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# Mechanism, risk, and solution of cultivated land reversion to mountains and abandonment in China

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The cultivated land requisition-compensation balance (CLRB) system in China has been designed to defend cultivated land resources and grain production functions. Nevertheless, since the addition of a new policy, namely the linkage of increase and decrease (LID) of urban and rural construction land in 2008, a mass of cultivated land has been returning to mountains, sometimes resulting in abandonment. The county of Wannian was investigated from the microcosmic perspective, and we attempted to analyze the causes and risks employing the boosted regression trees (BRT) model and the grain productive capacity assessment model. The results indicate that (1) The compensatory cultivated land (CCL) has shifted uphill, with considerable fragmentation, from 2010 to 2020, and the abandonment rate reached 14.77%. (2) The factors of site condition, including elevation, patch area, and continuity of cultivated land, as well as a series of combinations, can explain the causes of abandonment. (3) The abandonment of these cultivated land areas eventually resulted in the risk of 297.48 t grain production capacity loss. The reason for the return of cultivated land to the mountains and its subsequent abandonment is the lack of consideration for the coupling relationship among site condition, use state, and function requirement, resulting in a spatial mismatch. Based on the findings, we propose a solution of the natural resources requisition-compensation balance (NRRB). To make up for the loss and reduce the risk, a spatial replacement was taken between the abandoned CCL in uphill and cultivable and available forest land (CAFL) in submontane areas CCL, optimizing the spatial pattern of land use toward Von Thunen's agricultural circle.

## KEYWORDS

mountainous area, cultivated land requisition-compensation balance, forest land requisition-compensation balance, cultivated land abandonment, grain production capacity, Wannian county

## 1 Introduction

Globally, there's a competition for land between the urban expansion and food production (Jiang et al., 2013; Varsha and Paul, 2021). Urbanization usually dominates this competition, resulting in the land relocation for food production to other areas (Jasper et al., 2017). Currently, continuous researches have been conducted on the spatial transfer of cultivated land and its associated environmental and food production risks (Isbell et al., 2019; Halpern et al., 2022). On a large scale, the main part of cultivated land has shifted horizontally to northern inland regions in China, leading to the risk of aridity (Lian et al., 2022; Zhong et al., 2022). However, in mountainous areas, which account for 69.1% of China's total land area, cultivated land has frequently returned to hillsides in recent years. Compared with the trend of population relocation away from mountains, the distribution of arable land shows a seemingly opposite trend, which is a kind of vertically spatial change of arable land, requiring further focus on its mechanism and solution for the resulting risk of grain production capacity loss.

Since the industrial age, urbanization has been driving people out of the mountains, concentrating farming on the plains (Chen et al., 2021; Tan et al., 2021). Abandonment and withdrawal of cultivated land in mountainous areas is an irreversible global phenomenon (Estel et al., 2015; Song and Zhang, 2019). The influencing factors have been summarized from aspects of physical geography, socio-economy, and policy. Previous studies have shown that abandonment and withdrawal are more likely to occur in fragmented areas at higher elevations and with steeper slopes, poor soil conditions, poor field facilities, inconvenient transportation, and far from residential areas (Baumann et al., 2011; Díaz et al., 2011; Shao et al., 2015; Song and Zhang, 2019). The huge opportunity cost gap between agricultural and non-agricultural employment is the most important socio-economic factor that triggers the deagriculturalization of surplus agricultural laborers (Lasanta et al., 2017; Liao et al., 2019; Lark et al., 2020). Policies and systems such as agricultural subsidies and land transfer policies may promote or restrict the abandonment (Ito et al., 2016; Song et al., 2018). With the introduction of policy in China that the construction land in rural areas could be reclaimed

into cultivated land and balanced by the reduction of urban cultivated land occupied by construction, this made it possible to reclaim construction land in remote mountainous areas and return cultivated land to the mountains (Liang et al., 2015). Unlike the traditional cultivated land management system in mountains, these new cultivated areas were not reclaimed voluntarily by farmers and, as a result, their characteristics aren't fully understood. A large body of research has focused on the management of reclaimed farmland (Yao et al., 2014; Xin and Li, 2018), but studies on cause analysis and improvement measures for the formation of "unnatural" abandonment are scarce (Liu et al., 2019). It is important to quantify this impact to help policymakers develop reasonable strategies for cultivated land protection and promote sustainable development goals.

The reason and risk for cultivated land abandonment could be explained by the coupling framework of the land use "Condition-State-Function" (Figure 1). In 2008, the concept of land use functions was put forward by the European Union in the Sixth Framework Programme for Sustainability Impact Assessment: Tools for Environmental Social and Effects of Multifunctional Land Use in Europe Regions. This concept was accepted as the private or public products and services provided by different land use states, including economic function, ecologic function, and social function (Helming et al., 2008; Pérez-Soba et al., 2008; Liu et al., 2016). The continuous supply of functions requires the maintenance of a land use status with suitable site conditions. With optimal conditions in terms of light, temperature, precipitation, and soil, natural ecosystems (such as forest land and wetlands) can provide the service of ecological and climate regulation; in contrast, artificial ecosystems (such as industrial land and commercial areas) can provide economic benefits (Mitsuda and Ito, 2011). However, for semi-natural ecosystems (such as cultivated land and gardens), suitable tillage conditions are as important as the continuous and stable input of production factors for system maintenance and function supplement (Foley et al., 2011). Natural suitability, economic feasibility, and social acceptability are considered essential conditions for the maintenance of land use systems (Wang et al., 2016; Li et al., 2020). In turn, the requirement for some ecosystem services provides feedback on the land use state, prompting the generation of corresponding site conditions for

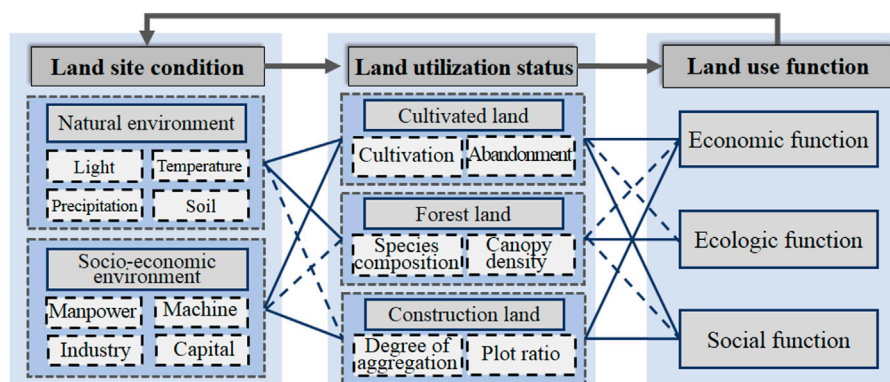


FIGURE 1  
The coupling framework of land use "Condition-State-Function".

maintenance (Wei et al., 2017). In this sense, the spatial matching between land supply and human demand directly determines the sustainability of land use status.

In 1997, the central government of China promoted the “Land Management Law,” in which the cultivated land requisition-compensation balance (CLRB) system was proposed formally. At the national level, the CLRB was formulated to take urban development and food demand into account, based on limited land resources. However, at the district level, preferential arrangements were provided for urban development in flat areas, while ignoring the site conditions of cultivated land and the services demanded by farmers in mountainous areas, leading to spatial mismatch. This process is generally overlooked in large-scale studies (Xiong et al., 2020; Chen et al., 2022). The abandonment phenomenon of cultivated land is resulted from its own attributes of resources as well as multi-dimensional interaction between natural geography and social economic environments (Helming et al., 2008; Renwick et al., 2013). The quantity, quality, function and spatial distribution characteristics of fragmentation or scale of the regional land resources themselves are the result of the accumulation of the long-term coupling effects of natural and human factors in the region, which not only is the basis for future land use but also determines the attractiveness level of the additional investment in land use and the ease of land use. It ultimately influences the decision of farmers and herders in the land use (Tian et al., 2023). Although the research of traditional regression model has revealed the effects of single factor on the utilization of cultivated land (Prishchepov et al., 2013; Zhang et al., 2014), it usually fails to explain the mechanisms of multi-factors. Recently, the machine learning has been applied in the multivariate questions, such as the crop yield and food security (Giulia et al., 2022; Balsher et al., 2023), which provides a new method for the research to explain the mechanisms of the abandonment of cultivated land in mountainous areas.

Considering the functional demands of land, a scheme based on NRRB is proposed to resolve the abandonment of CCL. The following questions are answered: 1) What happened in the spatial arrangement and use ratio for cultivated land in mountainous areas under the CLRB system? 2) What is driving the changes? 3) What is the impact of these changes on the regional grain production capacity? Based on the results, we tried to establish an evaluation system of cultivated land reserve resources to screen out CAFL. Furthermore, a spatial optimization plan for the replacement of abandoned CCL in mountainous areas and CAFL in submontane areas is proposed to compensate for the loss of grain production capacity.

