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# Exploring the altitude differentiation and influencing factors of PM<sub>2.5</sub> and O<sub>3</sub>: a case study of the Fenwei Plain, China

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Altitude differentiation has a substantial effect on the synergistic control of PM<sub>2.5</sub> and O<sub>3</sub> pollution. This study targets the Fenwei Plain, which is affected by mountain range blockage, divided into different altitude scales, and employs the methods of correlation analysis and geographical detector to explore the spatiotemporal heterogeneity of PM<sub>2.5</sub> and O<sub>3</sub> between different altitude zones and to identify the key controlling factors of pollutants between different altitude areas. The results showed that PM<sub>2.5</sub> showed a significant decreasing trend from 2014 to 2023, whereas O<sub>3</sub> exhibited an opposite trend. The concentrations of both pollutants decreased with increasing altitude, particularly for PM<sub>2.5</sub>, which showed significant altitudinal differentiation under the influence of topography. PM<sub>2.5</sub> was negatively correlated with gross domestic product (GDP) and precipitation, and positively correlated with SO<sub>2</sub>. In contrast, the correlation of O<sub>3</sub> with these factors was opposite to that of PM<sub>2.5</sub>. For spatial differentiation, NO<sub>2</sub> and SO<sub>2</sub> were the main factors influencing the spatial differentiation of PM<sub>2.5</sub> and O<sub>3</sub> at different altitudes. The explanatory power of the spatial divergence of PM<sub>2.5</sub> and O<sub>3</sub> was greatly increased by the interactions between the two precursors and between the precursors and meteorological factors. Furthermore, the explanatory power of the PM<sub>2.5</sub> dominant factor increased with elevation, while the explanatory power of the O<sub>3</sub> dominant factor was relatively high across low, middle, and high altitudes. This study serves as a guide for reducing air pollution in the Fenwei Plain and offers a novel perspective for the study of PM<sub>2.5</sub> and O<sub>3</sub> influenced by terrain.

## KEYWORDS

PM<sub>2.5</sub>, O<sub>3</sub>, atmospheric pollution, geographic detector, spatiotemporal characteristics, influencing factors

## 1 Introduction

Atmospheric pollution is currently the greatest barrier to the development of a global ecological civilization and a focal point for environmental studies (Zhang et al., 2021; Ioannis et al., 2020). In 2021, the Chinese government issued documents specifically to provide crucial directives for the management of air pollution. These directives mandate that amid the fight against O<sub>3</sub> pollution, achieve the synergistic control of fine particles and O<sub>3</sub> (Sun and Huang, 2021). In 2023, the Action Plan for Continuous Improvement of Air Quality similarly emphasizes the need to reduce PM<sub>2.5</sub> concentrations as the main line of

action, with synergistic emission reductions of nitrogen oxides (NO<sub>x</sub>) and volatile organic compounds (VOC<sub>s</sub>), and sets the target of significantly reducing pollutant concentrations in key regions such as the Beijing-Tianjin-Hebei region, the Yangtze River Delta and the Fenwei Plain by 2025 (CPsGotPsRo, 2023). PM<sub>2.5</sub> and O<sub>3</sub>, as typical air pollutants, have pollution areas that are both overlapped and differentiated pollution areas. At the same time that PM<sub>2.5</sub> continues to decline, it is essential to effectively halt the rise in O<sub>3</sub>. The top priority for air pollution prevention is to achieve synergistic management of the two types of pollutants (Liu and Liao, 2021; Ji, 2021). Consequently, scientific understanding of the features of the spatiotemporal variation and influencing factors of PM<sub>2.5</sub> and O<sub>3</sub> has evolved into a crucial scientific foundation for the coordinated management of air pollution (Zhang et al., 2021; Wang et al., 2022a; Hui et al., 2021).

Scholars from both domestic and foreign countries have conducted multiple studies on PM<sub>2.5</sub> and O<sub>3</sub>, focusing on the spatiotemporal characteristics of pollutants, the causes, health and ecological risks of environmental exposure, and influencing variables of air pollution (Yan et al., 2016; Bai et al., 2018; Chen et al., 2019; Huang C. et al., 2021; Lu et al., 2017; Xu et al., 2021a). For the spatiotemporal characteristics of air pollution, mathematical statistics, spatial autocorrelation analysis, spatial interpolation, hotspot analysis, and trend analysis were primarily employed to reflect the spatial-temporal features of pollutants (Bai et al., 2018; Huang C. et al., 2021; Lei et al., 2022; Wu et al., 2023; Ali-Taleshi et al., 2022). Research indicates that air pollution, including PM<sub>2.5</sub>, O<sub>3</sub> and aerosol optical depth (AOD), is highly heterogeneous in space and time in different areas (Huang et al., 2024; Su et al., 2024; Dong et al., 2019). For the analysis of the causes of air pollution, backward trajectory analysis, potential source contribution function (PSCF) analysis, physical and chemical models, concentration weight matrices, and other techniques have been extensively used (Xiao et al., 2022; Ma et al., 2021; Fang et al., 2021; Masiol et al., 2017; Xu et al., 2021b). For the health and ecological risks of environmental exposure to pollutants, health risks and ecological risks due to pollutants are mainly assessed using methods such as environmental exposure risk modelling, ecological risk assessment and health exposure response functions (Xu et al., 2018; Zou et al., 2019; Zhao et al., 2022; Wang L. et al., 2022). For the influencing factors of air pollution, geographically weighted regression models, multiple linear regression models, geographical detectors, and other models and methods were primarily used to investigate the primary influencing factors of pollutants. The geographic detector, which is not constrained by conditions such as linearity and non-linearity and is extensively utilized to identify the spatial distinction between terrestrial objects and the underlying driving factors (Liu and Liao, 2021; Chen L. et al., 2020; He et al., 2022; Wei et al., 2019; Shen et al., 2022; Wang et al., 2022c). Additionally, several studies have shown that the primary contributors to PM<sub>2.5</sub> and O<sub>3</sub> pollution include precursor pollutants, societal and economic factors, and meteorological conditions. Meteorological factors impact PM<sub>2.5</sub> and O<sub>3</sub> through pollutant transport, diffusion, chemical transformations, and wet and dry deposition (Chen Z. et al., 2020). Temperature and precipitation play a significant role, but their influence on PM<sub>2.5</sub> and O<sub>3</sub> varies spatially (Xia et al., 2022). In addition, socio-economic factors such as economic development, urbanization, and population expansion impact PM<sub>2.5</sub> and O<sub>3</sub>. Precursor pollutants like SO<sub>2</sub> and NO<sub>x</sub>, primarily from burning fuels like industrial coal, are also essential for preventing and controlling air pollution as they directly

contribute to the development of PM<sub>2.5</sub> and O<sub>3</sub> (Liu, 2021; Dai et al., 2021; Duan et al., 2021; Dan et al., 2019; Wu et al., 2021; Bo et al., 2020; Xiaoyuan et al., 2010).

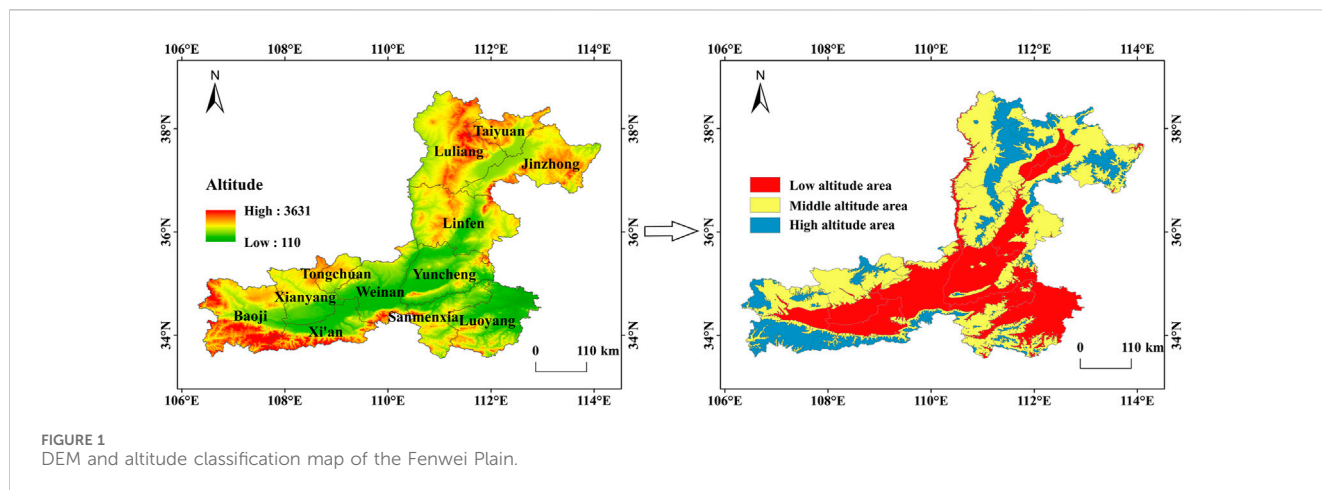
During the 14th Five-Year Plan period, China optimized and modified the key areas for air pollution avoidance and governance, focusing particularly on the three regions of the Fenwei Plain, Yangtze River Delta, and Beijing-Tianjin-Hebei (Liu and Liao, 2021; Ji, 2021; Qin et al., 2020; Dai et al., 2022). Numerous studies on air pollution have been conducted in the latter two economically developed regions, whereas research on the Fenwei Plain is relatively limited. Fenwei Plain is the most developed area of industrial and agricultural agriculture in Shaanxi Province, where transportation is primarily dependent on roads and the industrial structure is dominated by chemical industries. The region is primarily characterized by heavy industries, with coal accounting for more than 90% of energy consumption and coke production accounting for approximately 15% of the nation (Huang et al., 2019). The Fenhe Plain and the Weihe Plain, which are connected by the Fenhe River and the Weihe River, converge in the valley of the Yellow River, creating the distinctive basin terrain of the Fenwei Plain. This geographical feature hinders the spread of pollutants, making this area vital for air pollution control and management in China (Xu D. et al., 2021). In addition, prior research has demonstrated that topography resulted in notable geographic differences in PM<sub>2.5</sub> and O<sub>3</sub> pollution (Zhao et al., 2020; Huang X. et al., 2021). Various altitudinal regions may have distinct pollution characteristics and influencing factors. Existing research on PM<sub>2.5</sub> and O<sub>3</sub> pollution has mainly focused on a single scale or pollutant, neglecting spatial variations in elevation and the interactions between PM<sub>2.5</sub> and O<sub>3</sub>. Additionally, these studies failed to adequately explore the connection between altitudes and pollutants under the special topography such as the Fenwei Plain.

