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SPECIALTY SECTION

This article was submitted to Atmosphere
and Climate,
a section of the journal
Frontiers in Environmental Science

RECEIVED 17 January 2023

ACCEPTED 08 March 2023

PUBLISHED 22 March 2023

CITATION

Uttamang P, Janta R, Bran SH,
Macatangay R, Surapipith V, Tala W and
Chantara S (2023), Effects of biogenic
volatile organic compounds and
anthropogenic NO_x emissions on O₃ and
PM_{2.5} formation over the northern region
of Thailand.
Front. Environ. Sci. 11:1146437.
doi: 10.3389/fenvs.2023.1146437

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Effects of biogenic volatile organic compounds and anthropogenic NO_x emissions on O₃ and PM_{2.5} formation over the northern region of Thailand

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Biogenic volatile organic compounds (BVOC), which are mainly emitted from plants, are a major precursor for the formation of ground-level ozone (O₃) and secondary organic aerosols (SOA). In the northern region of Thailand, 63.8% of the land area is covered by forests. Herein we investigated the effects of biogenic volatile organic compounds (BVOC) emitted from plants and anthropogenic NO_x emissions on ground-level ozone (O₃) and fine particulate matters (PM_{2.5}) formation. The Weather Research and Forecasting Model coupled with Chemistry (WRF-Chem Model) was applied to simulate three scenarios including baseline, noBio and modiAntho simulations. The modeling results over the northern region of Thailand indicate that BVOC emissions over the northern region of Thailand contributed only 5.3%–5.6% of the total concentrations of PM_{2.5} and BVOC had a direct relationship to glyoxal and SOA of glyoxal. The comparison between the observed and the modeled isoprene over the study site showed an underestimation (3- to 4-folds) of the simulated concentrations during the study period (June and November 2021). In June, decreases in anthropogenic NO_x emissions by 40% led to PM_{2.5} reductions (5.3%), which corresponded to a zero BVOC emission scenario. While higher PM_{2.5} reductions (5.6%) were found to be caused by anthropogenic NO_x reductions in November, small increases in PM_{2.5} were observed over the area near a power plant located in Lampang Province. Therefore, both VOC and NO_x emission controls may be necessary for areas near the lignite mine and power plant. Since the areas within the vicinity of the power plant were under VOC-limited regimes, while the other areas were determined to be NO_x-limited.

KEYWORDS

biogenic volatile organic compounds, ozone, secondary organic aerosols, anthropogenic NO_x, glyoxal, WRF-chem model

1 Introduction

The northern region of Thailand has experienced air quality degradation during the hot-dry season (February–April), especially $PM_{2.5}$ that frequently exceeded its national ambient air quality standard of Thailand (Thailand NAAQs for daily $PM_{2.5}$ is $50 \mu\text{g}\cdot\text{m}^{-3}$ (Radchakitchanubagesa, 2022) for example, in 2019, Chiang Mai - a province in the northern region of Thailand, had the worst air pollution in the world (Wipatayotin, 2019), in 2021, Chiang Mai was ranked as the third most air-polluted city in the world (Tanraksa, 2021), in February 2023, air quality over the northern region of Thailand that was reported by the Pollution Control Department, Thailand (PCD) has AQI values ranging from 96 (moderate) to 294 (unhealthy) with the daily average $PM_{2.5}$ ranging from 49 to $184 \mu\text{g}\cdot\text{m}^{-3}$ (Pollution Control Department, 2023). The influence of air pollution on human health and the environment is a significant source of concern. According to a 2021 World Health Organization (WHO) report (World Health Organization, 2022), approximately seven million people worldwide died prematurely due to health problems attributed to air pollution. Importantly, excessive air pollution can cause a range of harmful effects on human health depending on age and gender. Southeast Asia and the Western Pacific region account for around 25% and 45% of world's mortality rates, respectively, according to current estimations of global premature death rates based on high-resolution global O_3 and $PM_{2.5}$ models (Lelieveld et al., 2013; Amnuaylojaroen et al., 2019).

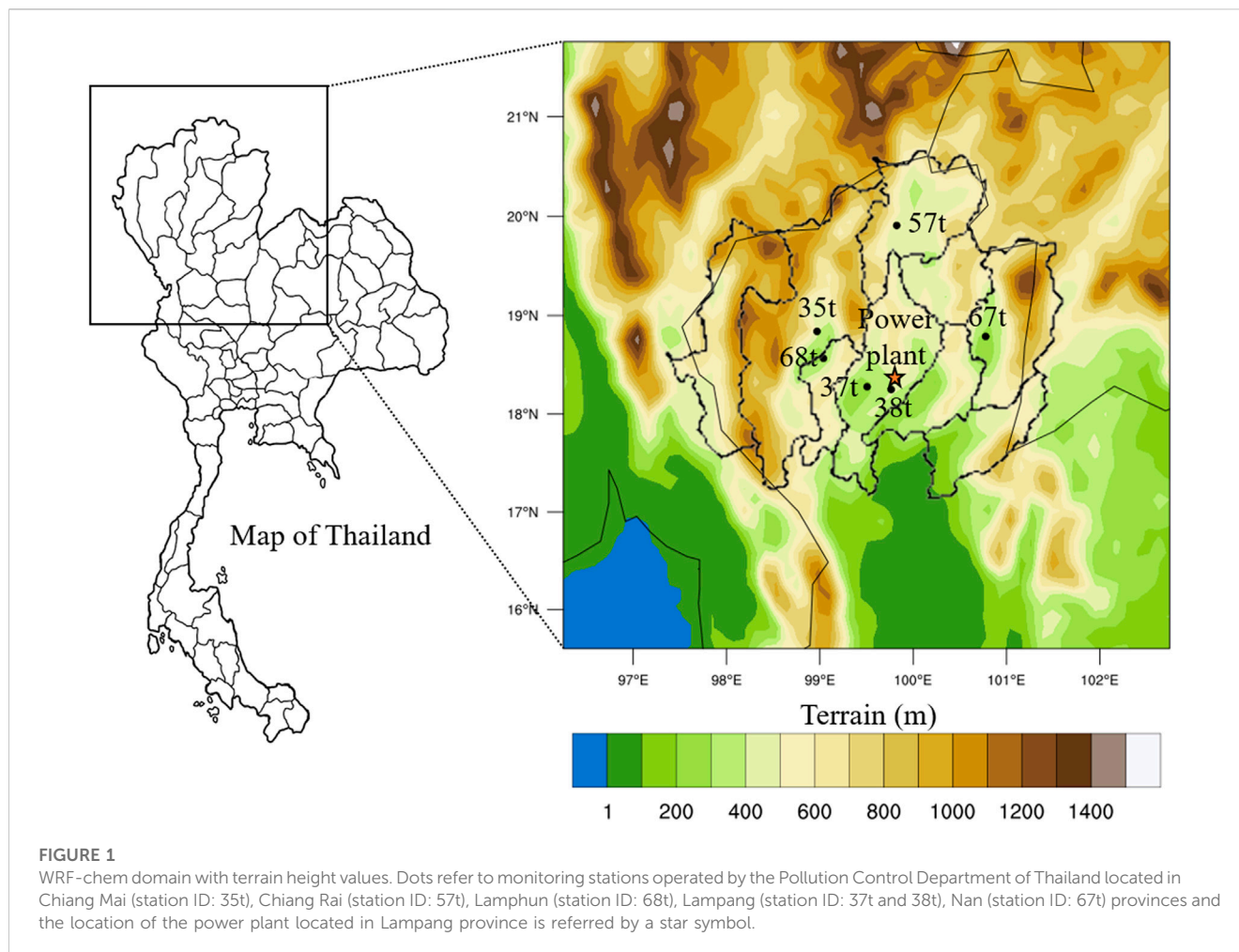
Volatile organic compounds (VOCs) are important compounds in the ambient air that can act as a precursor to the formation of O_3 and secondary organic aerosols (SOA) (Claeys et al., 2004; Kota et al., 2015). They can come from both natural and man-made sources, which are referred to as biogenic volatile organic compounds (BVOC) and anthropogenic volatile organic compounds (AVOC), respectively. BVOC emissions account for up to 90% of all VOC emissions globally, with vegetation accounting for 99% of these emissions (Guenther et al., 1995). Due to their high emissions and high levels of reactivity, BVOC like isoprene, monoterpene, and sesquiterpene contribute significantly to the formation of SOAs (Carslaw et al., 2010; Tasoglou and Pandis, 2015). Fu and Liao (2012) determined that interannual variations in BVOC caused 2%–5% differences in simulated O_3 and SOA levels in the summer months during the period from 2001 to 2006. About 20% and 76% of all O_3 and SOA levels worldwide, respectively, are attributed to BVOC emissions (Hallquist et al., 2009; Wang et al., 2019). Furthermore, it was indicated that the ratio of BVOC emissions to anthropogenic VOC emissions is greater than 1.8 (Li et al., 2016; Yang et al., 2021). Moreover, various compounds can exhibit a high degree of sensitivity to changes in these emissions, suggesting that they could have a high impact on SOA as well.

