



# Understanding alcoholism through microRNA signatures in brains of human alcoholics

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Advances in the fields of genomics and genetics in the last decade have identified a large number of genes that can potentially influence alcohol-drinking behavior in humans as well as animal models. Consequently, the task of identifying efficient molecular targets that could be used to develop effective therapeutics against the disease has become increasingly daunting. One of the reasons for this is the fact that each of the many alcohol-responsive genes only contributes a small effect to the overall mechanism and disease phenotype, as is characteristic of complex traits. Current research trends are hence shifting toward the analysis of gene networks rather than emphasizing individual genes. The discovery of microRNAs and their mechanisms of action on regulation of transcript level and protein translation have made evident the utility of these small non-coding RNA molecules that act as central coordinators of multiple cross-communicating cellular pathways. Cells exploit the fact that a single microRNA can target hundreds of mRNA transcripts and that a single mRNA transcript can be simultaneously targeted by distinct microRNAs, to ensure fine-tuned and/or redundant control over a large number of cellular functions. By the same token, we can use these properties of microRNAs to develop novel, targeted strategies to combat complex disorders. In this review, we will focus on recent discoveries of microRNA signatures in brain of human alcoholics supporting the hypothesis that changes in gene expression and regulation by microRNAs are responsible for long-term neuroadaptations occurring during development of alcoholism. We also discuss insights into the potential modulation of epigenetic regulators by a subset of microRNAs. Taken together, microRNA activity may be controlling many of the cellular mechanisms already known to be involved in the development of alcoholism, and suggests potential targets for the development of novel therapeutic interventions.

**Keywords:** human postmortem brain, alcohol dependence, alcoholism, gene expression, miRNA, non-coding RNA

## INTRODUCTION

As highlighted by the World Health Organization: “The harmful use of alcohol is one of the world’s leading health risks. It is a causal factor in more than 60 major types of diseases and injuries and results in approximately 2.5 million deaths each year” (World Health Organization, 2011). Alcoholism, also known as alcohol dependence, is understood as a complex, chronic brain disease with critical biological, behavioral, and socioeconomic components (Leshner, 1997). Heritable factors among the critical biological components are reported to account for about 50% of the risk for alcohol use disorders (AUD), which underscores the impact of genetic elements on disease etiology (Gorini et al., 2011). Nevertheless, the molecular mechanisms underlying genetic adaptations due to excessive alcohol consumption are not fully understood.

The chronic use of alcohol provokes long-term changes in gene and protein expression that allow neurons to adapt through homeostatic alterations in distinct signaling pathways. Among the principal transduction pathways altered by alcohol consumption are those involving receptor tyrosine kinases (which are commonly activated by interaction with growth factors such as

EGF, GDNF, BDNF, and insulin among others), serine–threonine kinases (which are mostly intracellular such as PKA, PKC, ERKs, AKT, GSK3, and mTOR, but can also be receptors, e.g., TGF $\beta$ R), and protein–protein interactions among scaffolding proteins and associated binding partners (examples of scaffolding proteins affected by ethanol are RACK1, PSD95, and Homer2; Ron and Messing, 2011). These signaling pathways are often implicated in the regulation of a variety of transcription factors that consequently affect the expression and activity of many genes (Miranda et al., 2010). Expression profiling studies in postmortem brains of human alcoholics have shown that the transcriptional reprogramming that takes place is brain area-specific and may reflect both preexisting differences in gene expression and alterations in response to alcohol consumption (Mayfield et al., 2008; Gorini et al., 2011). In addition, epigenetic reprogramming, primarily mediated by direct methylation of DNA and acetylation, methylation, and phosphorylation of histone proteins, appears to contribute to the altered gene expression observed in alcoholics and animal models of alcohol consumption (Miranda et al., 2010).

The discovery of microRNAs (miRNAs) and their mechanisms of action is revolutionizing our understanding of gene regulation

(Ambros, 2001; Filipowicz et al., 2008). These short (~17–24 nucleotides long) non-coding RNAs act as post-transcriptional modulators of gene expression by binding to miRNA-recognition elements (MREs) in their target genes. This direct targeting generally results in either suppression of translation or degradation of the targeted mRNA transcript, or both (Filipowicz et al., 2008; Breving and Esquela-Kerscher, 2010). There are instances, however, when miRNAs can increase the expression of a target gene by enhancing mRNA translation (Vasudevan et al., 2007). miRNAs are highly abundant in the brain and play important roles in multiple biological processes such as neuronal differentiation (Cheng et al., 2009), brain development (Fiore et al., 2008), synapse formation and plasticity (Schratt et al., 2006), and neurodegeneration (Schaefer et al., 2007; Bushati and Cohen, 2008). miRNAs also appear to mediate the cellular adaptations induced by exposure to a number of drugs of abuse, including nicotine (Huang and Li, 2008), cocaine (Chandrasekar and Dreyer, 2009), opioids (He et al., 2010), and alcohol (Sathyan et al., 2007; Pietrzykowski et al., 2008; Miranda et al., 2010; Lewohl et al., 2011). In subsequent sections, we discuss major biological processes now known to respond to fluctuations in levels of specific miRNAs, several of which were upregulated in the brain of human alcoholics. We also uncover general patterns of miRNA regulation that may be common to a variety of addiction disorders.

## ALCOHOL-INDUCED UPREGULATION OF MIRNAS IN THE HUMAN BRAIN

Our group recently published the first comprehensive study describing the impact of alcohol on microRNA levels in the brain of human alcoholics (Lewohl et al., 2011). For this, we conducted miRNA and mRNA microarray studies in prefrontal cortex (PFC) of postmortem human brain samples and developed an integrative statistical analysis highlighting differentially expressed miRNAs that inversely correlated with respective differentially expressed mRNA targets. The report uncovered a majoritarian effect of alcohol on activation of miRNA expression levels and highlighted about 35 human miRNAs that were upregulated in the alcoholic brain samples (Table 1). Interestingly, mRNAs that were predicted to be targeted by this group of upregulated miRNAs were significantly over-represented among the alcohol-downregulated mRNAs, while no such over-representation was detected among the set of alcohol-upregulated mRNAs. This result supports a role for miRNA-dependent inhibition of gene expression in the PFC of human alcoholics. Furthermore, we found that the alcohol-upregulated miRNAs were apparently regulating their putative target genes in a combinatorial fashion (multiple miRNAs targeting the same mRNA). Such a paradigm could be exploited by the cells to either ensure inhibition of the putative targets at any cost (redundancy) or to achieve fine-tuned regulation of specific mRNA expression levels (fine-tuning).

