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Spatial spillover effects and driving factors of regional green innovation efficiency in china from a network perspective

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The spatial spillover effect of regional green innovation efficiency (GIE) is a heated issue of academic research; however, it has rarely been discussed from a network perspective. It is pretty meaningful to clarify its spatial association network's evolutionary rules and driving factors. To fill the lack of research, this study measures the regional GIE in China from 2010 to 2019 using an epsilon-based metric (EBM) model that considers undesirable outputs. A modified gravity model and social network analysis (SNA) method are used to analyze the evolutionary rules and spatial spillover effects of the network structure of GIE, and a quadratic allocation process (QAP) was employed to identify its driving factors. The findings reveal that: 1) China's regional GIE has a geographic correlation network structure with a low network density (peaking at 0.210 in 2018) and an annually increasing slow trend. 2) The network structure is relatively loose and has a certain hierarchical gradient, with "dense in the eastern" and "sparse in the western" characteristics. 3) The eastern provinces are at the relative center position and play a leading role in the network; the central, western, and northeastern regions are relatively inferior and play a fulcrum and conduction role. 4) Spatial adjacency, the differences in infrastructure, urbanization, and economic development level positively affect the spatially correlated regional GIE. In contrast, differences in environmental regulations and differences in science and technology innovation (STI) have negative effects. Finally, from the perspectives of national, regional, block, and driving factors, several recommendations are made to enhance the overall improvement and balanced development of regional GIE in China.

KEYWORDS

spatial spillover effects, green innovation efficiency, social network analysis, driving factors, China

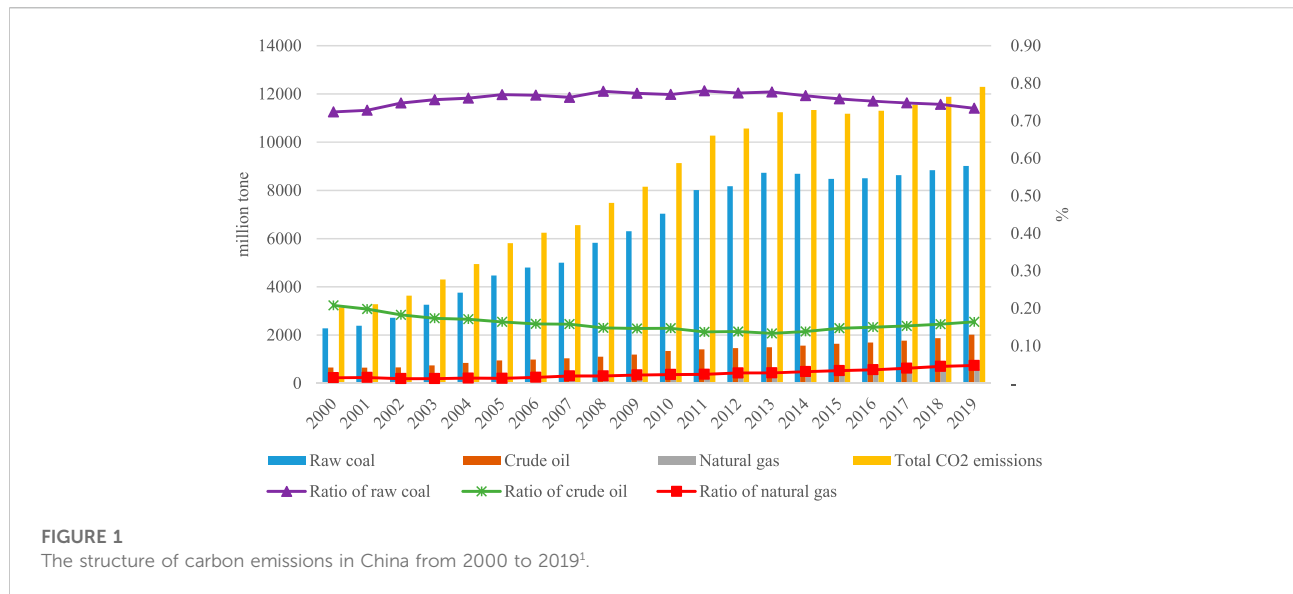
1 Introduction

Environmental protection has become one of the significant obstacles to maintaining sustainable growth in the global economy (Zhang, Ouyang, Ballesteros-Pérez, Li, & Skitmore, 2021a). The traditional development modes generally come at the cost of ecological destruction and resource exhaustion. Evidently, such a growth pattern appears to be inconsistent with the goal of sustainable growth. Several governments have begun encouraging various industries to introduce innovative green technologies in their production processes for the purpose of reducing energy consumption and pollutant emissions (Yin & Li, 2018; Gente & Pattanaro, 2019). Green innovation refers to innovation activities aimed at high-quality economic development and environmental protection, which decreases or eliminates the ecological destruction resulting from economic activities by converting innovative products and technologies throughout their life cycle (Xie, Huo, & Zou, 2019; Zhang, Kang, Li, Ballesteros-Pérez, & Zuo, 2020). Green innovation can help to alleviate the constraints of environmental pollution and resource scarcity and achieve sustainable and healthy economic development. Achieving sustainable economic growth requires innovative green technologies to enhance carbon productivity.

Although China's economy has grown significantly over the past 40 years, sustainable development has only recently been advocated and received widespread notations. The crude economic growth mode has led to excessive energy consumption, mainly of coal, oil, natural gas, and other fossil fuels, hindering the achievement goals of China's sustainable economic growth (Fan & Xiao, 2021; Zhou, Yu, Yang, & Shi, 2021). According to the statistics, China's gross domestic product (GDP) for 2019 reached 9,908.65 billion yuan, and the contribution rate of scientific and technological advancement reached 59.5%. New growth drivers maintained rapid development, with the value-added of strategic emerging manufacturing and high-tech manufacturing industries growing by 8.4% and 8.8%, respectively. However, China's environmental problems continue to be serious. According to the 2019 State of China's Ecology and Environment Report, among 337 cities at prefecture level and above, 157 (46.6%) reached the standard of ambient air quality, while 180 (53.4%) exceeded the standard; the proportion of acid rain in monitored precipitation in 469 cities is 33.3%. In the monitoring data of 10,168 national groundwater quality monitoring stations, 14.4% are types of I, II, and III, 66.9% are type IV, and 18.8% are type V. The structure of carbon emissions in China from 2000 to 2019 can be seen in Figure 1. As a new way of sustainable development, green innovation deeply integrates the two development principles of green and innovation. It already becomes the primary tool to achieve ecological civilization construction, high-quality development, and sustainable growth in a country or region.

Green innovation efficiency (GIE) is an indicator that measures the quality of green innovation development level from the input-output perspective. Its efficiency value is influenced by many factors of the region it belongs to, such as resource endowment, economic foundation, regional external environment, and geographical conditions (Li, Wei, & Wang, 2015; Liu, Shao, Tang, & Lan, 2021). Owing to the inter-regional differences and uneven distribution of the factors mentioned above, regional GIEs tend to have "gradient differences" (Zhou et al., 2021; Wang & Ren, 2022). Furthermore, the spatial association network of green innovation is integral to the innovation network. Under the mechanism of regional spatial diffusion and knowledge spillover, the fluid factor resources such as capital, technology, and management methods flow and redistribute in a direction conducive to the development of regional GIE (Giuliani & Bell, 2005; Hoekman, Frenken, & Van Oort, 2009; Fan & Xiao, 2021). In the process, the high-level and low-level regions generate polarization and trickle-down effects through demonstration, collaborative interaction, and peer learning (Li & Fu, 2015), resulting in the formation of spatial association networks of regional GIE. Current academic researchers have conducted many studies on the spatial spillover effects of regional GIE, such as spatial-temporal heterogeneity (Miao, Duan, Zuo, & Wu, 2021; Peng, Yin, Kuang, Wen, & Kuang, 2021) and spatial clustering characteristics (Yang & Liu, 2020). However, these studies only examine the spatial correlation among individual regions, which cannot comprehensively depict the overall network characteristics. Moreover, the existing research rarely discusses the spatial spillover relationship of regional GIE from a network perspective, as well as the spatial spillover effects and their driving factors. The current situation is that China's green production technology and innovation efficiency are still low. An efficient and well-organized network of GIE has not yet been formed between regions (Fan & Xiao, 2021). Furthermore, there are only a few cross-regional green innovation collaboration activities, and both cross-regional green technology sharing platforms and joint pollution governance platforms have not been established (Su & Yu, 2019). Therefore, under the scenario of the Chinese government's pursuit of sustainable development, it is of great theoretical and practical significance to unveil the evolutionary rules of the spatial networks for regional GIEs and their driving factors to achieve the goal of cross-regional collaboration development in China.

The significant contributions of the study can be summarized in the following. From a network perspective, the main works of this paper are to investigate the evolutionary rules of the spatial networks for regional GIE in China and reveal their driving factors, which offers a novel perspective for the literature on regional green development. First, the EBM model with undesirable output is utilized to obtain the regional GIEs and reveal their evolutionary rules and spatial differences, which helps to grasp the changing trend of GIE in each province deeply. Second, the modified gravity model is proposed to determine the network binary matrix. The SNA method is utilized to describe the spatial association network's overall



and individual features. The network structure characteristics of regional GIE are presented visually. Finally, the QAP regression analysis method recognizes the driving factors influencing its network formation. It can fundamentally avoid the problem of multiple covariates caused by various independent variables and provide a more scientific and reasonable analysis.

This study is structured as follows: **Section 1** describes the context of the study; **Section 2** reviews the literature; **Section 3** explains the methods; **Section 4** describes the indication selection and dataset; **Section 5** describes the empirical results, and **Section 6** draws conclusions and implications.

