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Spatial-temporal evolution and influencing factors of ecological resilience in urban agglomerations: a case study of Shanxi section of the Yellow River Basin

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Scientific assessment of the development status and factors influencing the urban ecological resilience of the Yellow River Basin (YRB) is highly significant for promoting its development. This study constructed an evaluation index system for urban ecological resilience considering the four dimensions of pressure, state, response, and innovation. The spatiotemporal ecological resilience of the urban agglomeration (UA) in the Shanxi section of the YRB from 2012 to 2021 was studied using kernel density estimation, Dagum Gini coefficient, and standard deviation ellipse, and the influencing factors of urban ecological resilience were analyzed using a geographic detector. This research revealed that (1) the ecological resilience of cities in the Shanxi section of the YRB experienced a fluctuation process of rise-fall-rise. The urban ecological resilience generally reflected a gradient decreasing spatial pattern of Central Shanxi UA > South Shanxi UA > North Shanxi UA, and gradually changed from the dual core of "Taiyuan-Jincheng City" to the single core of Taiyuan City. (2) The migration trajectory of urban ecological resilience center of gravity fluctuated in the direction of "northwest-southeast," and moved 12.63 km to the southeast overall. (3) The water supply per ten thousand Yuan GDP, occupied area of construction land per ten thousand Yuan GDP, green coverage rate in built-up areas, ratio of research and experimental development funds (R&D) to GDP, proportion of science and technology expenditure in local fiscal expenditure, and patent licensing quantity index have a high influence on urban ecological toughness at all stages. This influence was further strengthened by the interaction between factors. This study provides an important scientific basis for shaping high-quality development advantages in the YRB and creating a resilient and livable environment.

KEYWORDS

Yellow River Basin, urban ecological resilience, spatiotemporal evolution, high quality development, influencing factors

1 Introduction

With continuously advancing urbanization and industrialization, the excessive use of resources, environmental pollution, climate change, and other problems have a serious impact on the ecosystem (Li and Wang, 2023b), which weakens the ecological carrying capacity, increases the ecological risk, threatens the regional and even entire ecological security, and finally leads to a decrease in ecological resilience. The Yellow River Basin (YRB) is the birthplace of Chinese civilization and is an important economic zone and ecological barrier in China. It plays an important role in maintaining ecological security and promoting economic growth (Huang et al., 2023). In September 2019, China formally established a strategy for ecological protection and highquality development of the YRB. The "Outline of the Plan for Ecological Protection and High-quality Development of the YRB" was issued in October 2021, which provides an important basis and action guide for the ecological environment protection of the YRB for the present and future.

Urban agglomeration (UA) is an important factor in YRB development. The key to realizing the development of ecological resilience in YRB lies in the effective improvement of urban ecological resilience in the UA (Wang et al., 2024). Shanxi Province is rich in mineral resources and is an important national energy base. Because of its special natural conditions and layout of economic and industrial structures, Shanxi Province has one of the most fragile ecological environments and weakest foundations in the YRB. The Shanxi section of the YRB is located in the arid and semi-arid regions of northern China, with an uneven distribution of resources, lack of water resources, and sensitive and fragile ecosystem. Cities in this region are relatively less resilient to disasters and their risks. Therefore, scientifically evaluating the spatiotemporal evolutionary characteristics and influencing factors of urban ecological resilience in the Shanxi section of the YRB is highly significant to determine the current status of urban ecological resilience in the region and explore ways to improve the adaptability and resilience of urban ecosystems to cope with increasingly serious environmental pressures and natural disasters.

In urbanized areas, the fragmentation of natural habitats, homogenization of species composition, destruction hydrological systems, and changes in energy flow and nutrient cycling reduce cross-scale ecological resilience (Alberti and Marzluff, 2004). The "urban ecology" category includes human wellbeing, urban livability, ecosystem services, complex adaptive systems, and urban resilience, which emphasize the sustainable development of cities (Wu, 2014). Improving the resilience of urban ecosystems and building resilient cities to resist ecoenvironmental risks have become research hotspots. The connotations and research strategies for urban ecological resilience are constantly being updated. From the perspective of evolution theory, urban ecological resilience is an inherent property of urban ecosystems that undergoes non-deterministic dynamic evolution with time and emphasizes the learning ability and innovation of the system (Hosseini et al., 2016). Under the increasingly complex situation of system development and change, "evolutionary resilience" is more suitable for the current study of urban ecological resilience, which is helpful in realizing the leap from stable equilibrium to dynamic development (Boschma, 2015). Urban ecological resilience is based on "social-ecological" aspect, which enables urban ecosystems to adjust its structure and change its path to achieve transformation and development (Hosseini et al., 2016), reflecting the ability of urban ecosystem to resist pressure, respond and recover when it is affected by pressure and disturbance (Meerow et al., 2016). China's ecological environment resilience showed a increasing trend in fluctuations by the longitudinal and horizontal pull-out grading method (Li et al., 2023). Zhou et al. (2022) used the entropy and the linear weighting methods and the obstacle degree model to calculate the ecological resilience level and study its influence factors of UAs in Chengdu-Chongqing Economic Circle, suggesting an upward trend of ecological resilience level and disasters was the main influencing factors. When constructing an urban ecological resilience evaluation system, Yang et al. (2022) used the entropy weight method to evaluate the resilience level of cities in the Chengdu-Chongqing Economic Circle and used the Fuzzy Set Qualitative Comparative Analysis method to analyze the influencing factors, suggesting a relatively low urban resilience level and financial and innovation were the key driving factors. Lately, Liao and Zhang (2023) constructed an urban ecological resilience evaluation model based on resistance, adaptability, and resilience, and evaluated the spatiotemporal change pattern of urban ecological resilience in Guangzhou from 2000 to 2020, which suggested that the areas with low ecological resilience expanded to the northeast and southeast, while the areas with high ecological resilience decreased obviously. To sum up, the analysis showed that evaluation system and evaluation indicators remains in the exploration and improvement stages. At present, an evaluation system for urban ecological resilience research has not yet been developed for the YRB.

