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## Simulation and evaluation of ecosystem service value along the Yellow River in Henan Province, China

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The unprecedented growth in population and swift industrial advancements exert considerable strains on the ecosystem, particularly within medium-sized and large urban landscapes. The critical investigation into the intricate links between current and prospective land utilization, as well as the ecosystem service value (ESV), holds considerable empirical relevance for the calibration of land usage frameworks, thereby contributing to the sustainable evolution of extensive urban zones. Utilizing GlobeLand 30 data, the present research probes into the pattern of land transformation and the spatial-temporal dispersal of ESV in Henan's Yellow River vicinity over a span from 2000 to 2020. For the enhancement of land usage alignment, a Markov-PLUS fusion model was devised to gauge three disparate ESV transition scenarios slated for 2030, namely, natural development scenario (NDS), cropland protection scenario (CPS), and ecological protection scenario (EPS). The principal determinants of land transformation within the 2000-2020 period were recognized as elevation, populace concentration, and atmospheric temperature. Amid the rapid accretion of construction land engulfing substantial cropland and grassland areas, there was an ESV diminution to the tune of 1.432 billion RMB between 2000 and 2020. The ESV's high-value regions were discerned within relatively undisturbed ecosystem zones, with the lower-value sections identified in cropland and constructed areas, where human interventions exerted pronounced effects on the ecosystem. In accordance with the 2030 land usage simulations and analyses, in contrast to alternative scenarios, the EPS exhibited the least fluctuation in land type alterations in 2030, demonstrated the most pronounced escalation in cold spot concentration, and reached a peak agglomeration level. This underscores that the EPS not only offers a refinement in land utilization configuration but also mediates the equilibrium between economic and ecological considerations. The insights derived from this investigation afford innovative evaluative methods for spatial planning, ecological recompense, and sustainable land exploitation within largeand medium-scale urban domains.

#### KEYWORDS

LULC change analysis, Markov-PLUS model, scenario simulation, ESV, land use planning

## **1** Introduction

The judicious utilization of land epitomizes a vital modality for the symbiotic relationship between human civilization and nature, performing a key function in fostering human sustainability and conserving ecological equilibrium (Besser and Hamed, 2021). Ecosystem services, encompassing the tangible and intangible benefits furnished by ecosystems for human subsistence through ecological structure and function, operate under multifaceted constraints such as population expansion, economic progression, resource limitations, and environmental factors (Fu, 2020). As fundamental supports for human survival, ecosystem services both influence and are influenced by human activities. Specifically, demographic escalation and economic growth instigate human-induced land alterations, which consequently modify the land-use configuration. The ensuing ecological ramifications of varying land use types reciprocally influence spatial distribution patterns of land utilization, thereby inducing disruptions in the ecosystem's functional aspects. These transformations most directly affect the ecosystem service value (ESV) (Hao et al., 2021). The Yellow River, venerated as China's cradle river, and its surrounding ESV have garnered substantial attention. The implementation of pertinent ecological conservation policies within the Yellow River Basin has rendered high-quality development therein an essential task for adjacent provinces and regions, particularly in Henan Province, an agricultural stronghold in central China (Gong et al., 2023; Liu et al., 2023). In recent epochs, relentless urbanization and human interventions have perceptibly altered the ecosystem's structure, impairing its functionality (Yang et al., 2021). In the urbanization trajectory of Ningxia Province, the equilibrium between the ecosystem, resources, and the environment has been undermined, intensifying the ecological vulnerability in the Yellow River's upper reaches (Lin M. et al., 2020a; Fang et al., 2020). Over-extraction of groundwater and deforestation have precipitated a precarious ecological milieu in this region. The ecologically fragile zones, exemplified by drastic landscape transformations in the Galapagos Islands (Benítez et al., 2018) and demographic pressures threatening the ecosystem in Alaphuzha, Kerala (Prasad and Ramesh, 2019), have become focal areas for scholarly investigation. Such incessant human interventions have augmented the rate of conversion between land categories, notably swelling construction land areas, thereby fracturing the urban ecosystem's supply-demand balance (Liu et al., 2015, pp. 1990-2010). This direct consequence manifests in land use/ land cover (LULC) interchange (Yuan et al., 2018; Rimal et al., 2019), subsequently instigating rapid modifications in the ecosystem's structure and function within a confined timeframe (Zhang et al., 2020a; Gomes et al., 2021). As a conspicuous indicator of human influences on ecosystems, alterations in land usage have ascended as a global research nexus for appraising ESV's evolutionary characteristics through the lens of land-use transformations (Zhang et al., 2020b; Cao et al., 2021).

