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### Metrics, scales, and correlates of intraspecific variation in hypoxia tolerance in fishes

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The extent of aquatic hypoxia (low dissolved oxygen) has increased in recent times and now threatens aquatic habitats worldwide. Here, we review intraspecific variation in hypoxia tolerance in fish on various scales: geographic variation among populations; variation among genetic strains; variation due to laboratory acclimation; and variation among individuals coming from a given population and having similar exposure history. Metrics of hypoxia tolerance include the oxygen level below which the costs of maintenance can no longer be met by oxygen uptake from the environment; the time or oxygen level at which aquatic surface respiration is conducted; and the time or oxygen levels at which fish lose the ability for coordinated movement. There is great diversity in the range of intraspecific hypoxia tolerance and its association with potential underlying morphological, physiological, and genetic correlates. We advocate for greater standardization of experimental design, enhanced data reporting, and development and validation of new metrics of hypoxia tolerance. With greater understanding of intraspecific variation in hypoxia tolerance, it might be possible to predict which populations, strains, or individuals will fare better during hypoxic episodes; mitigate the effects of low oxygen on fishes; and design breeding protocols to enhance the hypoxia tolerance of fishes.

#### KEYWORDS

oxygen, teleost, acclimation, interindividual variation, population variation,  $\mathsf{P}_{\mathsf{crit}}$ , loss of equilibrium, aquatic surface respiration

### **1** Introduction

Low dissolved oxygen concentrations (hypoxia) occur naturally in many aquatic habitats due to the limited solubility of oxygen in water, high rates of biological oxygen consumption, and poor mixing of certain water bodies (1-3). However, the severity, geographic scope, and duration of aquatic hypoxia have increased over the last several decades due to human activities (4-7). At present, aquatic hypoxia poses a significant threat to marine and freshwater ecosystems.

The ray-finned fishes (Actinopterygii) occur in habitats with a wide range of oxygen concentrations (8) and have evolved various means to cope with hypoxic conditions. In environments with chronically low oxygen, some fishes have evolved air breathing mechanisms that allow them to extract oxygen directly from the atmosphere (9). Most fishes, however, rely upon water as their respiratory medium, and aquatic hypoxia poses a challenge to fueling aerobic metabolism in support of activities essential for survival (10, 11). Consequently, fishes rely upon a suite of behavioral, anatomical, physiological, and biochemical strategies when confronted with aquatic hypoxia (12–14). The extent to which different species employ these strategies is influenced by phylogeny, life history, and habitat, resulting in considerable, and well-documented, variation in hypoxia tolerance among fish species (12, 15–17).

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There is also considerable variation in hypoxia tolerance within a given fish species. Intraspecific variation in hypoxia tolerance may contribute to the differential survival of certain populations, genetic strains, or individuals in the face of worsening aquatic hypoxia. As such, intraspecific variation provides the raw material for natural selection to act upon, potentially enabling evolutionary adaptation to low oxygen over time (18–20). Thus, it is critical to understand the extent of intraspecific variation in hypoxia tolerance, the underlying morphological, physiological, and biochemical bases for this variation, and the extent to which that variation is heritable.

Here, we review intraspecific variation in hypoxia tolerance in ray-finned fishes. We describe common metrics of hypoxia tolerance and highlight examples demonstrating that these metrics vary at multiple scales of intraspecific comparison, ranging from populations to individuals. To better understand the mechanisms accounting for this intraspecific variation, we explore the anatomical and physiological correlates of this variation, as well as discuss evidence for its genetic basis. We conclude by considering reasons for the diversity in the responses of the hypoxia tolerance metrics and their putative underlying correlates and suggesting avenues for greater understanding into this important issue.

### 2 Metrics of hypoxia tolerance

### 2.1 Oxygen-dependence of aerobic metabolism

Because the capacity to tolerate hypoxia reflects the integration of behavior, anatomy, physiology, and biochemistry, there are myriad ways to quantify hypoxia tolerance of fishes. These metrics are usually framed in the context of the fish's oxygen uptake ( $\dot{M}_{\rm O2}$ ) from the environment (Figure 1). In this idealized representation, the minimum  $\dot{M}_{O2}$  at a given ambient temperature is the standard oxygen uptake ( $\dot{M}_{\rm O2, \ standard}$ ), which is the  $\dot{M}_{\rm O2}$  required to aerobically fuel the costs of maintenance, without the added costs of activity, growth, or digestion [Figure 1A, solid line; (21)]. The upper limit of  $M_{O2}$  is the maximum oxygen uptake ( $M_{O2, max}$ ), and it may be elicited during intense locomotor activity, digestion, after an exhaustive chase, or some combination of these [Figure 1A, dashed line; (22, 23)]. The difference between  $\dot{M}_{O2, max}$  and  $\dot{M}_{O2, standard}$ is the aerobic scope for activity (24, 25), or simply aerobic scope (AS). Several studies report routine oxygen uptake ( $\dot{M}_{O2, routine}$ ), which is typically measured on an undisturbed, post-absorptive fish, but which includes some undefined level of spontaneous activity. Values for  $\dot{M}_{O2, \text{ routine}}$ , therefore, fall between  $\dot{M}_{O2, \text{ standard}}$ and  $M_{O2, max}$ , usually closer to the former.

As ambient oxygen decreases from the air-saturated value, fish maintain relatively stable  $\dot{M}_{O2}$  across a range of oxygen levels that depends upon the intensity of aerobic metabolism. This pattern of oxygen regulation is widespread among fishes, while oxygen conformity (i.e., a linear decline in  $\dot{M}_{O2}$  as a function of ambient oxygen) is rare (26). Because  $\dot{M}_{O2, \text{max}}$  is much higher than  $\dot{M}_{O2, \text{standard}}$ , however, it becomes limited at higher levels of ambient oxygen than  $\dot{M}_{O2, \text{standard}}$ . When  $\dot{M}_{O2, \text{max}}$  is limited by oxygen but  $\dot{M}_{O2, \text{standard}}$  is not, AS progressively declines as oxygen decreases. Upon further reduction of oxygen, a point is reached when  $\dot{M}_{O2, \text{standard}}$  can no longer be sustained. Below this point, the fish's oxygen uptake decreases and it relies increasingly on



FIGURE 1

Schematic of fish responses to decreasing ambient oxygen. (A) General patterns of oxygen uptake by fish. The solid line represents the oxygen uptake required for maintenance ( $\dot{M}_{O2, \text{ standard}}$ ) and the dashed line represents the maximum oxygen uptake ( $\dot{M}_{O2, max}$ ). Both  $\dot{M}_{O2, \text{ standard}}$  and  $\dot{M}_{O2, \text{ max}}$  are independent of ambient oxygen levels near fully air-saturated values (blue shading). As ambient oxygen decreases,  $\dot{M}_{O2, max}$  becomes limited, but  $\dot{M}_{O2, standard}$  is unaffected, thus compressing the capacity to increase oxygen uptake over maintenance levels, i.e., decreasing aerobic scope (yellow shading). With further reduction in oxygen levels,  $\dot{M}_{O2, \text{ standard}}$  cannot be maintained. Over a range of low oxygen, fish can survive, at least temporarily, by a reduction in metabolic rate, an increase in anaerobic ATP production, or both (orange shading). Below this level, survival decreases rapidly (red shading). (B) Metrics of hypoxia tolerance. The critical oxygen tension (Pcrit) corresponds to the oxygen level that limits  $\dot{M}_{O2}$  standard. Near or below this level, some species will conduct aquatic surface respiration (ASR) to ventilate their gills with better oxygenated water at the surface. A more severe reduction in oxygen leads to the loss of equilibrium (LOE), which results in mortality unless fish are returned to well-oxygenated conditions.

anaerobic metabolism, reduces its metabolic rate, or both (13). Because neither strategy can be sustained indefinitely, increasing time at these levels or further reduction in oxygen ultimately results in mortality.

### 2.2 Critical oxygen tension (P<sub>crit</sub>)

Perhaps the most common metric of the hypoxia tolerance of fish is the oxygen level below which  $\dot{M}_{O2, \text{ standard}}$  cannot be sustained (Figure 1B). When measured as a partial pressure (P<sub>O2</sub>), this is the critical oxygen tension (P<sub>crit</sub>); when measured as a percentage of the air-saturated value, it is called the critical saturation [S<sub>crit</sub>; (27–30)]. Although there may not be universal agreement of how to estimate P<sub>crit</sub> from a record of decreasing  $\dot{M}_{O2}$ vs. oxygen (31), and some researchers question its biological utility (32), P<sub>crit</sub> is still considered to be an ecologically and physiologically meaningful reflection of the fish's capacity to extract oxygen from its environment (33). In addition, it has been tabulated for more species than other metrics of hypoxia tolerance (17, 34).