In this study, we considered the UA in the Shanxi section of the YRB as the research object, constructed an urban ecological resilience evaluation system from the four dimensions of "pressure-state-response-innovation", and revealed its spatiotemporal evolution characteristics based on urban socioeconomic data from 2012 to 2021. The Dagum-Gini coefficient was used to analyze spatial differences and their causes, and a standard deviation ellipse was used to analyze the spatial location and development trends of urban ecological resilience. Finally, geographical detectors were used to analyze the driving factors affecting urban ecological resilience. This research will help understand the evolutionary trends of urban ecological resilience in the Shanxi section of the YRB, clarify the development gaps between different UAs, and provide empirical support for shaping the high-quality development advantages of the YRB and creating a resilient and livable environment.

2 Data and methods

2.1 Study area

The Shanxi section is located in the middle reaches of the YRB. The Yellow River enters from Laoniuwan, Pianguan County, Xinzhou City, and exits from Nianpangou, Yuanqu County, Yuncheng City, with a total length of 965 km, nearly 1/5 of the



total length of the Yellow River. The Shanxi section of the YRB is located in Huangtu plateau, with various topographical features, with mountains and hills accounting for greater than 80%, and plains and basins in mountain valleys for approximately 20%. Shanxi Province has a temperate continental monsoon climate with four distinct seasons. The average annual temperature is 4.2°C-14.2°C and the annual precipitation is 350–625 mm. Based on the administrative unit of Shanxi section of the YRB and considering the integrity of the research region, the study area was defined as Shuozhou City, Xinzhou City, Lvliang City, Taiyuan City, Jinzhong City, Linfen City, Jincheng City and Yuncheng City. The study area was divided into North Shanxi UA (Shuozhou, Xinzhou), Central Shanxi UA (Lvliang, Taiyuan, Jinzhong), and South Shanxi UA (Linfen, Jincheng, Yuncheng) (Figure 1).

2.2 Data sources

The data used in this study were obtained from the Statistical Yearbook of Shanxi Province from 2012 to 2021 (https://tjj.shanxi. gov.cn/tjsj/), Statistical Yearbook of Urban Construction of China (https://www.mohurd.gov.cn/gongkai/fdzdgknr/sjfb/tjxx/jstjnj/ index.html), Bulletin of Water Resources of Shanxi Province

(https://slt.shanxi.gov.cn/), Bulletin of Environmental Status of Shanxi Province (https://sthjt.shanxi.gov.cn/zwgk/hjgb/hjzkgb/ index.shtml), Statistical Bulletin of the National Economic and Social Development of Prefecture-Level Cities, and Final Accounts Report of the Government. The normalized vegetation Index (NDVI) was obtained from the Resource and Environmental Science and Data Center of the Chinese Academy of Sciences (http:// www.resdc.cn/). Missing data were supplemented using linear interpolation. initial data found The can be in Supplementary Table S1.

2.3 Methods

2.3.1 Comprehensive evaluation model of urban ecological resilience

2.3.1.1 Entropy method

The entropy method (Wang et al., 2018; Chen et al., 2021) was used to assign the weight of the urban ecological resilience index of the UA in the Shanxi section of the YRB. First, the original data were normalized to ensure comparability (Chen et al., 2022). The formula is as follows:

Positive index: $X'_{ij} = (X_{ij} - X_{min}) / (X_{max} - X_{min})$ (1)

Negative index: $X'_{ij} = (X_{max} - X_{ij}) / (X_{max} - X_{min})$ (2)

