



# Impacts of Winter and Summer COVID-19 Lockdowns on Urban Air Quality in Urumqi, Northwest China

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After the COVID-19 pandemic began in 2020, Urumqi, a remote area in northwest China, experienced two lockdowns, in January and July 2020. Based on ground and satellite observations, this study assessed the impacts of these lockdowns on the air quality in Urumqi and the seasonal differences between them. The results showed that, during the wintertime lockdown, PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>2</sub>, CO, and SO<sub>2</sub> levels decreased by 38, 40, 45, 27, 8%, respectively, whereas O<sub>3</sub> concentrations increased by 113%. During the summer lockdown, PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>2</sub>, CO, and SO<sub>2</sub> levels decreased by 39, 24, 59, 2, and 13%, respectively, and the O<sub>3</sub> concentrations increased by 21%. During the lockdowns, the NO<sub>2</sub> concentrations decreased by 53% in winter and 13% in summer in the urban areas, whereas they increased by 23% in winter and 9% in summer in the suburbs. Moreover, large seasonal differences were observed between winter and summer SO<sub>2</sub>, CO, and O<sub>3</sub>. The lockdown played a vital role in the rapid decline of primary air pollutant concentrations, along with fewer meteorological impacts on air pollution changes in this area. The increase in O<sub>3</sub> concentrations during the COVID-19 lockdowns reflects the complexity of air quality changes during reductions in air pollutant emissions.

**Keywords:** COVID-19, city lockdown, air pollutants, urumqi, satellite remote sensing

## INTRODUCTION

In response to the COVID-19 pandemic, most countries adopted anti-epidemic measures, such as lockdowns, home isolation, and travel restrictions, to reduce the spread of the virus (Maier and Brockmann, 2020). In addition, the lockdowns provided air quality benefits (He et al., 2020). Owing to reductions in urban traffic during lockdowns, levels of NO<sub>2</sub>, CO, CO<sub>2</sub>, and particulate matter (PM) were greatly reduced, resulting in improved urban air quality (Beckerman et al., 2008; Guo et al., 2020). By using ground-based and satellite observation data, the impacts of epidemic lockdown on air quality are studied. For example, Daniel et al. (Goldberg et al., 2020) used Sentinel 5P data and found that atmospheric NO<sub>2</sub> concentrations in the United States and Canada decreased significantly. Liu et al. (2019), Zhang et al. (2021), and Huang and Sun (2020) analyzed satellite remote sensing data during lockdowns and found that NO<sub>2</sub> levels in China decreased significantly during the COVID-19 pandemic, similar to those reported in India (ESA, 2020a) and Italy (ESA, 2020b).

Nevertheless, analyzing ground-based measurements are the most direct method for quantifying local air quality changes. Studies using ground-based monitoring data in India, Barcelona, Madrid, Morocco, South America, and North America found that the varying levels of pandemic prevention and control measures in each location resulted in significant differences in air quality (Baldasano, 2020; Berman and Ebisu, 2020; Dantas et al., 2020; Otmani et al., 2020; Sharma et al., 2020; Tobías et al., 2020). Most studies on air quality during COVID-19 lockdowns in China have focused on cities in central and eastern China (Chang et al., 2020; Shi and Brasseur, 2020; Yuan et al., 2021; Zhang et al., 2022). Wang et al. (2020) found that  $PM_{2.5}$ ,  $NO_2$ , and CO decreased by 9.9–64.0% during a lockdown in winter. However, few studies have reported on the arid region of northwest China.

Urumqi, a major city in northwest China, and its surrounding areas have been affected by COVID-19, with two lockdowns in 2020. The first lockdown was during winter (NHC (National Health Center), 2022a), from January 23 to 8 March 2020, and the second was during summer, from July 16 to 29 August 2020 (NHC (National Health Center), 2022b). During the two epidemics, the city implemented a full lockdown for all communities and restricted access to parks to prevent transmission of the virus. As targets were achieved for each phase of the epidemic prevention and control measures, the city gradually resumed industrial production and social activities in an orderly manner. These lockdown measures provided an unexpected opportunity to study the impact of human activities on air quality. This study analyzed the changes in air pollutant concentrations, specifically  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$ ,  $NO_2$ , and  $O_3$ , during the lockdowns in Urumqi using comparisons with air quality during the same periods in 2015 and 2019. These results could improve our scientific understanding of the effects of emission reduction on air quality.