### 2.3 Aquatic surface respiration (ASR)

Many fish use aquatic surface respiration (ASR) to ventilate their gills with the oxygen-rich surface layer when oxygen levels

decrease in the bulk of the water column [Figure 1B; (12, 35)]. ASR is widespread among fishes, and it increases the survival of fish at low oxygen (35). However, this behavior is associated with an increased risk of predation, especially by aerial predators, and there may also be a metabolic cost to maintaining position near the surface (36, 37). Therefore, ASR is not common at high or intermediate levels of oxygen, but it increases dramatically as oxygen levels go below Pcrit. When individual fish are exposed to controlled reductions in ambient oxygen, ASR can be quantified either as the PO2 when this behavior is first observed or as the elapsed time before it occurs (38). When groups of fish are assessed, ASR has been quantified as the oxygen level corresponding to a certain proportion of individuals engaging in ASR or as the proportion of time spent by a focal individual conducting ASR. For example, ASR<sub>50</sub> could be the oxygen level when 50% of the group is engaged in ASR or the oxygen level when 50% of the time is spent in ASR (12).

### 2.4 Loss of equilibrium (LOE)

As oxygen is further lowered, fish lose their capacity for coordinated movement and lose their ability to right themselves. This point is termed loss of equilibrium (LOE; Figure 1B). Because this failure would almost certainly result in mortality in the field, it has also been referred to as the incipient lethal oxygen level (ILOL; or ILOS or ILOC for incipient lethal oxygen saturation or oxygen concentration, respectively). LOE can be quantified as an oxygen level (PO2, percent saturation, or concentration) or as a time to onset in a reproducible hypoxia challenge (38, 39). Although LOE would essentially equal death in an ecological setting, in the laboratory, fish usually recover completely if immediately transferred to water having higher oxygen levels. Because of this, LOE has largely replaced mortality as an experimental endpoint due to concerns for animal care and welfare. Importantly, Claireaux et al. (39) showed that fish with longer times to LOE during laboratory exposures enjoyed greater survival than fish with shorter times to LOE when subsequently maintained in semi-natural outdoor enclosures, arguing for a link between this metric of hypoxia tolerance and fitness.

### 2.5 Other metrics of hypoxia tolerance

The metrics above are point measurements that show how fish respond when oxygen levels drop to or below the minimum needed for maintenance metabolism. Because AS reflects the aerobic costs of various fitness-related activities (10), it is possible that any reduction in oxygen level that compresses AS could have negative impacts. Hence, an alternative measure of hypoxia tolerance is the curve that describes the decrease in  $\dot{M}_{O2, \text{max}}$  as a function of decreasing oxygen, or the limiting oxygen level curve (40–42), recently reformulated as the hypoxia performance curve (43, 44). To determine this curve, fish are induced to reach  $\dot{M}_{O2, \text{max}}$ , generally by motivating them to increase locomotor activity, at several oxygen levels between fully air-saturated conditions and P<sub>crit</sub>. If the fish's  $\dot{M}_{O2, \text{ standard}}$  is also known, then one can determine

AS and plot it as a function of oxygen. This function can be used to determine a point estimate of hypoxia tolerance, for example the oxygen level that compressed AS by 50% [P<sub>AAS-50</sub>; (43, 44)]. This approach is arguably more integrative and perhaps more ecologically relevant given the ranges across which oxygen levels vary in nature. However, it is time and resource intensive, requiring the determination of  $\dot{M}_{O2}$  of active animals at a variety of oxygen levels. Nevertheless, recent developments in respirometry (45, 46) increase the feasibility of this approach.

Another recently proposed metric is the oxygen supply capacity [ $\alpha$ ; (47, 48)]. This approach posits that the relationship between  $\dot{M}_{O2, max}$  and oxygen level is linear and that the slope,  $\alpha$ , reflects the maximum capacity for oxygen extraction from the environment by the fish. If the relationship is linear and goes through the origin, then  $\alpha$  can be estimated at any oxygen level as  $\dot{M}_{O2}/P_{O2}$  as long as the fish is near or at its  $\dot{M}_{O2, max}$ . According to this proposal,  $P_{crit}$  is simply one point on this line (the  $P_{O2}$  when  $\dot{M}_{O2, max} = \dot{M}_{O2, standard}$ ), and therefore  $\alpha = \dot{M}_{O2, standard}/P_{crit}$ . Although the condition that the relationship between  $\dot{M}_{O2, max}$  and oxygen level describes a straight line may apply to some species (48, 49), both theoretical considerations and empirical studies on other species suggest that these conditions do not apply universally (50). Hence, its utility as a broadly applicable metric of hypoxia tolerance requires further validation (51).

Other measures of hypoxia tolerance have been proposed, such as the regulation index [RI; (32, 52)]. However, P<sub>crit</sub> and oxygen or time thresholds for ASR and LOE are the most regularly reported measures of hypoxia tolerance and will be the focus of this review.

### **3** Purposes and approaches

The purpose of this review was to address intraspecific variation in the hypoxia tolerance of ray-finned fishes, specifically as determined by Pcrit, ASR, or LOE. We searched Web of Science and Google Scholar using the following terms: (hypoxia or hypoxia tolerance) AND (intraspecific variation, interindividual variation, population variation, or acclimation) AND (fish or fishes). Abstracts were reviewed to ensure that the publications dealt with ray-finned fishes (not "shellfish" or cartilaginous fishes) and included quantitative data on one or more of the three metrics of hypoxia tolerance considered here. Additional references were obtained by examining the literature cited sections of these publications, as well as by searching studies that had subsequently cited them. Additional studies relevant to Section 8 (Genetic Basis of Intraspecific Variation in Hypoxia Tolerance) were recovered by adding the search term (heritability or selection or fitness or GWAS). Although it is likely that some relevant studies were missed by these search strategies, we suggest that those included here are representative of the general patterns of intraspecific variation in hypoxia tolerance among fishes.

The term "intraspecific" includes many scales of variation, which we have summarized in four categories (Figure 2): variation among geographic locations that differ in oxygen availability or other abiotic characteristics; variation among genetic strains, for example, of commercially important aquaculture species; variation arising from laboratory acclimation to low oxygen; and variation in hypoxia tolerance among individuals from a given population



and held under similar conditions, sometimes referred to as interindividual variation. Some publications included data relevant to multiples scales, for example, comparing how fish from different populations respond to laboratory acclimation or how genetic strains might show similar or divergent patterns of interindividual variation. Tables 1–4 present the publications reviewed here according to these four scales of intraspecific variation.

Within each scale of variation, we highlight the links between variation in hypoxia tolerance metrics and potential underlying traits. These traits fall into two broad categories: those which ought to improve the fish's capacity to extract oxygen from the environment and deliver it to the tissues (e.g., gill morphology; heart size and performance; blood oxygen carrying capacity) and those that improve the tolerance of fish to the lack of oxygen [e.g., reduced aerobic metabolism; increased capacity for anaerobic metabolism; (14)]. For studies of population, strain, and acclimation effects, the link between a particular metric of hypoxia tolerance and these traits is typically inferred from concordant changes in mean values TABLE 1 Studies of geographic variation in hypoxia tolerance of fishes.

Species	Metric(s)	Comparison	Reference
Enteromius neumayeri	ASR, LOE	Swamp vs. stream and river habitats	(53)
Pseudocrenilabrus multicolor	P <sub>crit</sub>	Swamp vs. lake	(54)
Poecilia latipinna	P <sub>crit</sub>	Salt marsh vs. river	(55)
Fundulus heteroclitus	P <sub>crit</sub> , LOE	Latitudinal variation	(56)
Fundulus heteroclitus	LOE	Latitudinal variation	(57)
Fundulus heteroclitus	LOE	Freshwater and brackish habitats	(58)
Gasterosteus aculeatus	LOE, P <sub>crit</sub>	Lakes with winter ice cover vs. lakes without winter ice cover	(59)
Oreochromis niloticus	ASR	Wetlands and open-water habitat	(60)
Fundulus grandis	P <sub>crit</sub>	Brackish habitats differing in hypoxia	(61)
Fundulus grandis	P <sub>crit</sub>	Habitats with different diurnal and seasonal hypoxia	(62)

TABLE 2 Studies of genetic strain or family variation in hypoxia tolerance of fishes.