2 Literature review

2.1 Green innovation theory

Green innovation has double positive externalities for economic spillover and environmental spillover (Miao et al., 2021; Peng et al., 2021). Compared with general innovation, green innovation is defined as the collection of innovative activities that generate or modify technologies, processes, practices, systems, and products, considering the limitations of environmental performance. It aims to improve environmental performance, promote the comprehensive utilization of resources and energy, and ultimately achieve the goals of improving environmental protection and sustainable social development (Bartlett & Trifilova, 2010; Fan & Xiao, 2021; Zhao, Liu, Pan, & Wang, 2021). With the increasingly serious

environmental pollution problems, scholars have started to conduct research on green innovation, including the GIE under different scales of measurement (Yang et al., 2019), spatial distribution heterogeneity (Li et al., 2015; Liu et al., 2021), spatial aggregation and convergence (Li & Fu, 2015; Dong, Li, Qin, & Sun, 2021; Zhou et al., 2021), and others (Wang, Chen, Kang, Li, & Guo, 2018; Li & Du, 2020; Fan & Xiao, 2021). In the literature on efficiency research, some scholars have calculated and analyzed the efficiency of green innovation and its influencing factors in different research objects such as province (Wu, 2021; Zhao et al., 2021), industry (He, Li, & Cui, 2021) and urban (Zeng, Skare, & Lafont, 2021). In their study on the efficiency measurement method, many scholars commonly use the data envelopment analysis (DEA) model, such as Luo, Miao, Sun, Meng, and Duan (2019) established the Malmquist-DEA index, and Zhang J. et al. (2021) employed the network EBM model to calculate the GIEs of strategic emerging industries and construction industry in China, respectively. Fan and Xiao (2021) adopted the SBM-DDF model, and Zhang et al. (2020) used the super-SBM model to measure the green economic efficiency of the 30 Chinese provinces. Lin et al. (2021) proposed a two-stage DEA with shared and additional inputs to evaluate the green technology innovation efficiency in Chinese energy-intensive industries. In the studies on influencing factors, most researchers believed that environmental regulation (Wu, Hao, & Ren, 2020), government R&D support (Li & Zeng, 2020), industrial structure (Dong et al., 2021), marketization (Liu, Gao, Ma, & Chen, 2020), and international knowledge spillover (Song, Tao, & Wang, 2015) are the primary factors driving green innovation. Furthermore, from the innovation factor flow perspective, Huang and Wang (2020) discussed the growth effects of the high-speed railway on green innovation in 108 cities of China's Yangtze River Economic Belt. Zhou et al.

¹ The Data are from China Emission Accounts and Datasets, CEADs (<https://www.ceads.net.cn/>).

(2021) stated that the intensity of R&D funding, environmental investment intensity, the degree of opening, and government support had a positive and unique effect on China's green innovation development.

2.2 Spatial effects of innovation theory

Scholars of economic geography are inclined to hold the opinion that innovation is not only affected by its accessible regional knowledge, institutional environments, social culture, technological levels, and other factors but is also by the level of innovation development of neighboring regions (Canils & Verspagen, 2001). That is to say, innovation has noticeable spatial effects, and specialization of innovation factors generates relevant spillover effects (Jaffe & Henderson, 1993; Audretsch & Feldman, 1996). The spatial spillover effect is one of the hot topics in current regional innovation system research. In the literature on spatial spillover effects of regional innovation, the researchers have preliminarily confirmed that geographic proximity between regions has significantly been correlated (Anselin, Varga, & Acs, 1997). Subsequent studies have been broadened to the impacts of social and economic factors on spatial correlations, such as knowledge, institutional, technological, and organizational proximities (Boschma, 2005; Inoue, Souma, & Tamada, 2007; Marrocu, Paci, & Usai, 2013). For example, Li and Fu (2015) revealed the spatial spillover effect of regional innovation at the urban scale. Liu et al. (2021) found that cognitive proximity, institutional proximity, geographical proximity, and technological proximity were all factors promoting the formation of green innovation networks and that geographical proximity has a positive moderating effect.

Regarding research methods, the existing literature on the spatial effects of innovation analysis consists of two main groups. The first group of methods is to measure the regional spatial correlation using various statistical methods and carry out theoretical elaboration. The Gini index, Moran's index, and Theil coefficient are the most widely utilized indices. For example, Motoyama, Cao, and Appelbaum (2014) employed the Gini index and global Moran's index to examine whether the geographic concentration of nanotechnology-related patents has persisted over time. Wang, Zhang, Zheng, and Chang (2021) applied Moran's index to study the changing trend of the spatial pattern of regional innovation output. Xiao, Fan, and Du (2019) adopted the Theil index to analyze the Chinese regional innovation capability difference and evolution. The second group of methods is concerned with the analysis of the spatial effects, including the exploratory spatial data analysis (Tan, Cheng, Lei, & Zhao, 2017), gravity models (Maggioni, Uberti, & Usai, 2011), kernel density functions (Liu, 2018), and spatial econometric models (Li & Fu, 2015; Peng et al., 2021). For example, Shang, Poon, and Yue (2012) used a spatial autoregressive model to test China's innovation growth's regional knowledge spillover. Zhang X. et al. (2021) combined the exploratory

spatial data analysis and gravity model to describe the spatial characteristics of low-carbon energy technology innovation in China and identify the driving factors. Peng et al. (2021) used the spatial Durbin model (SDM) to test whether green innovation has a significant positive spatial spillover effect on economic development quality. According to the spatial analysis method of geographic information system (GIS), Pan, Chu, Pan, and Wang (2021) adopted the gravity and potential models to identify the change features of spatial correlation effect of urban innovation in China. Wu, Hao, Ren, Yang, and Xie (2021) investigate the relationship between the internet and China's green total factor energy efficiency (GTFEE) using a dynamic SDM, mediation effect model, and threshold panel model.

2.3 Application of social network analysis in innovation networks

In the 1960s, sociologist Harison White et al. established the SNA theory, which uses graph-theoretic techniques to identify connection modes and considers connections as the basis of analysis units (Scott, 2011). The SNA approach has been widely applied in a variety of fields, including economics, management, sociology, behavioral science, and others. Many scholars have used the SNA model to discuss innovation networks. Such as, using patent collaboration data, Cantner and Graf (2006) depicted the evolution of the German Jena innovator network. From the standpoint of SNA in three indicators of network density, network cohesion, and network centrality, Krätke (2010) investigated the structure and properties of regional knowledge networks. Senghore, Campos-Nanez, Fomin, and Wasek (2015) employed the SNA method to test whether three driver elements in competition, social interaction, and network vitality influence innovation. Tseng, Lin, Pai, and Tung (2016) used SNA to explore the relationship between the global semiconductor industry's innovation network and innovation capability. SNA was utilized by Yang and Liu (2020) to define the spatial correlation properties of low-carbon innovation. In recent years, a unique research system has been proposed to study the structure of innovation spatial association networks. Specifically, the spatial correlation of regional innovation is first estimated using Granger causality tests or gravity models; then, overall, individual and cluster characteristics are analyzed using network density, correlation, centrality, and SNA block models, and finally, the factors affecting the spatial correlation of regional innovation are analyzed using a QAP approach (Li et al., 2015; Yang & Liu, 2020; Fan & Xiao, 2021). The QAP is based on the permutation of the matrix data by comparing the lattice values of the matrices and determining the correlation coefficients while performing non-parametric tests on the coefficients and is often used to examine the relationship by using relational data (Barnes, 1954). Owing to the difficulties of multi-collinearity and autocorrelation that usually exist in

network data, the QAP model does not require the assumption of independence and normal distribution, and the results are more robust.

2.4 Brief comments

Previous studies on the spatial spillover of regional GIE are relatively common, but there still a needs for further supplementation and improvement.

- 1) Regarding evaluating regional GIE, the current literature mainly adopts DEA models and spatial econometric methods (i.e., the SDM method). These models have a certain degree of deficiencies. The DEA method tends to ignore the influence of radial proportional changes or slack variables, and the SDM method requires presupposition of a particular form of the production function, which can lead to the deviation of the efficiency value from the actual value.
- 2) The existing literature seldom analyzes the spatial association network structure of regional GIE and its evolution rules from a network perspective, including the overall characteristics, individual characteristics, and network clustering.
- 3) The research on the driving mechanism of the spatial association network of regional GIE is still weak. The existing studies have mainly focused on the neighboring regions without considering the influence of non-neighboring regions. Moreover, the research method is confined to the spatial econometric method based on “attribute data”. The SNA is a network analysis tool that can perform a global analysis of “relational data”, which can effectively overcome the limitation of “attribute data” and has been widely applied in the field of carbon emission (Huo et al., 2022; Yu et al., 2022). Few studies have applied the SNA method to the topic of regional GIE.

Therefore, this paper makes improvements to the existing literature in three aspects. First, the EBM model with no expected output is used to recalculate the regional GIE to solve the deviation problem in efficiency evaluation. Second, from a network perspective, the improved gravity model is used to construct the “relational data,” and the SNA method is applied to analyze the spatial association network of regional green innovation and its structure characteristics such as the overall structure, the individual structure, and the block situation. Third, based on the “relational data,” the QAP regression analysis is applied to identify further the influencing factors of the spatial association network of green innovation efficiency. These works expand the existing studies on the spatial spillover effects of regional GIE and provide helpful policy insights for promoting cross-regional green synergistic development and realizing regional green and high-quality development.

3 Methods

3.1 Epsilon-based metric model with undesirable output

The traditional DEA radial model (represented by CCR and BCC) ignores the non-radial slack variables, while the non-radial SBM model lacks the proportion information between the target value and the actual value (Zhang J. et al., 2021). The EBM model proposed by Tone and Tsutsui (2010) introduces the exponent ϵ to measure the diversity and interdependence between variables. It has a more significant advantage in distinguishing the efficient decision-making unit (DMU). Recently, the EBM model has been a popular efficiency evaluation method due to the consideration of bad output factors.