## MATERIALS AND METHODS

### Study Area

Urumqi is located at the northern foot of the central Tianshan Mountains and the southern edge of the Junggar Basin in northwest China with a semi-arid continental climate. The total area of Urumqi is 13,800 km<sup>2</sup> with a permanent population of 3.552 million. It is the largest and the most prosperous city in Central Asia (UG (Urumqi Government), 2022). In 2020, Urumqi suffered two COVID-19 epidemics in winter with the lockdowns from February 7 to 8 March 2020 and in summer from July 16 to 7 September 2020.

### Measurement Data

This study used 24 h averages of air quality monitoring data from 2015 to 2020 for the periods of January 11 to March 31 and July 1 to September 8 from five monitoring stations in Urumqi. The data were downloaded from the National Environmental Quality Monitoring Center ([www.cnemc.cn/en](http://www.cnemc.cn/en)).

In this study, the method used by Berman (Berman and Ebisu, 2020) was applied to average these measurement data to obtain

daily average air quality data for the city. Data from January 23 to March 7 and July 17 to August 29 of 2015–2019 were regarded as ‘historical’ data, whereas data from the periods of January 23 to March 7 and July 17 to August 29 during 2020 were considered ‘current’ data. Data from January 11 to January 26 and July 1 to 16 July 2020, were used as ‘control’ data. All monitoring instruments in the Urumqi Air Quality Automatic Monitoring System operated automatically to continuously measure aerosol particles ( $PM_{2.5}$ ,  $PM_{10}$ ) and gaseous pollutants ( $NO_2$ ,  $SO_2$ , CO,  $O_3$ ) in the air.  $PM_{2.5}$  and  $PM_{10}$  levels were monitored using a tapered element oscillating microbalance (TEOM; Thermo Fisher Scientific, Waltham, MA, United States) and a BAM 1020 on-line particulate matter monitor (Met One Instruments, Grants Pass, OR, United States). The gaseous pollutants were monitored using TEI-43i, TEI-42i, TEI-48i, and TEI-49i gas analyzers (Thermo Fisher Scientific).