Species	Metric(s)	Comparison	Reference
Poecilia reticulata	ASR	Lab-raised from pond vs. stream stocks	(36)
Salmo salar	LOE	41 families	(63)
Oncorhynchus mykiss	LOE	Fast-growing vs. slow-growing strains	(64)
Oncorhynchus mykiss	LOE, P <sub>crit</sub>	Hatchery-reared diploid and triploid wild-caught and domestic strains	(65)
Oncorhynchus mykiss	LOE, P <sub>crit</sub>	Three strains	(66)
Oncorhynchus mykiss	LOE	Five strains	(67)
Megalobrama amblycephala	LOE	Hypoxia sensitive and tolerant strains	(68)
Oreochromis mossambicus x hornorum	P <sub>crit</sub>	Growth hormone transgenic vs. wildtype hybrids	(69)

among groups. For studies of interindividual variation, these links are further supported by statistical correlations between trait values measured in many individuals. Thus, one caveat to these analyses is that they demonstrate correlations, rather than causal, mechanistic relationships between hypoxia tolerance and these traits. Furthermore, there are instances when these relationships are not observed, and we provide counter examples, where available.

Moreover, except for selected examples, we do not address the topic of cross-tolerance, i.e., where the exposure to one abiotic

Species	Metric(s)	% air-saturation <sup>a</sup>	Duration	Temp	Salinity	Reference
Poecilia reticulata	ASR	8%	13 d	24-26°C	FW	(36)
Dicentrarchus labrax	P <sub>crit</sub> , LOE	50%	6 weeks	16°C	SW	(44)
Poecilia latipinna	P <sub>crit</sub> , ASR	13%	6 weeks	25°C	10 ppt	(55)
Fundulus heteroclitus	LOE	"normoxia"	6 weeks	15, 20, 23, 30°C	20 ppt	(56)
Fundulus grandis	P <sub>crit</sub>	10%	4 weeks	25°C	10 ppt	(62)
Carassius carassius	P <sub>crit</sub>	6%	1 week	8°C	FW	(70)
Poecilia latipinna	P <sub>crit</sub> , ASR	13%	1-5 weeks	25°C	10 ppt	(71)
Carassius auratus	P <sub>crit</sub>	3%	48 h	12°C	FW	(72)
Fundulus heteroclitus	P <sub>crit</sub> , LOE	10 and 25% <sup>b</sup>	1-4 weeks	21°C	4 ppt	(73)
Maccullochella peelii	P <sub>crit</sub> , LOE	33-50%	30 d	20, 24, 28°C	FW	(74)
Oreochromis niloticus	P <sub>crit</sub>	10%	2–24 h	27°C	FW	(75)
Danio rerio	ASR	20-30%	1 week	28.5°C	FW	(76)
Fundulus grandis	ASR	25%	4 weeks	20-23°C	9–12 ppt	(77)
Salmo salar, Salvelinus alpinus	LOE	63-67% <sup>b</sup>	4 weeks	8, 5° C	FW	(78)
Silurus meridionalis	P <sub>crit</sub> , ASR, LOE	36% <sup>b</sup>	15 d	25°C	FW	(79)
Oreochromis niloticus	LOE	55-60%	15 weeks	28, 32, 36°C	FW	(80)
Amazonian fishes	LOE	"normoxia"	10 d and 4 weeks	31, 33, 35°C	FW	(81)
Lepomis gibbosus, L. macrochirus, and hybrid	P <sub>crit</sub> , LOE	"normoxia"	4 weeks	12-15°C	FW	(82)
Petrocephalus degeni	P <sub>crit</sub>	56-86%	75 d	20-26°C	FW	(83)

TABLE 3 Studies of the effects of laboratory acclimation on hypoxia tolerance of fishes.

<sup>a</sup>The percent air-saturation was determined from the concentration or partial pressure of oxygen using the temperature and salinity reported in the study.

<sup>b</sup>Daily cycles of normoxia and hypoxia (air-saturation of the hypoxic phase is shown).

or biotic stressor improves the tolerance to another one (93). The interactive effects of low oxygen and high temperature are particularly relevant given current trends in global climate, and they have been reviewed elsewhere (94). Finally, we do not consider variation that might arise during development or ontogeny (95, 96), nor that due to exposure of previous generations to low oxygen (97).

## 4 Geographic variation in hypoxia tolerance

Evidence for geographic variation in hypoxia tolerance in fish comes from the work by Chapman and her colleagues on the respiratory ecology of African fishes. Olowo and Chapman (53) showed that Neumayer's barb (*Enteromius neumayeri*, formerly *Barbus neumayeri*) from oxygen-poor papyrus swamps initiated ASR at lower oxygen levels and displayed a lower frequency of LOE than conspecifics from nearby well-oxygenated streams and rivers. Chapman and Liem (98) had previously shown that barbs from swamps have larger gills, which could contribute to these differences. Chapman et al. (54) reported that swamp-dwelling Egyptian mouth brooder (*Pseudocrenilabrus multicolor*) had lower  $P_{crit}$  than lake-dwelling fish, a difference that was associated with greater gill surface area in fish from swamps. Similarly, sailfin molly (*Poecilia latipinna*) sampled from a periodically hypoxic salt marsh had lower  $P_{crit}$ , longer gill filaments, and larger total gill surface area than fish from a normoxic river (55).

Mummichog, Fundulus heteroclitus, sampled from populations along the Atlantic coast of North America vary in hypoxia tolerance. When held at a common temperature, fish from a southern population have significantly lower P<sub>crit</sub> and longer times to LOE than fish from a northern population (56). Both observations suggest that southern fish are more hypoxia tolerant, consistent with the expectation of greater frequency of aquatic hypoxia in the warmer waters inhabited by southern populations. Southern fish have lower  $\dot{M}_{O2, \text{ routine}}$ , which could improve their tolerance under oxygen-limiting conditions. However, southern fish were found to have smaller gill surface areas, which is opposite of the expectation that larger gills contribute to greater hypoxia tolerance (56). Healy et al. (57) demonstrated that the difference in time to LOE between northern and southern mummichog populations was seen across a much narrower latitudinal gradient, from northern to southern New Jersey, coinciding with a steep cline in mitochondrial DNA haplotype. In addition, mummichogs sampled along a salinity gradient demonstrated differences in time to LOE, with fish from brackish water having longer times to LOE than fish from freshwater (58). This difference is consistent with the lower oxygen solubility in brackish water and the expectation of a higher incidence of hypoxia compared to freshwater habitats.

Hypoxia also occurs in high latitude lakes during winter when ice coverage restricts diffusion of atmospheric oxygen. Regan et al. (59) showed that three-spine stickleback (*Gasterosteus aculeatus*) TABLE 4 Studies of interindividual variation in hypoxia tolerance of fishes.

Species	Metric(s)	Relationship(s) examined	Reference
Poecilia reticulata	ASR	body mass	(36)
Fundulus grandis	LOE, ASR	body mass; condition factor; gill morphology; heart mass	(38)
Poecilia latipinna	P <sub>crit</sub>	body mass	(55)
Fundulus heteroclitus	P <sub>crit</sub>	body mass	(56)
Fundulus heteroclitus	LOE	$M_{ m O2,\ routine}$	(58)
Lates niloticus, Pseudocrenilabrus multicolor victoriae, Oreochromis niloticus	ASR	body mass	(60)
Fundulus grandis	P <sub>crit</sub>	body mass	(61)
Fundulus grandis	P <sub>crit</sub>	body mass; $M_{ m O2, \ standard}$	(62)
Salmo salar	LOE	body mass	(63)
Oncorhynchus mykiss	LOE	body mass; $M_{ m O2,\ standard}$ ; heart mass and $M_{ m O2}$	(64)
Oncorhynchus mykiss	LOE	body mass	(65)
Oncorhynchus mykiss	P <sub>crit</sub>	M <sub>O2</sub> , standard	(66)
Oncorhynchus mykiss	LOE	body mass	(67)
Fundulus heteroclitus	P <sub>crit</sub> , LOE	body mass	(73)
Fundulus grandis	ASR	body mass	(77)
Petrocephalus degeni	P <sub>crit</sub>	body mass	(83)
Astronotus ocellatus	ASR	body mass	(84)
Piaractus mesopotamicus	P <sub>crit</sub>	body mass; $M_{ m O2, \ standard}$	(85)
Sciaenops ocellatus	P <sub>crit</sub>	body mass	(86)
Astronotus ocellatus	LOE	body mass; tissue LDH activity	(87)
Morone saxatilis	O <sub>2</sub> deficit <sup>a</sup>	hematocrit and hemoglobin concentration	(88)
Pimephales promelas	LOE	body mass	(89)
Carassius auratus, Cyprinus carpio, Sinibarbus sinensis	P <sub>crit</sub> , LOE	$M_{ m O2,\ routine}$	(90)
Dicentrarchus labrax	LOE	force generation by heart ventricular strips	(91)
Morone saxatilis	O <sub>2</sub> deficit <sup>a</sup>	body mass; $M_{\rm O2,\ routine}$	(92)

