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# Allometric scaling and allocation patterns: Implications for predicting productivity across plant communities

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As the application of allometry continues to expand, the variability in the allometry exponent has generated a great deal of debate in forest ecology. Some studies have reported counterintuitive values of the exponent, but the sources of such values have remained both unexplored and unexplained. Therefore, the objectives of our analyses were to: (1) uncover the global patterns of allometric variation in stem height with stem diameter, crown radius with stem diameter or stem height, crown depth with stem diameter, crown volume with stem diameter, crown depth with crown diameter, aboveground biomass with stem diameter or height, and belowground biomass with aboveground biomass; (2) assess variations in allometry parameters with taxonomic levels, climate zones, biomes and historical disturbance regimes; and (3) identify the sources of counterintuitive values of the allometry exponents. Here, we provide novel insights into the tight allometric co-variations between stem and crown dimensions and tree biomass. We also show a striking similarity in scaling across climate zones, biomes and disturbance regimes consistent with the allometry constraint hypothesis. We show that the central tendency of the exponent is toward  $2/3$  for the scaling of stem height with diameter, crown dimensions with stem diameter and height,  $5/2$ – $8/3$  for the scaling of aboveground biomass with stem diameter, and 1 for the scaling of belowground biomass with aboveground biomass. This is indicative of an integrated growth regulation acting in tandem on growth in stem diameter, height, crown dimensions and biomass allocation. We also demonstrate that counterintuitive values of the exponent arise as artifacts of small sample sizes ( $N < 60$ ), measurement errors, sampling biases and inappropriate regression techniques. We strongly recommend the use of larger sample sizes ( $N > 60$ ) and representative samples of the target population when testing hypothesis about allometric variation. We also caution against conflation of statistical artifacts with violations of theoretical predictions.

## KEYWORDS

aggregation bias, attenuation bias, biomass, taxon-level effects, disturbance regimes

## Introduction

Variety of biological systems display striking regularities, which often take the form of power laws characterized by scale invariance and universality (Enquist et al., 1999; Enquist and Niklas, 2001; Brown et al., 2002; Marquet et al., 2005). Allometric scaling of biological traits with the body size of organisms is one such example (Enquist et al., 1999; Brown et al., 2002). As originally defined by Huxley and Teissier (1936), allometry designates relative changes in one dimension ( $\Delta Y/Y$ ) in relation to a second dimension ( $\Delta X/X$ ), usually the body size of an organism. In order to avoid confusion, Huxley and Teissier (1936) agreed to consistently use the term allometry and the conventional power law formula  $Y = \alpha X^\beta$ .

Despite wide variations in their growth forms and life history characteristics, plants exhibit a striking regularity in allometric scaling in form and function with changes in body size (Enquist et al., 1999; Kerkhoff et al., 2005; Kerkhoff and Enquist, 2006). At the individual plant level, allometric constraint arises probably because the growth of the whole “trait complex” is under a common (integrated) growth regulation. A growing body of evidence also suggests that allometric constraints at an individual plant level have implications for the structure and dynamics of plant populations and ecosystems (Enquist et al., 2003; Kerkhoff et al., 2005; Kerkhoff and Enquist, 2006). As such, analysis of allometric scaling can help in identifying general principles that apply across a wide range of scales and levels of organizations (Marquet et al., 2005). Historically, disturbance regimes have shaped the development of specific adaptations in plants. For example, geoxyl life forms (underground trees) and thick, corky bark have evolved in response to frequent fires in savannas (Maurin et al., 2014). On the other hand, tropical rain forests are pyrophobic, and often do not have specific adaptations against fire. Forest disturbance regimes such as fire are changing in response to global environmental change (Sommerfeld et al., 2018). Therefore, analyses of allometric trajectories with the changing disturbance regimes can facilitate better understanding of the impacts of climate change or land use changes.

Analysis of allometric scaling can also facilitate our understanding of patterns of resource allocation in forests. Traditionally, allocation in plants has been conceptualized as a ratio-driven process (Weiner, 2004), which is at the core of the optimal partitioning theory (Qi et al., 2019). While this theory has been a cornerstone of many studies in plant ecology and evolution, its generality has been questioned, and more recently, the allometric biomass partitioning theory was proposed to predict how plants partition their metabolic production based on the constraints of body size (Niklas and Enquist, 2002; Weiner, 2004; McCarthy and Enquist, 2007). Consequently, allometric models are now widely used for prediction of forest

biomass and carbon stocks (Chave et al., 2014; Sileshi, 2014). More recently, the application of allometric models has gained increasing interest in remote sensing surveys of forest biomass at landscape and regional scales (Blanchard et al., 2016).

Allometric scaling is described by a power law function:

$$Y = \alpha X^\beta \varepsilon \quad (1)$$

or its linear form as:

$$\ln(Y) = \ln(\alpha) + \beta (\ln X) + \varepsilon \quad (2)$$

where  $\ln(\alpha)$  is the intercept or offset of the line at  $\ln(X) = 0$  and  $\beta$  is the scaling exponent. Historically,  $\beta$  has been assumed to be constant and independent of  $\alpha$  in Equation 1. Voje et al. (2013) have also shown that  $\beta$  may be difficult to change on short time scales. On the other hand, the interpretation of  $\ln(\alpha)$  has been less clear and its variability remains poorly understood.

As the application of allometry continues to expand, the variability in  $\beta$  has generated debates in forest ecology and biomass estimation literature (Sileshi, 2014). Allometric theories suggest that  $\beta$  tends to be a multiple of 1/3 or 1/4, and various hypotheses have been proposed to explain this pattern. An exponent of 1/3 is attributed to geometric scaling, i.e., area–volume ratios, whereas 1/4 is attributed to metabolic scaling imposed by transport of substances via branching networks (West et al., 1997, 2002; Enquist et al., 1999). Many studies (see Blanchard et al., 2016; Jucker et al., 2022) have opined that  $\beta$  is shaped by the environmental conditions of the stand, the individual trees, and by the diversity of tree communities. Others have argued that systematic departures from allometric scaling expectations may indicate particular disturbance processes (Kerkhoff and Enquist, 2006; Tredennick et al., 2013). Yet, in others it is said to vary with the taxonomic level of investigation. For example, the taxon-level effect hypothesis (Promislow et al., 1992) posits that  $\beta$  increases in both magnitude and statistical significance with increasing taxonomic level. Some studies have also reported counterintuitive values of  $\beta$  including negative values where positive values are expected. For example, for the crown depth to stem diameter allometry, Panzou et al. (2021) reported  $\beta$  values of  $-0.314$  and  $-0.541$  for Australian forests and American savannas, respectively, where  $\beta$  is expected to be a positive value falling between  $1/2$  and 1. For crown diameter to stem diameter allometry, Panzou et al. (2021) also reported  $\beta$  values of  $-0.087$  for American forests,  $-0.125$  for American savannas,  $-0.160$  for Asian forests,  $-0.414$  for Australian forests and  $-0.024$  for Australian savanna where  $\beta$  is expected to be between  $1/2$  and 1. The sources of these counterintuitive values have remained both unexplored and unexplained.

Past studies in forest ecosystems have focussed on a single allometric relationships in specific sites or regions, for example, pan-tropical variability in biomass (Chave et al., 2014) or tree

crown allometry (Shenkin et al., 2020; Panzou et al., 2021). In almost all studies, inferences were based on point estimates, and little is known about the distributions of allometry parameters. Understanding parameter distributions and the sources of variability is critical because these parameters hold the key for (1) accurate estimation of forest biomass and carbon stocks and (2) interpreting scaling relationships and how they vary with taxonomic lineages, biomes or disturbance regimes. Therefore, the objectives of the present analyses were to: (1) uncover the global patterns of allometric variation in stem height with stem diameter, crown radius with stem diameter or stem height, crown depth with stem diameter, crown volume with stem diameter, crown depth with crown diameter, aboveground biomass with stem diameter, and belowground biomass with aboveground biomass; (2) assess variations in allometry parameters with taxonomic levels, climate zones, biomes and historical disturbance regimes; and (3) identify the sources of counterintuitive values of the allometry exponents with a focus on statistical artifacts. A statistical artifact is a spurious finding that results from biases in the collection or analysis of data. Our key hypotheses are: (1) the allometry exponents systematically vary with taxonomic levels, divergence time and climate zones; (2) trees adapted to different biomes and disturbance regimes have different allometry exponents; (3) the tallest, hyper-emergent and short-statured tree species follow different allometric trajectories.

## Materials and methods

### Definitions, context, and scope of analysis

There are many fundamentally different approaches of defining and classifying climate zones and biomes. To reduce ambiguity in our analyses, we defined climate zones and biomes based on the current literature. We also identified and defined disturbance regimes within these well-defined climate zones and biomes.

### Climate zones

For the definition of broad climate zones, we followed the FAO classification used for global forest resources assessment and reporting (FAO, 2012). This classification identifies five major zones, namely tropical, subtropical, temperate, boreal and polar zones (FAO, 2012). The tropical zone is within the area bounded by latitudes of 23.5° north and 23.5° south. The subtropical zone is applied to the two belts between the tropics ( $\pm 23.5^\circ$ ) and 35° north and south of the equator. Temperate zones fall in the latitudes of 35–50° north or south, and have well-defined seasons with a distinct winter. The boreal zone falls

between 50 and 60° north. When testing our first hypothesis, we grouped the data according to the above classification based on the geographic coordinates of the study sites in the databases.

### Terrestrial biomes

The biome is an important construct for organizing knowledge about terrestrial ecosystems, for examining diversity-productivity relationships, modeling historical distributions and shifts following climate change. We followed the IUCN Global Ecosystem Typology (Keith et al., 2022), which recognizes seven terrestrial biomes: (1) tropical-subtropical forests (T1); (2) temperate-boreal forests and woodlands (T2); (3) shrublands and shrubby woodlands (T3); (4) savannas and grasslands (T4); (5) deserts and semi-deserts (T5); (6) polar-alpine biomes (T6); and (7) intensive land-use (T7) biome. Each of these biomes is characterized by different ecosystem functional groups described in detail in Keith et al. (2022). The present analysis was limited to T1, T2, T3, and T4 as these have trees as the main or co-dominating components. Deserts and semi-deserts and polar-alpine biomes were deemed outside the scope of this analysis. Although some samples may have come from T7, it was difficult to assign them with confidence in the existing databases.

### Disturbance agents and regimes

A disturbance regime is defined as the combination of disturbance agents and disturbance attributes that characterize a particular landscape or region (Burton et al., 2020). Disturbance interactions are an important part of the disturbance regime (Burton et al., 2020; Sturtevant and Fortin, 2021). The main disturbance agents in forest ecosystems consist of abiotic (e.g., fire, drought, wind, snow, and ice) and biotic (e.g., insects and pathogens) agents (Fischer et al., 2013; Stephens et al., 2013; Sturtevant and Fortin, 2021). According to a recent systematic review of disturbance interactions studies (Sturtevant and Fortin, 2021), the most frequently investigated natural disturbance agent was fire accounting for 65% of studies, followed by wind (38%), insects (37%), water imbalance (drought or flooding; 15%), mammalian browsing/grazing (10%), and mass movement including erosion, debris flows, landslides and avalanche (7%). Accordingly, the focus of this analysis was on fire, wind and insects as disturbance agents.

Fire is an important natural disturbance factor in many boreal forests, in savannas and high mountain dry-land ecosystems (Fischer et al., 2013). Low-severity fire regimes are typified by frequent low-intensity fires where surface fuels are charred or consumed while damage to overstory canopy is minimal (Giunta et al., 2016). In contrast, high-severity fire regimes are characterized by transitions from surface fuels into the crowns of trees, consuming a majority of overstory

vegetation (Giunta et al., 2016). On the other hand, mega-fires are defined as fires covering an area exceeding 10,000 ha arising from single or multiple related ignition events (Stephens et al., 2013; Linley et al., 2022). Megafires occur in a range of biomes, but were most frequently reported in temperate-boreal forests and woodlands biomes (Linley et al., 2022). Species that grow in areas where low-severity fires occur frequently have special adaptations like thick bark (e.g., *Pinus ponderosa*), the ability to re-sprout after fire or store seeds on the tree until a fire occurs (Fischer et al., 2013) or geoxyllic life forms in African savannas and Brazilian Cerrado (Maurin et al., 2014). Many savanna trees in Africa and eucalypts in Australia are dependent on fire for regeneration (Tng et al., 2012). These kinds of adaptations are missing in tropical rainforests and temperate forests, which do not naturally experience frequent fires (Tng et al., 2012; Fischer et al., 2013).

The primary effects of wind include damage and breakage to the tops of crowns, branches, uprooting, and snapping of trees (Giunta et al., 2016). Wind thrown trees can also create suitable habitat for bark beetles or increase the fuel load (Giunta et al., 2016). Wind damage risk increases with increase in height, and trees are known to adjust their growth pattern to their local wind environment (Jackson et al., 2021).

Remarkable among insects as disturbance agents are the bark beetle outbreaks causing large-scale transformations of forest landscapes in the northern hemisphere (Giunta et al., 2016; Hlásny et al., 2021). The European spruce bark beetle (*Ips typographus*) is the primary outbreak species in Europe causing as much as 8% of all tree mortality in Europe (Hlásny et al., 2021). Its damage to Norway spruce (*Picea abies*), its primary host, has been historically very high in the temperate latitudes than in boreal forests (Hlásny et al., 2021). A similar trend occurs in western Canada and the USA, where tree mortality due to the mountain pine beetle (*Dendroctonus ponderosae*) exceeds 28 million ha (Hlásny et al., 2021). In the USA, a rise in bark beetle activity since the early 1990s has occurred across a range of forest types (Giunta et al., 2016). The Douglas-fir beetle (*Dendroctonus pseudotsugae*) is the primary insect pest of interior Douglas-fir (*Pseudotsuga menziesii*) forests (Giunta et al., 2016). Another example of insect outbreaks, is aspen (*Populus tremuloides*) defoliation by forest tent caterpillars (*Malacosoma disstria*) in North America. For the present analysis, we focussed on tree species affected by the bark beetles and forest tent caterpillar outbreaks.