## 2 Background

Almost all countries have formulated laws and regulations to protect cultivated land (Van Vliet, 2019). The role of the CLRB in China is to curb the reckless requisition of cultivated land for urbanization (Sun et al., 2014). Statistics indicate that  $200 \times 10^4$  hm<sup>2</sup> of cultivated land were compensated due to the CLRB during 2001–2010 (MLR, 2012), largely making up for the loss of cultivated land from construction. However, since 2008, numerous CLRB projects have been implemented with another policy, namely,

the linkage of increase and decrease (LID) of urban and rural construction land, compensating the loss of cultivated land by reclaiming rural construction land. The reason for increased number of projects is the joint acquisition of economic and social benefits for local governments. By reclaiming construction land for cultivated, The village collective were able to obtain the economic benefits, and immigrant will would have better living conditions. (Shen et al., 2017; Liu et al., 2019). Statistics show that about  $590 \times 10^9$  yuan capital has been invested into the countryside since 2012 due to the implementation of the LID (Ye, 2020). However, as a result, cultivated land was shifted from plains to mountainous areas (Li and Hu, 2021), increasing the risk of abandonment.

The ecological environment is another focus for the development of countries now (Yang and Li, 2000; Norse and Ju, 2015). If wetlands, forest lands, grasslands, among others, are converted into construction land and cultivated land, their ecologic functions, such as soil and water conservation, climate regulation, and biodiversity, may be destroyed (Foley et al., 2005; Mamat et al., 2014). The Chinese government prohibits the destruction of the ecological environment during urban construction and the reclamation of cultivated land for agricultural purposes (Shen et al., 2017), exploring the ecological land requisition-compensation balance (Song et al., 2015; Zhang et al., 2015). The forest land requisition-compensation balance in China has gone through two stages. The first stage is the quantitative balance, that is, the area of compensatory forest land shall not be less than that of the requisitioned forest land. The second stage is total quantity control, that is, the conversion of forest land to non-forest land needs to be strictly restricted, and ensuring the amount of forest land occupation is controlled, with the aim to maintain the forest areas. Compared with the CLRB, the intensity of the management of the forest land requisition-compensation balance tends to tighten. This has brought local governments to a standstill in dealing with land disputes for urban construction, cultivated land replenishment, and environmental protection. By contrast, it appears more flexible to the wetland mitigation bank system in the United States and the eco-account system in Germany (Kaplowitz et al., 2005; Pröbstl-Haider and Ammer, 2017). To avoid losses of ecological land and to maintain the ecological value, they require that ecological land should be newly built, restored, conserved, or enhanced in another area before the former ecological land is occupied.

## 3 Methodology

### 3.1 Study Area

Wannian County ( $28^{\circ}30'15''$ - $28^{\circ}54'5''$ N,  $116^{\circ}46'48'$ - $117^{\circ}15'10''$ E) is located in the west of the central part of Shangrao City, Jiangxi Province, China (Figure 2). It is an important area of the Poyang Lake Plain, which is one of the nine major commodity grain bases in the country. A high grain production is therefore of great significance to China's food security. The terrain is mostly mountainous, high in the southeast and low in the northwest, and known as “six mountains, one water, and two penny cultivated land.” About 58.5% of cultivated land is distributed on the hills and gentle slopes on both sides of the river; forest land is

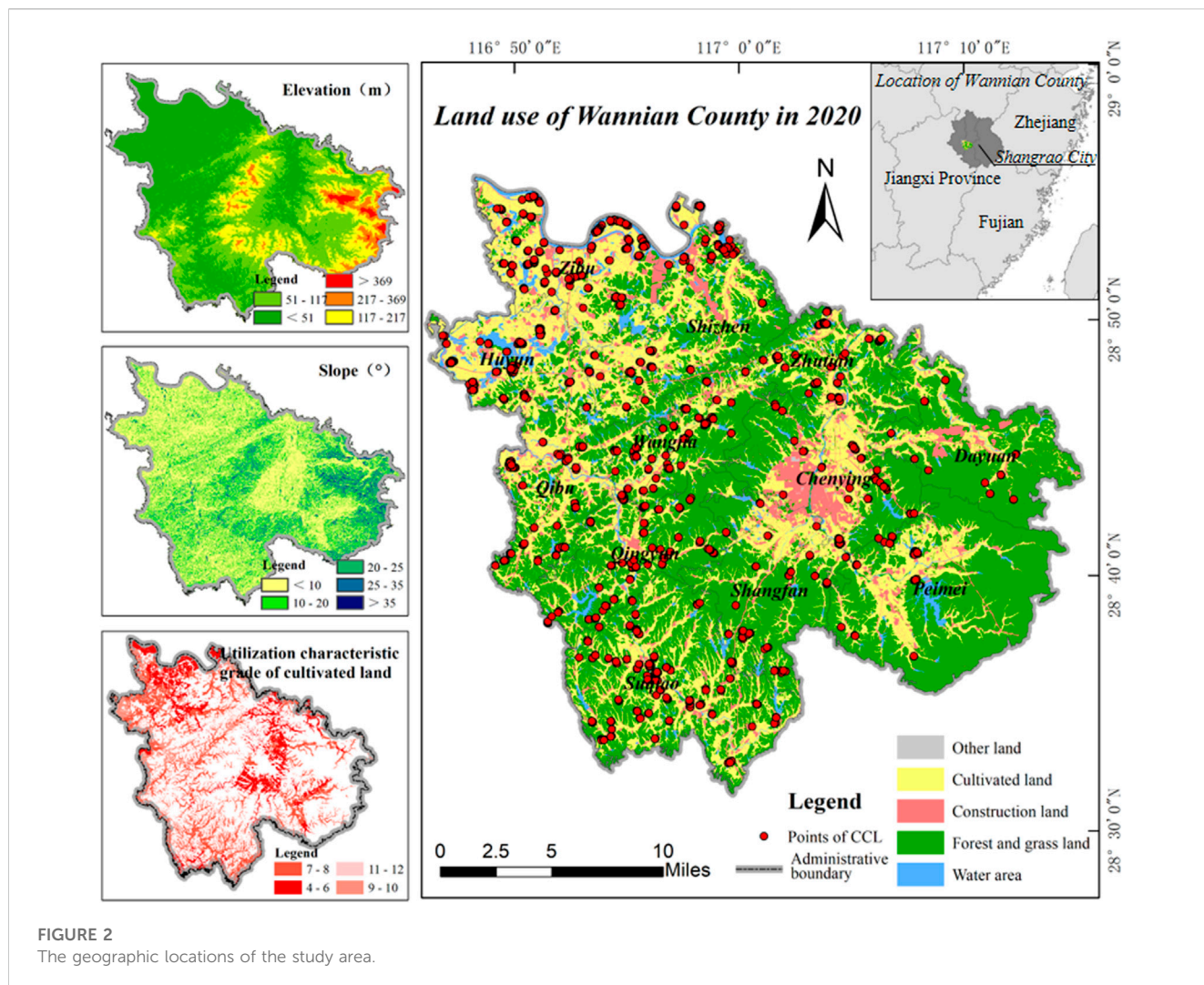


FIGURE 2 The geographic locations of the study area.

widely distributed in the territory, reaching a coverage of 64.1%. Most rural settlements are distributed in river valleys in hilly areas, and some are dispersed in mountainous areas. The climate is subtropical monsoon humid climate, with an annual average temperature of 17.4°C and a precipitation of 1,808.0 mm, facilitating abundant crop and natural vegetation growth. Siltstone is the main soil parent material; under the prevailing climatic conditions, it is easily reclaimed to cultivated land.