<sup>a</sup>The cumulative oxygen deficit incorporates both the time until and oxygen level at LOE.

from a lake that ices over in the winter had significantly longer times to LOE compared to fish sampled from a lake that is not covered by ice. This difference was attributed to a greater capacity for metabolic rate reduction rather than a difference in oxygen extraction, as  $P_{\rm crit}$  did not differ between populations.

These examples of geographic variation in hypoxia tolerance are balanced by other examples showing limited or no variation. Reid et al. (60) found no difference in the frequency of ASR in Nile tilapia (*Oreochromis niloticus*) from hypoxia-prone wetlands compared to an open-water lake habitat. In Gulf killifish (*Fundulus grandis*) sampled from wetlands along the northern Gulf of Mexico that experience differing degrees of hypoxia, Everett and Crawford (61) reported differences in  $\dot{M}_{O2}$  under hypoxia, but not in P<sub>crit</sub>. Reemeyer and Rees (62) also failed to find differences in P<sub>crit</sub> in Gulf killifish sampled from areas within a single estuary having differing seasonal oxygen profiles. Clearly, the extent to which hypoxia tolerance varies geographically will be influenced by the degree to which sites are spatially and physico-chemically distinct and the rates of gene flow between them.

# 5 Family and strain dependent variation in hypoxia tolerance

Geographic variation in hypoxia tolerance can arise from genetic differences, long-lasting effects of previous exposure to differing environments, or some combination of these effects. A genetic basis is supported by observations that hypoxia tolerance differs among families or genetic strains within a species, even when reared under identical conditions. In early studies of ASR, Kramer and Mehegan (36) noted that laboratory-reared guppies (Poecilia reticulata) originating from a heavily vegetated shallow pond engaged in ASR less frequently during severe hypoxia than guppies originating from a well-oxygenated stream, suggesting that the former were more tolerant of hypoxia. In salmonids, Anttila et al. (63) demonstrated differences in time to LOE among families of Atlantic salmon (Salmo salar), and Roze et al. (64) showed that a fast-growing strain of rainbow trout (Oncorhynchus mykiss) had longer time to LOE and a lower oxygen saturation at LOE than a slow-growing strain. In Roze et al. (64), the difference in hypoxia tolerance between strains could be attributed, at least in part, to larger body size in the fast-growing strain (see Section 7.1, below). Scott et al. (65) also found an effect of strain on the time to LOE in rainbow trout. This effect was observed after correction for differences in body mass, but there was a strong annual effect on which strain proved most tolerant or even whether strains differed at all. Moreover, in a year when strains differed in time to LOE, they did not vary in  $P_{crit}$  (65). On the other hand, Zhang et al. (66) found that the Pcrit and the oxygen saturation at LOE showed significant and concordant variation among three strains of rainbow trout. Within each strain, Pcrit was positively correlated with  $M_{O2, standard}$ , supporting the view that fish with lower aerobic maintenance costs can endure greater reduction in ambient oxygen levels (see Section 7.2). Strowbridge et al. (67) further showed that differences in the oxygen saturation at LOE among rainbow trout strains, although small in magnitude, were consistent between fry and yearling life stages. Genetic strains of blunt snout bream (Megalobrama amblycephala) show consistent differences in the oxygen saturation at LOE across a temperature range of 10 to 30°C (68). These differences were associated with differences in gill morphology, red blood cell number and hemoglobin concentration, and polymorphism in a gene involved in oxygen sensing (see Section 8.3). A counter example to the observation of strain differences in hypoxia tolerance is that growth-hormone transgenic and wildtype hybrid tilapia (Oreochromis mossambicus x hornorum) have similar P<sub>crit</sub>, even though the transgenic strain displayed higher resting  $\dot{M}_{O2}$ , presumably due to a higher aerobic cost of growth (69).

### 6 Acclimation of hypoxia tolerance

### 6.1 Acclimation effects on Pcrit

While the observation of population, family, and strain differences in metrics of hypoxia tolerance suggest these traits have genetic bases, acclimation studies have demonstrated considerable phenotypic plasticity in each metric of hypoxia tolerance. Laboratory exposure to low oxygen brings about a decrease in Pcrit in crucian carp [Carassius carassius; (70)], sailfin mollies (55, 71), goldfish (Carassius auratus; 78), mummichog (73), Gulf killifish (62), and European seabass (Dicentrarchus labrax; 44). Although most acclimation studies are on the order of weeks in duration, the change in P<sub>crit</sub> in goldfish was observed after only 2 days of exposure to low oxygen (72). A change in P<sub>crit</sub>, however, is not a universal response to low oxygen acclimation: Pcrit was not altered by acclimation to hypoxia in Murray cod (*Maccullochella peelii*; 80) or Nile tilapia (75), although the former study used a relatively moderate hypoxia treatment (50% air-saturation), and the latter study was short in duration (24 h).

There are fewer studies on the effects of low oxygen acclimation on ASR and LOE, but like studies of  $P_{crit}$ , they provide some support for phenotypic plasticity in these metrics. In an early study of hypoxia acclimation in the mummichog, Greaney et al. (99) noted that "hypoxic fish attempted to 'breathe' at the surface", but that after 3 weeks of hypoxia, fish "no longer remained at the surface". Although the authors did not expressly identify this behavior as ASR, it strongly suggests that acclimation to hypoxia reduces the frequency of ASR. Kramer and Mehegan (36) noted that guppies spent less time conducting ASR within days of beginning an experimental exposure to low oxygen. This effect differed in extent between sexes, with a more dramatic decrease in the frequency of ASR by males than females. In the closely related sailfin molly, the frequency of ASR decreased as the duration of hypoxic acclimation increased from 1 to 5 weeks, also showing a steeper decline in males than females (71). Acclimation to hypoxia leads to a reduction in the cumulative time that adult zebrafish spend conducting ASR, as well as a potential decrease in the threshold PO2 for the onset of ASR (76). In contrast, acclimation to hypoxia for 4 weeks was not associated with a change in frequency of ASR by Gulf killifish, even though there was evidence of acclimatization to summer hypoxia in the field (77).

With respect to LOE, acclimation of mummichog to 1 to 4 weeks of continuous hypoxia lowered the  $P_{O2}$  prior to LOE compared to normoxic controls (73). In Murray cod, hypoxic acclimation also resulted in a decrease in the oxygen level at LOE, with the extent of this reduction depending upon the duration and temperature of hypoxic treatments (74). Similarly, hypoxic acclimation led to lower  $P_{O2}$  at LOE in European seabass (44).

#### 6.3 Acclimation to daily cycles of hypoxia

The above results were measured after acclimation to constant hypoxia in the laboratory. In nature, oxygen levels go through pronounced diurnal cycles in many habitats, with the lowest oxygen levels occurring at night. Consequently, laboratory exposures to cycles of nighttime hypoxia and daytime normoxia are more realistic of natural conditions in these habitats. When Chinese large-mouth catfish (Silurus meridionalis) were acclimated to daily cycles of hypoxia, Pcrit, ASR, and LOE all improved (i.e., occurred at lower oxygen levels), compared to fish held in continuous normoxia (79). When Atlantic salmon (Salmo salar) were acclimated to daily cycles of hypoxia, the time to LOE increased, whereas the response of Arctic char (Salvelinus alpinus) depended upon acclimation temperature (78). In the mummichog, the effects of acclimation to cycling hypoxia on P<sub>crit</sub> and LOE were less pronounced than during acclimation to constant hypoxia (73). Depending upon the severity and duration of intermittent hypoxia, values for P<sub>crit</sub> and the PO2 at LOE did not differ from normoxic controls, suggesting that constant and cycling hypoxia elicit distinct physiological responses (73).