## Allometries analyzed

For this analysis we chose allometric scaling between (1) stem height and stem diameter (H–D); (2) crown radius and stem diameter (CR–D) or stem height (CR–H); (3) crown diameter with stem diameter (CD–D), (4) crown depth with stem diameter (Cdep–D), (5) crown volume with stem diameter

(Cvol–D); (6) crown depth with crown diameter (Cdep–CD); (7) aboveground biomass and stem diameter (A–D); and (8) belowground biomass and aboveground biomass (A–B).

## Stem diameter, height, and crown dimensions

Understanding the relationship between H, D, and crown dimensions is fundamental in understanding the structure of forest stands, tree architecture and niche partitioning within a forest ecosystem and in the estimation of biomass and carbon storage (Hulshof et al., 2015; Blanchard et al., 2016). There are four allometric scaling hypotheses (geometric, stress, elastic, and metabolic) predicting different exponents for the H–D, CR–D, and CR–H scaling in trees (Table 1). Hypotheses based on geometric and dynamic growth arguments predict  $\beta$  to be 1 (Table 1), whereas the stress similarity hypothesis predicts  $\beta$  to be approximately  $1/2$ . On the other hand, the elastic similarity hypothesis and the metabolic scaling theory (MST) predict  $\beta$  to be approximately  $2/3$  (West et al., 1997; Enquist et al., 1999). The elastic similarity hypothesis posits that for trees to resist buckling under their own mass, longer stems need to be proportionally thicker, and hence D should scale with H as the power of  $2/3$  (Osunkoya et al., 2007). It also posits that tree height is limited by either gravity or wind damage risk (Jackson et al., 2021). The MST assumes metabolic scaling under optimized tree architecture and resource transport (West et al., 1997).

Height growth and crown development are known to be driven by competition for light; but why emergent and hyper-emergent trees continue to grow after escaping competition is not fully understood (Jackson et al., 2021). It is also not clear whether or not H–D, CR–D, and CR–H allometric trajectories differ between hyper-emergent and short-statured species. Therefore, we estimated the allometry parameters to understand their patterns of variation with taxonomic levels, biomes and disturbance regimes. In all instances, we used publicly available data from the Tallo database<sup>1</sup> built by Jucker et al. (2022). This database contains 498,838 standardized records of D, H, and CR for 5,163 tree species in 1,453 genera and 187 families from 61,856 globally distributed sites covering all the climate zones (Jucker et al., 2022). We conducted five different sets of analyses focussing on entries for which taxonomic identity was available in this database. We excluded entries without genus, family, and division names.

In the first set of analyses, we compared Gymnosperms and Angiosperms using the point estimates as well as the distributions of allometry parameters of the H–D, CR–D, and CR–H scaling (Figures 1, 2, Table 2, and Supplementary Table 1). We also compared the allometry exponents of selected families, genera and species within Gymnosperms and Angiosperms in order to understand patterns of variation

<sup>1</sup> <https://doi.org/10.5281/zenodo.6637599>

**TABLE 1** Allometric scaling relationships among stem height, diameter at breast height, various crown dimensions and tree biomass and the theoretical expectations of the exponent of the geometric similarity, constant stress similarity, elastic similarity, and metabolic scaling theory (MST).

Allometric scaling	Geometric similarity	Stress similarity	Elastic similarity and MST
Stem height (H) with stem diameter (D)	$H = aD^1$	$H = aD^{1/2}$	$H = aD^{2/3}$
Crown radius (CR) <sup>†</sup> with stem diameter (D)	$CR = aD^1$	–	$CR = aD^{2/3}$
Crown radius (CR) <sup>†</sup> with stem height (H)	$CR = aH^1$	–	$CR = aH^{2/3}$
Crown depth (Cdep) with stem diameter (D)	$Cdep = aD^1$	–	$Cdep = aD^{2/3}$
Crown depth (Cdep) with crown diameter (CD)	$Cdep = aCD^1$	–	$Cdep = aCD^{2/3}$
Crown area (CA) with stem diameter (D)	$CA = aD^2$	–	$CA = aD^{4/3}$
Crown volume (Cvol) with stem diameter (D)	$Cvol = aD^3$	–	$Cvol = aD^2$
Aboveground mass (A) with stem diameter (D)	$A = aD^3$	$A = aD^{5/2}$	$A = aD^{8/3}$
Aboveground mass (A) with stem height (H)	$A = aH^3$	$A = aH^5$	$A = aH^4$
Aboveground (A) with belowground mass (B)	–	–	$A = aB^1$

<sup>†</sup>Crown radius (CR) and crown diameter (CD) have the same theoretical exponent. – No explicit predictions are available.

**TABLE 2** Variations in the OLS estimates of the allometry exponents of stem height with diameter at breast height (H–D), crown radius with diameter at breast height (CR–D) and crown radius with stem height (CR–H) scaling with taxonomic levels and climate zones.

Climate zones and taxonomic levels	Exponent (CI)*			Sample size		
	H–D	CR–D	CR–H	H–D	CR–D	CR–H
<b>Gymnosperms all</b>	<b>0.66 (0.66, 0.67)</b>	<b>0.71 (0.70, 0.71)</b>	<b>0.38 (0.37, 0.39)<sup>†</sup></b>	<b>102899</b>	<b>77794</b>	<b>77480</b>
Pinaceae all	0.67 (0.66, 0.67)	0.72 (0.72, 0.73)	0.38 (0.37, 0.39) <sup>†</sup>	90765	71635	76149
<i>Pinus</i> all	0.63 (0.62, 0.64)	0.75 (0.74, 0.76)	0.40 (0.39, 0.40) <sup>†</sup>	66451	57455	57455
<i>Pinus</i> boreal	0.71 (0.70, 0.71)	0.77 (0.76, 0.78)	0.91 (0.90, 0.93)	7697	5497	5422
<i>Pinus</i> temperate	0.71 (0.70, 0.72)	0.72 (0.71, 0.73)	0.37 (0.37, 0.38) <sup>†</sup>	55674	50817	50637
<i>Pinus</i> subtropical	0.75 (0.74, 0.76)	0.64 (0.62, 0.66)	0.68 (0.65, 0.71)	2916	978	978
<i>Pinus</i> tropical	0.60 (0.55, 0.64)	0.83 (0.75, 0.91)	1.14 (1.00, 1.28)	164	163	163
<i>Pinus sylvestris</i> all	0.58 (0.57, 0.59)	0.78 (0.77, 0.79)	0.46 (0.44, 0.47) <sup>†</sup>	17966	15294	15039
<i>P. sylvestris</i> boreal	0.70 (0.69, 0.70)	0.77 (0.75, 0.78)	0.92 (0.90, 0.94)	7312	5244	5169
<i>P. sylvestris</i> temperate	0.66 (0.64, 0.67)	0.71 (0.70, 0.72)	0.26 (0.25, 0.28) <sup>†</sup>	10654	10050	9870
<b>Angiosperms all</b>	<b>0.56 (0.56, 0.57)</b>	<b>0.65 (0.64, 0.65)</b>	<b>0.70 (0.69, 0.71)</b>	<b>333557</b>	<b>212145</b>	<b>211044</b>
Fagaceae all	0.44 (0.43, 0.45) <sup>†</sup>	0.78 (0.78, 0.79)	0.65 (0.64, 0.66)	85510	77319	76773
<i>Quercus</i> all	0.40 (0.40, 0.41) <sup>†</sup>	0.84 (0.83, 0.84)	0.74 (0.73, 0.74)	69083	64033	64020
<i>Quercus</i> boreal	0.59 (0.56, 0.62)	0.68 (0.63, 0.72)	0.92 (0.82, 1.02)	510	414	414
<i>Quercus</i> temperate	0.38 (0.37, 0.38) <sup>†</sup>	0.86 (0.85, 0.86)	0.76 (0.75, 0.77)	64859	61583	61570
<i>Quercus</i> subtropical	0.64 (0.62, 0.65)	0.62 (0.59, 0.64)	0.69 (0.65, 0.73)	3207	1518	1518
<i>Quercus</i> tropical	0.52 (0.48, 0.55)	0.67 (0.63, 0.71)	0.90 (0.83, 0.98)	518	518	518
<i>Quercus rubra</i> all	0.58 (0.56, 0.61)	0.71 (0.65, 0.76)	0.71 (0.66, 0.75)	888	479	479
<i>Quercus rubra</i> boreal	0.61 (0.44, 0.78)	0.88 (0.55, 1.43)	0.88 (0.57, 1.19)	20	7	7
<i>Quercus rubra</i> temperate	0.53 (0.51, 0.56)	0.70 (0.64, 0.76)	0.70 (0.66, 0.75)	837	466	466
<i>Quercus rubra</i> subtropical	0.74 (0.68, 0.81)	1.08 (0.42, 1.69)	1.08 (0.47, 1.70) <sup>†</sup>	31	6	6

\*Values in parentheses are 95% CI estimated using bootstrapping with 9,999 replicates. Values in red represent either imprecise (wide 95% CI)<sup>†</sup> or biased downward (attenuation bias)<sup>†</sup>. Bold values represent the division level estimates.

with taxonomic levels and to test the validity of the “taxon-level effect” hypothesis (Supplementary Figures 1–4). For the family level comparisons, we chose the families Pinaceae within

Gymnosperms and Fagaceae within Angiosperms because they had larger sample sizes compared to all other families. Then we chose the genera *Pinus* and *Quercus* because they had adequate



sample sizes allowing further analyses at the species level. Within each of these two genera, first we estimated the H–D, CR–D, and CR–H allometry parameters for all species that had sample sizes of 10 or more. Then, we compared *Pinus* species with *Quercus* species in terms of the distribution of their H–D, CR–D, and CR–H exponents (Supplementary Figure 4). For further in-depth analyses, we chose *Pinus sylvestris* and

*Quercus rubra* as they occurred in different climatic zones in the dataset. We produced point estimates and 95% confidence intervals (95% CI) of the allometry exponents of these species in the different climate zones (Table 2). We also made an in-depth analysis of *Pinus sylvestris* populations in temperate zones at the study level to identify factors associated with biases in the allometry exponents (Table 3). Here, bias is defined as the

TABLE 3 Study-level variations in the OLS and RMA estimates ( $\beta_{OLS}$  and  $\beta_{RMA}$ ) of the allometric scaling exponent of stem height with diameter at breast height (H–D), crown radius with diameter at breast height (CR–D) and crown radius with stem height (CR–H) of *Pinus sylvestris* in the temperate zone.

Allometry	Ref.	$\beta_{OLS}$	$\beta_{RMA}$	$R^2$	Sample size (N)	D range	Probable causes of bias
H–D	33	1.14 (0.37, 2.08) <sup>§</sup>	1.35 (0.17, 2.48) <sup>§</sup>	0.713	5	27–54	1, 3
	62	–1.23 (–2.98, 0.56) <sup>‡</sup>	–2.20 (–5.26, –0.51) <sup>‡</sup>	0.313	6	23–33	1, 3
	17	0.65 (0.53, 1.49) <sup>†</sup>	0.68 (0.59, 1.86) <sup>†</sup>	0.914	7	3–37	1
	66	0.41 (0.34, 0.50)	0.45 (0.37, 0.52)	0.830	15	7–18	1, 3
	57	0.89 (0.77, 1.00)	0.94 (0.80, 1.04)	0.896	29	2–47	1, 3
	15	0.52 (0.42, 0.63)	0.83 (0.73, 0.91)	0.393	190	5–50	2, 3
	27	0.44 (0.41, 0.47)	0.75 (0.72, 0.78)	0.344	1436	7–70	2, 3
	30	0.55 (0.49, 0.61)	0.74 (0.68, 0.80)	0.552	373	8–85	2, 3
	35	0.75 (0.73, 0.76)	1.00 (0.99, 1.02)	0.563	7919	7–98	–
	47	0.77 (0.73, 0.81)	0.91 (0.87, 0.94)	0.716	591	2–53	–
CR–D	67	0.93 (0.69, 1.15)	1.28 (1.01, 1.51)	0.528	83	12–61	–
	33	0.10 (–3.56, 3.85) <sup>†</sup>	1.81 (–0.47, 7.23) <sup>†</sup>	0.003	5	27	1, 3
	62	1.27 (0.90, 1.70) <sup>§</sup>	1.33 (0.96, 1.70) <sup>§</sup>	0.912	6	23–33	1, 3
	66	1.55 (1.18, 1.88) <sup>§</sup>	1.69 (1.34, 1.97) <sup>§</sup>	0.841	15	7–18	1, 3
	57	0.72 (0.44, 0.96)	0.85 (0.60, 1.05)	0.718	20	7–47	–
	15	0.75 (0.64, 0.86)	1.05 (0.93, 1.15)	0.510	190	5–50	–
	27	0.85 (0.80, 0.89)	1.11 (1.06, 1.15)	0.586	1180	7–70	–
	30	0.90 (0.84, 0.97)	1.11 (1.03, 1.18)	0.657	368	8–85	–
	35	0.69 (0.68, 0.71)	0.88 (0.87, 0.89)	0.615	7919	7–98	–
	47	0.61 (0.54, 0.68)	0.85 (0.76, 0.92)	0.515	264	2–31	–
CR–H	67	0.39 (0.25, 0.56)	0.73 (0.58, 0.85)	0.285	83	12–61	2
	33	0.51 (–0.67, 7.68) <sup>†</sup>	1.34 (0.93, 14.29) <sup>§</sup>	0.145	5		1, 3
	62	–0.22 (–2.86, 1.19) <sup>‡</sup>	–0.60 (–4.88, 1.81) <sup>†</sup>	0.134	6		1, 3
	66	3.65 (2.98, 4.05) <sup>§</sup>	3.76 (3.10, 4.25) <sup>§</sup>	0.942	15		1, 3
	57	1.48 (0.78, 1.97) <sup>§</sup>	1.76 (1.05, 2.15) <sup>§</sup>	0.723	20		1, 3
	15	0.35 (0.18, 0.55)	1.27 (1.07, 1.43)	0.076	190		2
	27	0.64 (0.55, 0.74)	1.55 (1.44, 1.63)	0.170	1000		2
	30	0.63 (0.51, 0.74)	1.49 (1.32, 1.65)	0.179	368		2
	35	0.44 (0.42, 0.46) <sup>§</sup>	0.87 (0.86, 0.89)	0.256	7919		2
	47	0.40 (0.31, 0.51)	0.91 (0.80, 0.99)	0.193	264		2
67	0.20 (0.04, 0.32)	0.57 (0.39, 0.71)	0.123	83		2	

The Ref is the reference id in the Tallo database. Values in red represent biased estimates: <sup>†</sup>imprecise (wide 95% CL), <sup>‡</sup>biased downward or <sup>§</sup>downward. Probable cause of bias: (1) small sample size; (2) attenuation bias (measurement errors); (3) sampling bias (data truncation).

systematic discrepancy between an estimator and its expected values (Kelly, 2007) such as those in Table 1. Specifically, we examined effects of sample sizes, attenuation bias and sampling bias on the allometry exponent. Attenuation bias arises from measurement errors (Hutcheon et al., 2010) and is indicated by correlation coefficients ( $r$ ) approaching zero where the true population correlation coefficient ( $\rho$ ) is known to be large. It is also indicated by large discrepancies between ordinary least square (OLS) and reduced major axis (RMA) estimates of  $\beta$  (see section “Statistical analysis”).

