



# Responses of Land-Use Changes to Drought and its Disparate Impact on Livelihoods of Farmers and Herders in the Agro-Pastoral Ecotone of Northwestern China

Bailin Zhang<sup>1</sup>, Ruiyang Ma<sup>2</sup>, Yanbo Qu<sup>3\*</sup>, Yan Li<sup>4\*</sup>, Guanghui Jiang<sup>5</sup> and Jian Zhou<sup>6</sup>

<sup>1</sup>School of Environmental Science and Engineering, Tiangong University, Tianjin, China, <sup>2</sup>School of Economics and Management, Tiangong University, Tianjin, China, <sup>3</sup>School of Public Administration of Policy, Shandong University of Finance and Economics, Jinan, China, <sup>4</sup>Land Reserve Center of Jinan, Jinan, China, <sup>5</sup>School of Natural Resource, Faculty of Geographical Science, Beijing Normal University, Beijing, China, <sup>6</sup>Northwest Land and Resources Research Center, Shaanxi Normal University, Xi'an, China

## OPEN ACCESS

### Edited by:

Wenqiu Ma,  
China Agricultural University, China

### Reviewed by:

Piling Sun,  
Qufu Normal University, China  
Kangchuan Su,  
Southwest University, China  
Yan Zhou,  
Yunnan Normal University, China

### \*Correspondence:

Yan Li  
29521462@qq.com  
Yanbo Qu  
qyb20126008@sdufe.edu.cn

### Specialty section:

This article was submitted to  
Land Use Dynamics,  
a section of the journal  
Frontiers in Environmental Science

Received: 27 April 2022

Accepted: 16 May 2022

Published: 30 June 2022

### Citation:

Zhang B, Ma R, Qu Y, Li Y, Jiang G and Zhou J (2022) Responses of Land-Use Changes to Drought and its Disparate Impact on Livelihoods of Farmers and Herders in the Agro-Pastoral Ecotone of Northwestern China. *Front. Environ. Sci.* 10:930300. doi: 10.3389/fenvs.2022.930300

The agro-pastoral ecotone is an ecologically fragile region where drought is the main factor influencing land use and livelihoods. In this paper, we took two farmer villages and two herder villages in Ar Horqin Banner, located in the agro-pastoral ecotone of northwestern China, as the research areas, and where we conducted participatory rural appraisal and questionnaire survey to analyze the responses of land-use changes to drought and its disparate impact on the livelihoods of farmers and herders. Results show that: 1) Under drought, farmers tended to abandon rain-fed land, and herders tended to abandon grassland. 2) The livelihood activities of farmers were more stable than those of herders under drought. Farmers abandoned rain-fed farming, and herders just retained cattle rearing. The per capita net income of each farmer in Pingandi and Fenghuangling in the drought year of 2016 was only 9.27% and 12.52% lower than those in 2012, respectively, which was 132.88% and 128.25% lower than those in 2012 of each herder in Wuriduhubu and Haolibao. 3) Diversified livelihoods, especially non-agricultural ones, are the key to ensuring the sustainable livelihoods of farmers and herders. It is an effective way for farmers to encourage more labor force to emigrate to non-agricultural sectors. Regarding herders, it is urgent to develop artificial pastures and animal products processing industry with the support of government.

**Keywords:** land use change, livelihood, farmer, herder, drought, agro-pastoral ecotone of northwestern China

## 1 INTRODUCTION

The agro-pastoral ecotone in northwestern China, a transitional zone between the western desert and the eastern agricultural areas, is an ecologically fragile region with drought as the main stressor on ecology (Meng et al., 2015; Liu et al., 2020). The coexistence of farming and animal husbandry is the main mode of agricultural production, and the inlay of cultivated land within grassland is the main landscape (Yang and Wang, 2019; Liu, 2021). The ecological equilibrium has been broken by the increased intensity of land uses and drought episodes, which aggravates the fragility of ecosystems (Wang F. et al., 2012; Han et al., 2018). Coping with the threat of drought is a major challenge to sustainable development in the agro-pastoral ecotone (Tang et al., 2012; Lei et al., 2016; Martin et al., 2016; Zhao et al., 2019).

**TABLE 1** | The socioeconomic status of farmer villages in 2016.

Village	Population	Households	Rain-fed land/ha	Irrigated land/ha	Sheep	Non-agricultural workers
Pingandi	1,377	623	67	600	10,000	500
Fenghuangling	1,389	596	180	340	30,00	550

1) Non-agricultural workers refer to those engaged in non-agricultural sectors.

**TABLE 2** | The socioeconomic status of herder villages in 2016.

Village	Population	Households	Sheep	Cattle	Grassland/ha
Wuriduhubu	1,119	428	28,000	6,000	10,667
Haolibao	706	275	13,000	4,500	8,000

In the area, previous research has focused on the temporal and spatial changes of cultivated land or grassland (Zarafshani et al., 2012; Tesfa and Mekuriaw, 2014; Yu, 2016). It is an inefficient approach for determining differences in the changes of the two land use types as well as the socioeconomic effects of these changes by not putting both land use types into a research framework (Wang et al., 2020; Liu et al., 2021; Tao et al., 2021). The main reasons for land-use changes are eco-environmental factors and effects related to climate change and human activities (Ma et al., 2020; Li et al., 2021; Xue et al., 2021). Studies have focused on the livelihoods of rural residents under climate change and their coping strategies (Liu et al., 2012; Song et al., 2015; Wu et al., 2017). The livelihood vulnerability of farmers under climate change declines as their livelihood diversification increases (Wang J. et al., 2012; Chen et al., 2014). Diversified livelihoods can mitigate the impact of climate change on the quality of life of farmers (Paavola, 2008; Liao et al., 2015). In contrast, herders rely on animal husbandry, and their livelihoods severely affected by the degradation of the quality of grassland (Mogotsi et al., 2012; Zhang et al., 2012; Majekodunmi et al., 2014; Beyene, 2016; Tan et al., 2018). Hence, the stability of livelihoods of farmers and herders is different under drought (Roncoli et al., 2001; Yan et al., 2010; Liu et al., 2011). Despite this disparity, current research has mostly focused on the impact of drought on the livelihoods of farmers and herders in the agro-pastoral ecotone of China, collectively, which is not a robust approach when seeking to compare and contrast the different challenges these two groups of rural residents face under ecological stress.

