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# Ecophysiological responses of seedlings of six dipterocarp species to short-term drought in Borneo

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To predict the dynamics of tropical rainforest ecosystems in response to climate change, it is necessary to understand the drought tolerance and related mechanisms of trees in tropical rainforests. In this study, we assessed the ecophysiological responses of seedlings of six dipterocarp species (*Dipterocarpus pachyphyllus*, *Dryobalanops aromatica*, *Shorea beccariana*, *S. curtisii*, *S. parvifolia*, and *S. smithiana*) to experimental short-term drought conditions. The seedlings were initially grown in plastic pots with sufficient irrigation; irrigation was then stopped to induce drought. Throughout the soil-drying period, we measured various ecophysiological parameters, such as maximum photosynthetic and transpiration rates, stomatal conductance, water-use efficiency, predawn water potential, the maximum quantum yield of photosystem II ( $F_v/F_m$ ), leaf water characteristics (using pressure-volume curves), leaf water content, and total sugar and starch contents. In all six dipterocarp species studied, the  $F_v/F_m$  values dropped sharply when the soil water content fell below 8%. However, there were interspecific differences in physiological responses to such a decrease in soil water content: *S. parvifolia* and *S. beccariana* actively controlled their stomata during drought to reduce water consumption via an isohydric response, but showed an increase (*S. parvifolia*) or no change (*S. beccariana*) in leaf drought tolerance; *Di. pachyphyllus* and *Dry. aromatica* maintained photosynthesis and transpiration close to the wilting point during drought without reducing water consumption via an anisohydric response, and also increased their leaf drought tolerance over the drying period; and *S. curtisii* and *S. smithiana* maintained their photosynthetic capacity without stomatal closure, but showed no change or a slight decrease in leaf drought tolerance. Our results indicate that extreme drought can cause the death of dipterocarp seedlings via various drought response, which could substantially impact the future distribution, population dynamics, and structure of tropical rainforests.

## KEYWORDS

drought stress, isohydric/anisohydric behavior, leaf water potential, stomatal regulation, tropical rainforest

## Introduction

Global climate change has affected precipitation patterns worldwide, leading to increased drought severity and frequency (Huntington, 2006; Dai, 2013; Chadwick et al., 2015; Cook et al., 2015; Donat et al., 2016). Even in tropical rainforests, which have important roles in global carbon and water cycling (e.g., Lean and Warrilow, 1989; Kanae et al., 2001; Beer et al., 2010;

Pan et al., 2011; Spracklen et al., 2012), changes in rainfall patterns and increased drought frequency have led to increased tree mortality (e.g., Nakagawa et al., 2000; Williamson et al., 2000; Clark, 2004; Meir and Grace, 2005; van Nieuwstadt and Sheil, 2005), in turn reducing water cycling (Phillips et al., 2009; Kumagai and Porporato, 2012; Feldpausch et al., 2016). El Niño–Southern Oscillation (ENSO) is considered to be a major driver of worsening droughts in Southeast Asia (Malhi and Wright, 2004; Kumagai and Porporato, 2012), and climate change will likely increase the severity and frequency of ENSO phenomena in the future (Timmermann et al., 1999; Breshears et al., 2009; Allen et al., 2010; Chen et al., 2016; Thirumalai et al., 2017; Wang et al., 2017; Cai et al., 2018; Rifai et al., 2019). ENSO-induced droughts can result in substantial carbon losses due to the death of tropical forest trees, which in turn exacerbates global warming (Feng et al., 2017). There is growing evidence that ENSO-induced droughts can severely impact tropical forest dynamics, resulting in increased mortality and decreased growth of trees (Nakagawa et al., 2000; Phillips et al., 2009, 2010; Itoh et al., 2012; Bennett et al., 2015). However, there are few studies on the ecophysiological responses of tropical rainforest tree species to severe drought, particularly in Southeast Asia (Burslem et al., 1996; Tyree et al., 1998; Cao, 2000; Baltzer et al., 2008; O'Brien et al., 2014, 2015, 2017a,b, 2020; Inoue et al., 2017; Bittencourt et al., 2022).

Dipterocarpaceae is the dominant family in the lowland tropical rainforests of Southeast Asia, especially in the canopy and emergent layers (Ashton, 2014), and is critical to the forest dynamics and carbon and water cycles in these areas (Kumagai et al., 2006; Ghazoul, 2016). A significant increase in dipterocarp mortality was reported during a severe ENSO-induced drought (Nakagawa et al., 2000; Slik, 2004; van Nieuwstadt and Sheil, 2005; Newbery and Lingensfelder, 2009; Itoh et al., 2012). Moreover, experiments on the responses of several dipterocarp species to controlled drought revealed that mature trees of *Dryobalanops aromatica* increased their leaf drought tolerance via altered osmotic regulation (Inoue et al., 2017) without a significant reduction in leaf stomatal conductance (Yoshifuji et al., 2020). This species had a lower mortality rate under ENSO-induced drought compared to other dipterocarps (Hiromi et al., 2012), suggesting that responses to drought might vary widely among this family. In addition, the total non-structural carbohydrate (TNC) concentrations during a prolonged artificial drought experiment varied among dipterocarp species and were positively correlated with the timing of seedling death (O'Brien et al., 2014).