The valuation of ecosystem services transcends mere historical and current scenario analysis, extending to the prognostication and simulation of spatial distribution of future ESV in the context of land use alterations, thereby furnishing an empirical foundation for pertinent agencies (Wang et al., 2020; Liu et al., 2024). In the pursuit to forecast forthcoming land use type modifications, scholars have sequentially devised models such as the Markov, logistic, gray prediction, CA, CLUS-S, and FLUS models (Lin W. et al., 2020b; Hu et al., 2020; Liu et al., 2020; Tan et al., 2020; Peng et al., 2021). Despite these advancements, these models manifest discernible deficiencies, often concentrating on isolated aspects like spatial or structural optimization of land use types, and neglecting the multifaceted shifts in land use configurations (Wang et al., 2018). Through sustained endeavors, Liang (Liang et al., 2021) introduced the patch-generating land-use simulation (PLUS) model in 2021, which, while preserving merits of adaptive inertial and roulette competition mechanisms (Liu et al., 2017), enhances patch simulation protocols. Thus, it accommodates not merely spatial variations but also structural adaptations in land use types (Liu et al., 2017; Rao et al., 2018; Liang et al., 2021). More saliently, the model's simulation precision has been markedly augmented, finding extensive applications in future land simulation. Concurrently, the integration of the PLUS model with gray multi-objective optimization (GMOP) (Li et al., 2021), the INVEST model (Fang et al., 2022; Shen and Zeng, 2022; Tian et al., 2022), and the Markov model (Yang et al., 2021; Han et al., 2022) facilitates evaluations of present and forecasts of future ecosystem service provision, ESV, ecosystem carbon neutrality (Li et al., 2020; Wang et al., 2022), and more. The PLUS model thereby performs an instrumental function in supporting regional sustainable development.

When scrutinizing ecosystem services' worth, the attributes of ecologically fragile zones with stable ecosystems and prevalent forest and grassland regions have captivated broad scholarly interest. Nevertheless, within large and medium-sized urban areas, where construction land perpetually proliferates and cropland maintains a baseline, an emergent imperative arises to investigate ESV alterations to judiciously orchestrate land use composition. This is indispensable for the sustenance of regional sustainable growth. To bridge this research void concerning ESV dynamics within expansive urban zones, the current study amalgamated analyses of land use change degree, driving factor contribution rate, Moran index, and hot spot to assess both present and prospective ESV distribution trends and evolutionary traits in Henan Province's Yellow River region. Initially, 13 determinative factors were harnessed to anticipate land use type pixel numbers via the Markov chain. Subsequently, the PLUS model was employed to emulate land-use type transitions in three distinct scenarios for 2030. Lastly, the spatiotemporal evolutionary properties of ESV, inclusive of concentration areas and cold and hot spot domains of ESV alteration, were evaluated. This inquiry thus offers a valuable reference for shaping land spatial planning and ecological compensation strategies in large and medium-sized cities.

## 2 Research region and dataset

#### 2.1 Research region

The Yellow River region within Henan Province encompasses 8 cities and 1 county, namely, Zhengzhou, Luoyang, Kaifeng, Jiaozuo, Xinxiang, Sanmenxia, Puyang, and Jiyuan cities, along with Anyang Hua County, cumulatively extending across 59,200 km<sup>2</sup>. Geographically situated between longitudes 112°12′and 115°1′E and latitudes 34°47′and 35°44′N, the



topography is characterized by an elevated eastern terrain that gradually descends towards the west. The study region's diverse geomorphological features include mountains, hills, basins, and highlands, under the influence of a continental monsoon climate delineated by four pronounced seasons. The climatic conditions are featured by mean precipitation of 550-650 mm/year and mean temperature of 12.0°C-14.8°C (Figure 1). As of the conclusion of 2022, the regional population reached 41,946,400 individuals, with an urbanization rate of 66.87%, a figure that significantly transcends the 56.45% urbanization rate observed within Henan Province as a whole. Marked by a high population density, the rapid trajectory of economic development and urbanization within the study area has engendered a complex juxtaposition between urban growth and ecological consequences, thereby presenting intricate challenges to the sustainable evolution of territorial space. Furthermore, the initiation of strategies focused on ecological preservation and sustainable development has elevated significances of these goals. Specifically, within Henan Province's Yellow River vicinity, the approach to ecological protection and the construction of ecological civilization have been escalated to unprecedented standards, reflective of the area's contemporary significance.

#### 2.2 Dataset

Land cover information was sourced from GlobeLand 30 data (http://www.globallandcover.com/), compiled by the National Geographic Information Centre, possessing an overall accuracy reaching 85.72% and a spatial resolution of 30 m  $\times$  30 m, including integration of shrubland into woodland. The research

region is featured by several types of land uses, including construction land, water, grassland, forest, cropland, and unused land. To assess influence of land-use alterations along the Yellow River in Henan Province spanning the period from 2000 to 2020, a set of 13 representative driving factors were selected for analysis. Elevation data were acquired from the ASTER GDEM dataset (http://www.gscloud.cn/) with a 30 m × 30 m spatial resolution, from which slope data were extracted. Data pertaining to gross domestic product (GDP), population spatial distribution, soil type spatial distribution, precipitation, and average temperature were obtained from the Resource and Environment Science and Data Centre of the Chinese Academy of Sciences (https://www.resdc.cn/), with a spatial resolution of 1 km × 1 km. Distances to primary roads, highways, and railways were sourced from the National Geographic Information Resources Catalog Service (https://www.webmap.cn/), while distances to hospitals, schools, and governmental facilities were derived from the 2018 Gaode Map POI dataset.