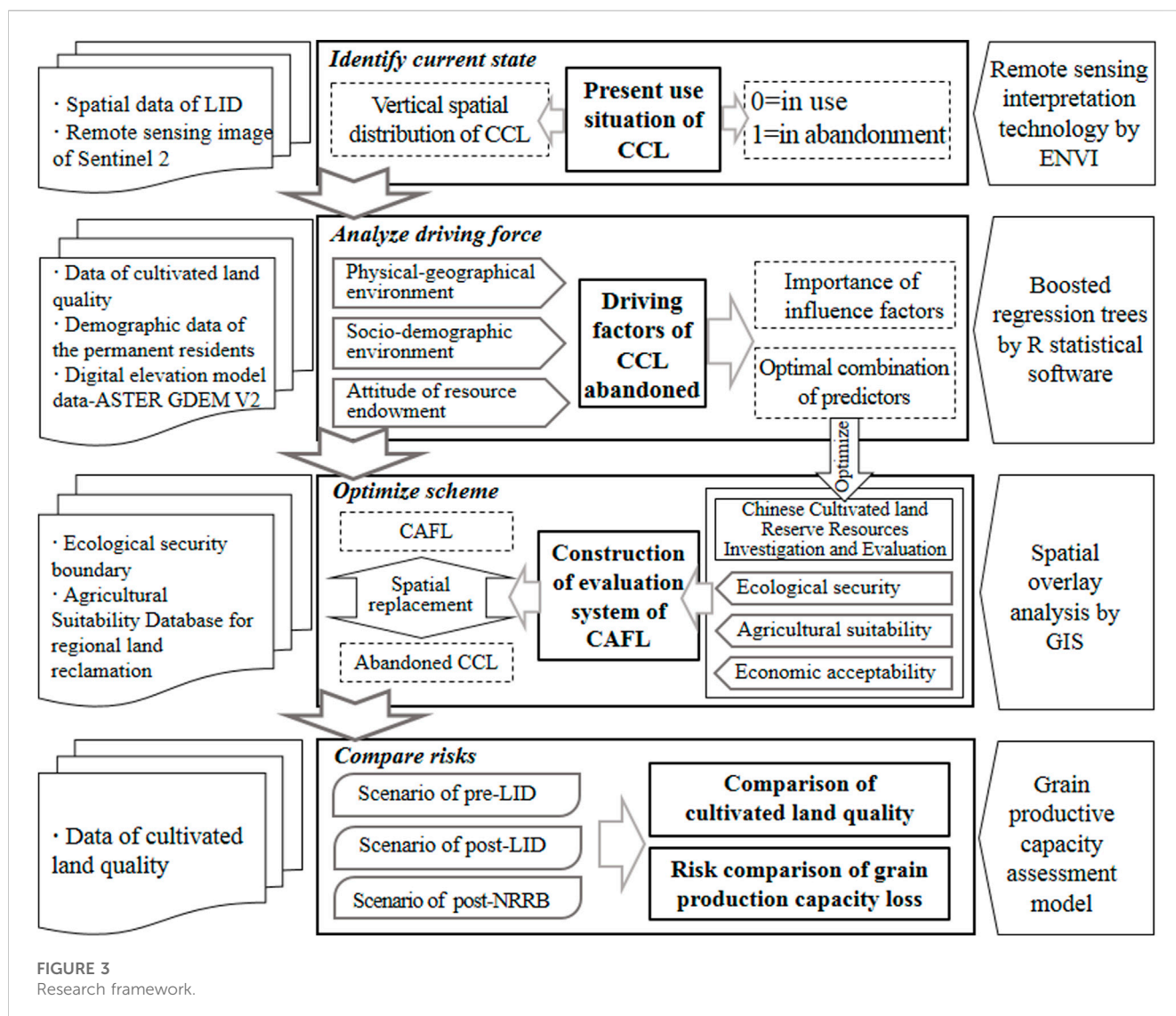
### 3.2 Research framework and data source

Figure 3 presented our research framework, and it included four main steps: 1) Identifying the distribution and use situation of CCL: Land use datasets in 2020 were obtained through remote sensing interpretation technology, including residential land, transportation land, cultivated land, and forest land; the forest land was divided into dense, sparse, and other forest land, based on canopy density. The CCL presented as forest land in 2020 were then identified as abandonment, based on the LID spatial data. 2) Machine learning statistical model of boosted regression trees was utilized to analyze the driving factors of abandonment: Based on the data of

permanent population, elevation, slope and cultivated land quality, etc., three first-level indicators of physical-geographical environment, socio-demographic environment and attitude of resource endowment were characterized, and used as independent variables, while the condition of CCL was taken as the dependent variable. 3) Constructing the CAFL replacement evaluation system: Based on the analysis results of the driving factors of arable land abandonment, the evaluation index Chinese Cultivated land Reserve Resources Investigation and Evaluation was optimized. 4) Risk Comparison of grain production capacity loss: Based on the data of cultivated land quality, the grain productive capacity assessment model was utilized to estimate the differences in the loss of regional grain productivity capacity under the three scenarios: pre-LID, post-LID, and post-NRRB. It should be noted that the agricultural quality grade of CAFL was assigned as that of cultivated land nearby. The main data sources used in this study are listed in Table 1.

### 3.3 Boosted regression trees (BRT)

BRT, the machine learning statistical model, has been widely used in the mechanism research of environmental change. Compared to traditional statistical model, a BRT model easily



captures complex and non-linear relationships (Li and Hu, 2021). It can capture the importance and marginal effect of independent variables. The importance can quantify the contribution of each independent variable, and the marginal effect shows the potential impact of independent variables as their magnitudes vary (Elith et al., 2008). The model was performed in R statistical software by using the gbm package with a “bernoulli” distribution.

### 3.3.1 Parameter settings

Four parameters require to be specified are tree complexity (TC), learning rate (LR), number of trees (NT), and bagging fraction (BF). TC determines the number of nodes in each tree and controls the interaction level. LR represents the contribution of each tree to the final model. NT relies on a combination of TC and LR and is recommended for sizes larger than 1,000. BF sets the proportion of randomly selected data used for model training and validation. Given our relatively small sampling dataset, BF was set at 0.50 (Soykan et al., 2014) while TC was set at 5 as suggested by Elith et al. (2008). LR and BF are set to 0.001 and 0.70, respectively. The BRT model obtained the highest coefficient of determination (R2) with

the optimal NT in the range of 2,000–10,000 was considered as given the optimal parameter settings.

### 3.3.2 Importance of influence factors on CCL abandonment

The relative importance (or contribution) of each variable can be measured based on the number of times the variable is selected for splitting, weighted by the squared improvement to the model, and averaged over all trees (Friedman, 2001). As the specific site conditions of cultivated land, physico-geographical environment, socio-demographic environment, and resource endowment attributes were identified as the three types of variables that may affect the “natural” abandonment of cultivated land. The corresponding influence factors were obtained from previous studies, the specific indicators were determined (Table 2).

### 3.3.3 Determining optimal combination of influence factors

The optimal combination of influence factors used for spatial prediction of CAFL is determined by a variable selection approach

TABLE 1 Main data sources.

Name	Date	Data sources	Supplementary instruction
Spatial data of LID	2010–2019	Bureau of Natural Resources and Planning in Wannian County	The database includes the spatial location and area of CCL for each year
Data of cultivated land quality	2018	The Agricultural Land Classification and Gradation Project	The database comprehensively analyzes the grades of natural properties, use characteristics, and the economic input-output of cultivated land
Remote sensing image of Sentinel 2	2020	The European Space Agency Copernicus Open Access Hub ( <a href="https://scihub.copernicus.eu/">https://scihub.copernicus.eu/</a> )	Google Earth images were selected to supervise the accuracy of the interpretation results; the Kappa coefficient reached 0.94, meeting the interpretation accuracy requirements
Demographic data of the permanent residents	2019	Questionnaire survey of 1,268 unincorporated villages	–
Digital elevation model data-ASTER GDEM V2	–	The geospatial data cloud platform of the Chinese Academy of Sciences ( <a href="http://www.gscloud.cn/">http://www.gscloud.cn/</a> )	From this data, the slope and aspect data was further calculated
Ecological security boundary	–	Bureau of Natural Resources and Planning in Wannian County	Ecological security refers to the necessity to avoid some land with important ecological value or prone to land degradation and geological disasters outside the ecological red line
Agricultural Suitability Database for regional land reclamation	–	Bureau of Natural Resources and Planning in Wannian County	Agricultural suitability refers to suitable cultivated conditions of topography, temperature, precipitation, and soil for agricultural production (Mondal and Basu, 2009; Passioura, 2006). In especial, The soil condition is the main factor to the use of cultivated land, including soil thickness, surface soil texture, soil parent material, soil pH, soil contamination, among others (Ochola and Kerkides, 2004; Rahmanipour et al., 2014)

based on the BRT algorithm, which is performed in two steps. First, a BRT model is used to calculate the relative importance of all 10 variables. Pearson correlation analysis was then performed to eliminate redundant variables. Second, a BRT algorithm-based backward selection approach was performed to select the optimal number of variables by eliminating the least important variable step by step: 1) a BRT model was built to rank the non-redundant variables; 2) the least important variable was eliminated; 3) the retained variables were used to build a new BRT model and their importance was re-ranked. The optimal number of variables was determined by the highest coefficient of determination (R<sup>2</sup>) among these BRT models.