In the second set of analyses (Table 4), we compared H–D, CR–D, and CR–H allometric parameters of selected genera occurring in high and low disturbance areas in temperate climate. In a recent analysis, Sommerfeld et al. (2018) reported that high disturbance landscapes were dominated by the genera *Picea*, *Abies*, *Pseudotsuga*, and *Pinus* in the northern hemisphere. Low disturbance landscapes were largely dominated by broadleaved trees in the genera *Nothofagus*, *Fagus*, and *Acer* (Sommerfeld et al., 2018). Accordingly, we produced and compared the point estimates and the 95% CI of the allometry exponents of these species.

In the third set of analyses, we compared the H–D, CR–D, and CR–H allometry exponents of the tallest and hyper-emergent trees species with medium and short-statured tree species in biomes with different historical disturbance regimes (Table 5 and Supplementary Table 2). We selected ten species from the list of the world’s tallest tree species available in Tng et al. (2012) including *Sequoia sempervirens*, *P. menziesii*, *Sequoiadendron giganteum*, and *Picea sitchensis* representing gymnosperms from North America; *Eucalyptus regnans*, *Eucalyptus globulus*, and *Eucalyptus viminalis* from Australia; *Shorea faguettiana* and *Dinizia excelsa*, which are the tallest tropical Angiosperm from rainforest in Asia and South America, respectively. Some of the species such as *Eucalyptus regnans*, *P. menziesii*, and *S. giganteum* are historically subjected to severe wildfires and are uniquely fire-resistant (Tng et al., 2012; Giunta et al., 2016). These giants are at the extreme tail of the tree height distribution and comparing them with medium to short-statured trees in other biomes is expected to provides an important case study for questions about allometric variation. Specifically, we selected *Pinus ponderosa*, a species adapted to low-moderate intensity fires in temperate North America (Giunta et al., 2016), and *Colophospermum mopane*, *Combretum collinum*, *Combretum molle*, *Julbernardia paniculata*, *Vachellia seyal*, and *Vachellia tortilis* from tropical savannas and woodlands in Africa. We also selected species from tropical rain forests in Africa (*Piptadeniastrum africanum*), Asia (*Dryobalanops lanceolata*, *Parashorea tomentella*, and *Shorea fallax*) and temperate and boreal forests (*Abies sibirica*, *Acer platanoides*, *Fagus grandifolia*, *Fagus sylvatica*, *Nothofagus solandri*, *P. abies*, *Populus tremula*, and *P. tremuloides*), which do not experience disturbances such as fire. We also included *P. abies* and *P. tremuloides*, which have a history of bark

beetles and forest tent caterpillar outbreaks, respectively. When selecting the various species, we also made sure that sample sizes are adequate and the species broadly represent the biomes where they occur.

In the fifth set of analyses, we compared allometric scaling of tree crown dimensions with stem diameter across tropical forests and savanna biomes in Africa, America, Asia, and Australia. For this analysis, we used data from the Forest Plots database<sup>2</sup> provided by Panzou et al. (2020). Here, we compared forests with savanna biomes across the tropics in terms of CD–D, Cdep–D, Cvol–D, and Cdep–CD allometry (Figures 3, 4 and Supplementary Table 3).

### Aboveground biomass vs. stem diameter or height

The allometric scaling of aboveground biomass with stem diameter (A–D) and aboveground biomass with stem height (A–H) is widely used to estimate aboveground carbon stocks as well as changes through time (Zianis and Mencuccini, 2004; Sileshi, 2014). The theoretical values of the A–D scaling exponent are 3, 5/2, and 8/3 according to the geometric similarity, stress similarity, and MST (Table 1). Similarly, the A–H exponents are 3, 5, and 4 according to the geometric similarity, stress similarity and MST, respectively (Table 1). The exponent is perceived as a distribution coefficient for the growth resources between A and D or A and H. For this analysis, we used raw data from Chave et al. (2014) to calculate allometry parameters per site for a mixed species of trees from a total of 58 sites across tropical Africa, Asia, and Americas. Then, we combined the values of the A–D allometric parameters with our own collection originally reported in Sileshi (2014). In total, there were 452 allometry exponents and their corresponding intercepts from various climate zones. For the A–H allometry we only had the 58 sites from Chave et al. (2014).

### Belowground biomass vs. aboveground biomass

The partitioning of belowground plant biomass (B) with respect to aboveground biomass (A) is a key adaptive strategy of plants, which influences many functions in terrestrial communities. Allocation of belowground biomass relative to aboveground biomass has been traditionally analyzed using root (R) to shoot (S) ratio, which quantifies the relative proportion of growth resources allocated to roots versus shoots in a given condition. As measurement of belowground biomass is time consuming and expensive, allometric relationships have been proposed to estimate B from A (Cairns et al., 1997; Kuyah et al., 2016). At the level of individual plants, B has been shown to scale nearly isometrically ( $\beta = 1$ ) with A across a broad-spectrum of vascular plants (Niklas, 2005; Cheng and Niklas, 2007).

<sup>2</sup> [https://doi.org/10.5521/forestplots.net/2020\\_8](https://doi.org/10.5521/forestplots.net/2020_8)

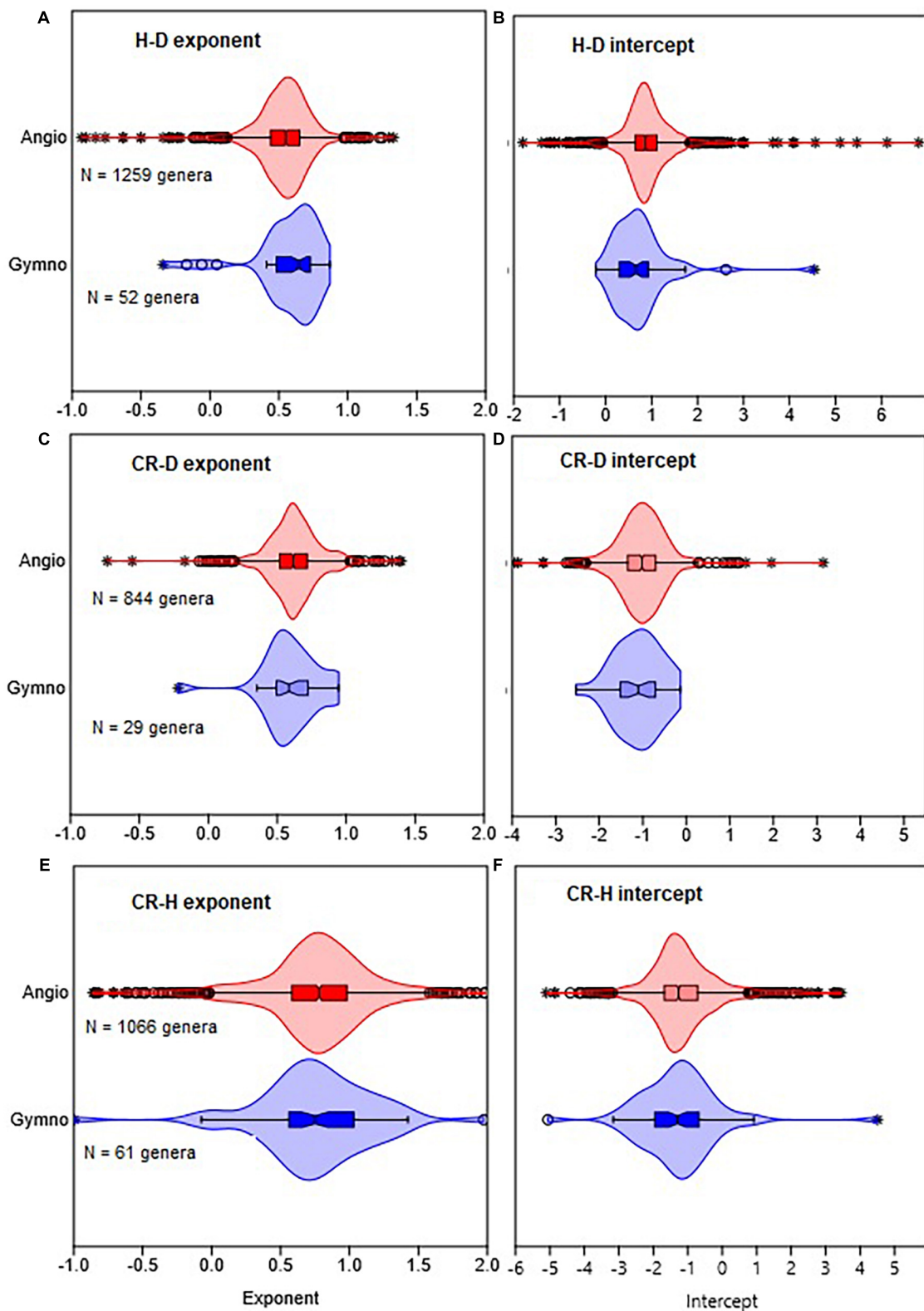


FIGURE 1

Comparison of gymnosperms with angiosperms in terms of the distribution of the allometric parameters of height with stem diameter (H–D), crown radius with stem diameter (CR–D), and crown radius with stem height (CR–H) scaling. The distributions of exponents are shown in (A,C,E), while intercepts are in (B,D,F). The box and whisker plots display the median and its 95% CI (notches), lower quartile, upper quartile, extreme values, and outliers (O and \*). Distributions in all cases are based on OLS estimates of the parameters for each genus.



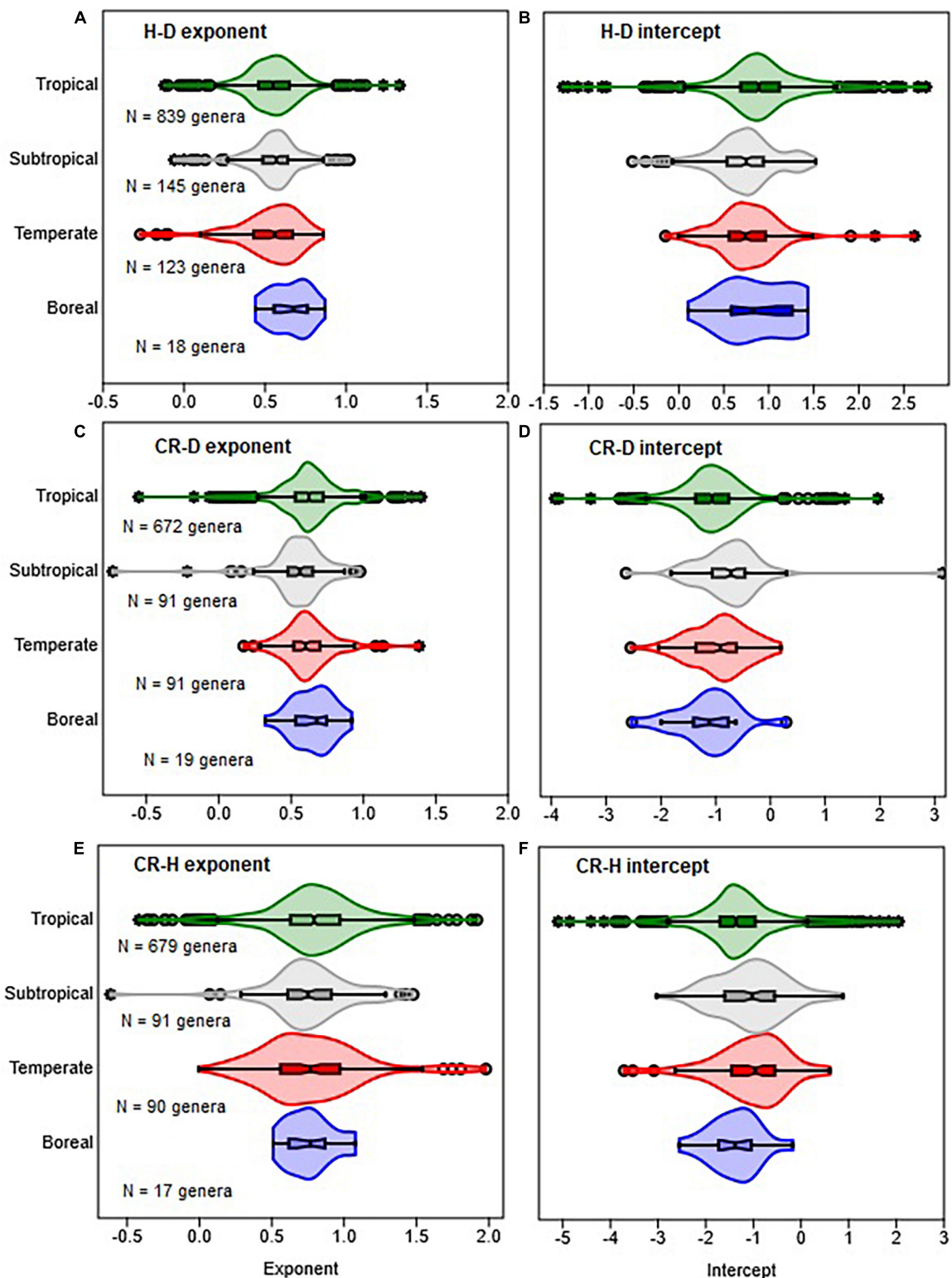


FIGURE 2

Comparison of climate zones in terms of the distribution of the allometric parameters of the scaling of height with stem diameter (H–D), crown radius with stem diameter (CR–D), and crown radius with stem height (CR–H). The distributions of exponents are shown in (A,C,E), while intercepts are in (B,D,F). The box and whisker plots display the median and its 95% CI (notches), lower quartile, upper quartile, extreme values, and outliers (O and \*). Distributions in all cases are based on OLS estimates of the parameters for each genus.