Within the scope of the study, the aforementioned 13 selected driving factors were subject to standardization and resampling to ensure conformity with a uniform coordinate system and spatial resolution of 30 m  $\times$  30 m. Additionally, distances from first-class roads, high-speed roads, railways, hospitals, schools, and government locations were determined utilizing Euclidean distance analysis within the framework of ArcGIS10.7. Subsequently, the mask tool was employed to extract the driving factor data pertinent to the definitive study region.

Calculations pertaining to the output, sown area, and average price of agricultural products were conducted through integration with the Henan Statistical Yearbook and the Compilation of Cost and Income Data of National Agricultural Products, following a comprehensive statistical and analytical process.



## 3 Methods

The analytical methodology coupling Markov and PLUS models includes two steps (Figure 2). First, the PLUS model was used for simulating the spatial distribution

of land use/land cover (LULC) in the year of 2030 under the defined scenarios. Second, these simulated results were leveraged to analyze the spatiotemporal evolution of the ecosystem services value (ESV) corresponding to each scenario.

#### 3.1 Markov model

The Markov model, extensively utilized for predicting the scale of land use demand, operates under the assumption that the land use pattern at a given time t+1 is influenced solely by its state at the preceding moment *t*. The mathematical formulation of this process is represented by (Gong et al., 2023):

$$X_{t+1} = P_{ij} \times X_t \tag{1}$$

where  $X_t$  symbolizes land use transformation status at t, where t denotes a specific year, and  $P_{ij}$  constitutes the transfer matrix of land use, encapsulating the probability of transition from the initial state i to the concluding state j.

#### 3.2 PLUS model

The PLUS model is an advanced land use change simulation approach known for its comprehensive and dynamic characteristics (Liang et al., 2021). The modeling process involves several key steps. First, it is necessary to ensure that the row number of the land cover data within the study region is consistent, and that the type number starts from 1 to determine the land use expansion area across two distinct phases. Next, the Land Expansion Analysis Strategy Model (LEAS) is used, which explains the intrinsic change mechanism of land use within a given range, thereby determining the rate of change for different land types under specific driving factors (Rao et al., 2018). Following this, a Cellular Automata (CA) Model is utilized for simulating future land use types (Liu et al., 2017), and it is combined with a Markov model to predict the pixel number and area for land use types in subsequent years. Finally, a validation step is performed, where the simulated data are compared with actual land use information, evaluating overall accuracy, kappa coefficient, and figure of merit (FOM). The PLUS model has been empirically validated as reliable in predicting land use.

The *Kappa* coefficient is a significant metric for assessing the similarity between predicted outcomes and real-world conditions (Singh et al., 2018). Its mathematical expression is as follows:

$$Kappa = \frac{P_a - P_b}{P_c - P_b} \tag{2}$$

where  $P_a$  represents the ratio between the actual situation and the predicted result,  $P_b$  represents the ratio between the random prediction and the actual situation, and  $P_c$  represents the prediction accuracy rate under ideal situations. *Kappa* has a value range of 0–1; a value closer to 1 indicates that the land simulation result is more congruent with the real situation, reflecting a superior simulation effect.

FOM serves as an essential measure to verify spatial agreement between forecast results and actual scenarios (Pontius et al., 2008). Its mathematical expression is as follows:

$$FOM = \frac{B}{A+B+C+D}$$
(3)

where *A* represents the number of pixels altered by the actual change of the patch, but it is predicted to remain unaltered; *B* signifies the

number of pixels accurately forecasted; *C* symbolizes the number of pixels affected by incorrect regional predictions; and *D* reflects the number of pixels attributed to areas that have not changed but were forecasted as altered. The value range of FOM is [0-1], and a value closer to 1 corresponds to a simulation result that closely matches the actual situation, indicating a superior simulation effect (Hou et al., 2022).

## 3.3 Ecosystem service value coefficient correction and its calculation

In the context of the Yellow River area of Henan Province, ESV in the study region was classified into 11 distinct categories, according to the Xiegaodi value equivalent method, tailored to unique land use characteristics in this region (Xie et al., 2017). This required modification of the correlation coefficient of the value equivalent factor per unit area, as formulated in:

$$E_i = \frac{1}{7} \sum_{i=1}^k \frac{m_i \times P_i \times C_i}{M} \tag{4}$$

where  $E_i$  symbolizes the economic value of grain per unit area (yuan/hm<sup>2</sup>), *i* is the crop type,  $m_i$ ,  $P_i$  and  $C_i$  are mean sown area, mean price and mean yield per unit crop area, respectively, *k* stands for the quantity of all crops, and *M* is the total crop area.

ESV is further articulated as:

$$ESV = \sum_{j=1}^{6} (S_i \times E_j)$$
(5)

where  $S_i$  corresponds to the land use area associated with type *i*, and  $E_i$  represents ESV corresponding to type *i*.

During 2000 to 2020, wheat, corn, and soybean were identified as the principal grains cultivated in the Yellow River region of Henan Province. The associated average price, yield, and sown area for these crops were computed, leading to the derivation of the revised coefficient, calculated at 1,380.14 RMB/hm<sup>2</sup>, following Eq. 4. It was found that the ESV index corresponding to construction land equaled zero (Zhang et al., 2022). Consequently, the ESV coefficient of unit area along the Yellow River in Henan Province was obtained, discounting the impact of construction land on the ESV (Table 1).