Assume that there are N DUMs that need to be measured. Each DMU contains m inputs to generate s desirable outputs with q undesirable outputs. It may be unreasonable for the traditional DEA model to concentrate only on the hot output indicators. As Cui, Li, and Wei (2018) pointed out, when dealing with undesirable outputs, strong disposability makes more sense than weak disposability. Hence, in this study, the undesirable outputs are treated as strong disposability. Therefore, this study adopts the EBM model with undesirable output, no-oriented and variable return to scale (VRS) to measure efficiency. The specific formula is as follows:

$$E = \min \frac{\theta - \epsilon_x \sum_{i=1}^m \frac{w_i^- s_i^-}{x_{i0}}}{\varphi + \epsilon_y \sum_{r=1}^s \frac{w_r^+ s_r^+}{y_{r0}} + \epsilon_z \sum_{p=1}^q \frac{w_p^- s_p^-}{y_{p0}}}$$

$$\text{s.t.} \begin{cases} \sum_{j=1}^N x_{ij} \lambda_j + s_i^- = \theta x_{i0}, i = 1, 2, \dots, m \\ \sum_{j=1}^N y_{rj} \lambda_j - s_r^+ = \varphi y_{r0}, r = 1, 2, \dots, s \\ \sum_{j=1}^N b_{pj} \lambda_j + s_p^- = \varphi b_{p0}, p = 1, 2, \dots, q \\ \sum_{j=1}^N \lambda_j = 1 \\ \lambda_j \geq 0, s_i^-, s_r^+, s_p^- \geq 0 \end{cases} \quad (1)$$

where E stands for the optimal efficiency value, satisfying $0 \leq E \leq 1$; s_i^- , s_r^+ and s_p^- represent the slack variables of the m -th input, the s -th desirable output and q -th undesirable output, respectively; w_i^- , w_r^+ and w_p^- denote the relative importance of the input, the desirable output and undesirable output, respectively, and satisfy the constrains $\sum_{i=1}^m w_i^- = 1, \sum_{r=1}^s w_r^+ = 1, \sum_{p=1}^q w_p^- = 1 (w_i^- \geq 0, w_r^+ \geq 0, w_p^- \geq 0)$; ϵ_x denotes the relative importance of the non-radial slacks over the radial θ , and ϵ_y and ϵ_z denote the relative importance of non-radial over the radial φ ; λ stands for the relative importance of the reference decision unit. Parameters $\epsilon_x, \epsilon_y, w_i^-, w_r^+, \text{and } w_p^-$ need to be predetermined, these parameter values are calculated by referring to Tone and Tsutsui (2010).

3.2 Gravity model

The social network originates from the concept of sociology. In a network environment, if there is a correlation between two nodes in the network, a straight line is drawn to obtain the sum of the relationships of all nodes. Currently, there are two commonly used methods to establish connections between nodes. One is the Granger causality test based on vector auto regression (VAR) (Wu, Liu, Hsiao, & Huang, 2016), and the other is the gravity model (Fan & Xiao, 2021). As a classical econometric model, the VAR model is susceptible to time lag requirements and unsuitable for cross-section data. As a result, it is unable to depict evolutionary trends and characteristics of the network. In contrast, the gravity model introduced by Reilly (1929) for the first time in population geography can comprehensively consider the factors of economic and geographical distance and reveal the evolution characteristics of spatial correlation. With these considerations, a modified gravity model to measure spatial correlation is constructed in the following:

$$F_{ij} = K_{ij} \cdot \frac{E_i \cdot E_j}{D_{ij}^2 / (g_i - g_j)^2}, K_{ij} = \frac{E_i}{E_i + E_j} \quad (2)$$

Where F_{ij} represents the gravity value of GIE between two provinces (i and j); K_{ij} denotes gravity coefficient; E_i and E_j denote the GIE of any two provinces (i and j), respectively; D_{ij} denotes the geographical distance between two provinces (i and j); g_i and g_j are GDP per person of two provinces (i and j), respectively.

According to Eq. 2, F_{ij} is transformed into 0–1 matrix $\tilde{Q}(i, j)$ after binary processing, as follows:

$$\tilde{Q}(i, j) = \begin{cases} 1, & F_{ij} \geq \frac{1}{N} \sum_{j=1}^N F_{ij} \\ 0, & F_{ij} < \frac{1}{N} \sum_{j=1}^N F_{ij} \end{cases} \quad (3)$$

where $\tilde{Q}(i, j)$ denotes the spatial correlation strength between two provinces i and j . Obviously, in the network, when $\tilde{Q}(i, j) = 1$, straight lines can be drawn directly from the provinces i and j .

3.3 Characteristic index of network structure

3.3.1 Overall network structure characteristics

SNA is a method to accurately quantify the relationships among members in a complicated and changeable network structure and analyze the overall network properties among the nodes (Wang et al., 2018). The overall structural characteristics of the network are characterized by four indicators (Scott, 2007): network density, network correlation, network efficiency, and network hierarchy. The network density denotes the tightness of network members. The formula is:

$$D = \frac{L}{N(N-1)} \quad (4)$$

where D denotes the network density and $D \in [0, 1]$. $N(N-1)$ denotes the maximum expected number of network relationships, and L denotes the actual number of network relationships.

Network correlation degree denotes the degree of interaction between network members. The formula used is:

$$C = 1 - \frac{2V}{N(N-1)} \quad (5)$$

where C denotes the network correlation degree, N denotes the number of network nodes, and V denotes the number of unreachable point pairs.

Network efficiency indicates the connection efficiency of members. The formula is:

$$E = 1 - \frac{M}{\text{Max}(M)} \quad (6)$$

where E denotes the network efficiency, M is the number of network redundant correlation lines.

Network hierarchy denotes the degree of unsymmetrical reachability among network members. The formula is:

$$H = 1 - \frac{K}{\text{Max}(K)} \quad (7)$$

where H is the network hierarchy, K is the number of symmetric reachable node pairs in the network.

3.3.2 Individual network structure characteristics

Centrality is an important network characteristic that reflects the position and strength size of node members in the network. Centrality includes three indicators of point centrality degree, closeness centrality degree, and betweenness centrality degree (Freeman, 1978). The formula is given by:

$$P = \frac{I_d + O_d}{2N - 2} \quad (8)$$

where P is point centrality, N is the number of network nodes, O_d is out-degree, and I_d is in-degree.

Closeness centrality degree indicates the degree to which one node in the network is independent of the others (out of control). The more valuable it is and the closer it is to other members, the more possible it will become a core player in the network. The formula is obtained by:

$$CC = \frac{B_c}{N - 1} \quad (9)$$

where CC is the closeness centrality degree, and B_c is the shortcut distance between nodes i and j .

Betweenness centrality degree represents a node that dominates over other nodes. The higher the degree is, the stronger the domination ability of the node is. Betweenness centrality BC_i is calculated by:

$$BC_i = \frac{2 \sum_{j=1}^N \sum_{k=1}^N b_{jk}(i)}{(N^2 - 3N + 2)}, b_{jk}(i) = \frac{g_{jk}(i)}{g_{jk}} \quad (10)$$

Where $g_{jk}(i)$ is the number of shortcuts between nodes j and k through node i .

3.4 Quadratic allocation process method

QAP is a method to compare the lattice value in a square matrix, explore the correlation coefficient between two different matrices according to the relational data, and conduct a non-parametric test on the correlation coefficient. The formation of the spatial association network of regional GIE results from spatial aggregation and diffusion by many social factors. According to the existing literature, the geographical factor is an important variable affecting the regional GIE (Liu et al., 2021). Some studies believe that the infrastructure (Tang, Xu, Hao, Wu, & Xue, 2021), environmental regulation (Wu et al., 2020), industrial structure (Zhang, Zhang, Zhang, & Li, 2019), openness (Ren, Hao, & Wu, 2022), urbanization (Zhou & Wang, 2011), economic development level (Zhang J. et al., 2021), and scientific and technological innovation (STI) capacity (Miao et al., 2021) have different directions and intensities of influence on regional GIE. Therefore, this paper selects the regional spatial adjacency relationship matrix (Adj) to represent the geographical factor, and seven factors of the differences in infrastructure (Dif_Infra), differences in environmental regulation (Dif_Er), differences in industrial structure (Dif_Indu), differences in external openness (Dif_Open), urbanization differences (Dif_Urban), differences in economic development level (Dif_Pgdp), and differences in science and technology innovation (Dif_Sti) are chosen as the independent variable to establish the QAP regression model. According to the above consideration, the QAP model can be established as follow:

$$G = f(\text{Adj}, \text{Dif_Infra}, \text{Dif_Er}, \text{Dif_Indu}, \text{Dif_Open}, \text{Dif_Urban}, \text{Dif_Pgdp}, \text{Dif_Sti})$$

where G represents the spatial binary matrix of regional GIE by Eq. 3; Adj denotes spatial adjacency relations; Seven factors of Dif_Infra, Dif_Er, Dif_Indu, Dif_Open, Dif_Urban, Dif_Pgdp, and Dif_Sti refer to the difference matrices in infrastructure, environmental regulations, industrial structure, openness, urbanization rate, economic development, and STI, respectively. The variables and data definitions are shown in Table 1.

4 Indication selection and dataset

4.1 Indication selection

An ineffective evaluation system may produce results that are varied or even contradictory. In order to evaluate GIE objectively, as was done in previous studies (Johnstone et al.,

2017; Anser, Iqbal, Ahmad, Fatima, & Chaudhry, 2020; Bilan, Mishchuk, Roshchuk, & Kmecova, 2020; Zhang et al., 2020; Zhao et al., 2021), the GIE can be considered as the ratio between the input of innovation activities and its innovation output, economic output and comprehensive environmental output under the constraints of “innovation-driven” and “green development”.

The input indicators include labor input, capital input, and environmental input. The full-time equivalent of R&D personnel is selected as labor input, and the internal expenditure of R&D funds is selected as capital input. It needs to be particularly stated that internal expenditure of R&D funds is more objective than the R&D capital stock indicator. When computing capital stock, it can eliminate errors caused by differing techniques and depreciation rates. In addition, the energy consumption of 10,000 Yuan GDP is employed as environmental input to reflect the consumption of regional resources.

The output indicators include desirable output and undesirable output. The desirable output selects innovation outcomes and economic results, including the number of authorized patent applications and new product sales revenue. The number of patent applications authorized demonstrates the potential of a region’s innovation output and quantitatively reflects the direct consequences of innovation. New product sales revenue reflects the economic capacity of regional innovation output and the economic effect of innovation in terms of quality. Undesirable output selects environmental pollutant emissions to measure innovation activities’ damage to resources and the environment, including industrial pollution emissions: industrial SO₂ emissions, industrial wastewater emissions, and industrial waste solid emissions. The indicator system is shown in Table 2.

4.2 Dataset

Due to data availability, this paper selected 30 provinces and cities in China as research units and network nodes. The research period is from 2010 to 2019, excluding Tibet, Hong Kong, Macao, and Taiwan. The input and output indicators data come from the China Statistical Yearbook of Energy, China Statistical Yearbook of Science and Technology, China Environmental Statistical Yearbook, and China Statistical Yearbook. In terms of geographical distance measurement, the 1:400,000 Chinese basic geographic information data provided (<http://www.ngcc.cn/ngcc/>) was used to calculate the spherical distance between provincial capitals. The descriptive statistics of the efficiency evaluation indicators are shown in Table 3. Since the data used for the QAP analysis are relationship data converted from the “Attribute to the matrix” data tool in UCINET 6.0 software, it is impossible to perform descriptive statistics on an analysis of them.