A plant species can be classified as isohydric or anisohydric depending on its stomatal behavior and hydraulic status in response to drought stress (Tardieu and Simonneau, 1998; Martínez-Vilalta et al., 2014; Kannenberg et al., 2017); this concept can be applied in assessments of the mechanisms underlying the short-term drought resistance and mortality of plant species (Qiu et al., 2022). As drought severity increases, isohydric species maintain stable midday leaf water potential via strict stomatal regulation, whereas anisohydric species experience fluctuations in water availability, with no discernible threshold of minimum leaf water potential (Martínez-Vilalta and García-Fórner, 2017). Because of the regulatory role of stomata in leaf conductance to water vapor (i.e., transpiration) and plant water status, classification as isohydric or anisohydric usually depends on stomatal behavior: isohydric species maintain relatively stable leaf water potential via stricter stomatal control, whereas anisohydric species exhibit less strict transpiration regulation (Jones, 1998; Tardieu and Simonneau, 1998; Martínez-Vilalta and García-Fórner,

2017). As a consequence, isohydric species are tolerant of short-term drought but may experience TNC depletion due to limited photosynthesis under prolonged drought. In contrast, anisohydric species maintain photosynthesis despite the risk of dehydration due to less strict stomatal regulation (Tardieu and Simonneau, 1998; McDowell et al., 2008). Changes in water potential and transpiration during non-drought periods have been detected in mature trees of both types of dipterocarps (Hiromi et al., 2012); however, the response to drought and relationship with other ecophysiological traits, such as photosynthesis, remain unclear in dipterocarp species (O'Brien et al., 2014).

This study was conducted to measure the stomatal behavior and hydraulic status of seedlings under short-term controlled drought conditions using six dominant dipterocarp species of a primary tropical rainforest in Borneo. We hypothesized that these species would exhibit different responses to drought, i.e., isohydric or anisohydric.

## Materials and methods

### Study site

This study was conducted in Lambir Hills National Park in Sarawak, Malaysia (4°20'N, 113°50'E). The study area has a humid tropical climate with minimal seasonal changes in rainfall or temperature (Kato et al., 1995). The mean annual precipitation and air temperature are 2,600 mm and 25.8°C, respectively (Kume et al., 2011). Although this location lacks a regular dry season (Kume et al., 2011), it sometimes experiences reduced precipitation in association with supra-annual climatic events, such as ENSO (Nakagawa et al., 2000; Sakai et al., 2006; Gomyo and Kuraji, 2009).

### Study species

We selected six dipterocarp species for this study; *Dipterocarpus pachyphyllus* Meijer (DP), *Dryobalanops aromatica* Gaertn.f (DrA), *Shorea beccariana* Burck (SB), *Shorea curtisii* Dyer ex King (SC), *Shorea parvifolia* Dyer (SP), and *Shorea smithiana* Symington (SS). All of these species are evergreen and dominate the canopy and emergent layers at the study site. DrA, SB, SC, and SS are among the most important tree species in Lambir Hills National Park in terms of appearance frequency or basal area (Davies et al., 2005), and DP and SP are locally abundant, especially on the lower slopes of the forest (Momose et al., 1997; Ashton, 2004). DrA, SB, and SC are mainly distributed in upper slope with sandy soil, while DP, SP, and SS are distributed in lower slope with clay soil (Itoh et al., 1995; Hirai et al., 1997; Lee et al., 2002; Ashton, 2004; Hiromi et al., 2012; Kenzo et al., 2016). However, the distribution of these species is unrelated to soil pH (Lee et al., 2002). All six species are used for enrichment planting in tropical rainforests in Southeast Asia (e.g., Appanah and Weinland, 1993; Vincent and Davies, 2003; Hattori et al., 2013; Tuck et al., 2016; Perumal et al., 2017; Widiyatno et al., 2020).

Seeds were collected from at least three mother trees per species in Lambir Hills National Park during the landscape-scale masting event in January 2014 (Iku et al., 2017). More than 300 seeds (50 per species) were collected, each of which was planted in a plastic pot (8 cm × 20 cm) filled with a 50:50 mixture of clayey soil (collected

from the nearby Lambir Forest) and sand. The seedlings were grown in a nursery under two layers of 70% shade cloth, which reduced direct sunlight to about 5%, for 7 months. This is because dipterocarp species consume stored nutrients in their seeds for about 1 month after germination and then become autotrophic (Ichie et al., 2001).

## Drought treatment

From August to October 2014, watering was stopped after all pots were moved to a concrete-paved area under the eaves, where light conditions were comparable to those inside the nursery (Supplementary Appendix 1, 2). Each pot was randomly moved throughout the experiment so that all individuals experienced similar light conditions over time. Volumetric soil moisture was measured to assess soil drying using a soil moisture sensor (SM-200; Delta-T Devices, Cambridge, UK). We measured soil water content (SWC) five times within 1 day per pot, and the mean was taken as the value for the day. This procedure was implemented periodically for all pots. Soil water potential was measured simultaneously with SWC using a soil moisture sensor (Watermark 200SS-15; IRRROMETER, Riverside, CA, USA) equipped with a temperature sensor (Watermark 200TS; IRRROMETER) to determine the relationship between the SWC and soil water potential. Soil drying reached a minimum of  $-2.5$  MPa (Supplementary Appendix 2A). The decrease in SWC after the experiment showed similar patterns among the six dipterocarp species studied (Supplementary Appendix 2B).

## Measurement of leaf gas exchange rate

We measured the leaf gas exchange rate and water potential before irrigation was stopped, at 20, 15, 13, and 10% SWC, and finally every 2 days after the SWC fell below 10%. The leaf light-saturated photosynthetic rate ( $A_{\max}$ ), transpiration rate ( $E_{\max}$ ), and stomatal conductance ( $g_{s\max}$ ) at light saturation, and the dark respiration rate ( $R_d$ ) in fully expanded and apparently non-senescent leaves were measured with a portable photosynthesis apparatus (LI-6400, Li-Cor, Lincoln, NE, USA). We randomly selected five to eight individuals per species and continued the measurements all day until the SWC of each reached the desired value. To avoid midday photosynthesis depression, we measured  $A_{\max}$ ,  $E_{\max}$ , and  $g_{s\max}$  from 08:00 to 11:30, and  $R_d$  from sunset to predawn (Kenzo et al., 2015). The light intensity was set to  $1,500 \mu\text{mol photon m}^{-2} \text{s}^{-1}$  for the measurement of  $A_{\max}$ ,  $E_{\max}$ , and  $g_{s\max}$  and at  $0 \mu\text{mol photon m}^{-2} \text{s}^{-1}$  for the measurement of  $R_d$  using an internal light-emitting diode (Li-640B; Li-Cor) as a light source. The  $\text{CO}_2$  concentration in the chamber was maintained at 400 ppm, and the air temperature and relative humidity were approximately  $30^\circ\text{C}$  and 60%, respectively. The water-use efficiency (WUE), i.e., the ratio of  $A_{\max}$  to  $g_{s\max}$ , was also calculated (Kitahashi et al., 2008; Ichie et al., 2016).