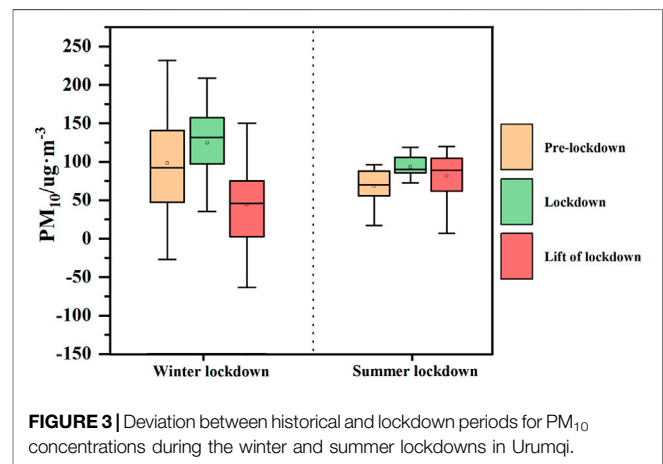
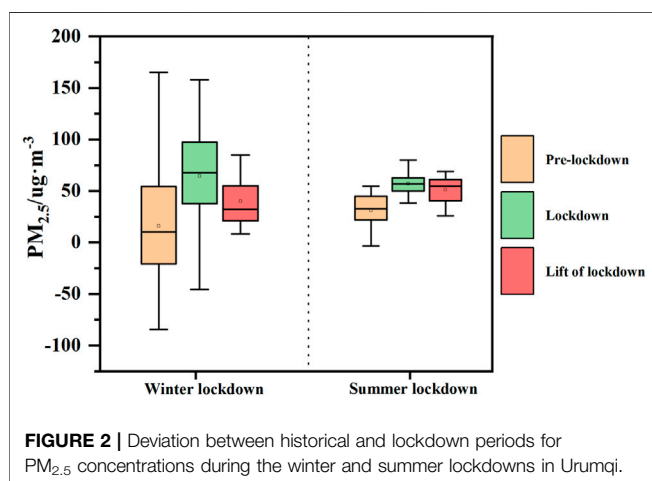
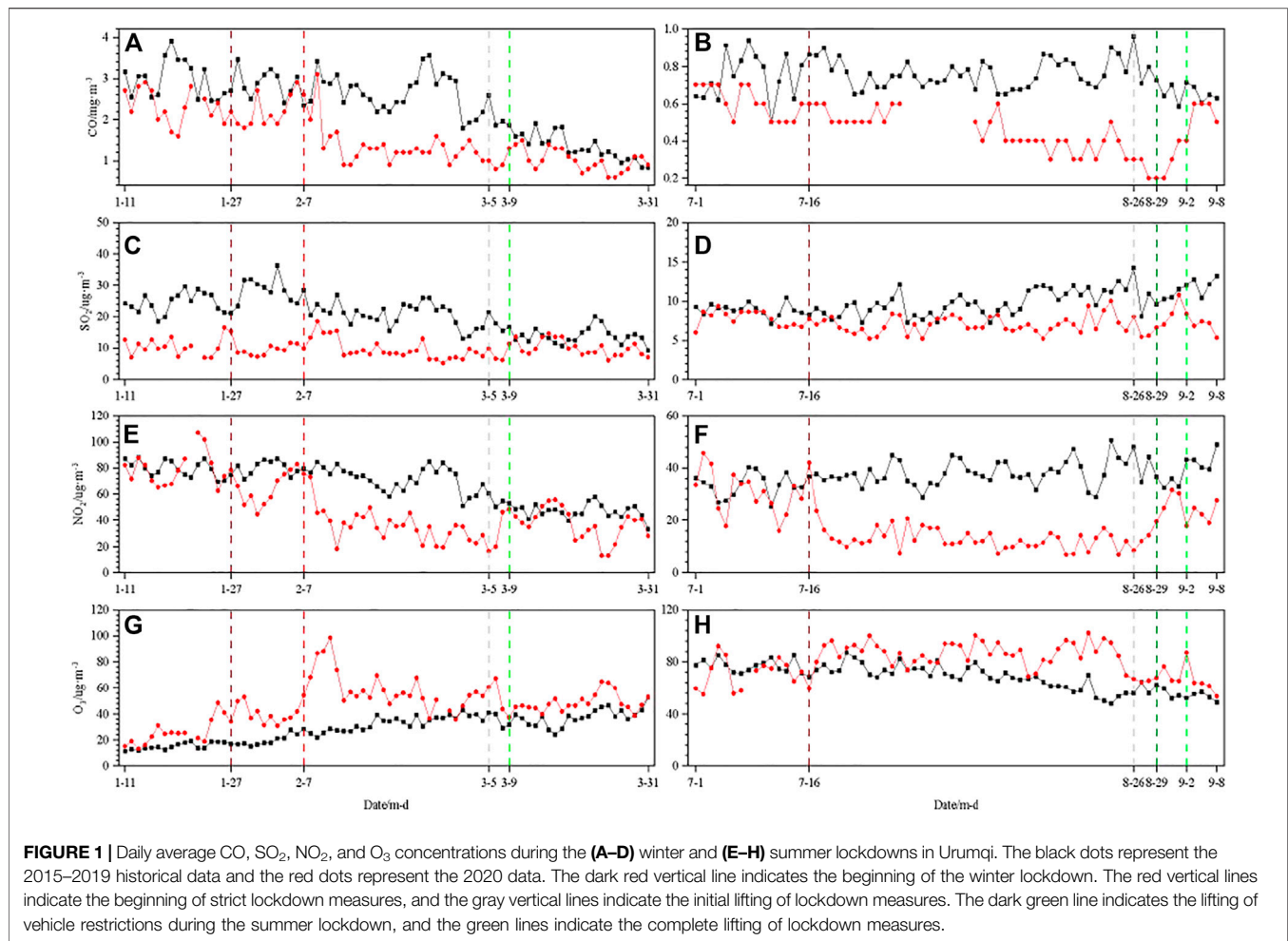
We also used data from the Sentinel-5P  $NO_2$  satellite because the wide coverage of the satellite data complements the surface site observations. Owing to serious concerns about air quality, the Copernicus Sentinel-5P (<https://scihub.copernicus.eu/>) was launched in October 2017 to observe air pollutants worldwide. This satellite carries one of the most advanced sensors to date, TROPOMI spheric Monitoring Instrument (TROPOMI). This instrument detects the unique fingerprints of atmospheric gases to accurately image atmospheric pollutants with a high spatial resolution.