TABLE 1 Variables and data definitions.

Variable	Notation	Description	Definition
Dependent variable	G	Network relations	Spatial binary matrix obtained by the gravity model
Independent variables	Adj	Spatial adjacency relations	The value is 1 if the two provinces are adjacent and 0 if they are not
	Dif_Infra	Difference in infrastructure	Total postal and telecommunication services per unit of GDP difference matrix
	Dif_Er	Difference in environmental regulations	Environmental governance investment quota per unit of GDP difference matrix
	Dif_Indu	Difference in industrial structure	Secondary industry per unit of GDP difference matrix
	Dif_Open	Difference in openness	FDI per unit of GDP difference matrix
	Dif_Urban	Difference in urbanization rate	Urban population as a proportion of total population difference matrix
	Dif_Pgdp	Difference in economic development	GDP per capital difference matrix
	Dif_Sti	Difference in R&D intensity	R&D internal expenditure per unit of GDP difference matrix

TABLE 2 Regional GIE evaluation indication system.

Inputs and outputs	Variable	Notation	Unit
Inputs	Full-time equivalent of R&D personnel	RD_p	people/year
	Internal expenditure on R&D funds	RD_E	10,000 yuan
	Energy consumption per 10,000 Yuan of GDP	EC	10,000 yuan/tones standard coal
Desirable outputs	Number of patent applications authorized	PAA	number
	New product sales revenue	PSR	10,000 yuan
Undesirable outputs	Industrial SO2 emissions	ISE	10,000 tons
	Industrial wastewater emissions	IWWE	10,000 tons
	Industrial waste solid emissions	IWSE	10,000 tons

TABLE 3 Descriptive statistics of the efficiency evaluation indicators.

Indicator	Max	Min	Mean	Std.dev
RD_p	803,208	4,008	122,556.50	137,597.10
RD_E	30,984,890	70,204	4,671,973.00	5,525,235
EC	41,390	1,359	14,864.09	8,763.52
PAA	527,390	264	49,325.26	73,531.54
PSR	429,700,648	85,659	4,942,718	6,894,868
ISE	292.24	0.10	46.79	39.22
IWWE	303,130	547	68,728.95	62,558.13
IWSE	52,037	212	11,534.75	10,001.56

5 Results and analysis

This section describes and analyzes the empirical results. First, the EBM model with undesirable output was adopted to obtain the GIEs of 30 provinces in China (see Table 4). Then, the spatial correlation binary matrix is determined by the modified gravity model, and the spatial association network diagrams of different years (2010, 2013, 2016, and 2019) were drawn using Arcgis10.8 software (see Figure 3). Then, the network structure

characteristics (overall and individual) and the block model are carried out by the SNA approach. Finally, the driving factors were identified by the QAP method for the spatial association network from 2010 to 2019.

5.1 Calculation results of regional green innovation efficiency in China

Table 4 shows that the average value of GIE from 2010 to 2019 is only 0.574, which is at a middle to lower level and has much more potential for improvement. The efficiencies of Beijing, Shanghai, and Zhejiang are equal to 1 per year, closely related to their leadership in green innovation. Meanwhile, the efficiencies of the eastern region are always much greater than that of the northeastern, central, and western regions, with an average value of 0.782 during the study period. The potential explanation is that most provinces in the eastern region belong to the coastal provinces and have unique geographical superiority in innovation development. The reasonable allocation of innovation resources can effectively stimulate regional innovation vitality and put its innovation development level at the forefront of the country. The eastern

TABLE 4 Evaluation values of GIE in 30 provinces in China from 2010 to 2019.

Region	Province	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	Average
Eastern	Beijing	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
	Tianjin	0.834	1.000	1.000	1.000	1.000	1.000	1.000	0.595	0.717	1.000	0.915
	Hebei	0.283	0.294	0.431	0.407	1.011	0.375	0.390	0.464	0.605	0.722	0.498
	Shanghai	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
	Jiangsu	0.827	1.000	1.000	0.780	0.866	0.793	0.744	0.916	0.796	0.731	0.845
	Zhejiang	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000
	Fujian	0.509	0.597	0.555	0.444	0.410	0.552	0.607	0.716	0.697	0.618	0.571
	Shandong	0.695	0.655	0.781	0.708	0.681	0.597	0.583	0.632	0.635	0.627	0.659
	Guangdong	0.955	0.862	0.829	0.753	0.783	0.851	1.000	1.000	1.000	1.000	0.903
	Hainan	0.464	0.415	0.390	0.379	0.323	0.344	0.640	0.409	0.419	0.474	0.426
Northeast	Liaoning	0.377	0.406	0.505	0.521	0.482	0.388	0.387	0.444	0.535	0.535	0.458
	Jilin	0.676	0.776	0.608	0.466	0.427	0.435	0.621	0.808	0.505	0.805	0.613
	Heilongjiang	0.195	0.284	0.333	0.296	0.282	0.304	0.330	0.443	0.476	0.489	0.343
Central	Shanxi	0.216	0.229	0.291	0.272	0.236	0.243	0.288	0.411	0.504	0.558	0.325
	Anhui	0.523	0.683	0.669	0.574	0.632	0.624	0.695	0.749	1.000	0.977	0.713
	Jiangxi	0.284	0.323	0.485	0.490	0.519	0.558	0.722	0.714	0.729	0.837	0.566
	Henan	0.324	0.336	0.352	0.465	0.473	0.488	0.460	0.560	0.630	0.530	0.462
	Hubei	0.386	0.389	0.466	0.479	0.514	0.517	0.581	0.599	1.000	0.707	0.564
	Hunan	0.462	0.588	0.708	0.692	0.775	0.780	0.774	0.698	0.680	0.669	0.683
Western	Inner Mongolia	0.218	0.175	0.236	0.205	0.174	0.178	0.199	0.353	0.447	0.513	0.270
	Guangxi	0.405	0.443	0.451	0.477	0.437	0.545	0.587	0.689	0.592	0.551	0.518
	Chongqing	1.000	1.000	0.860	0.756	0.905	1.000	1.000	0.786	0.653	0.616	0.858
	Sichuan	0.503	0.420	0.437	0.438	0.474	0.558	0.600	0.494	0.550	0.488	0.496
	Guizhou	0.381	0.394	0.387	0.419	0.528	0.557	0.472	0.508	0.560	0.610	0.482
	Yunnan	0.252	0.251	0.271	0.242	0.310	0.341	0.308	0.366	0.412	0.382	0.313
	Shaanxi	0.225	0.210	0.187	0.230	0.278	0.337	0.482	0.374	0.433	0.399	0.316
	Gansu	0.240	0.305	0.377	0.329	0.347	0.282	0.306	0.411	0.481	0.546	0.362
	Qinghai	0.077	0.099	0.085	0.088	0.104	0.233	0.283	0.334	0.593	0.547	0.244
	Ningxia	0.316	0.245	0.342	0.400	0.278	0.366	0.322	0.496	0.548	0.473	0.379
Xinjiang	0.341	0.273	0.284	0.318	0.403	0.510	0.465	0.577	0.622	0.631	0.442	
National average		0.499	0.522	0.544	0.521	0.555	0.559	0.595	0.618	0.661	0.668	0.574
Eastern		0.757	0.782	0.798	0.747	0.807	0.751	0.797	0.773	0.787	0.817	0.782
Northeast		0.416	0.489	0.482	0.428	0.397	0.376	0.446	0.565	0.505	0.610	0.471
Central		0.366	0.424	0.495	0.495	0.525	0.535	0.587	0.622	0.757	0.713	0.552
Western		0.360	0.347	0.356	0.355	0.385	0.446	0.457	0.490	0.536	0.523	0.425

provinces have effective environmental protection institutions available. They have more advanced technologies and state-of-the-art industrial production processes that consume less energy.

For an in-depth discussion of the regional differences in efficiency, Figure 2 draws the changing trends of GIE in four regions of China. This figure shows significant regional differences in regional GIE in China, showing prominent unbalanced distribution characteristics. Specifically, the eastern region has the highest average efficiency. It is the central zone of green innovation development in China, exhibiting a spatially differentiated characteristic of zones from eastern > northeast > central > western zones at the beginning of the period, later

evolving to the zonal difference characteristic of eastern > central > northeast > western zones at the end of the period. Then, from 2010 to 2019, the increase in efficiencies in the eastern region was slow (0.757 in 2010 V.S. 0.782 in 2019). The northeastern region (0.416 in 2010 V.S. 0.471 in 2019), central region (0.366 in 2010 V.S. 0.552 in 2019), and western region (0.360 in 2010 V.S. 0.425 in 2019) have significantly improved their efficiency. Finally, the regional gap in GIE has been narrowing year by year. From 2010 to 2019, in the eastern and central regions, this gap decreased from 0.391 to 0.230; in the eastern and western regions, it decreased from 0.397 to 0.357, and in the eastern and northeastern regions decreased from 0.341 to 0.311.

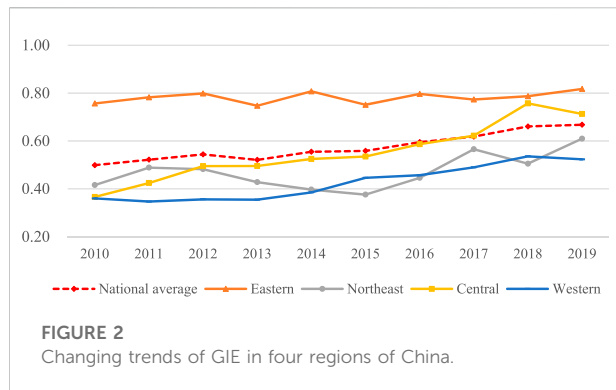


FIGURE 2
Changing trends of GIE in four regions of China.

5.2 Overall network structure characteristics

5.2.1 Drawing the spatial association network of China's regional green innovation efficiency

To present the overall structural features, the gravity value is calculated using Eq. 2, and this study obtains the binary correlation matrices using Eq. 3. Then, the binary correlation matrices are imported into the ArcGIS 10.8 visualization tool to display the spatial association network. To reveal its evolution, spatial association network maps were drawn every 3 years in 2010, 2013, 2016, and 2019 respectively (see Figure 3).