TABLE 4 Comparison of the phylogenetic allometry exponents ( $\beta_{OLS}$ ) of the stem height to diameter (H–D), crown radius to diameter (CR–D), and crown radius to stem height (CR–H) scaling in genera in high and low disturbance temperate forest biomes.

Disturbance category	Genus	$\beta_{OLS}^*$			Sample size		
		H-D	CR-D	CR-H	H-D	CR-D	CR-H
Low	<i>Acer</i>	0.65 (0.63, 0.65)	0.57 (0.56, 0.59)	0.66 (0.64, 0.69)	11020	6761	6759
	<i>Fagus</i>	0.61 (0.60, 0.62)	0.56 (0.55, 0.57)	0.64 (0.62, 0.66)	9758	8036	7581
	<i>Nothofagus</i>	0.57 (0.55, 0.59)	0.69 (0.66, 0.71)	1.05 (1.01, 1.09)	1655	1483	1483
High	<i>Abies</i>	0.84 (0.83, 0.85)	0.67 (0.65, 0.69)	0.64 (0.62, 0.67)	6011	3778	3764
	<i>Picea</i>	0.82 (0.81, 0.83)	0.65 (0.64, 0.66)	0.61 (0.60, 0.63)	8807	5625	5592
	<i>Pinus</i>	0.71 (0.70, 0.72)	0.72 (0.71, 0.73)	0.37 (0.37, 0.38) <sup>†</sup>	55674	50817	50637
	<i>Pseudotsuga</i>	0.78 (0.77, 0.79)	0.57 (0.54, 0.59)	0.56 (0.53, 0.60)	1846	944	940

\*Values in parentheses are 95% CI estimated using bootstrapping with 9,999 replicates. <sup>†</sup>Biased downward.

To test our hypotheses, we collected values of the B–A scaling exponent from the literature. We also analyzed datasets from our own studies and our colleagues. The first dataset consisted of biomass partitioning between shoot and root mass in seedlings of the fruit tree *Uapaca kirkiana* in the Miombo woodlands of Central Africa (Sileshi et al., 2007). The second and third dataset consisted of B–A scaling of miombo woodland trees in Zambia (Handavu et al., 2021) and Malawi (Kachamba et al., 2016). The fourth and fifth dataset consisted of the B–A scaling of *Eucalyptus* species in Kenya (Kuyah et al., 2013) and four tropical bamboos in India (Singnar et al., 2021), respectively. In all cases, we used the power function in the natural logarithm domain:  $\ln(B) = \ln(\alpha) + \beta(\ln A) + \epsilon$ . When  $\beta = 1$ , B and A vary isometrically. When  $\beta < 1$ , shoots grow faster than roots, but allocation to roots is more than to shoots when  $\beta > 1$  (Robinson and Peterkin, 2019).

## Statistical analysis

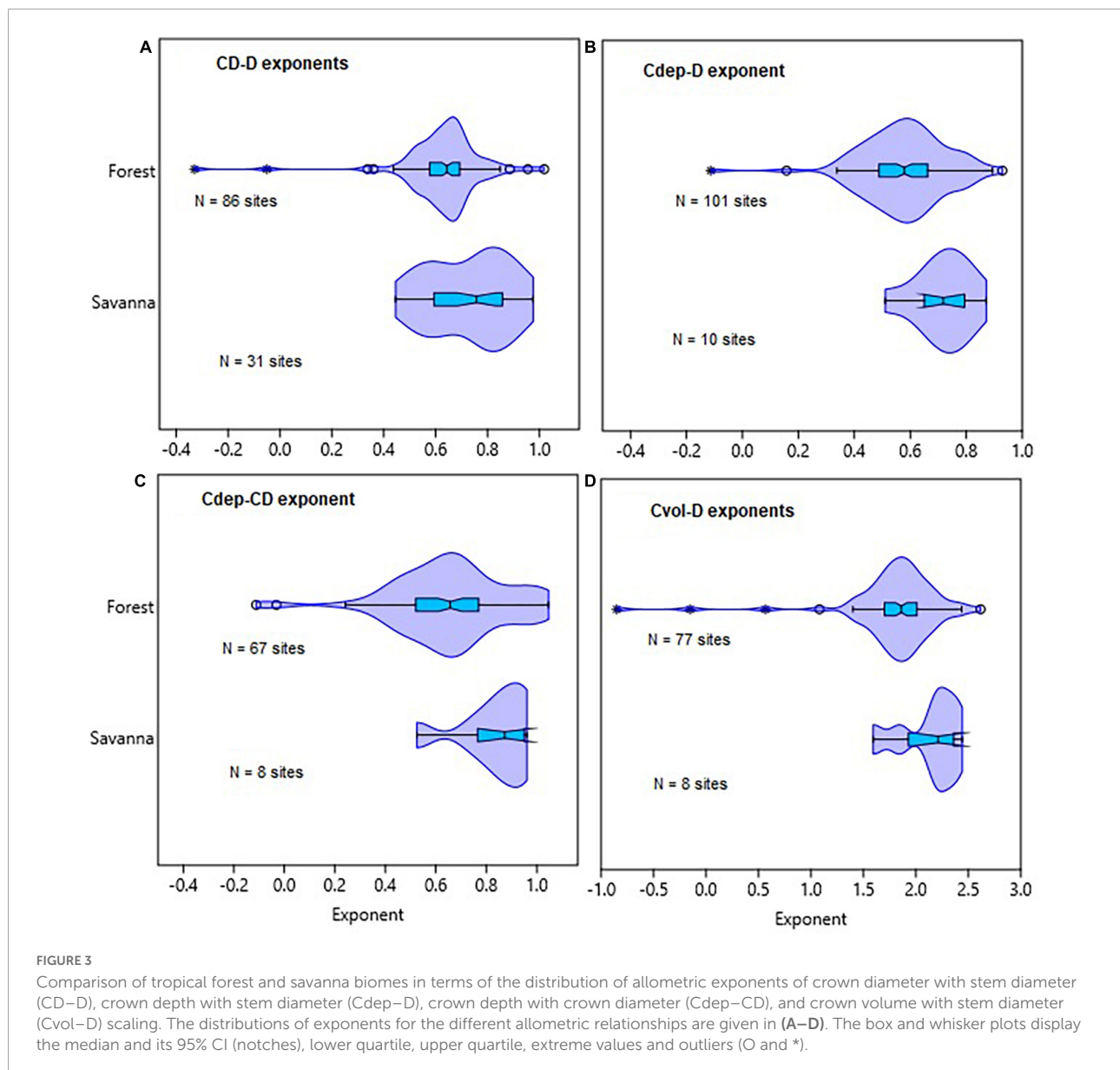
### Estimation of allometry parameters

Since allometry parameters can widely vary with regression techniques (Sileshi, 2014), initially we used non-linear regression (NLR), robust regression analysis (RRA), ordinary least square (OLS), reduced major axis (RMA), major axis (MA) regression, and linear mixed effects model (LMM). NLR, RRA, and OLS are called Model I regression while RMA and MA belong to the Model II regression (see **Supplementary methods** for details). We used the Paleontological Statistics (PAST) package<sup>3</sup> to fit NLR, OLS, RMA, and MA, and the SAS system to fit LMM and RRA. We preferred the PAST package because it gives bootstrapped confidence intervals on the slope and intercept estimates (**Supplementary methods**). In the rest of this manuscript, we will focus on OLS and RMA estimates

because they are more widely used in allometry (Hui et al., 2010; Kilmer and Rodríguez, 2017). RMA is also preferred where it is difficult to identify a variable as a dependent or independent variable (Hui et al., 2010). Past studies have focussed on comparing the empirical estimates of  $\beta$  with its theoretical value or among biomes using the *t*-test or the 95% CIs. Such comparisons are often fraught with errors due to low statistical power arising from small sample sizes. To avoid this problem, we analyzed the different datasets in two ways: (1) aggregated at different scales, namely, taxonomic divisions, climate zones and biomes; and (2) after disaggregating at the family and genus levels to compare climate zones and biomes in terms of the distribution of parameters. The first type of analysis involved regression of data aggregated at the level of taxonomic divisions (e.g., Gymnosperms vs. Angiosperms), climate zones (boreal, temperate, subtropical, and tropical) or biomes (e.g., forests and savannas) and comparing the point estimates and their 95% CI. When comparing categories, we applied the Johnson-Neyman technique (White, 2003) of first testing for homogeneity of residual variances ( $V\epsilon$ ), followed by null hypothesis ( $H_0$ ) tests for equality of the slopes ( $H_0: \beta_1 = \beta_2$ ) and intercepts ( $H_0: \alpha_1 = \alpha_2$ ). We used Bartlett's test of equality of variance when comparing the regression lines (**Supplementary Table 5**). We directly compared the exponents using the 95% CI only when  $P > 0.05$ . In all cases, we estimated the CIs using bootstrapping with 9,999 replications implemented in the PAST statistical package.

In the second type of analysis, we estimated the allometry parameters after disaggregating at the family, genus and species levels. Then, we compared taxonomic divisions and climate zones in terms of the empirical distributions of the exponents of H–D, CR–D, and CR–H scaling estimated at the family and genus levels. The same way, we compared the genera *Pinus* and *Quercus* after estimating the allometry parameters per species (**Supplementary Figure 4**). In the case of crown allometries (CD–D, Cdep–D, Cvol–D, and Cdep–CD), we estimated the exponents per study site as species names were unavailable.

<sup>3</sup> <https://past.en.lo4d.com/windows>

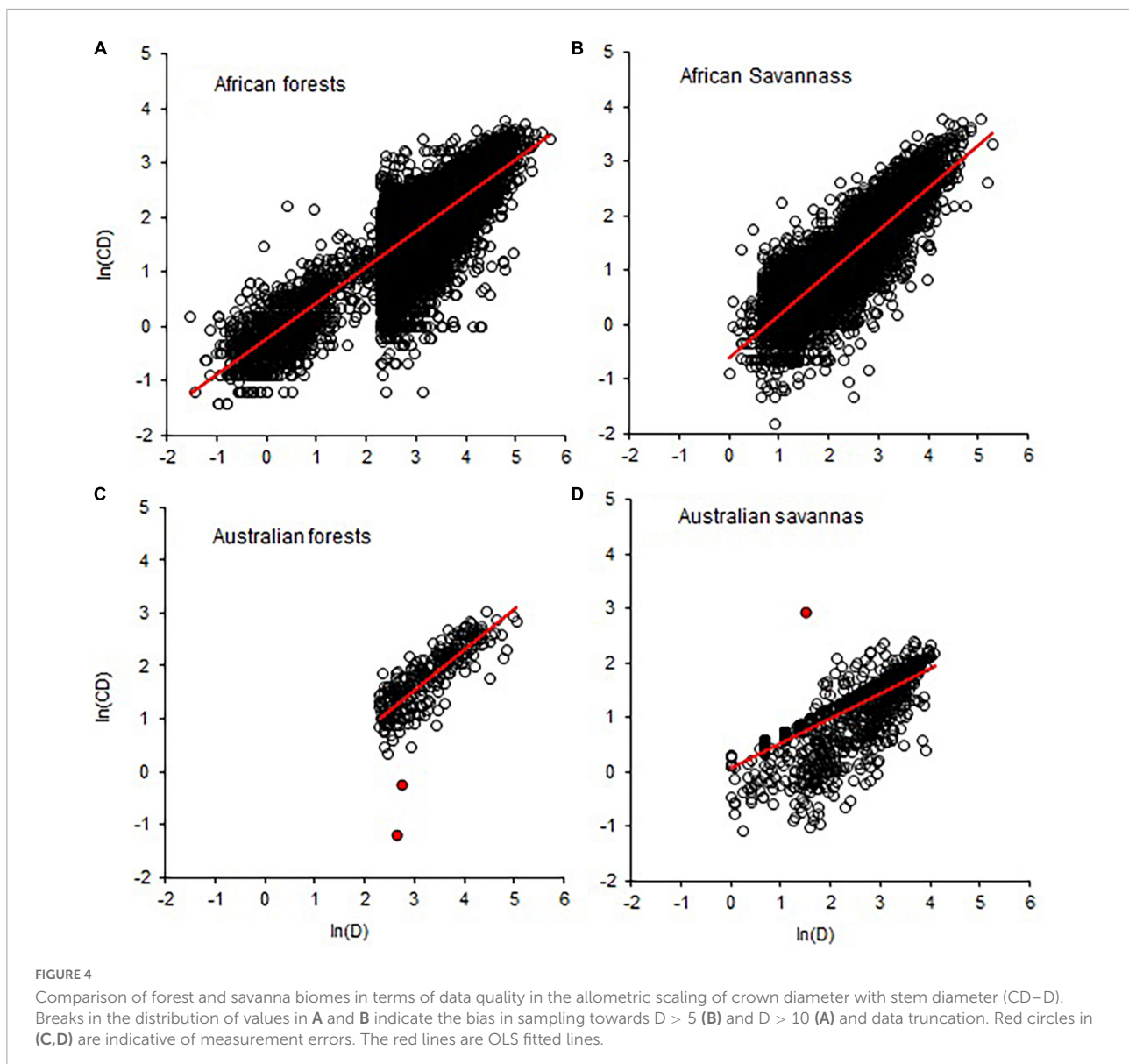


Then, we created violin plots of the exponents and intercepts to visualize their distributions among taxonomic divisions, climate zones (Figures 1–4) or genera (Supplementary Figure 4). In addition to the distributions, we complemented statistical inference with comparisons of the medians and their 95% CI (Supplementary Table 1). The 95% CI of medians are indicated by the notches in the box plots (Figures 1–3). The medians of two or more distributions are deemed not significantly different if their 95% CIs overlap (Krzywinski and Altman, 2014).