A recent publication by Yadav et al. (2011) described alcohol-induced changes in miRNA expression levels in human-derived neuroblastoma cells. Although the limited model used by these authors is far from reproducing the physiological environment of the alcohol-exposed human brain, their results are informative and corroborate the role of several of the upregulated

miRNAs described by our group (Table 1, column A). Interestingly, Yadav and colleagues also found mostly upregulated miRNAs after alcohol exposure. In fact, after we subset their reported differentially expressed miRNAs for those with  $p \leq 0.01$  (based on our more stringent statistical analyses of only using the lowest  $p$  values and reporting adjusted  $p$  values to account for multiple testing), only 20 upregulated miRNAs remain statistically significant. Out of these 20 upregulated miRNAs from ethanol-treated cells, six matched upregulated family members in the alcoholic human brain from our studies (miR-369-3p, miR-34c-5p, miR-203, miR-146a, miR-194, and let-7 family members, Table 1, column B). This is statistically highly significant ( $p = 0.00022$ ), as it is expected that only one miRNA would be common between the two lists by chance (as empirically determined after 100,000 Monte Carlo simulations). This underscores the importance of miRNA upregulation due to alcohol exposure and suggests a consistent involvement of multiple miRNAs in mediating neuroadaptations that could potentially contribute to alcohol dependence.

Cell death-related genes were among the functionally enriched transcripts being targeted by miRNAs in our studies in PFC of human alcoholics (Liu et al., 2006b; Lewohl et al., 2011). A study from the Bakalkin group, also using postmortem PFC of human alcoholics, provides additional support for our findings. These authors found that dysregulation of the cell death machinery by inhibition of the intrinsic apoptotic pathway “may reflect molecular adaptations that counteract alcohol neurotoxicity in cells that survive after many years of alcohol exposure and withdrawal” (Johansson et al., 2008). Although the Yadav group found opposite miRNA-mediated effects inducing neuronal death in cell cultures treated with ethanol for relatively short periods of time (3–72 h), their results do not contradict the neuroadaptive phenomenon we and others observe in chronic alcoholics, but seemingly describe initial effects of acute alcohol exposure as previously documented in animal models (Heaton et al., 1999; Rajgopal et al., 2003; Nowoslawski et al., 2005). Collectively, these findings support the notion that miRNA-mediated neuroadaptations may develop after an initial, also miRNA-mediated, activation of cell death pathways that occurs during the early stages of alcohol abuse.

Our study using postmortem human brains also found that the magnitude of alcohol-related changes in miRNA levels was constrained over a narrow range of only 20–30%, with only a few miRNAs varying outside of this range (Lewohl et al., 2011). We reason that the small changes detected in miRNA, as well as mRNA expression, in PFC of human alcoholics could be due to an increased expression that is localized to a specific cellular compartment, e.g., the neuronal synapse. Such compartmentalized, enhanced differential expression would become diluted as RNA is extracted from total, unfractionated tissue. Alternatively, a larger differential expression may not be compartment-specific but cell type-specific (e.g., differential expression of immune signaling genes in glial cells or differential expression of neuronal genes in specific neuronal subtypes) and similarly become diluted when RNA is extracted from total tissue containing combined cell subpopulations. Both of these possibilities will be discussed further in the following sections.

**Table 1 | Upregulated human miRNAs in alcohol-exposed tissue.**

(A) Lewohl et al. (2011). Alcoholic human brain	(B) Match between (A) and Yadav et al. (2011) <sup>†</sup>	(C) Validated functions of family members <sup>‡</sup>
let-7f		
let-7g	miRNA family match	Neurotransmitter receptor availability, LPS-induced TLR/NFκB/IL1/TNF signaling
miR-1	–	Neurotransmitter receptor (nAChR) availability, repression of HDAC4/epigenetic gene activation
miR-7	–	Repression of α-synuclein/neurodegeneration, neurite outgrowth
miR-15b	–	Lymphocyte differentiation
miR-18a	–	Angiogenesis
miR-34c-5p	miRNA family match	Epigenetically regulated
miR-92a	–	Lymphocyte differentiation, angiogenesis, synaptic signaling, biomarker for TBI
miR-101	–	Repression of EZH2/epigenetic gene silencing
miR-135b	–	–
miR-140	–	Endocytotic recycling of neurotransmitter receptors, upregulated by nicotine and LPS
miR-144	–	–
miR-146a	miRNA match	LPS-induced TLR/NFκB/IL6 signaling
miR-152	–	TLR/IL6/IL12/TNFα/INFβ signaling, repression of DNMT1/epigenetic gene silencing, epigenetically regulated
miR-153	–	Repression of Jagged/Notch signaling, repression of α-synuclein/neurodegeneration
miR-194	miRNA match	Upregulated by LPS exposure
miR-196a	–	Virus-induced inflammation, epigenetically regulated
miR-196b	–	–
miR-203	miRNA match	JAK/STAT/IL6/INFγ signaling, repression of Bmi-1/epigenetic gene silencing, epigenetically regulated
miR-301a	–	–
miR-339-5p	–	–
miR-369-3p	miRNA match	TNFα signaling
miR-374b	–	–
miR-376c	–	–
miR-380	–	–
miR-423-5p	–	–
miR-454-3p	–	–
miR-515-3p	–	–
miR-519b-3p	–	–
miR-553	–	–
miR-580	–	–
miR-586	–	–
miR-652	–	–
miR-665	–	–
miR-802	–	–

<sup>†</sup> Empirical probability of the match  $p = 0.00022$ ; average number of matches/100,000 simulations = 1.04824.

<sup>‡</sup> Validated functions discussed in this review. See text for details and bibliographic references.

## HUMAN ALCOHOL-RESPONSIVE MIRNAS AND NEUROINFLAMMATION

Inflammation plays critical roles in the pathogenesis of multiple disorders of the central nervous system (CNS; Weiner and Selkoe, 2002; Hunot and Hirsch, 2003) and has recently been implicated as a mechanism of alcoholism-induced neuropathology (Crews et al., 2011; Kelley and Dantzer, 2011; Yakovleva et al., 2011). An important player eliciting activation of immune functions in the CNS in response to alcohol is Toll-like receptor (TLR) 4, which contributes to ethanol-induced activation of nuclear factor kappa B (NFκB) and consequently activates transcription of

pro-inflammatory chemokines, cytokines, oxidases, and proteases. TLRs have well-established roles in pathogen detection and initiation of an innate immune response that consequently specifies adaptive responses during infection (O'Neill, 2006).

The role of innate, immune-related genes in alcohol dependency is supported by genetic association studies in humans (Pastor et al., 2000, 2005; Edenberg et al., 2008; Saiz et al., 2009), gene expression microarray studies in postmortem brains of alcoholics (Liu et al., 2006b; Ökvist et al., 2007), and transcriptome meta-analysis in rat brains (Mulligan et al., 2006). Furthermore, recent behavioral studies in mutant mice indicated that deletion of genes

involved in aspects of the neuroimmune response to alcohol (B2m, Ctss, Il1rn, Cd14, and Il6) could reduce ethanol consumption in mice (Blednov et al., 2012). Based on these and additional related findings, a hypothesis is developing in part of the alcohol research community that the neurobiology of addiction is due to altered brain signaling driven by immune signaling.

