated in Figure 1. Thirty patients who relapsed after allo-HCT for B-ALL were enrolled in the study. The median age was 14 years (range: 2 years–58 years), and nine patients (30%) had a BCR/ABL (p190) mutation. Before relapse, 10 (33%) patients had experienced grade 2 or lower acute GVHD (aGVHD), and 20 had no history of GVHD. No patients had active GVHD at the time of study enrollment. The relapse sites included the BM ($n = 22$), CNS relapse alone ($n = 5$), and combined BM and CNS relapse ($n = 3$). Bridging therapy prior to CAR-Ts was used in 22 patients (73%), as outlined in Table 1, and patients 14, 18, and 21 had previously received CD19 CAR-Ts from an unknown source. The BM tumor burden before CAR-T infusion ranged from MRD-negative to 91% of blasts, based on flow cytometric analysis before CAR-T therapy. Prior to CAR-T infusion, all patients received standard immunodepletion treatment with cyclophosphamide and fludarabine (8–12).

CAR-T cell infusion and doses

Twenty patients received a single CD19 CAR-T infusion, with a mean dose of 1.95×10^6 (\pm SD 1.85) CAR-T/kg, and all of them achieved an MRD-negative remission by flow cytometry within 60 days of infusion. The remaining 10 patients received two CAR-T infusions given on the same day, including CD19 plus CD22 ($n = 6$), CD123 ($n = 3$), and CD38 ($n = 1$) CAR-T based on the leukemic antigen profile (Figure 2A). Nine of the patients achieved CR within 60 days post-infusion, while one patient had a progressive form of the disease. Note that the 4SCAR-T therapy-failed patient had a low disease burden (0.02%) prior to CAR-T infusion and received CD19 plus CD22 double CAR-Ts. Out of the 30 patients, six received a total CAR-T dose lower than 1×10^6 CAR-Ts/kg; the remaining patients received a total CAR-T dose over 1×10^6 cells/kg. The statistics were performed by a paired-sample *t*-test ($p = 1 > 0.01$); there were no statistical differential effects on the different CAR-T doses (Figure 2B). This is consistent with previously accumulated and published 4SCAR19 clinical data (8).

GVHD and CAR-T donor selection

After allo-HCT, 10 patients experienced grade 2 or lower aGVHD, and 20 patients had no history of GVHD. None of them had any active GVHD at the time of CAR-T therapy. Based on the GVHD history, donor chimerism, and donor availability, we procured T cells for the preparation of CAR-T products from the

TABLE 1 Patient and treatment characteristics.

