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RECEIVED 06 May 2023 ACCEPTED 08 August 2023 PUBLISHED 01 September 2023

#### CITATION

Yang R, Liu P, Tian Y, Ma J, Bai Y, Li C, Huang S, Mu Y, Hayat M, Iqbal S, Yu H, Zhang F and Ma H (2023) Interannual variation in evapotranspiration in an urban forest reserve with respect to drought. *Front. For. Glob. Change* 6:1218005. doi: 10.3389/ffgc.2023.1218005

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# Interannual variation in evapotranspiration in an urban forest reserve with respect to drought

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**Introduction:** A warming global climate is expected to perturb the hydrological cycle, resulting in deviations in both frequency and duration of drought and thus being hypothesized to lead to interannual variation in evapotranspiration (ET). Interannual variation in ET in urban forest ecosystems in response to drought remains poorly understood.

**Methods:** Here, ET in an urban forest reserve in the megalopolis of Beijing was investigated using eddy-covariance measurements collected over six consecutive years (2012–2017).

**Results:** The mean annual cumulative ET was  $462 \pm 83$ mm ( $\pm$ first standard deviation), with a coefficient of variation of 18%. Interannual variation in both annual and monthly ET was shown to be largely controlled by canopy conductance (*gs*), affected by environmental factors. The main factors affecting interannual variation in monthly ET varied seasonally, namely, soil volumetric water content (VWC) and normalized difference vegetation index (NDVI) in spring, precipitation and soil temperature in summer, and VWC and net radiation (Rn) in autumn. Interannual variation in annual ET was driven largely by spring and mid-summer droughts induced by insufficient precipitation during the non-growing and mid-growing seasons, respectively. Spring drought reduced annual ET by restricting leafing out, shortening growing season length (GSL), and reducing the normalized difference vegetation index (NDVI). The summer drought reduced annual ET by reducing stomatal conductance.

**Discussion and conclusion:** Results from this study point to the importance of precipitation timing and volume and the soil moisture carry-over effect in controlling interannual variation in ecosystem ET. Irrigation during the early spring and mid-summer is viewed as a practical management measure for sustaining growth and better ecosystem services in urban forests in Northern China.

#### KEYWORDS

drought, evapotranspiration, interannual variability, precipitation, urban forests

## 1. Introduction

Global climate models project an increase in climatic variability, including more frequent extreme events in future (e.g., drought, convective and synoptic storms, and heat waves; IPCC, 2014). The devastating effect of climate change is becoming increasingly apparent in Northeastern China (Zhai et al., 2010). An increase in both the severity and frequency of drought is expected to affect the hydrological cycle at regional-to-local scales. These

changes may lead to disruptions in the energy balance and ecosystem structure and functioning by, in part, influencing the plant-mediated component of evapotranspiration (ET; Liu et al., 2018). Evapotranspiration, as the second largest constituent of the global hydrological cycle (Liu et al., 1998), shares in the energy and nutrient exchange in ecosystems as well as other important ecological processes (Miao et al., 2009). As a phase change of water, the transformation of liquid water to water vapor consumes energy. This process on large spatial scales can benefit cities by restricting the level of warming attained (Jacobs et al., 2015). Urban forest ecosystems in China, distinguished by their distinct vegetation composition and structures (i.e., from near natural or plantation), have been expanding during the past decades because of the rapid expansion of urban spaces (Jim and Chen, 2009; Chen et al., 2019). Through their reuse, the amount of land use change from agricultural land or forest to urbanized land can be reduced, and the cooling effect of urban forest ET has been becoming critical (Ordónez and Duinker, 2014; Fan et al., 2019). However, many elements of water vapor dynamics in urban forest plantations remain inadequate as they concern the quantitative understanding of interannual variation (IAV) in forest plantations, especially in relation to urban forests. Therefore, understanding the role of environmental drivers in ET is essential to characterize the ecosystem processes and services (Hallegatte and Corfee-Morlot, 2011) as well as for the proper assessment of regional and global water budgets in a changing climate.

Evapotranspiration is still arguably the most uncertain ecohydrological variable in (i) the development of ecosystem water budgets (Sun et al., 2016) and (ii) the understanding of ecological impacts attributed to extreme climatic events (Vose et al., 2016) as well as the effects of urbanization (Hao et al., 2015). Evapotranspiration is controlled by numerous abiotic and biotic factors, including solar radiation, air and soil temperatures, soil water content, water vapor pressure deficit, leaf area index (LAI), and leaf-level stomatal conductance, which are all predicted to vary over a range of spatiotemporal scales. Earlier studies have reported that ET in forest ecosystems has considerable seasonal IAV. Intra-annual variation in ET and its controlling mechanisms have been documented for many ecosystems around the world (Chen et al., 2018). However, the comprehension of IAV in ET and its controlling mechanisms is currently inadequate (Xu et al., 2014), particularly in urban forests. Generally, very little is known about how the ecosystemlevel ET of forest plantations responds annually to climateinduced drought.