In light of this, this study thoroughly examined the impact of topography on PM<sub>2.5</sub> and O<sub>3</sub> by focusing on the Fenwei Plain. The area was categorized into three altitude regions (low, middle, and high) based on digital elevation model (DEM) data. Firstly, we showed the spatial and temporal characteristics of PM<sub>2.5</sub> and O<sub>3</sub>, along with elevation differences from 2014 to 2023. Secondly, the relationship between pollutants (PM<sub>2.5</sub> and O<sub>3</sub>) and influencing factors was explored by combining meteorological (temperature and precipitation), socioeconomic (night light index, population, and GDP), and precursor factors (NO<sub>2</sub> and SO<sub>2</sub>). Finally, the primary driving elements and interactions of PM<sub>2.5</sub> and O<sub>3</sub> at different altitudes were detected using the geographical detector. This study also compared the similarities and differences between the influencing variables of the two pollutants. The study's findings are significant for the coordinated management of PM<sub>2.5</sub> and O<sub>3</sub> in the Fenwei Plain and offer a fresh outlook on regional PM<sub>2.5</sub> and O<sub>3</sub> pollution in comparable basin topographies. They also serve as a crucial foundation for creating tailored air pollution management strategies at varying altitudes.

## 2 Materials and methods

### 2.1 Data sources and processing

The following four segments make up the majority of the study's data: (1): ChinaHighAirPollutants dataset (CHAP). The



data is sourced from <https://weijing-rs.github.io/product.html>. It is a high-coverage, high-precision, and near-surface air pollutant dataset created by combining extensive data from ground-based observations, atmospheric reanalysis, emission inventories, and model simulations (Wei et al., 2022; Wei et al., 2023a). It considers the spatial and temporal heterogeneity of atmospheric pollution and was developed using artificial intelligence methods by Wei et al. (2020). The cross-validation coefficient of determination (CV-R<sup>2</sup>) is 0.92, and the root mean square error (RMSE) is 10.76 μg/m<sup>3</sup>, indicating great accuracy and suitability for this study (Wei et al., 2023b). This study obtained the annual average data for PM<sub>2.5</sub> and O<sub>3</sub> in the Fenwei Plain from the CHAP dataset for the period from 2014 to 2023, as well as the annual average raster data for NO<sub>2</sub> and SO<sub>2</sub> from 2014 to 2020. The spatial resolution was 1 km for the PM<sub>2.5</sub> dataset and 10 km for the O<sub>3</sub>, NO<sub>2</sub>, and SO<sub>2</sub> datasets. (2) Meteorological data. It primarily consists of temperature and precipitation raster data from the CRU TS website (<https://crudata.uea.ac.uk/cru/data/hrg/>) to provide monthly data covering the land surface with a spatial resolution of 0.5° for 2014–2020. The dataset was interpolated to a 1 km spatial resolution using the inverse distance weighted (IDW) method and processed as annual data in the study. (3) Socioeconomic data. It is primarily comprised of population, GDP, and night light data (NLI), which can reflect the economic situation. The Chinese GDP spatial distribution km grid dataset is maintained by the Chinese Academy of Sciences' Institute of Geographic Sciences and Resources. Demographic data can represent the region's population agglomeration degree. The University of Southampton initiated, developed, and generated the Open High Resolution Geospatial Dataset (<https://hub.worldpop.org/>) on population distribution, demographics, and dynamic data. The night light data, which can characterize the vitality of cities, was a raster dataset that calculated the average value of the grid using the NPP/VIIRS remote sensing light data set of 2014–2020. (4) DEM data. The information originates from the Resource Environmental Science and Data Centre (<http://www.resdc.cn/>). In order to find out the differences in spatiotemporal features and impact factors of PM<sub>2.5</sub> and O<sub>3</sub> between various altitudes, the Fenwei Plain was divided into low altitude area (110 km–815 km), middle altitude area (815 km–1,379 km),

and high altitude area (1,379 km–3631 km) by using the natural breakpoint method in this study. The DEM map and division results are shown in Figure 1. All the above data were resampled to 1 km and cropped to the study area and different elevation scales for analysis. However, due to the limitations of the impact factor data, the multi-year average of the 2014–2020 data was used for the impact factor analyses in both the correlation analyses and geographical detector in this study.

## 2.2 Methods

### 2.2.1 Theil-Sen Median and Mann-Kendall

Theil-Sen media trend analysis and Mann-Kendall significance test are two non-parametric tests. The method does not require the data to satisfy normal distribution, and is also capable of eliminating the interference of outliers and reflecting the overall trend of the time series (Li et al., 2023). In this study, Theil-Sen median trend analysis and Mann-Kendall test were used to analyze the trends of PM<sub>2.5</sub> and O<sub>3</sub>. The formula is shown in Equation 1 (Chen and Zhang, 2024):

$$\beta = \text{Median} \frac{X_i - X_j}{i - j}, \forall i > j \tag{1}$$

where *i* and *j* represent time series; Median represents the median value; β denotes the trend of the pollutant, and the positive or negative of β denotes the direction of the trend. When β > 0, it is an upward trend; the opposite is a downward trend.

The Mann-Kendall (MK) significance test used to detect the significance of data under long time series. The formulas for its calculation are shown as Equations 2–5:

$$Z = \begin{cases} \frac{S - 1}{\sqrt{\text{Var}(S)}}, S > 0 \\ 0, S = 0 \\ \frac{S + 1}{\sqrt{\text{Var}(S)}}, S < 0 \end{cases} \tag{2}$$

$$S = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \text{sgn}(X_i - X_j) \tag{3}$$

$$\text{sgn}(X_i - X_j) = \begin{cases} 1, X_i - X_j > 0 \\ 0, X_i - X_j = 0 \\ -1, X_i - X_j < 0 \end{cases} \quad (4)$$

$$\text{Var}(S) = \frac{n(n-1)(2n+5) - \sum_{i=1}^m t_i(t_i-1)(t_i+5)}{18} \quad (5)$$

where  $n$  is the number of time series data;  $m$  is the number of knots (recurring data sets) in the sequence;  $t_i$  is the width of the knot (number of data identities). The Z-test value was obtained using the sign function ( $\text{sgn}$ ) and the variance of the series ( $\text{Var}(S)$ ). The calculated Z-value was used to determine whether the time series data was significant or not. If  $|Z| \leq Z_{1-\alpha}$ , the trend is insignificant; if  $|Z| > Z_{1-\alpha}$ , the trend is significant.

### 2.2.2 Spatial autocorrelation analysis

Spatial autocorrelation is a method for determining whether spatially constant variables are dependent on one another within the same distribution. This study used the global Moran's I to calculate whether pollutants have noticeable spatial agglomeration features. The formula is shown in Equation 6 (Li et al., 2022):

$$\text{Moran's } I = \frac{n \sum_{i=1}^n \sum_{j=1}^n W_{ij} (X_i - \bar{X})(X_j - \bar{X})}{\left( \sum_{i=1}^n \sum_{j=1}^n W_{ij} \right) \sum_{i=1}^n (X_i - \bar{X})^2} \quad (6)$$

where  $n$  represents the total amount of grids;  $X_i$  and  $X_j$  represents the pollutant concentrations of grids  $i$  and  $j$ , where  $i$  is not equal to  $j$ ;  $\bar{X}$  represents the average pollution concentration;  $W_{ij}$  represents the spatial weight matrix. The Moran's I index will be a value between  $-1$  and  $1$ . The global Moran's I  $> 0$  represents a positive correlation, indicating that PM<sub>2.5</sub> or O<sub>3</sub> similar grids tend to have a spatially clustered distribution. The global Moran's I  $< 0$  denotes negative correlation, which shows that PM<sub>2.5</sub> or O<sub>3</sub> similar grids tend to have a spatially discrete distribution. The global Moran's I =  $0$  represents no correlation, indicating that PM<sub>2.5</sub> or O<sub>3</sub> grids tend to have a random distribution.

Additionally, this study employed the local Moran's I to assess the clustering of pollutants' local regions, thereby revealing the similarity or difference between spatial objects and their surrounding space. The formula is shown in Equation 7 (Yuan et al., 2022):

$$\text{Local Moran's } I = \frac{n(X_i - \bar{X}) \sum_{j=1}^n W_{ij} (X_j - \bar{X})}{\sum_{i=1}^n (X_i - \bar{X})^2} \quad (7)$$

where  $X_i$  is the value of the corresponding attribute of grid  $i$ , and  $X_j$  is the value of the corresponding attribute of grid  $j$ ;  $W_{ij}$  is the spatial weight matrix between grid  $i$  and  $j$ . Four categories can be derived from the local Moran's I findings: 'High-High' type represents that pollutant as being relatively high compared with the value of the adjacent area. 'High-Low' type represents that pollutants are enclosed by low-value areas. 'Low-High' type represents that pollutants are enclosed by high-value areas. 'Low-Low' type represents that pollutant concentration and the value of the neighboring unit as being relatively low.

TABLE 1 Geographic detector interaction results.

Compare	Interaction
$q(X_i \cap X_j) < \text{Min}[q(X_i), q(X_j)]$	Nonlinear weakening
$\text{Min}[q(X_i), q(X_j)] < q(X_i \cap X_j) < \text{Max}[q(X_i), q(X_j)]$	Single factor nonlinear attenuation
$q(X_i \cap X_j) > \text{Max}[q(X_i), q(X_j)]$	Double factor enhancement
$q(X_i \cap X_j) = q(X_i) + q(X_j)$	Independent
$q(X_i \cap X_j) > q(X_i) + q(X_j)$	Nonlinear enhancement

### 2.2.3 Pearson correlation analysis

Pearson correlation analysis reflects the correlation between the two variables. The correlations between pollutants and influencing factors were depicted in this study using Pearson correlation analysis (Yang et al., 2019). The formula is shown in Equation 8:

$$r = \frac{\sum_{i=1}^n (X_i - \bar{X})(Y_i - \bar{Y})}{\sqrt{\sum_{i=1}^n (X_i - \bar{X})^2} \sqrt{\sum_{i=1}^n (Y_i - \bar{Y})^2}} \quad (8)$$

where  $X_i$  and  $Y_i$  are the data of two variables respectively;  $\bar{X}$  and  $\bar{Y}$  are the average of two variables, respectively;  $r$  is the correlation coefficient, and  $r$  range is between  $-1$  and  $1$ . The closer  $|r|$  is to  $1$ , and the stronger the correlation is, the closer  $|r|$  is to  $0$ , demonstrating that the two variables seldom have any link.