### Assessing the effect of sample size and sampling bias on allometry parameters

In the analysis of *P. sylvestris* data (Table 3), we noted that the allometry parameters were biased wherever sample sizes

( $N$ ) were  $<30$  or when the samples consist of only small or large trees. Earlier work (e.g., Green, 1991) has also shown that unbiased estimates of regression parameters can be found only when  $N > 50 + 8P$ , where  $P$  is the number of parameters to be estimated. Therefore, we assessed the variations in allometry parameters with sample size in two separate analyses. In the first analysis, we categorized the estimated allometry parameters of H–D, CR–D, CR–H, and A–D based on the corresponding sample sizes into four classes:  $N < 10$ , 10–29, 30–60, and  $>60$ . Then, we created violin plots of the allometry parameters for each sample size class (Figures 3, 5 and Supplementary Figure 2) using the plot function of the PAST statistical package. In the second analysis, we explored the variations in the empirical estimates of exponents with sample size using locally



weighted scatterplot smoothing (LOESS) implemented in the PAST statistical package (Figure 5D). PAST estimates the 95% confidence bands for the LOESS curves by bootstrapping using 9,999 random replications.

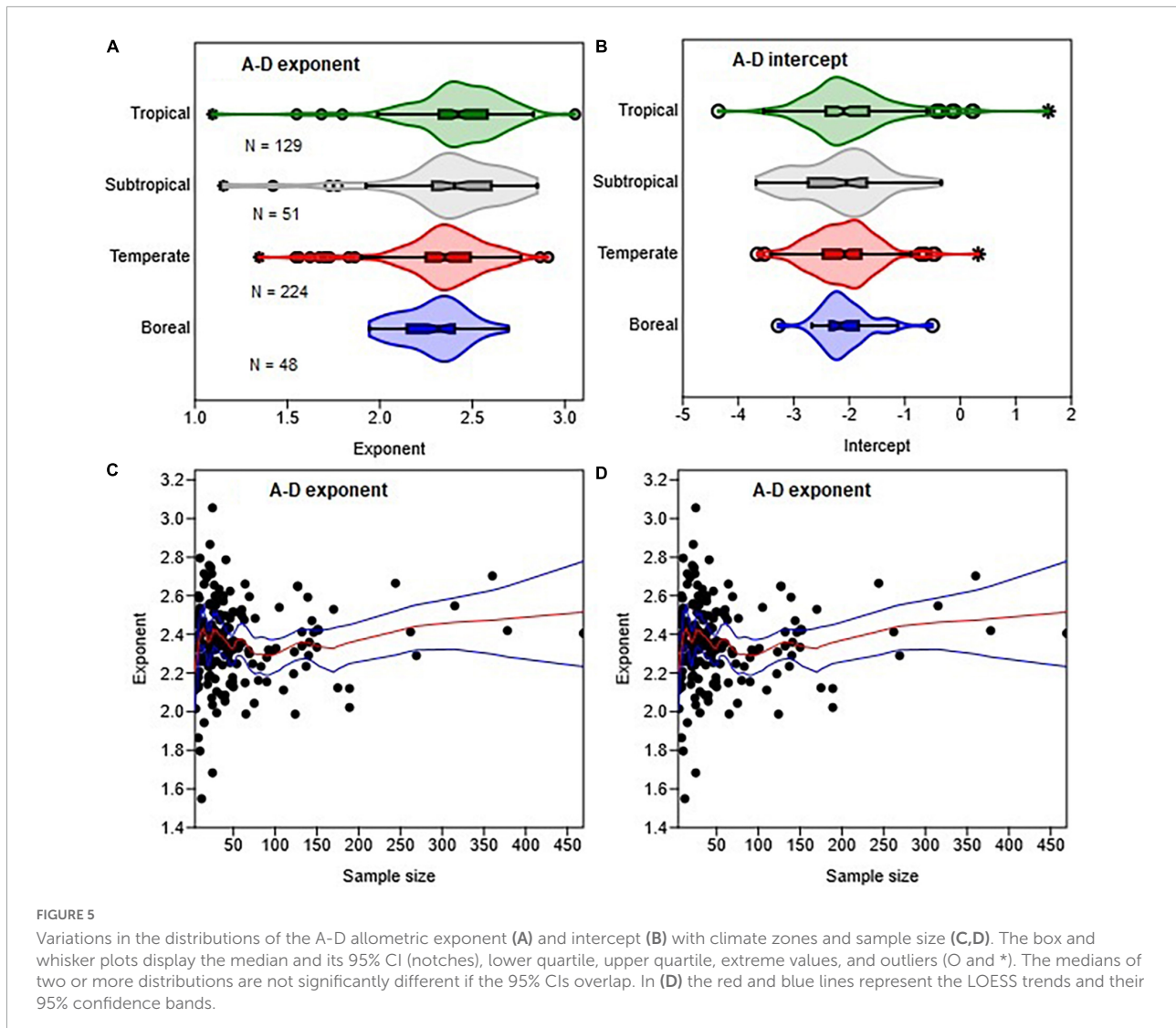
Sampling bias is said to exist if the data are truncated (left or right) based on the diameter range in the database. Data truncation arises from sampling only those individuals whose size falls within a certain interval. Data are said to be left truncated when the lower segment of the population has been left out, for example, sampling predominantly large individuals as in Figure 4C. Right-truncation implies leaving out the upper segment of the population, for example excluding large sized individuals. We categorized the estimated allometry parameters of H–D and CR–D based on the minimum diameter (D) in the dataset into two classes: minimum  $D < 10$  and  $> 10$  cm. Then,

we created violin plots of the allometry parameters for minimum D class (Supplementary Figure 3). To reduce the confounding effect of sample size, we generated the plots for genera with  $N > 30$ .

### Relationships between allometry parameters and divergence time

The objective of this analysis was to determine the relationships between (1) divergence time and the exponent at the family level, and (2)  $\beta$  and  $\alpha$  in the various allometries. For analysis of the trends in allometric trajectories with evolutionary time, we obtained the divergence times (in million years ago = MYA) for Angiosperm families from Li et al. (2019) and Gymnosperm families from Lu et al. (2014). We explored the trends in the exponents and intercept with divergence time using





LOESS implemented in the PAST statistical package (Figure 6). We could not do the same analysis for genera as we did not find the relevant divergence times of general.

Since the functional relationships between  $\beta$  and  $\alpha$  were not clear, we created scatter plots of  $\beta$  on  $\ln(\alpha)$  for the allometric relationships analyzed at the different scales (Figure 7 and Supplementary Figure 5). Then, we tested whether or not a significant linear trend exists between  $\beta$  and  $\ln(\alpha)$ .

## Results

### Stem diameter, height, and crown dimensions

The distributions of the H-D, CR-D, and CR-H allometry parameters revealed significant overlap between Gymnosperms

and Angiosperms (Figure 1 and Supplementary Figure 1). When estimated at either the family or genus levels, the median values of the exponents of Gymnosperms and Angiosperms were also not significantly different from each other (Supplementary Table 1). Similarly, there was significant overlap between the distributions of exponents in the different climate zones (Figures 2A, B). The median values also did not significantly differ among climate zones (Supplementary Table 1). Except for the extreme values and outliers, the exponents predominantly fell within the range of theoretical values in Table 1. The distributions of exponents were more consistent with MST ( $\beta = 2/3$ ) than the geometric ( $\beta = 1$ ) or stress similarity ( $\beta = 1/2$ ) hypothesis (Figures 1, 2). Values  $<1/2$  or  $>1$  were mostly artifacts of small sample size ( $N$ ) estimation (Figure 8 and Supplementary Figure 2). All of the large outliers were associated with  $N < 10$ . When sample sizes exceeded 60, estimates of the allometry



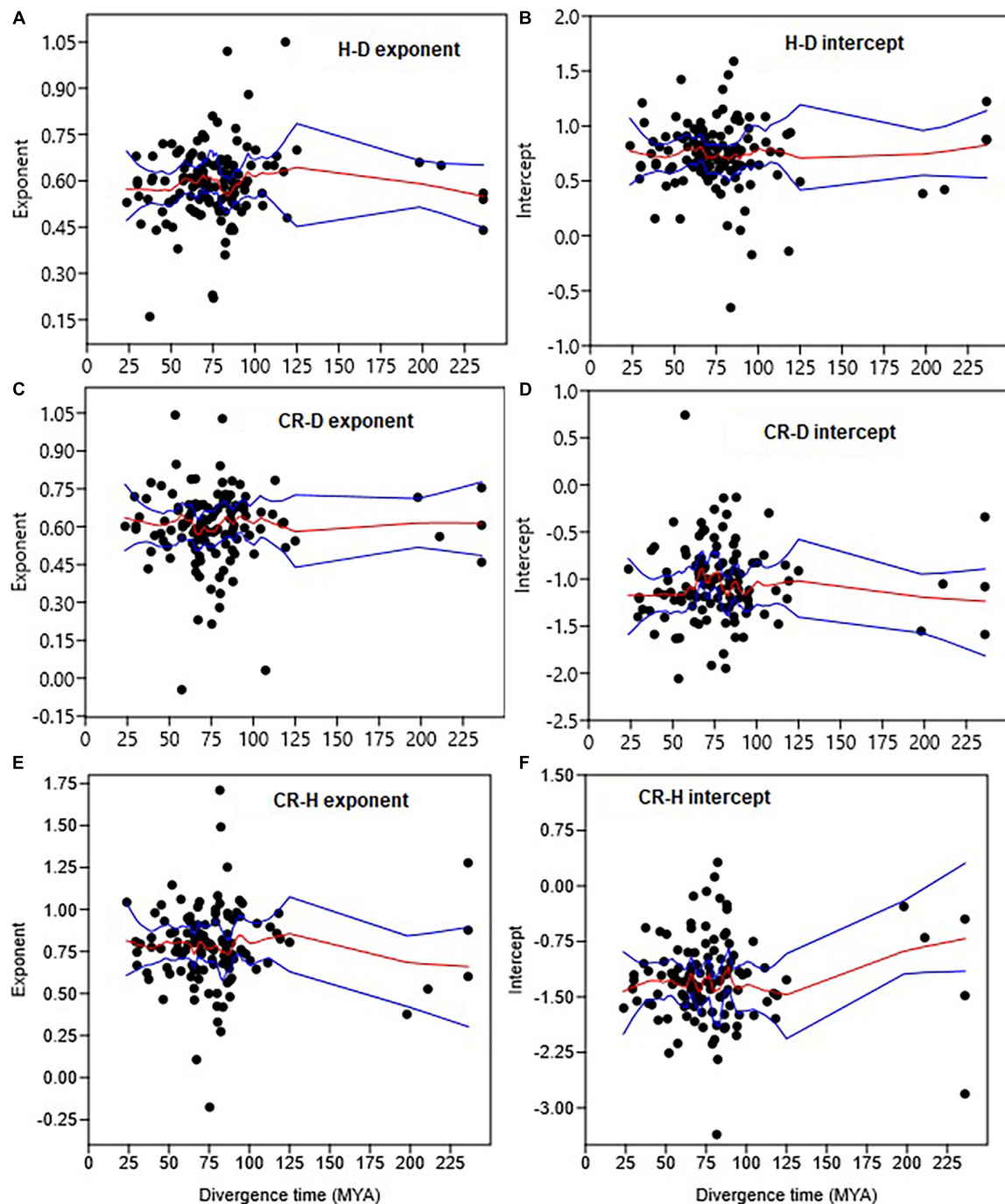


FIGURE 6

Trends in the H–D, CR–D, and CR–H allometry parameters with the median divergence time (in MYA = million years ago) of Gymnosperm and Angiosperm families. The trends in exponents are shown in (A,C,E), while trends in intercepts are in (B,D,F). The red and blue lines represent the LOESS smoothing curve and its 95% confidence limits, respectively.

parameters [both  $\beta$  and  $\ln(\alpha)$ ] fell in a narrower range than when  $N < 30$  (Figures 8A, C, E and Supplementary Figure 2). The LOESS trend lines show that the exponents tended to stabilize around the MST exponent as sample sizes increased (Figures 8B, D, F). The minimum diameter in

the sample also had significant effects on the H–D, CR–D, and CR–H exponents (Supplementary Figure 3). Across climatic zones, the H–D exponent was smaller when the minimum  $D$  was  $>5$  cm compared to samples that include smaller trees  $D < 5$  cm. The distributions of exponents of

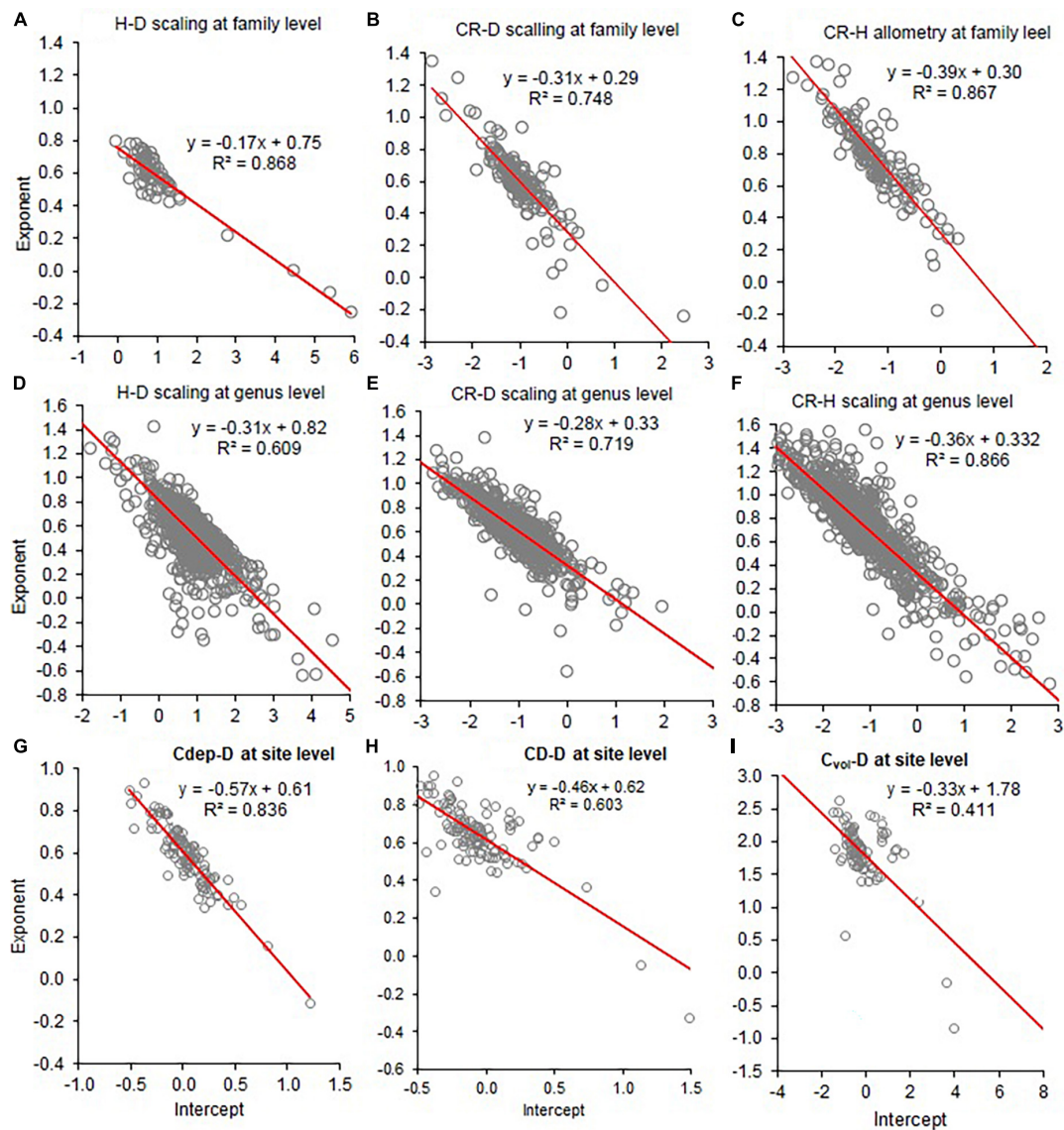


FIGURE 7

Co-variation of the allometry exponents ( $\beta$ ) with the intercepts ( $\ln \alpha$ ) of height to stem diameter (H–D), crown radius to stem diameter (CR–D), crown radius to stem height (CR–H), crown depth to stem diameter (CDep–D), crown diameter to stem diameter (CD–D), and crown volume to stem diameter (Cvol–D) at the family (A–C), genus level (D–F), and sites (G–I). The red line represents the fitted line of the linear regression of  $\beta$  on  $\ln \alpha$ .