Figure 3 shows that China's regional GIE networks present features of "dense in the eastern" and "sparse in the western", which exhibits a more complex and multi-threaded spatial network association. The spatial associations are no longer restricted to spillover effects on the efficiency of green innovation in neighboring provinces. However, they are spatially associated with non-neighboring provinces, which indicates that a breakthrough from the traditional geospatial limitation has occurred. In the networks, the correlations of GIE are significantly higher in Shanghai, Beijing, Zhejiang, Jiangsu, and Guangdong provinces and cities than in other provinces. This phenomenon is mainly due to the better infrastructural conditions for innovation capital and talent in these regions, the high number of environmental protection institutions, and the well-developed infrastructure conducive to the exchange and cooperation of green technologies. In terms of the number of spatial relations, from 2010 to 2016, the number of relations remained at about 160. In 2018, the number of relations increased significantly to 183 (the maximum number of relations), with Jiangsu, Zhejiang, Inner Mongolia, and Jiangxi enjoying rising status in the network. In 2019, the number of relations dropped significantly to 156 (the minimum number of relations), mainly due to the sharp decline in the number of relations in Tianjin, Hebei, Liaoning, Jilin, and Heilongjiang, which was closely related to their GDP decline in 2019. Overall, China's regional GIE network presents typical spatial correlation characteristics with prominent non-equilibrium. It formed a

network structure with Beijing, Tianjin, and Yangtze River Delta as the core, showing an obvious eastern-intensive geographical differentiation pattern.

5.2.2 Overall network structure characteristic

The overall structure characteristics of a network are described with the help of four indicators: network relationship quantity, network density, network efficiency, and network hierarchy. UCINET6.0 software calculates the four indicators to obtain the results, shown in Figure 4.

From Figure 4, the correlation number and network density of regional GIE in China show an upward trend, while the network hierarchy and efficiency show a slow downward trend. Specifically, since 2010, the number of network relations has grown from 158 in 2010 to 165 in 2012, stabilized after 2012, and increased rapidly after 2017, reaching a peak of 0.210 in 2018, suggesting the spatial fluidity of green innovation factor resources has been growing. The network density increased slowly from 2010 to 2018 (0.182 in 2010 V.S. 0.210 in 2018). Still, in 2019, it dropped to 0.180 in some provinces and cities such as Tianjin, Hebei, Jilin, Heilongjiang, and Liaoning, which is deeply related to the decline in their economic development level. It should be pointed out that the number of spatial relations is relatively small during the observation period. There is still a noticeable gap with the maximum possible network relationships (30×29), which indicates that at the provincial level, spatial interaction and spillover effect of GIE are still weak. There is still more room for enhancing the inter-provincial synergistic impact of green innovation development. The overall network hierarchy fluctuates wildly and presents an oscillating downward trend, decreasing 31.42% from 0.630 in 2010 to 0.432 in 2019. The lowest value in 2018 is 0.187, reflecting the gradual disintegration of the internal network level structure. The network efficiency slightly declined, from 0.761 in 2010 to 0.759 in 2019, indicating many spillover channels in the network. Meanwhile, the inter-provincial transmission and spillover cost of GIE is reduced, and the stability of the network structure is gradually enhanced.

5.2.3 Individual network structure

Centrality analysis is employed to characterize the structure of individual networks. The study selected data with the maximum and the minimum number of network relationships in 2018 and 2019, respectively. Using the centrality tool in UCINET 6.0, the values of the three indicators of point centrality within and out degrees, closeness centrality degree, and betweenness centrality degree are determined. Table 5 describes the results.

5.2.3.1 Point centrality

The average point centrality degrees in 2018 and 2019 are 32.644 and 29.195, respectively. Six provinces and cities, including Beijing, Tianjin, Shanghai, Jiangsu, Zhejiang, and

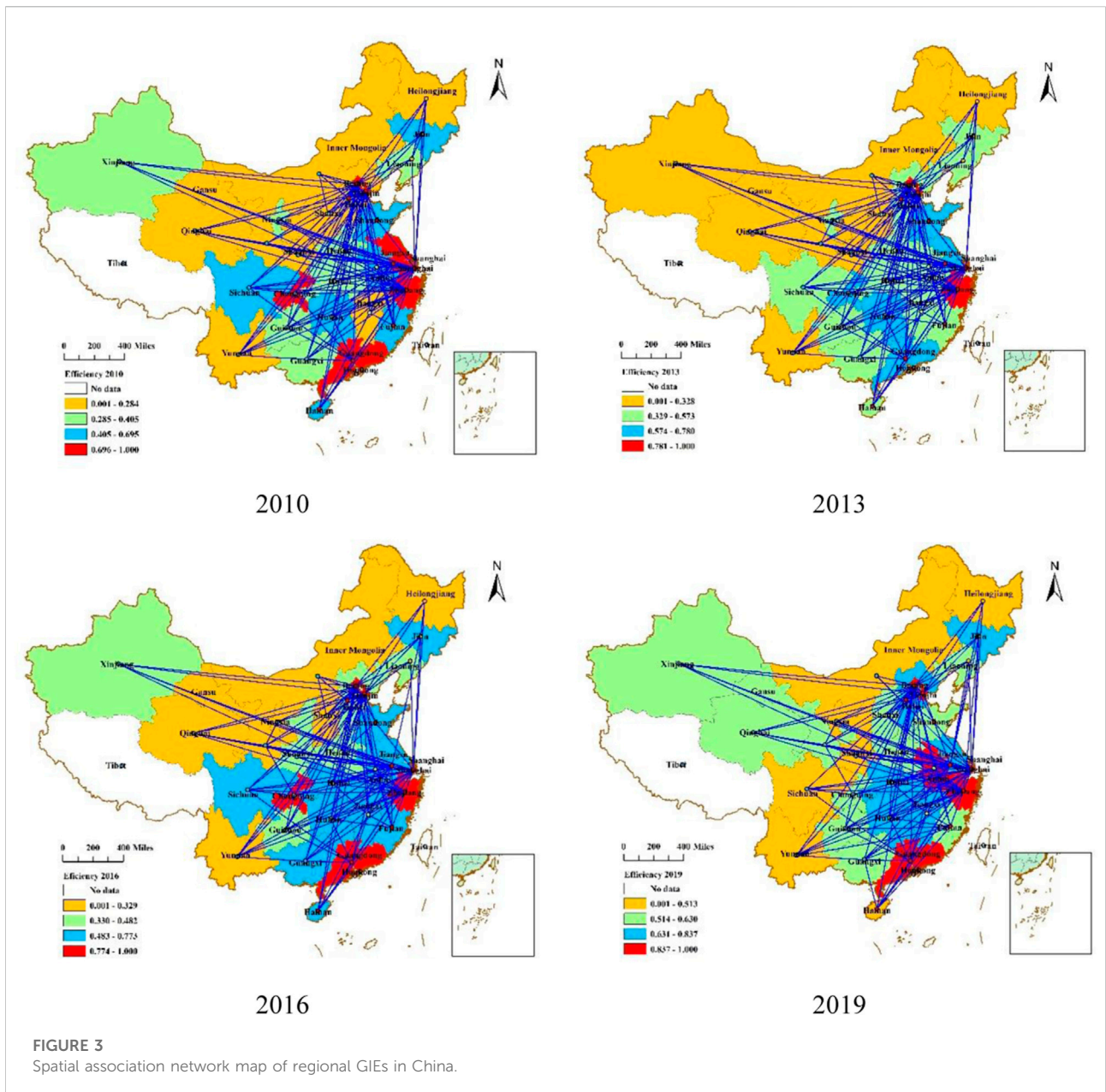
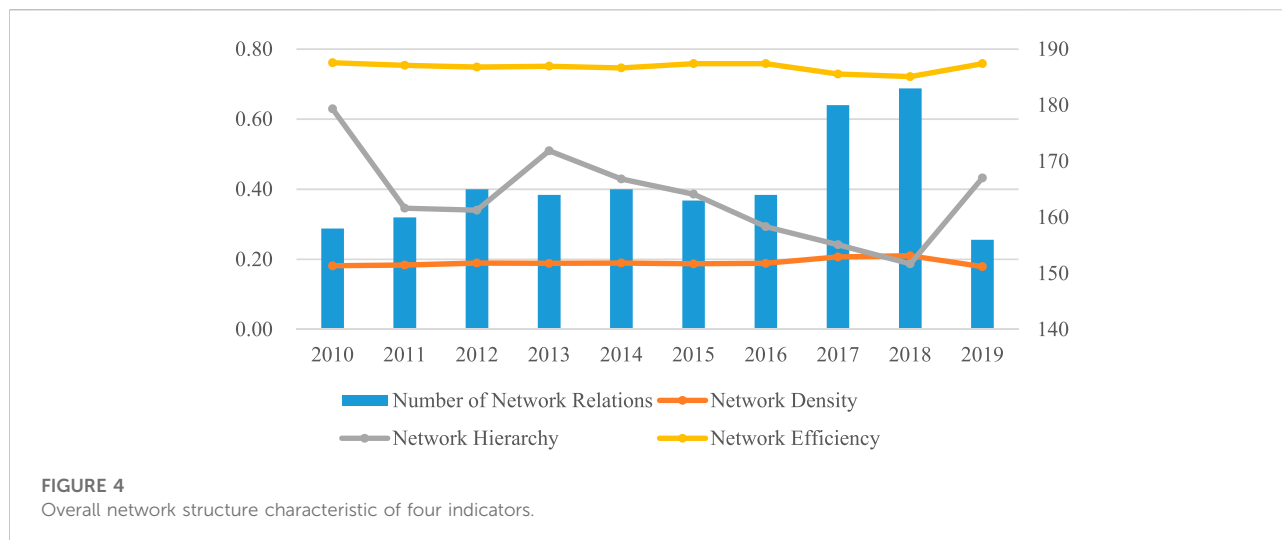


FIGURE 3 Spatial association network map of regional GIEs in China.