## Chlorophyll fluorescence

We measured the maximum quantum yield of photosystem II ( $F_v/F_m$ ) of each individual using a fluorescence meter (MINI-PAM; WALZ, Effeltrich, Germany) at predawn (around 05:00). To quantify  $F_v/F_m$ , dark-adapted leaves were exposed to a saturating pulse of

$6,000 \mu\text{mol m}^{-2} \text{s}^{-1}$  with a wavelength of 650 nm, for 0.8 s under predawn conditions. In the leaves that maintained photosynthetic functions, the values of  $F_v/F_m$  show around 0.8, though less than 0.2 is the threshold corresponding to drought-induced mortality (Woo et al., 2008; García-Fernández et al., 2013). Three leaves were selected from each individual and the average value was taken.

## Leaf water potential

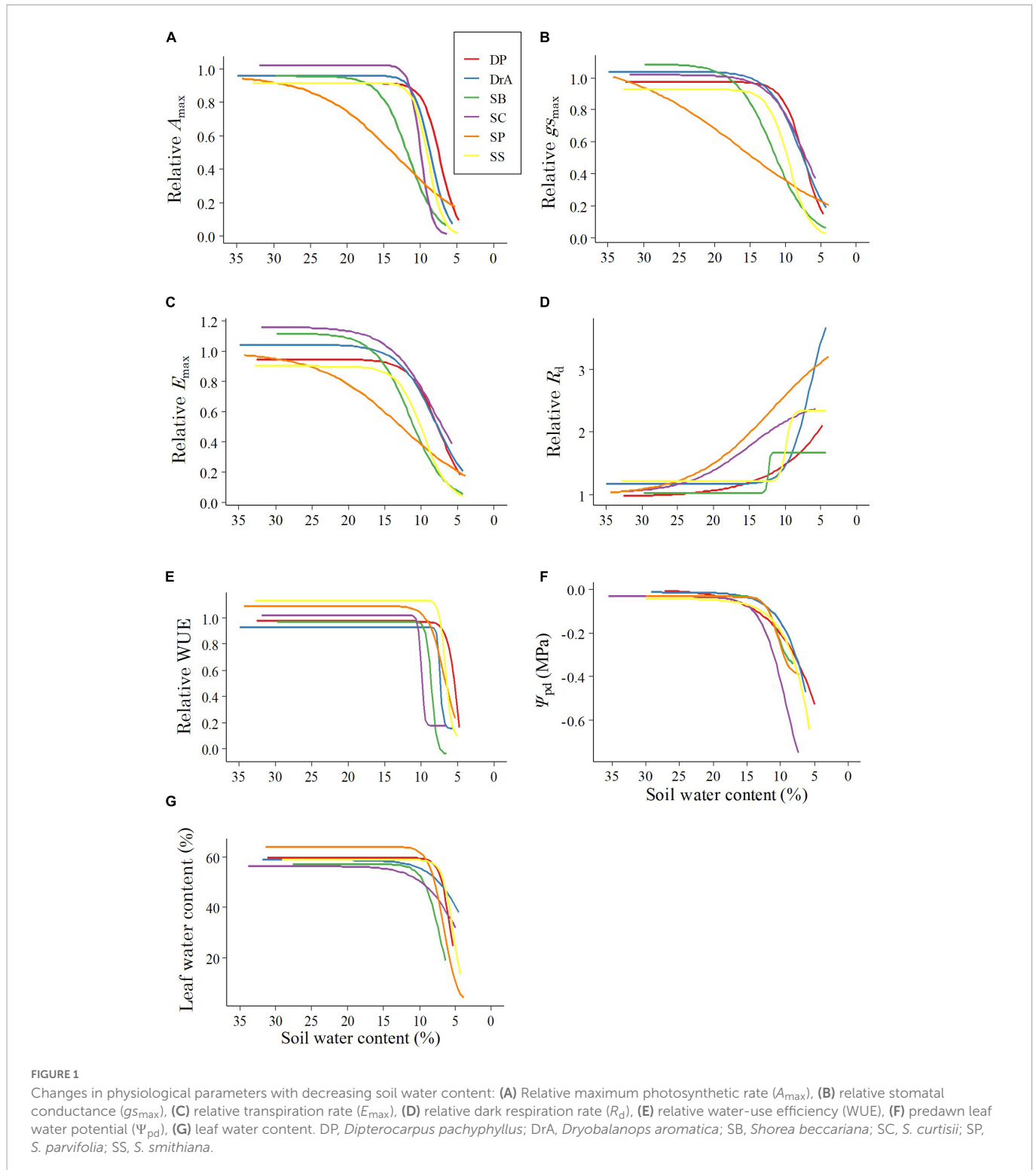
Predawn leaf water potential ( $\Psi_{pd}$ ), water potential at the turgor loss point ( $\Psi_{tlp}$ ), and osmotic potential at full turgor ( $\Psi_{100}$ ) were determined using a pressure chamber (Model 1002; PMS instruments, Corvallis, OR, USA).  $\Psi_{pd}$  was measured from 05:00 to 06:00 in five individuals per species selected randomly on the day when the SWC reached the specified values.  $\Psi_{tlp}$  and  $\Psi_{100}$  were determined from pressure–volume curves (Tyree and Hammel, 1972). The base of each twig was cut off under water after the  $\Psi_{pd}$  measurement and rehydrated with tap water for at least 12 h under dark conditions (Tyree et al., 1974). The roughly 15-cm-long tip of each shoot bearing 5–10 leaves was cut from the twig for the measurement, and a pressure chamber was used to generate pressure–volume curves. We were unable to measure  $\Psi_{pd}$ ,  $\Psi_{tlp}$ , and  $\Psi_{100}$  at 25 and 20% SWC in SP and at 20% in SC due to equipment trouble.