H–D, CR–D, and CR–H allometry calculated at the species level are shown here using *Pinus* and *Quercus* species as examples (Supplementary Figure 4). As in the family- and genus-level analysis above, the distributions of exponents were more consistent with MST than the geometric and stress similarity hypotheses.

When data were analyzed after aggregating at different scales, the OLS estimate of the exponent was significantly smaller for angiosperms (CI: 0.56, 0.57) than the theoretical value of  $2/3$  but larger than  $1/2$ . The exponent of gymnosperms (CI: 0.65, 0.67) was consistent with MST (Table 2). On the

other hand, the RMA estimates for both angiosperms (CI: 0.75, 0.77) and gymnosperms (CI: 0.88, 0.89) were significantly larger than  $1/2$  or  $2/3$  but smaller than 1. Further analysis of the H–D and CR–D allometry did not reveal systematic variations in the exponent with taxonomic levels (Table 2). In the majority of cases the exponents were closer to  $2/3$  than  $1/2$  or 1. Unlike in Angiosperms, the exponents of the CR–H allometry in Gymnosperms were mostly biased downward (Table 2). The CR–H exponent was precisely biased downward in *P. sylvestris* in temperate zones (Table 2). To see whether different model fitting techniques will change the outcome,

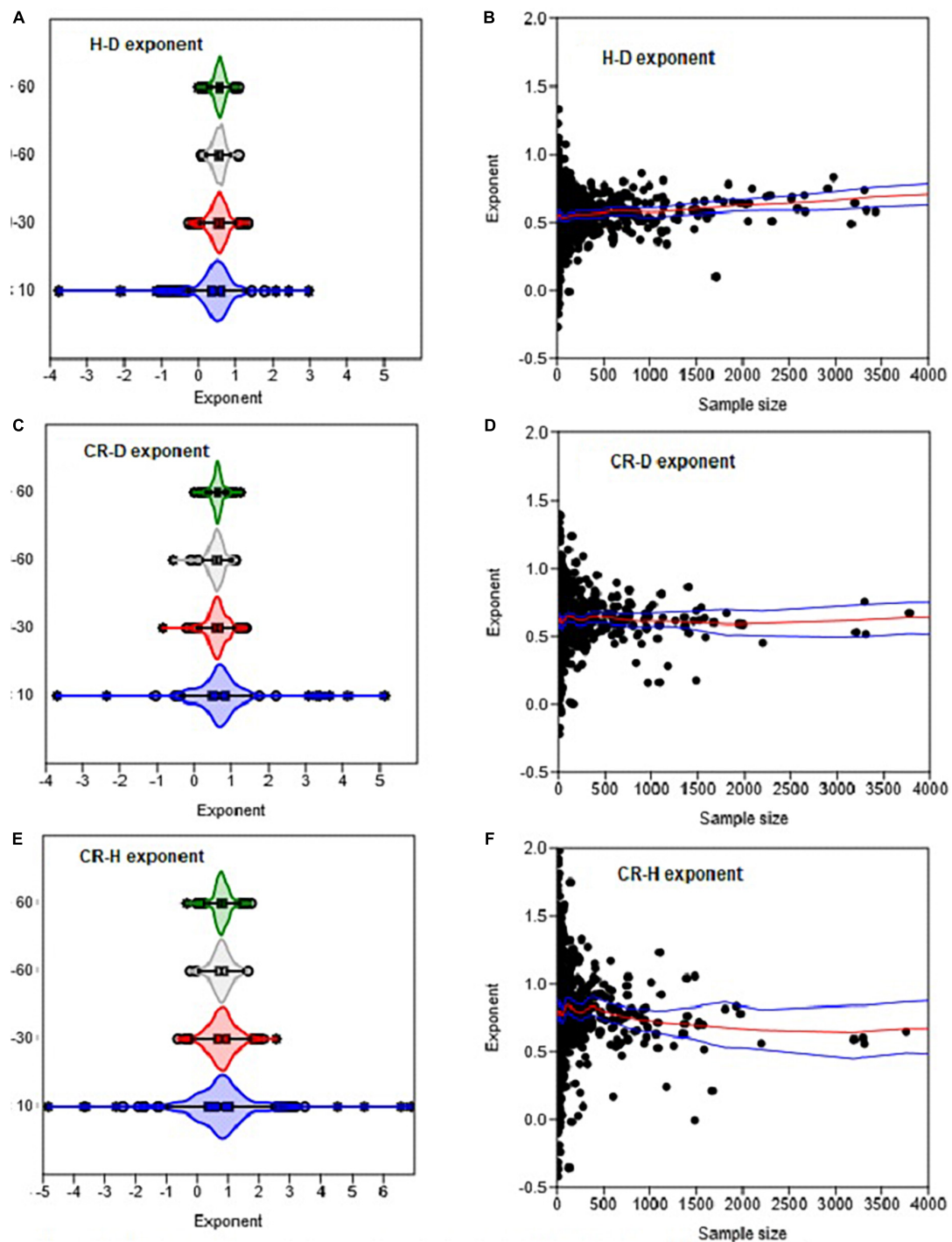


FIGURE 8

The effects of sample size on the variation in the H–D, CR–D, and CR–H allometric exponents ( $\beta$ ). Distributions in (A,C,E) are based on OLS estimates of the exponent for each genus. Each circle in (B,D,F) represents the estimate of the exponent of a genus. The box and whisker plots display the median and its 95% CI (notches), lower quartile, upper quartile, extreme values, and outliers (O and \*). In (B,D,F) the red and blue lines represent the LOESS trend lines and their 95% confidence bands.

we compared non-linear regression, OLS, RRA, RMA, MA, and LMM analysis of the CR–H scaling in *P. sylvestris* in temperate zones. The non-linear regression exponent of 0.25

(CL: 0.24, 0.27), OLS exponent of 0.26 (CI: 0.25, 0.28), RRA exponent of 0.32 (CI: 0.30, 0.34), and LMM exponent of 0.45 (CI: 0.43, 0.47) were significantly smaller than the MA

exponent of 0.61 (CI: 0.58, 0.65) and RMA exponent of 0.85 (CI: 0.84, 0.87) for the same species. The discrepancies between Model I (OLS and RRA) and Model II (RMA and RMA) estimates are indicative of large errors in measurement of H.

In-depth analyses of H–D, CR–D, and CR–H allometry in *P. sylvestris* revealed how study-level estimates of the allometry exponents can be biased by a combination of small sample sizes, sampling bias and attenuation bias (Table 3). Attenuation bias is more evident in CR–H and H–D than in CR–D allometry indicated by the large discrepancies between OLS and RMA estimates of the exponent (Table 3).

Comparisons of the allometry exponents of the H–D, CR–D, and CR–H scaling in genera with high and low disturbance regimes are summarized in Table 4. All genera in the high disturbance regime had significantly higher H–D exponents than those in low disturbance regimes. On the other hand, the CR–D and CR–H scaling exponents did not show consistent differences between trees growing in high and low disturbance regimes (Table 4). Almost all of the exponent were significantly higher than the stress similarity exponent and lower than the geometric similarity exponent, but closer to the MST exponent.

Comparison of the exponents of hyper-emergent trees species with medium and short-statured species did not reveal systematic variations (trends) with tree size (Table 5). The H–D and CR–D exponents of hyper-emergent species were in the same range as those of the medium to short statured species (Table 5). For example, the H–D exponents of *S. sempervirens* and *S. giganteum* (0.67) are statistically indistinguishable from *P. tremuloides* (0.64), *V. seyal* (0.65), and *C. collinum* (0.69) although they occur in different climate zones, biomes and disturbance regimes (Table 5). Similarly, the CR–D exponent of *P. menziesii* (0.57) is statistically indistinguishable from *Picea abies* (0.57) and *C. mopane* (0.63) despite the differences in the climate zones, biomes and disturbance regimes (Table 5). The H–D and CR–D exponents of almost all species in Table 5 did not conform to the geometric similarity hypothesis ( $\beta = 1$ ). With the exception of *E. regnans*, the majority of the exponents fell within the range of values assumed in stress similarity and MST. In-depth analysis at the site level data indicated that curious case of *E. regnans* arose due to aggregation of data of varying quality (Supplementary Table 2). The probable causes of the bias become clear when the data from each Reference ID were analyzed separately (see Supplementary Table 2 for details). These problems could not be remedied by application of more sophisticated analyses such as robust regression (Supplementary Table 2).

Comparisons of tropical forests with savanna biomes in terms of CD–D, Cdep–D, Cdep–CD, and Cvol–D also revealed broadly overlapping distributions of the exponents (Figure 3). The distributions of exponents were closer to the MST

exponents than the geometric similarity and stress similarity exponents (Figure 3). Comparison of the point estimates (and CIs) of the exponents of CD–D also did not reveal systematic variations with biomes or continents (Supplementary Table 3). Where such differences exist, differences in sample size and sampling bias seem to play a role. For example, the sample size for American forests was 113 times larger than for American savannas. The diameter range reflects sampling bias toward larger trees in American forests than in the savannas. Therefore, the comparisons among the forest and savanna biomes are confounded by the differences in the diameter ranges and sample sizes. Asian forests and Australian savannas had exponents biased downward partly due to aggregation of data of varying quality. Graphic comparison of CD–D allometry in African and Australian forests and savannas reveals further insights into the degree of sampling bias (Figure 4). Sampling bias toward large trees is more evident in data from Australian forests (Figure 4C) and African savannas (Figure 4B). In four out of the seven African countries the minimum D was 10 cm, while in the remaining three countries the minimum D was 0.2–6.3 cm. These differences are reflected in the two groups of data in Figure 4A. Measurement errors are also evident in Figures 4C, D. Close examination also revealed that data from one site in Asia (Mala\_8) was weighing down the exponent estimated for the whole continent (Supplementary Table 3). The Mala\_8 site had two populations with non-overlapping distributions, one of which was of very poor data quality (Supplementary Table 3).

Statistical tests of differences in Cdep–D, Cvol–D, and Cdep–CD allometry between tropical forest and savanna biomes are summarized in Supplementary Table 5. With the exception of Cvol–D allometry, tropical forests and savannas did not significantly differ in their exponents. In the case of Cvol–D, the OLS estimate of the exponent was biased downward in tropical forests than in savannas, but the RMA estimates are comparable (Supplementary Table 5).

## Aboveground biomass vs. stem diameter or height

The distributions of the A–D exponents in the different climate zones were significantly overlapping (Figure 5A). The median value of the exponents – 2.32 (CI: 2.25, 2.36) in boreal, 2.35 (CI: 2.34, 2.39) in temperate, 2.40 (CI: 2.34, 2.47) in subtropical and 2.42 (CI: 2.41, 2.48) in tropical zones – are statistically non-significant. The median values of the A–H exponents in the tropical biomes, where data were available, was 3.45 (CI: 3.18, 3.77). In all cases, the distributions of exponents were more consistent with the stress similarity and MST exponents than the geometric similarity exponent (Figure 5A). Tropical, subtropical and temperate regions had a



large number of outliers of the A–D exponent. The total sample size available for analysis had significant effects on the A–D exponent (Figure 5C). There were large outliers when  $N < 30$  than  $N > 60$  trees (Figure 5C). The LOESS trend lines show that the exponent tended to approach the MST exponent as sample sizes increased (Figure 5C).

## Belowground biomass vs. aboveground biomass

The 95% CI of the B–A exponents shows that in the majority of cases the exponent conforms with isometric scaling. The exponents also did not systematically vary with climate zones (Table 6). Except in a few cases, the RMA estimate of the B–A exponent in the different climate zones did not significantly differ from 1 (Table 6).

## Trends in allometry parameters

The analyses did not reveal significant trends in the allometry parameters of the H–D, CR–D, and CR–H scaling along the divergence times of Gymnosperm and Angiosperm families (Figure 6). Within Angiosperms the H–D allometry exponent of the more recent (~29 MY old) family Bignoniaceae ( $\beta = 0.68$ ) was not significantly different from those of the older (113–125 MY old) families Magnoliaceae ( $\beta = 0.68$ ) and Winteraceae ( $\beta = 0.70$ ). The H–D exponent of the more recent (~24 MY old) Angiosperm family Staphyleaceae ( $\beta = 0.53$ ) was also not significantly different from those of the older (~236 MY old) Gymnosperm families Araucariaceae ( $\beta = 0.54$ ), Podocarpaceae ( $\beta = 0.56$ ), and Taxaceae ( $\beta = 0.44$ ). The CR–D and CR–H allometries followed trends similar to H–D.