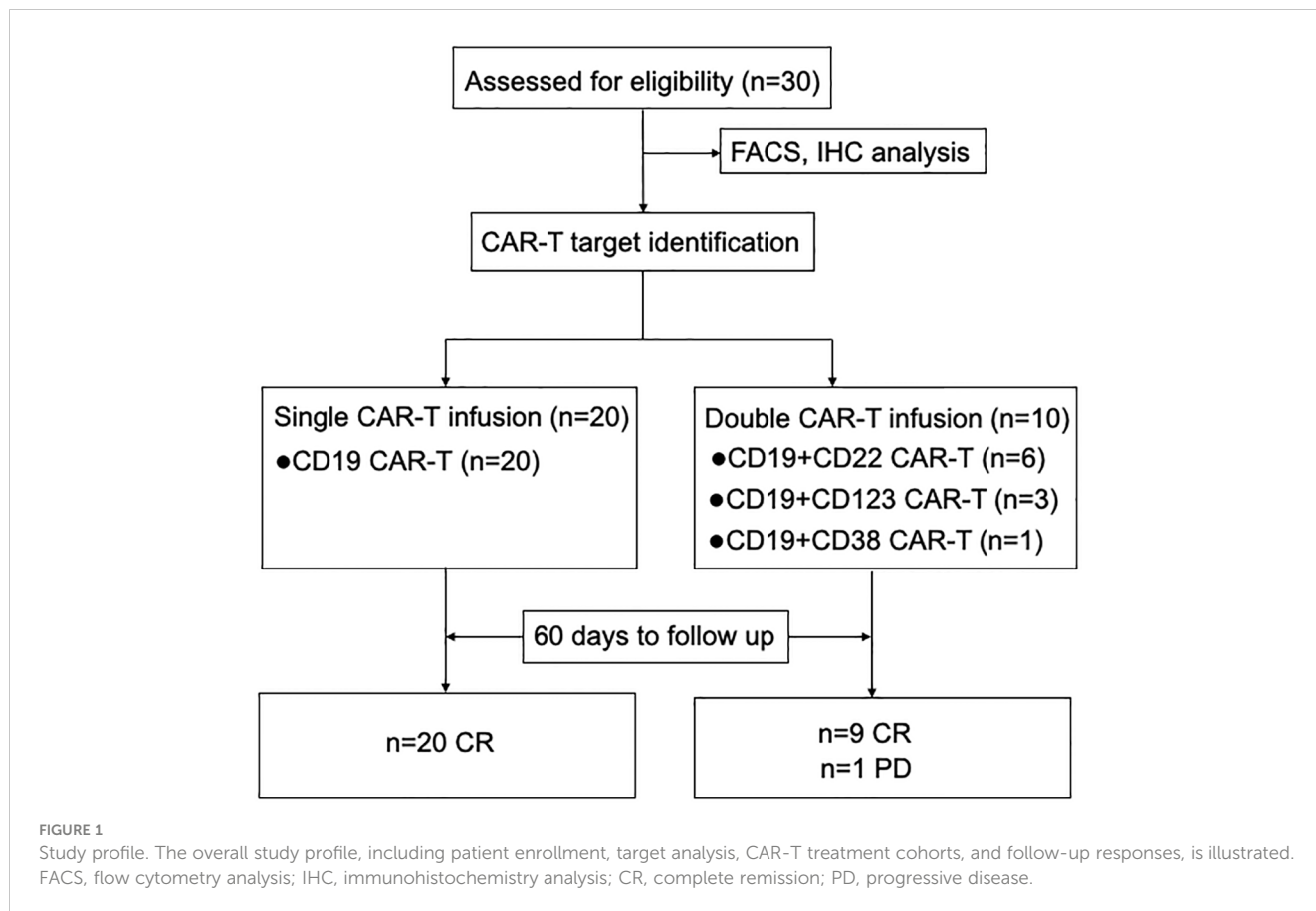
N	Age	Time to relapse (months)	GVHD history (Y/N)	BCR-ABL (p190)	Relapse site	Bridging therapy	Disease burden prior to CAR-T	CAR-T source	CAR-T targets	CAR-T Doses 10 ⁶ /kg	CRS grade	GVHD after CAR-T (Y/N)	Status / Follow-up (months)	Combination treatment after CAR-T	Follow-up after CAR-T
1	10	4	N	N	BM	None	73%	Allo	CD19	0.17	1	N	CCR, 67 months	None	/
2	2	6	N	N	EM	Chemo	<0.01%	Auto	CD19	1.06	0	N	CCR, 57 months	None	/
3	2	10	Y	N	EM	IT Chemo	<0.01%	Allo	CD19	2.81	1	Y	CCR, 31 months	None	/
4	11	8	Y	N	BM	None	66%	Allo	CD19	5.84	1	N	relapsed, 2.8 months	None	Second transplantation
5	10	8	N	Y	BM	TKI	2.4%	Allo	CD19/CD22	3.02/4.1	0	N	relapsed, 5.8 months	None	Died
6	10	14	N	N	BM	Chemo	<0.01%	Auto	CD19/CD123	1.05/0.34	1	N	relapsed, 17 months	None	Unkown
7	4	8	N	N	BM	Chemo	<0.01%	Auto	CD19	1.47	1	N	CCR, 25 months	None	/
8	5	13	Y	Y	BM	IT chemo	0.02%	Allo	CD19/CD22	2.77/2.25	0	N	Progressive disease after CAR-T	None	Second transplantation
9	8	15	Y	N	BM+EM	None	26%	Allo	CD19/CD22	2.39/2.61	1	Y	relapsed, 21 months	None	Conservative treatment
10	8	17	Y	N	BM	None	0.7%	Allo	CD19	0.39	1	N	CCR, 13 months	None	/
11	3	6	Y	N	BM	None	2%	Allo	CD19	2.69	1	Y	CCR, 12 months	None	/
12	9	7	N	N	EM	None	<0.01%	Allo	CD19/CD22	0.88/1.15	1	N	relapsed, 11 months	None	Second transplantation
13	18	15	Y	Y	BM	TKI	11%	Allo	CD19/CD123	0.96/0.36	1	N	CCR, 43 months	None	/
14	36	1	N	Y	BM+EM	TKI, chemo, CAR-T	0.8%	Allo	CD19/CD38	2.58/0.55	0	N	relapsed, 6 months	Dasatinib	Dasatinib and traditional Chinese medicine
15	25	1	N	Y	BM	None	0.08%	Allo	CD19/CD123	2.2/0.52	1	Y	relapsed, 6 months	Dasatinib	Died