Implications of miRNAs as players in the development of the immune system and the regulation of multiple immune functions are also coming to age (Sonkoly et al., 2008; Belver et al., 2011; O'Neill et al., 2011; Turner et al., 2011). Multiple profiling studies have confirmed the hypothesis that TLR signaling can modulate miRNA expression and this generally depends on NF $\kappa$ B. In all cases described, TLR activation exclusively induces upregulation of miRNAs (O'Neill et al., 2011). Interestingly, this is the same effect (miRNA upregulation) we observe in our own miRNA profiling studies in brain of human alcoholics. In this section, we address a series of recent findings that uncover a potential connection between a group of upregulated miRNAs in postmortem brains of human alcoholics and neuroinflammatory processes triggered by alcohol. We summarize this evidence in a hypothetical model presented in **Figure 1**.

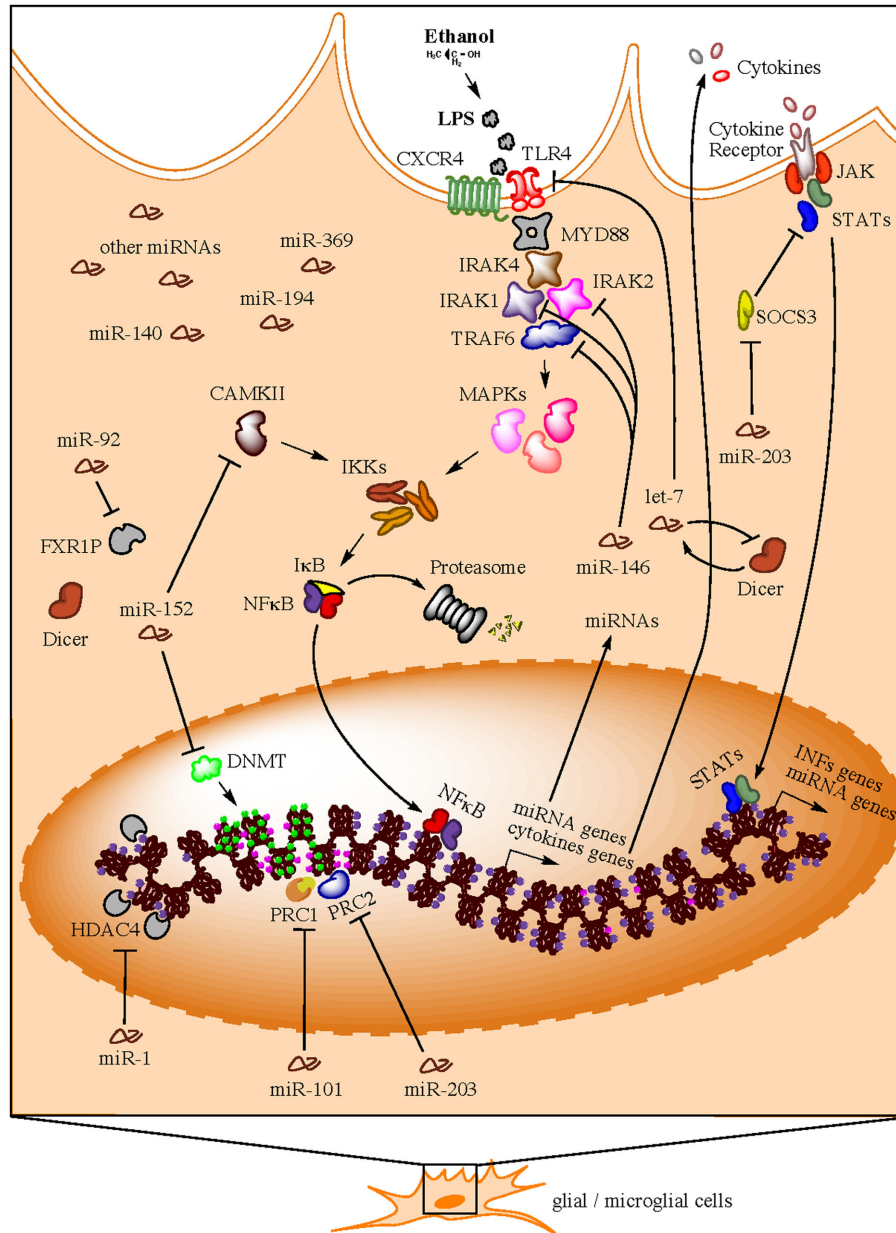
About 30% of the 35 miRNAs upregulated in the PFC of human alcoholics (miR-15, miR-34, miR-92, miR-140, miR-146, miR-152, miR-194, miR-196, miR-203, miR-369, let-7) are modulators of immunity and several of them appear to be involved in regulating TLR signaling. Particularly interesting is the miR-146 family, which is upregulated by bacterial endotoxin lipopolysaccharides (LPS) and controls TLR/NF $\kappa$ B signaling through negative feedback loops involving downregulation of IL1 receptor-associated kinase 1 (IRAK1) and TNF receptor-associated factor 6 (TRAF6) protein levels (Taganov et al., 2006; Zhao et al., 2011). TRAF2 has also been implicated as a miR-146 target (Hou et al., 2009). It was suggested that miR-146 regulatory circuit likely fine-tunes TLR and cytokine signaling, rather than totally abrogating the signal, and that expression of miR-146 may be critical in preventing excess inflammation (Sonkoly et al., 2008). Collectively, IRAK1, IRAK2, and TRAF6 represent important components of the myeloid differentiation primary-response protein 88 (MYD88)-dependent pathway for NF $\kappa$ B activation downstream of TLRs (O'Neill et al., 2011). Correspondingly, several reports have implicated IRAK and TRAF6 transducers in alcohol actions in the brain (Vallés et al., 2004; Guasch et al., 2007; Oliva et al., 2011).

let-7 family members, on the other hand, have been reported to directly target expression of TLR4 and regulate responsiveness to LPS (Chen et al., 2007; Androulidaki et al., 2009) and to form an epigenetic switch together with NF $\kappa$ B and interleukin 6 (IL6) that links inflammation to persistent cell transformation (Iliopoulos et al., 2009). The reported upregulation of let-7 miRNAs in human alcoholics could be understood as necessary to turn off expression of TLRs in glial cells and avoid excessive inflammation due to hyper-responsiveness to LPS insult. This potential regulatory loop could contribute to tolerance and dependency in chronic alcoholics. Furthermore, Blednov and colleagues recently demonstrated that LPS-triggered activation of immune signaling mediated by CD14 [a key component of the LPS-sensing co-clustering complex that also includes Hsp70, Hsp90, CXCR4, GDF5, and TLR4 (Triantafyllou and Triantafyllou, 2002)] promotes

alcohol consumption and alters certain aspects of alcohol reward and aversion in mice (Blednov et al., 2011). Our finding that chemokine receptor CXCR4 is downregulated in brain of human alcoholics and is the most significantly miRNA-over-targeted transcript (Lewohl et al., 2011) supports a role for miRNAs in the regulation of LPS-activated TLR4-transduced immune signaling in chronic alcoholics. CXCR4 has also been recently implicated in opiate-induced hypernociception (White and Wilson, 2010) and polymorphisms in SDF1 (the CXCR4 ligand) associated with several phenotypes, including alcohol consumption (Xiao et al., 2008).