Target layer	First-level index	Secondary index	Unit	Attribute	Weight (%)
Urban ecological resilience	Pressure dimension	Water supply per ten-thousand Yuan GDP (X_{ol})	m³/ten-thousand yuan	-	2.20
		Comprehensive power consumption per ten-thousand Yuan GDP (X_{02})	kw·h/ten- thousand yuan	-	2.65
		Occupied area of construction land per ten-thousand Yuan GDP (X_{03}) km ² /yuan		-	0.46
		Industrial sulfur dioxide emissions per ten-thousand Yuan GDP (X_{04})	t/ten-thousand yuan	-	1.66
	State dimension	Total water resources per unit area (X_{05})	m ³ /km ²	+	8.79
		Normalized vegetation index (X_{06})		+	1.93
		Green coverage rate in built-up area (X_{07})	%	+	1.18
		Excellent air days (X ₀₈)	day	+	2.13
	Response dimension	Centralized treatment rate of sewage treatment plant (X_{09})	%	+	0.64
		Harmless treatment rate of municipal solid waste (X_{10})	%	+	2.51
		Comprehensive utilization rate of general industrial solid waste (X_{11})	%	+	5.80
		Ratio of expenditure on energy conservation and environmental protection to local fiscal expenditure (X_{12})	%	+	12.58
	Innovation dimension	Ratio of research and experimental development funds (R&D) to GDP (X_{13})	%	+	16.24
		The proportion of science and technology expenditure in local fiscal expenditure (X_{14})	%	+	13.90
		Patent licensing quantity index (X_{15})	Number	+	27.32

TABLE 1 Index system of urban ecological resilience.

Here, X_{ij} is the original value of the index *j* in year *i*, X_{max} and X_{min} are the maximum and minimum values of the same index, respectively, and X'_{ij} is the normalized value.

Second, the specific gravity (P_{ij}) of index *j* in the *i*th year is calculated as follows:

$$P_{ij} = X'_{ij} / \sum_{i=1}^{n} X'_{ij}$$
(3)

Third, the information entropy (e_j) and utility value (d_j) of index *j* are calculated as follows:

$$e_j = -k \sum_{i=1}^n P_{ij} \ln P_{ij} \tag{4}$$

$$d_j = 1 - e_j \tag{5}$$

$$k = 1/\ln\left(n\right) \tag{6}$$

Finally, the weight (W_j) of each index was obtained and the value of urban ecological resilience (Y) was calculated as follows:

$$W_j = d_j \Big/ \sum_{j=1}^n d_j \tag{7}$$

$$Y = \sum X'_{ij} W_j \tag{8}$$

2.3.1.2 Evaluation model

Based on existing documents (Li and Wang, 2023b; Huang et al., 2023), this study constructed an index system of urban ecological resilience from the four dimensions of "pressure-state-response-innovation" (Table 1). Among the dimensions, pressure indicates

the disturbance and impact of human production and living activities on the urban ecosystem (Zhou et al., 2022); state refers to the recovery state of the urban ecosystem in the face of pressure in terms of ecological environment and biological resources (Zhou et al., 2022); response is the ability to adjust the function and structure of the ecosystem upon disturbance (Li and Wang, 2023b); and innovation is the ability of the ecosystem to achieve ecological resilience evolution and development through learning and innovation (Wang et al., 2024).

2.3.2 Kernel density estimation

Kernel density estimation describes the distribution characteristics of random variables using continuous density curves (Zambom and Ronaldo, 2013) and is a nonparametric estimation method for studying spatially unbalanced distributions. The formula is as follows:

$$f(\mathbf{x}) = \frac{1}{nh} \sum_{h=1}^{n} k\left(\frac{X_i - \mathbf{x}}{h}\right)$$
(9)

Here, f(x) is the kernel density function, X_i is the ecological resilience of each city, n is the average ecological resilience of each city, n is the number of cities, h is the bandwidth, and $k\left(\frac{X_i-x}{h}\right)$ is the Gaussian function.

2.3.3 Dagum Gini coefficient

The Dagum-Gini coefficient can measure several sub-regions decomposed from the study area and calculate overall, intra-

regional, and inter-regional differences (Zhang et al., 2022). The formula is as follows:

$$G = \frac{\sum_{j=1}^{k} \sum_{h=1}^{k} \sum_{i=1}^{n_j} \sum_{r=1}^{n_h} |\mathbf{x}_{ji} - \mathbf{x}_{hr}|}{2n^2 \bar{\mathbf{x}}}$$
(10)

Here, *n* represents the number of cities in the Shanxi section, \bar{x} represents the average development level of urban ecological resilience in the Shanxi section, and *k* represents the number of divided study areas (three in this study). n_j and n_h represent the number of cities in *j* and *h*, respectively; x_{ji} and x_{hr} represent the development level of urban ecological resilience in *j* and *h*, respectively.

The Dagum–Gini coefficient can be divided into three parts: intra-regional difference G_w , inter-regional difference G_{nb} , and hypervariable density G_t . The formula is as follows:

$$G = G_w + G_{nb} + G_t \tag{11}$$

$$G_w = \sum_{j=1}^k G_{jj} P_j S_j \tag{12}$$

$$G_{jj} = \frac{\sum_{i=1}^{n_j} \sum_{r=1}^{n_h} |\mathbf{x}_{ji} - \mathbf{x}_{jr}|}{2\bar{\mathbf{x}}n_i^2}$$
(13)

$$G_{nb} = \sum_{j=2}^{k} \sum_{h=1}^{j-1} G_{jh} \left(P_j S_h + P_h S_j \right) D_{jh}$$
(14)

$$G_{jh} = \frac{\sum_{i=1}^{n_j} \sum_{r=1}^{n_h} |x_{ji} - x_{hr}|}{n_j n_h (\bar{x}_j + \bar{x}_h)}$$
(15)

$$G_{t} = \sum_{j=2}^{k} \sum_{h=1}^{j-1} G_{jh} \left(P_{j} S_{h} + P_{h} S_{j} \right) \left(1 - D_{jh} \right)$$
(16)

$$D_{jh} = \frac{d_{jh} - P_{jh}}{d_{jh} + P_{jh}}$$
(17)

$$d_{jh} = \int_{0}^{\infty} dF_{j}(x) \int_{0}^{x} (x - y) dF_{h}(y)$$
(18)

$$P_{jh} = \int_0^\infty dF_h(x) \int_0^x (x-y) dF_j(y)$$
(19)