#### 3.4 Exploratory spatial data analysis

For a more refined analysis of the spatial distribution characteristics of ESV, the research region was partitioned into 2,623 square grids, each measuring  $5 \text{ km} \times 5 \text{ km}$ . This division was informed by existing research and allowed for exploratory spatial analysis and additional operations, balancing the need for accuracy with the goal of reducing computational labor (Su et al., 2020; Li et al., 2021). A vital aspect of this analysis involved the use of the spatial global analysis of the Moran index to express the spatial aggregation distribution of ESV. Furthermore, a hot spot analysis function was employed to identify significant distribution

Primary type	Secondary type	Cropland	Forest	Grassland	Water	Unuse land
Supply Services	Food production	1,525.05	322.03	322.03	903.99	6.90
	Raw material production	338.13	740.67	473.85	503.75	20.70
	Water supply	-1801.08	381.84	262.23	7,507.95	13.80
Regulating services	Gas regulation	1,228.32	2,429.04	1,665.37	2,891.39	89.71
	Climate regulation	641.76	7,268.73	4,402.64	4,064.51	69.01
	Purifying the environment	186.32	2,162.22	1,453.75	6,314.13	282.93
	Hydrological regulation	2063.31	5,258.33	3,224.92	87,273.07	165.62
Support Services	Soil conservation	717.67	2,958.10	2028.80	2,235.82	103.51
	Maintaining nutrient circulation	213.92	225.42	156.42	172.52	6.90
	Biodiversity	234.62	2,695.87	1844.79	7,190.52	96.61
Cultural Services	Aesthetic landscape	103.51	1,196.12	814.28	4,568.26	41.40
Total ESV	_	5,451.55	25,638.38	16,649.07	123,625.92	897.09

TABLE 1 ESV coefficients per unit area along the Yellow River region of Henan Province (RMB/hm<sup>2</sup>).

TABLE 2 Changes of LULC in 2000-2020.

LULC type	Area/km <sup>2</sup>		Land change dynamics/%			
	2000	2010	2020	2000–2010	2010–2020	2000–2020
Cropland	36,517.47	35,369.91	32,999.39	-0.31	-0.67	-0.48
Forest	14,211.88	14,767.17	14,651.64	0.39	-0.08	0.15
Grassland	2,990.36	2,456.05	2,425.58	-1.79	-0.12	-0.94
Water	744.07	814.81	768.23	0.95	-0.57	0.16
Construction land	4,788.00	5,843.72	8,405.22	2.20	4.38	3.78
Unuse land	19.15	19.22	20.58	0.04	0.71	0.37

locations of high- and low-value regions within ESV space. This characterization facilitated the discernment of the cold and hot spot distribution pattern of ESV, enabling a subsequent examination and interpretation of the underlying law.

The hot spot analysis functions by pinpointing statistically significant hot spot and cold spot areas through the spatial clustering method, utilizing the z-score and *p*-value as evaluative metrics. The core algorithm is  $G_i^*$ , expressed mathematically as:

$$G_i^{\star} = \frac{\sum_{j=1}^n w_{ij} x_j}{\sum_{j=1}^n x_j}$$
(6)

where  $G_i^{\star}$  signifies the hot (cold) point cell value,  $x_j$  represents the attribute value of unit j,  $w_{ij}$  stands for the matrix of weight, and n refers to the total quantity of units.  $G_i^{\star}$  denotes the z score, where a larger z-score is indicative of a higher concentration among the elements, constituting a hot spot region in space. Conversely, a smaller z-score conveys a disparate degree of aggregation among the elements, designating a cold spot region in space.

### 4 Results

#### 4.1 Changes of land use areas

As shown in Table 2, cropland, forest, and construction land are the dominant types of land use in 2000–2020, constituting 55.68%, 24.72%, and 14.18% of the total area, respectively. During this period, the following alterations in land use were observed: Cropland and Grassland show a consistent decrease in area. Forest and Water present an initial increase in area followed by a decrease. Construction Land and Unused Land is featured by a continuous increase in area. Water Area is relatively stable.

Examining the quantitative aspects of these changes, the following was noted: Construction land increased by  $3,617.22 \text{ km}^2$ , representing 44.30% of the total change. Cropland decreased by  $3,518.09 \text{ km}^2$ , amounting to 43.09% of the total change. Grassland shrank by 564.78 km<sup>2</sup>, constituting 6.92% of the total change. Forest expanded by 439.75 km<sup>2</sup>, equivalent to 5.39% of the total change. Water area grew by 24.16 km<sup>2</sup>, comprising 0.30% of the total change. Unused land area escalated by 1.42 km<sup>2</sup>, accounting for 0.02% of the total change.