MicroRNA miR-203 is the upregulated miRNA in human alcoholics with the highest over-representation of targets among inversely correlated differentially expressed mRNA transcripts from the same samples (Lewohl et al., 2011). This miRNA has been suggested to regulate IL6 and IFN $\gamma$  signaling through targeting of the Suppressor of Cytokine Signaling 3 (SOCS3; Sonkoly et al., 2007). SOCS3 is part of a negative feedback loop in cytokine signaling that inhibits the activation of transcription factor STAT3, part of the JAK-STAT signaling cascade that is the basis of the signal transduction mechanism for many cytokine receptors. Upregulation of miR-203 may hence lead to increased and/or longer inflammatory response. Furthermore, miR-203 is predicted to target above mentioned CXCR4 (component of the LPS-sensing complex), which is the alcohol-downregulated mRNA most significantly over-targeted by miRNAs in human alcoholics (Lewohl et al., 2011). These appear to represent compensatory effects that, on one hand, aim at downregulating responsiveness to the LPS insult while, on the other, aim at maintaining required levels of neuroimmune activity. These results support the notion that CXCR4 and miRNAs regulating its expression are important players modulating alcohol actions in the brain of human alcoholics.

The family miR-148/152 was recently reported to inhibit TLR-triggered MHCII expression and functional maturation of dendritic cells (DCs). By targeting CaMKII $\alpha$ , miR-152 inhibited the production of cytokines, including IL12, IL6, TNF $\alpha$ , and IFN $\beta$ , and negatively regulated the innate response (Liu et al., 2010). Coincidentally, miR-152 was also upregulated in fetal brain from mice exposed to ethanol during gestation (Wang et al., 2009). Interestingly, Kash et al. (2009) recently found a significant decrease in the total levels of CaMKII $\alpha$  in the central extended amygdala after ethanol exposure in mice. CaMKII $\alpha$  expression enhances the extent of desensitization of NR2B-containing NMDA receptors in heterologous cells (Sessoms-Sikes et al., 2005) and regulates ethanol sensitivity of BK channels (Liu et al., 2006a), which suggested the possibility that reduced levels of CaMKII $\alpha$  could be acting in a general fashion to modulate the ethanol sensitivity of channels in the extended amygdala (Kash et al., 2009). Coincidentally, another miRNA, miR-9, also implicated in controlling the activity of the TLR signaling pathway through direct targeting of the NFKB1 gene in human monocytes and neutrophils (Bazzoni et al., 2009; O'Neill et al., 2011), was demonstrated to regulate ethanol sensitivity of BK channels by selectively destabilizing splice variants that contained miR-9 recognition elements, therefore making the BK channels less sensitive to alcohol (Pietrzykowski et al., 2008). We did not detect changes in miR-9 expression in



**FIGURE 1 | Hypothetical model for neuroimmune-related actions of miRNAs in brain of human alcoholics (e.g., in microglia).** Bacterial lipopolysaccharides (LPS) from commensal bacteria, for example, could leak into the bloodstream due to gut leakiness induced by alcohol consumption. A compromised blood brain barrier due to high ethanol concentration in the blood will consequently allow LPS to reach the brain and trigger TLR signaling cascades that activate nuclear factor kappa B (NFκB) and induce the transcription of a variety of pro-inflammatory genes (e.g., cytokines), as well as miRNA genes. Newly synthesized pro-inflammatory factors are secreted to unleash a systemic neuroinflammatory response and to maintain a positive feedback loop in same activated cell. These second round of pro-inflammatory factor production is achieved through the expression of alternative cytokine receptors in the activated cell, which signal back to the nucleus through the JAK/STAT pathway to induce production of additional pro-inflammatory factors (e.g., interferons) and miRNA genes. To avoid over-amplification of these signals and excessive inflammation due to hyper-responsiveness to LPS

insult, specific miRNAs (e.g., members of the miR-146, miR-152, and let-7 families) are consequently upregulated in order to suppress TLR4/CXCR4 signaling through inhibition of multiple cascade transducers such as IL1 receptor-associated kinases (IRAKs), TNF receptor-associated factor 6 (TRAF6), and TLR4/CXCR4 themselves, among others. As a compensatory reaction, other miRNAs (e.g., miR-203) that activate the alternative JAK/STAT pathway are also upregulated in an effort to maintain the immune-activated state of the specific cell subtype while promoting a benign, contained inflammatory response. Concomitantly, immune-activated cells implement miRNA-mediated epigenetics mechanisms, such as DNA methylation and histone methylation and/or acetylation) that ensure chromatin modification and global changes in gene expression that allow for long-term homeostatic changes and cellular adaptations under the particular environmental conditions. Expectedly, miRNAs that target epigenetic factors are also activated in order to control and/or fine-tune the ongoing remodeling of the cellular epigenome.



our postmortem human brain study, but such differences among human alcoholics and animal models are plausible due to neurodevelopmental differences between species, stage of alcohol insult, and experimental protocol differences, to name a few. As suggested by O'Neill et al. (2011) miRNAs might also play a role in "controlling the switch from a strong early pro-inflammatory response to the resolution phase of the inflammatory process." This would also support a case for miRNA and mRNA expression profile discrepancies depending on the stage of the inflammatory response in the particular samples under study.

Evidence is also accumulating for novel mechanisms by which miRNAs can directly regulate mRNAs by facilitating or preventing interaction with RNA-binding proteins. An example of this that also underscores the fact that mRNA stability can be affected by environmental factors, is miR-369-3p (one of the upregulated miRNAs in human alcoholics), which associates directly with the AU-rich elements (AREs) in TNF $\alpha$  mRNA by base pairing and mediates translational activation of TNF $\alpha$  only under growth arrest conditions (Vasudevan and Steitz, 2007; Vasudevan et al., 2007). This effect was dependent on the recruitment of the RNA-binding proteins fragile-X mental retardation-related protein 1 (FXR1) and argonaute 2 (AGO2) and could be reversed when cells were actively proliferating, in which case miR-369-3p would switch roles to represses TNF $\alpha$ . Discovery of such sensitive and complex switch-like regulatory mechanisms emphasizes the extreme fine-tuning capabilities encoded into the biology of miRNAs.