Glyoxal (CHOCHO), the smallest dicarbonyl produced through the oxidation of isoprene by the hydroxyl radical ($\text{OH}\bullet$), has been acknowledged as an important SOA precursor, since it can transform to SOA through a range of reversible and irreversible reactions (Knote et al., 2014; Miller et al., 2017). However, the chemical pathways that are used to convert glyoxal to SOA are still not fully clear (Knote et al., 2014). Under low- NO_x conditions, the

rate of glyoxal formation from isoprene is slower than it is when these mechanisms are employed under high- NO_x conditions (Miller et al., 2017). In another case, under a VOC-limited regime (NO_x -saturated), wherein the hydroxyl radical ($\text{OH}\bullet$) dominantly reacts with NO_2 , resulting in nitrate aerosol formation. Therefore, a reduction of NO_x emissions can lead to an increase in OH, and the oxidation of SO_2 become dominant. As a result, sulfate concentrations are enhanced. On the other hand, a reduction in VOCs under this regime will slow down sulfate formation due to a reduction in O_3 and OH levels (Tsimpidi et al., 2008). Sulfate, nitrate and ammonium, collectively known as SNA, are considered important inorganic aerosol species because they account for half of the total mass of $PM_{2.5}$ (Wang et al., 2013; Chen et al., 2016) which, the SNA system also depends on the levels of VOC and NO_x . Aksoyoglu et al. (2017) studied the effects of BVOC on the SNA system over Europe by utilizing the three-dimensional regional comprehensive air quality model with relevant extensions (CAMx). The results from their study revealed that increasing BVOC emissions by a factor of two could enhance SOA levels even if nitrate and sulfate levels were reduced.

In Thailand, there are a few studies attempted to investigate causes of air quality degradation, for example, Amnuaylojaroen et al. (2014) used the Weather Research and Forecasting Model with Chemistry (WRF-Chem) to predict surface O_3 and CO levels in Southeast Asia during peak biomass burning periods. Amnuaylojaroen et al. (2019) investigated the effect of volatile organic compounds from biomass burning on surface O_3 levels in Southeast Asia using the WRF-Chem; Sharma et al. (2017) evaluated the modeled surface O_3 over South Asia using three different emission inventories in WRF-Chem; Khodmanee and Amnuaylojaroen (2021) use a model simulation to investigate the effect of biomass burning on anthropogenic, biogenic, and biomass burning emissions; however, the studies on BVOC and its effects on the formation of surface are limit. Especially, over the northern Thailand wherein forests cover over 63.8% (greater than 5,723,503.79 ha) of the land area and this region is rich in natural resources and biodiversity (Royal Forest Department, 2018). Besides anthropogenic emission such as biomass burning, particularly during the beginning of the year, from January to April (Yin et al., 2019; Amnuaylojaroen et al., 2020) and transportation vehicles as a result of increasing population and rapidly expanding urbanization and suburbanization that are causes of air pollution, investigation whether forests could be a major source of air pollution in the region is necessary.

Therefore, in this study, the influence of BVOC and anthropogenic NO_x (precursor) on the formation of surface O_3 and SOA and its contribution to the total $PM_{2.5}$ concentration were investigated. The Weather Research and Forecasting Model coupled with Chemistry (WRF-Chem Model) was utilized during the period of 16–23 June of 2021 (representing the wet season) and 24 November of 2021 to 1 December of 2021 (representing the dry season). The results from this study provides an in-depth analysis of SOA formation from BVOC and from anthropogenic emissions and insights for aerosol chemistry in models that can help to recognize the impact of biogenic and anthropogenic emission sources on SOA and surface O_3 over this area which this information will support the local government to prepare proper $PM_{2.5}$ control mitigations over the northern region of Thailand.



2 Methodology

2.1 Study area and domain setting

In this study, a single domain with a 12-km horizontal resolution, that employed 37 vertical sigma-pressure levels, was utilized using the Weather Research and Forecasting Model coupled with Chemistry (WRF-Chem Model) version 3.9.1.1. The domain covered eight provinces in the northern region of Thailand including, Mae Hong Son, Chiang Mai, Chiang Rai, Lamphun, Lampang, Phayao, Phrae, and Nan Provinces. This study area is located in the complex terrain comprised of the Thanon Thong Chai Range, the Doi Inthanon Range, the Khun Tan Range, the Phi Pan Nam Range, and the Luang Prabang Range extending from the east to the west, as well as the Daen Lao Rang located in the north of the study area (Figure 1). About 63.8% of the region is covered by forests including hilly evergreen forests, dry deciduous dipterocarp forests and mixed deciduous forests (Royal Forest Department, 2018). A major anthropogenic emission source in this area is the lignite mine and power plant with a generating capacity of 2,220 MW. This power plant consumes about 16 million tons of fuel annually.

Each year, during the months from May to October, northern Thailand is influenced by southwest monsoon winds. These

monsoon winds bring high moisture content air masses from the Indian Ocean that ultimately bring the wet season to this region. The dry season runs from November to May and occurs as a result of the cold and dry air masses that travel from China. The dry season in Thailand is separated into two periods, namely, the local summer season (from February to May) and the local winter season (from October to February). During the local summer season, the weather is hot to very hot (with temperatures ranging from 35 to greater than 40°C); while cooler and dry weather occurs during the winter months (Thai Meteorological Department, 2022).