Some studies have found that IAV in ET was mostly small relative to the year-to-year variation in annual rainfall. Furthermore, IAV failed to be explained by any single hydrometeorological parameter neither over the summer (May–August) nor annually (Gielen et al., 2010; Launiainen, 2010; Kume et al., 2011; Tsuruta et al., 2016). Others have found that IAV in ET is significant, which could not be ignored. Several climatic factors have been identified as drivers of ET, such as solar energy, temperature, and precipitation, through their effect on plant phenology, growing season length, or canopy structure (Tang et al., 2021; Xiang et al., 2023). Droughtinduced soil moisture deficits have been reported to be the most important controlling factor of IAV in the ET of waterlimited ecosystems (Wilson and Baldocchi, 2000; Barr et al., 2007; Thomas et al., 2009; Zha et al., 2010; Xue et al., 2012; Saitoh et al., 2013; Vadeboncoeur et al., 2018) largely because it triggers stomatal closure, suppression in leaf development, and changes in phenology in drought-affected plants. Given more extreme changes in the climate system, attaining a mechanistic understanding of the long-term dynamics of ecosystem ET is fundamental to acquiring an accurate prognostication of future water cycling in urban plantations and addressing the consequences of management decisions on the fate of large urban forests.

It is hypothesized that precipitation amount and seasonal distribution coincidental with drought are the most important drivers of IAV in ET. To test this hypothesis, we analyzed a 6-year record (2012–2017) of continuous ET data collected over an urban forest reserve in Beijing, China. The measurement period covered events of extremely low-to-high levels of precipitation as compared to the past 50 years from 1961 to 2010. The specific objectives of the research were to detect the major environmental variables affecting ET annually and to examine the impacts of drought on IAV in ET.

## 2. Materials and methods

#### 2.1. Site description

The study site is located in Beijing Olympic Forest Park (40.02°N, 116.38°E), Megalopolis of Beijing, China. It is the largest urban forest park in Asia, with an area of 680 ha and vegetation cover of  $\sim$ 96%. The forest reserve is located in the middle of the northeastern section of the park, an area committed to ecological conservation and reclamation, with no open water or roads in the area. Tourists are restricted from entering our research plot in order to minimize human disturbance. The terrain is flat and uniform. The soil is mainly of the fluvo-aquic type, with soil porosity of 40.3%, pH of 7.8, and an estimated field capacity and permanent wilting point of 26 and 10%, respectively. The site is characterized by a temperate continental monsoon climate. The 50-year annual mean temperature and annual precipitation are 12.5°C and 592 mm, respectively. The seasonal distribution of precipitation is highly uneven, with 80% of annual rainfall falling from June to August. The plant species in the forest reserve are endemic to the North China Plain. Tree species present include Sophora japonica L., Fraxinus chinensis, Pinus tabuliformis, and Platycladus orientalis. The understory consists mainly of Iris tectorum and Dianthus chinensis. The tree stand density in 2015 is recorded to be  ${\sim}210$  stems  $ha^{-1},$  with a mean tree height of 7.7 m and a mean diameter at breast height of 20 cm. The cover ratio of trees-to-shrubs is ~7:3. Shrubs include Prunus davidiana, Amygdalus triloba, Swida alba, and Syzygium aromaticum, with a mean height of 2.8 m. All trees in the reserve have shallow roots with a depth of  $\sim 0.08-0.4$  m.

# 2.2. Flux, meteorological, and vegetation measurements

Water vapor fluxes were measured at 12 m above the ground surface with an eddy-covariance (EC) system, consisting of a threedimensional sonic anemometer [CSAT3A; Campbell Scientific, Inc., Logan, UT, USA (CSI)] and a closed-path infrared gas analyzer (model EC155; CSI), which was calibrated once a month. The EC flux measurements began in April 2011. Continuous highfrequency data (at 10 Hz) were collected and processed in the calculation of 30 min fluxes. Fluxes were subsequently recorded on a datalogger (CR3000; CSI).

Hydrometeorological variables, including air and soil temperatures ( $T_a$  and  $T_s$ , °C), relative humidity (RH, %), net radiation ( $R_n$ , W m<sup>-2</sup>), precipitation (P, mm), and soil volumetric water content (VWC, %) were measured simultaneously. Air temperatures, relative humidity, and net radiation were measured at 12 m above the ground surface. Precipitation was measured within an opening (unvegetated) area near the EC tower. Soil volumetric water content and  $T_s$  were measured at a 10 cm depth at five locations around the tower. Data for all hydrometeorological variables were stored on a datalogger (CR3000; CSI). Further details on instrumentation and sampling procedures can be found in Xie et al. (2016) study.

To characterize land cover and temporal changes in the canopy structure in response to climate variations, we used time series processed images (i.e., image-pixel containing the EC tower) of normalized difference vegetation index (NDVI; MOD13Q1 products) at 250 m resolution downloaded from the Earth Observing System Data Gateway. The images were downloaded as 16-day composites covering the entire flux-measurement period from 2012 to 2017.