Here, G_{jj} is the Gini coefficient in partition *j*, G_{jh} is the Gini coefficient between the areas between *j* and *h*, D_{jh} is the influence degree of relative contribution rate between *j* and *h* divisions, d_{jh} is the difference in contribution rate between regions, P_{jh} is the difference in the contribution rate of the remaining terms of the cross-influence between *j* and *h* subregions.

2.3.4 Standard deviation ellipse

Using the standard deviation ellipse model, the main spatial layout and dynamic development trend of UA urban ecological resilience in the Shanxi section of the YRB were analyzed for the study period (Yuill, 1971; Song et al., 2017). The formula is as follows:

$$\bar{X} = \frac{\sum_{i=1}^{n} W_i X_i}{\sum_{i=1}^{n} W_i}; \ \bar{Y} = \frac{\sum_{i=1}^{n} W_i Y_i}{\sum_{i=1}^{n} W_i}$$
(20)

$$\boldsymbol{S} = \boldsymbol{\pi}\boldsymbol{\sigma}_{\boldsymbol{X}}\boldsymbol{\sigma}_{\boldsymbol{Y}} \tag{21}$$

Here, *n* is the number of cities, (\bar{X}, \bar{Y}) is the center of gravity coordinates of urban ecological resilience, (X_i, Y_i) is the geographical coordinates of city *i*, W_i is the development level of ecological resilience of each city, σ_X and σ_Y are the standard deviations of X and Y axis, respectively; S is the center of gravity migration distance.

TABLE 2 Interaction types of two independent variables on dependent variables.

Criterion	Interaction
$q (x_1 \cap x_2) < min (q (x_1), q (x_2))$	Nonlinear weakening
$min (q(x_1), q(x_2)) < q(x_1 \cap x_2) < max (q(x_1), q(x_2))$	One-factor nonlinear weakening
$max (q (x_1),q (x_2)) < q (x_1 \cap x_2)$	Two-factor enhancement
$q (x_1 \cap x_2) > q (x_1) + q (x_2)$	Independent
$q(x_1 \cap x_2) = q(x_1) + q(x_2)$	Nonlinear enhancement

2.3.5 Geographic detector

A geographic detector is a first-order statistical method for detecting spatial differentiation and indicating the driving force behind it (Wang and Xu, 2017). The formula is as follows:

$$q = 1 - \frac{\sum_{h=1}^{L} N_h \sigma_h^2}{N \sigma^2} = 1 - \frac{SSW}{SST}$$
(22)

Here, *h* is the stratification of variable *Y* or factor *X*, N_h and *N* are the number of units of layer *h* and the entire region, respectively; σ_h^2 and σ^2 are the variance of layer *h* and *Y* value of the entire region, respectively; *SSW* and *SST* are the sum of variance in the layer and the total variance of the entire region, respectively; *q* is the influence of the influence factor on urban ecological resilience, with a value from 0 to 1. The higher the *q* value, the more clear the influence.

Interaction detection identifies the interaction effects of different influencing factors and evaluates the effect of increasing or weakening the dependent variable when these factors work together (Wang et al., 2010). First, the q values of the two factors are calculated, recorded as $q(x_1)$ and $q(x_2)$, respectively, and then the superimposed q values of the two factors are calculated as $q(x_1 \cap x_2)$. By comparing the relationships among $q(x_1)$, $q(x_2)$, and $q(x_1 \cap x_2)$, five interaction types were obtained (Table 2).

3 Results and analysis

3.1 Temporal evolution characteristics of urban ecological resilience in shanxi section of the YRB

During the period 2012–2021, the average level of ecological resilience in UAs of the Shanxi section of YRB was relatively low, and had experienced a fluctuation trend of "rise—fall—rise," with an overall upward trend (Figure 2). The average urban ecological resilience increased from 0.192 in 2012 to 0.233 in 2013, fell to a minimum of 0.188 in 2015, and then slowly rose to 0.319 by 2021, with a average of 0.213 from 2012 to 2021. The urban ecological resilience of the Central Shanxi UA was always higher than the average level of the study area, with an annual average of 0.269 and an average annual growth rate of 8.15%. The urban ecological resilience of South Shanxi UA was slightly lower than average, with an annual average of 0.194 and an average annual growth rate of 4.92%. The development in the North Shanxi UA was relatively



backward, with an annual average of 0.158 and an average annual growth rate of 1.44%.