2.2 Model configuration and study periods

The WRF-Chem Model version 3.9.1.1 was selected to study the effects of BVOC and anthropogenic NO_x emissions on O₃ and PM_{2.5} formations over the northern region of Thailand. The WRF-chem simulation system was installed on the TARA high performance computing cluster system, which was operated by the National Science and Technology Development Agency, Thailand. Table 1 summarizes the input data sets, as well as the physical and chemical options selected in this study. We then selected the 20-category Moderate Resolution Imaging

TABLE 1 Input data, physical, and chemical options selected for this study.

Option		Selection	References	
Input data	Land cover	MODIS	Friedl et al. (2002)	
	Meteorological	NCEP GFS 0.25°	NCEP/National Weather Service/NOAA/U.S. Department of Commerce (2015)	
	Anthropogenic emission	EDGAR-HTAP	Janssens-Maenhout et al. (2015)	
	Biogenic emission	Online MEGAN	Guenther et al. (2012)	
	Biomass burning	FINN	Wiedinmyer et al. (2011)	
	Initial/boundary condition	WACCM	Marsh et al. (2013)	
Physics	Micro Physics	WRF Single-moment 6-class	Hong and Lim (2006)	
	Shortwave and longwave	RRTMG	Iacono et al. (2008)	
	Surface layer	Eta Similarity	Janjic (2019)	
	Land surface	Noah Land Surface	Niu et al. (2011)	
	Planetary boundary Layer	Mellor–Yamada–Janjic	Janic (2001)	
	Cumulus parameterization	Grell–Freitas Ensemble	Grell and Freitas (2014)	
	Chemistry	Chemical Options	MOZART-MOSAIC with KPP	Knote et al. (2014)
		Photolysis	Madronich F-TUV photolysis	Tie et al. (2003)
Dry depositions		On		
Aerosol effects		On		

Spectroradiometer (MODIS) land covers. The meteorological investigation was driven by the National Centers for Environmental Prediction Global Forecast System (NCEP-GFS) with a 0.25×0.25 degree of horizontal resolution prepared every 6 h. Since Thailand is lacking in a comprehensive national emissions inventory, the Emissions Database for Global Atmospheric Research-Hemispheric Transport of Air Pollution (EDGAR-HTAP) for 2010 with the finest horizontal resolution of $0.1 \times 0.1^\circ$ was employed in this study. The biogenic emission inventory was estimated by the online Model of Emissions of Gases and Aerosols from Nature (MEGAN). The emissions from biomass burning were calculated based on the Fire Inventory obtained from the NCAR (FINN) model in year 2020. This was because at the time of this study, the FINN 2021 data set was not yet available. The WRF Single-moment 6-class scheme was selected for microphysics in conjunction with the Rapid Radiative Transfer Model (RRTMG) scheme for longwave and shortwave radiations, the Eta similarity surface layer scheme, the Mellor–Yamada–Janjic planetary boundary scheme and the Grell–Freitas ensemble cumulus parameterization. Gas-phase and photolysis were calculated by the Model for Ozone and Related chemical Tracers (MOZART) and the Madronich F-TUV photolysis scheme, respectively. Aerosol chemistry was simulated by the Model for Simulating Aerosol Interactions and Chemistry (MOSAIC) 4-bin aerosols module with the Kinetic PreProcessor (KPP), which provided more in-depth information on aerosol compositions and properties.

Two simulation periods, including 16 to 23 June of 2021 and 24 November to 1 December of 2021, were set to estimate the effects of BVOC and anthropogenic NO_x emissions on O_3 and $\text{PM}_{2.5}$ formation during the wet season, with low biomass

burning, and high biomass burning during the dry season, respectively.

2.3 Simulation design

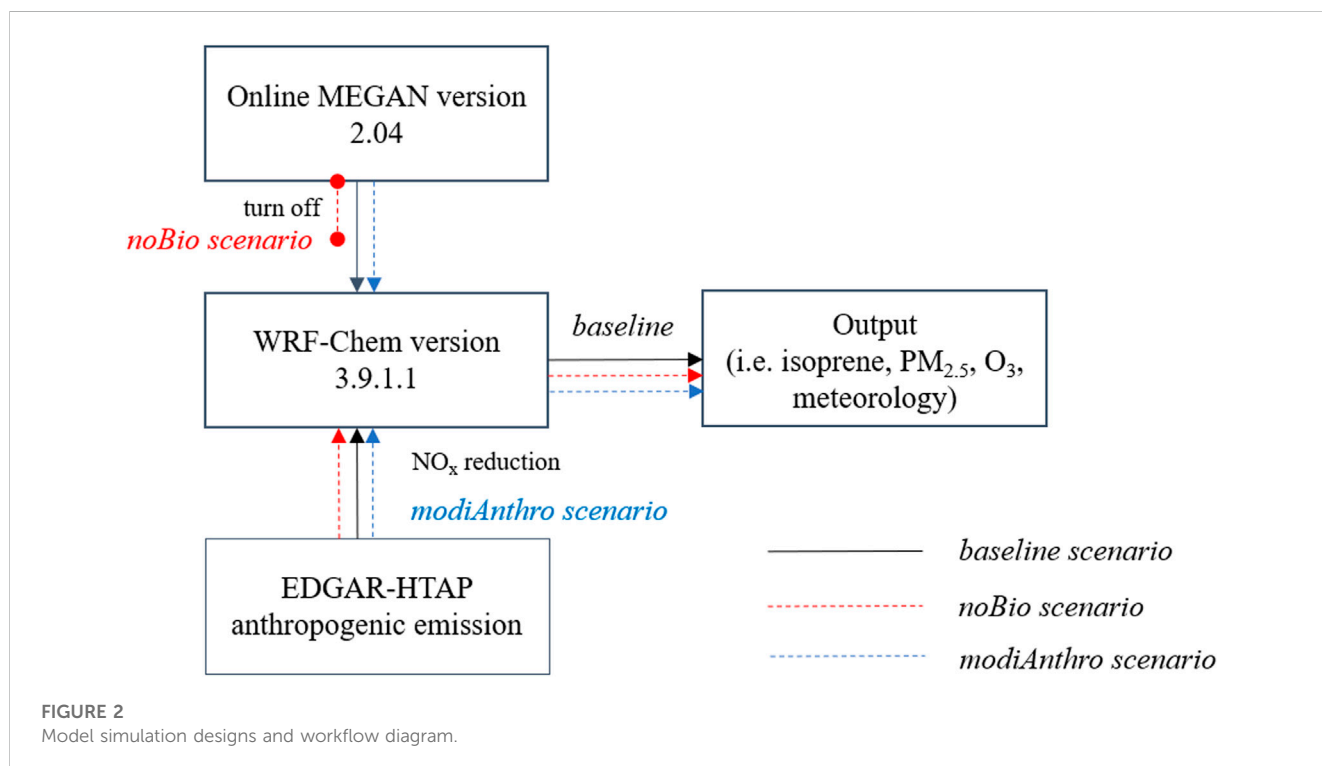
We designed three scenario simulations, namely, baseline, noBio and modiAnthro, to investigate the effects of BVOC and anthropogenic NO_x emissions on O_3 and $\text{PM}_{2.5}$ formation over the northern region of Thailand. The first simulation (baseline) was set according to the model configuration specifically established for the purposes of model evaluation while also serving as a basis simulation. The second scenario is “noBio” scenario in which the BVOC emission inventory estimated by MEGAN was turned off (zero BVOC emissions). A 40% anthropogenic NO_x emission reduction was applied for the fourth or “modiAnthro” scenario. [Table 2](#) summarizes the simulation designs and the purpose of the simulations. [Figure 2](#) illustrates the model simulation designs and workflow diagram in this study.