The specific functions of other miRNAs upregulated in human alcoholics, which have also been implicated in immune signaling, remain unknown. Among these are miR-140 and miR-194, both upregulated in *in vivo* studies in mouse lung after LPS exposure (Moschos et al., 2007); miR-92, which is upregulated in CD4+CD8+ double positive thymocytes in comparison to other stages of T lymphocyte development (Sonkoly et al., 2008); miR-15b, which is upregulated in CD8+ cells when compared with CD4+ T cells or double positive thymocytes (Sonkoly et al., 2008); and miR-196, which have sequence-predicted targets within the hepatitis C virus genomic RNA and is upregulated by antiviral cytokine INF $\beta$  (Sonkoly et al., 2008). This highlights the fact that we are just starting to understand the regulatory roles of miRNAs in general. Often, a single miRNA is found to be involved in multiple cellular functions. For example, (1) miR-92a and cluster member miR-18a block angiogenesis when overexpressed in endothelial cells (Bonauer et al., 2009; Doebele et al., 2010), (2) increased miR-92a in plasma levels in patients with traumatic brain injury (TBI) is a good biomarker for the severity of the disease (Redell et al., 2010), and (3) family member miR-92b is involved in synaptic signaling (Ceman and Saugstad, 2011). These diverse functions provide evidence for versatile and complex systemic roles of miRNAs.

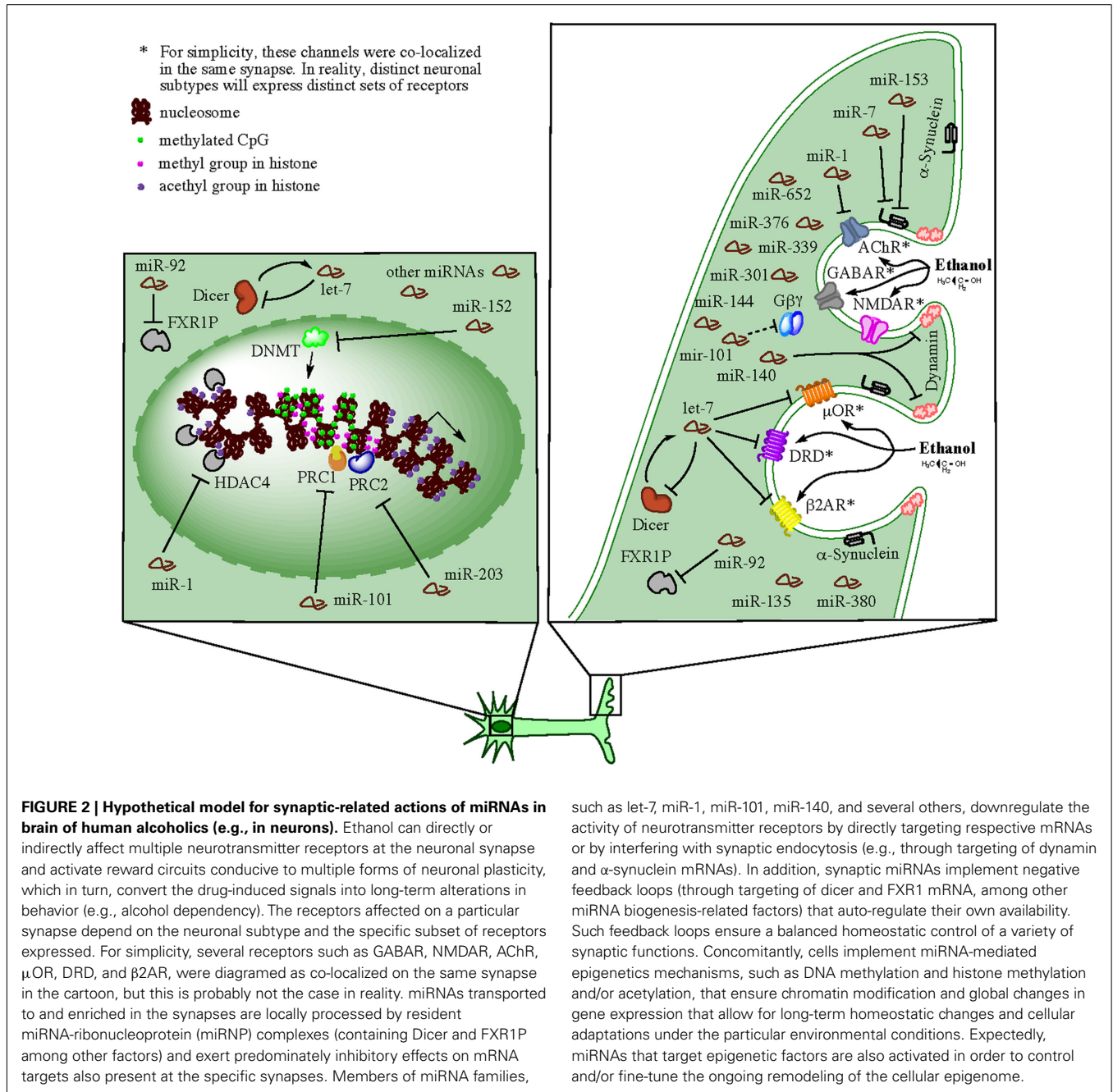
### HUMAN ALCOHOL-RESPONSIVE MIRNAS, NEUROTRANSMITTER SIGNALING, AND SYNAPTIC PLASTICITY

Alcohol, as well as other drugs of abuse, produce long-term changes within the brain reward circuits and these changes are thought to lead to drug tolerance, reward dysfunction, escalation

of drug intake, and eventually compulsive use (Russo et al., 2009). Research on the reward circuit has been centered on dopaminergic neurons in the ventral tegmental area (VTA) of the midbrain and their projections to the limbic system, in particular the nucleus accumbens (NAc), dorsal striatum, amygdala, hippocampus, and regions of PFC (Robison and Nestler, 2011). Nevertheless, other neurotransmitter systems seem also to contribute to brain reward responses since animals can still exhibit positive hedonic responses in the absence of dopamine (Hyman et al., 2006). Ethanol as well as opioids, cannabinoids, and nicotine are thought to produce reward partially through non-dopaminergic mechanisms, e.g.,  $\mu$  opioid receptors expressed on NAc neurons, which appear to bypass dopamine inputs from the VTA (Hyman et al., 2006). Studies examining the effect of selective agonist and antagonist drugs have indicated that multiple neurotransmitters, including dopamine, serotonin, acetylcholine, glutamate, GABA, and various peptides, are involved in activation of reward circuits and the production of multiple forms of neuronal plasticity that can convert drug-induced signals into long-term alterations in behavior (Bardo, 1998; Hyman et al., 2006). At the molecular level, complex gene expression mechanisms coordinate long-lasting alterations in dendrite and synapse structure and function. Among these mechanisms, the local control of mRNA translation in neuronal dendrites can account for the tight spatial regulation of plasticity at the level of individual dendrites or spines (Sutton and Schuman, 2006; Schrott, 2009). Recent evidence discussed below indicates that miRNAs play extensive roles in the regulation of these local processes and the development of addictive animal behaviors. We summarize this evidence in a hypothetical model presented in **Figure 2**.