From the perspective of dynamic land use change (Table 2), an analysis of the construction land and cropland from 2010 to

Divers	Cropland	Forest	Grassland	Water	Construction land	Unuse land
Elevation	0.0969	0.1623	0.1524	0.1816	0.1026	0.2661
Slope	0.0299	0.0493	0.0588	0.0101	0.0191	0.0392
Population density	0.0929	0.0691	0.1006	0.2559	0.1265	0.0680
GDP	0.0953	0.0822	0.0751	0.0712	0.0773	0.0587
Precipitation	0.0881	0.0867	0.0696	0.0612	0.0673	0.1930
Temperature	0.1217	0.1611	0.1616	0.1199	0.1043	0.0347
Soil	0.0467	0.0218	0.0452	0.0807	0.0498	0.0361
Distance to primary roads	0.0682	0.0628	0.0520	0.0429	0.0536	0.0587
Distance to highways	0.0632	0.0539	0.0451	0.0313	0.0543	0.0594
Distance to railways	0.0772	0.0699	0.0557	0.0417	0.0729	0.0518
Distance to hospitals	0.0826	0.0472	0.0407	0.0208	0.0618	0.0245
Distance to government	0.0709	0.0482	0.0606	0.0421	0.0903	0.0806
Distance to schools	0.0663	0.0855	0.0827	0.0406	0.1203	0.0291

TABLE 3 Contribution of drivers to each land use type for 2000-2020.

2020 revealed significant alterations. The changes were quantified as 4.38% for construction land and -0.67% for cropland. These figures were markedly higher than the values of 2.20% and -0.31% obtained for the period from 2000 to 2010, illustrating a twofold increase in the dynamic attitude of these land types. Additionally, the dynamic attitude of grassland underwent a noteworthy transformation. During the period from 2000 to 2010, the change was recorded at -1.79%, whereas from 2010 to 2020, it diminished to -0.12%. This change represents a 15-fold decrease in the dynamic attitude of grassland over the time span considered.

Construction land is characterized by its fast expansion, which was predominantly facilitated by the conversion of cropland and grassland. Conversely, these areas were minimally augmented by forest, water area, and unused land. This transformation can be attributed to the acceleration of urbanization and agricultural modernization, which have introduced new demands for the utilization of cropland and construction land. Consequently, these demands have precipitated continuous modifications in land use patterns over time.

#### 4.2 Analysis of driver contributions

Within the framework of the PLUS model, LEAS was employed for determining contribution rates of driving factors to each type of land use in 2000–2020 (Table 3), along with the spatial distribution (Figure 3). The contribution rate data provide a significant measure of the influence exerted by driving factors on land use types, with values approaching 1 indicating an increasing impact of the corresponding factor on the observed land use.

For cropland, temperature exerted the most substantial influence. Appropriate temperatures are instrumental in crop production, while an escalation in population density and GDP amplifies the necessities for food supply and corresponding demand for cropland (Zhang and Xie, 2019; Tian et al., 2022). In the case of

forest change, the predominant drivers were elevation, temperature, and precipitation. Forests were primarily located in the western part of the Yellow River region of Henan Province, an area typically characterized by higher elevations, conducive temperatures, and abundant precipitation. Temperature and population density emerged as the central drivers of grassland change, signifying that grasslands are responsive to temperature fluctuations and are influenced by areas with elevated population densities (Liang et al., 2021; Zhai et al., 2021; Tian et al., 2022). Construction to support denser populations was the principal factor engendering changes in water availability, encroaching on either cropland or grassland (Zhai et al., 2021; Guo et al., 2022; Wang et al., 2022). In the context of construction land changes, population density was the primary driver, as increasing population densities necessitated additional construction land to house the burgeoning populace (Tian et al., 2022); this includes the requirement for specific distances to schools and government resources to enhance living conditions, education, and transportation accessibility. Collectively, assessment of drivers influencing land use contribution underscored that elevation, population density, and temperature were critical determinants in land use conversion. This observation is congruent with prevailing understanding and perceptions of land use dynamics, irrespective of the specific type of land use.

### 4.3 Spatial distribution of ESV

The Moran index values for the Yellow River region within Henan Province during the years 2000, 2010, and 2020 were recorded as 0.674, 0.656, and 0.674, respectively. These positive values indicate a positive correlation between ecosystem service value (ESV) and an agglomeration trend.

In 2000, the ESV for this region amounted to 70,523.7 million RMB; by 2010, it had increased to 71,306.7 million RMB, but in 2020, it fell to 69,917 million RMB. This pattern demonstrates an



initial increase followed by a decline, and the overall ESV decreased by 1,432 million RMB over the 20-year period (Table 4). This decrease is indicative of the continual reduction in cropland and grassland area, which contributed to the decline in ESV. Conversely, the ESV of unused land did not exhibit significant fluctuations. Specifically, the ESVs of cropland and grassland decreased by 1917.9 million RMB and 940.3 million RMB, respectively, while those of forest and water area increased by 1,127.5 million RMB and 298.7 million RMB, respectively.

The ESV distribution along the Yellow River in Henan Province was assessed using the spherical kriging interpolation method.