Research has shown that the agro-pastoral ecotone of China is subjected to an increasing trend of interannual drought, and its degree has been aggravated in the past 55 years and is expected to be further aggravated (Guo et al., 2021). Based on the above arguments, we investigated two farmer villages and two herder villages in agro-pastoral ecotone of Ar Horqin Banner (AHB, Banner equivalent to County) with the intention of determining the socioeconomic challenges faced by its farmers and herders under drought. The goals are: 1) To investigate the main land resources of farmers and herders and their changes; 2) to outline and understand the differences of livelihoods between farmers and herders and the challenges they face; and 3) to suggest

policies which would promote the improvement of the livelihoods of farmers and herders to resist and mitigate negative impacts of drought.

## 2 MATERIALS AND METHODS

### 2.1 Study Area

The AHB is located in the Inner Mongolia Autonomous Region of northwestern China (**Figure 1**), characterized by a mid-latitude, temperate, semi-arid, continental monsoon climate. The geographical coordinates which bound the domain are 119°02'–121°01'E, 43°21'–45°24'N and the annual average rainfall is only 320–400 mm. The AHB is endemic to competition between farming and animal husbandry. The southwest of AHB is farming areas while others are pastoral areas. Grassland and cultivated land are the most important land-use types, covering 777,522 and 138,599 ha in 2016, respectively, accounting for 58.72% and 10.47% of the total area. In terms of being seriously affected than other villages, the villages of Pingandi, Fenghuangling, Wuriduhubu, and Haolibao were selected. The farmer villages of Pingandi and Fenghuangling have residents who are farmers. The herder villages of Wuriduhubu and Haolibao, called “gacha” in Mongolian, have residents who are herders. The cultivated lands of farmers include rain-fed land and irrigated land, and most crops are ripe once a year. The irrigation period is mainly from May to August, while the rain-fed land is completely dependent on precipitation. In addition, farmers engage in non-agricultural employment. For herders, grassland is the main land resource. They engage in animal husbandry, mainly sheep and cattle rearing. The agricultural livelihoods of rural residents in the study areas mainly rely on natural conditions, especially precipitation, causing drought to become the main factor affecting land uses and livelihoods. The AHB experienced a four-year drought from 2013 to 2016, which significantly impacted land uses, flora coverage, and consequently the livelihoods of farmers and herders.

### 2.2 Data Acquisition and Processing

From 2012 to 2016, the annual precipitation of AHB represented a decreasing trend, with 561.1, 307.0, 313.3, 212.2, and 408.3 mm, respectively. Due to the continuously decreasing precipitation from 2012 to 2015, the degree of drought reached its peak in 2016. The methods of participatory rural appraisal and questionnaire survey were employed to investigate the land uses and livelihoods of both farmers and herders in 2012 (the non-drought year) and 2016 (the drought year). First, we conducted face-to-face

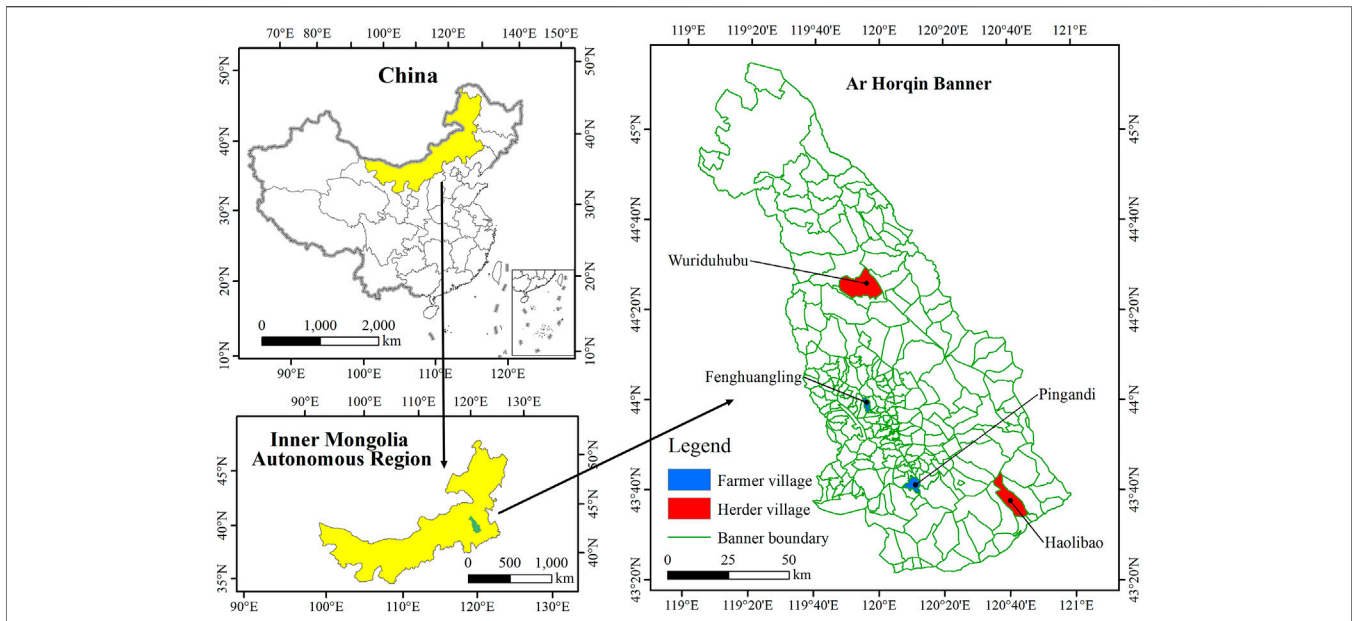


FIGURE 1 | Location of the study area.