2.4 Model evaluation protocol and simulation analysis

To evaluate the model performance, hourly meteorological parameters, including temperature (T), relative humidity (RH) and wind speed (WS), were simulated. Chemical species, including ozone (O_3) and fine particulate matter ($\text{PM}_{2.5}$) extracted at the lowest vertical level, were compared to observations at the six monitoring stations (i.e., station ID: 35t,

TABLE 2 Settings of sensitive model simulations.

Simulation	Emission setting			Note
	Anthropogenic	Biomass burning	Biogenic	
baseline	✓	✓	✓	Model evaluation and comparisons
noBio	✓	✓	Turned off	Effect of BVOC on PM _{2.5} formation
modiAnthro	-40% NO _x	✓	✓	Effects of anthropogenic NO _x on PM _{2.5} formation and air quality management aspect



37t, 38t, 57t, 68t, and 67t) during the two study periods. Statistical analyses that included mean values and standard deviations ($Obs \pm sd$; $\bar{Sim} \pm sd$), fractional biases [FB (Eq. 1)], root mean square errors [RMSE (Eq. 2)] and correlation coefficients [r (Eq. 3)] were conducted.

$$FB = \frac{2(\bar{M} - \bar{O})}{(\bar{M} + \bar{O})} \tag{1}$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (M_i - O_i)^2}{N}} \tag{2}$$

$$r = \frac{1}{(n-1)} \sum_{i=1}^n \left(\frac{M_i - \bar{M}}{\sigma_M} \right) \left(\frac{O_i - \bar{O}}{\sigma_O} \right) \tag{3}$$

where M_i = simulation and O_i = observation.

To evaluate the effects of BVOC and NO_x emissions on O₃ and PM_{2.5} formation and PM_{2.5} compositions over the northern region of Thailand, the O₃ and PM_{2.5} concentrations from the alternative scenarios (the second and the third scenario) were compared to the results obtained from the baseline simulations as follows:

$$\Delta[x]_{(noBio-baseline)} = [x]_{(noBio)} - [x]_{(baseline)} \text{ and}$$

$$\Delta[x]_{(modiAnthro-baseline)} = [x]_{(modiAnthro)} - [x]_{(baseline)}$$

where X is the parameter value (i.e., O₃ and PM_{2.5} concentrations).

2.5 Isoprene observation

During the study periods isoprene sampling was conducted at 42 m above ground level at the meteorological tower located in the Mae Moh forestry plantations of Lampang Province using a thermal desorption sorbent tube connected to a pump. Air was drawn at a flow rate of 200 mL·min⁻¹ for 30 min per sample. The sampling was then performed from 9 a.m. to 5 p.m. on the days of the investigation. The sample tubes were preserved under low temperatures (0°C–4°C) during transportation prior to analysis by employing the thermal desorption gas chromatography mass spectrometry (TD-GC-MS) method (GC-Clarus 690, MS-Clarus SQ8 T from Perkin-Elmer and TD100-xr from Markes International Ltd.). The isoprene concentrations were used in model evaluation of isoprene and used as supporting information for discussions.

3 Results

3.1 Model evaluation

The results from the model evaluation are presented in [Supplementary Table S1](#). According to the meteorological parameters, the model showed a potential to reproduce the variations of T (r extending to 1.0) and RH ($r \geq 0.7$), but it barely captured the relevant variations of WS. Overall, the model tended to under-predict T with FBs values ranging from -0.01 to -0.2 and RMSEs values ranging from 1.7°C to 3.4°C . RH was more likely to be overpredicted with FBs values ranging from 0.04 to 0.2 ; however, at the 57t and 38t monitoring stations, the model tended to under-predict RH values in November with FBs values of -0.04 and -0.03 , respectively. The model normally overpredicted WS with FBs values ranging from 0.6 to 1.3 and RMSEs values ranging from 0.7 to $2.5\text{ m}\cdot\text{s}^{-1}$. The model performance in our study was comparable to that of another study in terms of similar ranges of FB and RMSE values. In a study conducted by [Chen et al. \(2016\)](#), T2 (temperature at 2 m from the surface) calculated by the WRF-Chem Model over China was underpredicted with biases ranging from -0.24°C – 1.10°C and RMSEs values ranging from 1.90°C to 3.25°C . However, WS was overpredicted with biases ranging from 0.36 to $1.50\text{ m}\cdot\text{s}^{-1}$ and RMSEs values ranging from 1.39 to $2.10\text{ m}\cdot\text{s}^{-1}$. [Wang et al. \(2016\)](#) reported that humidity values simulated from the WRF-chem Model over east Asia and over northern China were overpredicted.

For the evaluation of chemical species, the model exhibited a good ability to capture the diurnal variations of O_3 with $r \geq 0.7$. The average observed concentrations of O_3 recorded in June and November ranged from 15.1 to 27.7 ppb and 10.9 – 24.0 ppb; while those same values from the baseline simulation ranged from 23.7 to 35.4 ppb and from 20.0 to 35.1 ppb, respectively. The comparisons revealed that the model tended to overpredict O_3 with FBs values ranging from 0.01 to 0.9 and RMSEs values ranging from 7.4 to 19.0 ppb. The diurnal variation of O_3 from the observation and the baseline simulation during 16–23 June 2021 and during 24 November to 1 December 2021 are shown in [Supplementary Figures S1, S2](#). Possible causes of O_3 overestimations are 1) the MOZART-MOSAIC mechanism that tends to overestimate O_3 and 2) low anthropogenic NO_x emissions over the study area. [Georgiou et al. \(2018\)](#) compared three simulations utilized by the CBMZ-MOSAIC, MOZART-MOSAIC, and RADM2-MADE/SORGAM chemical mechanisms over the Eastern Mediterranean using the WRF/Chem model. The study revealed that the monthly average O_3 simulated by the MOZART-MOSAIC overestimated by 23%, while the MOSAIC aerosol mechanism highly overestimates $\text{PM}_{2.5}$ concentrations (NMB $\geq 100\%$). Over the northern region of Thailand, the concentrations of NO_x were underestimated which low NO_x concentrations might cause low O_3 loss by NO during nighttime, resulting in high nighttime residual O_3 and enhances the concentrations of O_3 during daytime.