Subsequently, the ESV from 2000 to 2020 was categorized into six intervals employing the natural discontinuities method (Figure 4), enabling a spatial analysis and evaluation. Generally, the spatial distribution of ESV in this region remained relatively stable. Areas with high values are clustered in southern parts of Sanmenxia and Luoyang cities, as well as the northern regions of Jiyuan and Jiaozuo cities. These locales are primarily characterized by extensive grassland and forest coverage, and the ecosystem is comparatively intact. The strategy of converting cultivated land back to forest also contributed to an increase in forestland area, incrementally enhancing ESV. Conversely, areas with low values are in the northern parts of Luoyang, Zhengzhou, Jiaozuo, Xinxiang, and Hua County, along with Puyang and Kaifeng cities. The abundance of cropland and construction land in these areas has significantly compromised the ecosystem. Human activities related to production and daily living have inflicted serious damage, resulting in a substantial negative impact on ESV.

# 4.4 Projections of different types of land uses

#### 4.4.1 Validation and assurance of simulation model

Employing land use records from the years 2000 and 2010 as foundational data, this research integrated development probabilities of influential factors and the proportion of individual land use types to the aggregate classification into the PLUS model. Simulations were executed to depict land use categorizations for the years 2010 and 2020, with the ensuing outcomes being cross verified against real-world instances. The analysis manifested an overall precision of 89.7% and 88.7% for 2010 and 2020 respectively, with corresponding kappa statistics of 0.820 and 0.811, and FOM metrics of 0.136 and 0.088. A kappa statistic exceeding 0.8 (Huang et al., 2019; Lin W. et al., 2020b) corroborated the efficacy of the PLUS model simulation in the Henan Province's Yellow River zone, substantiating the authenticity of the methodology for foreseeing future transformations in land utilization.

## 4.4.2 Land use type projections for multiple scenarios

To investigate the evolving trends of population, economy, and regulatory influence on land use, this research simulated land use type distributions under three distinct scenarios in Henan Province's Yellow River region for 2030.

The natural development scenario (NDS) holds the development likelihood for 2020–2030 constant with the probability of 2010–2020, disregarding additional variables. In contrast, the cropland protection scenario (CPS) acknowledges the vital need to conserve cropland near the Yellow River in Henan Province. It is necessary to ensure the reasonable expansion of construction land, but also to strictly observe the quantity of cultivated land. This means mitigating the probability of converting forestland and cropland to construction land by 30%, while simultaneously augmenting the construction land's conversion probability to cropland by 10%. Other lands follow the natural trend of development. Meanwhile, the ecological protection scenario (EPS) is aligned with contemporary ecological

LULC type		ESV		ESV change			
	2000	2010	2020	2000-2010	2010-2020	2000–2020	
Cropland	199.077	192.821	179.898	-6.256	-12.923	-19.179	
Forest	364.370	378.606	375.644	14.237	-2.962	11.275	
Grassland	49.787	40.891	40.384	-8.896	-0.507	-9.403	
Water	91.986	100.731	94.973	8.745	-5.758	2.987	
Construction land	0.000	0.000	0.000	0.000	0.000	0.000	
Unuse land	0.017	0.017	0.018	0.000	0.001	0.001	
Total ESV	705.237	713.067	690.917	7.830	-22.150	-14.320	

#### TABLE 4 ESV changes during 2010-2020 (×10<sup>8</sup> RMB/hm<sup>2</sup>).



conservation demands, prioritizing land use forms that fortify ecosystem stability, emphasis needs to be placed on increasing the amount of grassland, forest, and cropland. Consequently reducing the probability of converting grassland, forest, and cropland to construction land by 40% while raising the probability of construction land to cropland by 40%. Other lands follow the natural trend of development. The domain weight was determined according to land use type proportions.

Under NDS, a comparative study with 2020 reveals a reduction in cropland by 1,874.84 km<sup>2</sup>, forest by 132.26 km<sup>2</sup>, and grassland by 21.82 km<sup>2</sup>. Conversely, expansions in water and construction land areas are observed, increasing by 39.88 km<sup>2</sup> and 1999.02 km<sup>2</sup>, respectively (Figure 5; Tables 5, 6). In monetary terms, ESV declined by 921.0 million RMB during this period. This reduction comprises a decrease in cropland ESV by 1,022.1 million RMB, offset partially by an increase in water ESV by 493.1 million RMB. The accelerated growth of construction land, encompassing substantial portions of cropland, forest, and grassland, has instigated significant concerns for both ecological integrity and food safety. The emergent data points to a pronounced structural disequilibrium among the various land use categories.