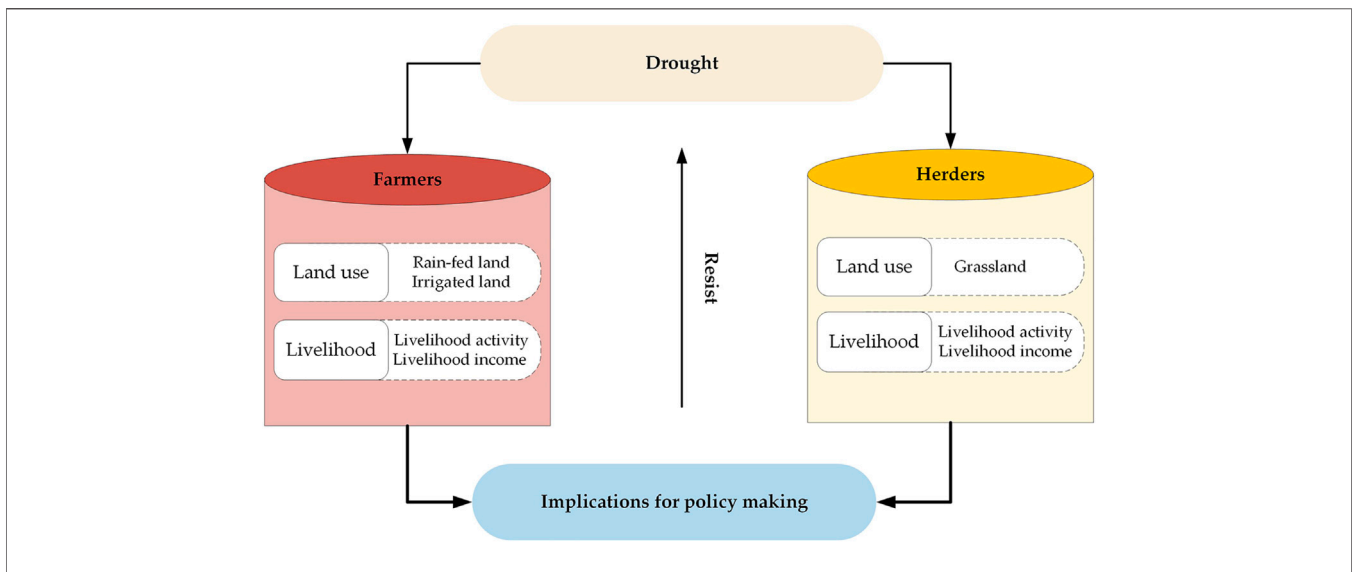


FIGURE 2 | Research framework.

interviews with village managers to acquire data that centered on land-use changes and the state of livelihoods of farmers and herders (Tables 1, 2). Second, with the intent of ensuring data acquisition effectiveness, 30 farmers in Pingandi and Fenghuangling, and 30 herders in Wuriduhubu and Haolibao were selected to conduct a closed questionnaire survey. The recovery rate is 100%. The data mainly included: 1) Individual information of farmers and herders; 2) types of land use of farmers and herders in 2012 and 2016, and their changes; 3) the livelihood activities and incomes of farmers and herders in

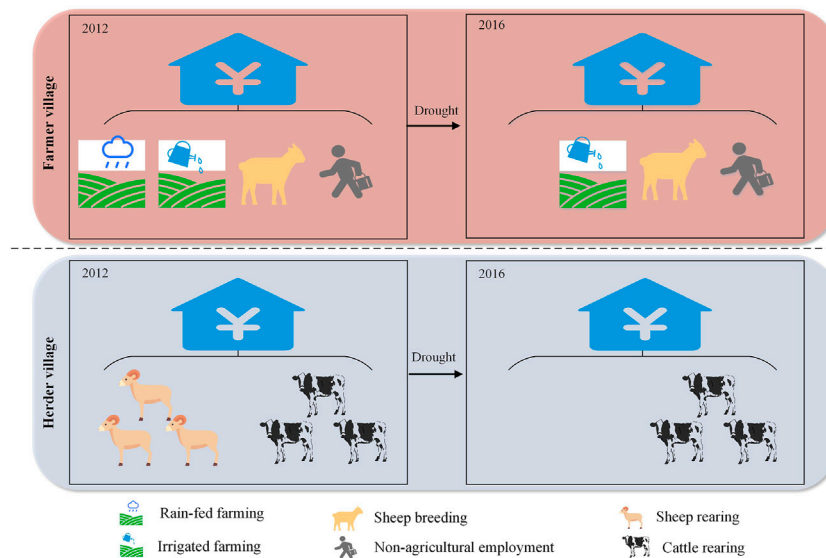
2012 and 2016, and their changes; and 4) the causes attributed to differences in livelihoods of farmers and herders. The survey was conducted in October 2016 by a five-member research team. The questionnaire data were then statistically analyzed using the SPSS software package.

### 2.3 Hypotheses

In the agro-pastoral ecotone, the livelihoods of farmers are more diverse than those of herders. Farmers are engaged in farming, as well as in non-agricultural sectors. Herders mainly



**FIGURE 3** | Rain-fed and irrigated land of Pingandi in August 2016 (the left is rain-fed abandoned land, the right is irrigated land).



**FIGURE 4** | Changes in livelihood activities of farmers and herders in 2012 and 2016.

rely on grassland to develop animal husbandry, which is the main income source for them. Under drought, the quality of rain-fed land and grassland is degraded. The impacts of drought-driven land-use changes on livelihoods (including livelihood activities and livelihood incomes) of farmers and herders are different due to different livelihood structures.

We take the losses in land resources and livelihoods from 2012 to 2016 as indicators to measure the stability of livelihoods of farmers and herders under drought. The research framework is shown in **Figure 2**.

## 3 RESULTS

### 3.1 Land-Use Changes Induced by Drought

#### 3.1.1 Rain-Fed Land was Abandoned by Farmers

Both rain-fed and irrigated land were the land resources in the farmer villages. The per capita area of cultivated land of each farmer was 0.48 ha and 0.36 ha in Pingandi and Fenghuangling,

of which 0.44 and 0.24 ha was irrigated land, respectively. Therefore, irrigated land was the main land resource of farmers. This area is irrigated with groundwater and hardly threatened by drought due to the stable of group water pumping. As for rain-fed land, it is completely dependent on precipitation. Therefore, under drought conditions, this area suffered serious impact. The rain-fed land was abandoned in 2016 (**Figure 3**).

#### 3.1.2 Grassland was Abandoned by Herders

Grassland was the main land resource in the herder villages. The per capita area of grassland of each herder was 9.53 ha in Wuriduhubu, and 11.33 ha in Haolibao. The quality of grassland is dependent on precipitation. Due to the precipitation decreasing from 561.1 to 212.2 mm from 2012 to 2015, the water demand gap of the grassland reached its peak in 2016, and it was seriously degraded with the quality of grass insufficient for animal husbandry (**Figure 4**). Therefore, herders abandoned grassland in 2016.