Unlike the O_3 simulations, the model had difficulty in simulating $\text{PM}_{2.5}$ levels. The average $\text{PM}_{2.5}$ concentrations at the monitoring stations ranged from 10.4 to $21.1\text{ }\mu\text{g}\cdot\text{m}^{-3}$ and 11.2 – $22.1\text{ }\mu\text{g}\cdot\text{m}^{-3}$ in June and November, respectively. However, the model showed extremely low $\text{PM}_{2.5}$ concentrations in June (1.6 – $5.6\text{ }\mu\text{g}\cdot\text{m}^{-3}$) and very low concentrations in November (5.5 – $11.3\text{ }\mu\text{g}\cdot\text{m}^{-3}$). The model underpredicted the $\text{PM}_{2.5}$ concentrations with FBs values ranging from -1.7 to -0.2 and RMSEs values ranged from 0.7 to $21.4\text{ }\mu\text{g}\cdot\text{m}^{-3}$. Reasonable O_3 simulations, but a low degree of performance in

simulating $\text{PM}_{2.5}$ concentrations by performing the WRF-Chem Model with MOZART-MOSAIC chemical options, were reported in [Bucaram and Bowman \(2021\)](#). Accordingly; [Bucaram and Bowman \(2021\)](#) reported that the WRF-Chem Model with MOZART-MOSAIC four bins exhibited a good degree of performance to calculate O_3 concentrations over the Northern Great Plains; however, hourly $\text{PM}_{2.5}$ concentrations simulated from the model had a very low correlation to the applicable measurements. With regard to the EDGAR-HTAP emission inventory, only one anthropogenic source emitted discernable levels of $\text{PM}_{2.5}$, as was depicted in the study area ([Supplementary Figure S3](#)). Based on its location, this emission source was probably the power plant situated in Lampang Province. The comparison between the concentrations of CO, NO_2 and SO_2 from the baseline simulation and those from the observation revealed that, overall, the model underestimated the concentrations of CO about 1.2–3.6 times, SO_2 about 1.2–2.9 times and NO_2 about 0.2–0.4 times compared to those from the observations. An exception to this occurred over Lampang province (the location of the power plant) which in November the model overestimated SO_2 by far compared to those from the observation. Therefore, a lack of anthropogenic $\text{PM}_{2.5}$ emissions and an overprediction of the wind speed in the study area could be two of the possible causes for the poor performance of the $\text{PM}_{2.5}$ simulations.

The isoprene concentrations recorded from the observations ranged from $3,799.5$ to $16,151.7$ pptv (average $6,781.6 \pm 3,614.1$ ppt) in June and ranged from $1,655.6$ to $7,489.8$ pptv (average $4,528.5 \pm 2,122.5$ ppt) in November; while the values from the baseline simulations ranging from 352 to $5,547$ pptv (average $1,820.1 \pm 577.0$ ppt) and from 612 to $6,152$ pptv (average $1,853.4 \pm 766.5$ ppt), respectively. Overall, isoprene was underpredicted by about 3.7 times in June and by about 2.4 times in November when compared with the observations. Very low isoprene concentrations simulated from the model could be a possible reason for the underestimation of $\text{PM}_{2.5}$.

3.2 Sensitivity analysis

3.2.1 Effects on O_3 formation

The O_3 concentrations recorded from the simulations are shown in [Supplementary Table S2](#). The spatial distributions of O_3 , $\Delta\text{O}_3(\text{baseline-noBio})$, and $\Delta\text{O}_3(\text{baseline-modiAnthro})$ are shown in [Figure 3](#). From the baseline simulation, the domain-wide average O_3 concentrations were 26.4 ± 8.5 ppb in June and 35.7 ± 11.1 ppb in November; whereas, the values from the noBio simulation were 24.6 ± 6.1 ppb and 33.3 ± 8.8 ppb, respectively. Comparisons between the baseline and the noBio simulations showed that the presence of BVOC enhanced the domain-wide average O_3 concentration in June 1.8 ppb (6.8%), while the highest O_3 increment, as high as 12.3 ppb (34.8%), was recorded at the 67t monitoring station. In November, the domain-wide average O_3 concentration increased by 2.4 ppb (6.7%) and the highest O_3 increments [9.0 ppb (37.7%)] occurred at the 38t monitoring station. Comparisons between the baseline and the modiAnthro simulations showed that a 40% anthropogenic NO_x emission reduction led to domain-wide O_3 reductions of 1.2 ppb (4.5%) and 2 ppb (5.6%) in June and November, respectively. Due to the NO_x emission reduction, decreases in O_3 concentrations at the monitoring stations ranged from 0.4 to 3.0 ppb (1.7%–8.5%). In November, reductions in O_3 concentrations occurred at the 35t, 57t, 68t, and 67t monitoring stations [0.9 – 3.7 ppb (3.0%–8.3%)]; while O_3 increments [6.2 and 6.6 ppb (27.6% and 32.0%)] were recorded