Guangdong, have higher than the average value; all are located in Beijing-Tianjin and Yangtze River Delta. These provinces occupy dominant positions in the network. Furthermore, these provinces and cities have point-in degrees always more significant than the average, which are the beneficiary members of the spatial association network. As for the point-out degrees, 11 provinces and cities, including Jiangxi, Hubei, Hunan, Guangdong, Guangxi, Hainan, Chongqing, Sichuan, Guizhou, Yunnan, and Gansu, have point-out degrees always more significant than the average, which are the overflow members of the spatial association network. Further exploring the spillover-benefit relationship, five provinces, including Beijing,

Tianjin, Shanghai, Jiangsu, Zhejiang, and Anhui, have point-in degrees consistently higher than the point-out degrees. The number of beneficial relationships in these regions is greater than the number of spillover relationships. They receive more spillover from other regions in the correlation network, showing noticeable beneficial effects. The reason is that these provinces and cities are situated in well-developed economic regions in China, with a solid economic foundation and the highest level of green innovation development and resource allocation. Especially with the formulation and enforcement of the unified regional development strategy, the capital, technology, and management methods conducive to green innovation



development have been spreading to these regions, showing a strong “siphon effect”.

5.2.3.2 Closeness centrality

The average values of the closeness centrality degree in 2018 and 2019 are 60.597 and 59.668, respectively. Five provinces and cities, including Beijing, Tianjin, Shanghai, Jiangsu, and Zhejiang, have closeness centrality degrees consistently more significant than the average. The findings show that Beijing-Tianjin and Yangtze River Delta regions can quickly establish their linkages with other provinces and perform a leadership role as hub actors in the network. In comparison, the remaining provinces (except Guangdong province in 2019) have below-average closeness centrality degrees, denoting that the other provinces have little network connections and play a fulcrum and conduction role.

5.2.3.3 Betweenness centrality

The average betweenness centrality degree in 2018 and 2019 are 2.438 and 2.537, respectively, indicating that the dominant role of central network nodes tended to weaken, and the network structure was characterized by evident disequilibrium. The betweenness centrality degrees of five eastern provinces, including Beijing, Tianjin, Shanghai, Jiangsu, and Zhejiang, consistently have higher than the average. These provinces have more control over resources and elements in the network and have a more vital ability to facilitate the establishment of connections among other provinces, acting as “intermediary” and “bridge” roles in the network. In contrast, western, central, and northeastern provinces maintain a low betweenness centrality degree. They have weak control over the spatial correlation of other provinces, which are easily changed by provinces with higher betweenness centrality degrees.

5.2.4 Block model analysis

A block model is an analytical tool that divides the role of each network node to explain network structure features (Su & Yu, 2019). Role division theory is based on the idea of clustering and rearranging the initial matrix with the method of cluster analysis to obtain a structurally coordinated matrix (White, Boorman, & Breiger, 1976). The role division method divides the network into four groups of blocks in terms of “net spillover”, “bidirectional spillover”, “broker”, and “primary beneficial”. Net spillover block usually involves fewer external relationships established through relationships with other block members rather than their own. In contrast, the bidirectional spillover block receives connections from both external and internal members. The broker block receives and transmits external ties but fewer internal, while the primary beneficial block involves numerous external relationships.

The data for the maximum and the minimum number of network relationships (2018 and 2019, respectively) are also selected. The CONCOR tool in UCINET6.0 software set the concentration standard as 0.2 and the maximum segmentation depth as 2. Four blocks were generated by this method. The results are presented in Figure 5 and Table 6.

From Figure 5, in 2018, four provinces and cities, including Beijing, Tianjin, Jiangsu, and Shanghai, belong to the Block I; three provinces, including Guangdong, Zhejiang, and Fujian, belong to the Block II; eight provinces, including Jilin, Inner Mongolia, Hebei, Heilongjiang, Shaanxi, Liaoning, Shandong, and Henan, belong to the Block III; 15 provinces and cities, including Jiangxi, Hunan, Hubei, Anhui, Guangxi, Hainan, Chongqing, Sichuan, Guizhou, Yunnan, Shaanxi, Gansu, Xinjiang, Qinghai, Ningxia, belong to the Block IV. In 2019, there is no change in the members of Blocks I and II, and the number of members of Blocks III and IV changes significantly. Among them, eight provinces and cities in Gansu, Liaoning,

TABLE 5 Network centrality analysis of spatial correlation of regional green efficiency in China.

Provinces	2018					2019				
	Point centrality degree			Closeness centrality degree	Betweenness centrality degree	Point centrality degree			Closeness centrality degree	Betweenness centrality degree
	Out	In	Center			Out	In	Center		
Beijing	7	25	86.207	87.879	17.837	4	26	89.655	90.625	26.407
Tianjin	6	23	79.310	82.857	14.276	1	12	41.379	63.043	3.652
Hebei	2	4	13.793	51.786	0.082	2	2	10.345	51.786	0.000
Shanxi	4	3	17.241	54.717	0.573	4	1	13.793	53.704	0.071
Inner Mongolia	4	1	13.793	51.786	0.082	2	0	6.897	51.786	0.010
Liaoning	4	2	13.793	53.704	0.059	4	0	13.793	53.704	0.071
Jilin	5	1	17.241	54.717	0.059	5	0	17.241	54.717	0.164
Heilongjiang	5	1	17.241	54.717	0.059	4	0	13.793	53.704	0.071
Shanghai	6	24	82.759	85.294	15.460	6	26	89.655	90.625	20.145
Jiangsu	2	21	72.414	78.378	10.289	3	22	75.862	80.556	12.575
Zhejiang	3	17	58.621	67.442	4.862	3	17	58.621	69.048	6.192
Anhui	4	7	27.586	58.000	0.716	4	6	24.138	56.863	0.305
Fujian	6	6	31.034	59.184	0.732	6	6	31.034	59.184	0.808
Jiangxi	8	6	27.586	58.000	0.508	7	6	24.138	56.863	0.305
Shandong	6	3	24.138	56.863	0.936	5	2	17.241	54.717	0.575
Henan	6	3	20.690	55.769	0.226	5	0	17.241	54.717	0.164
Hubei	8	5	34.483	60.417	0.541	7	3	24.138	56.863	0.168
Hunan	8	4	27.586	58.000	0.508	7	3	24.138	56.863	0.305
Guangdong	8	8	34.483	58.000	1.341	8	7	34.483	60.417	1.136
Guangxi	7	2	24.138	56.863	0.432	6	3	24.138	56.863	0.206
Hainan	7	1	24.138	56.863	0.432	7	1	24.138	56.863	0.206
Chongqing	9	4	31.034	59.184	0.357	6	4	24.138	56.863	0.287
Sichuan	7	1	24.138	56.863	0.361	6	1	20.690	55.769	0.216
Guizhou	9	2	31.034	59.184	0.666	7	2	24.138	56.863	0.366
Yunnan	9	2	31.034	59.184	0.666	7	0	24.138	56.863	0.366
Shaanxi	6	1	20.690	55.769	0.136	5	0	17.241	54.717	0.063
Gansu	9	4	31.034	59.184	0.383	8	4	31.034	59.184	0.783
Qinghai	6	1	20.690	55.769	0.136	6	1	20.690	55.769	0.164
Ningxia	6	1	20.690	55.769	0.136	6	1	20.690	55.769	0.164
Xinjiang	6	0	20.690	55.769	0.300	5	0	17.241	54.717	0.164
Average	6.1	6.1	32.644	60.597	2.438	5.2	5.2	29.195	59.668	2.537

Ningxia, Xinjiang, Qinghai, Shanxi, Shaanxi, and Chongqing changed from the Block IV to Block III, the membership of Block IV decreased sharply to only eight provinces and cities, and the membership of Block III increases steeply to 14 provinces. In summary, the members of Block I and Block II are the eastern economically developed provinces, while the members of Block III are mostly provinces in the northeast and western regions, and the members of Block IV are primarily from the central and western provinces.

Table 6 shows that the total number of maximum relations in the spatial association network of GIE in 2018 is 183. The proportions of

inside and outside block relations are 15.846% and 84.154%, respectively. In contrast, the total number of minimum relations in 2019 decreased to 156, and the inside- and outside-block relations proportion are 11.538% and 88.462%, respectively. The spatial spillover effect of regional GIE in China is dominated by outside block spillover. Specifically, in 2018, Block I has 21 sending and 93 receiving relations, respectively. The expected ratio of internal relations (10.345%) exceeds the actual ratio (9.524%). Block I receives more relations than it sends and belongs to a “primary beneficial” block; Block II has 17 sending and 31 receiving relations, respectively. The expected ratio of internal relations (6.897%) exceeds the actual

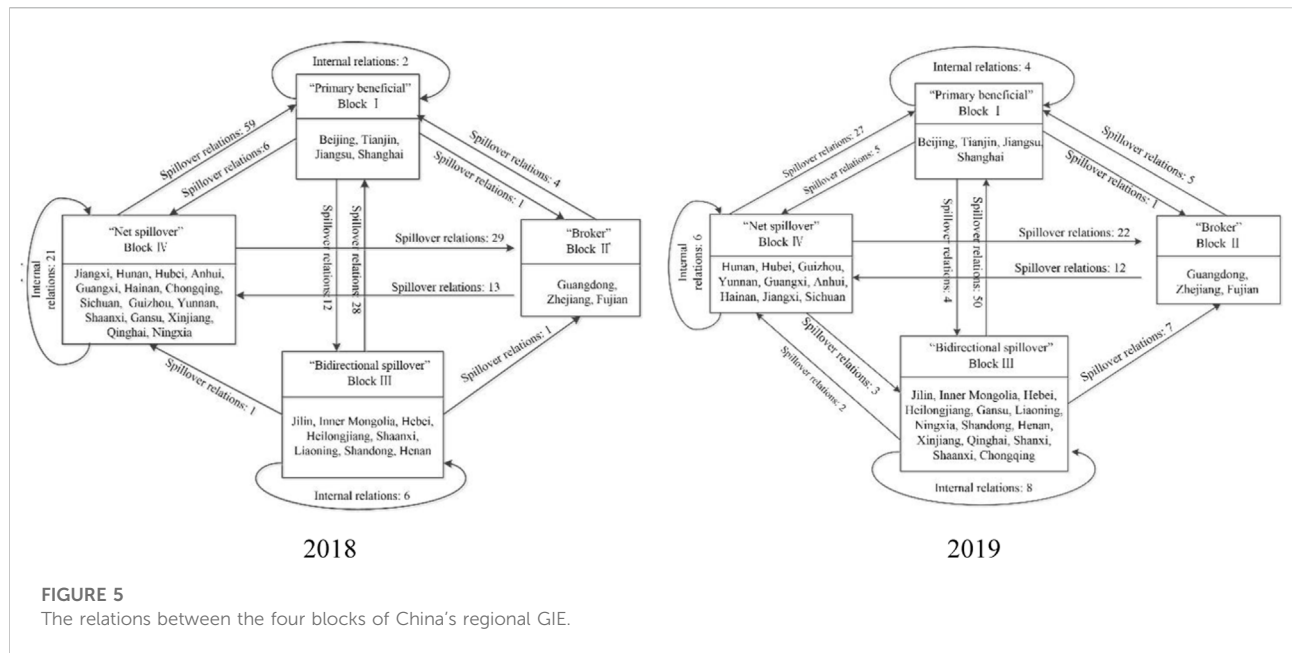


FIGURE 5 The relations between the four blocks of China's regional GIE.