**FIGURE 5** | Grassland in wuriduhubu (left) and haolibao (right) in August 2016.

**TABLE 3** | Livelihood incomes in farmer villages.

Village	Year	Farming		Livestock breeding	Non-agricultural	Per Capita (yuan)
		Irrigated	Rain-fed			
Pingandi	2012	6857.4	343.5	1,270.88	9041.4	17,513.18
	2016	5,577	0	1,270.88	9041.4	15,889.28
Fenghuangling	2012	2660.4	765	377.97	10,043.19	13,846.56
	2016	1,692	0	377.97	10,043.19	12,113.16

1) The cost of livestock breeding in Pingandi and Fenghuangling includes buying lambs and grass, which is no differences in 2012 and 2016. After deducting the cost, the profit of livestock breeding is approximately 175 yuan; the per capita net income of each farmer in Pingandi and Fenghuangling was 1,270.88 yuan and 377.97 yuan, respectively. 2) The average income of non-local and local non-agricultural employment is 3,000 yuan/month and 1,500 yuan/month, and the average work time is 10 and 3 months, respectively. According to the number of non-local and local workers, the per capita income of non-local and local non-agricultural employment was 8,714.6 yuan and 326.8 yuan in Pingandi, and 9,711.22 yuan and 323.97 yuan in Fenghuangling, respectively.

## 3.2 Impacts on Livelihoods

### 3.2.1 The Livelihood Activities of Farmers Were More Diverse Than Those of Herders

In 2012, farming (irrigated and rain-fed), livestock breeding (raising sheep in captivity due to the grazing prohibition policies for farmers in the farming areas of AHB), and non-agricultural employment (non-local refers to those working out of the town, and local refers to those working within the town) were the main livelihood activities of farmers in the farmer villages (Figure 5). In 2016, rain-fed farming was abandoned. Unlike herders, sheep breeding in the farmer villages has not been affected because of the pattern of breeding (Figure 4). Therefore, the drought had little impact on their other livelihood activities.

In 2012, animal husbandry (sheep and cattle rearing) was the main livelihood activity of herders in the herder villages. In 2016, herders were forced to sell lambs and entrusted other herders to graze their ewes in those Banners influenced slightly by drought because of the degraded grassland quality and the associated decline in the carrying capacity. As a result, only cattle rearing was retained who raised mainly on forage and green stored corn. The livelihood activity of herders was seriously affected.

### 3.2.2 The Loss in Livelihood Incomes of Herders was Greater Than That of Farmers

Although livelihood incomes of farmers reduced in 2016, the change was slight. Farming and livestock breeding don't

require much labor time and their income proportion is relatively low. Farmers are inclined to engage in other livelihood activities to improve their livelihoods. Hence, non-agricultural employment accounts for a major proportion of farmers' livelihood incomes (Tables 3, 4). In 2016, the loss in livelihood incomes was mainly attributed to rain-fed farming. The incomes of livestock breeding and non-agricultural employment were only slightly affected. Hence, the total per capita net income of each farmer in Pingandi and Fenghuangling was 15,889.28 yuan and 12,113.16 yuan, respectively, which was only 9.27% and 12.52% lower than those in 2012.

Herders hardly engage in other livelihood activities. The sheep and cattle rearing were the main sources of their livelihood incomes (Tables 5, 6). In 2016, the cost of sheep rearing was greatly increased, while the income was reduced (the selling price of a lamb decreased from 1,000 yuan to 400 yuan due to the poor quality of lambs). The per capita net income of sheep rearing of each herder in Wuriduhubu and Haolibao decreased by 159.84% and 170%, respectively. The cost of cattle rearing was much higher than in 2012, and there was almost no revenue from cattle rearing. Hence, the total per capita net income of each herder was -2,920 yuan and -2,273 yuan, respectively. The livelihood incomes in Wuriduhubu and Haolibao in 2016 were lower than those in 2012 by 132.88% and 128.25%, respectively.

**TABLE 4 |** Input–output flows of farming in farmer villages.

Village	Year	Crop	Cultivated land	Yield (Kg/ha)	Price (Yuan/kg)	Cost (Yuan/ha)	Net income (Yuan/ha)	Per capita area/ha	Per capita net income	Total revenue
Pingandi	2012	Corn	Irrigated	14,250	1.5	5,790	15,585	0.44	6,857.4	7,200.9
		Millet	Rain-fed	3,000	5	3,300	11,700	0.02	234	
		Mung Bean		975	9	3,300	5,475	0.02	109.5	
	2016	Corn	Irrigated	12,750	1.5	6,450	12,675	0.44	5,577	5,577
		Millet	Rain-fed	0	—	—	0	0.02	0	
		Mung Bean		0	—	—	0	0.02	0	
Fenghuangling	2012	Corn	Irrigated	11,250	1.5	5,790	11,085	0.24	2,660.4	3,425.4
		Millet	Rain-fed	2,250	5	3,300	7,950	0.06	477	
		Mung Bean		900	9	3,300	4,800	0.06	288	
	2016	Corn	Irrigated	9,000	1.5	6,450	7,050	0.24	1,692	1,692
		Millet	Rain-fed	0	—	—	0	0.06	0	
		Mung Bean		0	—	—	0	0.06	0	

1) For comparison, the crop price is based on 2016; 2) The costs of corn: fertilizer is 4,500 yuan/ha, herbicide and insecticide is 300 yuan/ha, irrigation is 990 yuan/ha in 2012 and 1,650 yuan/ha in 2016, so the total cost is 5,790 yuan/ha in 2012 and 6,450 yuan/ha in 2016, respectively; 3) The cost of millet and mung bean: fertilizer is 3,000 yuan/ha, herbicide and insecticide is 300 yuan/ha. The total cost is 3,300 yuan/ha.

**TABLE 5 |** Livelihood incomes in herder villages.

Village	Year	Rearing sheep	Rearing cattle	Per capita net income
Wuriduhubu	2012	4,880	4,000	8,880
	2016	-2,920	0	-2,920
Haolibao	2012	3,247	4,800	8,047
	2016	-2,273	0	-2,273

1) The ratio of mature cattle to calves is approximately 3:2. In 2012, the per-head cost of mature cattle was approximately 3,000 yuan, and that of calves was 1,500 yuan; in 2016, the cost was approximately 4,000 yuan and 2,000 yuan, respectively. Calves can usually be sold after one year for approximately 8,000 yuan/head. 2) The per capita number of mature cattle and calves was 3 and 2 in wuriduhubu, and 3.6 and 2.4 in Haolibao, respectively. In 2012, the cost and income of rearing cattle were 12,000 yuan and 16,000 yuan in Wuriduhubu, and 14,400 yuan and 19,200 yuan in Haolibao, respectively. Hence, the per capita net income of raising cattle was 4,000 yuan and 4800 yuan, respectively. In 2016, the cost and income of rearing cattle were both 16,000 yuan in Wuriduhubu and, and both 19,200 yuan in Haolibao. Hence, the per capita net income of raising cattle was both 0.