### 3.4 Grain productive capacity assessment model

The actual grain yield isn't only affected by the quality factors of cultivated land but also restricted by the regional agricultural input and agricultural technology management (Xie et al., 2017). The grain productive capacity refers to the highest yield of a fine crop variety per unit area that might be obtained assuming all or part of the production factors are in the optimum state, not considering agricultural input and agricultural technology management; the value is higher than the actual grain yield. Therefore, the light-temperature (climatic) productivity potential index was set as the starting point for calculations. Soil, site, plot, and agricultural infrastructure conditions were considered individually, based on

their influence on the productivity potential (Jiang et al., 2017). The calculation formula is as follows:

$$I_{LPPi} = a_i \times \left[ \left( \sum_{j=1}^n F_{S_{ij}} \times W_{S_{ij}} + \sum_{j=1}^n F_{i_{ij}} \times W_{i_{ij}} \right) \times \prod_{j=1}^n F_{p_{ij}} \times \prod_{j=1}^n F_{a_{ij}} \right] \times \beta_i \quad (5)$$

Where  $I_{LPPi}$  denotes the cultivated land productivity potential of plot  $i$ ;  $a_i$  represents the light-temperature (climatic) productive potential;  $F_{S_{ij}}$  and  $W_{S_{ij}}$  are the score and weight of the soil condition indicator  $j$  of plot  $i$ , respectively;  $F_{i_{ij}}$  and  $W_{i_{ij}}$  are the score and weight of site condition indicator  $j$  of plot  $i$ , respectively;  $F_{p_{ij}}$  is the score of the plot character indicator  $j$  of plot  $i$ ;  $F_{a_{ij}}$  is the score of the agricultural infrastructure condition indicator  $j$  of plot  $i$ ; and  $\beta_i$  is the production ratio coefficient. The Regulation by the Gradation and Classification of Agricultural Land Quality stipulates that  $\beta_i$  is the rate of the base crop maximum yield and another appointed crop maximum yield.

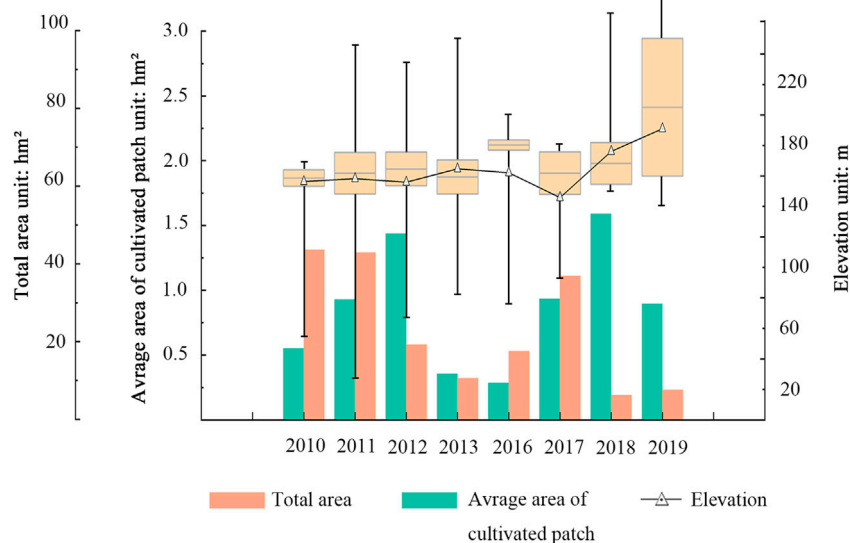
## 4 Results

### 4.1 Distribution characteristic of compensation cultivated land

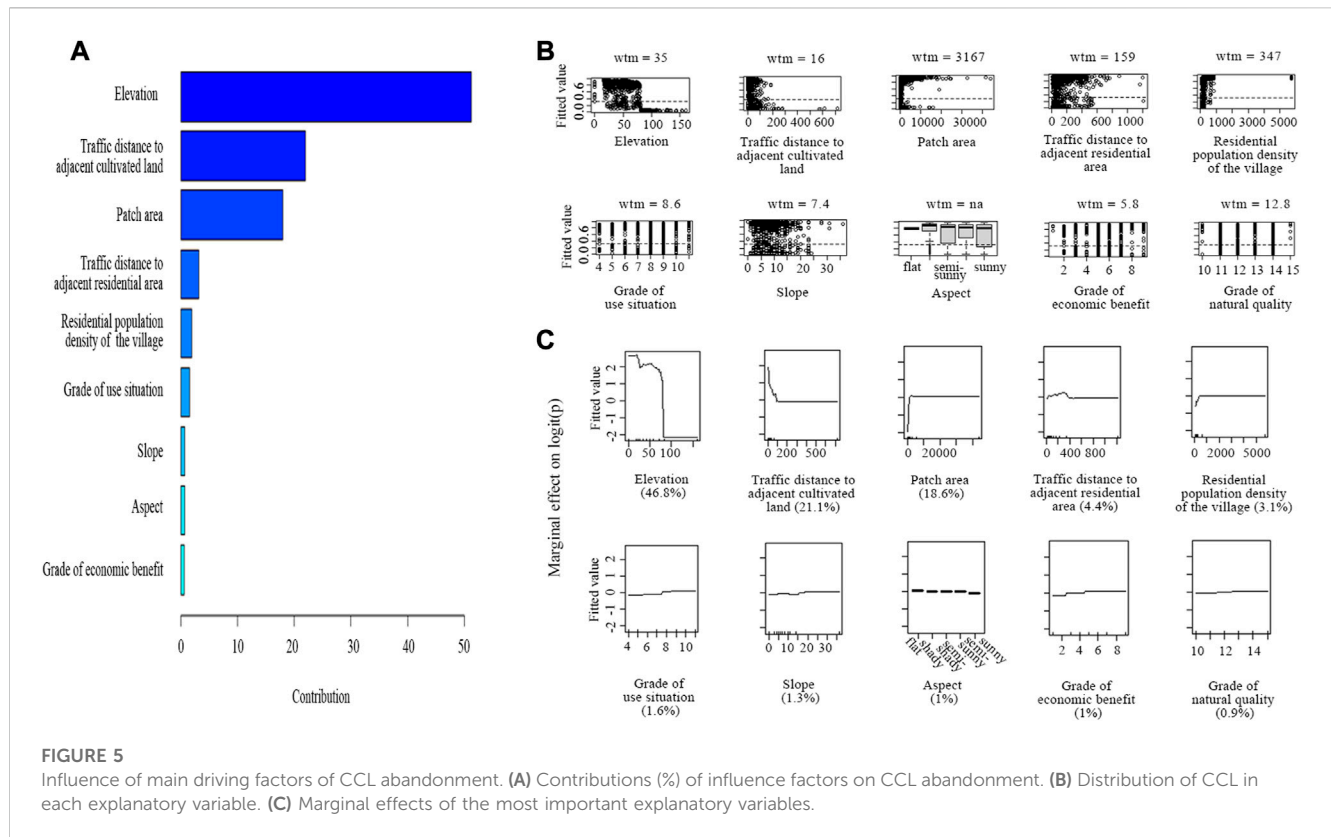
From 2010 to 2020, the total area of CCL in Wannian County reached about 232.47 hm<sup>2</sup> in the scenario of post-LID. The CCL reclamation mainly occurred from 2011 to 2012 and 2017 to 2019, with no reclamation in 2014 and 2015. The average elevation of

**TABLE 2** The definition and description of the variables of factors influencing CCL abandonment.

Types of variables	Codes of indicators	Names of indicators	Definitions and assignments of variables
Dependent variable	y	Condition of CCL	0 = in use; 1 = in abandonment
Physical-geographical environment	x <sub>1</sub>	Elevation	Assess the elevation (unit: m)
	x <sub>2</sub>	Slope	Assess the slope (unit: °)
	x <sub>3</sub>	Aspect	0 = flat (0°)
			1 = shady (0°–45°, 315°–360°)
			2 = semi-shady (45°–135°)
3 = semi-sunny (225°–315°)			
4 = sunny (135°–225°)			
Socio-demographic environment	x <sub>4</sub>	Traffic distance to adjacent residential area	Calculate and assess the traffic distance to the nearest residential area according to the road accessibility (unit: m)
	x <sub>5</sub>	Traffic distance to adjacent cultivated land	Calculate and assess the traffic distance to nearest cultivated land according to the road accessibility (unit: m)
	x <sub>6</sub>	Resident population density of the village	Resident population (unit: person/km <sup>2</sup> )/total area of the village (unit: km <sup>2</sup> )
Attitude of resource endowment	x <sub>7</sub>	Grade of natural quality	The natural quality is a benchmark crop yield index converted by the yield ratio coefficient, which can be obtained in accordance with the local standard farming system under certain natural environmental conditions of light, temperature, water, and soil
	x <sub>8</sub>	Grade of use situation	The use situation is the sum of the benchmark crop yields converted by the yield ratio coefficient under the natural environmental conditions and average tillage intensity
	x <sub>9</sub>	Grade of economic benefit	The economic benefit is the economic return index of cultivated land management, obtained by revising the economic coefficient based on the index of the use situation
	x <sub>10</sub>	Patch area	Total area of the cultivated land patch (unit: hm <sup>2</sup> )