### 2.2.4 Geographical detector

Geographical detector can explain the spatial differentiation of geographical phenomena and elucidate their underlying causes. The fundamental idea is that the spatial distributions of  $X$  and  $Y$  should tend to be similar if  $X$ , the independent variable, significantly influences  $Y$ , the dependent variable (Cao et al., 2013). Utilizing the factor detector and interaction detector in the geographical detector, the primary influencing variables and interactions of the spatial distribution of pollutants were examined in this paper.

Factor detector involves the detection of the space difference of  $Y$ , the dependent variable, and exploring the extent to which a factor  $X$  explains the spatial differentiation of  $Y$ , measured by the value of  $q$  (Wang and Xu, 2017). The specific formula is shown in Equation 9:

$$q = 1 - \frac{1}{N\sigma^2} \sum_{i=1}^L N_i \sigma_i^2 \quad (9)$$

where  $q$  is the explanatory power of the factor to the variable  $Y$ ;  $i = 1, 2, 3$ ;  $L$  is the stratification of factor  $X$  or variable  $Y$ ; and  $N$  are the number of units in layer  $i$  and the whole region, respectively.  $\sigma_i^2$  and  $\sigma^2$  are the variances of layer  $i$  and region  $Y$ , respectively. The explanation power of factors  $X$  to  $Y$  increases with  $q$  value, which has a range of values from  $0$  to  $1$ .

Interaction detector is the process of finding interactions between various risk variables, i.e., determining whether  $X_i$  and  $X_j$  together will increase or decrease the explaining ability of the dependent variable  $Y$  or whether  $X$  is not dependent on  $Y$  (Lin et al., 2021). The interaction results are presented in Table 1.



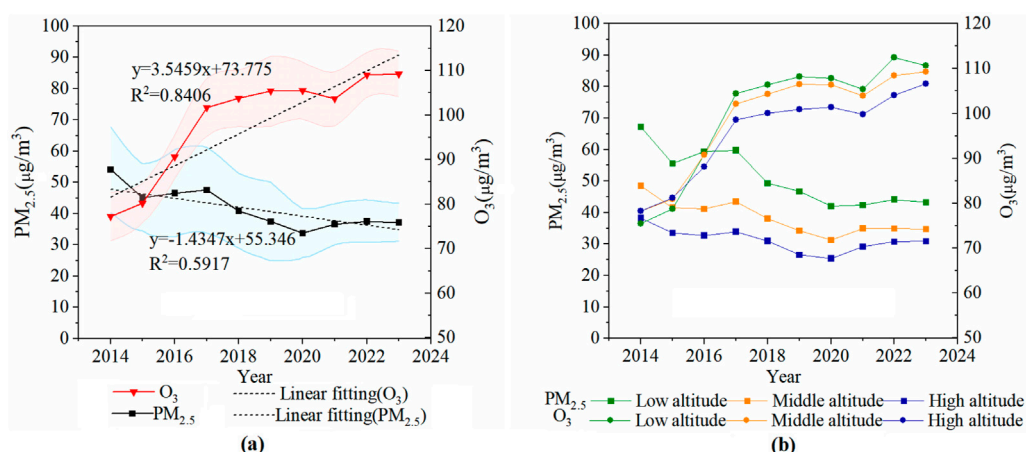


FIGURE 2

Annual change of  $PM_{2.5}$  and  $O_3$  in Fenwei Plain from 2014 to 2023 [The red area in (A) is the  $PM_{2.5}$  error band; the blue area is the  $O_3$  error band]. (A) The entire area, (B) The different altitudes.

### 3 Results

#### 3.1 The temporal variation characteristics of $PM_{2.5}$ and $O_3$

Figure 2 depicts the annual variation of  $PM_{2.5}$  and  $O_3$  concentrations in Fenwei Plain from 2014 to 2023. The mean  $PM_{2.5}$  in Fenwei Plain was  $41.66 \mu\text{g}/\text{m}^3$ , which exceeded the national secondary concentration limit of  $35 \mu\text{g}/\text{m}^3$ . The mean  $O_3$  was  $98.67 \mu\text{g}/\text{m}^3$ , which was lower than the national primary concentration limit of  $100 \mu\text{g}/\text{m}^3$ . Figure 2A shows the overall downward trend of  $PM_{2.5}$  in Fenwei Plains (decline coefficient:  $1.4347 \mu\text{g}/\text{m}^3/\text{a}$ ), but it begins to show a slight increasing trend in 2021. In contrast to the tendency for  $PM_{2.5}$  to change over time,  $O_3$  showed an upward trend (upper coefficient:  $3.5459 \mu\text{g}/\text{m}^3/\text{a}$ ), peaking in 2023 after a brief decrease in 2021. Figure 2B demonstrates that the concentration change trend of the two pollutants at different altitudes was essentially consistent with the annual change of the entire region, with an upward trend for  $O_3$  and a downward trend for  $PM_{2.5}$ . The concentration level decreased from high to low as follows: low altitude area > middle altitude area > high altitude area. The  $PM_{2.5}$  in low altitude areas was decreasing the most, with a concentration difference of  $10\text{--}20 \mu\text{g}/\text{m}^3$ . Compared to  $PM_{2.5}$ , the difference in  $O_3$  between different altitudes is smaller. However, after 2016, the differences began to gradually increase, especially at middle and high altitudes.

#### 3.2 The spatial variation characteristics of $PM_{2.5}$ and $O_3$

##### 3.2.1 Spatial variation characteristic of $PM_{2.5}$

Figure 3 depicts the spatial variation of  $PM_{2.5}$  in Fenwei Plain from 2014 to 2023. From 2014 to 2017, concentrations were greater than  $75 \mu\text{g}/\text{m}^3$  at most low to middle altitudes areas. Over time, the extent of the high pollution zone is gradually reduced,

with concentrations below  $75 \mu\text{g}/\text{m}^3$  throughout the Fenwei Plain by 2020. It is evident that the Fen Wei Plain's  $PM_{2.5}$  pollution is steadily getting better. Notably,  $PM_{2.5}$  exhibited a spatial pattern of high concentration in the middle areas and low concentration in the surrounding areas. The high pollution areas are mainly found in the low altitude areas such as Yuncheng, Xianyang, Weinan, and Linfen, while the  $PM_{2.5}$  concentration in the high altitude and other marginal areas is low. This phenomenon may be attributed to topographical factors; specifically, the terrain of the Fenwei Plain is relatively low in its center while rising on all sides, which hampers pollutant dispersion and contributes to significant  $PM_{2.5}$  pollution within the Fenwei Plain Basin.

To further reflect the trend of  $PM_{2.5}$ , this study used Theil-Sen trend analysis and Mann-Kendall significance to explore the trend of  $PM_{2.5}$  from 2014 to 2023. The results are displayed in Figure 4. In the majority of areas, the  $PM_{2.5}$  slope was smaller than 0, suggesting a general downward trend in  $PM_{2.5}$ . The type of declining trend is dominated by significant declines, with a few areas of slight declines concentrated in high altitude areas of the Fenwei Plain. Moreover, in contrast to the spatial distribution pattern of  $PM_{2.5}$ , the slope of  $PM_{2.5}$  overall decreased as elevation decreased, and the more significant the declining tendency, the lower the height. Even if the  $PM_{2.5}$  concentrations were higher at lower elevations, it was evident that these areas have been remarkably treated, particularly in Xianyang, Yuncheng, and Weinan.

##### 3.2.2 Spatial variation characteristic of $O_3$

Figure 5 depicts the  $O_3$  spatial variation from 2014 to 2023. From 2014 to 2016,  $O_3$  in the majority of the Fenwei Plain was below the national concentration limit of  $100 \mu\text{g}/\text{m}^3$ . In 2017,  $O_3$  in the Fenwei Plain increased significantly, with the most notable increases in Yuncheng and Linfen. Between 2017 and 2023,  $O_3$  pollution progressively spread from the central to the eastern regions, leading to an overall distribution of  $O_3$  that was east-high and west-low. By 2023,  $O_3$  level in the Fenwei Plain exceeded  $110 \mu\text{g}/\text{m}^3$  in the east and  $100 \mu\text{g}/\text{m}^3$  in the west. By 2023, the concentration of  $O_3$  in the