**TABLE 6 |** The cost and income of rearing sheep in herder villages.

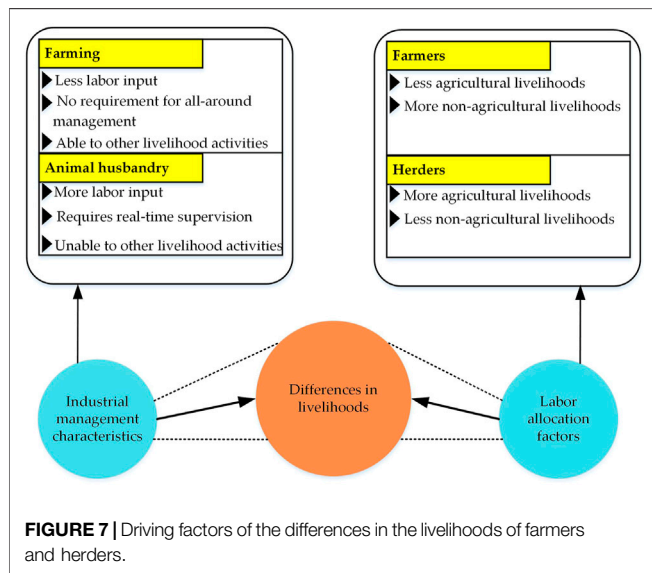
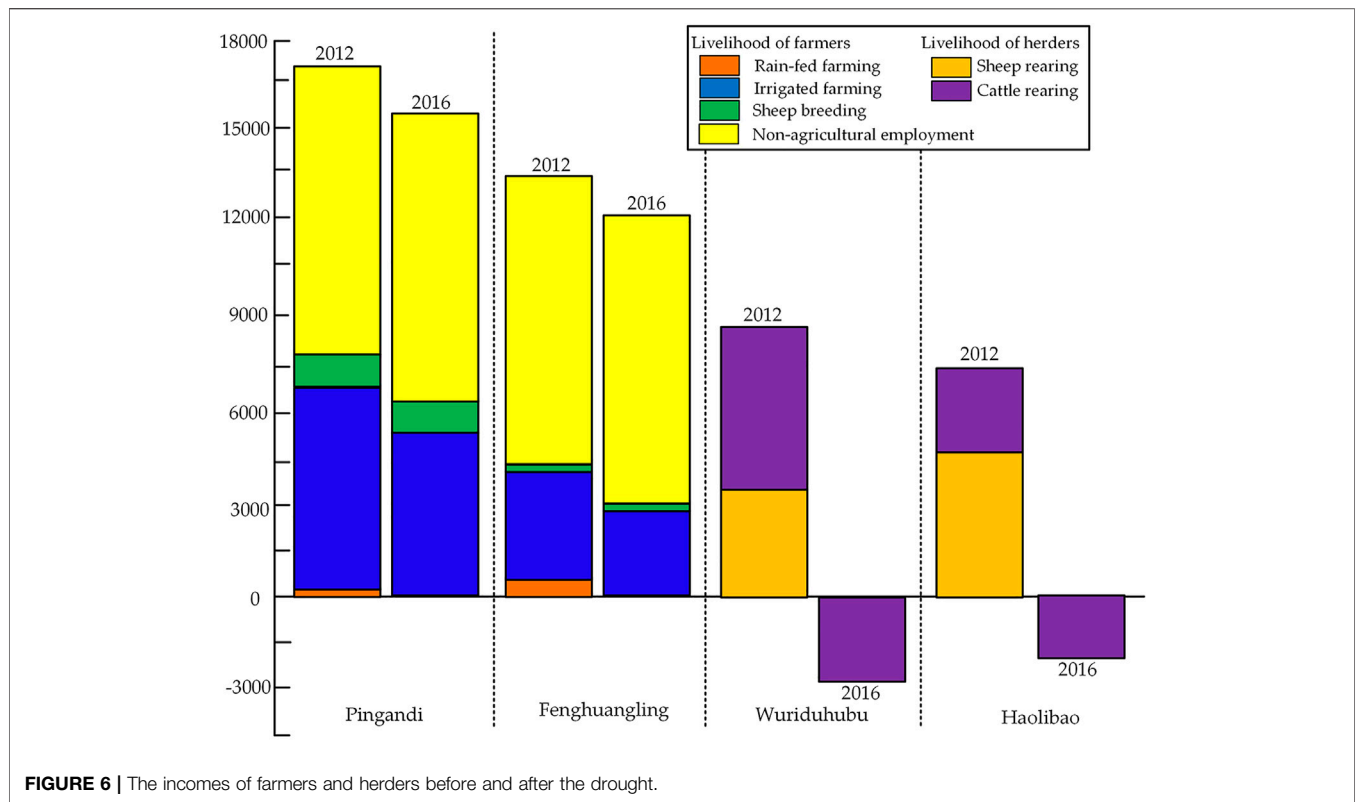
Village	Year	Cost of ewes					Total cost	Cost of lambs			Income			
		Per capita quantity	Hay	Concentrated feed	Parasite-killing, washing sheep	Grazing		Total	Per capita quantity	Parasite-killing, washing sheep	Total	Price (Yuan/piece)	Total revenue	Net income
Wuriduhubu	2012	15	288	45	5	0	5,070	10	5	50	5,120	1,000	10,000	4,880
	2016	15	288	45	5	120	6,870	10	5	50	6,920	400	4,000	-2,920
Haolibao	2012	11	288	45	5	0	3,718	7	5	35	3,753	1,000	7,000	3,247
	2016	11	288	45	5	120	5,038	7	5	35	5,073	400	2,800	-2,273

1) In 2012, herders sold the lambs after fattening. A lamb was born in spring, fattened in summer, and slaughtered in autumn. The cost included parasite killers and washing sheep at 5 yuan/piece; other costs were negligible, and the sales price was approximately 1,000 yuan/piece. Ewes must eat forage in winter. Generally, one ewe needed 288 yuan of hay, 45 yuan of concentrated feed, and 5 yuan for parasite killing and washing sheep, for a total of 338 yuan/piece. 2) In 2016, herders sold the lambs for approximately 400 yuan/piece. The cost of grazing ewes on pastures of other Banners was 20 yuan/piece per month. According to the grazing characteristics in Inner Mongolia, the resting and grazing period was generally six months. Therefore, the grazing time was set to six months; one ewe requires 120 yuan, and the cost was approximately 458 yuan per sheep, including other costs.