### 2.3. Data processing and analysis

Post-processing was performed using the EddyPro 4.0.0 software (LI-COR Inc., USA), including spike removal, double coordinate rotation, time delay corrections, frequency response corrections, detrending (block averaging), and flux computation (Burba, 2013). Half-hourly turbulent fluxes and meteorological data were carefully controlled for quality and spurious data points. Outliers were removed following the approach described by Papale et al. (2006). Data gaps were filled using the standard method used by the Fluxnet Canada Research Network (Amiro et al., 2006). Three diagnostic variables, including the surface conductance ( $g_s$ ), the Priestley–Taylor coefficient ( $\alpha$ ), and the decoupling coefficient ( $\Omega$ ), were used to interpret the relative importance of biophysical control on ET.

Variable  $g_s$  of a dry surface mostly reflect the stomatal control of plants on ET. The  $\alpha$ -coefficient in the Priestley–Taylor model can be viewed as a normalization of ET (Blanken et al., 1997), which provides a comparison of measured ET to climatological expectations. Usually,  $\alpha \ge 1$  occurs in mesic ecosystems, indicating sufficient water supply so that latent heat fluxes are limited by available energy. In contrast,  $\alpha < 1$  occurs in semiarid and arid ecosystems, where latent heat fluxes are limited by the availability of soil water (Jia et al., 2016).  $\Omega$  indicates the degree of canopy decoupling from the bulk air, with  $\Omega$  varying from 0 (completely coupling) to 1 (decoupling), as the biological control on ET becomes weaker as  $\Omega$  approaches 1.

We estimated  $g_s$  (mm s<sup>-1</sup>) by inverting the Penman–Monteith equation (Monteith and Unsworth, 2007), such that

$$g_{s} = \frac{\gamma \lambda E g_{a}}{\Delta \left( R_{n} - G \right) + \rho C_{p} V P D g_{a} - \lambda E \left( \Delta + \gamma \right)}$$
(1)

where  $\gamma$  is the psychrometric constant (kPa K<sup>-1</sup>),  $\Delta$  is the rate of change of saturation water vapor pressure as a function of  $T_a$  (kPa K<sup>-1</sup>),  $R_n$  is the net radiation (W m<sup>-2</sup>),  $\lambda E$  is the latent heat flux (W m<sup>-2</sup>), G is the soil heat flux (W m<sup>-2</sup>),  $\rho$  is the air density (kg m<sup>-3</sup>),  $C_p$  is the specific heat of air (J kg<sup>-1</sup> K<sup>-1</sup>), and VPD is the water vapor pressure deficit (kPa).

The aerodynamic conductance ( $g_a$ , in mm s<sup>-1</sup>; Monteith and Unsworth, 2007) was calculated as

$$g_a = \left(\frac{u}{{u^*}^2} + 6.2{u^*}^{-0.67}\right)^{-1} \tag{2}$$

where  $u_*$  and u are the friction velocity and wind speed, respectively, both given in m s<sup>-1</sup>. The Priestley–Taylor coefficient ( $\alpha$ ; Priestley and Taylor, 1972) was calculated from

$$\alpha = \frac{\Delta + \gamma}{\Delta} \frac{\lambda E}{(R_n - G)}.$$
(3)

 $\Omega$  is calculated as (Jarvis and McNaughton, 1986)

$$\Omega = \frac{\Delta + \gamma}{\Delta + \gamma \left(1 + \frac{g_a}{g_s}\right)} \tag{4}$$

α, Ω, and  $g_s$  were calculated based on non-gap-filled 30 min data from daytime hours (when PAR > 5 µmol m<sup>-2</sup> s<sup>-1</sup>), during periods when the soils were unfrozen, i.e., when  $T_s > 0.5^{\circ}$ C and for days with no rain. The α, Ω, and  $g_s$  values were aggregated to daily means and longer periods.

#### 2.4. Growing season and statistical analysis

Growing season length (GSL) was determined from the daily mean gross ecosystem production time series, following procedures reported by Zha et al. (2009). Growing seasons spanned DOY (day of the year) 96–303, 104–298, 98–302, 108–302, 97–302, and 94– 297 for 2012–2017, respectively. Normally, tree leaf expansion and coloration stages commence in April and October, respectively. According to the seasonal variation in NDVI, we defined March to June as the leaf expansion period, July to September as the leafexpanded period, and October to November as the leaf coloration period. We defined the period from the previous November to March of the following year as the non-growing season. Following Xie et al. (2016), we defined drought as the occurrence of days when VWC was <12.5% from March to November, March through May



as spring, June through August as summer, and September through November as autumn.

Linear regression was used to examine the relationships between ET and the target biophysical variables (i.e.,  $R_n$ ,  $T_s$ , VWC, P, NDVI, and  $g_s$ ) at various timescales. To evaluate the contribution of different phenological phases to the variability in annual ET, the difference between the standard deviation of cumulative ET from a given month or season and its preceding one (DstDev) was calculated to quantify the contribution of each month or season to the observed interannual variability of the ET. All statistical analyses were performed in Matlab (The MathWorks, Natick, MA, USA).