### 6.4 Effects of thermal acclimation on hypoxia tolerance

Considering that fish from populations experiencing different thermal environments differ in their hypoxia tolerance (62, 63; Section 4), it is relevant to briefly consider the effects of thermal acclimation on metrics of hypoxia tolerance. Acclimation of mummichog to warm temperatures led to increased hypoxia tolerance, as reflected by longer time to LOE compared to their cool-acclimated counterparts (56), which parallels the greater hypoxia tolerance of fish from southern populations (56, 57). The beneficial effects of warm acclimation are likely to be limited to moderate elevations in temperature, however, because acclimation to temperatures higher than those optimal for growth and survival decreases hypoxia tolerance (shorter times LOE) in Nile tilapia (80) and a variety of Amazonian fishes (81). For a more extensive discussion of the interaction between thermal acclimation and hypoxia tolerance, see Earhart et al. (94).

### 6.5 Acclimation effects on gill morphology

The reduction in P<sub>crit</sub> in hypoxia-acclimated crucian carp is associated with a dramatic increase in the length and area of gill lamellae available for gas exchange (70). This change is rapid, reversible, and arises from a decrease in the abundance of cells between neighboring lamellae rather than new growth of lamella (70). This interlamellar cell mass (ILCM) regresses during exposure to low oxygen or elevated temperature, thereby improving the capacity for oxygen extraction by fishes in challenging environments (70, 100-102). Consistent with this, the decrease in P<sub>crit</sub> in hypoxia-acclimated goldfish is associated with an increase in the exposed length and surface area of gill lamellae, presumably due to a reduction in the ILCM (72). Although the capacity for gill remodeling via changes in the ILCM appears to be widely distributed among fishes (101, 103), evidence for its involvement in the response to hypoxic acclimation of other fishes is mixed. Borowiec et al. (73) did not report changes in the ILCM in hypoxia-acclimated mummichog; rather they noted shorter gill filaments during both short-term constant hypoxia and chronic intermittent and constant hypoxia, as well as fewer gill filaments during chronic constant hypoxia compared to normoxic controls. These changes are opposite those predicted for fish with improved hypoxia tolerance. On the other hand, McBryan et al. (56) reported an increase in lamellar height, in parallel with regressed ILCM, during acclimation of mummichogs to elevated temperature. This effect of thermal acclimation on gill morphology was associated with an increase in the time to LOE (see Section 6.4, above), but no corresponding change in P<sub>crit</sub> (56). It is important to note that even in the absence of changes in gill gross morphology (ILCM regression, lamellar surface area), hypoxia dependent changes in the fine structure (dimensions of the gill epithelium and vasculature) could improve oxygen uptake by decreasing the diffusion distance between water and blood (104).

### 6.6 Acclimation effects on cardiovascular variables

Acclimation to low oxygen typically results in changes in blood variables that should improve the oxygen capacity for oxygen transport: hematocrit, red blood cell count, and/or whole blood hemoglobin concentration. These changes are associated with lower  $P_{crit}$ , lower  $P_{O2}$  at LOE, or longer times to LOE in sailfin mollies (71), goldfish (72), and mummichogs (73). In mummichogs, acclimation to hypoxia also brings about a decrease in the erythrocytic concentration of ATP, a negative allosteric regulator of oxygen affinity (99). This change is expected to increase the oxygen affinity of hemoglobin and improve the gradient for oxygen diffusion into the animal from a hypoxic environment (105, 106). On the other hand, hematocrit, hemoglobin concentration, and relative heart mass were unchanged after acclimation of European seabass to hypoxia, conditions that brought about decreases in  $P_{crit}$  and the  $P_{O2}$  at LOE (44).

### 6.7 Acclimation effects on standard and routine oxygen uptake

The tolerance to low ambient oxygen should increase in fish with lower maintenance or routine aerobic costs. Despite this expectation, acclimation to hypoxia has diverse effects on  $M_{O2, standard}$  and  $M_{O2, routine}$ . Parallel decreases in P<sub>crit</sub> and  $M_{O2, \text{ standard}}$  and/or  $M_{O2, \text{ routine}}$  have been measured after hypoxia acclimation in goldfish (72), the mummichog (73), and European seabass (44). In sailfin mollies and Chinese large-mouth catfish, however, the lower Pcrit measured after hypoxia acclimation occurred with no changes in  $\dot{M}_{O2, \text{ routine}}$  (55, 79). In the Gulf killifish, hypoxia acclimation led to lower Pcrit but higher  $\dot{M}_{\rm O2, \ standard}$  (62). Some of this diversity might be explained by different methods for determining P<sub>crit</sub>, M<sub>O2, standard</sub>, and  $\dot{M}_{O2, \text{ routine}}$  (21, 31). For example,  $\dot{M}_{O2, \text{ standard}}$  is frequently measured in the same respirometry trial after estimating  $\dot{M}_{\rm O2,\ max}$ by exhaustive chasing. In such an experimental design, the clearance of metabolic end products might contribute to a higher  $\dot{M}_{O2, \text{ standard}}$ , particularly under hypoxia (62). The effects of hypoxia acclimation on other metrics of hypoxia tolerance also show mixed relationships with  $\dot{M}_{\rm O2,\ standard}$  or  $\dot{M}_{\rm O2,\ routine}$ . In mummichog and European seabass, hypoxia acclimation brings about lower P<sub>O2</sub> at LOE that is associated with lower  $\dot{M}_{\rm O2,\ standard}$ and/or  $\dot{M}_{O2, routine}$  (44, 73). However, acclimation of Chinese large-mouth catfish to intermittent hypoxia, which brought about decreases in both the PO2 at LOE and the PO2 prior to ASR, was not associated with a change in  $\dot{M}_{O2, routine}$  relative to normoxic fish (79).

### 6.8 Acclimation to normoxia—reversal of hypoxia acclimation?

The standard holding conditions for laboratory fish are likely to be better oxygenated and more stable than their natural habitats. Of course, there are many factors besides oxygen level that differ between field and laboratory settings, including the presence of predators, type and quantity of food, and water clarity and chemistry. Nevertheless, it is reasonable to ask if fish brought into a controlled laboratory environment show changes in hypoxia tolerance opposite of those expected for acclimation to low oxygen. Borowiec et al. (82) captured pumpkinseed sunfish (*Lepomis gibbosus*), bluegill sunfish (*L. macrochirus*), and their hybrid, and measured various traits related to hypoxia tolerance within 24 h

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of capture or after a minimum of 4 weeks of acclimation to welloxygenated laboratory conditions. Wild-caught fish had greater hypoxia tolerance, as evidenced by lower  $P_{crit}$  and  $P_{O2}$  at LOE, than laboratory-acclimated fish. This difference was associated with greater gill surface areas and higher hematocrit in wildcaught fish (105). When the African electric fish *Petrocephalus degeni* was collected from low-oxygen swamps and acclimated to well-aerated water, gill filament length and hemoglobin decreased during laboratory acclimation (83). However, there was not a corresponding change in  $P_{crit}$ , suggesting that this metric of hypoxia tolerance is not obligatorily linked to these morphological and hematological traits.

# 7 Interindividual variation in hypoxia tolerance

### 7.1 Effects of body mass on hypoxia tolerance

The size of an individual, generally measured as body mass ( $M_b$ ), has profound effects on numerous biological functions (107). Nilsson and Ostlund-Nilsson (108) presented theoretical arguments for  $M_b$  to differentially affect metrics of hypoxia tolerance. Based upon scaling relationships for gill surface area, aerobic metabolism, and anaerobic metabolic potential, they argued that  $P_{crit}$  ought to be weakly related or unrelated to  $M_b$ , whereas large fish would have a benefit at oxygen levels below  $P_{crit}$ , measured as either a longer time before LOE or a lower  $P_{O2}$  at LOE. Nilsson and Ostlund-Nilsson (108) presented data from individuals spanning more than 3 orders of magnitude in  $M_b$  from 50 species of damselfishes (Pomacentridae) that supported these predictions.