### 3.3 Livelihood Diversification is the Key to Ensure the Stability of Livelihoods

The livelihood activities and incomes of farmers are diverse. Besides farming and livestock breeding, non-agricultural livelihood accounted for a large proportion (Figure 6). Although farmers faced the double pressure of abandoning rain-fed farming and the increasing cost of irrigated farming, the net income from farming still remained higher than

7,050 yuan/ha, which was a relatively considerable sum. Moreover, the proportion of non-agricultural income accounted for 51.62% and 72.53% in Pangandi and Fenghuangling in 2012, and 56.9% and 82.91% in 2016, respectively. Farmers can rely on non-agricultural employment to ensuring the stability of livelihoods. Hence, the diversified livelihoods, especially non-agricultural ones enable farmers to resist the threat of drought.



The livelihood activity of herders is single-dimensional, and more vulnerable than that of farmers under drought. With the continuation of drought, the animal husbandry seriously declined, with the incomes the herders got barely covered their cost in 2016. This makes the livelihoods of herders fragile and unadaptable under drought. Furthermore, herders took out loans to maintain animal husbandry activities during the drought years. According to our survey, the average loan of a

herder family was about 46,700 yuan and 54,500 yuan in 2016 in Wuriduhubu and Haolibao, respectively. Hence, it is impossible to compensate for the losses suffered in animal husbandry without other livelihood activities.

## 4 DISCUSSION

### 4.1 Causes of the Differences in the Livelihoods of Farmers and Herders Induced by Drought

Farming is less time-consuming than that of animal husbandry (Figure 7). For instance, according to our survey, one ha of corn costs no more than 150 working days (plowing, sowing, weeding, and harvesting) in the two farmer villages. The time-consuming of millet and mung bean is less than that of corn. Therefore, farmers can engage in other livelihood activities besides farming (Liu et al., 2017; Liu and Li, 2017). The income from non-agricultural sectors is higher than that of agricultural ones, which incentivizes rural labor force to emigrate to non-agricultural sectors. Hence, the livelihoods of farmers are still diverse even they abandon rain-fed farming under drought.

The major reason that herders are tied to grassland and unable to engage in other livelihood activities is that full-time monitoring is required by animal husbandry. Herders have to spend about six months for grazing. During the rest six months of captivity time, herders also spend about 6 h each day to feeding sheep and cattle. Moreover, grassland is the most important land resource for

herders. These make herders hardly engage in other livelihood activities. Besides, most herders in AHB speak Mongolian, which makes it hard for them to engage in non-agricultural sectors dominated by those speaking mandarin. Hence, herders hardly engage in non-agricultural sectors, and the instability of their livelihoods is greatly increased by drought.

## 4.2 Policy Implications

The income of non-agricultural employment is much higher than agricultural income, which is important for farmers in resisting drought (Long et al., 2016). Hence, encouraging the labor force to emigrate to non-agricultural sectors is an effective way to improve their livelihoods (Liu et al., 2014; Ma and Yang, 2018). Income from rain-fed farming accounts for a very small percentage of their total income; therefore, it is suggested that farmers abandon rain-fed cultivation. By doing this, more labor force can engage in other livelihoods. Additionally, the human disturbance to land will be alleviated, which is a foundational premise for restoring and reconstructing a stable ecosystem in the agro-pastoral ecotone (Liu et al., 2018; Yang and Xu, 2018). Moreover, government should provide funding to establish the water-saving irrigation system to improve irrigated farming.

The livelihoods of herders are highly dependent on animal husbandry, which is vulnerable and unstable under drought. Hence, maintaining the quality of grassland is important for them. To achieve this, herders need to develop artificial pastures for a more stable animal husbandry, government should also build a forage reserve system to prevent the impact of a forage shortage. It is also critical for herder to improve livelihood by developing non-agricultural ones, such as animal products processing industry. The artificial pasture cultivation and animal products processing training system should be provided by government. Government's support for herders to diversify their livelihoods is essential to maintaining livelihood security under drought.

## 4.3 Deficiencies and Prospects

The agro-pastoral ecotone in northwestern China is an area with frequent drought, and relative poverty of its rural residents. The rural revitalization strategy is being implemented by the Chinese government, which aims to eliminate poverty and achieve common prosperity. The difficult of the strategy is to improve livelihoods of rural residents in this area. Scholars have discussed the livelihoods and land use in this area under drought. For farmers, scholars paid more attention to the stability of livelihoods, the pattern of land use and the type of crop planting, pointing out that farmers with diversified livelihoods have stronger adaptability by returning farmland to forest, adopting water-saving irrigation measures and planting forage crops [7,13,18]. As for herders, scholars indicated that the livelihoods of herders were vulnerable, and they could adapt to drought by building canals [22]. Additionally, herders would give up grasslands under drought [31,42]. However, the study of putting both farmers and herders into a research framework to

find out their differences is relatively rare. Hence, the focus of future research is to study the differences in land use and livelihoods of farmers and herders and the driving factors under natural disasters and human activities, and then put forward recommendations to improve the accuracy of rural revitalization strategy policies.

Two farmer villages and two herder villages were investigated in this paper, and the sample size was small to represent the whole agro-pastoral ecotone. Additionally, in order to make a comparison between 2012 and 2016, the quantity of livestock, the area of cultivated land and the price of agricultural products in these two years are also assumed to be the same (take 2016 as the standard), which results in the deficiency of the results. The sample size should be expanded by solid investigation and data analysis to improve the accuracy of the study.

## 5 CONCLUSION

We took two farmer villages, Pingandi and Fenghuangling, and two herder villages, Wuriduhubu and Haolibao, located in Ar Horqin Banner of north-western China, to analyze the responses of land-use changes to drought and its disparate impact on the livelihoods of farmers and herders. Results showed that, rain-fed land was abandoned by farmers, while grassland was abandoned by herders under drought conditions. Farmers would abandon rain-fed farming, and herders only retained cattle rearing. The losses in livelihood incomes of herders were greater than those of farmers. The results of our analysis clearly demonstrate that the livelihoods of farmers are more stable than those of herders under drought, with livelihood diversification is the key influencing factor.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

## AUTHOR CONTRIBUTIONS

Conceptualization, BZ; methodology, YL and YQ; software, RM; investigation, JZ; writing—original draft preparation, BZ; writing—review and editing, RM and GJ. All authors have read and agreed to the published version of the manuscript.

## FUNDING

This work was supported by the National Natural Science Foundation of China (Grant Nos. 41801193, 42077434, 42071249, and 41801067).