## Individual size and dry weight

Four or five individuals per species were selected randomly before the irrigation was stopped and the tree height, ground diameter, and leaf area of each were measured. During the experiment, the seedlings used for leaf water potential measurement were dug out of the pots, washed to carefully remove soil, and divided into root, stem, leaf, and bud organs. After the fresh weight of leaves was measured, the leaf area was determined using a flatbed scanner and image analysis software (Lia32 freeware; <http://www.agr.nagoya-u.ac.jp/~shinkan/LIA32/index.html>). We measured the dry weight of each organ sample after drying at  $60^\circ\text{C}$  for 48 h. The leaf water content during the experiment was calculated as follows: (Fresh weight–Dry weight)/Fresh weight  $\times 100$  (%).

## TNC analysis

Using the organ samples from the dry weight measurement, we determined the starch and total sugar contents of the leaves, stems, and roots in each species before the start of the experiment, and at 10 and 7% SWC. The total sugar content was determined following the phenol–sulfuric acid method of Dubois et al. (1956), as modified by Ashwell (1966). The dried samples were ground into a fine powder using a Hi-speed Vibration Sample Mill (TI-100; CMT, Fukushima, Japan), and about 100 mg of each sample was extracted at  $90^\circ\text{C}$  overnight using 80% ethanol. The fractional transmittance was read using an ultraviolet–visible light spectrophotometer (UV-1400; Shimadzu, Kyoto, Japan) at 490 nm. The starch content was determined following modified the methods of Lawrence et al. (1990) and Newell et al. (2002). The analytical procedure followed Ichie et al. (2005). The TNC content was calculated as the sum of the starch and total sugar contents.



## Data analysis

To evaluate the response of each physiological trait to soil drought, we assessed the relationships between physiological parameters, including  $A_{max}$ ,  $g_{s_{max}}$ ,  $E_{max}$ ,  $F_v/F_m$ , and leaf water content, with SWC using a logistic regression model that estimated three parameters: the upper limit of each physiological trait under moist conditions before the experiment, the slope coefficient showing the rate of decline or rise, and the SWC at 50% of the upper limit.

We also used a four-parameter logistic regression model to examine the relationships of  $R_d$ , WUE, and  $\Psi_{pd}$  with SWC. In addition to the parameters in the three-parameter model, this model estimated the lower limit of each physiological trait under dry conditions. Before conducting the analyses,  $A_{max}$ ,  $g_{s_{max}}$ ,  $E_{max}$ ,  $R_d$ , and WUE values were converted into values relative to those under well-irrigated conditions just before stopping irrigation to eliminate intraspecific error. Both analyses were carried out using the *drm* function in the *drc* package of R statistical software (version 4.2.1; R Development Core Team,

TABLE 1 Interspecific difference in soil water content at half (50%) and 90% of the initial physiological parameter.

Code	SWC (%) at $A_{max90}$	SWC (%) at $A_{max50}$	SWC (%) at $g_{Smax90}$	SWC (%) at $g_{Smax50}$
DP	10.29 ± 1.00	7.77 ± 0.43 b	11.14 ± 1.03 b	7.85 ± 0.47 b
DrA	14.59 ± 2.02	10.08 ± 0.89 ab	16.76 ± 2.82 ab	9.18 ± 1.08 b
SB	16.45 ± 1.39	11.53 ± 0.75 a	18.44 ± 1.69 ab	12.37 ± 0.81 ab
SC	11.61 ± 0.76	10.18 ± 0.37 ab	13.30 ± 1.12 ab	7.41 ± 0.56 b
SP	21.41 ± 3.52	16.22 ± 2.58 a	22.26 ± 3.20 a	13.95 ± 1.79 a
SS	11.00 ± 0.53	9.05 ± 0.31 ab	13.62 ± 0.88 ab	9.94 ± 0.37 ab
Code	SWC (%) at $E_{max90}$	SWC (%) at $E_{max50}$	SWC (%) at $R_{d90}$	SWC (%) at $R_{d50}$
DP	11.68 ± 1.07 b	7.91 ± 0.47 b	18.74 ± 1.41 ab	11.12 ± 0.79
DrA	16.72 ± 2.39 ab	9.46 ± 1.25 ab	17.65 ± 2.45 ab	8.80 ± 0.50
SB	17.85 ± 1.48 ab	12.03 ± 0.71 a	12.17 ± 1.11 b	11.00 ± 1.07
SC	15.47 ± 1.06 ab	8.05 ± 0.50 ab	18.46 ± 1.93 ab	12.62 ± 0.62
SP	22.24 ± 2.72 a	14.70 ± 2.05 ab	21.97 ± 1.67 a	11.26 ± 1.06
SS	14.70 ± 1.24 ab	10.35 ± 0.50 ab	14.72 ± 2.10 ab	9.55 ± 0.67
Code	SWC (%) at $WUE_{90}$	SWC (%) at $WUE_{50}$	SWC (%) at $F_v/F_{m90}$	SWC (%) at $F_v/F_{m0.2}$
DP	7.62 ± 0.43 b	6.02 ± 0.14 d	5.39 ± 0.08 ab	4.88 ± 0.07
DrA	8.92 ± 0.33 ab	7.56 ± 0.18 bc	6.11 ± 0.03 b	4.93 ± 0.09
SB	9.70 ± 0.26 ab	8.87 ± 0.10 ab	6.80 ± 0.14 a	5.89 ± 0.07
SC	11.21 ± 0.49 a	9.65 ± 0.42 ab	6.80 ± 0.17 ab	5.54 ± 0.19
SP	10.13 ± 0.99 ab	7.47 ± 0.42 bc	6.44 ± 0.20 ab	5.05 ± 0.07
SS	7.51 ± 0.25 b	6.54 ± 0.16 cd	6.07 ± 0.07 ab	5.16 ± 0.07

Different letters indicate significant differences at  $P < 0.05$ , by Tukey's HSD test. Each value represents the mean ± SE.