**FIGURE 4** Statistics on the area and elevation of CCL by LID projects from 2010 to 2019 in Wannian County.



reclaimed land fluctuated upward from 154.71 m in 2010 to 192.47 m in 2019 (Figure 4), much higher than 50.70 m, the same figure of cultivated land of county-level in the basic years. Further analysis of annual variations of the elevation distribution of CCL demonstrated that the median of the average elevation of CCL had a gentle trend to climb up. To be more specific, it went up from 26 m, the lowest value in 2011 to 146 m, and the highest value in 2018. Moreover, the peak value went up from 169.5 m in 2011 to 290 m in 2019. In the aspect of upper and lower quartiles, this figure gradually tended to reach the highest value, deviating from the median (Figure 4). It can be seen that the height of CCL showed a trend to climb up. Considering the number of patches of compensatory land, we can find that the average patch area of CCL decreased from 1.31 hm<sup>2</sup> in 2010 to 0.19 hm<sup>2</sup> in 2018 through the analysis. Therefore, the next conclusion can be drawn that the CCL showed a trend to be fragmented gradually.

### 4.2 Analysis of the reasons for the abandonment

According to statistics, the abandoned area of the CCL was 34.34 hm<sup>2</sup>, accounting for 14.77% of the total area. Based on the BRT model, the relative contributions of the influence factors to the CCL abandonment are presented in Figure 5A. The dominant indicators influencing the CCL abandonment were the elevation (x<sub>1</sub>), traffic distance to adjacent cultivated land (x<sub>5</sub>), and patch area (x<sub>10</sub>), with 51.28%, 22.00%, and 18.00% contribution rate, respectively. The marginal effects were further analyzed and are shown in Figures 5B, C. When the elevation is below 80 m or traffic distance to adjacent

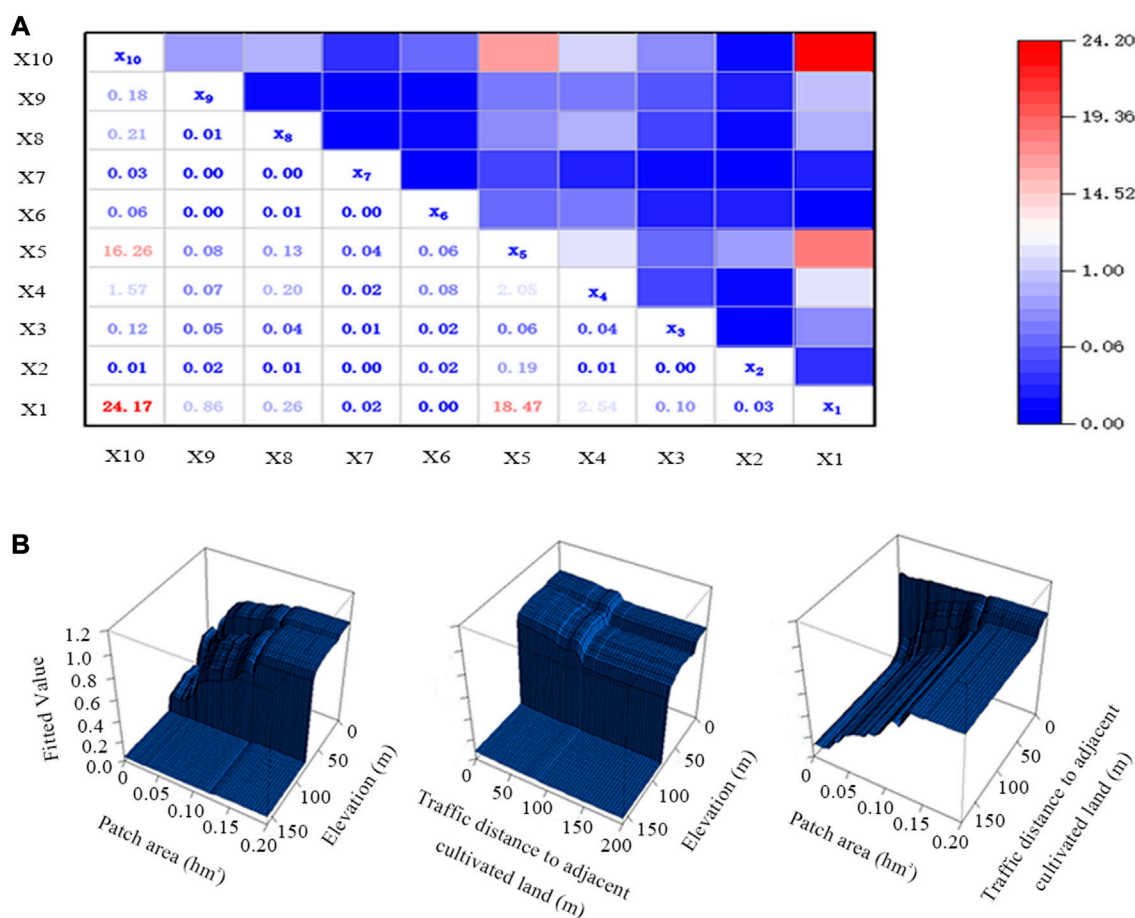
cultivated land is below 100 m, it showed a positive correlation with the CCL abandonment, demonstrating that the higher the elevation or the greater distance is, the easier CCL is to be abandoned. In contrast, a negative correlation with the CCL abandonment was observed for the patch area below 0.12 hm<sup>2</sup>, which tells us that the larger the area of CCL is, the less likely it is to be abandoned. However, at elevation above 80 m, it showed a negative correlation with the CCL abandonment, which cannot be explained by a marginal correlation of a single factor.

Further pairwise interaction effects between the influence factors were determined in Figure 6A. The cultivated land use showed obvious differences only in these situations: x<sub>1</sub>-x<sub>10</sub>, x<sub>1</sub>-x<sub>5</sub>, x<sub>5</sub>-x<sub>10</sub>. The pairwise interaction effects on the CCL abandonment was represented in three-dimensional partial dependence plots (Figure 6B). To be more specific, when it comes to these three situations, ① x<sub>1</sub> > 80m ∩ x<sub>10</sub> < 0.12 hm<sup>2</sup>, ② x<sub>1</sub> > 80 m ∩ x<sub>5</sub> > 75 m, ③ x<sub>5</sub> > 75 m ∩ x<sub>10</sub> < 0.12 hm<sup>2</sup>, the general trend of abandonment can be seen clearly. To sum up, the possibility of CCL abandonment is higher in these four situations, and it will decrease on the contrary.

### 4.3 Construction of the evaluation system of CAFL

According to the Chinese system of “Technical Program of National Cultivated land Reserve Resources Investigation and Evaluation,” the main evaluation contents of suitable cultivated land include ecological security and agricultural suitability. These are some of the direct site condition factors of crop growth from the





**FIGURE 6** The optimal combination of influence factors on CCL abandonment. (A) The contributions of pairwise interaction effects on CF abandonment. (B) Three-dimensional partial dependence plots representing the pairwise interaction effects between the most important variables.

theoretical perspective. According to the coupling framework of land use “Condition-Status-Function” sustainable land use must also be matched with functional demand. As shown in the analysis of reasons for abandonment, elevation, traffic distance to adjacent cultivated land, and patch area are indirect factors for the sustainable use of cultivated land. So the research results were taken as the indicators of farming convenience, representing the economic acceptability. Finally, the evaluation system of cultivated land reserve resources suitable for reclamation and use is established (Table 3). The minimum limiting factor method was adopted to comprehensively evaluate the forest land in the study area. That is, only if all requirements are met, the one can be considered as CAFL, and as the replaced target for abandoned CCL.

The evaluation results show that the area of the CAFL in Wannian County is about 3,183.39  $\text{hm}^2$ , with a dense forest area of 2,809.26  $\text{hm}^2$ , accounting for 88.25%. The areas of sparse and other forest land are 63.98 and 310.15  $\text{hm}^2$ , respectively, accounting for 2.01% and 9.74%. As seen in Figure 7, the CAFL is mainly distributed in Zibu Town, Shizhen Town, and Huyun Township, with a flat terrain in the northwest. Among them, dense forest land is mainly distributed in Shizhen Town, Zibu Town, and Huyun Township, whereas other forest land can be mainly found in Suqiao Township, Shizhen Town, and Huyun Township and

sparse forest land in Peimei Town, Dayuan Town, and Zhutian Township.