The kernel density estimate (Figure 3) revealed that the Shanxi section of the YRB had an overall right shift in 2012 and 2021. The kernel density curves in Central Shanxi UA and South Shanxi UA gradually shifted to the right to different degrees, indicating improvements in the level of urban ecological resilience and some achievements in the ecological protection of the YRB. The curve of North Shanxi UA had a small movement to the right, and with no noticeable improvement in urban ecological resilience.

In terms of the curve distribution pattern (Figure 3), the main peak of the kernel density curve in the Shanxi section of the YRB decreased, and the width gradually increased after fluctuation, indicating a gradually increasing absolute difference in urban ecological resilience in the study area. The width and height of the main peak of the North Shanxi UA decreased slightly, with no large change overall, indicating that the absolute difference in urban ecological resilience in the region was stable, with a decreasing trend. The height of the main peak of the Central Shanxi UA first increased and then decreased, and the width fluctuated and then decreased slightly, indicating that the absolute difference in urban ecological resilience in the region tended to decrease. The main peak of the South Shanxi UA exhibited a downward trend and the width increased slightly, indicating a gradual expansion in the absolute difference in urban ecological resilience.

From the perspective of the curve distribution ductility (Figure 3), the left trailing distribution of the Shanxi section of the YRB remained unchanged, the right trailing gradually elongated, and the extension of the curve expanded. High values of urban ecological resilience have appeared and gradually increased in this region. The curve of the North Shanxi UA was basically unchanged on the left trailing and slightly elongated on the right trailing, with little change overall, indicating that the curve of the North Shanxi UA had a certain extension convergence, with more synchronous urban development in the region. The curves of the Central Shanxi UA and South Shanxi UA shortened annually, while the right trailing gradually lengthened, indicating that the extension of these regional distribution curves was expanding.

In terms of polarization characteristics, the kernel density curves of the Shanxi section of the YRB and the three urban agglomerations were all single peaks, indicating that the level of urban ecological resilience was in a single equilibrium, with no noticeable polarization.

3.2 Spatial evolution characteristics of urban ecological resilience in shanxi section of the YRB

3.2.1 Spatial distribution characteristics

As suggested by Fan (2023) and the actual situation of urban ecological resilience in the Shanxi section of the YRB, the UAs was divided into five levels by the deviation ratio around the average of 0.213: low, medium-low, medium, medium-high, and high (Table 3). The urban ecological resilience of the Shanxi section of the YRB generally exhibited a gradient of decreasing spatial pattern in the order Central Shanxi UA > South Shanxi UA > North Shanxi UA (Figure 4). In Central Shanxi UA, the ecological resilience of Taiyuan City was high, rising from 0.299 in 2012 to 0.485 in 2021. The level of ecological resilience in Jinzhong City improved significantly from 0.184 in 2012 to 0.545 in 2021. The level of ecological resilience in Lyliang City increased slowly from 0.172 in 2012 to 0.296 in 2021. In the South Shanxi UA, the ecological resilience of Jincheng City was high, ranging from 0.240 in 2012 to 0.408 in 2021, Yuncheng City from 0.151 in 2012 to 0.211 in 2021, and Linfen City from 0.164 in 2012 to 0.235 in 2021. The two cities in Northern Shanxi UA were below the medium level, and the level of resilience was low.

From 2012 to 2021, the urban ecological resilience gradually changed from Taiyuan—Jincheng City spatial dual-core leadership to Taiyuan City as a single core. The ecological resilience of Taiyuan, the capital of the Shanxi Province, was significantly higher than that of the other cities, resulting in a decrease in ecological resilience from the core to the peripheral cities. Economic and industrial development in North Shanxi has been restricted by resources for a long time. The ecological resilience is slow. In future developments, special attention should be paid to the ecological protection and governance of other cities.

From the changes in the urban ecological resilience grade in the Shanxi section of the YRB (Figure 5), a city with a medium-high level of ecological resilience in 2012 was optimized to a high level by 2021. Of the four cities that were at medium-low level in 2012, two developed to a high-level, one developed to a medium-high level, one remained at a medium-low level. Of the two cities that were at low-level in 2012, one developed to a medium-level, one developed to a medium-low level. One high-level, one developed to a medium-low level. One high-level cities in 2012 remained unchanged by 2021. The ecological resilience level of each city showed a trend of continuous optimization, generally floating at two adjacent levels, which indicates that although Shanxi Province attached great importance to the protection of the ecological environment and the industrial structure has gradually adjusted in the past 10 years, the urban eco-environmental problems have not been fully alleviated.



	TABLE 3	3 Classification	of	urban	ecological	resilience.	
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Level	Deviation ratio	Interaction		
Low	-25% and below	(0,0.1598]		
Medium-Low	(-25%,-10%]	(0.1599-0.1918]		
Medium	(-10%,10%]	(0.1919-0.2344]		
Medium-High	(10%,25%]	(0.2345-0.2663]		
High	25% and above	(0.2664-0.5452]		

3.2.2 Characteristics of spatial differences

The Gini coefficient of ecological resilience in the UAs of the Shanxi section of the YRB remained relatively low with a fluctuating upward trend (Figure 6). It decreased during 2013–2016 and 2018–2019, indicating a narrowing gap in urban ecological resilience and improved spatial balance during these periods. The increase in the Gini coefficient during 2012–2013, 2016–2018, and 2019–2021 indicated an expanding gap in urban ecological resilience, with evident spatial disequilibrium during these

periods. The Gini coefficient in 2021 was the highest over the past decade, indicating that efforts should be made to narrow the differences between cities in the Shanxi section of the YRB.