The analyses also revealed significant negative correlations between  $\ln(\alpha)$  and  $\beta$  in the allometries analyzed at the different scales (Figure 7 and Supplementary Figure 4). As a result, when  $\ln(\alpha)$  was biased upward,  $\beta$  was biased downward. We noted significant positive correlations between the CR–D and CR–H exponents ( $r = 0.647$ ;  $P < 0.0001$ ). Similarly, we observed a positive correlation ( $r = 0.543$ ;  $P < 0.0001$ ) between H–D and A–D exponents (Supplementary Figure 5) indicating tight co-variations among D, H, CR, and biomass.

## Discussion

### Stem diameter, height, and crown allometry

Our analyses have uncovered a tight co-variation among stem height, diameter, crown dimensions and tree biomass, consistent with allometric theory (e.g., Niklas and Spatz, 2004;

West et al., 2009). The various analyses did not reveal evidence of systematic variations in the H–D, CR–D, and CR–H allometry with taxonomic level, evolutionary time, climate zones or biomes. These findings are consistent with the allometry constraint hypothesis, which posits that the evolutionary divergence of traits is restricted by integrated growth regulation, and thus  $\beta$  remains constant at macro-evolutionary timescales (Voje et al., 2013). The results are also in agreement with those of Blanchard et al. (2016), who found no significant variation in CA–D allometry across five biogeographic areas. According to Blanchard et al. (2016), the stability in CA–D allometry suggests that some universal constraints are sufficiently pervasive to restrict the variation of the exponent in a narrow range.

Unlike the H–D and CR–D scaling, the CR–H allometry was more variable probably because both H and CR are associated with greater measurement error than D (Ducey, 2012; Calders et al., 2015; Blanchard et al., 2016). Contrary to recent reports (e.g., Shenkin et al., 2020; Panzou et al., 2021), our analyses did not reveal significant differences in crown allometry between savanna and forest biomes. Our analysis also casts doubt over the notion that the H–D allometry depends on the context in which a tree grows and hence the exponent varies widely [references cited in Osunkoya et al. (2007), Watta and Kirschbaum (2011), Fortier et al. (2015), Hulshof et al. (2015), and Motallebi and Kangur (2016)]. Some of the past studies did not have adequate sample sizes and/or did not adequately control for differences in sample size and size-frequency distributions resulting in conflation of statistical artifacts with violations of allometric relationships. For example, Osunkoya et al. (2007), used small sample sizes (10–39 trees) and found wide variability in the exponent of the H–D scaling in 22 species. Watta and Kirschbaum (2011) reported that the exponents of the H–D relationship ranged between 0.73 and 1.43 across 84 plots, and this clearly violates the assumptions underlying allometric relationships. However, close examination of their report reveals differences in both the diameter range ( $D < 10$  cm vs.  $D > 10$  cm) and sample size between the two groups. Similarly, Fortier et al. (2015) reported differences in H–D exponents between moderate and high fertility sites. However, the diameter range of trees from the moderate fertility site ( $D = 10$ –27 cm) was smaller than the high fertility site ( $D = 20$ –38 cm) besides the very small sample sizes used (12) at each site. Even if sample sizes were large, the difference in the diameter range alone could have resulted in the observed differences in exponents. Here, we do not claim that the allometry exponent is static, but its variability is conflated with sampling bias and low statistical power due to small sample sizes. Unlike previous studies, our use of parameter distributions reveals the reality better than point estimates and their 95% CIs which are sensitive to differences in sample sizes and sampling bias. Our inferences are also based on disaggregated data and hence the agreement with allometry theory.



In previous studies, inferences have been solely based on point estimates and their 95% CI derived from aggregated data. For example, in [Panzou et al. \(2021\)](#) complex LMMs were implemented using aggregated data, which are prone to violations of LMM assumptions. We suspect this is the source of the counterintuitive values of the exponent reported in [Panzou et al. \(2021\)](#) for the American forests, American savannas, Asian forests, Australian forests and Australian savanna

([Supplementary Table 3](#)). The problem with aggregated data is that they are prone to contamination with poor quality data as is the case with *E. regnans* ([Supplementary Table 2](#)) and Asian forests ([Supplementary Table 3](#)). Data aggregation is known to leave analyses vulnerable to aggregation bias or ecological fallacy, i.e., drawing of false inferences about individual behavior on the basis of aggregate level statistics ([Pollet et al., 2015](#); [Salkeld and Antolin, 2020](#)).

TABLE 5 Comparison of the allometry exponents ( $\beta_{OLS}$ ) of the stem height to diameter at breast height (H–D) and crown radius to stem diameter (CR–D) scaling in nine of the world's tallest and hyper-emergent tree species with medium to shorter tree species in different biomes.

Species (sample size)	Biome EFG <sup>#</sup>	Disturbance agent <sup>§</sup>	$\beta_{OLS}$ (CI) <sup>*</sup>		Maximum <sup>†</sup>	
			H–D	CR–D	Height	CR
<b>Hyper-emergent</b>						
<i>Dinizia excelsa</i>	T1.1	–	0.56 (0.47, 0.64)	0.85 (0.69, 1.12)	82.0	15.7
<i>Shorea faguettiana</i>	T1.1	–	0.60 (0.42, 0.86)	0.93 (0.81, 1.05)	100.8	20.0
<i>Picea sitchensis</i>	T2.1	–	0.74 (0.60, 1.11)	0.65 (0.30, 1.15)	96.0	5.6
<i>Pseudotsuga menziesii</i>	T2.1	BB	0.74 (0.73, 0.75)	0.62 (0.60, 0.63)	99.7	9.1
<i>Sequoia sempervirens</i>	T2.1	Fire	0.67 (0.60, 0.74)	–	115.8	–
<i>Sequoiadendron giganteum</i>	T2.1	Fire	0.67 (0.44, 0.72)	–	83.8	–
<i>Eucalyptus regnans</i>	T2.5	Fire	0.31 (0.27, 0.34) <sup>‡</sup>	–	99.5	–
<i>Eucalyptus globulus</i>	T2.5	Fire	0.70 (0.68, 0.72)	0.78 (0.75, 0.80)	90.7	8.8
<i>Eucalyptus viminalis</i>	T2.5	Fire	0.86 (0.80, 0.93)	0.71 (0.55, 0.95)	89.0	8.3
<b>Medium to short-statured</b>						
<i>Dryobalanops lanceolata</i>	T1.1	–	0.65 (0.54, 0.74)	0.57 (0.50, 0.66)	59.0	8.8
<i>Parashorea tomentella</i>	T1.1	–	0.56 (0.45, 0.71)	0.69 (0.57, 0.81)	68.0	9.0
<i>Piptadeniastrum africanum</i>	T1.1	–	0.48 (0.40, 0.56)	0.79 (0.52, 1.10)	57.3	20.1
<i>Shorea fallax</i>	T1.1	–	0.69 (0.62, 0.76)	0.54 (0.45, 0.64)	49.0	7.9
<i>Abies sibirica</i>	T2.1	–	0.92 (0.86, 0.98)	0.53 (0.41, 0.67)	28.7	2.8
<i>Picea abies</i>	T2.1	BB	0.82 (0.81, 0.83)	0.55 (0.54, 0.56)	48.4	9.8
<i>Pinus ponderosa</i>	T2.1	Fire	0.78 (0.76, 0.81)	0.63 (0.59, 0.67)	49.2	6.1
<i>Acer platanoides</i>	T2.2	–	0.59 (0.50, 0.68)	0.77 (0.56, 0.95)	31.3	6.6
<i>Fagus grandifolia</i>	T2.2	–	0.72 (0.71, 0.74)	0.54 (0.49, 0.58)	41.3	8.0
<i>Fagus sylvatica</i>	T2.2	–	0.44 (0.43, 0.46)	0.62 (0.60, 0.64)	55.2	12.8
<i>Nothofagus solandri</i>	T2-2	–	0.55 (0.52, 0.57)	0.77 (0.73, 0.80)	34.5	8.7
<i>Populus tremula</i>	T2.2	–	0.62 (0.60, 0.64)	0.62 (0.55, 0.69)	36.2	5.3
<i>Populus tremuloides</i>	T2.2	TC	0.60 (0.59, 0.61)	0.66 (0.62, 0.70)	34.5	6.2
<i>Julbernardia paniculata</i>	T4	Fire	0.53 (0.40, 0.64)	0.64 (0.58, 0.69)	22.4	12.7
<i>Vachellia seyal</i>	T4	Fire, HB	0.65 (0.62, 0.66)	0.82 (0.77, 0.86)	7.5	6.6
<i>Vachellia tortilis</i>	T4	Fire, HB	0.56 (0.51, 0.59)	0.66 (0.60, 0.72)	8.0	6.3
<i>Colophospermum mopane</i>	T4	Fire, HB	0.56 (0.52, 0.62)	0.63 (0.57, 0.68)	9.9	4.5
<i>Combretum collinum</i>	T4	Fire, HB	0.69 (0.58, 0.80)	0.89 (0.67, 1.07)	20.2	9.9
<i>Combretum molle</i>	T4	Fire, HB	0.76 (0.41, 1.13)	0.73 (0.59, 0.88)	18.8	11.3

\*Values in parentheses are 95% CI estimated using bootstrapping with 9,999 replicates. <sup>#</sup>Biomes: T1, tropical-subtropical forests biome; T2, temperate-boreal forests and woodlands biome; T3, shrublands and shrubby woodlands biome; T4, savannas and grasslands biome. Coding of Biome-EFGs is as in [Supplementary methods](#). <sup>§</sup>Disturbance agents: –, information not available; BB, bark beetles; TC, tent caterpillars; HB, herbivores. <sup>†</sup>Maximum height (in m) and crown radius (in m) represent the highest value in the dataset. <sup>‡</sup>Values in red represent biased estimates; downward bias due to data aggregation ([Supplementary Table 2](#)).

In a nutshell, the data does not support our hypothesis that the allometry exponents systematically vary with taxonomic levels, divergence time or climate zones. The data does not also support our hypothesis that trees adapted to different disturbance regimes have different allometry exponents. Although disturbance regimes are said to be critical in driving local to regional-scale variance in tree allometry relationships (Wenyan et al., 2022), the evidence seems to be weak. For example, Wenyan et al. (2022) found crown allometry exponents that conform to theoretical predictions in gap forest sites (created by cutting trees), and greater deviations from theoretical predictions in unmanaged forest sites. Indeed, their finding is contrary to the common notion that forests that have undergone disturbance deviate substantially from theoretical predictions.

Our initial hypothesis that hyper-emergent and short-statured tree species follow different allometric trajectories was also not supported. According to Osunkoya et al. (2007), H–D scaling in understory species follows a geometric similarity, while mid-canopy and emergent species follow stress–elasticity similarity. Close examination of their reports revealed that some of the heterogeneity in exponents is an artifacts of small sample sizes and inferences based on point estimates.

## Aboveground biomass vs. stem diameter or height

Despite the wide variability in sample size and sampling conditions, the A–D exponents did not significantly vary with climate zones. They were also closer to the theoretical values of 5/2 and 8/3 for A–D allometry and 3–4 for A–H allometry. This is consistent with Zianis and Mencuccini (2004) who noted that there is a general convergence of the scaling exponents despite the multitude of factors affecting tree growth in different sites. Allometry theory (Enquist et al., 1999; Enquist and Niklas, 2002; Niklas and Enquist, 2002) and empirical results (e.g., Kuyah et al., 2013; Sun et al., 2022) also predict the same exponent for the allometric relationships between the different biomass components (i.e., stem, branch, foliage and root) and D. For example, in our re-analysis of data from Kuyah et al. (2013), the exponent was 2.50 for stem biomass to D allometry, 2.68 for branch biomass to D allometry, 1.87 for foliage biomass to D allometry and 2.45 for root biomass to D allometry. Similarly, in Sun et al. (2022) the exponent was 2.176 for stem biomass to D, 2.334 for branch biomass to D, 1.578 for foliage biomass to D and 2.063 for root biomass to D allometry although

TABLE 6 Variations in the OLS and RMA estimates of the exponent ( $\beta_{OLS}$  and  $\beta_{RMA}$ ) of the allometry of belowground biomass with aboveground biomass (B–A) in trees, bananas and bamboos across different climate zones and biomes.

Climate zone	Species/Stand	$\beta_{OLS}^*$	$\beta_{RMA}^*$	Sample size	Source
Boreal/temperate	<i>Larix</i>	0.51 (0.39, 0.63) <sup>§</sup>	0.95 (0.72, 1.17)	53	1
Boreal/temperate	<i>Pinus</i>	1.02 (0.97, 1.09)	1.11 (1.05, 1.18)	173	1
Boreal/alpine	<i>Picea abies</i>	0.85 (0.77, 0.92)	1.03 (0.94, 1.12)	170	1
Temperate	Mixed coniferous	0.75 (0.68, 0.81)	0.77 (0.70, 0.83)	8	1
Temperate	Mixed deciduous	0.64 (0.60, 0.69)	0.82 (0.77, 0.88)	322	1
Subtropical	<i>Pinus</i>	0.92 (0.85, 0.98)	1.08 (1.00, 1.15)	212	1
Subtropical	<i>Cunninghamia</i>	0.93 (0.85, 0.99)	1.12 (1.03, 1.20)	150	1
Subtropical	<i>Cupressus</i>	0.77 (0.55, 0.99)	1.09 (0.78, 1.40)	28	1
Subtropical	Mixed coniferous	0.93 (0.88, 0.98)	1.11 (1.05, 1.17)	390	1
Subtropical	Mixed evergreen	1.04 (1.01, 1.04)	1.06 (1.03, 1.06)	366	1
Subtropical	Mixed	0.92 (0.55, 1.28)	1.08 (0.65, 1.50)	11	1
Subtropical	Mixed woodland	0.88 (0.73, 1.08)	0.96 (0.80, 1.18)	17	2
Subtropical	Mixed woodland	0.85 (0.79, 0.89)	0.86 (0.80, 0.91)	41	3
Tropical	<i>Eucalyptus</i>	0.98 (0.95, 1.02)	1.00 (0.96, 1.03)	48	4
Tropical	<i>Pseudostachyum</i>	1.00 (0.97, 1.03)	1.00 (0.97, 1.03)	68	5
Tropical	<i>Melocanna</i>	0.98 (0.95, 1.01)	0.99 (0.96, 1.02)	88	5
Tropical	<i>Schizostachyum</i>	1.30 (1.18, 1.40)	1.38 (1.26, 1.49)	72	5
Tropical	<i>Dendrocalamus</i>	1.22 (1.06, 1.36)	1.33 (1.15, 1.48)	55	5
Tropical	<i>Uapaca</i>	0.92 (0.88, 0.95)	1.00 (0.96, 1.04)	259	6
Tropical	<i>Musa</i>	0.79 (0.73, 0.84)	0.83 (0.77, 0.88)	158	7

1 = Cheng and Niklas, 2007; 2 = Handavu et al., 2021; 3 = Kachemba; 4 = Kuyah et al., 2013; 5 = Singnar et al., 2017; 6 = Sileshi et al., 2007; 7 = Laskar et al., 2020. \*Values in parentheses are 95% CIs. <sup>§</sup>Values in red represent biased estimates. The large discrepancy between OLS and RMA estimates indicates attenuation bias.

biomass allocation to stem, branch, foliage, and root biomass significantly differed. The exponent was also estimated at 2.196 for total woody biomass to  $D$  allometry, 2.235 for aboveground woody biomass to  $D$  allometry (Sun et al., 2022). The closeness of these figures indicate that the different biomass components follow the same scaling rules and allocation patterns.