# 3. Result

### 3.1. Environmental factors

Variation in major environmental factors during the 6 years is shown in Figures 1–3. Compared to the annual analysis, some factors (i.e., monthly  $R_n$ , monthly VPD, monthly  $T_a$ , monthly  $T_s$ , and monthly NDVI) were much more variable during the years 2012–2017 (Figure 2; Tables 1, 2). Interannual variation of monthly mean  $R_n$  and monthly mean VPD were highest in June; monthly mean  $T_s$  and NDVI were non-negligible in April (Figure 2). By contrast, interannual variation of annual mean  $T_a$ ,  $T_s$ ,  $R_n$ , VPD,



and NDVI were imperceptible (Table 1). Annual mean  $T_a$  above the canopy increased from 2012 to 2015 and then decreased slightly over the remaining 3 years (2015–2017). The years after 2014 were all warmer than the 50-year mean of 12.5°C (1961–2010; Table 1), showing a warming climate.

Precipitation regime and soil volumetric water content (VWC) varied significantly both seasonally and annually at the site (Figures 1C, D, 2B, F; Table 1). The VWC at 10 cm depth varied with soil thaw in early spring and P amount (Figures 1C, D), increasing abruptly in March or June with the start of the rainy season and peaking in the middle of the growing season. Interannual variation in monthly P and monthly mean VWC were highest in July (Figures 2B, F). The mean annual total P was 473.52  $\pm$  168.54 mm, with a CV of 35.6% (Table 1), and the lowest and highest annual mean were 270.9 and 738.3 mm in 2014 and 2012, respectively. The wet year 2012 had many irregular and intensive precipitation events, with 24% of the annual total P falling during a single summer rainfall event (i.e., 176 mm on DOY 203, dashed vertical line in Figure 1C). The year 2016 had one intensive rainfall event with 172.3 mm on DOY 202 (dashed vertical line in Figure 1C), which accounted for approximately 31% of the annual total P. In contrast, annual P in other years was all below the 50-year mean, especially the dry years 2014 and 2015 with 311 and 268 mm (Table 1). The dry year 2014 had the lowest mean annual VWC of 10.4% among the 6 years (Table 1). High spring VWC in 2013 was mainly caused by high nongrowing season *P* ( $\sim$ 99 mm) during the preceding year (i.e., 2012; Figures 1C, D).

Soils in late spring to early summer were generally dry over the 6 years (Figure 1D). According to the number of consecutive low VWC days during the growing season, 2012 had one extremely dry soil period (DOY 125–176) in spring, with a mean VWC of 10.0%. The year 2013 had two extremely dry soil periods, one in spring and one during the leaf coloration phase (i.e., DOY 134–157 and 286–334), with a mean VWC of 10.9 and 10.8%, respectively. The dry year 2014 had three extremely dry soil periods, one during each of the leaf expansion, leaf-expanded, and leaf coloration phases (DOY 60–131, 190–210, and 270–330), with a mean VWC of 9.9, 10.5, and 10.1%, respectively. The dry year 2015 had three extremely dry soil periods, two during the leaf-expansion phase and one during the leaf-expanded phase (DOY 60–101, 137–167, and 187–199), with a mean VWC of 8.8, 10.2, and 11.5, respectively. The year 2017 had one dry soil period during the leaf expansion phase (DOY 105–172), with a mean VWC of 11.1%. There was no dry soil period in the year 2016.

### 3.2. The interannual variation in ET

Both monthly and annual ET varied significantly among years at the site (Figures 2A, 3A). Interannual variation of monthly ET was highest in June (Figure 2A). Over the 6 years, annual ET was highest in 2016 and lowest in 2015. The mean annual ET was 462.17  $\pm$  83.58 mm, with a CV of 18% (Table 1).

Over the 6 years, monthly ET was positively correlated to P in July (Figure 4A), to  $T_s$  in August (Figure 4F), to  $R_n$  in September (Figure 4C), and to  $g_s$  in all months during the leafexpanded period (with p's < 0.05; Figure 4H). Total ET over the spring and autumn were both positively correlated to mean VWC over the corresponding period (p's < 0.05; Figure 5), with a higher sensitivity in spring than autumn. Over the 6 years, annual ET values were positively correlated to annual total P, especially P in July (Figure 6) and the non-growing season (November through March; n = 5, p < 0.05,  $R^2 = 0.98$ ; Figure 7A).



### 3.3. Water balance

Cumulative ET showed a seasonal trend similar to cumulative P (Figure 8). Cumulative P exceeded cumulative ET on DOY 203 in 2012 and DOY 182 in 2013, remaining greater than the cumulative ET until the end of the year (Figures 8A, B), yielding annual cumulative difference between P and ET (i.e., P and ET) of 176 and 85 mm, respectively. In contrast, 2014 and 2015 provided cumulative ET greater than cumulative P after DOY 146, yielding annual cumulative P and ET of -135 and -72 mm, respectively (Figures 8C, D). During 2016 and 2017, annual cumulative ET approximately equaled that of P, with most rainfall refilling the soils in mid-summer (Figures 8E, F).