The average Gini coefficient of the UAs was in the order: Central Shanxi (0.142) > South Shanxi (0.099) > North Shanxi (0.036) (Figure 6). The Central Shanxi UA had the highest Gini coefficient, indicating that the difference in ecosystem adaptability and resilience was owing to economic growth between cities within the UA. The ecological resilience of the North Shanxi UA was affected by geographical factors and the economic industrial structure. The regional differences were small and far lower than the average level in the Shanxi section of the YRB. Central Shanxi UA exhibited a fluctuating change with a decreasing trend. The regional difference in South Shanxi UA first decreased and then suddenly increased from 2020 to 2021, surpassing the Central Shanxi UA. The North Shanxi UA exhibited a fluctuating decreasing trend, the difference in ecological resilience between the two cities in the region gradually decreased, and development was gradually balanced.

The order of the average Gini coefficients among the three UAs in the Shanxi section of the YRB was: North and Central Shanxi



(0.252) > Central and South Shanxi (0.191) > North and South Shanxi (0.118). The gap in natural conditions, economic and social development, and ecological level between the North, Central, and South Shanxi UAs led to the greatest difference in ecological resilience between the North and Central Shanxi UAs and the smallest difference between the North and South Shanxi UAs. Evolutionally, although differences in the urban ecological resilience among the three UAs displayed several fluctuations in 2013, 2016, and 2018, overall they exhibited an upward trend, and the differences among the UAs gradually increased (Figure 7). The urban ecological resilience of Central Shanxi UA has grown rapidly in the past 10 years, and the difference between this and the other two UAs has gradually increased. The natural environment foundation of North Shanxi UA is weak, the infrastructure is not perfect, social and economic development is relatively lagging, and the improvement in urban ecological resilience is relatively slow.

The contribution rate of differences among UAs (65.82%) > differences within UAs (24.59%) > transariation (9.59%) (Figure 7). The development of differences within the UAs in the Shanxi section of the YRB was relatively stable, and exhibited a narrowing trend. The difference in change was mainly caused by the difference among

the UAs, and this difference exhibited a rising trend. Combined with the above results, we should pay attention to the development of urban ecological resilience in North Shanxi UA and narrow the differences among the regions of North, Central, and South Shanxi UAs.

3.3 Characteristics of center of gravity transfer

From 2012 to 2021, the ecological resilience of UAs in the Shanxi section of the YRB demonstrated a north-south distribution and moved slightly to the southeast (Figure 8). The azimuth decreased from 4.02° in 2012° to 3.73° in 2015, then increased to 4.38° in 2018, and finally decreased to 3.11° in 2021, indicating a counterclockwise shift in urban ecological resilience (Table 4). The centers of urban ecological resilience in 2012, 2015, 2018, and 2021 were located in Lvliang Wenshui County (112.159591°E, 37.325122°N), Jinzhong Pingyao County (112.177048°E, 37.295967°N), Lvliang Wenshui County (112.158105°E, 37.344768°N), and Jinzhong Pingyao County (112.234174°E, 37.227414°N), respectively. The trajectory





of the center of gravity fluctuated in the northwest-southeast direction and moved 3.61 km from 2012 to 2015, 5.88 km from 2015 to 2018, 14.76 km from 2018 to 2021, and 12.63 km to the

southeast overall. The standard deviation elliptical long axis continuously shortened from 452.53 km in 2012 to 406.45 km in 2021, the short axis increased from 152.91 km in 2012 to 160.39 km in 2021, and the oblateness decreased from 0.662 in 2012 to 0.605 in 2021. This indicates a clear agglomeration effect on the urban ecological resilience of the YRB along the long axis and an expansion trend along the short axis. From 2012 to 2021, the elliptical coverage area decreased from 54,346.16 km² to 51,200.56 km², with a total reduction of 3,145.6 km², which further indicates a gradual enhancement in the single core agglomeration model of the urban ecological resilience in Shanxi section of the YRB and a strengthening of the radiation effect of Central Shanxi UA on other cities.