Our earlier review (Sileshi, 2014) and the present analysis reveal that the exponents reported in the literature are biased downward partly due to the small sample sizes used in individual studies. Duncanson et al. (2015) showed that the use of small sample sizes in allometric equations can result in positive bias of  $\sim 70\%$  in the average site-level biomass estimates. In the past, the limiting factor has been the time and resources needed for destructive sampling of a large number of trees (Duncanson et al., 2015). With the increasing availability of portable ground-based LiDAR (Calders et al., 2015), data for large sample sizes can be acquired quickly, including samples of very large trees for which destructive sampling would be logistically impractical (Duncanson et al., 2015).

The power function has been reported to perform equally or even outperform other models in many situations (e.g., Sileshi, 2014; Sun et al., 2022; Wenyan et al., 2022). However, the debates over perceived variability of  $\beta$  has been discouraging practitioners from using simple power law models. As a result, empirical models of statistically dubious quality continue to proliferate the biomass estimation literature (Sileshi, 2014). Here we have shown that the variability in  $\beta$  is mostly an artifact of small sample sizes and sampling bias toward large trees. In forest inventories, it is a common practice to measure trees above a certain stem diameter, e.g.,  $D > 5$  cm or even  $> 10$  cm. Biomass estimation models developed using trees with  $D > 10$  cm tended to overestimate the mean diameter ( $\bar{X}$ ) and  $\ln(\alpha)$  resulting in underestimation of  $\beta$  (see Equation 4 below). Therefore, we strongly recommend destructive sampling of trees including smaller stem diameter (e.g., 2.5 cm) during the development of biomass estimation models. We also recommend sampling roughly equal number trees from each diameter classes to get a truly representative sample of the size-frequency distribution of the target population [see Kuyah et al. (2013)]. When these statistical problems are remedied, the power law model could provide a more convenient tool for predicting forest biomass and carbon stocks at different scales. A model based on a tested and established theory is more likely to be robust to new information than those purely based on observed patterns and correlations, which may prove unstable when new information emerges.

## Belowground biomass vs. aboveground biomass

Our analysis indicates that belowground biomass scales with aboveground biomass isometrically ( $\beta = 1$ ) regardless

of the context. This is consistent with allometric theory (Cheng and Niklas, 2007). This makes allometric models a more powerful tool than the traditional use of root-to-shoot ratios to predict belowground biomass. Root to shoot ratios often vary across biomes, vegetation types, taxonomic groups (Qi et al., 2019), growth stages and tree sizes (Peichl and Arain, 2007; Kuyah et al., 2013; Mašková and Herben, 2018). For example, a global analysis by Qi et al. (2019) found significantly higher root-to-shoot ratios in angiosperms than in gymnosperms. Similarly, Peichl and Arain (2007) and Mašková and Herben (2018) found decrease in root to shoot ratios with time and substrate nutrients. In contrast, a single allometric equation could predict total belowground biomass from aboveground biomass across the entire age-sequence (Peichl and Arain, 2007; Robinson and Peterkin, 2019).

Since there were not many datasets on changes in tree biomass allocation with taxonomic levels, disturbance regimes or stages of forest stand development, we were unable to investigate the B–A allometry in more detail. Biomass allocation also remains poorly documented in geoxyles, whose biomass is disproportionately found belowground. Geoxyles are plants with short-lived reproductive aerial branches and woody underground structures (xylopodia) (Maurin et al., 2014; Meller et al., 2022), and these are common in regions with frequent fires such as African savannas and the cerrado in Brazil (Maurin et al., 2014; Meller et al., 2022). Geoxyles may provide the next frontier of research in biomass allocation in biomes that experience frequent disturbance by fire.

## Sources of bias and spurious exponents

In the literature, counterintuitive values of  $\beta$  have been reported and in some cases such values have been used to challenge predictions of allometry theories. For example, the MST has been challenged by a number of forest ecologist [see Shenkin et al. (2020) for details] for not providing coherent explanations for the variability in  $\beta$ . In the following sections we show how (1) spurious values of  $\beta$  can arise as statistical artifacts; (2)  $\beta$  varies with  $\ln(\alpha)$ , and (3) the accuracy with which  $\beta$  is estimated depends on the sample size ( $N$ ), measurement errors, the representativeness of the sample available for analysis and the regression technique used.

The various analyses in this study and earlier studies (e.g., Zianis and Mencuccini, 2004; Ducey, 2012; Sileshi, 2014; Zhang et al., 2016) have demonstrated an inverse linear relationship between the exponent and the intercept. This points to some kind of trade-off between  $\ln(\alpha)$  and  $\beta$ . One possible explanation is the principle of optimality

in biological design (Popescu, 1998). Since natural selection leads to an economy of design, the parameter trade-off may be regarded as a manifestation of an attempt to achieve optimality. The observed co-variation between  $\ln(\alpha)$  and  $\beta$  is consistent with the power-law relationship in the logarithmic domain:

$$\ln(\alpha) = \bar{Y} - \bar{X}\beta \quad (3)$$

where  $\bar{X}$  is the mean of  $\log(X)$  and  $\bar{Y}$  is the mean of  $\log(Y)$ . From equation 3 it follows that

$$\beta = \frac{\bar{Y} - \ln(\alpha)}{\bar{X}} \quad (4)$$

This relationship implies that bias in  $\ln(\alpha)$  can result in biased estimates of  $\beta$ . For example, data in Figures 2, 3, 5 revealed that  $\beta$  values that are biased in one direction are associated with  $\ln(\alpha)$  values biased in the opposite direction. From Equation 4 it follows that an upward bias in  $\ln(\alpha)$  or  $\bar{X}$  will result in a downward bias in  $\beta$  and vice versa. Biases in  $\bar{X}$  typically arise from small sample sizes, sampling biases and measurement errors. For example, sampling bias toward large trees will result in an upward bias in  $\bar{X}$  and  $\ln(\alpha)$ , therefore a commensurate downward bias in  $\beta$ .

From Figures 5, 8 it is evident that  $\beta$  estimates tend to be biased either downward or upward when sample sizes are small. Our findings reinforce earlier reports that allometry parameters are highly sensitive to sample size (Duncanson et al., 2015). When  $N < 30$ , the 95% CI also tend to be very wide, and cover different theoretical values of  $\beta$  (see Table 3). This makes it difficult to distinguish between the different theoretical predictions. Our review of the published exponents indicates that site-specific biomass models were particularly based on small sample sizes. For example, over 75% of the A–D scaling exponents in the literature were estimated using  $N < 60$ , of which 43% were based on  $N < 30$  trees. Therefore, it is not surprising that the median value of the A–D exponents were smaller than the theoretical value of 8/3 (Figure 5). To achieve sufficient statistical power, we recommend the use of a minimum of 66 sample trees when estimating parameters of the power law model following the rule of thumb  $N > 50 + 8P$  proposed by Green (1991).

The accuracy with which  $\beta$  is estimated in OLS regression depends on the accuracy with which the  $X$  and  $Y$  variables were measured. Measurement errors cause a pervasive problem known as attenuation bias or regression dilution (Maroco, 2007; Hutcheon et al., 2010). OLS regression assumes that the  $X$ -variable is measured without error. In reality, tree dimensions such as  $D$  and  $H$  are measured with a great deal of error (see Supplementary methods). In the presence

of measurement errors in  $X$ , estimators of the correlation coefficient ( $r$ ) do not converge to their true population values ( $\rho$ ) even if the sample size is infinitely large. Accordingly,  $\beta$  will be biased downward because it is a function of the correlation coefficient ( $r$ ) between  $\log(X)$  and  $\log(Y)$ , their variances ( $V_Y$  and  $V_X$ ) and the covariance (i.e.,  $COV_{X,Y}$ ):

$$\beta = r\sqrt{\frac{V_Y}{V_X}} = r\frac{\sigma_Y}{\sigma_X} = \frac{COV_{X,Y}}{V_X} \quad (5)$$

where  $\sigma_D$  and  $\sigma_Y$  are the standard deviation of  $\log(X)$  and  $\log(Y)$ , respectively. Loken and Gelman (2017) showed that with large  $N$ , adding measurement error will almost always reduce the observed correlation ( $r$ ) between  $X$  and  $Y$ . As such,  $\beta$  becomes more precisely biased toward zero as sample sizes increase (Maroco, 2007). From equation 5 it also follows that the sign of  $\beta$  depends entirely on the sign of  $r$  or  $COV_{X,Y}$  since  $\sigma_X$ ,  $\sigma_Y$  and  $V_X$  are always positive. If the measurement errors in  $X$  are very large, the sign of  $r$  tends to be negative. This is probably why counterintuitive values of the exponent reported in the literature emerge.

The accuracy with which  $\beta$  is estimated also depends on the representativeness of the sample of the underlying population. *P. sylvestris* (Table 3), Australian savannas (Figure 4) and *E. regnans* (see Supplementary Table 2) provide vivid examples of how sampling bias result in biased estimates of allometry parameters.

The various analyses (Tables 3, 6 and Supplementary Table 3) show that different regression techniques can lead to entirely different conclusions about the size of  $\beta$  for the same dataset. These differences arise due to the differences in the way in which measurement errors and outliers are handled within the different regression techniques (see Supplementary methods). At the different scales of analysis, we have shown that the RMA estimates of  $\beta$  are consistently larger than the OLS estimates, while LMM estimates are usually smaller than OLS estimates (Tables 3, 6 and Supplementary Tables 2, 3, 5). Elsewhere RMA was also reported to overestimate the true regression slope (Kilmer and Rodríguez, 2017). This is due to the mathematical relationship between the OLS and the RMA estimators of  $\beta$ . For  $r \neq 0$ ,  $\beta_{RMA}$  is the ratio between the  $\beta_{OLS}$  and  $r$ :

$$\beta_{RMA} = \frac{|\beta_{OLS}|}{r} = \frac{SD_Y}{SD_X} = \sqrt{\frac{V_Y}{V_X}} \quad (6)$$

$\beta_{RMA}$  is also the ratio of  $SD_Y$  to  $SD_X$  (Sokal and Rohlf, 1995):

This implies that  $\beta_{RMA}$  will be biased upward if  $r$  is biased toward 0. Therefore, RMA can give a false impression of isometry when in reality the actual relationship is allometric.

Although LMMs provide a convenient framework to account for factors associated with site quality, climate, or

stand history, in our analyses it yielded  $\beta$  estimators that were biased downward (**Supplementary Table 5**). We have also noted this problem in earlier studies (e.g., Panzou et al., 2021). It must be noted that LMMs are sensitive to violations of various assumptions (Schielzeth et al., 2020) and imbalances in sample size between categories. Allometry parameters in LMM can be biased due to these violations, and therefore empirical estimates should not be taken at face value.

## Conclusion and recommendations

This study is the first of its kind in applying rigorous statistical tests on multiple allometries and visualizing the empirical distributions of parameters at different scales. The results show a striking similarity in allometry across taxonomic lineages, climate zones, biomes and disturbance regimes. Our main conclusions are: (1) the central tendency of the exponents is toward  $2/3$  for H-D, CR-D, CR-H, Cdep-D, and Cdep-CD allometry,  $5/2$ – $8/3$  for A-D allometry, and 1 for B-A allometry across the different scales; (2) the exponent of these allometries has remained relatively constant through evolutionary time; (3) the exponent and the intercept are inversely related; and (4) some of the discrepancy between empirical estimates of the exponent and its theoretical value arises from statistical artifacts. These findings have both theoretical and practical implications. From a theoretical perspective, the findings provide novel insights into the puzzling variability reported in the exponents, which has been the sources of debates over the universality of allometric scaling and the validity of macro-ecological theories. In some of the literature we reviewed, authors seem to have conflated statistical artifacts with violations of allometric relationships.

The practical implication is that the simple power law model could provide a more convenient tool for predicting forest biomass and carbon stocks at different scales because it is grounded in sound theory and supported by empirical results. There are not many long-term studies that predict productivity across plant communities over time or at various successional stages and locations. Therefore, the use of allometric models can provide a viable alternative for predicting productivity. The other practical implication of our findings is that the exponent can either be underestimated or overestimated when the sample sizes are small, measurements errors are large, sampling is biased, data are aggregated and the wrong regression technique is used. Therefore, we strongly recommend practitioners of allometry to pay particular attention to statistical artifacts, and interpret results cautiously when comparing empirical values with theoretical predictions. We also strongly recommend the use of larger sample sizes and samples that are representative of the size-frequency

distribution of the target population when testing hypothesis about allometric variation with climatic zones, biomes or disturbance regimes.

## Data availability statement

The original contributions presented in this study are included in the article/**Supplementary material**, further inquiries can be directed to the corresponding author.

## Author contributions

All authors listed have made a substantial, direct, and intellectual contribution to the work, and approved it for publication.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/ffgc.2022.1084480/full#supplementary-material>



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