Vienna, Austria) (Ritz and Streibig, 2005). A similar analysis was also performed at the individual level. Finally, we determined the SWC at which each physiological trait reached 90 and 50% of the upper limit, and the average was taken for interspecific comparison.

Linear regression was used to examine the relationships of  $\Psi_{100}$  and  $\Psi_{tlp}$  with SWC. Analysis of variance was used to assess the interspecific differences of all physiological and morphological characteristics before the experiment, changes in sugar, starch, and TNC concentrations in each organ throughout the experiment, and differences in SWC among the six species in the SWC at which each physiological trait reached 90 and 50% of the upper limit. When the result was significant at  $P < 0.05$ , Tukey's HSD test was used to compare the differences and changes. Principal component analysis (PCA) was conducted to estimate the physiological responses of the six species to soil drought using the *prcomp* function. R software (version 4.2.1) was used for all analyses (R Core Team, 2022).

## Results

### Initial size and dry weight

The species studied differed widely in size immediately before the experiment (Supplementary Appendix 3). SB had much higher values for all parameters than the other species, except that SS was equivalent to SB in height and total leaf area; there were few differences among DP, SC, and SP.

The dry weight of each organ differed significantly among the species (Supplementary Appendix 3). The root dry weight was highest in SB, i.e., more than twice that of the other species. The species also differed considerably in their TR (shoot-to-root) ratio, with SS having a value significantly higher than the other five species.

### Leaf gas exchange

Gas exchange rate parameters immediately before the experiment showed interspecific differences (Supplementary Appendix 4).  $A_{max}$ ,

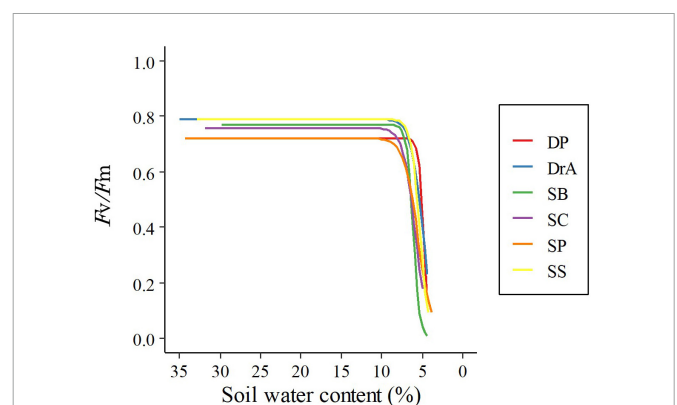
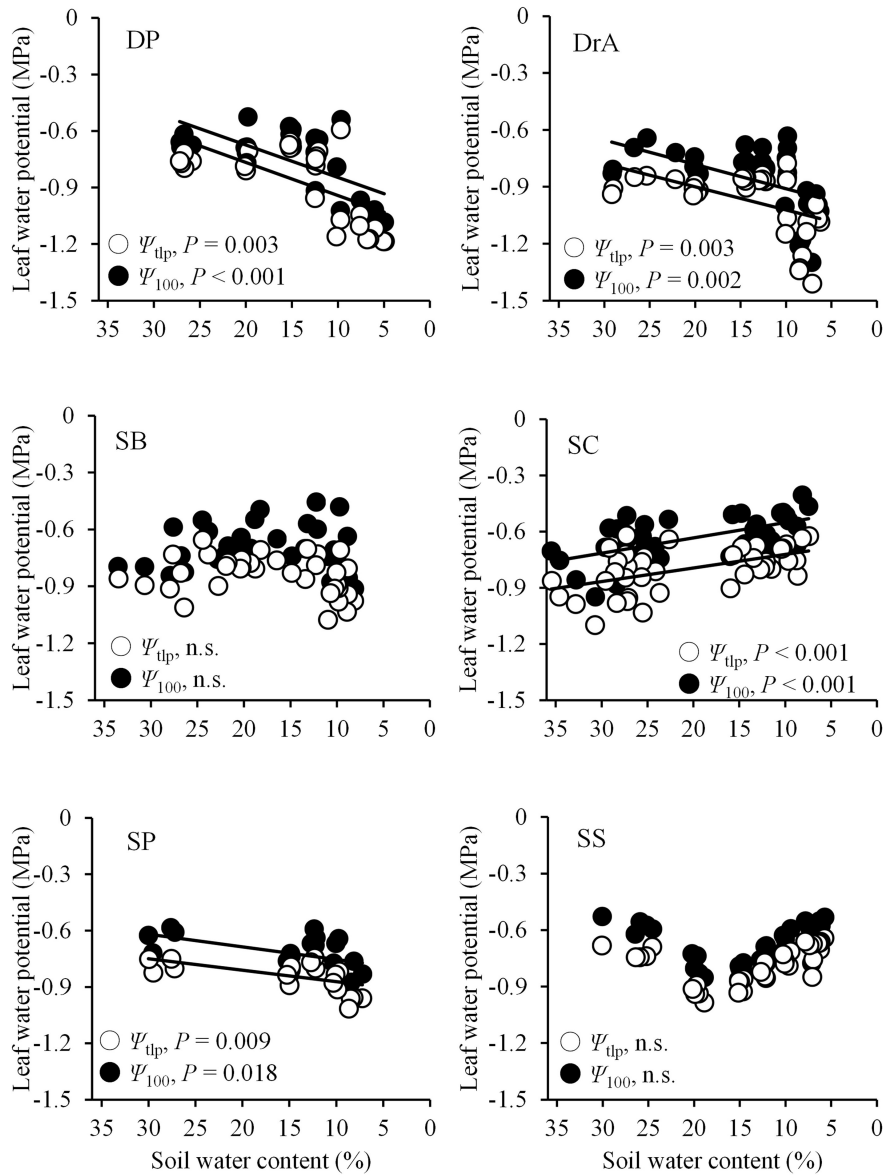


FIGURE 2 Relationship between the maximum quantum yield of photosystem II ( $F_v/F_m$ ) and soil water content.