(Continued)

TABLE 1 Continued

N	Age	Time to relapse (months)	GVHD history (Y/N)	BCR-ABL (p190)	Relapse site	Bridging therapy	Disease burden prior to CAR-T	CAR-T source	CAR-T targets	CAR-T Doses 10 ⁶ /kg	CRS grade	GVHD after CAR-T (Y/N)	Status / Follow-up (months)	Combination treatment after CAR-T	Follow-up after CAR-T
16	27	3	N	N	BM	None	<0.01%	Allo	CD19	0.5	0	Y	relapsed, 22 months	None	Died
17	28	20	N	Y	BM	TKI, chemo	0.8%	Allo	CD19	1.27	0	N	CCR, 27 months	Dasatinib	/
18	30	6	N	N	EM	CAR-T	<0.01%	Auto	CD19	0.2	0	N	CCR, 24 months	None	/
19	23	14	N	Y	BM	TKI	62%	Allo	CD19/ CD22	3.58/2.04	1	N	CCR, 21 months	None	/
20	41	10	N	N	BM	Chemo	45%	Allo	CD19	0.71	0	N	relapsed, 6 months	Venetolax	Second transplantation
21	7	18	N	Y	EM	CAR-T	CR	Allo	CD19	1.07	0	N	CCR, 15 months	None	/
22	4	4	Y	N	BM	Chemo	0.95%	Allo	CD19/ CD22	1.0/3.58	1	Y	relapsed, 3.2 months	None	Alternative CAR-T
23	12	5	N	N	BM	Chemo	17%	Allo	CD19	0.57	1	Y	CR, 6.9 months	None	/
24	13	5	N	N	BM	Chemo	0.624	Allo	CD19	1.31	0	N	CR, 5.4 months	None	/
25	30	60	Y	Y	BM+EM	Chemo	91%	Allo	CD19	2.2	1	N	CR, 3 months	None	/
26	32	6	N	N	BM	Chemo	3.40%	Allo	CD19	2.71	0	N	relapsed, 6.4 months	None	Chemotherapy
27	33	7	N	N	BM	Chemo	24%	Allo	CD19	7.5	0	N	CCR, 16 months	None	/
28	28	48	N	N	BM	Chemo	CR	Allo	CD19	2.32	0	N	CR, 9 months	None	/
29	58	36	N	N	BM	Chemo	0%	Auto	CD19	1.68	0	N	CR, 9 months	None	/
30	15	10	Y	N	BM	Chemo	0.34%	Allo	CD19	2.52	1	Y	relapsed, 3.6 months	None	Died

GVHD, graft vs. host disease; IT, intrathecal; TKI, tyrosine kinase inhibitor; CCR, continuous complete remission.