# 3.4. Impacts of drought on evapotranspiration

Daily ET decreased during dry periods (Figure 3). Daily  $\alpha$ ,  $\Omega$ , and  $g_s$  displayed a trend similar to that demonstrated by ET. The extended dry spell in 2014–2015 led to an analogous reduction in annual ET,  $\alpha$ ,  $\Omega$ , and  $g_s$  (Table 1), causing a substantial decline in the cumulative difference between *P* and ET (Figure 8). Mean annual ET for the dry year 2014 and 2015 (~292 mm yr<sup>-1</sup>) was 30% lower than the non-drought year in 2016 (~565 mm yr<sup>-1</sup>). Accordingly, the derived parameters  $\alpha$  and  $g_s$  also showed large wetdry contrasts, with dry vs. wet year reductions of 29% ( $\alpha$ ), 35% ( $\Omega$ ), and 35% ( $g_s$ ). The shorter duration of spring drought led to annual ET being higher (Figure 9).

TABLE 1 Annual mean air temperature (Ta, °C), soil temperature (Ts, °C), net radiation (Rn, W m<sup>-2</sup>), vapor pressure deficit (VPD, kPa), and soil volumetric water content (VWC, %), annual total precipitation (P, mm), evapotranspiration (ET, mm) and growing-season (from May to October) normalized difference vegetation index (NDVI), midday (10:00–14:00 h, LST = GMT + 8) surface bulk parameters (gs, mm s<sup>-1</sup> and  $\alpha$ ) and growing-season length (GSL, days).

Year	ET	NDVI	Ta	$T_s$	$R_n$	Р	VPD	VWC	$g_s$		Ω	gso	gse	GSL
2012	562.13	0.75	11.97	11.18	84.28	738.3	0.83	14.25	4.66	0.76	0.19	96	303	207
2013	390.6	0.75	12.41	11.01	81.75	475.6	0.81	13.93	3.42	0.58	0.15	104	298	194
2014	404.6	0.73	12.8	11.48	79.82	270.9	0.95	10.42	3.04	0.56	0.12	98	302	204
2015	386.17	0.75	13.28	12.31	91.63	314.4	0.92	13.06	3.53	0.57	0.14	108	302	194
2016	565.72	0.74	13.09	11.93	88.62	543.8	0.94	13.45	5.04	0.80	0.20	97	302	205
2017	463.79	0.73	13.05	12.26	89.03	498.1	0.95	14.72	3.51	0.58	0.15	94	297	203
Mean	462.17	0.74	12.76	11.69	83.23	473.52	0.9	13.31	3.87	0.64	0.16	99.5	300	201
SD	83.58	0.01	0.49	0.55	4.7	168.54	0.06	1.53	0.79	0.11	0.03	5.36	2.50	5
CV	0.18	0.01	0.04	0.05	0.06	0.36	0.07	0.11	0.20	0.17	0.17	0.05	0.01	0.02

# 4. Discussion

### 4.1. Interannual variation of annual ET

Our study demonstrated that the annual P, especially P in the non-growing season and July controlled the IAV of ET (Figures 6, 7A). This result supports the hypothesis that longterm, local-scale ET is generally sensitive to the IAV of P in water-limited environments (Fatichi and Ivanov, 2014). It was also consistent with previous findings that soil water replenishment through P during the non-growing period of the previous year and mid-growing season significantly affected current-year ET and was largely responsible for the interannual variation observed in ET in temperate forest ecosystems (Ma et al., 2018). Precipitation in different periods may affect annual ET through different mechanisms. Since the water availability in pre- and early-growing seasons was confirmed to be essential for the growth of forests in water-limited regions of temperate China (Wu et al., 2009, 2016), P in the non-growing season and leaf expansion period could primarily affect annual ET by its regulation on canopy development. At our site, we found that P in the non-growing season could provide higher spring soil moisture content that can benefit spring canopy formation (Supplementary Figure S1) and make a higher spring ET (Figure 5A). The result is comparable to the results obtained by previous research, which showed a lag effect of P on ET in temperate China (Xu et al., 2014). Notably, too much P during the non-growing season might lead to a decrease in spring ET. According to some reports, a wet winter can delay the growing season start and affect vegetation growth due to its effect on absorbed radiation energy and growing degree days of boreal forests at high latitudes (>40°N) (Piao et al., 2011; Fu et al., 2014; Yun et al., 2018), it is reasonable to assume that P (99 mm) during the non-growing season of 2012 caused the highest spring VWC but lower  $T_s$ ,  $R_n$  (Table 2), leading to a delayed growing season start and restricted canopy development in early spring (Figures 1E, 5A), reducing days with abundant light and active vegetation, thus a lower spring ET (Figure 5A) of 2013.