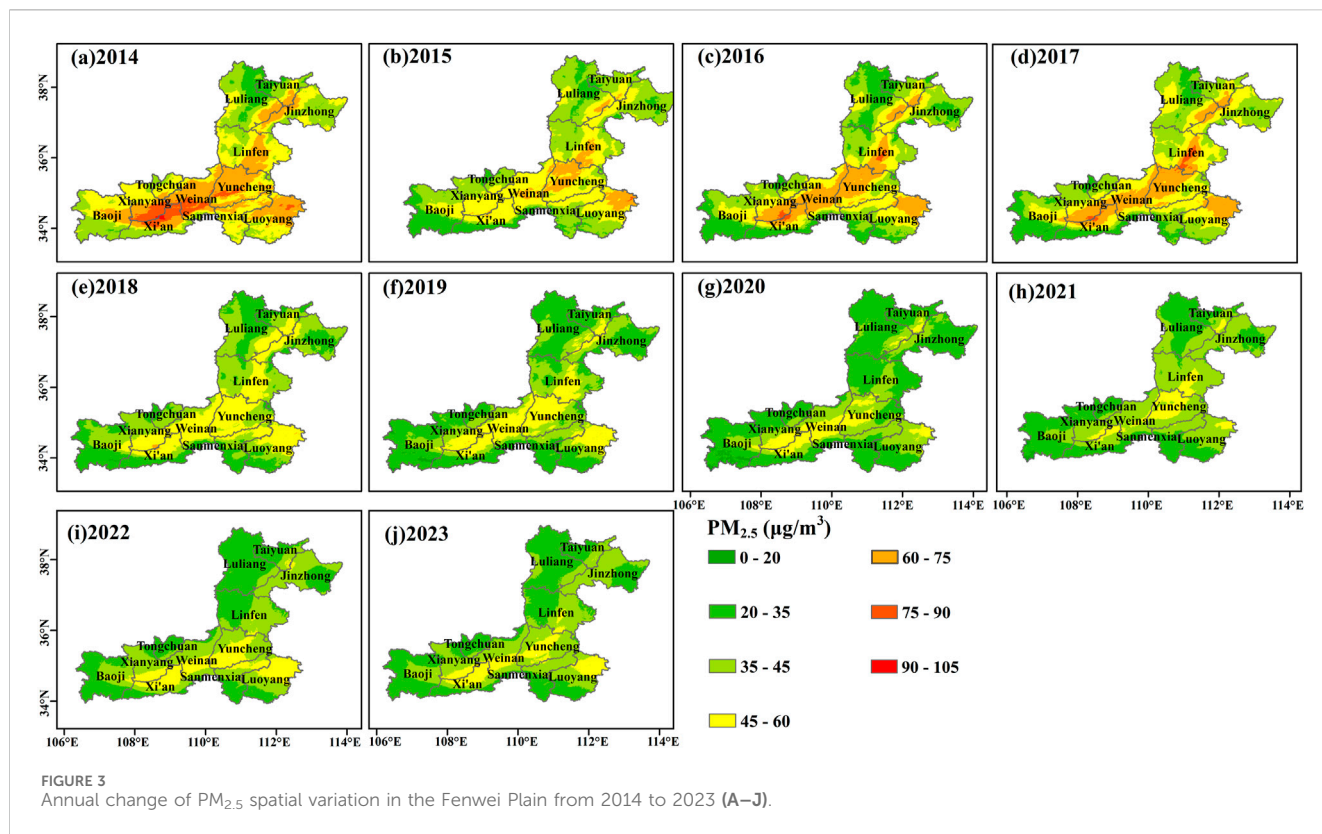


FIGURE 3 Annual change of PM<sub>2.5</sub> spatial variation in the Fenwei Plain from 2014 to 2023 (A–J).

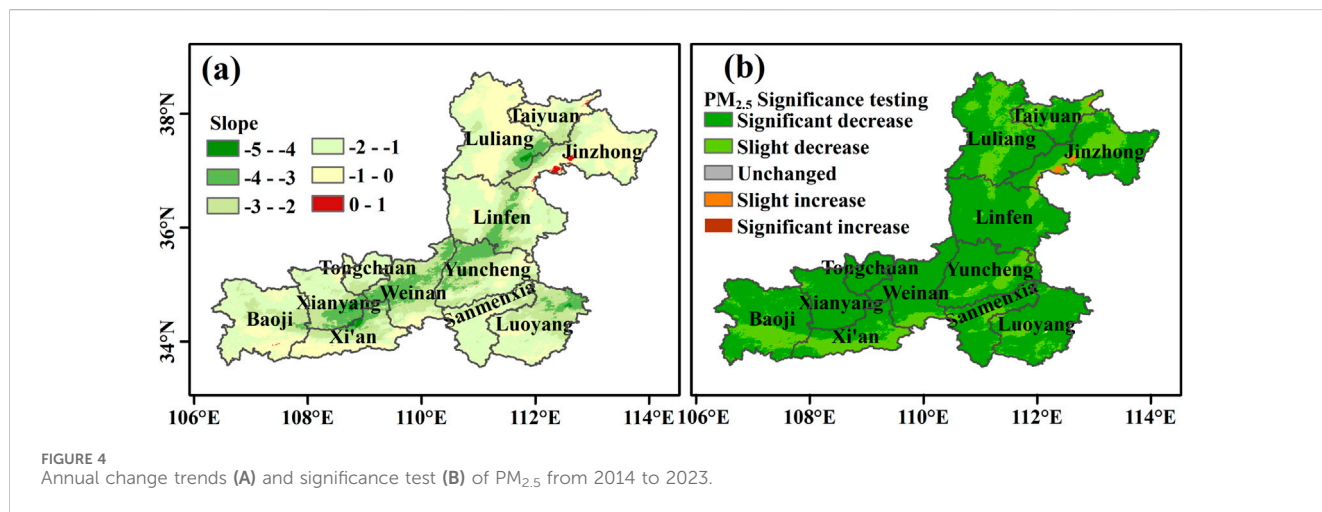


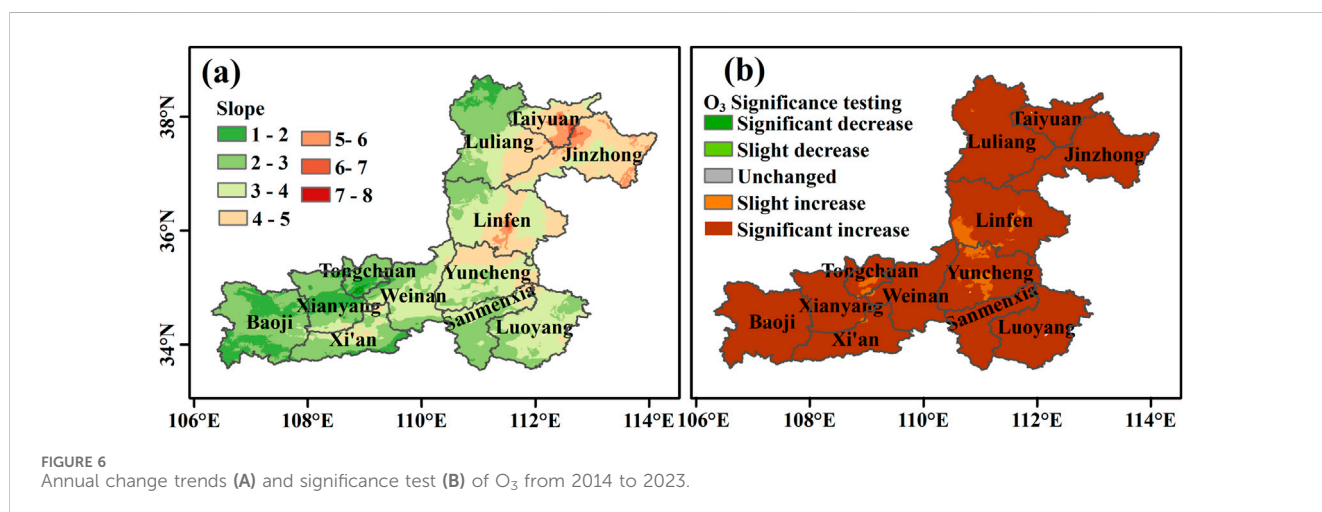
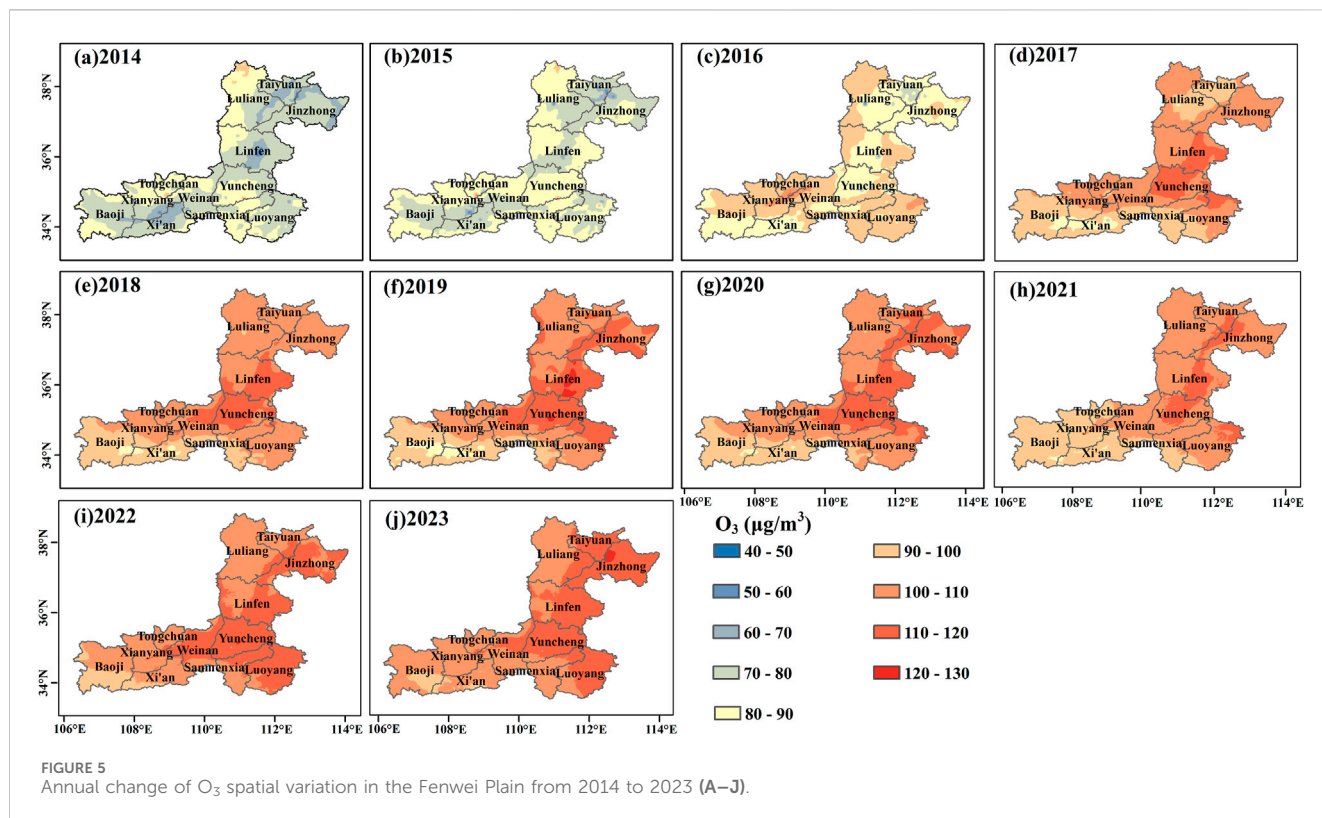
FIGURE 4 Annual change trends (A) and significance test (B) of PM<sub>2.5</sub> from 2014 to 2023.

eastern region of the Fenwei Plain was higher than 110 µg/m<sup>3</sup>, and the concentration in the western region was also more than 100 µg/m<sup>3</sup>.