TABLE 6 The spillover effect of four blocks of spatial association network of GIE in China.

Block	Number of receiving relations		Number of sending relations		Expected internal relations ratio (%)	Actual internal relations ratio (%)	Block role
	Inside the block	Outside the block	Inside the block	Outside the block			
Block I	2/4	91/82	2/4	19/10	10.345/10.345	9.524/28.571	Primary beneficial
Block II	0/0	31/30	0/0	17/17	6.897/6.897	0.000/0.000	Broker
Block III	6/8	12/7	6/8	30/59	24.138/44.828	16.667/11.940	Bidirectional spillover
Block IV	21/6	20/19	21/6	88/52	48.278/27.586	19.266/10.345	Net spillover

Notes: The numerator and denominator represent the data for 2018 and 2019, respectively.

ratio (0.000%). The receiving and the sending relations of Block II only come from outside the block, acting as a “bridge” and “intermediary” in the network, and Block II belongs to a “broke” block; Block III has 36 sending and 18 receiving relations, respectively. The expected ratio of internal relations (24.138%) exceeds the actual ratio (16.667%). The receiving and the sending relations of Block III occur both inside and outside the block, so it belongs to a “bidirectional spillover” block; Block IV has 109 sending and 41 receiving relations, respectively. The expected ratio of internal relations (48.278%) exceeds the actual ratio (19.266%). There are significantly more sending relations in Block IV than receiving relations, and Block IV represents a “net overflow” block. In contrast, in 2019, the number of internal receiving and sending relations of Blocks I and II remained unchanged, indicating that Blocks I and II tended to be stable. In contrast, in 2019, the number of receiving and sending relations of Blocks I and II remain unchanged, and the correlation network tends to be stable, consistent with the

depiction in Figure 5 (no change in the members of Blocks I and II)). The number of sending relations in Block III increases significantly, but the number of receiving relations decreases slightly, weakening the “bidirectional spillover” effect. The number of receiving and sending relations inside Block IV decreases significantly, indicating that the “net spillover” effect is significantly reduced, and the interaction among the members in Block IV is diminished.

In order to deeply study the spillover relationship among the four blocks, this paper calculates the density matrix of each block and converts it into an image matrix² (see Table 7), and draws the simplified spillover effect flow chart of the four blocks (see Figure 6). The arrow lines and arcs depict the spillover

² The image matrix can be obtained by the following method: When the density value is higher than the average, the image density is 1; When the density value is lower than the average, the image density is 0.

TABLE 7 The density matrix and image matrix of spatial association network of GIE in China.

Block	Density matrix				Image matrix			
	Block I	Block II	Block III	Block IV	Block I	Block II	Block III	Block IV
Block I	0.167/0.333	0.083/0.083	0.100/0.071	0.375/0.139	0/1	0/0	1/0	0/0
Block II	0.333/0.417	0.000/0.000	0.289/0.000	0.000/0.444	1/1	0/0	0/0	1/1
Block III	0.875/0.893	0.042/0.167	0.107/0.044	0.008/0.016	1/1	0/0	0/0	0/0
Block IV	0.983/0.750	0.644/0.815	0.000/0.024	0.100/0.083	1/1	1/1	0/0	0/0

Notes: The numerator and denominator represent the data for 2018 and 2019, respectively.

relations within and between the blocks. In 2018, Block I received the spillover from Blocks II, III, and IV I, indicating that more innovative resources and energy consumption in economically developed provinces come from resource-rich provinces. Block II receives the spillover from Block IV and spillovers to Block IV and Block I. The bidirectional flow trend of green innovation resources between the central, western, and part of the eastern regions strengthens the spillover relations between Block II and Block IV. Block II performs the role of an “intermediary” in the network. Block III receives the spillover of block I, indicating that green innovation resources in eastern provinces can be shared and enhance the frequency of interaction between the two blocks. By comparison, in 2019, Block I increased its internal spillover effect. Still, there is no spillover effect with Block III, which somewhat reduces the spillover relationship between Block I and Block III. The spillover effects of other blocks remain consistent in 2018, and the spillover effects between the blocks generally tend to be stable.

5.3 Factors affecting the spatial correlations network

5.3.1 QAP correlation analysis

In this section, the QAP model is performed to conduct the correlation analysis to explore the spatial correlation matrix of regional GIE in China and its potential influencing factors from 2010 to 2019. Table 8 shows the correlation analysis results of driving factors and regional GIE from 2010 to 2019. According to the correlation analysis results, it can be seen that all variables have passed the significance level test. The correlation coefficient of Dif_Er has a significant negative correlation with the spatial correlation of regional GIE, which reflects that the similarity of environmental regulation can promote the spatial correlation of regional GIE. The correlation coefficient of Adj, Dif_Infr, Dif_Indu, Dif_Open, Dif_Urban, Dif_Pgdp, and Dif_Sti have significant positive correlations, suggesting that the greater differences in such variables can strengthen the spatial association network. The QAP correlation analysis results show significant correlations among multiple factors, which can solve the multicollinearity

problem among independent variables to a certain extent. In this study, the inter-provincial differences of eight variables of Adj, Dif_Infr, Dif_Er, Dif_Indu, Dif_Open, Dif_Urban, Dif_Pgdp, and Dif_Sti were selected for QAP regression analysis with the spatial intensity of regional GIE.

5.3.2 QAP regression analysis

The QAP regression analysis was performed for the data from 2010 to 2019, year by year, with the following principles: 5,000 random permutations were chosen, and UCINET6.0 software was used to obtain the spatial correlation coefficients between the spatial correlation matrix of regional GIE and driving factors. The results are reported in Table 9. The results show that the Adj, Dif_Infr, Dif_Urban, and Dif_Pgdp all pass the significance test (at least 10% statistical level), indicating that these four variables are the significant factors affecting the spatial association network strength of regional GIE in China. In Table 9, Adj-R² is in the range of 0.312–0.347, all of which have passed the significance test at the 1% level. The good fitting effect indicates that the selected driving factor variables can effectively explain the changes in the spatial connection of GIE in China.

The geographical spatial adjacency (Adj) factor has a positive regression coefficient at 1% significance test. Geographical proximity can reduce the costs of transmission and spillover of green innovation resources between provinces (Liu et al., 2021), which enhances the frequency of innovation exchange activities and facilitates the resource flow, as well as the phenomenon of “club convergence”. This finding is consistent with the block analysis in Figure 5, where Fujian, Guangdong, and Zhejiang are clustered into block II. Therefore, the closer the region is, the stronger the correlation of regional GIE and the more affected it is by the surrounding regions. The standardized regression coefficients of Dif_Pgdp range from 0.339 to 0.535, which are significant at the 1% level; the standardized regression coefficients of Dif_Infr range from 0.047 to 0.092, and those of Dif_Urban range from 0.095 to 0.192 (except in 2010), all of which are significant at more than 10% level. It suggests that when the other factors stay unchanged, Dif_Pgdp, Dif_Infr, and Dif_Urban significantly affect the strength of this spatial association network. Specifically, Beijing, Tianjin, Jiangsu, and

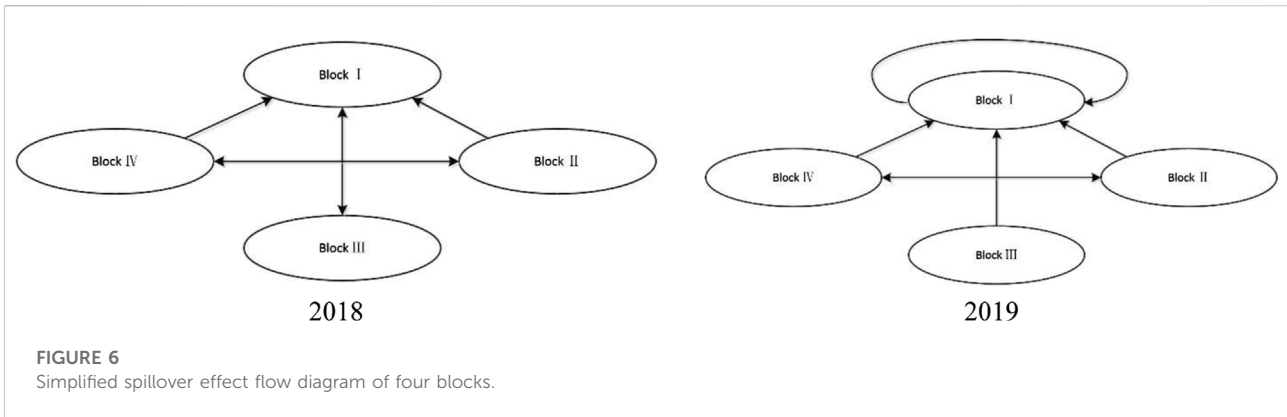


TABLE 8 GAP correlation analysis results of driving factors and regional GIE (2010–2019).

Variable	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Adj	0.087***	0.092***	0.151***	0.136***	0.126***	0.111***	0.104***	0.128***	0.155***	0.149***
Dif_Infr	0.125***	0.117***	0.133***	0.117***	0.116***	0.131***	0.124***	0.123***	0.074***	0.102***
Dif_Er	-0.063**	-0.046*	-0.085*	-0.101**	-0.101**	-0.094**	-0.143***	-0.115**	-0.140***	-0.119***
Dif_Indu	0.161**	0.15**	0.152**	0.167	0.133*	0.148**	0.146***	0.151**	0.143**	0.214**
Dif_Open	0.360***	0.386***	0.334***	0.367	0.366***	0.362***	0.410***	0.328***	0.301***	0.262***
Dif_Urban	0.511***	0.508***	0.475***	0.493***	0.488***	0.511***	0.510***	0.486***	0.473***	0.428***
Dif_Pgdp	0.541***	0.527***	0.503***	0.513***	0.505***	0.546***	0.529***	0.542***	0.529***	0.496***
Dif_Sti	0.372***	0.387***	0.378***	0.393***	0.357***	0.350***	0.381***	0.359***	0.350***	0.358***

Note: *, **, *** represent significance at 10% level, 5% level, and 1% level.