In this study, the  $NO_2$  data from the Sentinel-5P satellite from January 11 to January 26 and July 1 to 16 July 2020, were used to represent the concentrations of  $NO_2$  in Urumqi before the lockdown. The  $NO_2$  data from January 23 to March 7 and July 17 to 29 August 2020, were used to represent the concentrations during the lockdown, and the 14-days moving average was used for the average  $NO_2$  concentrations in the urban area. The concentrations of short-term pollutants, such as  $NO_2$ , are indicators of economic slowdown, which can be connected to emission changes. Using the 14-days average eliminates the effects of short-term meteorological changes and better reflects the effects of air pollutant emission changes.

## RESULTS

The comparisons between the weather conditions during the lockdowns and those during the corresponding historical periods showed minimal differences, indicating that the changes in air pollutant concentrations during the lockdowns were primarily due to local anthropogenic emissions. Therefore, this study focused on the seasonal impacts of the lockdowns on the urban air quality in Urumqi.

The winter and summer lockdowns impacted air pollutants differently. After the winter lockdown measures were implemented, the impacts on gaseous pollutants ( $NO_2$ ,  $SO_2$ , CO, and  $O_3$ ) were significant (**Figure 1**). During both winter and summer, the concentrations of  $NO_2$ ,  $SO_2$ , and CO dropped rapidly after the implementation of strict lockdown measures and subsequently varied over time.  $O_3$  was the only air pollutant whose concentration increased during the lockdowns.



Before the winter and summer lockdowns, the CO concentrations were 2.21 and 0.58 mg m<sup>-3</sup>, respectively (Table 1). During the winter lockdown, the CO concentration dropped to 1.62 mg m<sup>-3</sup>, which was a decrease of 1.06 mg m<sup>-3</sup>

(39.54% decrease) compared to the corresponding historical period and 0.59 mg m<sup>-3</sup> (27.0%) compared to the period before the lockdown. During the summer lockdown, the CO concentrations decreased by 0.17 mg m<sup>-3</sup> (22.6%) compared with the corresponding historical period and by 0.01 mg m<sup>-3</sup> (2.4%)