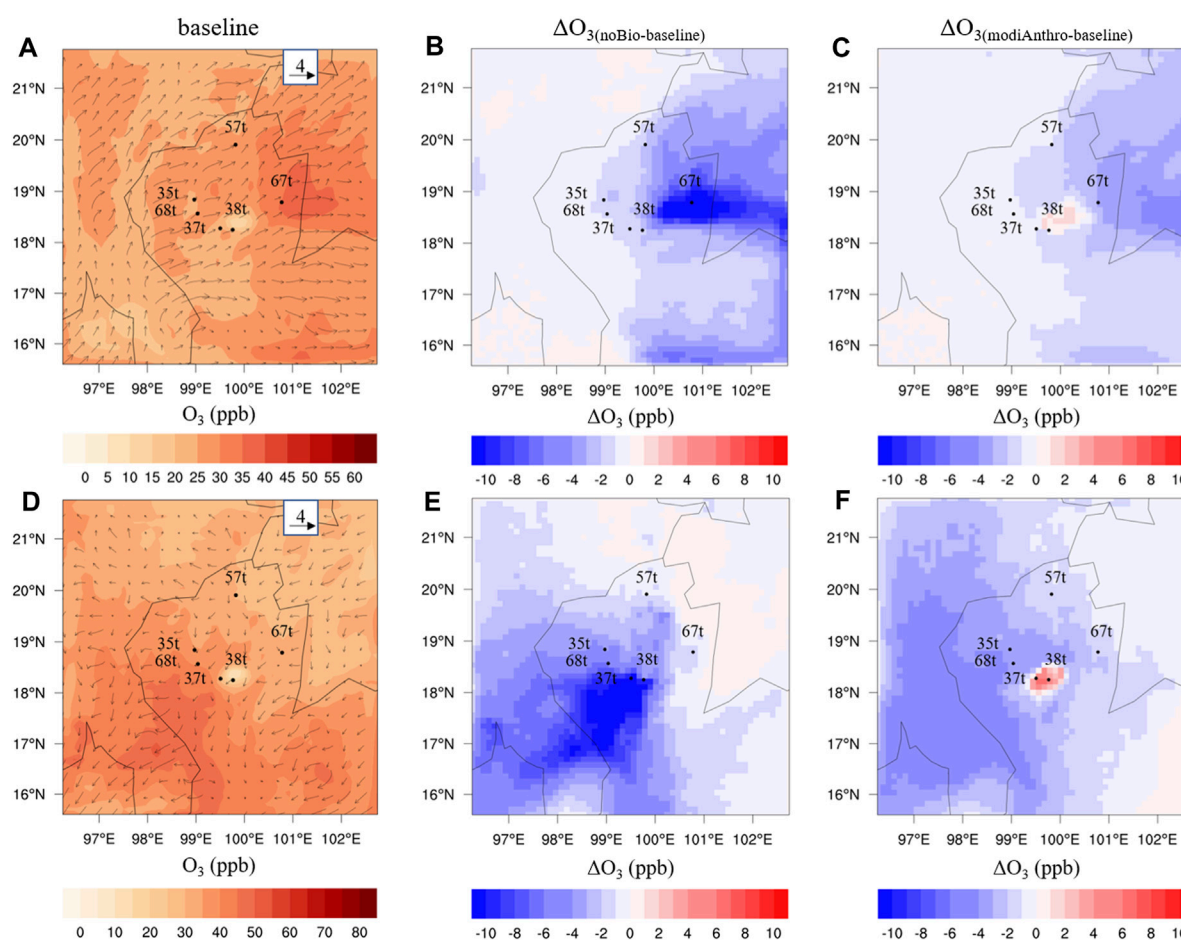


FIGURE 3

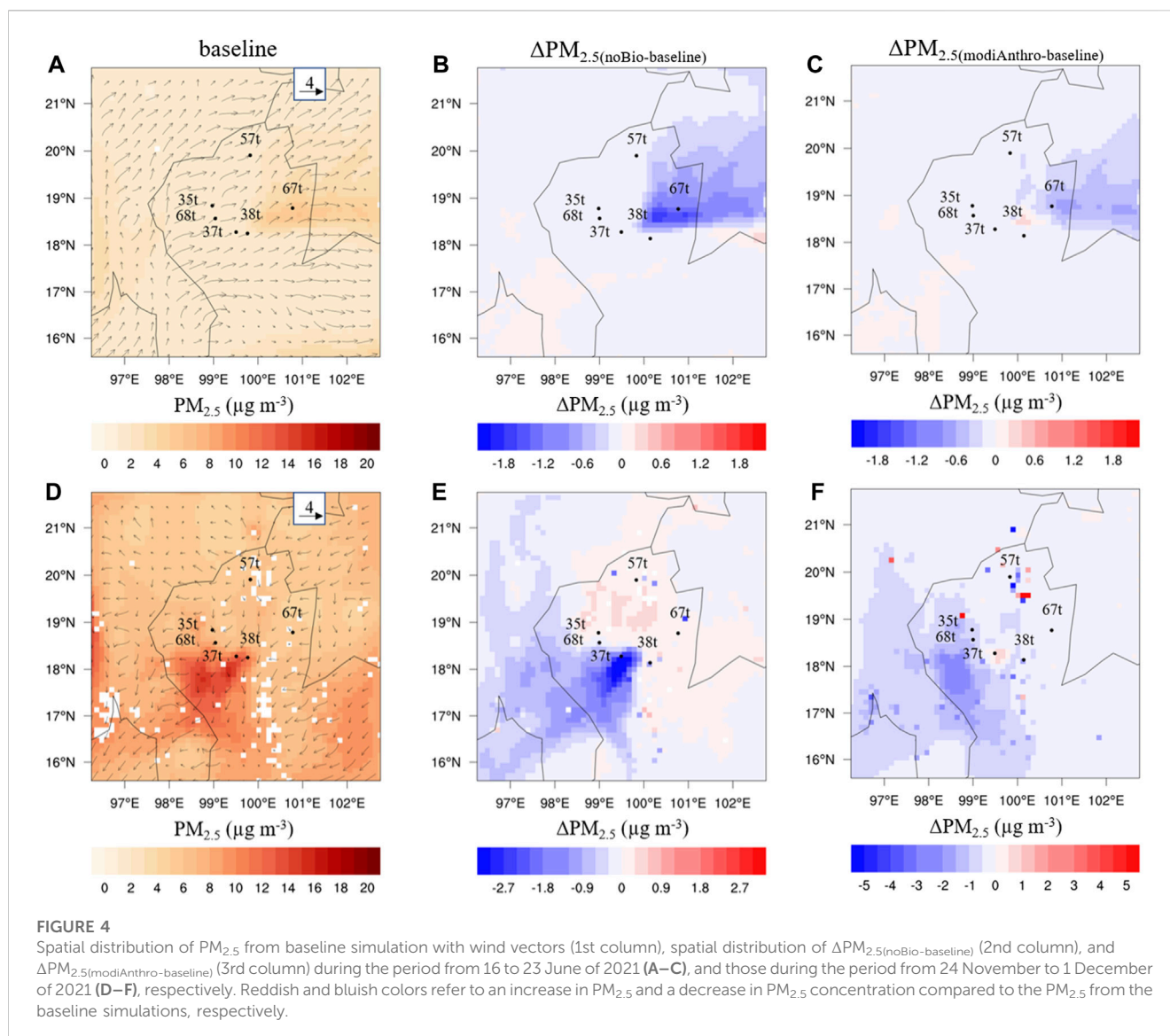
Spatial distribution of O_3 from baseline simulation with wind vectors (1st column), spatial distribution of $\Delta O_{3(\text{noBio-baseline})}$ (2nd column), and $\Delta O_{3(\text{modiAnthro-baseline})}$ (3rd column) during the period from 16 to 23 June of 2021 (A–C), and those during the period from 24 November to 1 December of 2021 (D–F), respectively. Reddish and bluish colors refer to an increase in O_3 and a decrease in O_3 concentration compared to the O_3 from the baseline simulations, respectively.

at the 37t and 38t monitoring stations. The O_3 responses occurred due to changes in the BVOC and NO_x levels and were supported by the spatial distributions of H_2O_2/HNO_3 (Supplementary Figure S4). The H_2O_2/HNO_3 ratio is an important photochemical indicator that can be used to identify VOC-limited and NO_x -limited regimes. A VOC-limited regime is indicated when a value of H_2O_2/HNO_3 was less than the transition value (ranges from 0.2 (Sillman, 1995; Hammer et al., 2002; Zhang et al., 2009), such as with 0.3–0.6 (Millard and Toupance, 2002) and 0.8 to 1.2 (Lam et al., 2005)). The outcomes of our study indicate that the areas within the vicinity of the power plant were under VOC-limited regimes, where concentrations of O_3 were more likely to vary according to the concentrations of VOC, while the other areas were determined to be NO_x -limited.

3.2.2 Effects on $PM_{2.5}$ formation

$PM_{2.5}$ concentrations recorded from the simulations are presented in Supplementary Table S2. The spatial distributions of $PM_{2.5}$ recorded from the base-line simulation, as well as the spatial distributions of $\Delta PM_{2.5(\text{baseline-noBio})}$, and $\Delta PM_{2.5(\text{baseline-modiAnthro})}$ are presented in Figure 4.