Within species, however, the effects of body size are highly variable for all three of the hypoxia tolerance metrics considered here. In a study of oscars (*Astonotus ocellatus*), Sloman et al. (84) observed that large individuals were able to regulate  $M_{O2}$  to lower  $P_{O2}$  compared to smaller fish. Although they did not directly measure  $P_{crit}$ , this observation is consistent with a negative relationship between  $P_{crit}$  and  $M_b$ .  $P_{crit}$  is also negatively related to  $M_b$  in Gulf killifish (61, 62). Interestingly, in Gulf killifish, this relationship was not observed after acclimation to low oxygen, high temperature, or low salinity (62).  $P_{crit}$  and  $M_b$  were unrelated in the mumnichog (56, 73), sailfin mollies (55) and pacu [*Piaractus mesopotamicus*; (85)]. Finally,  $P_{crit}$  increases with  $M_b$  in red drum [*Sciaenops ocellatus*; (86)] and the electric fish *Petrocephalus degeni* (83), suggesting that large fish are less hypoxia tolerant than small fish.

The time to LOE is positively associated with  $M_b$  in oscars (87), consistent with the prediction that larger fish are more hypoxia tolerant (108). However, in many other species, the time to LOE or  $P_{O2}$  at LOE is unrelated to  $M_b$ , or, in some cases, smaller fish have longer time to LOE or lower  $P_{O2}$  at LOE. In Atlantic salmon, there was no effect of  $M_b$  on time to LOE (63). Within several strains of rainbow trout,  $M_b$  was not related to either time to LOE or the  $P_{O2}$  at LOE (64, 65, 67), with the exception that in certain strains measured during certain years, smaller individuals were more tolerant [longer time to LOE;

(65)]. The cumulative oxygen deficit, which incorporates both the time to LOE and the  $P_{O2}$  at LOE, was not related to body mass or length in juvenile striped bass [*Morone saxatilis*; (88)]. In fathead minnow (*Pimephales promelas*), individuals that lost equilibrium during severe hypoxia were larger than individuals that did not, suggesting that smaller individuals were more tolerant (89). Borowiec et al. (73) reported a slight positive relationship between  $M_b$  and the  $P_{O2}$  at LOE for mummichogs, also consistent with smaller animals being more tolerant (i.e., maintained equilibrium to lower  $P_{O2}$ ). Rees and Matute (38) found that  $M_b$  was not related to either the time to LOE or the  $P_{O2}$  at LOE in the Gulf killifish; however, fish with higher Fulton condition factor (K) endured lower  $P_{O2}$  prior to LOE than fish with lower K. This result suggests that, perhaps, mass *per se* is not as important as mass relative to length.

The effects of body size on the tendency to perform ASR are also mixed. Kramer and Mehegan (36) found that the frequency of ASR decreased with body mass for male guppies, but increased with body mass for females, potentially reflecting higher metabolic costs of reproduction in females. On the other hand, Love and Rees (77) reported that the frequency of ASR was related to neither body mass nor sex in Gulf killifish. That analysis, however, used the average mass of groups of male and female fish in ASR trials. When fish were measured individually, Rees and Matute (38) found that larger Gulf killifish performed ASR sooner and at higher PO2 than smaller fish. Reid et al. (60) reported that Nile perch (Lates niloticus) showed a similar relationship between Mb and ASR (larger fish reached ASR<sub>50</sub> at higher P<sub>O2</sub>). In the same study, three other species showed no relationship between Mb and ASR50, which the authors attributed to a larger range in  $M_b$  in Nile perch (60). Sloman et al. (84) also found that large oscars conducted ASR at higher PO2 than smaller individuals. Whether these observations mean that large individuals are less hypoxia tolerant, however, is complicated by the fact that ASR may increase the risk of predation (37). If larger fish perceive less threat of predation, they might employ this behavior earlier than a smaller fish.

Thus, despite expectations based upon interspecific comparisons (108), the influence of body size on intraspecific variation in hypoxia tolerance is not straightforward, regardless of which metric is used. There are probably several reasons for the diversity of responses noted above. First, intraspecific studies generally have a narrower range of  $M_b$  variation than interspecific comparisons, making it harder to statistically discern the effects of  $M_b$ . Secondly, studies of intraspecific variation in  $M_b$  may be confounded by including fish of different life history stages or nutritional or reproductive status, all of which could influence hypoxia tolerance. Finally, body size-dependent variation in behavior could disproportionately affect certain metrics of hypoxia tolerance [e.g., ASR; (84)].

# 7.2 Other factors associated with interindividual variation in hypoxia tolerance

Apart from body size, the most frequent trait that has been associated with interindividual variation in  $P_{crit}$  is either

 $\dot{M}_{O2, \text{ standard}}$  or  $\dot{M}_{O2, \text{ routine}}$ , undoubtedly because these variables are usually measured in the same experiment. Moreover, the estimation of P<sub>crit</sub> typically depends upon the value of either  $\dot{M}_{O2, \text{ standard}}$  or  $\dot{M}_{O2, \text{ routine}}$  (Figure 1B). Reemeyer and Rees (62) suggest the significant positive correlation between P<sub>crit</sub> and  $\dot{M}_{O2, \text{ standard}}$  in Gulf killifish arises from these dependencies. P<sub>crit</sub> and  $\dot{M}_{O2, \text{ standard}}$  were also positively correlated among individuals of three strains of rainbow trout (66). P<sub>crit</sub> and  $\dot{M}_{O2, \text{ routine}}$ were positively correlated among individuals of three cyprinid species [crucian carp, common carp (*Cyprinus carpio*) and qingbo (*Spinibarbus sinensis*); (90)]. Interindividual variation in P<sub>crit</sub> and  $\dot{M}_{O2, \text{ standard}}$  were not statistically correlated with one another, however, in juvenile pacu (85).

The relationship between LOE and  $\dot{M}_{O2, \text{ standard}}$  or  $\dot{M}_{O2, \text{ routine}}$ is less uniform. Interindividual variation in the P<sub>O2</sub> at LOE was positively associated with  $\dot{M}_{O2, \text{ routine}}$  in common carp and qingbo, but not in crucian carp (90). In fast and slow-growing strains of rainbow trout, Roze et al. (64) found that neither the time to LOE nor the P<sub>O2</sub> at LOE were related to  $\dot{M}_{O2, \text{ standard}}$ . Variation in time to LOE in a genetically mixed population of mummichog was unrelated to  $\dot{M}_{O2, \text{ routine}}$  (58). Similarly, the cumulative oxygen deficit at LOE of striped bass was unrelated to oxygen uptake under conditions that approximate  $\dot{M}_{O2, \text{ routine}}$  (88).

Although LOE is not reliably associated with  $\dot{M}_{O2, \text{ standard}}$  or  $\dot{M}_{\rm O2, routine}$ , it has been related to interindividual variation in other variables. Joyce et al. (91) categorized European seabass as hypoxia tolerant or sensitive based upon longer vs. shorter times to LOE, respectively. Ventricular strips were then isolated from hearts and stimulated in vitro. Ventricular strips from tolerant individuals generated more force than those from sensitive individuals, but only when stimulated under hypoxic conditions (91). Previously, Roze et al. (64) had shown that rates of oxygen consumption by isolated, permeabilized hearts did not correlate with the time to LOE or PO2 at LOE of rainbow trout. Presumably, those measurements were made under conditions of normal aeration, leaving open the possibility that individual differences in in vitro cardiac physiology are only observed in hypoxia as seen in Faust et al. (109). In striped bass, the cumulative oxygen deficit was positively associated with hematocrit and blood hemoglobin concentration (92). On the other hand, heart mass was not correlated with either time to LOE or the PO2 at LOE in Gulf killifish (38) or in fast- and slow-growing strains of rainbow trout (64). Almeida-Val et al. (87) suggested that tissue levels of the glycolytic enzyme, lactate dehydrogenase, contribute to interindividual variation in hypoxia tolerance of oscars based upon the similarity in the mass scaling of enzyme concentration and time to LOE.

Rees and Matute (38) found that the number of gill filaments in Gulf killifish was positively associated with interindividual variation in the time to LOE and weakly negatively related to the  $P_{O2}$  at LOE. In the same study, interindividual variation in the time of first onset of ASR was related to the average length of gill filaments (38). These relationships were corrected for body size variation, and they suggest that, for a given body size, individuals with longer or more numerous gill filaments are more tolerant of low oxygen.

# 8 Genetic basis of intraspecific variation in hypoxia tolerance

As mentioned above, the observation that fish populations and genetic strains differ in hypoxia tolerance strongly suggests that there is a genetic basis. This conclusion is supported by measures of the repeatability and the heritability of specific metrics of hypoxia tolerance, along with recent studies of the genetic architecture of these traits.