## REFERENCES

- Beyene, F. (2016). Land Use Change and Determinants of Land Management: Experience of Pastoral and Agro-Pastoral Herders in Eastern Ethiopia. *J. of Arid Environ.* 125, 56–63. doi:10.1016/j.jaridenv.2015.10.001
- Chen, H., Wang, J., and Huang, J. (2014). Policy Support, Social Capital, and Farmers' Adaptation to Drought in China. *Glob. Environ. Change* 24, 193–202. doi:10.1016/j.gloenvcha.2013.11.010
- Guo, X. M., Tong, S. Q., and Bao, Y. H. (2021). Spatial-temporal Variation Trend of Drought Based on SPEI in Inner Mongolia in Recent 55 Years. *Geogr. Inf. world* 28 (3), 42–48.
- Han, Y., Peng, J., Meersmans, J., Liu, Y., Zhao, Z., and Mao, Q. (2018). Integrating Spatial Continuous Wavelet Transform and Normalized Difference Vegetation Index to Map the Agro-Pastoral Transitional Zone in Northern China. *Remote Sens.* 10, 1928. doi:10.3390/rs10121928
- Lei, Y., Zhang, H., Chen, F., and Zhang, L. (2016). How Rural Land Use Management Facilitates Drought Risk Adaptation in a Changing Climate - A Case Study in Arid Northern China. *Sci. of Total Environ.* 550, 192–199. doi:10.1016/j.scitotenv.2016.01.098
- Li, Y. L., Yang, F. L., Yang, L. A., Shang, X. Q., Hu, G. G., and Jia, L. J. (2021). Analysis on Changes of Land Use Spatial Pattern and Influencing Factors in Yulin City in Recent 40 Years. *Arid. area Geogr.* 44 (04), 1011–1021. doi:10.12118/j.issn.1000.6060.2021.04.14
- Liao, C., Barrett, C., and Kassam, K.-A. (2015). Does Diversification Improve Livelihoods? Pastoral Households in Xinjiang, China. *Dev. and Change* 46, 1302–1330. doi:10.1111/dech.12201
- Liu, D., Chen, J., and Ouyang, Z. (2020). Responses of Landscape Structure to the Ecological Restoration Programs in the Farming-Pastoral Ecotone of Northern China. *Sci. of Total Environ.* 710, 136311. doi:10.1016/j.scitotenv.2019.136311
- Liu, H. M., Wang, L. X., Yang, J., Liang, C. Z., and Wang, W. (2012). Influence of Climate Change on Farming and Grazing House Holds and its Adaptation: A Case Study in Uxin Banner in Inner Mongolia. *Resour. Sci.* 34, 248–255. in Chinese. doi:10.1016/j.landusepol.2018.01.032
- Liu, J.-h., Gao, J.-x., Lv, S.-H., Han, Y.-w., and Nie, Y.-h. (2011). Shifting Farming-Pastoral Ecotone in China under Climate and Land Use Changes. *J. of Arid Environ.* 75, 298–308. doi:10.1016/j.jaridenv.2010.10.010
- Liu, M. Z., Zhang, H. J., Wang, Y. F., and Pei, H. W. (2021). Study on Habitat Quality of Agro Pastoral Ecotone in North China Based on Land Use. *Study on Soil and water conservation* 28 (03), 156–162. doi:10.13869/j.cnki.rswc.2021.03.18
- Liu, Y., Fang, F., and Li, Y. (2014). Key Issues of Land Use in China and Implications for Policy Making. *Land Use Policy* 40, 6–12. doi:10.1016/j.landusepol.2013.03.013
- Liu, Y., and Li, Y. (2017). Revitalize the World's Countryside. *Nature* 548, 275–277. doi:10.1038/548275a
- Liu, Y. S. (2021). Introduction to Land Use and Rural Sustainability in China. *Land Use Policy* 74, 1–4.
- Liu, Y. S., Liu, J. L., and Zhou, Y. (2017). Spatio-temporal Patterns of Rural Poverty in China and Targeted Poverty Alleviation Strategies. *J. of Rural Stud.* 52, 6675. doi:10.1016/j.jrurstud.2017.04.002
- Liu, Z., Liu, Y., Li, Y., Cao, Z., Li, Y. H., Wu, W. H., et al. (2018). Anthropogenic Contributions Dominate Trends of Vegetation Cover Change over the Farming-Pastoral Ecotone of Northern China. *Ecol. Indic.* 95, 370–378. doi:10.1016/j.ecolind.2018.07.063
- Long, H., Tu, S., Ge, D., Li, T., and Liu, Y. (2016). The Allocation and Management of Critical Resources in Rural China under Restructuring: Problems and Prospects. *J. of Rural Stud.* 47, 392–412. doi:10.1016/j.jrurstud.2016.03.011
- Ma, M. D., and Yang, M. L. (2018). The Influence Factors of Grass Land Area Change in Framing-Pastoral Transitional Zone by Stirpat Model: A Case of Yanchi Chonty of Ningxia Hui Autonomous Region in China. *Chin. J. of Agric. Resour. and Regional Plan.* 39, 48–54. in Chinese. doi:10.7621/cjarrp.10059121.2018.0307
- Ma, Y. L., Guo, J. P., Luan, Q., and Liu, W. P. (2020). Vulnerability Assessment of Agricultural Drought in Agropastoral Ecotone in Northern Shanxi. *Disaster Sci.* 35 (03), 75–81. doi:10.3969/j.issn.1000-811X.2020.03.017
- Majekodunmi, A. O., Fajinmi, A., Dongkum, C., Shaw, A. P. M., and Welburn, S. C. (2014). Pastoral Livelihoods of the Fulani on the Jos Plateau of Nigeria. *Pastoralism* 4, 20. doi:10.1186/s13570-014-0020-7
- Martin, R., Linstädter, A., Frank, K., and Müller, B. (2016). Livelihood Security in Face of Drought - Assessing the Vulnerability of Pastoral Households. *Environ. Model. Softw.* 75, 414–423. doi:10.1016/j.envsoft.2014.10.012
- Meng, J., Xiang, Y., Yan, Q., Mao, X., and Zhu, L. (2015). Assessment and Management of Ecological Risk in an Agricultural-Pastoral Ecotone: Case Study of Ordos, Inner Mongolia, China. *Nat. Hazards* 79, 195–213. doi:10.1007/s11069-015-1836-1
- Mogotsi, K., Nyangito, M. M., and Nyariki, D. M. (2012). The Role of Drought Among Agro-Pastoral Communities in a Semi-arid Environment: The Case of Botswana. *J. of Arid Environ.* 91, 38–44. doi:10.1016/j.jaridenv.2012.11.006
- Paavola, J. (2008). Livelihoods, Vulnerability and Adaptation to Climate Change in Morogoro, Tanzania. *Environ. Sci. Policy* 11, 642–654. doi:10.1016/j.envsci.2008.06.002
- Roncoli, C., Ingram, K., and Kirshen, P. (2001). The Costs and Risks of Coping with Drought: Livelihood Impacts and Farmers' Responses in Burkina Faso. *Clim. Res.* 19, 119–132. doi:10.3354/cr019119
- Song, N. P., Wang, X., Shi, Y., Pan, J., An, C. P., and Zhou, J. (2015). Fluctuation of Animal Husbandry System and its Driving Factors Analysis in Agro-Pastoral Transitional Zone. *Trans. of the Chin. Soc. of Agric. Eng.* 31, 217–224. in Chinese. doi:10.11975/j.issn.1002-6819.2015.14.030
- Tan, S., Li, T., and Huntsinger, L. (2018). Analyzing Herder Adaptive Capacity to Climate Change: A Case Study from an Ecologically Fragile Area in Inner Mongolia, People's Republic of China. *Hum. Ecol.* 46, 399–409. doi:10.1007/s10745-018-9991-0
- Tang, Q., Bennett, S. J., Xu, Y., and Li, Y. (2012). Agricultural Practices and Sustainable Livelihoods: Rural Transformation within the Loess Plateau, China. *Appl. Geogr.* 41, 15–23. doi:10.1016/j.apgeog.2013.03.007
- Tao, Z. F., Wang, S. Q., Sun, P. L., Li, K. D., Tian, W., and Han, X. X. (2021). Temporal and Spatial Differentiation and Driving Factors of Cultivated Land in the Agro Pastoral Ecotone in Northern China. *Arid. area Geogr.* 45 1–15. doi:10.12118/j.issn.1000-6060.2021.153
- Tesfa, A., and Mekuriaw, S. (2014). The Effect of Land Degradation on Farm Size Dynamics and Crop-Livestock Farming System in Ethiopia: A Review. *Ojss* 4, 1–5. doi:10.4236/ojss.2014.41001
- Wang, F., Pan, X. B., Wang, D. F., Shen, C. Y., and Lu, Q. (2012). Combating Desertification in China: Past, Present and Future. *Land Use Policy* 31, 311–313. doi:10.1016/j.landusepol.2012.07.010
- Wang, J., Brown, D. G., and Agrawal, A. (2012). Climate Adaptation, Local Institutions, and Rural Livelihoods: A Comparative Study of Herder Communities in Mongolia and Inner Mongolia, China. *Glob. Environ. Change-human and Policy Dimensions* 23, 1673–1683. doi:10.1016/j.gloenvcha.2013.08.014
- Wang, Y., Gao, J. X., Jin, Y., Cao, B. S., Wang, Y., Zhang, X. H., et al. (2020). Study on Habitat Quality of Agro Pastoral Ecotone in Balinyou Banner, Inner Mongolia Based on Land Use Change and Invest Model from 2005 to 2015. *J. of Ecol. and rural Environ.* 36 (05), 654–662. doi:10.19741/j.issn.1673-4831.2019.0237
- Wu, Z., Li, B., and Hou, Y. (2017). Adaptive Choice of Livelihood Patterns in Rural Households in a Farm-Pastoral Zone: A Case Study in Jungar, Inner Mongolia. *Land Use Policy* 62, 361–375. doi:10.1016/j.landusepol.2017.01.009
- Xue, X. Y., Wang, X. Y., Duan, H. M., and Jie, Y. W. (2021). Temporal and Spatial Variation of Vegetation NPP and its Driving Factors in the Agro-Pastoral Ecotone of North China. *Study on Soil and water conservation* 28 (03), 156–162. doi:10.13869/j.cnki.rswc.2021.02.027
- Yan, J., Wu, Y., Zhang, Y., and Zhou, S. (2010). Livelihood Diversification of Farmers and Nomads of Eastern Transect in Tibetan Plateau. *J. Geogr. Sci.* 20, 757–770. doi:10.1007/s11442-010-0809-2
- Yang, W., and Xu, Y. (2018). Optimization of Land-Use Structure in the Farming-Grazing Transitional Zone Based on Desertification Status and Land Adaptability: A Case Study of Horqin Left Wing Rear Banner, Inner Mongolia. *J. of China Agric. Univ.* 23, 95–105. in Chinese. doi:10.11841/j.issn.1007-4333.2018.03.12
- Yang, Y., and Wang, K. (2019). The Effects of Different Land Use Patterns on the Microclimate and Ecosystem Services in the Agro-Pastoral Ecotone of Northern China. *Ecol. Indic.* 106, 105522. doi:10.1016/j.ecolind.2019.105522
- Yu, L. (2016). Agro-pastoralism under Climate Change: Institutions and Local Climate Adaptations in Northern China. *Land Use Policy* 58, 173–182. doi:10.1016/j.landusepol.2016.07.022