The sensitivity study revealed that the domain-wide average $PM_{2.5}$ concentrations recorded from the baseline simulation were $1.9 \pm 0.6 \mu\text{g}\cdot\text{m}^{-3}$ in June and $7.1 \pm 1.9 \mu\text{g}\cdot\text{m}^{-3}$ in November; while those values from the noBio simulation were $1.8 \pm 0.5 \mu\text{g}\cdot\text{m}^{-3}$ and $6.9 \pm 1.7 \mu\text{g}\cdot\text{m}^{-3}$, respectively. Comparisons made between the baseline and the noBio simulations showed that BVOC tended to increase the domain-wide $PM_{2.5}$ concentrations by about $0.1\text{--}0.2 \mu\text{g}\cdot\text{m}^{-3}$ in June and November, respectively. In June, BVOC enhanced $PM_{2.5}$ concentrations principally at the 57t and 67t monitoring stations (0.1 and $1.2 \mu\text{g}\cdot\text{m}^{-3}$, respectively); whereas the $PM_{2.5}$ levels were increased mainly at the 37t and 38t monitoring stations (2.5 and $2.3 \mu\text{g}\cdot\text{m}^{-3}$, respectively) in November. A comparison between the baseline and the modiAnthro simulations revealed that, overall, a 40% reduction in anthropogenic NO_x emissions resulted in a decrease in $PM_{2.5}$ levels. The domain-wide averages in $PM_{2.5}$ levels were decreased from 0.1 to $0.2 \mu\text{g}\cdot\text{m}^{-3}$ (5.3% and 5.6%) in June and November, respectively. In general, the comparison between the noBio and the modiAnthro simulations suggest that the 40% reduction in NO_x emissions (modiAnthro) has more impact than noBio to decrease the levels of $PM_{2.5}$ over this area.



3.2.2.1 Aerosol compositions

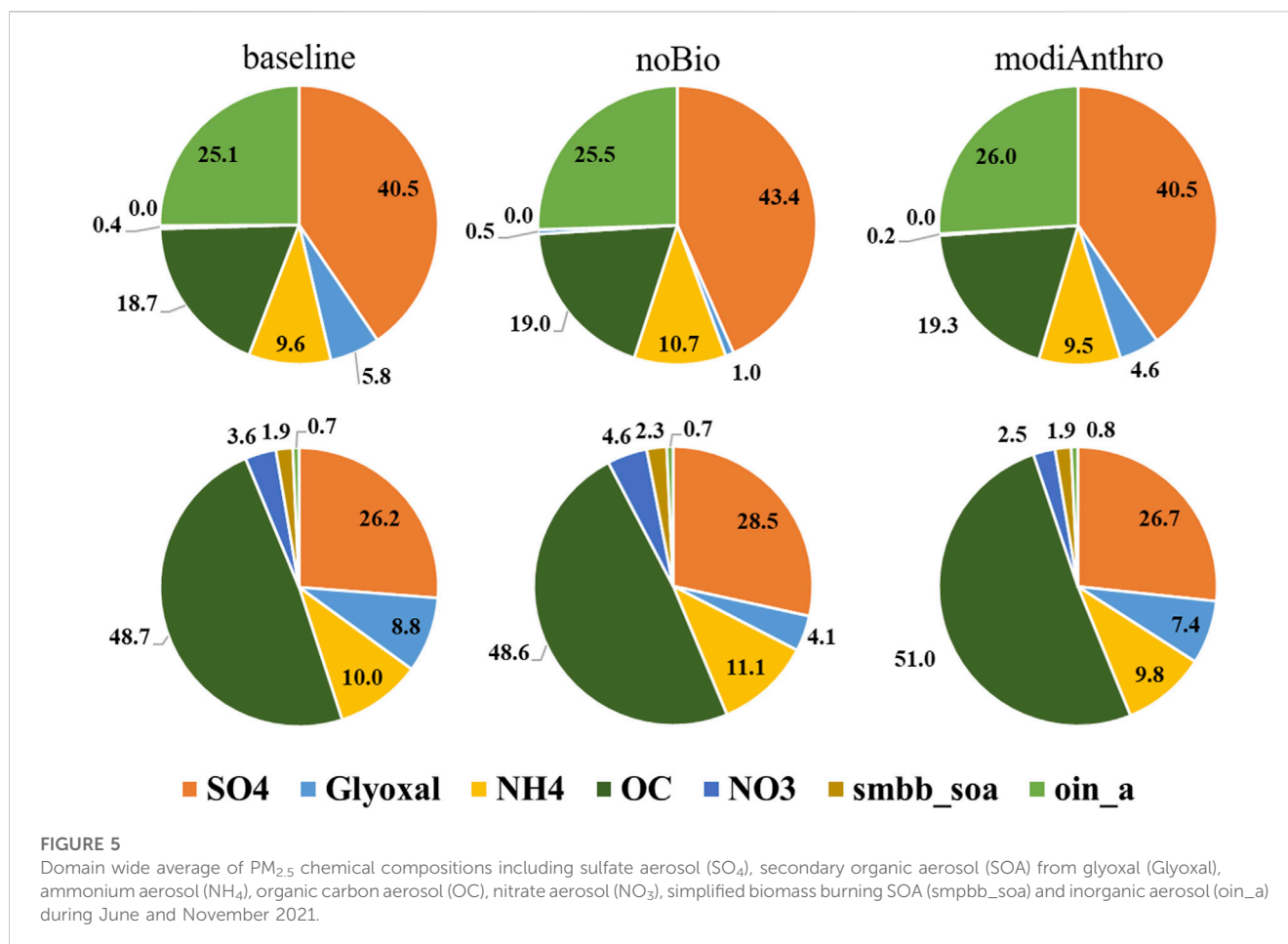
The domain wide average of $PM_{2.5}$ chemical compositions from the simulations are illustrated in Figure 5. The results from all simulations showed that, in June, sulfate aerosol (SO_4) was the dominant species (40.5%–43.4%), followed by inorganic aerosol (oin_a) (25.1%–26.0%), organic carbon aerosol (OC) (18.7%–19.3%), ammonium aerosol (NH_4) (9.5%–10.7%), and glyoxal aerosol (Glyoxal) (1.0%–6.8%). In November, higher levels of organic carbon aerosol (48.6%–51.0%), followed by sulfate aerosol (26.2%–28.5%), ammonium aerosol (NH_4) (9.8%–11.1%), glyoxal aerosol (Glyoxal) (4.1%–9.4%) and nitrate aerosol (NO_3) (2.5%–4.6%). During this high biomass burning month, biomass burning resulting in SOA [simplified biomass burning SOA (smpbb_soa)] represented about 1.9%–2.3%, while the amount of inorganic aerosol (oin_a) became negligible (less than 1%).

The proportions of aerosol compositions recorded from the simulations at the six monitoring stations were illustrated in Supplementary Figure S5; Supplementary Table S3. In general, proportions of aerosol compositions at the monitoring stations

were similar to the domain wide average of $PM_{2.5}$ chemical compositions. An exception to this occurred at the 67t monitoring station which reported that, in June, SOA levels derived from glyoxal were high (17%–25%) while the proportions of OC (4%–5%) and oin_a (10%–13%) were low. A possible cause of the high glyoxal proportions at the 67t monitoring station would be discussed in the proceeding section (SOA from glyoxal response).

3.2.2.2 SOA from glyoxal response

A comparison between the baseline and noBio simulations revealed that changes in BVOC mainly affected the levels of SOA from glyoxal formation. The levels of SOA from glyoxal were enhanced from noBio to baseline in June (58.8%–95.0% as shown in Supplementary Table S3) and in November (25.6%–69.6% as shown in Supplementary Table S3) owing to the presence of BVOC (Supplementary Table S3). In November, small changes in SOA from glyoxal levels occurred due to biomass burning, which is a significant glyoxal source (Kaiser et al., 2015). The results showed that a significant decrease in the levels of SOA from glyoxal occurred



in June (20.8%–25.4%) and November (1.5%–23.3%) due to the 40% anthropogenic NO_x emission reduction.