The O<sub>3</sub> trend analysis and significance test findings from 2014 to 2023 are shown in Figure 6. Over the whole region, the O<sub>3</sub> slope were more than 0. The O<sub>3</sub> concentration exhibited a noteworthy increase in the majority of the regions, particularly in the central regions of Jinzhong, Linfen, and Taiyuan, where the upward trend was particularly considerable and the slopes were greater. Moreover, although it was less noticeable than that of PM<sub>2.5</sub>, the trend of O<sub>3</sub> also displayed an altitude differentiation feature.

### 3.2.3 Spatial distribution characteristics of PM<sub>2.5</sub> and O<sub>3</sub> at different altitudes

Figure 7 depicts the multi-year average values of PM<sub>2.5</sub> and O<sub>3</sub> at different altitudes. The PM<sub>2.5</sub> concentrations varied significantly at low, middle, and high altitudes, followed by: low altitude area 50.97 µg/m<sup>3</sup> > middle altitude area 38.28 µg/m<sup>3</sup> > high altitude area 31.22 µg/m<sup>3</sup>. The concentration difference of O<sub>3</sub> is not obvious, followed by: low altitude area 100.07 µg/m<sup>3</sup> > middle altitude area 99.11 µg/m<sup>3</sup> > high altitude area 95.92 µg/m<sup>3</sup>. In low altitude area, PM<sub>2.5</sub> was greater than 45 µg/m<sup>3</sup>, whereas the concentration in the majority of regions was between 45–60 µg/m<sup>3</sup>. The highest concentration of O<sub>3</sub> was



in the central region, while the concentration was substantially higher in the northern region than the southern region. In the middle altitude area, the range of PM<sub>2.5</sub> in most areas was 20–60 µg/m<sup>3</sup>, and the range of O<sub>3</sub> was 90–100 µg/m<sup>3</sup>. In high altitude area, the PM<sub>2.5</sub> range was 0–35 µg/m<sup>3</sup>, and the O<sub>3</sub> range was 80–95 µg/m<sup>3</sup>. Combining the spatiotemporal distribution of PM<sub>2.5</sub> and O<sub>3</sub> at different altitudes revealed that the two pollutants had similar changes as a result of the terrain, as concentrations for both were as follows: low altitude area > middle altitude area > high altitude area. This phenomenon may be attributable to the influence of social and economic factors such as vehicles, factories, and other pollutants in low-altitude

regions, which result in high pollutant concentrations, while less artificial pollution in high-altitude regions results in low concentrations. In addition, compared to the change in O<sub>3</sub>, the elevation gradient of PM<sub>2.5</sub> was significantly greater, indicating that terrain significantly affected PM<sub>2.5</sub> but had a smaller impact on O<sub>3</sub>.

### 3.2.4 Spatial clustering characteristics of PM<sub>2.5</sub> and O<sub>3</sub>

The analysis of the global spatial autocorrelation of PM<sub>2.5</sub> and O<sub>3</sub> in Fenwei Plain revealed that the global Moran's I of PM<sub>2.5</sub> and O<sub>3</sub> was greater than 0.9, indicating significant spatial autocorrelation



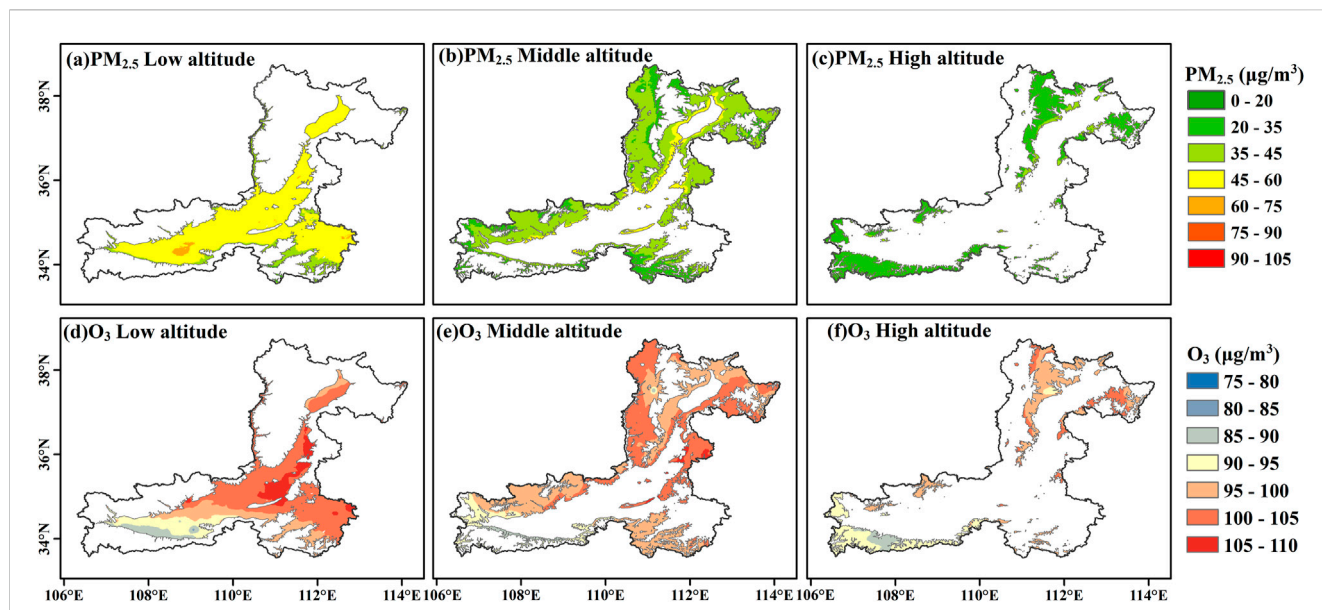


FIGURE 7 Spatial characteristics of PM<sub>2.5</sub> and O<sub>3</sub> in Fenwei Plain at different altitudes (A–F).

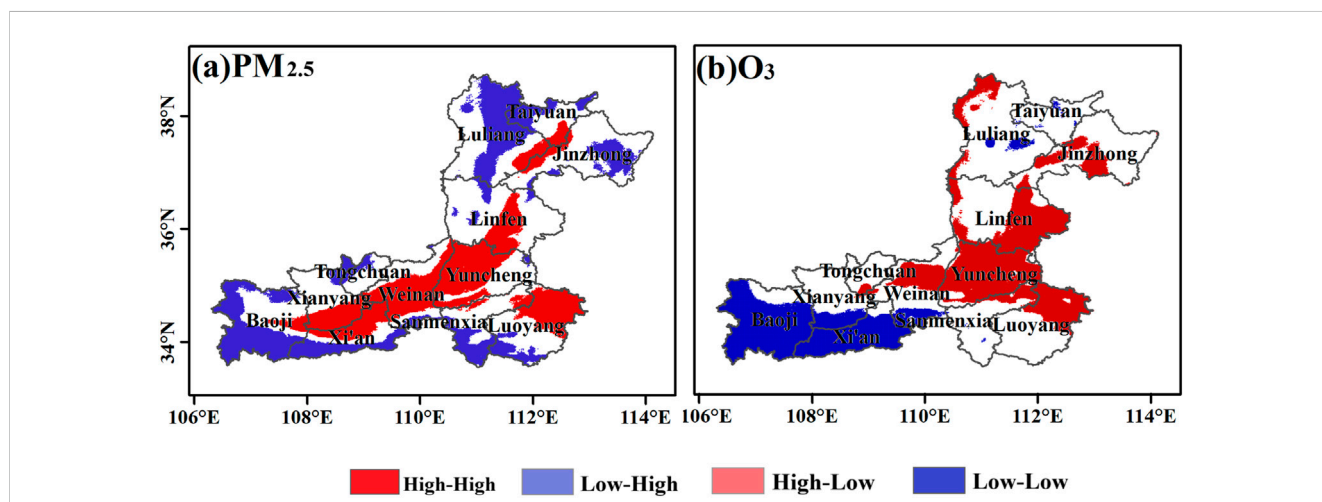


FIGURE 8 Spatial autocorrelation of PM<sub>2.5</sub> (A) and O<sub>3</sub> (B) in the Fenwei Plain from 2014 to 2023.

and spatial agglomeration. Figure 8A shows that the ‘High-High’ clustering of PM<sub>2.5</sub> was primarily found in low altitude areas of Linfen, Yuncheng, Xianyang, Luoyang, Weinan, and Xi’an, as well as at the junction of Lvliang, Taiyuan, and Jinzhong. These areas and their surroundings exhibited high levels of PM<sub>2.5</sub> pollution, making them the regions with significant PM<sub>2.5</sub> pollution in Fenwei Plain. The “low-low” clustering of PM<sub>2.5</sub> was primarily found in high altitude areas like the west side of Taiyuan, the central part of Lvliang, the southeastern part of Jinzhong, and the southern edge of the Fenwei Plain. This suggests that these areas and their surroundings have generally low levels of PM<sub>2.5</sub> and are less polluted. As shown in Figure 8B, the clustering of high levels of O<sub>3</sub> was predominantly found in middle and low altitude areas, such

as Yuncheng, Weinan, the northern part of Luoyang, the eastern part of Linfen, northern part of Jinzhong, and the northwestern fringe region. This suggests that O<sub>3</sub> levels are generally elevated in these areas and their vicinity. The “low-low” clustering of O<sub>3</sub> was seen in the middle and high altitude areas of Xi’an, and Baoji, suggesting that O<sub>3</sub> is low in these areas and their surroundings. PM<sub>2.5</sub> and O<sub>3</sub> exhibited spatial concentration overlap. Overall, the overlapping areas of PM<sub>2.5</sub> and O<sub>3</sub> high pollution centers were mostly found in the low altitude areas of Yuncheng, Weinan, Linfen, and Luoyang. The low pollution overlap areas were mainly found in the middle and high altitude areas, such as Baoji, and Xi’an. PM<sub>2.5</sub> and O<sub>3</sub> exhibited local spatial synergies, indicating a necessity for coordinated treatment of both pollutants.