### 4.4 Grain production capacity loss in different scenarios

According to the statistics of cultivated land quality, the grade of natural quality, use situation, economic benefit of the cultivated land in Wannian County were divided into 3 categories, the high level (4–7), the medium level (8–10) and the low level (11–13). As shown in Figures 8A, B, the area weighted average of the natural quality of cultivated land in the whole area is 9.27 grade, and that of the use situation one and economic benefit ones are 7.32 grade and 8.36 grade, respectively. By contrast, the area weighted average of the natural quality of the CCL for LID is 9.26 grade, and that of the use situation one and economic benefit ones are 7.47 grade and 8.78 grade, partly. The average quality is lower than that of the cultivated land in the whole county. As shown in Figure 8C, for the abandon one of the CCL, the weighted average value for area of the natural quality is 9.49 grade, and that of the use situation and economic benefit ones are 8.5 grade and 9.25 grade, therefore, the

**TABLE 3** The index system for evaluating cultivated land reserve resources in Wannian County.

Dimension	Indicators for evaluation	Requirements for farming conditions	Explaining
Ecological security	Conditions of ecology	The locations are out of the ecological red lines and the development activities here will not result in land degradation or geological disasters	Excluding: ①nature reserves, parks, drinking water sources and tidal flats with an area $\geq 100$ hm <sup>2</sup> ; ②Ecologically fragile area; ③land where geological disasters such as collapse, landslide, debris flow, ground collapse, ground fissure and land subsidence frequently occur; ④Flood storage and detention area
Agricultural suitability	Terrain and slope	Slope $\leq 25^\circ$	The land whose slope $\geq 25^\circ$ is prohibited from being cultivated according to the stipulation in China
	Accumulated temperature	The annual accumulated temperature above $10^\circ\text{C} \geq 1800^\circ\text{C}$	Located in the middle and lower reaches of the Yangtze River, the Wannian County is in the tropics and subtropics without high mountains and limit for temperature
	Annual amount of precipitation and conditions of irrigation	Annual precipitation $\geq 400$ mm or irrigation conditions can meet the requirement	The climate type of Wannian County is subtropical monsoon humid climate, whose annual average amount of precipitation is about 1908.4 mm so that the limit for irrigation doesn't exit
	Soil texture	The soil texture is loamy, clayey or sandy	If lots of gravels exit in soil, they will not only result in serious water and fertilizer leakage, but also affect crops to take root. Even if the irrigation conditions can meet the requirement, the land is not suitable for farming because of large leakage
	Condition of soil contamination	The amount of soil contamination = 0 or doesn't exceed the national standard	The land whose amount of contamination exceeds the national standard is not suitable to be cultivated land reserve resources
	Degree of salinity	The degree of soil salinization is less than the level of severity	The content of salt in soil will affect the growth of crops when the salinization degree comes to the level of severity
	Conditions of drainage	Drainage conditions can meet the requirement	It means that the land can drain off water by itself or is suitable for construction of drainage system
	Thickness of soil and conditions of its parent material	The thickness of soil layer $\geq 30$ cm, or its bedrock can be weathered, or it has foreign soil sources	In the southern humid area, the land can be reclaimed as long as its underlying bedrock is easily to be weathered and thickness $\geq 30$ cm. The siltstone that can be easily weathered is widely distributed in Wannian County, which can become soil with water-retaining property and permeability through certain engineering measures
	pH value of soil	$4.0 < \text{pH} < 9.5$	It's quite difficult for crops to be cultivated in the alkaline soil whose pH $\geq 9.5$ and acid sulphate soil whose pH $\leq 4.0$ unless we modify the soil with chemical method. However, it is too hard and costs a lot
Economic acceptability	Convenience of farming	Elevation $\leq 80$ m $\cap$ patch area $\geq 0.12$ hm <sup>2</sup> $\cap$ Traffic distance to adjacent cultivated land $\leq 75$ m	The convenience of cultivation reflects the economic feasibility, and affects whether the cultivated land is used or not after being reclaimed

average quality of the abandon one of the CCL is even lower. Next, the total grain production capacity loss caused by the CCL abandonment after LID was calculated with the calculation formula of grain production capacity 5), which was 297.48 t.

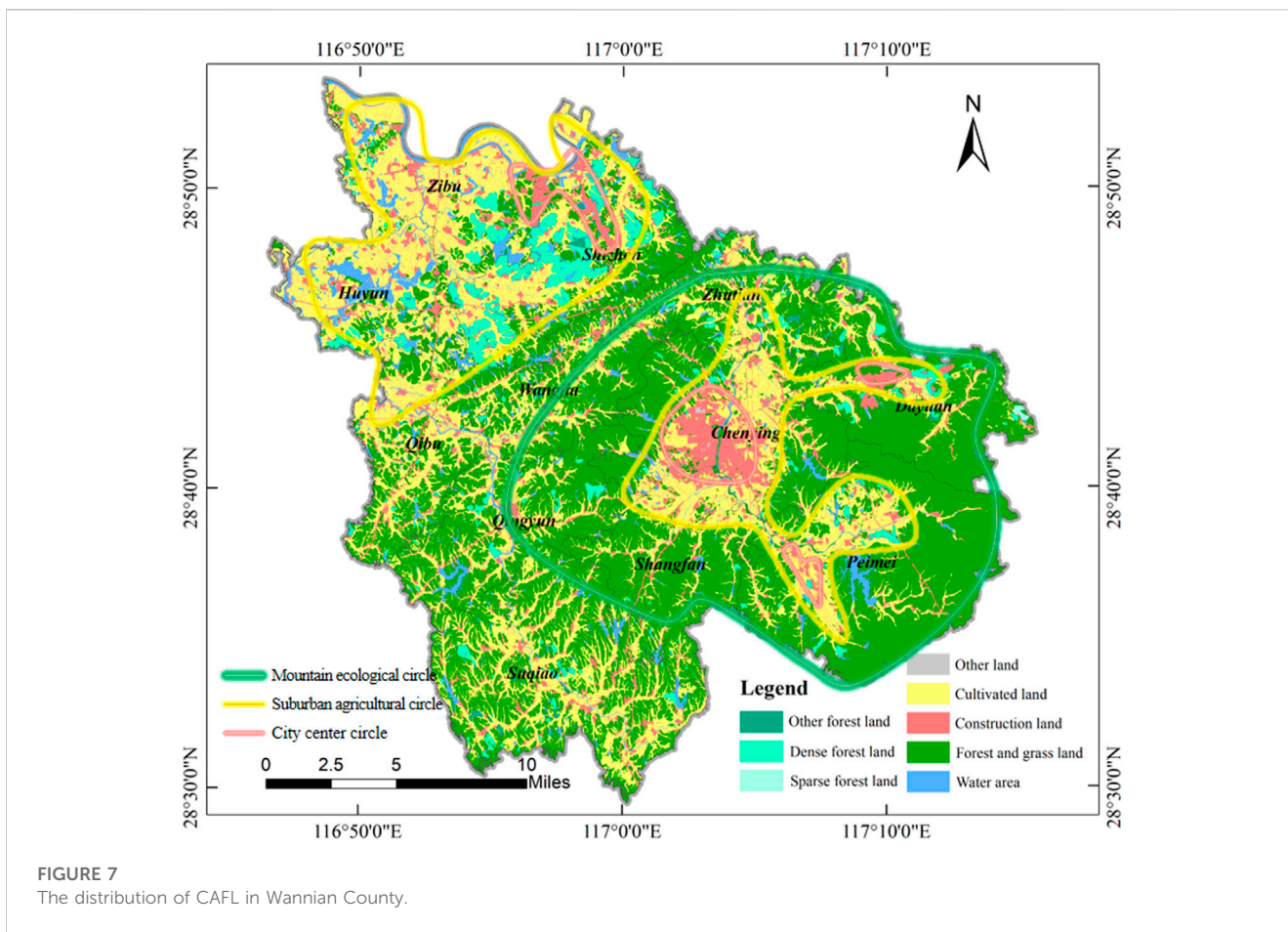
In the scenario of post-NRRB, the area-weighted average of the natural quality of the cultivated land reclaimed from CAFL was 9.45 grade, whereas the values for the use situation ones and economic benefit ones were 7.94 grade and 9.26 grade, respectively (Figure 8D). These levels are slightly lower than that of the cultivated land in the entire county but it is still slightly higher than that of abandoned CCL. With the grain productive capacity assessment, it was calculated that about 38,498.84 t of grain production capacity would be obtained in the whole county by reclaiming the CAFL, including 33,910.67 t from dense forest land, 651.30 t from sparse

forest land, and 3,936.87 t from other forest land, accounting for 88.08%, 1.69%, and 10.23% of the total, respectively. The loss of 297.48 t of grain production capacity from abandoned CCL in mountains, accounting for 12.5% of the regional total, can be made up only by replacing and reclaiming the sparse forest land in suburbs.