**FIGURE 3** Changes in leaf water potential at the turgor loss point ( $\Psi_{tip}$ ; white circles) and osmotic potential at full turgor ( $\Psi_{100}$ ; black circles) with decreasing soil water content. The solid line indicates a significant relationship. n.s., not significant.

$E_{max}$ , and  $g_{s_{max}}$  were significantly lower in DrA and SC than in the other four species.  $R_d$  was significantly lower in DP than SP. WUE was significantly higher in DrA than in the other five species.

During the experiment, interspecific differences were observed in  $A_{max}$ ,  $E_{max}$ , and  $g_{s_{max}}$  with decreasing SWC (Figures 1A–C and Table 1). SP showed a decrease in  $g_{s_{max}}$  immediately after the irrigation was stopped, and  $A_{max}$  and  $E_{max}$  decreased accordingly. Meanwhile, in SB,  $g_{s_{max}}$  was maintained for a short period after irrigation was stopped, and  $g_{s_{max}}$ ,  $A_{max}$ , and  $E_{max}$  decreased rapidly thereafter. In contrast, DP, DrA, SC, and SS responded to the decrease in SWC by maintaining  $g_{s_{max}}$  values for a long period, but their stomata closed rapidly below 12% SWC; at 7–10% SWC, the  $g_{s_{max}}$ ,  $A_{max}$  and  $E_{max}$  values of these four species were half the pre-drought-values (Figures 1A–C and Table 1).

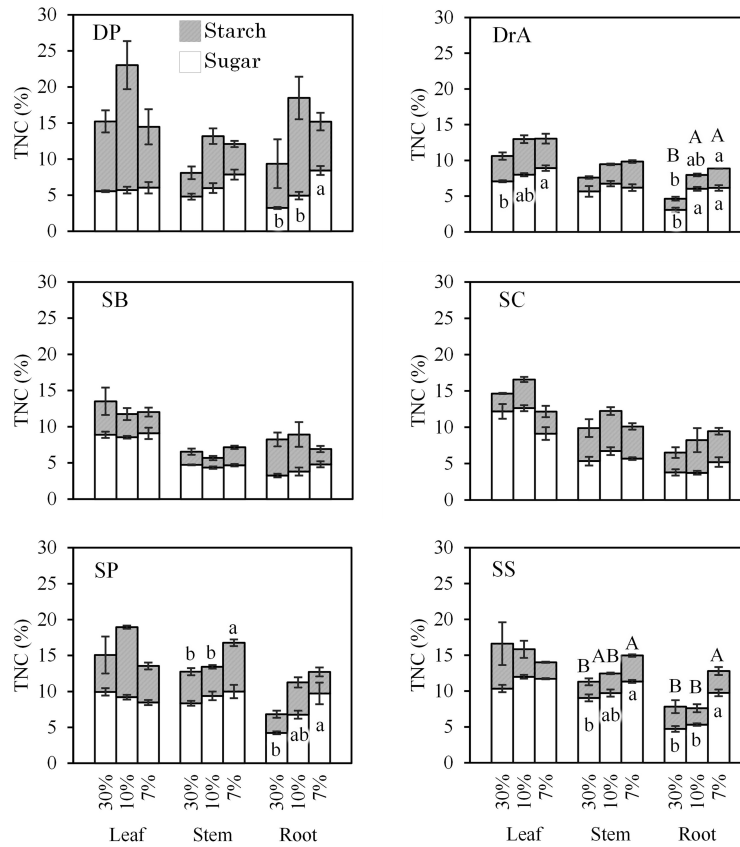
After irrigation was stopped, the relative  $R_d$  began to increase under relatively high SWC in SP and SC, but only began to increase at

low SWC for the other four species (Figure 1D and Table 1). Among the six species, DrA showed the lowest  $R_d$  with decreasing SWC. There was no interspecific difference in SWC at 50% of the difference between the initial and maximum  $R_d$  values (Table 1).

All species showed a sharp decline in WUE at 7–11% SWC (Figure 1E and Table 1). DP and SS reached 50% of the initial value at a significantly lower SWC compared to SB and SC (Table 1).

### Leaf chlorophyll fluorescence

In all six species,  $F_v/F_m$  was about 0.8 before irrigation was stopped, and was maintained at approximately the same value after initially stopping irrigation (Figure 2 and Table 1). However,  $F_v/F_m$  decreased sharply when SWC fell below 8%. A significant interspecific difference was observed in the SWC at which  $F_v/F_m$  reached 90% of



**FIGURE 4** Total non-structural carbon (TNC) content in leaves, stems, and roots according to soil water content. Different uppercase (for TNC) and lowercase (for sugar and starch) letters indicate significant differences at  $P < 0.05$ . Error bars represent standard error.

the initial value; however, there was no interspecific difference in the SWC at which  $F_v/F_m$  reached 0.2, i.e., the threshold corresponding to drought-induced death.