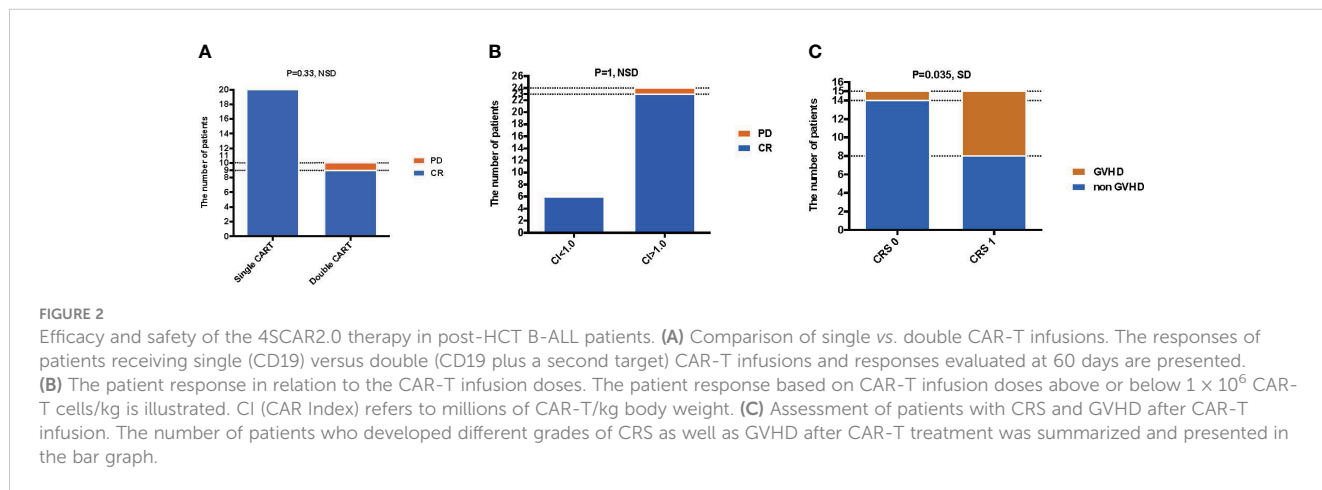


original HCT allogeneic donors ($n = 25$) or the patients themselves ($n = 5$).

CRS and GVHD after CAR-T treatment

We observed no CRS in 14 patients (47%); the remaining 16 patients (53%) experienced grade 1 CRS, and none of them experienced neurotoxicity using the Penn grading scale (Figure 2C). Among the four patients with a high tumor burden

(i.e., > 50% BM blasts), we observed only grade 1 CRS. This is consistent with the low toxicity profile of the 4SCAR design as reported before (8, 9). Seven patients developed both CRS and aGVHD (Table 1). Acute GVHD of grade 2 or lower developed in 8 out of 25 patients (32%) within 1 month of receiving allogeneic CAR-Ts, including rash, liver, lung, and intestinal reactions. All patients with GVHD responded well to treatment with topical corticosteroids or systemic cyclosporine. Five of the eight patients with GVHD had a history of aGVHD following HCT, while the remaining three developed *de novo* GVHD after CAR-T infusion.



Follow-up after CAR-T therapy

A total of 29 patients achieved MRD-negative remission, and 12 (41%) of them relapsed at a median of 6.3 months (range: 2.8 months–22.3 months) following infusion. With a median follow-up period of 2 years (range: 2.8 months –67 months), 17 patients (57%) remained in continuous remission. Eleven out of the 24 (46%) patients who received allogeneic CAR-Ts and one-fifth (20%) who received autologous CAR-Ts relapsed after an initial MRD-negative response. Ten patients received two CAR-T infusions, including three patients who received CD19 plus CD123 CAR-Ts. While targeting CD123 could induce hematopoietic suppression and pancytopenia, we did not observe such an adverse effect in any of the CD123 CAR-T-treated patients. Three patients received a combination therapy of dasatinib after the CAR-T treatment.