TABLE 9 Results of GAP regression analysis (2010–2019).

Variable	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Adj	0.187***	0.184***	0.240***	0.236***	0.212***	0.191***	0.209***	0.225***	0.244***	0.233***
Dif_Infr	0.081**	0.073**	0.092***	0.076**	0.077**	0.075***	0.063**	0.066***	0.047*	0.084***
Dif_Er	-0.039	-0.098***	-0.061**	-0.041	-0.041*	-0.068**	-0.005	-0.023	-0.049**	-0.038
Dif_Indu	0.016	0.015	0.034	0.034	0.014	0.027	0.048	0.082***	0.061	0.086***
Dif_Open	0.047	0.072**	0.048	0.081**	0.059*	0.036	0.017	-0.019	-0.039	-0.032
Dif_Urban	0.092	0.139**	0.095*	0.102*	0.158**	0.177**	0.192***	0.106**	0.134**	0.224***
Dif_Pgdp	0.424***	0.344***	0.384***	0.364***	0.339***	0.355***	0.432***	0.535***	0.517***	0.424***
Dif_Sti	0.037	0.064*	0.044	0.046	0.015	-0.004	-0.081**	-0.096***	-0.093**	-0.128**
R ²	0.339	0.337	0.329	0.336	0.317	0.334	0.350	0.353	0.349	0.319
Adj-R ²	0.334	0.332	0.324	0.331	0.312	0.329	0.345	0.347	0.344	0.313
p-value	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000

Note: Coefficients are standardized regression coefficients.

Shanghai are the regions with high levels of economic development. They have the advanced infrastructure, high urbanization, and convenient information flow, which can frequently exchange and cooperate with their more different

regions in green innovation activities and strengthen the spatially associated network of regional GIEs. Consequently, these regions not only act as “intermediary” and “bridge” roles in the network (see Table 5) but also benefit from spatial spillover effects (see

Figure 5). After 2016, the standardized regression coefficients of the Dif_Sti are significantly negative, suggesting that a similar STI can facilitate strengthening the spatial association network. Analogous to the study of Liu et al. (2021), China's regions with high green technology levels are mainly located in the eastern region (see Table 4). The smaller the differences in STI levels in these regions, the more convenient the flow of innovation factors such as human resources, capital, knowledge, and technology contributes to strengthening the spatial association network of regional GIE. Conversely, the greater the differences in STI levels, such as the gap between eastern and western regions shown in Figure 2, the existence of technological barriers will also hinder the development and expansion of the spatial association network.

Three factors of the Dif_Indu , Dif_Open , and Dif_Er have passed the significance test only for individual years. Three driving factors on the spatial association network of Chinese regional GIE are relatively weak and have stage characteristics. One possible explanation is that China's complementary and misaligned regional industrial division system weakens the impact of industrial structure differences. In recent years, the dependence of China's economic development on foreign trade has gradually decreased, weakening the impact of the difference in openness to the outside world. In addition, similar environmental regulations mean that these regions have roughly the same degree of environmental pollution and have similar demands for resource elements needed for green innovation development, promoting the formation of spatial correlation relationships of regional GIE. Furthermore, environmental regulation policies mainly affect industrial enterprises, and their influence on regions is small, which weakens the influence of environmental regulation differences on the spatial association network strength of regional GIE.

According to the above findings, the differences arising from geographical adjacency factors are inevitable. The local governments should organize regular communication activities to share their advanced innovative approach and philosophy to accomplish some degree of consensus and cooperation. The relevant government departments in each region should organize regular exchange activities to share their advanced innovative methods and ideas in order to reach a certain degree of consensus on cooperation. In this way, the problems caused by geographical differences can be overcome to the greatest extent. By comparison, in the current period, differences in infrastructure, differences in economic development, differences in R&D intensity, and differences in urbanization rates are inherent attributes of each region. Therefore, regional governments should increase infrastructure construction, balance local economic development, improve the intensity of science and technology innovation, adjust the population structure, and strengthen the excellent office role of the related departments to facilitate inter-regional exchanges and cooperation.

6 Conclusion and policy implications

This study aims to examine the spatial spillover effects of regional GIEs in China from a network perspective. In response to the current situation of their spatial association networks, the main works of this article are to analyze the characteristics of their overall and individual network structures, explore their block clustering and identify their driving factors. Based on the detailed empirical evidence in this paper, the following conclusions can be drawn:

- 1) China's regional GIE is low (the average value is 0.574) from 2010 to 2019, with much space for promotion. In terms of spatial distribution, there is a significant non-equilibrium, gradually showing a zonal divergence over time as the eastern region > central region > northeastern region > western region (see Figure 2). At the cross-provincial level, the efficiency values of Beijing, Shanghai, and Zhejiang in the eastern region consistently equal 1 per year. In contrast, in the western region, those of Inner Mongolia, Shanxi, Qinghai, Anhui, Guizhou, Gansu, Ningxia, and Xinjiang are lower.
- 2) In terms of the network structure characteristics, during the research period, the network of GIE at the regional level in China exceeded the conventional geographic spatial constraints. It displayed a relatively complicated and cross-threaded network association (see Figure 3). However, the network association number is still far from the maximum possible network relationship number, and the network association structure is relatively loose. Meanwhile, the spatial association network has a specific hierarchical gradient, showing the characteristics of "dense in the eastern" and "sparse in the western". Hence, increasing the network density and reducing the network hierarchy are the critical elements to reaching the green and sustainable development goals in China's region. These findings support Ethier (1998)'s proposal for a new concept of new regionalism, whose principles advocate regional integration and coordinated development. Therefore, the joint regional innovation development strategy offers a new way of promoting green development *via* innovation at this stage.
- 3) The block model analysis shows that the spatial spillover effect of regional GIE in China is dominated by the spillover outside the block (see Table 6 and Figure 6). Specifically, provinces and cities with high GIE (such as Beijing, Tianjin, Jiangsu, and Shanghai) have no significant impact on the connectivity of other provinces and cities. Nevertheless, these provinces and cities gain more significant green innovation linkage benefits from the others with less outflow of their green innovation factors. By comparison, other eastern economically developed provinces (e.g., Zhejiang, Guangdong, and Fujian) have close spatial connections with other regions in the network and act as "intermediaries" for factor communication. Furthermore, Due to the over-exploitation of natural resources (Yang et al., 2019), the efficiency of green innovation in the northeast region of China (e.g., Liaoning, Jilin, and Heilongjiang) has been

stagnant, and the bidirectional spillover effect tends to weaken. The central and western regions with lower GIE play a net spillover role in the network. Therefore, more efforts should be made to focus on green innovation and cleaner production investment in these provinces.

- 4) Five driving factors of The Adj, Dif_Infr, Dif_Urban, Dif_Pgdp, and Dif_Sti are the fundamental factors influencing the formation of spatial association networks in each province. Among them, Dif_Infr, Dif_Urban, and Dif_Pgdp have positive and significant effects, which are conducive to the formation of a tight spatial association network, while Dif_Sti has a negative effect, which is not conducive to strengthening the spatial association network strength. Three driving factors, Dif_Indu, Dif_Open and Dif_Er, have less influence on the spatial association network.

Based on the results of the study, the following implications are made for the future development of regional GIE in China:

- 1) From the national level perspective, the spatial correlation should be regarded as a new regional innovation and development engine. The government should implement several measures. For example, it is developing and constructing spatial spillover ties. It is necessary to improve the spatial overflow mechanism and system of GIE. The inter-provincial spatial connection of China's GIE needs to be strengthened.
- 2) From the regional perspective, local governments should optimize the green industry structure and pay attention to win-win cooperation with other provinces. Specifically, the eastern region should maximize its radiating and driving effect on other regions. The northeast and western regions, increase its connection with less developed regions and balance the receiving and spillover relationship with provinces and cities to improve the regional GIE significantly. Meanwhile, the central and western regions should reduce their own spillover effects as much as possible and enhance their transmission mechanisms. For example, they can enhance the investment in green innovation technology, deepen the reform of the pollution governance system, and promote regional balance and coordination, so as to improve the efficiency of green innovation in China's regions
- 3) Emphasis should be placed on reinforcing the linkages of green innovation resource elements in the four inter-regions, particularly between the northeast and western regions, and break the segmentation between regions to promote the regional joint and collaborative improvement of GIE. Meanwhile, it further strengthens the inter-provincial green innovation connection in the eastern region, particularly the inter-provincial linkage in the north-south direction, and increases the correlation density in the eastern region.
- 4) It is necessary to emphasize the role of spatial adjacency within the green innovation network. Attention is paid to the

coordination and enhancement of GIE between the provinces with relatively short geographical distances, minor differences in economic development, and significant technological innovation differences to promote the overall improvement of GIE in China. Furthermore, the administrative authorities should optimize the investment environment, actively transfer green industries from the eastern region based on resource carrying and environmental capacity, and form a green industrial division of labor layout with staggered and coordinated development.

There are still some deficiencies in this study. First, the spatial clustering mode of regional GIE and the block transmission mechanism are still unclear. Subsequently, the agglomeration type of small groups can be identified by the cohesive subgroup analysis method of the SNA to reveal the block interaction pattern of the spatial association network. Second, this paper only analyzes the spatial association network of GIE at the regional scale in China. In the future, we can refine the research scale to explore the dynamic association relationship and spillover effect of GIE at different spatial scales. Third, forming a spatial association network of regional GIE results from the joint action of many factors. Other factors, such as differences in digitalization level and financing environment between regions, have not yet been considered. Further studies are needed to explore other possible driving factors to grasp more comprehensively the driving mechanism of the spatial correlation of regional GIE. In addition, when measuring the regional GIE, the cross-efficiency method can be considered, and the self-evaluation and peer-evaluation mechanisms of the cross-efficiency method can be considered to obtain the efficiency evaluation matrix. All the above-proposed aspects are important directions that deserve to be deepened.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

Author contributions

Writing—original draft preparation, HZ; writing—review and editing, HL, KZ.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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