- Zarafshani, K., Sharafi, L., Azadi, H., Hosseininia, G., De Maeyer, P., and Witlox, F. (2012). Drought Vulnerability Assessment: The Case of Wheat Farmers in Western Iran. *Glob. and Planet. Change* 98–99, 122–130. doi:10.1016/j.gloplacha.2012.08.012
- Zhang, C., Li, W., and Fan, M. (2012). Adaptation of Herders to Droughts and Privatization of Rangeland-Use Rights in the Arid Alxa Left Banner of Inner Mongolia. *J. Environ. Manage* 126, 182–190. doi:10.1016/j.jenvman.2013.04.053
- Zhao, Y., Fan, J., Liang, B., and Zhang, L. (2019). Evaluation of Sustainable Livelihoods in the Context of Disaster Vulnerability: A Case Study of Shenzha County in Tibet, China. *Sustainability* 11, 2874. doi:10.3390/su11102874

**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

**Publisher's Note:** All claims expressed in this article are solely those of the authors and do not necessarily represent those of their affiliated organizations, or those of the publisher, the editors and the reviewers. Any product that may be evaluated in this article, or claim that may be made by its manufacturer, is not guaranteed or endorsed by the publisher.

*Copyright © 2022 Zhang, Ma, Qu, Li, Jiang and Zhou. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC BY). The use, distribution or reproduction in other forums is permitted, provided the original author(s) and the copyright owner(s) are credited and that the original publication in this journal is cited, in accordance with accepted academic practice. No use, distribution or reproduction is permitted which does not comply with these terms.*