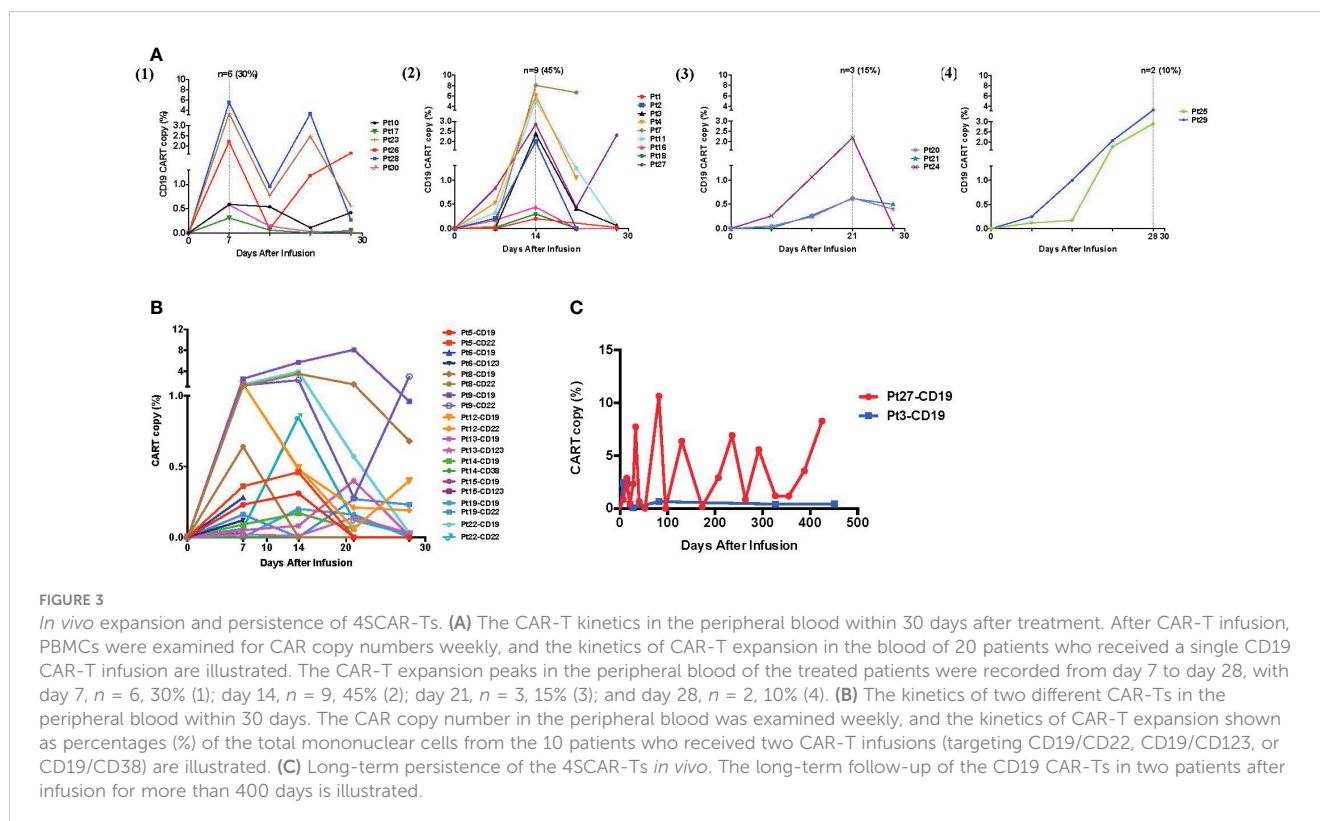
All eight patients with EM relapses (CNS relapses) achieved CR following the CAR-T treatment. The patients with an EM disease at the time of relapse showed similar toxicity and efficacy as those without an EM disease. After CAR-T infusion, three patients received dasatinib and one received venetoclax as a maintenance treatment, as presented in Table 1. Due to the limited number of patients, the effect of these combination treatments is unknown, and further studies are needed. After CAR-T treatment, four patients received a second transplantation: two of them remained BCR-ABL (p190) positive, and the other two were lost to follow-up.

In vivo expansion and persistence of the CAR-T cells

After infusion, we used a real-time qPCR method to track CAR-Ts in the peripheral blood. We designed CAR-specific primers and probes that could distinguish the specific CAR sequences. From the amplification dynamics of the 4SCAR-Ts *in vivo* within 30 days, it was determined that the 4SCAR-Ts usually reached their peak in the peripheral blood around 7 (30%) to 14 (45%) days after infusion. We presented the amplification curve of CAR-Ts in the peripheral blood of 20 patients who received a single CD19 CAR-T infusion in Figure 3A. Simultaneous amplification of different CAR-Ts in the blood could be detected in 10 patients who received two CAR-T products, and the different CAR-Ts did not appear to suppress each other *in vivo* (Figure 3B). The 4SCAR2.0 study also demonstrated that the 4SCAR-Ts can exist *in vivo* for a prolonged period of time; for example, persistent CAR-Ts were in two patients for more than 1 year, suggesting the establishment of CAR-T memory (Figure 3C).