TABLE 2 PM<sub>2.5</sub> and O<sub>3</sub> correlation coefficients in Fenwei Plain from 2014 to 2020.

Influencing factors		PM <sub>2.5</sub>				O <sub>3</sub>			
		All area	Low altitude	Middle altitude	High altitude	All area	Low altitude	Middle altitude	High altitude
Socioeconomic factors	GDP	-0.824	-0.8383	-0.8023	-0.7671	0.8896	0.8875	0.8935	0.8914
	NLI	-0.0961	-0.0732	-0.1171	-0.0921	0.4193	0.0508	0.357	0.0444
	Population	-0.1867	-0.0778	-0.2182	-0.3269	0.1968	0.0495	0.2319	0.4038
Precursor contaminant factors	NO <sub>2</sub>	0.1328	0.2223	0.0832	0.0709	0.2631	0.2207	0.3187	0.2211
	SO <sub>2</sub>	0.8638	0.8846	0.8537	0.8467	-0.8555	-0.8478	-0.8572	-0.8659
Meteorological factors	Temperatures	-0.0027	-0.0996	0.0861	-0.0196	0.4651	0.6097	0.3872	0.3645
	Precipitation	-0.5778	-0.6629	-0.5595	-0.4533	0.6385	0.6453	0.6338	0.6358

### 3.3 Analysis of influencing factors on PM<sub>2.5</sub> and O<sub>3</sub>

#### 3.3.1 Correlation analysis

This study uses Pearson correlation analysis to study the linear relationship between PM<sub>2.5</sub>, O<sub>3</sub>, and three types of influential factors. Table 2 shows the results of the correlation analysis of PM<sub>2.5</sub> and O<sub>3</sub> with the three categories of influencing factors at various altitude scales. For the entire Fenwei Plain, the correlated coefficients between the main influential factors and PM<sub>2.5</sub> were as follows: SO<sub>2</sub> (0.8638) > GDP (-0.824) > precipitation (-0.5779), and for O<sub>3</sub>, GDP (0.8896) > SO<sub>2</sub> (-0.8555) > precipitation (0.6385). The two pollutants had comparable primary influence factors, but their coefficients were opposite. This could be a significant inverse correlation between PM<sub>2.5</sub> and O<sub>3</sub>. For the low, middle, and high altitude areas, the relevant PM<sub>2.5</sub> and O<sub>3</sub> coefficients were extremely similar throughout the entire region. The correlated coefficients between PM<sub>2.5</sub> and the three main influence factors (precipitation, GDP, and SO<sub>2</sub>) decreased progressively with increasing altitude, whereas the correlation coefficient between O<sub>3</sub> and the three most influential factors was not significantly correlated with altitude.

#### 3.3.2 Analysis of the drivers of PM<sub>2.5</sub> and O<sub>3</sub> spatial divergence

Correlation analysis does not imply causation. PM<sub>2.5</sub> and O<sub>3</sub> spatial pollution characteristics are the result of numerous complex factors, such as meteorology, social economy, and precursor pollutants, and are not only a single linear relationship or influenced by a single pollutant. Therefore, this paper further identified the driving factors of PM<sub>2.5</sub> and O<sub>3</sub> spatial differentiation at low, middle, and high altitudes in the Fenwei Plain by using the detector and interaction detector of the geographic detector.

##### 3.3.2.1 Analysis of driving factors in low altitude area

Tables 3, 4 demonstrate the results of factor detection and interaction detection of PM<sub>2.5</sub> and O<sub>3</sub> in low altitude area of the Fenwei Plain. Table 3 shows that the sig for all the influences was less than 0.05, indicating that all passed the test of significance. NO<sub>2</sub> (0.2930) and SO<sub>2</sub> (0.5413) were the main drivers of PM<sub>2.5</sub> and O<sub>3</sub>, respectively. Table 4 shows that the results of the

interaction detection of the two pollutants are double factor enhancement and non-linearly enhanced. NO<sub>2</sub> and precipitation (0.3849) and NO<sub>2</sub> and temperature (0.387) were the predominant interaction factors of PM<sub>2.5</sub>. Nevertheless, the explanatory power of the interaction of the remaining influencing factors on the spatial differentiation of PM<sub>2.5</sub> differed less from that of the dominant factors. This may be due to the fact that the mechanisms affecting PM<sub>2.5</sub> at low altitudes are more complex and susceptible to a combination of meteorological, precursor and socio-economic factors. The *q* values between SO<sub>2</sub> and other influencing factors were all greater than 0.5793, which was the most important interaction center. It can be seen in Table 4 that the interaction increased the two pollutants' spatial differentiation's explanatory power. NO<sub>2</sub> and precipitation (0.3849) and NO<sub>2</sub> and temperature (0.387) were the predominant interaction factors of PM<sub>2.5</sub>, but each factor's ability to explain the spatial difference of PM<sub>2.5</sub> was still modest. The dominant interaction factors of O<sub>3</sub> were SO<sub>2</sub> and precipitation (0.8383), SO<sub>2</sub> and NO<sub>2</sub> (0.7233), and precipitation and temperature (0.7043), all of which have high explanatory power. It indicates that O<sub>3</sub> in low altitude area was primarily influenced by the interaction of precursor contaminants and meteorological factors. And the spatial differentiation of PM<sub>2.5</sub> and O<sub>3</sub> in low altitude area was formed by a variety of influencing factors. Furthermore, precipitation and temperature (0.7043), and NO<sub>2</sub> and temperature (0.5778) also had high explanatory power for O<sub>3</sub>.

##### 3.3.2.2 Analysis of driving factors in middle altitude area

Tables 5, 6 demonstrate the results of factor detection and interaction detection of PM<sub>2.5</sub> and O<sub>3</sub> in the middle altitude area of the Fenwei Plain. According to Table 5, NO<sub>2</sub> (0.3149) and SO<sub>2</sub> (0.3661) were, respectively, the primary drivers of PM<sub>2.5</sub> and O<sub>3</sub> levels. As can be seen from Table 5, the results of the two pollutant interaction detectors at mid-altitude remain of two types: two-factor enhancement and non-linear enhancement. Table 6 demonstrated that there are still two types of outcomes from the two pollutant interaction detectors at mid-altitude: non-linear enhancement and two-factor enhancement. NO<sub>2</sub> and temperature (0.4486), NO<sub>2</sub> and precipitation (0.4051), SO<sub>2</sub> and temperature (0.03717), NO<sub>2</sub> and people (0.3571) were the primary interaction factors for PM<sub>2.5</sub>. For

TABLE 3 Results of PM<sub>2.5</sub> and O<sub>3</sub> factor detectors at low altitude in Fenwei Plain.

Factor type (low altitude area)	Detection factor	PM <sub>2.5</sub>		O <sub>3</sub>	
		q	sig	q	sig
Precursor contaminant factors	SO <sub>2</sub>	0.0941	6.14E-10	0.5413	7.44E-10
	NO <sub>2</sub>	0.2930	4.66E-10	0.2955	8.41E-10
Socioeconomic Factors	GDP	0.1703	1.39E-10	0.1216	6.02E-11
	Population	0.1395	2.53E-10	0.0777	3.92E-10
	NLI	0.1589	9.86E-10	0.0863	8.29E-10
Meteorological factors	Precipitation	0.1463	5.97E-10	0.2632	1.50E-10
	Temperatures	0.1798	3.39E-10	0.1061	7.28E-10

q represents explanatory power; sig represents significance; if sig <0.05, it means significant; if sig = 0.05, it means standard; if sig >0.05, it means not significant.

TABLE 4 Results of PM<sub>2.5</sub> and O<sub>3</sub> interaction detectors at low altitude in Fenwei Plain.

PM <sub>2.5</sub>							
Detection factor (low altitude area)	SO <sub>2</sub>	NO <sub>2</sub>	GDP	Precipitation	Temperatures	NLI	Population
SO <sub>2</sub>	—	—	—	—	—	—	—
NO <sub>2</sub>	0.3677 <sup>a</sup>	NA	—	—	—	—	—
GDP	0.2428 <sup>a</sup>	0.358 <sup>a</sup>	—	—	—	—	—
Precipitation	0.307 <sup>b</sup>	0.3849 <sup>a</sup>	0.2839 <sup>a</sup>	—	—	—	—
Temperatures	0.3674 <sup>b</sup>	0.3847 <sup>a</sup>	0.2992 <sup>a</sup>	0.3753 <sup>b</sup>	—	—	—
NLI	0.2257 <sup>a</sup>	0.3578 <sup>a</sup>	0.1883 <sup>a</sup>	0.2755 <sup>a</sup>	0.3138 <sup>b</sup>	—	—
Population	0.2125 <sup>a</sup>	0.3219 <sup>a</sup>	0.188 <sup>a</sup>	0.2616 <sup>a</sup>	0.2724 <sup>a</sup>	0.1947 <sup>b</sup>	—
O <sub>3</sub>							
Detection factor (low altitude area)	SO <sub>2</sub>	NO <sub>2</sub>	GDP	Precipitation	Temperatures	NLI	Population
SO <sub>2</sub>	—	—	—	—	—	—	—
NO <sub>2</sub>	0.7233 <sup>a</sup>	—	—	—	—	—	—
GDP	0.5971 <sup>a</sup>	0.3358 <sup>a</sup>	—	—	—	—	—
Precipitation	0.8383 <sup>b</sup>	0.4804 <sup>b</sup>	0.3892 <sup>b</sup>	—	—	—	—
Temperatures	0.6807 <sup>b</sup>	0.5778 <sup>b</sup>	0.2683 <sup>b</sup>	0.7043 <sup>b</sup>	—	—	—
NLI	0.6224 <sup>a</sup>	0.3188 <sup>a</sup>	0.1549 <sup>a</sup>	0.3585 <sup>b</sup>	0.2319 <sup>a</sup>	—	—
Population	0.5793 <sup>a</sup>	0.3239 <sup>a</sup>	0.1388 <sup>a</sup>	0.3527 <sup>b</sup>	0.2181 <sup>b</sup>	0.1204 <sup>a</sup>	—

<sup>a</sup>Represents double factor enhancement.

<sup>b</sup>Represents nonlinear enhancement.