**TABLE 1** | Mean concentrations of air pollutants in Urumqi during the winter (I) and summer (II) lockdowns.

	Air Pollutant	Historical Levels	Levels before Lockdown (Control)	Levels during Lockdown (Current)	Difference Between Historical and Current Levels [% Change]	Difference Between Control and Current Levels [% Change]
I	NO <sub>2</sub> (μg m <sup>-3</sup> )	76.92	80.01	44.36	30.13 [-40.45%]	35.65 [-44.6%]
	PM <sub>10</sub> (μg m <sup>-3</sup> )	223.03	147.92	91.34	122.30 [-57.25%]	56.58 [-38.3%]
	PM <sub>2.5</sub> (μg m <sup>-3</sup> )	171.17	168.81	101.23	60.46 [-37.39%]	67.57 [-40.0%]
	CO (mg m <sup>-3</sup> )	2.82	2.21	1.62	1.06 [-39.54%]	0.59 [-27.0%]
	SO <sub>2</sub> (μg m <sup>-3</sup> )	25.32	11.41	10.45	14.36 [-57.88%]	0.96 [-8.4%]
	O <sub>3</sub> (μg m <sup>-3</sup> )	23.84	25.70	54.63	-22.97 [+72.55%]	-28.92 [+112.5%]
	Main pollutants	PM <sub>10</sub>	PM <sub>2.5</sub>	PM <sub>2.5</sub>		
II	NO <sub>2</sub> (μg m <sup>-3</sup> )	35.86	30.96	12.72	25.44 [-66.66%]	18.23 [-58.9%]
	PM <sub>10</sub> (μg m <sup>-3</sup> )	78.35	55.91	34.28	49.56 [-59.12%]	21.64 [-38.7%]
	PM <sub>2.5</sub> (μg m <sup>-3</sup> )	24.65	20.00	15.28	12.13 [-44.26%]	4.72 [-23.6%]
	CO (mg m <sup>-3</sup> )	0.71	0.58	0.57	0.17 [-22.60%]	0.01 [-2.4%]
	SO <sub>2</sub> (μg m <sup>-3</sup> )	9.61	7.76	6.74	3.44 [-33.81%]	1.03 [-13.2%]
	O <sub>3</sub> (μg m <sup>-3</sup> )	75.81	73.76	89.40	-18.03 [+25.27%]	-15.64 [+21.2%]
	Main pollutants	PM <sub>10</sub>	PM <sub>10</sub>	O <sub>3</sub>		

compared with the period before the lockdown. These seasonal differences in CO reductions indicate the importance of local anthropogenic emissions to regional air quality.

Variations in the SO<sub>2</sub> concentrations during the lockdowns differed slightly from those in CO (Figure 1). During the winter lockdown, the variations in SO<sub>2</sub> concentration markedly differed compared to those during the corresponding historical period. After strict home isolation measures were adopted on February 7, the SO<sub>2</sub> concentration declined by a maximum of 57.88%; however, this decrease was not as rapid as those observed in other pollutants. After the lockdown was lifted, SO<sub>2</sub> concentration increased rapidly. During the summer lockdown, the SO<sub>2</sub> concentration decreased compared to that during the corresponding historical and control periods, but the rate of the decrease (a decline of 33.81%) was lower than that during the winter lockdown.

After the most stringent measures began, the NO<sub>2</sub> concentrations decreased rapidly. They gradually recovered by March 5, and the NO<sub>2</sub> concentration did not increase substantially after commercial and industrial production fully resumed on March 8. As the summer lockdown began, the NO<sub>2</sub> concentration decreased rapidly on July 17. NO<sub>2</sub> concentrations decreased the most during the strict home isolation measures (Figure 1). During the winter lockdown, the NO<sub>2</sub> concentration decreased by 40.45 and 44.6% compared to that during the historical and control periods, respectively. During the summer lockdown, the NO<sub>2</sub> concentration decreased by 66.66 and 58.9% compared to that during the historical and control periods.

In the early stages of the winter lockdown, the O<sub>3</sub> concentrations were generally higher than those during the corresponding historical period (Figure 1). However, during the summer lockdown, O<sub>3</sub> concentrations differed from those observed during the winter lockdown and were higher than those during the corresponding historical and control periods. During the winter lockdown, the O<sub>3</sub> concentrations reached 54.6 μg m<sup>-3</sup>, which was an increase of 23.0 μg m<sup>-3</sup> (72.55%) and 28.92 μg m<sup>-3</sup>