After the canopy completed construction (July to September), our result showed that ET was positively related to P in July  $(\text{ET}_{\text{July to Sep}} = 0.27P_{\text{Jul}} + 184.5, R^2 = 0.69, p < 0.05)$  but not to *P* during July to September ( $ET_{July to Sep} = 0.21P_{Jul to Sep} + 172.1, R^2$ = 0.50, p = 0.12 > 0.05, indicating that the effect of *P* in July played a more important role than P in later months in regular ET during the leaf expanded period. This may have occurred for the following reasons. First, as the cumulative P-ET was always negative before July (Figure 8), P in July contains 45% of annual P in mid-summer non-drought years (Figure 5B; Table 2), and it is very important to supply soil water during the leaf expanded period. Our result also showed that P in July could explain 50% of the variation in VWC during the leaf expanded period (n = 6,  $R^2 = 0.5$ ). Second, in instances of a more favorable water supply, ET becomes more constrained by atmospheric demand (Liu et al., 2018), and rainfall may offset the positive effects of available energy on increasing ET (Ryu et al., 2008; Kume et al., 2011). August and September had the highest mean monthly  $\alpha$ , and  $\Omega$  and relatively higher VWC during the 6 years (Figures 1D, 3, 4E; Table 2); more mild, longer duration P might lead to lower  $R_n$  and soil temperature, resulting in an uncertain effect of P amount on ET. That is the reason why the forest showed a strong interannual response of monthly ET to P for July but not for other summer months (Figures 4A, 6). Thus, the large variability of P at the onset of the monsoon may lead to IAV in the ET during the whole leaf expanded period in forests of Northern China. The results suggest that ET in our forest may be affected by possible changes in the rainfall regime. These observations together suggested the importance of the amount, intensity, and timing of precipitation control on IAV of ET.

Variable	Year								
		Mar	Apr	May	Jun	Jul	Aug	Sep	Oct
ET (mm)	2012	16.30	50.98	79.08	76.21	110.52	102.25	67.89	36.10
	2013	10.00	28.70	51.46	48.06	85.20	88.47	42.45	19.87
	2014	6.08	28.26	58.09	99.77	79.89	72.16	37.26	11.72
	2015	6.94	18.00	55.43	47.97	62.46	97.10	59.05	32.05
	2016	11.48	41.68	84.61	102.02	88.07	123.59	74.79	26.65
	2017	12.93	40.55	66.85	99.31	75.48	88.05	59.18	14.46
α	2012	0.30	0.59	0.70	0.78	0.95	0.90	0.69	0.70
	2013	0.21	0.32	0.50	0.62	0.69	0.74	0.54	0.50
	2014	0.12	0.36	0.51	0.80	0.67	0.65	0.47	0.51
	2015	0.13	0.24	0.47	0.47	0.58	0.76	0.68	0.47
	2016	0.19	0.40	0.74	0.82	0.87	0.99	0.84	0.74
	2017	0.25	0.41	0.54	0.54	0.64	0.71	0.64	0.54
$T_s$ (°C)	2012	2.08	13.27	18.77	21.62	24.61	23.64	19.24	13.23
	2013	1.56	9.74	17.32	20.68	23.97	24.00	19.16	13.14
	2014	6.87	14.92	17.61	21.22	24.57	23.20	19.31	13.69
	2015	5.76	13.31	17.92	21.54	23.35	23.82	19.42	13.98
	2016	3.98	13.38	17.23	21.67	24.29	24.64	20.36	13.89
	2017	6.00	14.31	19.78	21.35	25.16	24.00	20.63	13.72
VPD (kPa)	2012	0.62	1.16	1.66	1.36	1.19	1.14	0.96	0.78
	2013	0.59	1.04	1.54	1.04	1.21	1.23	0.77	0.63
	2014	0.93	1.25	1.75	1.29	1.95	1.44	0.88	0.62
	2015	0.91	1.19	1.63	1.54	1.37	1.33	0.86	0.76
	2016	0.92	1.32	1.62	1.64	1.32	1.37	0.99	0.47
	2017	0.80	1.43	1.86	2.07	1.21	1.09	1.05	0.47
VWC (%)	2012	11.52	13.47	10.63	12.41	23.22	20.63	18.71	13.13
	2013	15.73	18.24	12.52	16.20	21.60	18.36	16.95	12.28
	2014	9.68	9.97	11.31	14.08	11.49	13.22	13.02	10.31
	2015	8.30	12.42	11.35	12.38	17.55	18.24	16.94	13.31
	2016	13.40	12.08	11.48	13.20	16.82	17.62	13.06	16.13
	2017	13.30	12.45	11.02	10.72	23.25	23.16	16.06	16.88
P (mm)	2012	14.30	33.60	12.00	99.30	364.00	40.10	80.80	16.40
	2013	17.20	8.60	2.50	92.20	200.70	90.10	52.20	7.70
	2014	1.2	12.40	28.40	82.80	34.70	36.60	57.00	11.50
	2015	0.9	35.20	31.60	9.50	74.20	44.90	53.10	12.50
	2016	0.00	6.60	35.50	81.60	248.20	47.20	44.10	64.10
	2017	12.40	0.30	21.50	12.00	218.40	165.10	1.10	64.70
$R_n (\mathrm{W} \mathrm{m}^{-2})$	2012	74.01	101.01	136.91	121.61	129.88	128.54	109.87	67.09
	2013	67.96	119.77	126.46	98.68	134.61	134.12	106.09	64.88
	2014	77.13	104.44	138.53	152.56	135.93	127.28	80.38	55.57
	2015	82.55	120.43	145.18	138.33	135.64	143.76	104.09	65.69
	2016	86.90	122.30	139.84	150.43	121.65	136.27	106.39	60.55
	2017	78.43	125.17	153.03	159.53	140.36	141.92	110.33	51.47