3.4 Influencing factors of urban ecological resilience in Shanxi section of the YRB

We considered the value of urban ecological resilience in the Shanxi section of the YRB as the dependent variable and the 15 influencing factors in Table 1 as independent variables. First, the data for each factor were converted into grade data using the natural breakpoint method. Then, the explanatory power q of each factor was calculated by introducing a geographical detector, that is,





Year	Center of gravity coordinates	Long axis (km)	Short axis (km)	Area (km²)	Oblateness	Azimuth angle
2012	112.159591°E, 37.325122°N	452.526	152.910	54,346.161	0.662	4.016
2015	112.177048°E, 37.295967°N	446.540	152.638	53,531.803	0.658	3.727
2018	112.158105°E, 37.344768°N	424.909	152.934	51,037.457	0.640	4.383
2021	112.234174°E, 37.227414°N	406.445	160.392	51,200.562	0.605	3.108

TABLE 4 Standard deviation ellipse parameters of urban ecological resilience of UA.



the influence of each factor on urban ecological toughness. The results indicated the top five influencing factors were $X_{01} > X_{07} > X_{13} > X_{05} > X_{06}$ in 2012; $X_{01} > X_{14} > X_{06} > X_{12} > X_{13}$ in 2015; $X_{07} > X_{14} > X_{13} > X_{03} > X_{15}$ in 2018; and $X_{03} > X_{15} > X_{10} > X_{12} > X_{07}$ in 2021 (Figure 9). Although the degree of influence of each factor on urban ecological resilience was different during different periods, the water supply per ten-thousand Yuan GDP, occupied area of construction land per ten-thousand Yuan GDP, green coverage rate in built-up areas, ratio of research and experimental development funds (R&D) to GDP, proportion of science and technology expenditure in local fiscal expenditure, and patent licensing quantity index were higher at each stage, which had a higher influence on urban ecological resilience.

The results of the factor interaction detection revealed that most types of factor interactions were nonlinear enhancements (Figure 10). The q values of the interactions among the 15 factors in 2012, 2015, 2018, and 2021 increase significantly, indicating that the degree of interaction among the factors is significantly greater than a single factor. In 2012, X_{01} , X_{07} , and X_{13} had the most significant interactions with other factors; in 2015, X_{01} , X_{06} , and X_{14} had the most significant interactions with other factors; in 2018, X_{03} and X_{07} had the most significant interactions with other factors; in 2018, X_{03} and X_{07} had the most significant interactions with other factors.

with other factors; and in 2021, X_{03} and X_{15} had the most significant interactions with other factors. The evolution of the interaction between two factors from 2012 to 2021 further shows that these factors are the leading factors affecting urban ecological resilience, and their influence tended to increase annually.

4 Discussion

Due to the development of urbanization and industrialization, the urban ecological environment is under great pressure. Resourcebased cities have a long history and resource advantages, and have been the driving force of rapid economic development (Mohamed et al., 2021; Al-Housani et al., 2023). However, overdevelopment and serious environmental degradation are inevitable side effects of the development of resource-based cities (Taelman et al., 2018). As a province with large coal resources, Shanxi's economic and industrial structure is relatively simple, the problem of ecological environmental debt is prominent, and ecological protection remains arduous.

Urban ecological resilience provides a set of new methods and tools for exploring the nonlinear relationship between urban



ecosystems (Bottero et al., 2020; Zhao et al., 2021). Based on the theory of landscape ecology, the research paradigm of ecological network "identifying ecological source-building resistance surface-extracting ecological corridor" is established to explore how to enhance the resilience of ecosystem from the ecological point of view (Qiao et al., 2023; Wang et al., 2023). However, these studies often ignore the importance that ecological resilience is affected by human social activities. At present, The index system for evaluating urban ecological resilience still has limitations, and it is necessary to consider the impact of social activities on the ecosystem more comprehensively (Scheffer et al., 2015; Jia et al., 2023). When constructing the index system, we drew lessons from previous research results and highlighted the importance of ecosystem innovation ability. The weight assignment result of the entropy method further illustrates the important position of innovation development in the evaluation system. The analysis of the spatiotemporal evolution of urban ecological resilience reveals that the overall level of urban ecological resilience in the study area is low, which is consistent with existing research results of urban ecological resilience in the YRB (Li et al., 2023a; Wang et al., 2024). The results of this study reveal that the level of urban ecological resilience in the Shanxi Section of the YRB has an overall growth trend; however, the growth process of each UA fluctuates is slow, and the growth rate varies greatly. Since the 18th Communist Party of China National Congress made the strategic decision to "vigorously promote the construction of an ecological civilization," the traditional development model of the YRB has improved, and the average level of ecological resilience of UAs in the Shanxi section of YRB improved during the study period. However, the process of improvement is difficult and must be repeated. In 2012, the level of urbanization gradually improved, the social and economic levels developed, and the natural growth rate of the population increased, exceeding the carrying capacity of ecological resources, which further affected the development of urban ecological resilience. Since 2015, with the vigorous promotion of supply-side reforms, Shanxi has begun to explore the transformation of its resource-based economy. The Soil and Water Conservation Plan of Shanxi Province (2016–2030) was declared in 2017, and the ecological restoration of "two mountains, seven rivers, and one watershed" was launched in 2018, guaranteeing the improvement of urban ecological resilience.