Discussion

Following the approval of the initial CD19 CAR-T products (Kymriah and Yescarta), many new CAR designs and studies have emerged. However, clinical experiences with CD19 CAR-T therapy have faced many challenges, including high toxicities, frequent



disease relapses, and high manufacturing costs. In addition, fast exhaustion of the infused CAR-Ts and CD19 antigen escape could result in disease recurrence within 1 year in more than half of the treated patients (17, 18).

In 2015, we initiated the 4SCAR2.0 study, which allowed for multiple CAR-T infusions targeting different tumor antigens to reduce disease recurrence (19, 20). Under traditional therapies, even with a second HCT, the overall survival of children with B-ALL who relapse after HCT is < 10% (7/97 children) (21). Even with new therapies, the survival of patients relapsing after HCT remains poor. The CAR-T therapy has proven to be effective in r/r B-ALL patients. Considering the good toxicity profile of the 4SCAR2.0 technology, we treated B-ALL patients who relapsed after HCT with this novel regimen, which includes the following: focusing on patients who relapsed after HCT and using allogeneic and individualized combinations of CAR-Ts.

Among the 30 r/r B-ALL patients who relapsed after allo-HCT, 25 received donor source/allogeneic CAR-Ts, and 10 received CAR-Ts of two different specificities. Due to the limited patient number, statistics were performed by a paired-sample *t*-test ($p = 0.33 > 0.01$); we did not observe a significant difference between the single CD19 CAR-T arm ($n = 20$) and the double CAR-T arm ($n = 10$). The lack of a significant outcome from the double CAR-T arm could also be attributed to the enrollment criteria as well as the leukemia antigen profile, that is, two target antigens chosen due to a low CD19 expression phenotype and/or a high disease risk. Therefore, increased patient numbers and extended follow-up periods are needed to further assess the potential advantage of double or multiple CAR-Ts.

There was also no significant difference in the response to the CAR-T dosages of above or below 1 million CAR-Ts/kg body weight, which was consistent with accumulated 4SCAR-T clinical data (20, 22). The 4SCAR technology applies a quick CAR-T manufacture protocol (5–7 days), which could preserve high levels of T memory stem cells due to the reduced *ex vivo* expansion time. The CRS was of low grade, even in the allo-CAR-T recipients, including the five patients who had > 50% BM blasts at the time of CAR-T infusion. This is consistent with the low toxicity profile of the 4SCAR design as reported (9, 20, 22, 23). Many post-allo-HCT relapses involve an EM disease. In this study, we showed that all eight patients who had EM relapses prior to CAR-T infusion achieved CR with little to no toxicity. Importantly, many of these patients who had an EM disease at the time of relapse had similar toxicity and efficacy responses as those without an EM disease, suggesting that the 4SCAR-Ts can effectively and safely function in the EM milieu.

Besides CRS, GVHD is an obvious concern for allo-HCT patients who receive donor-sourced CAR-Ts. We observed aGVHD of grade 2 or lower develop in eight patients receiving allogeneic HCT within 1 month of a donor source CAR-T infusion, and all of them were manageable with supportive care and/or a cyclosporine infusion. However, since we enrolled only patients with a history of grade 2 or lower aGVHD in this study, the observed safety profile of allogeneic donor CAR-T could apply only to those without a history of severe GVHD.

Conclusions

In conclusion, while the expanded study is ongoing, the results from the 4SCAR2.0 regimen in the treatment of the first 30 patients indicate that the approach is effective and safe in managing post-allo-HCT relapse of r/r B-ALL.

Data availability statement

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author.

Ethics statement

The studies involving humans were approved by the Shenzhen Geno-Immune Medical Institute IRB. The studies were conducted in accordance with local legislation and institutional requirements. Written informed consent for participation in this study was provided by the participants' legal guardians/next of kin.

Author contributions

L-JC designed the study, engineered the different CAR constructs, and finalized the manuscript. JX, YS, ST, YL, LZ, YC, SX, YZ, BW, HZ, NN, YT, and SK performed the clinical treatments. RZ and YW performed laboratory work and data analysis. RZ drafted the manuscript. BH and L-JC revised the manuscript and participated in the discussion. All authors read and approved the final manuscript. All authors contributed to the article.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The author YL declares that they were an editorial board member of *Frontiers* at the time of submission. This had no impact on the peer-review process or the final decision.

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