O<sub>3</sub>, SO<sub>2</sub> remained the most significant interactive center, with q values between SO<sub>2</sub> and other influencing factors all having exceeded 0.4184. Notably, the q values for the interactions between SO<sub>2</sub> and precipitation, as well as between SO<sub>2</sub> and temperature, were both greater than 0.6. In addition, NO<sub>2</sub> and precipitation (0.5216) also had high explanatory power for O<sub>3</sub>. The dominant interaction factors had a high degree of consistency in low and middle altitude areas. Among these, the interpretation of the main driving factors and interactor factors for PM<sub>2.5</sub> had improved, whereas the interpreting power for O<sub>3</sub> had been slightly diminished.

### 3.3.2.3 Analysis of driving factors in high altitude area

Tables 7, 8 demonstrate the results of factor detection and interaction detection of PM<sub>2.5</sub> and O<sub>3</sub> in high altitude area of the Fenwei Plain. According to Table 7, the factors with the highest explanatory power for PM<sub>2.5</sub> in this region were SO<sub>2</sub> (0.6151) and NO<sub>2</sub> (0.5571), whereas the factors with the highest explanatory power for O<sub>3</sub> were SO<sub>2</sub> (0.6616) and precipitation (0.6081). Table 8 shows that for PM<sub>2.5</sub>, the q values of the interactions between NO<sub>2</sub> and SO<sub>2</sub> and the other influences were all higher than 0.5833, particularly for SO<sub>2</sub> and temperature (0.7423), SO<sub>2</sub> and precipitation (0.7107), and NO<sub>2</sub> and

TABLE 5 Results of PM<sub>2.5</sub> and O<sub>3</sub> factor detectors at middle altitude in Fenwei Plain.

Factor type (middle altitude area)	Detection factor	PM <sub>2.5</sub>		O <sub>3</sub>	
		q	sig	q	sig
Precursor contaminant factors	SO <sub>2</sub>	0.1996	7.71E-10	0.3661	9.45E-10
	NO <sub>2</sub>	0.3149	5.39E-10	0.2362	9.15E-10
Socioeconomic Factors	GDP	0.1070	5.09E-10	0.0118	7.01E-01
	Population	0.1056	2.88E-10	0.0051	1.00E+00
	NLI	0.0977	2.10E-10	0.0343	4.22E-01
Meteorological factors	Precipitation	0.1179	3.09E-10	0.2703	9.75E-10
	Temperatures	0.1469	6.47E-10	0.0496	8.08E-10

q represents explanatory power; sig represents significance; if sig <0.05, it means significant; if sig = 0.05, it means standard; if sig >0.05, it means not significant.

TABLE 6 Results of PM<sub>2.5</sub> and O<sub>3</sub> interaction detectors at middle altitude in Fenwei Plain.

PM <sub>2.5</sub>							
Detection factor (middle altitude area)	SO <sub>2</sub>	NO <sub>2</sub>	GDP	Precipitation	Temperatures	NLI	Population
SO <sub>2</sub>	—	—	—	—	—	—	—
NO <sub>2</sub>	0.3697 <sup>a</sup>	—	—	—	—	—	—
GDP	0.2804 <sup>a</sup>	0.3586 <sup>a</sup>	—	—	—	—	—
Precipitation	0.3467 <sup>b</sup>	0.4051 <sup>a</sup>	0.2281 <sup>b</sup>	—	—	—	—
Temperatures	0.3717 <sup>b</sup>	0.4486 <sup>b</sup>	0.2952 <sup>b</sup>	0.383 <sup>b</sup>	—	—	—
NLI	0.2603 <sup>a</sup>	0.3458 <sup>a</sup>	0.1208 <sup>a</sup>	0.2234 <sup>a</sup>	0.3038 <sup>b</sup>	—	—
Population	0.3007 <sup>a</sup>	0.3571 <sup>a</sup>	0.1394 <sup>a</sup>	0.2299 <sup>a</sup>	0.2556 <sup>b</sup>	0.1393 <sup>a</sup>	—
O <sub>3</sub>							
Detection factor (middle altitude area)	SO <sub>2</sub>	NO <sub>2</sub>	GDP	Precipitation	Temperatures	NLI	Population
SO <sub>2</sub>	—	—	—	—	—	—	—
NO <sub>2</sub>	0.5403 <sup>a</sup>	—	—	—	—	—	—
GDP	0.4536 <sup>b</sup>	0.2785 <sup>b</sup>	—	—	—	—	—
Precipitation	0.6964 <sup>b</sup>	0.5216 <sup>b</sup>	0.3064 <sup>b</sup>	—	—	—	—
Temperatures	0.6162 <sup>b</sup>	0.3912 <sup>b</sup>	0.0922 <sup>b</sup>	0.5889 <sup>b</sup>	—	—	—
NLI	0.4762 <sup>a</sup>	0.2995 <sup>a</sup>	0.0542 <sup>a</sup>	0.3228 <sup>b</sup>	0.1062 <sup>a</sup>	—	—
Population	0.4184 <sup>b</sup>	0.2533 <sup>a</sup>	0.0198 <sup>a</sup>	0.2867 <sup>b</sup>	0.0768 <sup>a</sup>	0.053 <sup>a</sup>	—

<sup>a</sup>Represents double factor enhancement.

<sup>b</sup>Represents nonlinear enhancement.

temperature (0.7035). These interactions had a very significant explanatory power for the spatial differentiation of PM<sub>2.5</sub>. For O<sub>3</sub>, SO<sub>2</sub> and NO<sub>2</sub> were also at the center of the interaction, with SO<sub>2</sub> and precipitation (0.8717) and NO<sub>2</sub> and precipitation (0.831) having the highest explanatory power for O<sub>3</sub>. However, the explanatory power of NO<sub>2</sub> interacting with the rest of the influences on the spatial differentiation of O<sub>3</sub> decreased compared to the q value of PM<sub>2.5</sub>. Compared to low and middle altitudes, the explanatory power of the dominant interaction factors for both pollutants was significantly higher, particularly for the socio-economic factors.

## 4 Discussion

### 4.1 Spatial heterogeneity of PM<sub>2.5</sub> and O<sub>3</sub> and their causes

This study revealed the spatial heterogeneity and influencing factors of PM<sub>2.5</sub> and O<sub>3</sub> in the Fenwei Plain from the perspective of the impact of altitude on air pollutants. From 2014 to 2023, an overall decrease in PM<sub>2.5</sub> was observed in the Fenwei Plain. This reduction is primarily attributed to the Chinese government’s strategic air pollution

TABLE 7 Results of PM<sub>2.5</sub> and O<sub>3</sub> factor detectors at high altitude in Fenwei Plain.

Factor type (high altitude area)	Detection factor	PM <sub>2.5</sub>		O <sub>3</sub>	
		q	sig	q	sig
Precursor contaminant factors	SO <sub>2</sub>	0.6151	8.92E-10	0.6616	8.08E-10
	NO <sub>2</sub>	0.5571	7.00E-10	0.4361	9.78E-10
Socioeconomic Factors	GDP	0.1111	7.55E-10	0.0331	1.00E+00
	Population	0.1613	7.53E-11	0.1407	2.79E-10
	NLI	0.1418	5.11E-10	0.0767	2.12E-10
Meteorological factors	Precipitation	0.3081	8.30E-10	0.6083	8.22E-10
	Temperatures	0.1617	7.51E-10	0.0831	9.04E-10

q represents explanatory power; sig represents significance; if sig <0.05, it means significant; if sig = 0.05, it means standard; if sig >0.05, it means not significant.

TABLE 8 Results of PM<sub>2.5</sub> and O<sub>3</sub> interaction detectors at high altitude in Fenwei Plain.

Detection factor (high altitude area)	PM <sub>2.5</sub>						
	SO <sub>2</sub>	NO <sub>2</sub>	GDP	Precipitation	Temperatures	NLI	Population
SO <sub>2</sub>	—	—	—	—	—	—	—
NO <sub>2</sub>	0.6839 <sup>a</sup>	—	—	—	—	—	—
GDP	0.6414 <sup>a</sup>	0.5833 <sup>a</sup>	—	—	—	—	—
Precipitation	0.7107 <sup>a</sup>	0.6794 <sup>a</sup>	0.384 <sup>b</sup>	—	—	—	—
Temperatures	0.7423 <sup>a</sup>	0.7035 <sup>b</sup>	0.3011 <sup>b</sup>	0.6439 <sup>b</sup>	—	—	—
NLI	0.6402 <sup>a</sup>	0.584 <sup>a</sup>	0.1639 <sup>a</sup>	0.412 <sup>a</sup>	0.3215 <sup>a</sup>	—	—
Population	0.6467 <sup>a</sup>	0.6055 <sup>a</sup>	0.2166 <sup>a</sup>	0.4409 <sup>b</sup>	0.3495 <sup>b</sup>	0.2378 <sup>a</sup>	—
Detection factor (high altitude area)	O <sub>3</sub>						
	SO <sub>2</sub>	NO <sub>2</sub>	GDP	Precipitation	Temperatures	NLI	Population
SO <sub>2</sub>	—	—	—	—	—	—	—
NO <sub>2</sub>	0.7249 <sup>a</sup>	—	—	—	—	—	—
GDP	0.6833 <sup>a</sup>	0.4553 <sup>a</sup>	—	—	—	—	—
Precipitation	0.8717 <sup>b</sup>	0.831 <sup>b</sup>	0.6279 <sup>b</sup>	—	—	—	—
Temperatures	0.7439 <sup>b</sup>	0.6274 <sup>b</sup>	0.1254 <sup>b</sup>	0.7775 <sup>b</sup>	—	—	—
NLI	0.6942 <sup>a</sup>	0.4605 <sup>a</sup>	0.0903 <sup>a</sup>	0.6521 <sup>a</sup>	0.1627 <sup>a</sup>	—	—
Population	0.7097 <sup>a</sup>	0.5432 <sup>b</sup>	0.1482 <sup>b</sup>	0.6526 <sup>b</sup>	0.2583 <sup>b</sup>	0.1831 <sup>a</sup>	—

<sup>a</sup>Represents double factor enhancement.