### Leaf water potential and $\Psi_{tlp}$

$\Psi_{pd}$  began to decrease at 12–16% SWC in all six species.  $\Psi_{pd}$  decreased more rapidly in SC than the other species, and showed the lowest values throughout the soil-drying period (Figure 1F). The  $\Psi_{pd}$  of DP and SS began to decrease at 15% SWC, and decreased slowly as the SWC dropped. Meanwhile, the  $\Psi_{pd}$  of SB and SP declined rapidly beginning around 12% SWC, but remained higher compared to the other four species. Finally, the  $\Psi_{pd}$  of DrA decreased slowly beginning around 12% SWC (Figure 1F). Overall, the values of  $\Psi_{100}$  and  $\Psi_{tlp}$  decreased with decreasing SWC in DrA, DP, and SP, but remained relatively constant, or even increased, in SB, SC, and SS (Figure 3).

### Leaf water content

The leaf water content immediately before the experiment was significantly differed among the six species; DP and SP showed the highest values (Supplementary Appendix 3). The leaf water content was positively related to  $A_{max}$  ( $P < 0.05$ ,  $r = 0.84$ ),  $E_{max}$  ( $P < 0.05$ ,  $r = 0.83$ ), and  $g_{s_{max}}$  ( $P < 0.05$ ,  $r = 0.87$ ), while other leaf traits, such as

LMA and stomatal size, were not significantly related to gas exchange traits (data not shown).

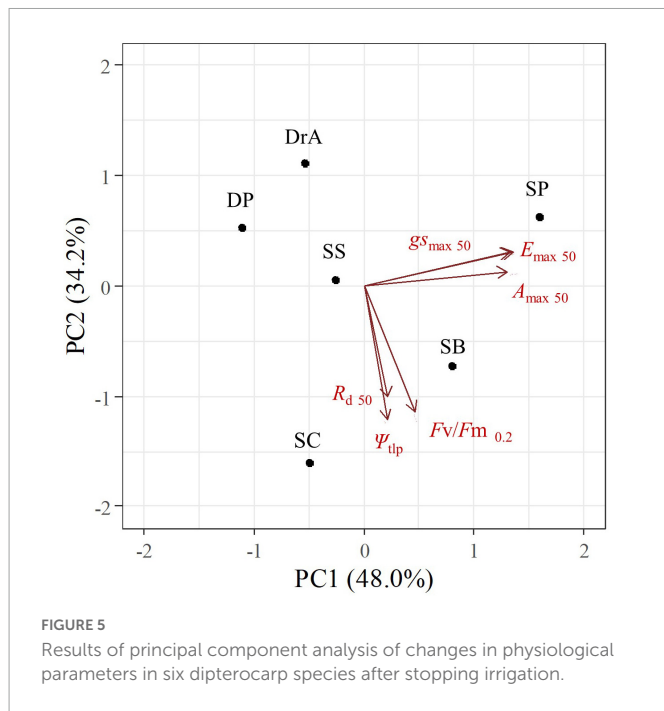
After stopping irrigation, the leaf water content of all species remained stable for a while, but varied with increasing drought intensity according to species (Figure 1G). In DrA and SC, the leaf water content started to decrease at a relatively fast rate at 15% SWC, but decreased slowly at lower SWC. SP and SB showed a rapid decline in leaf water content beginning around 10% SWC and reached below 40% the fastest. Finally, DP and SS maintained steady leaf water content until 7% SWC, below which they decreased rapidly.

### TNC contents

TNC contents in leaves, stems, and roots tended to increase in all six species after stopping irrigation (Figure 4). Total sugar and starch contents did not change, or tended to increase in all the species; they did not decrease for any species.

### Relationships among physiological parameters during drought

The PCA revealed different response patterns to soil drought among the six dipterocarp species studied. The first principal component (PC1) explained 48.0% of the variance; this dimension was related to the gas exchange response to drought (e.g., SWC at 50%



of the initial  $g_{s_{max}}$ ,  $E_{max}$ , and  $A_{max}$ ). The second principal component (PC2) explained 34.2% of the variance; this dimension was related to drought tolerance and death (e.g., SWC at 50% of the initial  $R_d$  and at a  $F_v/F_m$  of 0.2), and to variations in  $\Psi_{tlp}$  when SWC changed from 25 to 10%, (Figure 5 and Supplementary Appendix 5).

SP and SB exhibited an isohydric response, as illustrated by a rapid decline in  $g_{s_{max}}$  and  $E_{max}$ , whereas DP, DrA, SS, and SC exhibited an anisohydric response, as evidenced by the late decline in PC1. Interestingly, DP, DrA, and SP showed increased drought resistance during the experiment, whereas SB, SC, and SS showed lower drought resistance in PC2. No significant correlations were found between PC1 or PC2 and the initial morphological and physiological characteristics of the six species (Supplementary Appendix 6).

## Discussion

The six tropical dipterocarp species studied herein showed different stomatal and gas exchange behaviors toward soil drought, even within the same family and genus. SP and SB exhibited an isohydric response, with relatively early stomatal closure and a greater reduction in photosynthesis and transpiration with decreasing SWC. Both of these species reached 90 and 50% of their  $A_{max}$ ,  $E_{max}$ , and  $g_{s_{max}}$  values at higher SWC compared to the other species (Figures 1A–C and Table 1). Conversely, DP, DrA, SC, and SS maintained relatively higher  $g_{s_{max}}$  values at lower SWC, and maintained  $A_{max}$  and  $E_{max}$  until the SWC dropped to around 8%, which was followed by a rapid decline (Figures 1A–C and Table 1); these trends are typical of an anisohydric response (Kumagai and Porporato, 2012; Roman et al., 2015). On the other hand, leaf water content may be a useful indicator of gas exchange parameters because the six species showed positive relations between leaf water content and  $A_{max}$ ,  $E_{max}$ , and  $g_{s_{max}}$ . A previous study also reported that leaf water content was associated with various physiological functions (Kramer, 1983). In addition, there were similar changes in leaf

water content and gas exchange response during the soil drying. For example, the values of leaf water content,  $A_{max}$  and  $g_{s_{max}}$  decreased rapidly at relatively high values of SWC in SP and SB (isohydric).