#### TABLE 2 Seasonal variation in evapotranspiration (ET), daytime Priestley–Taylor coefficient (α), and main meteorological variables for years 2012–2017.



#### FIGURE 4

Interannual relationships between monthly ET (mm) and respective main explanatory variables (A) monthly P (mm), (B) monthly mean air temperature ( $T_a$ ), (C) monthly mean net radiation ( $R_n$ ), (D) monthly mean vapor pressure deficit (VPD), (E) monthly mean soil volumetric water content (VWC), (F) monthly mean soil temperature ( $T_s$ ), (G) monthly mean NDVI and (H) monthly mean daytime surface conductance ( $g_s$ ) from May to October across years (2012–2017; n = 6 for each month and n = 36 for each plot). Solid lines are fitted line (p < 0.05) and dash lines are fitted line (p < 0.01).



# 4.2. Interannual variation in ET in relation to drought

The 6-year study (2012–2017) provided an opportunity to study the interannual responses of ET to drought. Drought events at the site occurred randomly at any phenophases and decreased the ET (Figure 1) whenever they happened. However, the mechanism and extent to which drought-affected annual ET differed with the timing and duration of drought occurrence. In previous temperate forest studies, soil drought due to seasonal and interannual variations in rainfall could be a determining factor for interannual variations in ET due to stomatal closure or leaf fall (Wilson and Baldocchi, 2000; Thomas et al., 2009). Spring drought has been reported to suppress canopy development in a deciduous temperate forest (Noormets et al., 2008). At our study site, we found that the values of spring NDVI in years with spring drought were lower than the year 2016 (Figures 1E, 5A), while ET during spring had a positive relationship



with spring NDVI (p = 0.06,  $R^2 = 0.65$ ) and IAV in annual ET (p < 0.05,  $R^2 = 0.96$ ; Figure 7B). This might indicate that the drought in spring can hinder canopy development and might result in an overall reduction in annual ET. As the photosynthetic structure in the leaf is determined by conditions at the time of leaf development (Kull and Tulva, 2002), the spring drought might have a detrimental effect on the canopy development of the growing season. That appears to be why the mean value of NDVI during the leaf expanding period was positively related to spring NDVI  $(y = 2.28 \ x - 0.97, \ n = 6, \ R^2 = 0.71, \ p < 0.05)$ . As  $g_s$  depends on leaf area index (LAI) and leaf-level stomatal conductance, reduced NDVI can lead to suppressed  $g_s$ , and thus ET (Figure 7D). The drought in spring may also affect annual ET by regulating plant phenology. Some studies found that drought happening in spring or autumn can influence the lifespan of annual deciduous and grassland in temperate regions, thus affecting growing season length and regulating days with active vegetation and IAV in annual ET (Wilson and Baldocchi, 2000; Ryu et al., 2008; Thomas et al., 2009; Zha et al., 2010). Our study also showed that the GSL had a positive influence on annual ET (Figure 7C). The variability of the onset date exceeded that of the end (Figure 8; Table 1) and the variability in the NDVI during the onset of the growing season exceeded that during the end of the growing season (Figure 2D) due to soil water replenishment through P during the summer month and less soil moisture deficit during the autumn (Figures 1C, D). As a result, ET was shown to be more sensitive to the availability of soil moisture during the onset of the greenness period than the transition to the senescence period (Figures 2, 5). Consequently, due to the monsoon circulation features (soil water replenishment through P in summer), the variability in the intensity of drought in spring may play a more important role in regular GSL and annual ET than that in autumn in temperate forest ecosystems. The more intense spring drought may lead to lower annual ET. Our result is that the most severe early spring drought in 2015 came with the lowest VWC in March (Table 2), leading to the latest date of onset, the shortest GSL; adding days with inactive vegetation and the lowest annual ET over 6 years (Figures 1D, E, 7C) can further support this.