Under CPS, and in comparison to the state in 2020, there were reductions in the areas of cropland by 947.44 km<sup>2</sup>, forest by 111.13 km<sup>2</sup>, and grassland by 27.2 km<sup>2</sup>. Concurrently, increments were observed in water and construction land areas, increasing by 27.46 km<sup>2</sup> and 1,058.31 km<sup>2</sup>, respectively (Figure 5; Tables 5, 6). In



Year	Scenarios	Cropland	Forest	Grassland	Water	Construction land	Unuse land
2020	—	32,999.39	14,651.64	2,425.58	768.23	8,405.22	20.58
2030	NDS	31,124.55	14,519.38	2,393.76	808.11	10,404.24	20.58
	Pixels	34,582,834	16,132,649	2,659,741	808,831	11,649,336	22,863
	CPS	32,051.95	14,540.51	2,398.34	795.69	9,463.53	20.61
	Pixels	35,613,278	16,156,120	2,664,818	814,207	10,584,933	22,899
	EPS	32,593.00	14,553.47	2,414.70	786.30	8,902.50	20.66
	Pixels	36,214,447	16,170,517	2,683,004	873,672	9,891,663	22,951
2020-2030	NDS	-1874.84	-132.26	-31.82	39.88	1999.02	0.00
	CPS	-947.44	-111.13	-27.24	27.46	1,058.31	0.03
	EPS	-406.38	-98.17	-10.87	18.07	497.28	0.08

TABLE 5 Projected LULC area and pixels under three different scenarios in 2030 (km<sup>2</sup> and numbers).

relation to NDS forecasted for 2030, the overall ESV experienced a growth of 413.8 million RMB. This alteration is characterized by augmentations in the areas of cropland, forest, and grassland, accompanied by a moderated expansion rate in construction land. Specifically, the decline in ESV pertaining to cropland was halved in comparison to the NDS, a finding that is congruent with the objectives of cropland conservation, leading to an enhanced rationalization of the land use architecture.

Under EPS, a comparative analysis with 2020 reveals a decrease in cropland area by 406.38  $\rm km^2$ , forest by 98.17  $\rm km^2$ , and grassland by

10.87 km<sup>2</sup>. Concurrently, the construction land and water areas have increments of 497.28 and 18.07 km<sup>2</sup>, respectively (Figure 5; Tables 5, 6). When contrasted with the 2030 cropland protection scenario, the overall ESV rose by 239.3 million RMB. This includes a decrease in the areas of cropland, construction land, and grassland equal to 2/5 of the reduction observed in the CPS, and a 4/5 reduction in forest area. Such findings point to an optimized land use structure within the EPS, emphasizing a harmonized balance between economic demands and ecological preservation. Although the overall ESV contracted by 267.9 million RMB relative to 2020, a restorative trend is

LULC type	ESV		ESV change			
	2030NDS	2030CPS	2030EPS	2020-2030NDS	2020-2030CPS	2020-2030EPS
Cropland	169.677	174.733	177.682	-10.221	-5.165	-2.215
Forest	372.253	372.795	373.127	-3.391	-2.849	-2.517
Grassland	39.854	39.930	40.203	-0.530	-0.454	-0.181
Water	99.904	98.368	97.208	4.931	3.395	2.234
Construction land	0.000	0.000	0.000	0.000	0.000	0.000
Unuse land	0.018	0.018	0.019	0.000	0.000	0.001
Total ESV	681.707	685.845	688.238	-9.210	-5.073	-2.679

#### TABLE 6 Total ESV in 2030 compared with 2020 (×10<sup>8</sup> RMB/hm<sup>2</sup>).



discernible, signaling that policies fostering the transition of cropland to forest coupled with sustainable development initiatives have engendered a symbiotic enhancement for both ecological stewardship and socioeconomic sustainability.

#### 4.4.3 ESV changes over space

The hot spot analysis conducted for the three scenarios within the study area divulged distinct patterns (Figure 6). Under NDS, hot spot regions were primarily clustered and largely confined to areas where ecosystem integrity was most robust. Conversely, cold spot regions were predominantly localized within Zhengzhou, Xinxiang, and Jiaozuo cities, where urbanization processes have been expedited. The consequential vast expansion of construction land has wielded a considerable impact on ecosystem functionality, culminating in a decline in ESV. In the context of CPS, both cold spot and hot spot concentrations manifested a minor increment, reflective of the fact that containment of cropland and forest areas can decelerate the rate of ESV degradation. Lastly, under EPS, clustering of cold spots escalated more markedly, and the spatial clustering of these cold spots intensified.

## **5** Discussion

The correlation between land use structure and ESV is pronounced, with varying degrees of impact on ESV. Utilizing

the Markov-PLUS coupled model, it is feasible to not only enhance the land use structure but also to balance both quantitative and spatial optimization objectives. This harmonization contributes to the dual goals of fostering ecological civilization construction and sustaining social and economic development, thereby promoting the synergistic evolution of ESV. In the context of the cropland protection and ecological protection scenarios, the simulated ESV exceeded that of the 2030 natural development scenario. This outcome signifies the capacity of combining Markov and PLUS models to refine land-use patterns and capitalize on the benefits associated with ESV. Through a detailed hot spot analysis of ESV in 2030 across the three scenarios, specific cold spot and hot spot regions were identified under varying conditions. By managing these targeted areas and concentrating on strategic renovation, the model facilitates precise, well-reasoned utilization of land resources.

# 5.1 A coupled model of land use along the Yellow River region in Henan Province

For urban regions within the Yellow River Basin, the central dilemma in accessing ESV lies in preserving ecosystem stability to the greatest extent possible, all the while facilitating rapid economic expansion and ensuring sustainability. A variety of coupled models have been deployed in major urban centers or ecological function zones; however, due to the pronounced disparities in the geographic characteristics such as " lakes, fields, forests, rivers, mountains, and grasslands" across different cities, these coupled models are not universally applicable. Tailored strategies must be crafted in alignment with the unique conditions of the study area.