## 5 Discussion

### 5.1 Results comparison and interpretation of reasons for the abandonment

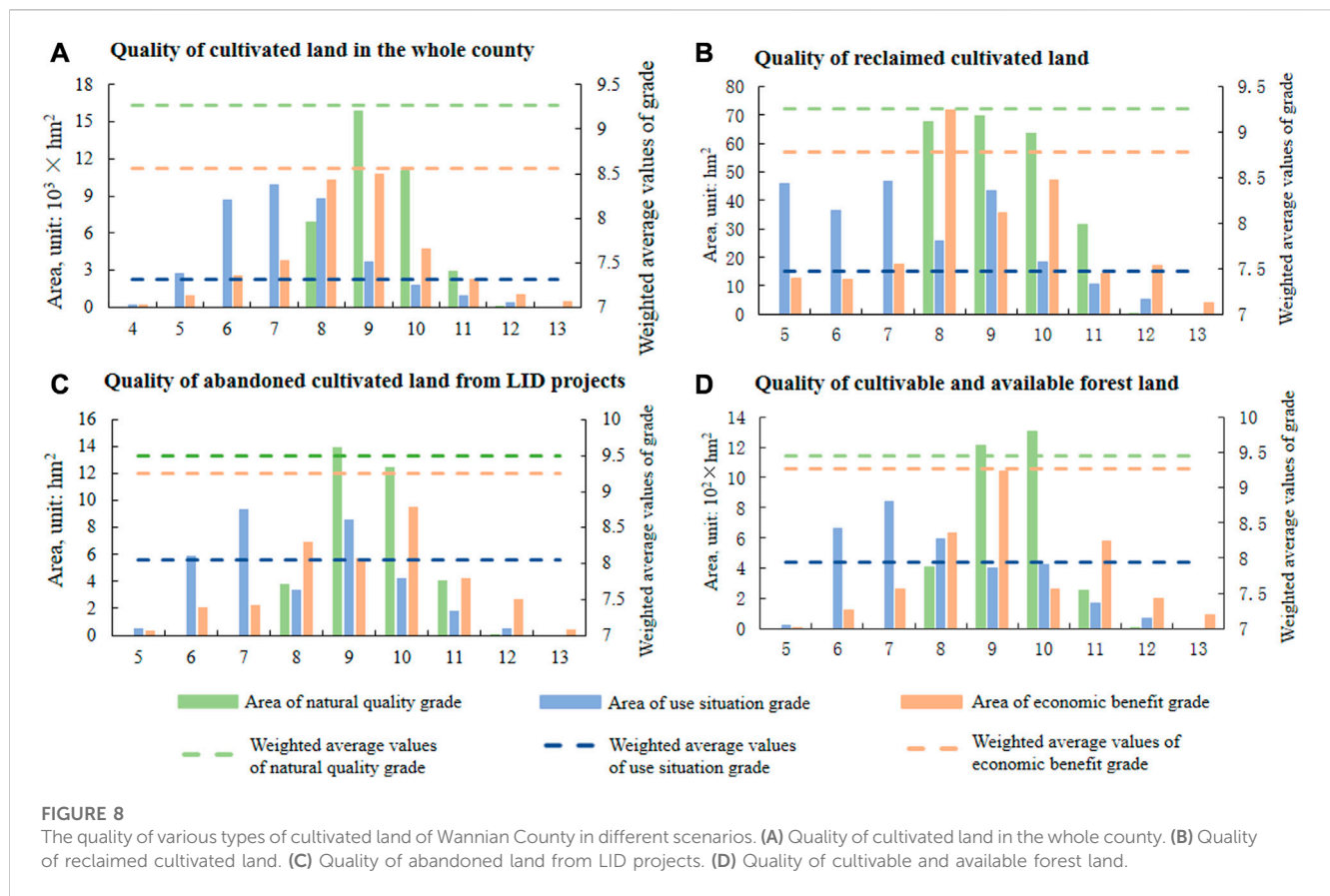
Since the mid-20th century, the rate of conversion of natural ecosystem to cultivated land has increased rapidly (Ramankutty and



Foley, 1999) while abandonment is also happening. Estimates suggest that approximately  $1.5 \times 10^6 \text{ km}^2$  of cropland was abandoned globally between 1700 and 1990 (Ramankutty and Foley, 1999). We found that over the past decade, China's mountainous regions have been undergoing cultivated land reclamation at the same time as abandonment, but with the difference that the new cultivated land comes mainly from the abandoned artificial ecosystem, namely, rural settlements, followed by new abandonment. Old abandonment in the mountains often occurs where natural conditions are unfavourable to agriculture (Hinojosa et al., 2016). Factors contributing to unfavourable agricultural suitability include land fertility (Alix-Garcia et al., 2012), altitude (Yang et al., 2019), soil erosion (Pepe et al., 2019), and climate change (Lambin et al., 2003). The external causes in the national market economy, which caused the emigration of the young population to work in the cities and the importation of goods that were formerly produced in the mountains (Lasanta et al., 2017). Xu et al. (2019) note that agricultural abandonment is generally driven by rural-urban migration, where better economic opportunities can be found. Our research results show that new abandonment is not related to agricultural suitability such as cultivated land quality, slope and aspect, but rather to its altitude, distance to adjacent cultivated land and patch area. The reason is that the unit land and labor economic output rates of scattered cultivated land are very limited, which makes agricultural suitability no longer the primary reference factor for

cultivated land use. The Chinese government is offering compensation for large-scale grain production, through which farmers can receive more subsidies to boost labor productivity. This makes the realization of large-scale agricultural production become the primary basis of cultivated land use.

The cause of new abandonment in mountainous is not a single factor, but a complex multi-factor problem, namely, the inefficient space allocation caused by the implementation of CLRB, without fully considering the coupling relationship among land site conditions, land use status, and land function requirements. Land marginalization due to unsatisfactory land suitability and reduced economic viability is the root cause of cropland abandonment (Lasanta et al., 2017). According to Von Thunen's agricultural location theory, the space allocation of agricultural land is mainly determined by the transportation cost, with the influence factors of freight, distance, and item weight, forming a concentric structure dominated by a certain crop in a certain circle (Figure 9). In the process of industrialization and urbanization, humans in the mountainous agro-ecosystems have gradually immigrated out of the remote mountains, either actively or passively (Ramankutty and Foley, 1999; Chen et al., 2022). The demand degree of agricultural production in the original location decreased significantly for the immigrant farmers, whereas in the suburban areas in submontane regions, with a flat terrain, a high soil thickness, and agminated immigrants, the demand for land for cultivation increases (Lasanta et al., 2017). A recent review 276 households on the Loess Plateau



found that farmers are unwilling to cultivate steep slopes or to employ recultivation on restored land due to the greater distance costs and lower grain yields when vegetation restoration programs don't affect grain self-sufficiency (Wu et al., 2021). While compared to arable land, forest land provides mainly ecological but not economic functions for humans due to China's forest protection policies (Mayer et al., 2005; Stahls et al., 2010). The provision of the ecological function, however, is independent of the transportation cost and is realized *via* telecoupling (Li et al., 2023).