Taiyuan, the provincial capital city, has a leading advantage in terms of ecological resilience. The integrated development of Taiyuan, Xinzhou, and Jinzhong City is strengthening the coordinated development of surrounding cities. However, regional development in the North Shanxi UA lags behind, and is insufficient and unbalanced, which is the main reason for the difference in urban ecological resilience with other UAs. The results of the center-of-gravity migration also indicate that the development power of the North Shanxi UA is insufficient, and the center of gravity has moved to the southeast. According to the Outline of the National Plan for the Protection of Ecologically Fragile Areas (https://www.mee.gov.cn/), the two cities in Northern Shanxi belong to ecologically fragile areas and are most affected by human activities because of their special natural geographical conditions and concentrated mineral resources. The regional development of North Shanxi urgently needs to strengthen its ties with other regions and form a mutual aid link for overall development to enhance the urban ecological resilience of Shanxi Province.

Tong et al. (2023) suggested that both natural and human factors affected the spatial distribution of ecological resilience of the northernslope of Tianshan Mountain. Shi et al. (2022) suggested that socio-economic development was the main influencing factors of urban ecological resilience. In the present study, X_{01} and X_{03} in the pressure dimension had relatively high explanatory power, indicating the pressure of urban ecological resilience in the Shanxi Section of the YRB, mainly from urban water consumption intensity and land development intensity. In the state dimension, X₀₇ reflected the level of urban greening, with higher influence level, indicating that increasing the green coverage area in built-up areas can effectively improve the quality of the urban ecological environment. In the response dimension, X_{09} , X_{10} , and X_{12} had the same intensity of forces, indicating that environmental governance, improvement in human settlements, and level of investment in environmental protection play important roles in the improvement of urban ecological resilience. The three factors X_{13} , X_{14} , and X_{15} in the innovation dimension had strong explanatory power, which demonstrates the importance of urban ecosystems in adapting to external disturbances and obtaining ecological resilience through learning and innovation functions. Considering the factors that restrict the development of urban ecological resilience, there are a few suggestions for the development of the Shanxi Section of the YRB.

- (1) Scientific and technological innovations play a positive role in promoting urban ecological resilience. To improve urban ecological resilience, we should focus on the advantages of scientific and technological innovation, increase the introduction and training of researchers, and attach importance to investing scientific and technological funds and research and experimental development funds in the North Shanxi UA. Moreover, we should improve the output of scientific research in Central Shanxi and enhance the transformation capacity of urban ecosystems.
- (2) The pressure of urban ecological resilience should be transformed and industrial transformation and upgradation encouraged, a green industrial system should be built, and the urban development model for high energy consumption changed. Strengthen common links among UAs, benign interactions among cities have to be encouraged, and a multidimensional cooperation mechanism established.
- (3) Continue increasing efforts to promote ecological restoration and management of the YRB. The level of water resource management should be improved and water quality significantly improved, forest coverage and green coverage in built-up areas increased, urban green spaces expanded, the comprehensive utilization rate of general industrial solid waste strengthened, harmless treatment rate of domestic waste improved, centralized treatment rate of sewage treatment plants, and other urban pollution control efforts implemented.

It is necessary to acknowledge the limitations of this work. Considering the data availability, this study selected prefecture-level cities in the Shanxi Section of the YRB as the research objects. The sample size was relatively small, and the research scale was not detailed enough. Counties can be added for further detailed exploration to reflect the developmental status of urban ecological resilience more accurately. In addition, the related research on urban ecological resilience is still under exploration, with relatively limited references, The evaluation system is also still being improved, which needs to be further refined.

5 Conclusion

By constructing an evaluation index system for urban ecological toughness, this study explores the spatiotemporal evolution characteristics and dynamic development trends of urban ecological resilience in the Shanxi section of the YRB and discusses the influencing factors.

- (1) From 2012 to 2021, the average level of urban ecological resilience in the Shanxi Section of the YRB was relatively low. The urban ecological resilience gradually transformed from the dual core of Taiyuan—Jincheng City to Taiyuan City as a single core, exhibiting a decreasing trend from the core to the peripheral cities.
- (2) The spatial differences in the ecological resilience of the UAs in the Shanxi Section of the YRB are mainly caused by interregional differences, and this difference has an increasing trend. The largest regional differences were

observed between Central Shanxi UA and North Shanxi UA, and those between North Shanxi UA and South Shanxi UA are minimal. During the study period, the standard deviation ellipse of urban ecological resilience in the UA in the Shanxi section of the YRB displayed a counterclockwise shifting trend; the oblateness decreased by 0.057, and the ellipse coverage area decreased by 3,145.6 km². The center of gravity migration trajectory fluctuated in the "northwest-southeast" direction, and overall moved 12.63 km to the southeast.

(3) The water supply per ten-thousand Yuan GDP, occupied area of construction land per ten-thousand Yuan GDP, green coverage rate in built-up area, ratio of research and experimental development funds (R&D) to GDP, proportion of science and technology expenditure in local fiscal expenditure, and patent licensing quantity index have relatively high factor explanatory power at each stage. Most factor interaction types are nonlinear enhancements and the degree of influence of the interaction between factors is greater than a single factor.

Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

Author contributions

JW: Methodology, Writing-original draft. ZL: Methodology, Writing-review and editing. YC: Conceptualization, Writing-review and editing. ZZ: Conceptualization, Writing-review and editing. SW: Writing-review and editing.

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Conflict of interest

Author ZL was employed by Shanxi Yituo Land Engineering Consulting Co., Ltd.

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Supplementary material

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