Although water stress under soil drying was greater in the anisohydric than isohydric species (Figure 1F), plasticity in drought tolerance did not coincide with stomatal behavior or gas exchange during the drought experiment in some species.  $\Psi_{tlp}$  did not change significantly, or even increased, with decreasing SWC in SB, SC, and SS; however, for DP, DrA, and SP,  $\Psi_{tlp}$  showed a marked decrease during the soil-drying period (Figure 3). Although a recent global synthesis revealed relatively low plasticity in  $\Psi_{tlp}$  in most species (Bartlett et al., 2014), high plasticity in  $\Psi_{tlp}$  has been reported in some anisohydric species (Meinzer et al., 1986, 2014; Martínez-Vilalta and García-Forner, 2017). Our findings suggest that there are two types of responses by tree species to soil drought: some species show high plasticity in  $\Psi_{tlp}$  with increasing tolerance to drought, such as SP (isohydric) and DP and DrA (anisohydric), whereas others show low plasticity without increasing drought resistance, such as SB (isohydric) and SC and SS (anisohydric). Thus, despite anisohydric stomatal control, SC experienced substantial drought stress with poor plasticity in drought tolerance during the experiment; it showed the earliest decrease in  $\Psi_{pd}$  and lowest final  $\Psi_{pd}$  during the soil-drying period among the six species (Figure 1F).

Kumagai et al. (2004, 2005) observed an anisohydric response in the tropical rainforest in Lambir Hills National Park during an ENSO-induced severe drought (Kumagai and Porporato, 2012), possibly because many of the dominant dipterocarp species exhibited anisohydric responses to soil drying. In this study, four of the six studied dipterocarp species (DP, DrA, SS, and SC) exhibited an anisohydric-like response to drought in terms of stomatal regulation (Figures 1A–C and Figure 5). The response of forests to drought may be closely related to the behavior of the tree species forming the canopy and emergent layers, which generally make a large contribution to total carbon storage and water use in tropical rainforests (Kira, 1978; Kumagai et al., 2006; Rowland et al., 2015; McDowell et al., 2018). Although the present study focused on seedlings of dipterocarp species, if mature dipterocarp trees show a similar response to drought, the large number of tree species exhibiting anisohydric responses in this study may influence the forest-wide response to ENSO-induced drought. Supporting our findings, in a rainfall excursion experiment with mature DrA trees conducted in Lambir Hills National Park, stomatal conductance did not decrease significantly in response to soil drought (Yoshifuji et al., 2020); instead, leaf drought tolerance was enhanced via a decrease in  $\Psi_{tlp}$  (Inoue et al., 2017). The similar response by mature trees and seedlings of DrA suggests a close relationship between seedlings and mature trees with regard to drought response.

There was little difference in SWC-induced mortality among the six dipterocarp species studied, regardless of whether the response to drought was isohydric or anisohydric. Even though the response to drought varied widely among species, the timing of mortality was similar (Figure 2). O'Brien et al. (2014) showed that tropical tree seedlings, including dipterocarp species, depend on the accumulation of stored carbohydrates for survival under drought conditions. However, our findings suggest that, under short-term severe drought, dipterocarp species might experience poor osmoregulation with stored carbohydrates, leading to a similar timing of mortality. Higher plants accumulate osmolytes, such as proline and malondialdehyde, after exposure to water stress (Kavi Kishor et al., 2005); future studies should further clarify this response in dipterocarp species.



Although the soil conditions of the pots used in this study differed from the actual forest soil environment, which was less than 8% SWC in this study, drought events comparable to the SWC in this study have been recorded about every 10 years, including ENSO-induced strong droughts in Lambir Hills National Park (Ishizuka et al., 1998; Kumagai et al., 2009; Kumagai and Porporato, 2012; Ohashi et al., 2015). Such extreme soil drought conditions causing synchronous mortalities of seedlings of the six dipterocarp species at around 5% SWC in this study have not been recorded in Lambir Forest, but further climate change is likely to increase the severity and frequency of ENSO-induced droughts in Southeast Asian rainforests (Timmermann et al., 1999; Breshears et al., 2009; Allen et al., 2010; Chen et al., 2016; Corlett, 2016; Thirumalai et al., 2017; Wang et al., 2017; Cai et al., 2018; Rifai et al., 2019), which may alter rainforest structure. During shorter, less-severe droughts, differences in soil conditions may impact interspecific seedling survival. For example, SB, which has deep roots (Supplementary Appendix 2), may survive longer than the other species because it has access to deeper soil with higher SWC (Slot and Poorter, 2007; Poorter and Markesteijn, 2008; Markesteijn and Poorter, 2009). In addition, a lower  $\Psi_{tlp}$  may allow dipterocarp species to survive longer and recover after rainfall. The importance of seedling root depth to survival and recovery was reported in Bornean tropical rainforests subjected to severe drought events (Cao, 2000).

## Conclusion

We conducted drought experiments on the seedlings of six dipterocarp species and observed different stomatal responses to drought, i.e., isohydric and anisohydric responses, which varied even within the same family. Interestingly, both isohydric and anisohydric species showed increased drought tolerance, while other isohydric and anisohydric species did not, indicating differences in the plasticity of drought tolerance regardless of whether there was an isohydric or anisohydric response. These findings indicate that an increase in the intensity and frequency of soil drought, as expected in tropical rainforests under climate change, may significantly alter forest dynamics and carbon and water cycles. Further research is needed to better predict the dynamics of seedling regeneration and drought-induced mortality in tropical rain forests under future climate change.

## Data availability statement

The original contributions presented in this study are included in the article/Supplementary material, further inquiries can be directed to the corresponding author.

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## Author contributions

TI, TT, YI, and TK conducted the drought experiment and measured physiological parameters. TI, SI, and TK interpreted results and wrote the manuscript. MM made site preparations. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/ffgc.2023.1112852/full#supplementary-material>

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