Members of multiple miRNA families upregulated in the brain of human alcoholics have been collectively found enriched (let-7, miR-1, miR-7, miR-92, miR-135, miR-146, miR-339, miR-376, and miR-380) or depleted (miR-34, miR-101, miR-144, miR-153, miR-301, and miR-652) in rodent synapses (Lugli et al., 2008; Siegel et al., 2009; Eipper-Mains et al., 2011). miR-92 in particular, had been implicated in the regulation of FXR1P, which is an RNA-binding protein found in dendrites and involved in miRNA biogenesis (Ceman and Saugstad, 2011). Lugli et al. (2008) suggested that the miRNAs expressed within dendrites and within dendritic spines were expected to contribute to the regulation of local protein synthesis. Since the main effect of miRNA upregulation in human alcoholic brain is suspected to be downregulation of targeted-gene expression and/or inhibition of protein translation (Lewohl et al., 2011) and blockade of *de novo* protein synthesis in dendritic spines attenuates formation of long-term memory due to impairment of dendritic growth and remodeling (Schrott, 2009; Eipper-Mains et al., 2011), it might suggest that the observed alcohol upregulation of these synapse-localized miRNAs could have a major impact in the development of new addiction-promoting, long-term memories. Hence, early or accelerated upregulation of alcohol-responsive miRNAs in the brain might preempt development of addiction and could represent a potential therapeutic strategy.

miR-7 and miR-153 have both been recently implicated in the regulation of  $\alpha$ -synuclein (Doxakis, 2010).  $\alpha$ -synuclein plays a role in activity-dependent maintenance of SNARE complex levels in



such as let-7, miR-1, miR-101, miR-140, and several others, downregulate the activity of neurotransmitter receptors by directly targeting respective mRNAs or by interfering with synaptic endocytosis (e.g., through targeting of dynamin and α-synuclein mRNAs). In addition, synaptic miRNAs implement negative feedback loops (through targeting of dicer and FXR1 mRNA, among other miRNA biogenesis-related factors) that auto-regulate their own availability. Such feedback loops ensure a balanced homeostatic control of a variety of synaptic functions. Concomitantly, cells implement miRNA-mediated epigenetic mechanisms, such as DNA methylation and histone methylation and/or acetylation, that ensure chromatin modification and global changes in gene expression that allow for long-term homeostatic changes and cellular adaptations under the particular environmental conditions. Expectedly, miRNAs that target epigenetic factors are also activated in order to control and/or fine-tune the ongoing remodeling of the cellular epigenome.

pre-synaptic terminals (Burre et al., 2010; Greten-Harrison and Polydoro, 2010; Burgoyne and Morgan, 2011) and is involved in dopaminergic neurotransmission and neurodegenerative disorders (Doxakis, 2010). Importantly, there is a large body of evidence linking α-synuclein accumulation to alcohol dependency in humans as well as in rodents (Bönsch et al., 2005; Liang and Carr, 2006; Foroud et al., 2007; Taraskina et al., 2008; Aho et al., 2009). Furthermore, miR-7 has also been implicated in the control of neurite outgrowth *in vitro*, during neuronal differentiation of human neuroblastoma cell lines (Chen et al., 2010). On the other hand, miR-153 has been implicated in the teratogenic effects of alcohol in mice, although in this case, due to downregulation of the

combinatorial function of three miRNAs (miR-21, miR-335, and miR-153). Simultaneous downregulation of these three miRNAs allowed their shared target, Jagged1 (a Notch receptor ligand), to accumulate and induce cell cycle and neuroepithelial maturation (Sathyan et al., 2007).

The Smalheiser group has found that dicer and the Ago homolog eIF2c, both involved in miRNA biogenesis, were expressed in mouse brain synaptic fractions and enriched at post-synaptic densities (PSDs; Lugli et al., 2005; Smalheiser and Lugli, 2009). The findings that NMDA and calcium stimulation of synaptoneurosomes induced calpain-dependent activation of dicer led the authors to propose a model in which (i) glutamate or other

neurotransmitter activity at synapses causes a local increase of intracellular calcium levels within the post-synaptic neuron; (ii) this calcium increase needs to be sufficient to activate calpain; (iii) calpain liberates active dicer and eIF2c from the PSDs. Furthermore, the authors postulated that the acute effects of calpain on dicer act as a highly localized, phasic, high-threshold trigger that leads to formation of small RNAs near synapses, which once formed should be relatively long-lasting and subsequently independent of ongoing dicer activity (Lugli et al., 2005). Recent studies in *Drosophila* and rodents have confirmed the importance of components of the RISC complex at the synapse, which was necessary for the establishment of certain forms of short and long-term memories in these models (Ashraf et al., 2006; Batassa et al., 2010). Remarkably, we found that dicer was downregulated in the PFC of human alcoholics and that, equal to CXCR4, it was the mRNA most significantly over-targeted by upregulated miRNAs (Lewohl et al., 2011), which suggest a relevant role for dicer-miRNA feedback loops in the mediation of and/or neuroadaptation to alcohol actions.

Several of the synaptically modulated miRNA families have been involved in the regulation of neurotransmitter signaling, which is mechanistically related to stimulation of reward circuits and the formation of associative, synapse-specific memories (Hyman et al., 2006). For example, the let-7 family, one of the miRNA families differentially expressed in PFC of human alcoholics, could be modulating the activity of a variety of neurotransmitter receptors. Several members of this family have been reported to regulate receptors such as the  $\mu$  opioid receptor, the  $\beta$ 2-adrenergic receptor, and the dopamine D3 receptor (Pillai, 2005; Chandrasekar and Dreyer, 2009; He et al., 2010). While investigating mechanisms contributing to opioid tolerance in mice, He et al. (2010) identified let-7 family members (let-7a, let-7c, and let-7g were used as representative members) as mediators of translocation and sequestration of  $\mu$  opioid receptor mRNA into P-bodies, which led to translation repression without affecting the mRNA levels. A similar mechanism for repression of translational initiation of let-7 targets in human cells had previously been reported (Pillai, 2005). The  $\mu$  opioid receptor is largely distributed along reward circuits, where it mediates the reinforcing activities of morphine and several non-opioid drugs such as alcohol, cannabinoids, and nicotine. The non-opioid drugs act at their own receptors [GABAA and NMDA receptors for alcohol, CB1 and CB2 receptors for cannabinoids, and nicotinic acetylcholine receptor (nAChR) for nicotine] and are likely to induce the release of endogenous opioid peptides that, in turn, activate the  $\mu$  receptors. This type of receptor seems to function as a convergent molecular gate in the initiation of addictive behaviors (Contet et al., 2004). In addition, Wang et al. (2011) demonstrated that let-7f targeted  $\beta$ 2-adrenergic receptor ( $\beta$ 2AR) mRNA and repressed expression of the native ADRB2 protein in the human cell line H292. Interestingly, another microRNA that is also upregulated in human alcoholics, miR-15, belongs to one of the only two other groups of miRNAs that have predicted binding sites within the 3' UTR of the  $\beta$ 2AR mRNA (Wang et al., 2011). Recent evidence supports a role for  $\beta$ -adrenergic receptors in retrieval of cocaine-associated memories, mediated by norepinephrine acting at central  $\beta$ -adrenergic receptors in rats (Otis and Mueller,