### 8.1 Repeatability of hypoxia tolerance metrics

The repeatability of any given metric of hypoxia tolerance provides information on the stability of that value for a given subject over time. A highly repeatable trait suggests that there are inherent differences among individuals that may be genetically based, and hence capable of responding to natural selection. Indeed, in quantitative genetics, the repeatability of a trait is thought to represent an upper limit of its heritability (110), although there are conditions when this might not be true (111).

Among the metrics of hypoxia tolerance considered here, the repeatability of LOE has received the most attention. Claireaux et al. (39) showed that the times to LOE of individual European seabass measured over 2 to 6 weeks were correlated with one another (Pearson's correlation coefficients, r, 0.58–0.77). This was true whether fish were measured under standard laboratory maintenance conditions or after exposure of fish to chemical contaminants (oil and/or dispersants). Subsequently, Joyce et al. (91) demonstrated that the times to LOE of individual seabass remained significantly correlated between measurements made up to 18 months apart (r = 0.48). Zhang et al. (112) observed that the oxygen saturation at LOE was also repeatable in European seabass. In that study, however, exposure of fish to oil changed the rank order of hypoxia tolerance among individuals when LOE was measured 7 months later.

Rees and Matute (38) used adjusted repeatability ( $R_{adj}$ ), which is an intraclass correlation coefficient (113) that accounts for covariates, such as body mass (114), to determine the consistency of the time and  $P_{O2}$  of LOE in Gulf killifish. They found that both measures were repeatable across multiple trials conducted over 6 to 8 weeks ( $R_{adj} = 0.31$  for time to LOE and  $R_{adj} = 0.35$  for  $P_{O2}$  at LOE). Nelson et al. (92) and Kraskura and Nelson (88) showed significant repeatability in rank order of individual values for the cumulative oxygen deficit, which incorporates both the time and the  $P_{O2}$  at LOE, in juvenile striped bass measured repeatedly over 3 to 6 months (Kendall's coefficient of concordance W = 0.53 and Spearman's p = 0.59, respectively). These results suggest that LOE is a stable metric of an individual's hypoxia tolerance across time.

There are fewer measurements of the consistency of  $P_{crit}$  or ASR when measured in multiple trials for a given individual. The  $P_{crit}$  of individual Gulf killifish was highly reproducible when measured 1 week apart [r = 0.74; (31)]. Importantly,  $P_{crit}$  determined for the same individuals by different analytical techniques or using closed vs. intermittent-flow respirometry were not significantly correlated (31), demonstrating the importance of experimental design in making these comparisons (115). Also, the correlations between consecutive measurements were much lower (r = 0.07 to 0.24) when measured 2 to 6 months apart (62). Considering multiple measurements made over a period of 6 months, P<sub>crit</sub> had an R<sub>adj</sub> of 0.16 (62). Although this value was statistically higher than no repeatability (R<sub>adj</sub> = 0), it suggests that only 16% of the total variation in P<sub>crit</sub> was due to differences among individuals, with most of the variation arising from withinindividual variation (62).

The only study that measured the repeatability of ASR, of which we are aware, is Rees and Matute (38). During a reproducible hypoxia challenge test, both the time prior to and the P<sub>O2</sub> at the first occurrence of ASR by Gulf killifish were repeatable ( $R_{adj} = 0.44$ for time to ASR and  $R_{adj} = 0.39$  for P<sub>O2</sub> at ASR). A comparison of LOE, ASR and P<sub>crit</sub> from a single species (Gulf killifish), suggests that first two are more repeatable for a given individual than the last.

### 8.2 Heritability of hypoxia tolerance metrics

As indicated above, trait repeatability is only an estimate of heritability. Direct measures of the narrow sense heritability  $(h^2)$  are less common, and to our knowledge, not available for P<sub>crit</sub> and ASR. In an early study of the hypoxia tolerance of common carp, the time to cessation of opercular movement, which is closely linked to the time to LOE, had an  $h^2 = 0.51$  (116). More recently, similarly high values for  $h^2$  have been reported for the time to LOE of yellow croaker (*Larimichthys crocea*) [0.61, (117); 0.62, (118)]. Somewhat lower estimates have been reported for rainbow trout, 0.22 to 0.28, depending upon what model of selection was used to determine  $h^2$  (119). Although the absolute values of  $h^2$  differ, these studies all indicate a substantial genetic basis for the time to LOE. In a related study, the behavior of hypoxia avoidance by European seabass was found to have an  $h^2$  of 0.19 (120).

### 8.3 Genomic basis of hypoxia tolerance

There has been considerable recent interest in probing the genomic basis of hypoxia tolerance in fishes. Wang et al. (121) used a 250K SNP (single-nucleotide polymorphism) array in a genome wide association study (GWAS) to understand variation in the time to LOE in channel catfish (Ictalurus punctatus). They found numerous QTLs (quantitative trait loci) associated with LOE both among across and within catfish strains, suggesting a complex genetic architecture of hypoxia tolerance. Within these QTLs were significant SNPs in or near genes involved in critical cell signaling pathways, namely the mitogen-activated protein kinase (MAPK) and phosphatidylinositol 3-kinase (PI3K)-AKT-mammalian target of rapamycin (mTOR) pathways (121). Zhong et al. (122) used similar approaches to study hypoxia tolerance in hybrid catfish (channel catfish x blue catfish, Ictalurus furcatus). Their analysis revealed that some of the same pathways (MAPK, PI3K/AKT/mTOR), as well as others [vascular endothelial growth factor (VEGF), P53-mediated apoptosis, and DNA damage checkpoint pathways], were enriched in SNPs associated with time to LOE.

Healy et al. (57) identified 35 SNPs that explained nearly 52% of the variation in time to LOE in naturally occurring populations of the mummichog. A major conclusion of that study was that hypoxia tolerance is a polygenic trait, which is expected for complex phenotypes (123). Brennan et al. (58) identified eight candidate SNPs by either association mapping or selection scans that were correlated with adaptive divergence in hypoxia tolerance between populations of brackish-water and freshwater mummichogs. One SNP was found to be upstream of the gene encoding hyaluronan synthase 1 (HAS1), an enzyme whose product (hyaluronan) is a negative regulator of the hypoxia-inducible transcription factor (HIF), a master regulator of the transcriptional response to low oxygen (1). Other studies have implicated HIF-related genes and pathways in contributing to variation in hypoxia tolerance in fishes. For example, Wang et al. (68) showed hypoxia tolerance in blunt snout bream was correlated with two SNPs in the egln2 (egg-laying nine-2) gene, which encodes an enzyme involved in the degradation of HIF1a protein subunits. Similarly, in a study of yellow croaker, Ding et al. (117) identified four SNPs and 10 candidate genes related to time to LOE. Among these candidate genes was egln2.

San et al. (124) identified four significant SNPs and 16 candidate genes that were related to survival time under hypoxia in the golden pompano (*Trachinotus ovatus*). Similarly, Prchal et al. (119) identified three significant and two putative QTLs, and 15 candidate genes related to time to LOE of rainbow trout. In the latter study, no QTL explained more than 1% of the variation in LOE, reinforcing the notion that hypoxia tolerance is highly polygenic. Interestingly, though, both studies identified *lonrf3* (LON peptidase N-terminal domain and RING finger protein 3), *commd5* (COMM Domain-Containing Protein 5), and *fam199x* (protein FAM199X), as potential candidate genes.

In silver silago (*Sillago sihama*), Ye et al. (125) identified six QTLs spanning five linkage groups associated with hypoxia tolerance, as defined by the time until "onset of gasping." Enrichment analyses highlighted the involvement of processes related to ion transport and drug metabolism. Remarkably, 13 SNPs fell within the *mgst3b* (microsomal glutathione S-transferase 3b) gene, five of which showed a significant association with hypoxia tolerance. This gene encodes an enzyme that conjugates glutathione to toxins, which helps defend against oxidative stress and, potentially, hypoxia.