Leveraging the foundational Markov model, the PLUS model was integrated to architect a coupled land use model specifically for the Henan Province region along the Yellow River. This model is attuned to the particular attributes of the study region, allowing for the formulation of regionally specific scenarios and the attainment of predefined objectives. By simulating land use data across three disparate scenarios, comparisons were made regarding both the changes in land use types and the spatial distribution of ESV's cold and hot spot areas. The establishment of diverse land use scenarios through this method offers valuable insights that can guide comprehensive land use planning and regional ecological preservation in the future.

#### 5.2 Study limitations and future research

In the broader context, governmental policies are pivotal in shaping changes in land use, with specific actions such as converting farmland to forestry having substantial impacts on ESV.

Furthermore, this study undertook a correction process for ESV coefficients to enhance their accuracy within China, particularly in the Henan Province region along the Yellow River. Notwithstanding, the study established the correction coefficient for construction land at zero, meaning it did not account for the extent of construction land's influence on ESV. This omission only partially represents the true impact on ESV of construction land when deployed, resulting in residual uncertainty in the findings. Significant spatial heterogeneity characterizes the Yellow River region in Henan Province, primarily due to substantial

environmental variations. To accurately reflect these regional differences, it is advisable to refine spatiotemporal coefficients for ecosystem service values using data such as net primary productivity (NPP) and precipitation. Furthermore, conducting accuracy assessments through comparative analysis of simulation outcomes under different domain weights is essential to enhance the credibility of future land use simulations and validate the model's reliability. Consequently, integrating a variety of factors in future land use planning is crucial for developing more accurate predictive models and thereby deriving more precise outcomes. Additionally, implementing standardized, precise, and sustainable strategies will promote the effectiveness of land use planning and ecological conservation efforts in the region.

## 6 Conclusion

In addressing the challenge of population and economic growth impacting ecosystem service value (ESV) within large neutral cities, this study proposed the Markov-PLUS coupled model to sustain ESV stability through the optimization of land use structure. The model's accuracy was validated using data from the Yellow River region of Henan Province. This investigation encompassed an analysis of changes in land area, the effect of key driving factors on land use, and ESV trends in the Yellow River region of Henan Province from 2000 to 2020. Additionally, the study simulated types of land use under three distinct scenarios for 2030 and performed cold and hot spot analysis of ESV for discerning spatial and temporal distribution laws of ESV.

The findings revealed that elevation, population density, and air temperature were primary contributors to changes in land use during 2000-2020. The occupation of significant amounts of cropland and grassland by rapidly expanding construction land led to a decrease in ESV by 1.432 billion RMB over this period. High-value ESV zones corresponded to areas with relatively intact ecosystems, while low-value ESV regions were predominantly found in cropland and construction land, where human activities exerted considerable influence on the ecosystem. Land use for 2030 was simulated and assessed. In the ecological protection scenario, optimization of the land use structure was achieved along with a balanced consideration of economic and ecological aspects, leading to a synergistic realization of ecological civilization construction and sustainable socioeconomic development. Concurrently, hot spot analysis showed relative stability in cold and hot spot areas under various 2030 scenarios. Notably, under the ecological protection scenario, there was a more pronounced increase in cold spot concentration, coupled with higher agglomeration.

Indeed, the utilization of the Markov-PLUS coupled model, combined with multiscenario analysis, offers a valuable reference framework for the sustainable growth of large and medium-sized cities, land use optimization, and ESV preservation. Within the principles of territorial spatial planning, recognition of regional disparities and specificities is integral, as is the bolstering of ecological protection, to ascertain the sustainable utilization of land resources, thereby culminating in the high-quality advancement of large and medium-sized urban areas. In areas where ecosystems are thriving, it is prudent to further enhance the ecosystem service functions. Give full play to the advantages of different regions, increase the coverage of woodland, grassland and other vegetation, and maintain the stability of the ecosystem to the maximum extent. Conversely, in locales experiencing a swift diminishment in cropland, stringent adherence to cropland protection measures is paramount, with primary focus on controlling and diminishing the loss of ecosystem service functions. At the same time, ensure the effective expansion of urban development boundaries, and coordinate the relationship between land use, urban expansion and ecological environment in the process of urban development along the Yellow River region of Henan Province. The achievement of regional governance mandates the creation of an order blueprint for ecological protection in the Yellow River region of Henan Province.

Future inquiries necessitate a consideration of governmental decisions and an enhancement of the correction techniques for the ESV coefficients. The derivation of a more holistic method for attaining the corrected coefficients is required, as this would furnish more precise ESV data to steer the future planning of comprehensive land use. Such precision is instrumental in fostering expeditious and stable development across ecological, economic, and agricultural domains within the Yellow River region of Henan Province.

### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

## Author contributions

DZ: Conceptualization, Data curation, Methodology, Writing-review and editing, Writing-original draft, Formal

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### Conflict of interest

Author LG was employed by Henan Kebo Information Technology Co., LTD.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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