<sup>b</sup>Represents nonlinear enhancement.

prevention initiatives, including the promotion of clean heating solutions and stringent regulation of coal consumption. Notably, the enforcement of the ‘Three-Year Action Plan to Win the Blue Sky Defense War’ in 2018, along with the ‘Autumn and Winter Action Plan for Comprehensive Air Pollution Control in the Fenwei Plain for 2018–2019,’ led to a marked decrease in PM<sub>2.5</sub>. Concurrently, these measures also effectively mitigated the escalating trend in O<sub>3</sub> (Zhou et al., 2023). The distribution of PM<sub>2.5</sub> and O<sub>3</sub> in the area was greatly influenced by topography, resulting in the formation of pollution concentration areas in topography characterized by a ‘trumpet

mouth” and basin, featuring low elevation surrounded by mountains, as well as in some plain areas. This pattern aligns well with research on comparable topographical features (Shu et al., 2023). This phenomenon occurred because the topography in the low-altitude areas of the Fenwei Plain results in low average yearly wind speeds, leading to stagnant airflow zones that hinder the spread of pollutants (Shu et al., 2022). However, the terrain had a varying effect on PM<sub>2.5</sub> and O<sub>3</sub>. PM<sub>2.5</sub> pollution was more influenced by terrain compared to O<sub>3</sub>. It exhibited a notable elevation pattern, particularly in the northwest to southeast high pollution area, which aligns with the terrain. This is



mainly due to the fact that  $O_3$  was mostly influenced by regional air transport, had a wide pollution diffusion range, was less affected by terrain, and showed slight variations in concentration at different elevations (Shu et al., 2024). Therefore,  $PM_{2.5}$  and  $O_3$  showed a notable difference in distribution between middle and high altitude areas in the Fenwei Plain due to its unique topography. However, their pollution levels exhibited homology in low-altitude regions, forming a coordinated control zone that included Yuncheng, Linfen, Weinan, Luoyang, and other low-altitude and border areas.

$PM_{2.5}$  and  $O_3$  are formed in complicated atmospheric processes that are impacted by a range of causes, both anthropogenic and natural, under the influence of topography (Zhang et al., 2019; Gong et al., 2022). This study also revealed the correlation between  $PM_{2.5}$  and  $O_3$  and their influencing factors. We found that  $SO_2$ , a precursor of  $PM_{2.5}$ , undergoes gas-phase reactions in the atmosphere with a significant positive correlation with  $PM_{2.5}$  (Xue et al., 2023). In addition, GDP and  $PM_{2.5}$  had a negative relationship due to the fact that, with air pollution abatement policies, which realized a parallel between GDP growth and  $PM_{2.5}$  abatement, making it possible to control  $PM_{2.5}$  pollution at the same time as economic growth, and economic development is no longer dependent on air pollution. Precipitation can efficiently eliminate  $PM_{2.5}$  particles by scouring them, effectively reducing  $PM_{2.5}$  pollution. This results in a negative relationship between rainfall and  $PM_{2.5}$  (Chen Z. et al., 2020). In addition, precipitation,  $SO_2$ , and GDP also had significant effects on  $O_3$ , but in the opposite direction to  $PM_{2.5}$ . This could be because  $PM_{2.5}$  emission reduction primarily depends on sulfur and one-time  $PM_{2.5}$  but  $NO_x$  and VOC emissions are still very high. When  $NO_x$  and  $VOC_s$  are in a certain ratio,  $O_3$  is produced through a chemical reaction, which causes  $O_3$  pollution to continue to intensify while  $PM_{2.5}$  pollution is under control (Committee CSFESOPC, 2020). Ultimately, this leads to a negative correlation between the two pollutants and opposite correlation coefficients for the influencing factors. Correlations can only reflect linear relationships between pollutants and influencing factors, and geographic detector results provide a good probe for the reasons for the spatial divergence of  $PM_{2.5}$  and  $O_3$ . The geographical detector results showed that  $PM_{2.5}$  and  $O_3$  at various altitudes were primarily affected by the combined impact of meteorological and precursor factors, with minimal influence from socio-economic factors. The correlation between  $PM_{2.5}$  and  $O_3$  was due to their partial homology and interconnectedness, resulting in their influencing factors being in high agreement at various altitude scales (Sun et al., 2023). We also discovered that differences in the explanatory power of primary effects within the same altitude range were minimal, but significant disparities existed between altitudes, further confirming the significant impact of elevation. The correlation between  $PM_{2.5}$  and  $O_3$  was due to their partial homology and interconnectedness, resulting in their influencing factors being in high agreement at various altitude scales. This may be due to complex humanitarian factors such as population, industry, and altitude in the low and middle altitude regions, whereas the influence of the high altitude area was more singular, resulting in a greater overall interpretation of the driving factors in this area.

## 4.2 Recommendations to control $PM_{2.5}$ and $O_3$ pollution

Based on these findings, this study proposes the following recommendations for  $PM_{2.5}$  and  $O_3$  pollution in the Fenwei Plain

and similar places with basin topography and “trumpet mouth” topography: (Zhang et al., 2021): The topography laid down the basic spatial pattern of  $PM_{2.5}$  and  $O_3$  pollution, making them homogenous, consistent, and related. In this context, synergistic  $PM_{2.5}$  and  $O_3$  management zones should be delineated by taking topographical factors into account, so as to manage  $PM_{2.5}$  and  $O_3$  from their sources. In particular, it is crucial to enhance cooperation between regional administrations and encourage synergistic management in extremely polluted low-altitude areas near the border of the two counties. In addition, it is essential to deal with the issue of spatial aggregation of  $PM_{2.5}$  and  $O_3$  resulting from an irrational industrial structure and energy layout. This can be achieved by accelerating industrial upgrading, transformation, and restructuring the industrial sector. (Ioannis et al., 2020). Meteorological and predecessor variables influenced the regional heterogeneity of  $PM_{2.5}$  and  $O_3$  distributions. On the basis of regional coordination, non-topographic factors should be comprehensively considered to ensure accurate governance in these regions. Meteorological influences are unpredictable and challenging to control accurately, but focusing on the underlying socioeconomic causes of precursors is crucial. Thus, enhancing regulation of industrial pollutant discharges, advancing high-quality projects for ultra-low carbon emissions in the steel, cement, and coking sectors, focusing on industrial transformation in the Fenwei Plain and innovative city development, implement clean heating, and address air pollution from  $PM_{2.5}$  and  $O_3$  at its core.

## 4.3 Research limitations and future prospects

This study offers insights for implementing targeted strategies to minimize air pollution. However, it does have certain constraints. The study picked a limited range of data on pollutants, socio-economic characteristics, and meteorological factors, despite the numerous influencing factors of  $PM_{2.5}$  and  $O_3$  and the interconnections between pollutants. This study did not consider the intricate relationship between air pollutant transport and topography, namely, the transport characteristics of air pollutant movement. In future studies, we will utilize more accurate and relevant data and methodologies to enhance the analysis of factors influencing  $PM_{2.5}$  and  $O_3$  pollution. This will involve integrating distinct viewpoints and environmental elements and thoroughly examining the interaction between topography and air pollutant dispersion to more precisely identify the transfer and synergistic mechanisms of  $PM_{2.5}$  and  $O_3$  under the influence of complex topography.

## 5 Conclusion

- (1) Based on the characteristics of temporal change,  $PM_{2.5}$  in the Fenwei Plain decreased from 2014 to 2023, with a decrease coefficient of  $-2.9318 \mu\text{g}/\text{m}^3/\text{a}$ ;  $O_3$  increased, with an increase coefficient of  $5.2922 \mu\text{g}/\text{m}^3/\text{a}$ .  $PM_{2.5}$  and  $O_3$  at all altitudes aligned with the general trend. From 2014 to 2023,  $PM_{2.5}$  and  $O_3$  decreased as altitude increased, with low altitude having the highest levels and high altitude having the lowest levels. The difference of  $PM_{2.5}$  between various altitude areas ranged

from 10 to 20  $\mu\text{g}/\text{m}^3$ , but the variance of  $\text{O}_3$  at different elevations was minimal.

- (2) For the characteristics of spatial change, the range of high  $\text{PM}_{2.5}$  pollution gradually decreased from 2014 to 2023, mainly concentrated in low altitude areas. However,  $\text{O}_3$  pollution gradually spreaded from the central region to the east in the Fenwei Plain.
- (3) According to the results of the correlation analysis,  $\text{PM}_{2.5}$  and  $\text{O}_3$  showed strong correlations with GDP,  $\text{SO}_2$ , and precipitation across the whole Fenwei Plain, as well as at different altitudes. Specifically,  $\text{PM}_{2.5}$  was negatively associated with GDP and precipitation, and positively related to  $\text{SO}_2$ ;  $\text{O}_3$  was positively related to GDP, and precipitation was negatively related to  $\text{SO}_2$ . Owing to the opposite trends of  $\text{PM}_{2.5}$  and  $\text{O}_3$ , the correlations between these two pollutants and their main influencing factors were contradictory.
- (4) The geographical detector findings reveal that  $\text{NO}_2$  and  $\text{SO}_2$  were the primary influencers of  $\text{PM}_{2.5}$  and  $\text{O}_3$  across various altitudes. The spatial variations of  $\text{PM}_{2.5}$  and  $\text{O}_3$  within the Fenwei Plain stemmed from a complex interplay of factors, with precursor pollutants at the epicenter of these interactions. The hierarchy of significance was as follows: interactions among precursor pollutants, interactions between precursor pollutants and meteorological factors, and interactions between precursor pollutants and socio-economic factors. The explanatory power of the interaction factors for  $\text{O}_3$  was notably high across low, middle, and high altitude regions. Furthermore, the explanatory power of these interactions for spatial differentiation of  $\text{PM}_{2.5}$  increased significantly with rising altitude.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

## Author contributions

ZY: Conceptualization, Data curation, Formal Analysis, Methodology, Software, Validation, Visualization,

Writing—original draft, Writing—review and editing. LY: Conceptualization, Funding acquisition, Resources, Supervision, Writing—review and editing. YY: Data curation, Supervision, Writing—review and editing. XW: Funding acquisition, Project administration, Writing—review and editing. ZC: Formal Analysis, Visualization, Writing—review and editing. HL: Formal Analysis, Visualization, Writing—review and editing.

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## Conflict of interest

Author XW was employed by Yunnan Surveying and Mapping Institute Co. Ltd.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

## Generative AI statement

The author(s) declare that no Generative AI was used in the creation of this manuscript.

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