### 5.2 Improvement scheme for requisition-compensation balance

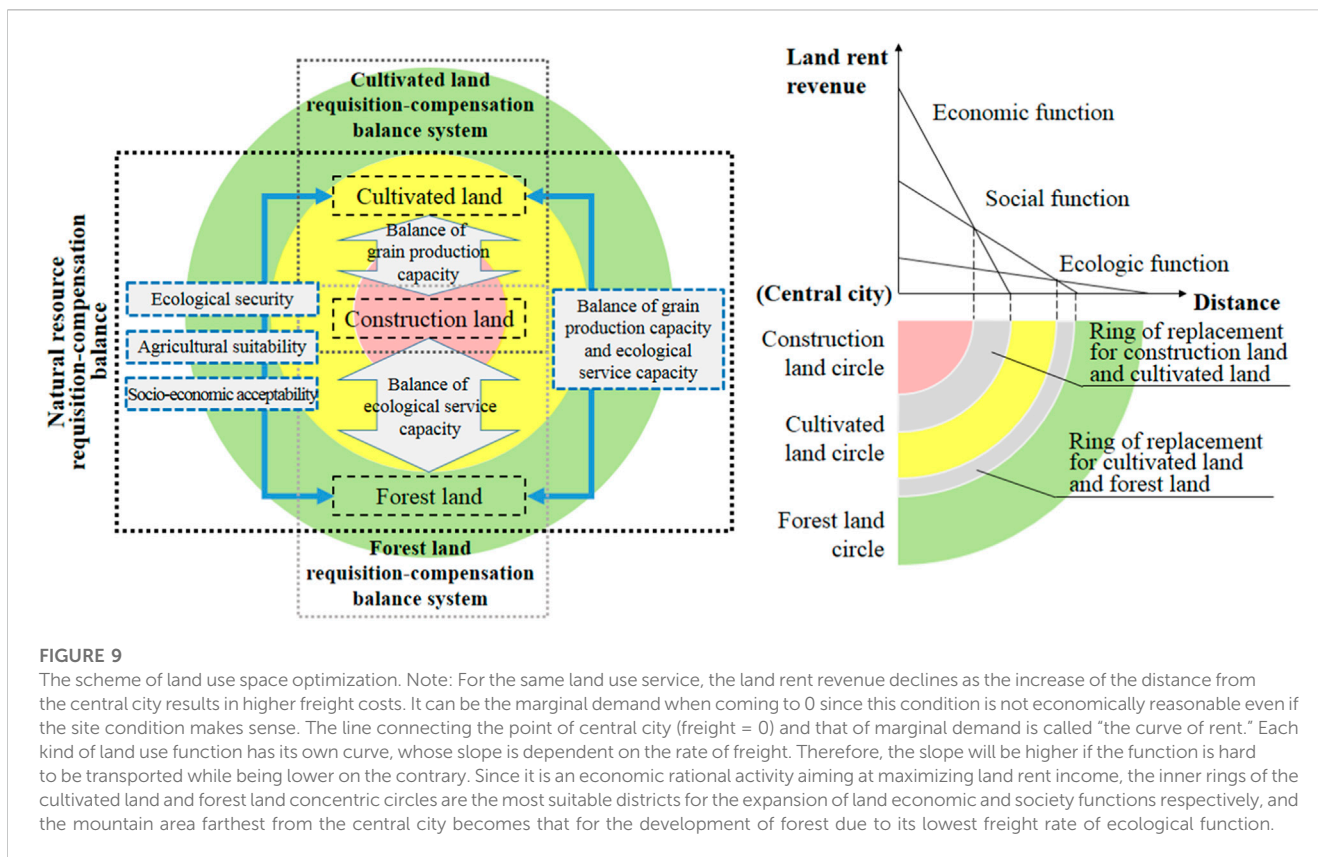
A broader approach in regions faced with land abandonment includes the consideration of the economic acceptability (human, natural, etc.) available to foster rural development beyond a sole focus on agricultural production (Zeng et al., 2022; Alan et al., 2013). If the land cannot supply economic function needed and there are significant benefits to the environment of land abandonment it may be unwise to concentrate policies on maintaining agricultural use (Alexander et al., 2013). Regional and local land use planning and polices provided for changing the structure of farms will affect the process of land abandonment (Alan et al., 2013). According to Von Thunen's theory and realistic demands, the matching of functional requirements considered further that construction land is required in the city center circle, and cultivated land and forest land are required in suburban agricultural circle and mountain ecological circle, respectively (Figure 7).

Therefore, we propose to establish the NRRB scheme for rational spatial replacement among cultivated land, forest land, and construction land. The goal is to make various natural resources maintain their sustainable use and perform effective functions.

In 2018, the responsibilities of forest and grass land, cultivated land, and construction land were consolidated under the administration Ministry of Natural Resources, providing administrative guarantees for the coordinated management of the three types of land. Based on the research results of economic acceptability in this paper, it is possible to assess and screen out the rural construction land with a high probability of being abandoned after reclamation. When cultivated land in cities is occupied by construction, the construction land in remote rural mountains could be restored to forest and grass land, integrating with the surrounding green environment. At the same time, CAFL in migration destinations should be selected and reclaimed as a supplement to the cultivated land occupied in cities, in accordance with the criteria of grain production capacity balance. Cultivated land is given priority over forested land in spatial arrangements due to stricter usage conditions and more pressing requirements for grain production. The NRRB scheme can ensure that all types of land are used effectively, perform their functions and avoid loss of regional food production capacity.

### 5.3 Implications and shortcomings

Vegetation restoration strategies adapted to local conditions can reduce the risk of grain shortage for 9.30–11.97 million farmers and



contribute to a more balanced development and the sustainability of vegetation restoration in mountainous areas (Zeng et al., 2022). Therefore, the CLR system needs to be more elaborately designed when involved in the trade-offs between green and grain; this would help to make up for the loss of regional grain production capacity caused by the CLR system. Meanwhile, it promotes the formation of land use patterns for efficient agricultural use due to compliance with Von Thunen's agricultural location theory. However, although achieving the quantitative balance, the volume and ecological service capacity of compensatory forest land aren't balanced to occupied one, and the loss is difficult to make up in the short term. However, by the length of the article, we didn't calculate the loss amount of service capacity and didn't analyze the required time. However, in the tropical and subtropical regions of southern China, the main mountainous areas of China and with abundant rain and heat, it can be restored to shrub forests in a short period of time and to arbor forests with a certain degree of canopy density after several years (Ashton and Zhu, 2020). The historical observation by Google Earth provides evidence that the average canopy closure of abandoned CCL in the study area reached 43% after 5 years without intervention. On the other hand, the ecological regulation service for forest land mainly includes soil and water conservation, carbon fixation, and biodiversity. Studies on soil and water conservation have shown that abandoned cultivated land in mountainous areas will reduce soil disturbance and have a positive effect on soil erosion control; even in an environment prone to soil erosion, the content of water-stable aggregates and the conversion rate of soil carbon will increase gradually over time. (Dai et al., 2007; Dai et al., 2008; Renwick et al., 2013). Studies on carbon fixation have shown that the

carbon fixation rate is closely related to the tree age structure, and the fixation rate of young and middle-age forest is relatively high, whereas that of mature and over-mature forest declines gradually; in this sense, the compensated forest land has a stronger effect on carbon fixation (Xu et al., 2010). Consequently, as long as the ecological restoration management measures are adapted and a certain period of time is allowed, compensated forest land could provide the same ecological regulation services as occupied one.

## 6 Conclusion

Taking Wannian County, a typical mountainous agricultural area in China, as an example, this study explored the impact of the CLR system and the LID policy on the use of cultivated land in mountainous counties from a micro-perspective. The results show that from 2010 to 2020, CCL reclaimed from rural settlements has shifted uphill, with considerable fragmentation and an abandonment rate of 14.77%. The new abandonment is not related to agricultural suitability such as cultivated land quality, slope and aspect, but rather to its altitude, distance to adjacent cultivated land and patch area. This isn't the same as the abandonment of cultivated land reclaimed spontaneously from natural ecosystems by humans. Finally, this resulted in a 297.48 t loss of grain production capacity throughout the county. Based on the results of driving force analysis, a solution from NRRB that restructure the spatial layout arrangement of cultivated land, forest land and construction land, was put forward under the coupling framework of land use "Condition-Status-Function." The CAFL

could be identified though the establishment of a new evaluation system for cultivated land reserve resources. The quality of the cultivated land obtained from the reclamation of CAFL would be better than that of abandoned CCL. By reclaiming the spare forest land from a portion of the CALF, it is sufficient to compensate for the loss of grain production capacity from the abandoned CCL, and reduces the risk of new abandonment. While this solution would also result in a certain loss of ecological regulation services in the short term. However, in most southern mountainous areas of China, the forest cover would be restored quickly with suitable ecological restoration management measures, and provides an ecological regulation service equivalent to that of reclaimed CAFL.

## Data availability statement

The datasets presented in this article are not readily available because the datasets including the spatial data of LID in the study area sourced from the non-public information of the local government. Requests to access the datasets should be directed to the corresponding author, Li Chao (lichaoongda@163.com).

## Author contributions

Conceptualization, ZX; data curation, ZX, and YZ; formal analysis, ZX, and YZ; funding acquisition, ZX; investigation, SF, and CL; methodology, ZX and YZ; project administration, SF and SD; resources, SF and SD; software, ZX, YZ, and SD; supervision, CL

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and SD; validation, ZX, YZ, and SD; visualization, YZ and SD; writing—original draft, ZX and SD; writing—review and editing ZX and SD.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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