We found that low P in mid-summer coincided with extreme drought and low ET during July to September, which occupied 52% of annual ET on average, particularly in 2014 (Figure 1D; Table 2). During the mid-summer,  $T_a$  and  $R_n$  reached their maxima, and water supply was substantially limited (Figures 1D, 8). Drought during the mid-growing season has been reported to reduce both photosynthetic capacity and transpiration, resulting in inhibited plant growth, earlier canopy senescence, self-protection by stomatal regulation against water losses, and limited ET (Restrepo and Arain, 2005; Yang et al., 2016). At our site, the NDVI showed little variability (Figures 2D, 4G) and decreased slightly during the mid-growing season drought in 2014 and 2015 (Figure 1E). More importantly, we found that lower P coupled with higher  $T_a$  and VPD, which can induce higher stomatal closure (Barron-Gafford et al., 2007), lead to suppressed gs and ET (Figures 4A, B, D; Table 2). This result is comparable to the results obtained by previous research, showing that the suppression of ET by summer drought was not related to early canopy senescence but resulted primarily from reductions in stomatal conductance in deciduous forests in temperate regions (Zha et al., 2010).

We conclude that the timing of drought can affect annual ET in different ways and that spring drought primarily affects ET by restricting leafing out and canopy development, while summer drought primarily reduces stomatal conductance in this region. However, to compare the effect of drought in different periods on annual ET, longer (decade scales) time series and a wider range of VWC and P conditions are needed. In fact, the data suggest that the variations of ET during spring appear to have had a smaller contribution to IAV in annual ET in this study (Supplementary Figure S2A) in part because of the smaller proportion of spring ET (<25%) in the annual total. However, the result that IAV in ET during spring and summer were both the primary factors determining the IAV in annual total ET (Supplementary Figure S2A) and IAV of annual ET being more sensitive to IAV of spring ET (Supplementary Figure S2B) may indicate that the variability in the intensity of drought in spring may have played a more important role in regular annual ET than summer drought. Moreover, the extended duration of the spring drought resulted in reduced annual ET (Figure 9), indicating that, in addition to its immediate negative impact on ET during spring, the spring drought may also have a more prolonged carryover effect



#### FIGURE 8

Annual cumulative evapotranspiration (ET, black solid lines), precipitation (*P* red line), and their differences (*P*-ET, blue dashed lines) for years 2012-2017. i.e., (A) year 2012, (B) year 2013, (C) year 2014, (D) year 2015, (E) year 2016, and (F) year 2017, respectively. Vertical dot line represents start and end of growing season.



2012–2017. The dashed line is the fitted line (p < 0.05).

on annual ET compared to the summer drought. Some studies have reported that an earlier spring uses the soil water reservoir sooner, which leads to summer drought in the northern hemisphere (Angert et al., 2005). In the context of climate change that less precipitation occurs as winter snow in temperate regions, without enough water supply from winter snowfall and/or seasonally frozen soil (Wu et al., 2018), forests across temperate China might become more vulnerable to changing hydrological processes and intensified drought stress. Thus, irrigation during early spring is viewed as a practical and efficient management measure for sustaining tree growth and ecosystem services in urban forests of Northern China.

# 5. Conclusion

We arrived at the following conclusions with regard to our leading hypotheses based on 6 years of EC measurements in an urban forest in Northern China. First, the interannual variation of both annual ET and monthly ET was controlled by  $g_s$  modified by environmental factors. The interannual variation of annual ET was significantly influenced by non-growing season and mid-growing season precipitation. However, the main controlling factors on the interannual variation of ET differed seasonally, namely, VWC and NDVI in spring, precipitation and  $T_s$  in summer, and VWC and  $R_n$  in autumn. Second, our results emphasized the importance of the timing and duration of drought in controlling the interannual variation of ET in this urban forest. Drought during the leaf expansion period reduced annual ET by restricting leafing out and canopy development, while drought during the expanded period reduced ET by reducing stomatal conductance. We also found that although autumn droughts may reduce ET, their contribution to the interannual variability of ET is less important than spring and summer droughts. Overall, this study emphasized the importance of the seasonality of the precipitation pattern in controlling interannual variation in ET in urban forests. Irrigation support in early spring and mid-summer is an effective measure for sustaining tree growth and ecosystem services in urban forests of Northern China.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding author.

# Author contributions

RY collected and analyzed data and wrote the manuscript. All authors contributed to the article and approved the submitted version.

# Funding

This study was supported by the National Key Research and Development Program of China (2020YFA0608100) and grants from the National Natural Science Foundation of China (NSFC, Proj. Nos. 32101588 and 32071842). The U.S. China Carbon Consortium (USCCC) supported this study by providing an opportunity for instructive discussions and exchange' of ideas.

## Acknowledgments

The authors are grateful to JM for his assistance with the field measurements and instrumentation maintenance. The authors would also like to thank the editors and reviewers for their constructive and detailed comments on earlier versions of the manuscript. This work was supported by the Fundamental Research Funds for the Central Universities (2021ZY49).

# **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ffgc.2023. 1218005/full#supplementary-material

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