This brief review of the genetic basis of hypoxia tolerance leads to four observations. First, hypoxia tolerance is a complex, polygenic phenotype. As a result, each QTL, SNP, or candidate gene typically explains only a small fraction of the variation in hypoxia tolerance among individuals. Second, despite the polygenic nature of hypoxia tolerance, several of these studies have identified the same cell signaling pathways (MAPK, PI3K/AKT/mTOR, VEGF) or candidate genes (e.g., *egln2*, *lonrf3*). Third, some of the candidate genes (*egln2*, *has1*) and pathways (VEGF) are associated with oxygen-dependent regulation of gene expression by HIF, although there are also likely to be HIF-independent responses. Fourth, these studies almost uniformly use the time to LOE as the metric of hypoxia tolerance because it can be measured rapidly in large numbers of individuals. Thus, these studies address the genetics of survival during exposure to extreme hypoxia, which is important in many contexts, e.g., survival in "dead zones" or in poorly-oxygenated aquaculture ponds. These studies, however, do not address the genetic bases of fish responses to less dramatic reductions that are important in many ecological settings.

### 9 Conclusions

There is considerable intraspecific variation in hypoxia tolerance in fishes, as assessed by P<sub>crit</sub>, ASR, or LOE, and this variation can be measured at a variety of scales, from that among populations inhabiting diverse habitats to that among individuals arising from a given population held under common conditions. Variation among populations, genetic strains, and individuals supports a genetic basis for this variation, but acclimation studies show that hypoxia tolerance is also plastic. The potential underlying correlates of hypoxia tolerance also vary, however, they do so in a more idiosyncratic fashion. There are clear examples of where changes in gill morphology or cardiovascular variables that ought to improve oxygen extraction or delivery to the tissues are associated with an increase in hypoxia tolerance, as judged by one or more of the metrics considered here. But there are also instances when a metric of hypoxia tolerance varies (e.g., among populations or individuals) and these same underlying variables do not change, or in fact, change in unpredicted ways. Below, we offer some reasons for this lack of uniformity, as well as propose specific recommendations for experimental design and data reporting that might help in generalizing across studies.

### 9.1 Biological variation

With about 33,000 species of ray-finned fishes (8), it is not surprising that different species employ different strategies to deal with low oxygen, especially considering the different scales of intraspecific variation reviewed here. Because of phylogeny, natural history, or developmental, behavioral, anatomical, or physiological constraints, different species might display diverse responses in the hypoxia tolerance metrics considered here, as well as in their underlying correlates. One example is ASR. This behavior is facilitated by a certain body morphology (upturned mouth, flattened dorsal aspect of the head; 35) and it may be influenced by the perceived threat of predation (37). Thus, ASR might be a more likely response to hypoxia in certain species or contexts than in others.

In addition, there are multiple ways to achieve a particular outcome with respect to hypoxia tolerance. For example, an increase in the gill surface area available for gas exchange can be brought about by different mechanisms. Regression of the ILCM appears to be important during acclimation to low oxygen, at least among cyprinid fishes, whereas growth of more or longer gill filaments appears to be involved in population and interindividual variation in hypoxia tolerance. Cases when hypoxia tolerance varies but gill morphology does not (or it changes in expected ways) might reflect the multiple roles served by gills, where diverse processes (e.g., maximizing oxygen uptake and minimizing diffusive ion and water fluxes) cannot be simultaneously optimized (102). Another interesting possibility is that the range of intraspecific variation in hypoxia tolerance may be related to the range of variation in oxygen levels experienced in nature, with populations that encounter a greater range of seasonal or daily variation in oxygen also displaying greater intraspecific variation in hypoxia tolerance. Ultimately, certain species, populations, or individuals might attain sufficient hypoxia tolerance by an increase in oxygen extraction or an increase in tissue oxygen delivery or by suppressing aerobic metabolic demands, but not require all three. In other words, the "one size fits all" maxim does not necessarily apply to all fishes in all hypoxic settings. In this review, we have documented a diversity of responses by fish to low oxygen; understanding this diversity continues to be a challenge.

### 9.2 Experimental design and data reporting

Another source of variation among results from different studies arises for technical, rather than biological, reasons. Regrettably, none of the metrics of hypoxia tolerance considered here is fully standardized. Determination of P<sub>crit</sub>, which is the most reported measure of hypoxia tolerance, can be done with closed or intermittent-flow respirometry; it can be calculated with different mathematical models; and it can rely upon measures of  $\dot{M}_{O2, \text{ standard}}$  or  $\dot{M}_{O2, \text{ routine}}$ ; all of which can influence mean values for a group, as well as the repeatability of values for an individual (31). Although these issues likely don't influence the comparability of results within a study, they do affect ability to compare across studies. This lack of standardization has led some to criticize the use of P<sub>crit</sub> and advocate for other measures of hypoxia tolerance (32). Although LOE has been proposed as a better metric of hypoxia tolerance, its determination is even less standardized among studies. Unlike studies of thermal tolerance for which there are standardized protocols for ramping temperature, there is no similar standard for lowering oxygen in LOE tests. This is partly due to the widely different hypoxia tolerance among species. That is, a ramping rate or a final P<sub>O2</sub> that effectively discriminates differences in LOE by one species, might fail to elicit LOE in a reasonable time in a more tolerant species, or, potentially, kill all individuals of a more sensitive species. Moreover, Pcrit and LOE likely measure different aspects of hypoxia tolerance by fish (108), and one should not be substituted for the other.

Another barrier to comparing across studies relates to data reporting. Although we have cited certain "negative results" (i.e., a lack of relationship between a hypoxia metric and a putative correlate), under-reporting negative results could lead to a mistaken impression that these are rare cases. In addition, most of the comparisons among populations, genetic strains, and acclimation treatments are based upon mean values, rather than upon correlations among individual values [although see (66)]. This can be misleading. For example, Reemeyer and Rees (62) reported that mean P<sub>crit</sub> and  $\dot{M}_{O2, \text{ standard}}$  changed in opposite directions during acclimation of Gulf killifish to hypoxia, even though the two variables were strongly positively correlated among individuals over the entire experiment. Along similar lines, Zhang et al. (66) noted differences in P<sub>crit</sub>, but not  $\dot{M}_{O2, \text{ standard}}$  among strains of rainbow trout, belying the fact that the two variables were positively correlated at the individual levels. The availability of online data repositories and supplemental information in published work allows reporting "negative data" and presenting datasets that show measurements made on each individual. The latter would be especially helpful in assessing interindividual variation in hypoxia tolerance and its putative determinants.

### 9.3 Alternate metrics of hypoxia tolerance

As mentioned earlier (Section 2), the metrics of hypoxia tolerance considered here are point measurements of fish responses to extreme reductions in ambient oxygen. These levels of oxygen are likely to occur in severe cases of aquatic hypoxia, which might provide a strong selective force favoring populations, strains, or individuals with certain values of each metric. However, less dramatic reductions in oxygen are certainly more prevalent in most aquatic habitats and could constrain fitness-related activities of fishes (10). Metrics of hypoxia tolerance that integrate fish responses across a range of oxygen levels have been proposed (43, 47, 52). These typically require that fish be induced to reach  $\dot{M}_{O2, max}$ , for example by increased locomotor activity, making these techniques lower in throughput than others. Nevertheless, they are highly integrative and could apply more broadly to ecologically relevant hypoxia than the point measures considered here. Thus, there may be a tradeoff between ecological realism and throughput necessary for certain experimental approaches, namely those linking hypoxia tolerance to underlying genetic variability. One resolution might be a two-tiered approach that combines highthroughput screening of a given metric (e.g., LOE) with more integrative measurements on a smaller number of individuals (43).

### 9.4 Final thoughts

With the increase in the extent of aquatic hypoxia worldwide, it is important to understand the tolerance of fishes to low oxygen. It is clear that hypoxia tolerance varies within species, however there is great diversity in the specifics of this tolerance which metrics of hypoxia tolerance change and by how much; what are the geographic and temporal scales across which this variation occurs; and what are the putative underlying correlates of hypoxia tolerance. Greater comparability among studies, and hence greater understanding of hypoxia tolerance in general, will come from standardization of experimental designs, enhanced reporting of "negative data" and individual-based measurements, and the development of new metrics of hypoxia tolerance. It will be important to determine how these new metrics relate to more

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traditional measurements of hypoxia tolerance, to ascertain the degree to which one can extrapolate the underlying determinants of one metric to others. Armed with this information, it might be possible to predict which populations, strains, or individuals will fare better during hypoxic episodes; mitigate the effects of low oxygen on fishes; and design breeding protocols to enhance the hypoxia tolerance of species important for aquaculture.

### Author contributions

CB: Investigation, Writing – original draft. BR: Conceptualization, Funding acquisition, Visualization, Writing – review & editing.

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### **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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