3.2.2.3 SNA response

Changes in the SNA system occurred because changes in the BVOC levels are complex. Comparisons between the baseline and the noBio simulations indicate that in June, sulfate, nitrate and ammonium species were generally elevated by 3.5%–7.7%, 25.9%–133.1% and 9.8%–13.8% (see [Supplementary Table S3](#)) due to the absence of BVOC, except at the 67t monitoring station. At this monitoring station, SNA species were reduced by 2.3%, 24.7% and 2.2%, respectively. In November, the absence of BVOC enhanced nitrate species from 13.8% to 125.0% and sulfate and ammonium levels over NO_x-limited areas (35t, 57t, 68t, and 67t monitoring stations) with sulfate increments ranging from 11.0% to 29.3% and ammonium increments ranging from 15.6% to 37.5% due to the presence of BVOC. On the other hand, over VOC-limited areas (37t and 38t monitoring stations), the absence of BVOC led to reductions in sulfate (23.2%–23.9%) and ammonium (6.0%–8.4%).

A comparison between the baseline and the modiAnthro simulations indicated that the 40% anthropogenic NO_x emission reduction was most likely responsible for reducing the SNA species from 37.7% to 71.8%, 0.7%–10.2% and 0.8%–4.5%, respectively in June. In November, nitrate levels were reduced from 2.5% to 68.6% due to the NO_x emission

reduction. Reductions in sulfate (1.7%–20.7%) and ammonium (4.5%–24.1%) were found over NO_x-limited areas; while incremental changes in sulfate (17.8%–22.3%) and ammonium (1.0%–16.2%) were recorded over VOC-limited areas. It is noteworthy to mention that in June, an incremental increase in the sulfate level was expected to occur at the 67t monitoring station located in a VOC-limited area. However, sulfate species were reduced by 10.2% at this monitoring station when compared to the baseline simulation. The results suggest that either NO_x-limited, VOC-limited or mixed regimes can significantly influence the SNA formation over our study areas.

4 Discussion

In the simulations, the MOZART-MOSAIC chemistry scheme ([Knote et al., 2014](#)) form SOA from oxidizing VOCs particularly isoprene (dominant species) by OH to form glyoxal, then by glyoxal uptake into aqueous aerosols. These processes are influenced by several environmental factors. Therefore, the model needs to simulate the following parameters (which are location and time dependent) accurately: meteorology, gas-phase precursors of glyoxal, OH in the atmosphere, photolysis and ambient aerosol properties. In June, the noBio scenario utilized no biogenic volatile organic compound (BVOC) emissions. Since the majority of BVOC in this region come from isoprene, the noBio scenario implies that there was no biogenic

isoprene used in the simulations. This reduced the SOA from glyoxal (5.8%–1%) thereby decreasing $PM_{2.5}$ mass concentrations by approximately 5.3%. In the modiAnthro scenario, in which NO_x is reduced, ammonium and nitrate decreased since NO_x is a precursor of ammonium and nitrate. SOA from glyoxal also decreased due to the limited availability of OH radical. As OH is controlled by NO_x - low NO_x means low OH, low OH means BVOC is less oxidized, hence less glyoxal is formed from biogenic isoprene. On the other hand, the percentage of sulfate stayed the same, since NO_x does not directly influence sulfate formation. Also, OC and OIN increased in percentage since OIN/OC (e.g., dust, soot) is not mixing with secondary aerosols (because secondary aerosols also decreased) therefore OIN/OC were not growing and therefore OIC/ON were not dry depositing. The end result is a decrease $PM_{2.5}$ mass concentration by approximately 5.3%. November showed the same pattern as June except for a higher percentage in OC since the biomass burning season has started in this time period. OIN is decreased significantly in percentage due to reduced resuspension due to lower convection (lower temperatures and lower wind speeds).

5 Conclusion

Even though this study has some limitations including 1) a short study period per season (8 days per season) due to the small number of isoprene observations and 2) lacking of Thailand's national anthropogenic emission inventory, the study revealed remarkable results. About 63.8% of the northern region of Thailand is covered by forests, where high BVOC emissions are expected to occur. Since VOCs are one of the O_3 and SOA precursors, we examined whether forests, which cover over 63.8% of the area in northern Thailand, can enhance air pollution in the region. Also, in this study, relationships between reductions in BVOC and anthropogenic NO_x emissions from the power plant, as well as decreases in O_3 and $PM_{2.5}$ concentrations in this region, were investigated. Our study revealed that the formation of O_3 over the northern region of Thailand was sensitive to changes in NO_x rather than changes in VOCs emissions. Since this region is more likely to be NO_x -limited, NO_x emission controls are considered an effective strategy for reducing O_3 levels. However, a VOC-limited regime could be observed near the lignite mine and power plant, while both VOC and NO_x emission controls may be necessary. Unlike O_3 , $PM_{2.5}$ seems to be less sensitive to changes in BVOC and NO_x emissions, while the formation of $PM_{2.5}$ is highly complicated. Even though proportions of glyoxal and nitrate species change directly in relation to BVOC and NO_x emissions, respectively, other compositions may respond to changes in these emissions and appear to move in the opposite direction. In June, a 40% reduction in anthropogenic NO_x and a reduction in $PM_{2.5}$ levels were almost compatible with those associated with zero BVOC emission. In November, higher $PM_{2.5}$ reductions were observed due to the anthropogenic NO_x reductions; even though some small $PM_{2.5}$ increases were recorded near the power plant. Finally, over the northern region of Thailand, the forests can be considered a source of $PM_{2.5}$ emissions; however, these forests can contribute to $PM_{2.5}$ levels by only 5.3%–5.6% of the total concentrations. According to a perspective of air quality management in the northern region of Thailand, some VOC and NO_x anthropogenic emission controls are more practical and could be

more effective as strategies designed to improve the air quality over northern Thailand.

Data availability statement

The original contributions presented in the study are included in the article/[Supplementary Material](#), further inquiries can be directed to the corresponding authors.

Author contributions

PU and RJ wrote sections of the manuscript. PU, RJ, and VS contributed to conception and design of the study. SB and RM assisted in the modeling and proof reading. WT and SC assisted in drafting and proof reading the manuscript. All authors contributed to manuscript revision, read, and approved the submitted version.

Acknowledgments

Funding from the National Research Council of Thailand (project: Secondary source and chemical mechanism of $PM_{2.5}$ formation from physical-chemical reaction of biogenic volatile organic compounds above the forest canopy in northern Thailand, project ID: 31249) is gratefully acknowledged. Sincere appreciation is also expressed to the National Science and Technology Development Agency Supercomputer Center (ThaiSC) team for supporting the computational platform and for aiding in installing the WRF-Chem system. Air quality data obtained from the Pollution Control Department (PCD), the Ministry of Natural Resources and Environment of Thailand are also gratefully acknowledged.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fenvs.2023.1146437/full#supplementary-material>

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