2011). With this work, Otis and Mueller also demonstrated that propranolol, a commonly prescribed  $\beta$ -blocker, can be used as an adjunct to exposure therapy for the treatment of cocaine addiction. In addition, activation of  $\beta$ 2AR also appears to be required for some of the key neurochemical changes that characterize chronic opioid administration in mice (Liang et al., 2007). Yet another let-7 family member, let-7d, was reported to be downregulated by cocaine in rat mesolimbic brain slices, and overexpression of this miRNA in hybrid NG108-15 cells downregulated the dopamine D3 receptor (Chandrasekar and Dreyer, 2009). It is well known that drugs of abuse induce persistent structural and functional changes in the mesolimbic dopaminergic system that lead to high-risk, drug-seeking behaviors, and relapse (Chandrasekar and Dreyer, 2011).

On the other hand, support for an involvement of miR-101 (another miRNA upregulated in the PFC of human alcoholics) in the modulation of GABAergic transmission in response to alcohol consumption can be found in a recent study conducted by the Tabakoff group (Saba et al., 2011). After analyzing gene expression profiles induced by alcohol-drinking in panels of recombinant and isogenic inbred mice, Saba and colleagues found that guanine nucleotide binding protein beta 1 subunit (Gnb1) was the only transcript that changed expression in all groups of high and low alcohol-drinking mice and, in addition, localized within a quantitative trait loci for alcohol consumption. Gnb1 expression level was inversely related to the level of alcohol intake. Based on differential detection of Gnb1 transcript variants, protein levels, and 3' UTR sequence analysis, the authors suggested that miR-101 could be regulating the amount of G $\beta$ 1 protein. G $\beta$ 1 protein can initiate a cascade of intracellular signaling events leading to the internalization of GABAA and other receptors (Saba et al., 2011). Although subsequent studies investigating the direct interaction between miR-101 and the Gnb1 transcripts are necessary for validation, we are enthusiastic about the emergence of seemingly interconnected patterns spanning multiple animal species.

Additional evidence for a role of miRNAs in the regulation of endocytotic recycling of neurotransmitter receptors is found in the work of the Li group, who found that miR-140\* is upregulated by nicotine treatment and directly binds to dynamin (Dnm1) mRNA to inhibit its expression (Huang and Li, 2008). Dynamin-1 is involved in scission of clathrin-coated vesicles and it is essential for endocytotic synaptic vesicle recycling only during the application of a strong or sustained stimulus when exocytosis of neurotransmitter-filled vesicles is extreme and rapid retrieval of vesicles is required to maintain the synaptic vesicle pool (Ferguson et al., 2007; Etheridge et al., 2009). Proteomic studies of synaptosomal fractions from superior frontal gyrus and occipital cortex of postmortem human brains identified dynamin-1 protein as differentially regulated between alcoholics and controls (decreased expression in alcoholics) and provided evidence for differential alteration of multiple protein isoforms in a brain region-specific manner (Etheridge et al., 2009). Furthermore, our group has demonstrated that dynamin-1 establishes a strong physical interaction with the large conductance voltage- and calcium-activated potassium channel (BKCa), another major player in alcohol actions (Gorini et al., 2010). Interestingly, multiple miRNAs upregulated in human alcoholics, including miR-101, miR-135b,



miR-140, miR-203, miR-34c-5p, miR-376, miR-454, miR-519b-3p, miR-580, and miR-586 (Lewohl et al., 2011), are predicted to target dynamin family members, which underscore the role that these proteins and respective targeting-miRNAs play in mediating alcohol actions.

Acetylcholine (ACh) could be another neurotransmitter signaling pathway regulated by miRNAs in human alcoholic brains. miR-1 is a conserved muscle-specific microRNA that regulates aspects of both pre- and post-synaptic function at neuromuscular junctions (Simon et al., 2008). Using *C. elegans* as model system, Simon et al. (2008) demonstrated that miR-1 regulates the expression level of two nAChR subunits, thereby altering muscle sensitivity to ACh. Alcohol has been reported to enhance the function of naturally expressed  $\alpha 4\beta 2$  nAChRs and to inhibit the activity of naturally expressed  $\alpha 7$  nAChRs (Narhashi et al., 1999). Furthermore, activation of nAChRs was reported to selectively reduce alcohol consumption in outbred Wistar rats (Dyr et al., 1999), while mutations in transmembrane domains of  $\alpha 2$  channel subunits can enhance actions of alcohols on neuronal nicotinic receptors (Borghese et al., 2002).

Taken together, our analysis of potential effects of alcohol-responsive miRNAs on neurotransmitter receptor-mediated signaling indicates that a variety of neurotransmitter-regulated pathways may be simultaneously changing in response to alcohol as well as other drugs of abuse, and that the activity of multiple key miRNA families may be contributing to this particular complex network of interactions. Direct effect of upregulated miRNAs (as in the cohort of human alcoholic studied by Lewohl et al., 2011) over their putative neurotransmitter receptor targets is suggestive of a neuroadaptive response to counteract the drug-induced receptor activation characteristic in multiple types of addiction. On the other hand, since receptor endocytosis contributes to signal termination and desensitization of activated G protein-coupled receptors (Finn and Whistler, 2001; Li and van der Vaart, 2011), miRNA-driven downregulation of dynamin-1, which disrupts neurotransmitter receptor endocytosis, might contribute to the addictive effects of alcohol as well as other drugs of abuse.

## HUMAN ALCOHOL-RESPONSIVE MIRNAS AND EPIGENETICS

The fact that epigenetic mechanisms underlie adaptation in adult organisms has become clear thanks to an explosion in research into mechanisms by which chromatin and histone modifications impact transcriptional regulation under a variety of environmental insults. These mechanisms have been demonstrated to play a role in drug addiction, as repeated exposure to drugs of abuse induce alterations in histone acetylation, phosphorylation, and methylation levels, as well as DNA methylation levels (Maze and Nestler, 2011; Robison and Nestler, 2011; Wong et al., 2011). In alcoholic patients, a significant increase in global DNA methylation influenced by reduced levels of DNA methyl transferase 3b (DNMT3b) has been reported and a possible subsequent derangement of epigenetic control suggested (Bönsch et al., 2004, 2006). Furthermore, several genomic loci such as nerve growth factor (NGF) and pro-opiomelanocortin gene (POMC) from blood cells and prodynorphin (PDYN) from brain tissue have been found hyper-methylated in human alcoholics (Bönsch et al., 2006;

Muschler et al., 2010; Heberlein et al., 2011; Taqi et al., 2011). In this section, we focus on recent studies demonstrating that miRNAs can regulate epigenetic factors and vice versa (Sato et al., 2011), highlighting, in particular, epigenetic-related miRNAs upregulated in the brain of human alcoholics. We summarize this evidence in the hypothetical models presented in **Figures 1** and **2** (see nucleus).

miR-101 was reported to specifically repress expression of EZH2, a conserved catalytic subunit within the polycomb repressor complex 2 (PRC2), which is involved in histone methylation and consequent transcriptional silencing (Varambally et al., 2008; Friedman et al., 2009). Overexpression of miR-101 markedly attenuated cell proliferation and apparently configured the histone code of cancer cells to that associated with more benign cellular phenotypes (Varambally et al., 2008). Other miRNAs commonly silenced in a variety of cancers also target factors in the epigenetic pathway; for example, miR-203 targets Bmi-1, a component of the polycomb repressor complex 1 (PRC1) that working together with PRC2, plays an important role in stabilizing epigenetic gene silencing by binding to methylated chromatin (Wellner et al., 2009; Sato et al., 2011), and miR-152 targets DNA methyltransferase DNMT1 (Tsuruta et al., 2011). All these miRNAs have been postulated as tumor suppressors (TS-miRNAs) and all have in common that they specifically downregulate factors involved in altering the epigenetic landscape of cells. These studies and several others (Rodríguez-Paredes and Esteller, 2011; Tsai and Baylin, 2011) demonstrate that when the epigenetic machinery goes awry, cells have increased chances to become malignant and develop cancers. Therefore, it is reasonable to speculate that cells and tissues in non-complicated alcoholics, as well as in any non-complicated group of individuals chronically subjected to environmental stress, should have implemented defense mechanisms such as overexpression of TS-miRNAs in order to avoid early development of malignancies.

On the other hand, miR-1 has been reported to repress histone deacetylase 4 (HDAC4) and to promote differentiation of myoblasts (Chen et al., 2006). HDAC4 is highly abundant in mouse brain (Kumar et al., 2005) and its subcellular localization (nuclear or cytoplasmic) in rat hippocampal neurons is specified by neuronal activity (Chawla et al., 2003). Interestingly, Pandey et al. (2008) found that the anxiolytic effects produced by acute alcohol were associated with increased HDAC activity and reduced histone acetylation in the rat amygdala, and suggested that HDAC inhibitors may be potential therapeutic agents in treating alcohol withdrawal symptoms. Nevertheless, somewhat contradictorily, the Nestler group has reported that HDAC inhibition potentiates cocaine's behavioral effects and that reducing acetylation through viral-mediated overexpression of HDAC4 in the NAC of mice decreased cocaine reward (Kumar et al., 2005). These authors also suggested that stimulation of gene transcription may be the predominant mechanism for cocaine-induced behavioral plasticity. These drug-specific or animal model-specific cellular activities and behaviors warn us about the potential risk of extrapolating results from one model into another, but also underscore the fine-tuning capabilities of these regulatory pathways.

Another subset of miRNA families upregulated in alcoholics, including miR-1, miR-34, miR-152, miR-196, and miR-203, is

regulated by epigenetic mechanisms (Sato et al., 2011), which could partly explain how environmental factors could be contributing to disease development. The respective transcriptional units for miR-34b/c and miR-203 both contain CpG islands, and the methylation levels of these CpG islands are inversely correlated with the expression level of the mature miRNA in various cancers, including CNS tumors (Kozaki et al., 2008; Furuta et al., 2010). miR-152 and miR-196a are also silenced by hypermethylation of CpG islands on the respective DNA loci (Hoffman et al., 2009; Tsuruta et al., 2011). It is interesting to note that several of the miRNAs that target factors involved in epigenetic remodeling could be conversely regulated by epigenetic mechanisms, suggesting that miRNAs are elements of epigenetic feedback regulatory loops that fine-tune activity of the pathway.

## CONCLUSION

Given the complex etiology of alcohol abuse disorders and dependence, characterized by brain-wide pathophysiological alterations under continuous crosstalk, we reason that to better understand and treat alcohol abuse, we need to develop organ and system-wide models (even if initially incomplete and speculative to some extent) with the potential to capture the dynamism in such complexity. Once we discover main dynamic relationships conducive to stable states of the disease, we may be able to design strategies to detour or reverse disease progression, akin of chemical reactions transiting through multiple equilibrium states. From the analysis and hypothetical models presented here, the notion emerges that miRNAs are efficiently being upregulated in response to alcohol insult and consequently inducing organ and system-wide homeostatic changes that produce long-term adaptation under the specific cellular environment. Remarkably, miRNAs that localize to and display activity at synapses in neurons are also capable of eliciting distinct and specific activities in other cell types, such as innate immunity-related functions in microglial cells, among others. This underscores the impact that molecular efficiency, signaling crosstalk, and cellular economy plays in the adaptation and evolution of cellular systems, all of which are concepts we have already learnt over the many years of biological research.

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Due to the versatility and wide-reaching regulatory power of miRNAs, we expect the future development of novel knock-down and/or overexpression strategies targeting the activity of specific miRNAs, in an effort to prevent or even reverse addiction. Yet, many issues need to be addressed in order to better understand how miRNAs perform their functions and how they could be exploited to combat alcohol abuse. We wonder, for example, whether minor sequence variations in members of miRNA families affect their target specificity and biological function, and whether miRNA family members exist to exert redundant control over specific targets, to fine-tune their post-transcriptional regulation, or to exert a combination of both types of control. We also wonder whether we could unleash the fine-tuning regulatory potential of miRNAs by exploiting the combinatorial capability of miRNA targeting.

On the other hand, the miRNA field would immensely benefit from the development of high throughput technologies and methods for reliable characterization and validation of miRNA targets that are lagging at this time, together with the development of bioinformatic platforms capable of effectively and efficiently integrating the complex combinatorial patterns hidden under the “miRNA code.” Equally thrilling will be the discovery of small molecules that could specifically interact with and modulate the availability of select miRNAs in particular tissues, which would be invaluable to the clinical and therapeutic fields.

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