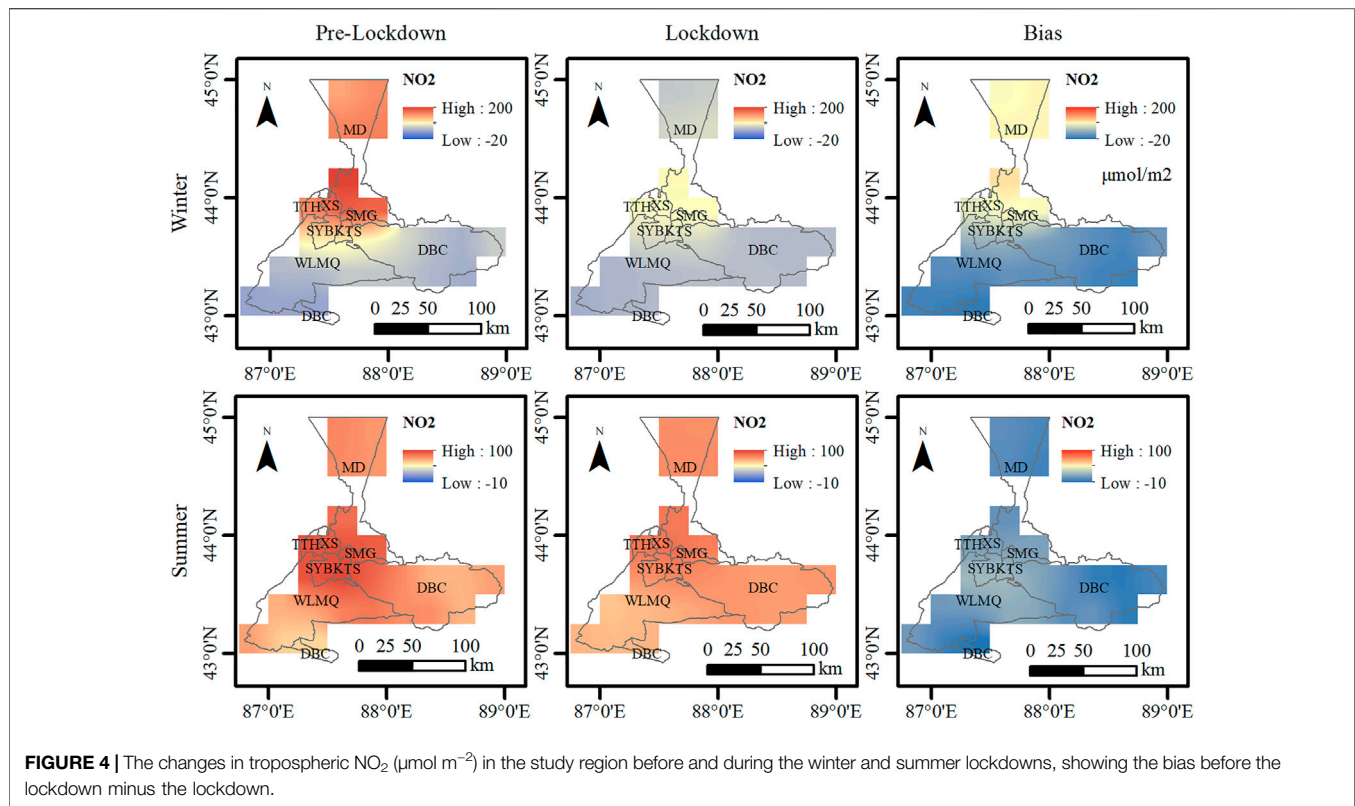
(112.5%) compared to the historical and control periods, respectively. During the summer lockdown, the O<sub>3</sub> concentration was 89.4 μg m<sup>-3</sup>, which was an increase of 18.03 μg m<sup>-3</sup> (25.27%) and 15.64 μg m<sup>-3</sup> (21.2%) compared to the historical and control periods, respectively.

The lockdown and home isolation measures had similar effects on aerosol particles (PM<sub>2.5</sub> and PM<sub>10</sub>). After strict home isolation measures were adopted and traffic and industrial production were restricted, their concentrations dropped rapidly. With the resumption of work and industrial production, the PM<sub>10</sub> and PM<sub>2.5</sub> concentrations slowly increased (Figures 2,3). The effects of the summer lockdown occurred more rapidly than those during the winter; PM<sub>10</sub> and PM<sub>2.5</sub> concentrations dropped rapidly and remained constant. The PM<sub>10</sub> and PM<sub>2.5</sub> concentrations were 139.95 μg m<sup>-3</sup> and 157.36 μg m<sup>-3</sup>, respectively, during the winter lockdown, and 55.65 μg m<sup>-3</sup> and 19.5 μg m<sup>-3</sup>, respectively, during the summer lockdown.

The ground observation is limited for the site, which is insufficient for spatial distribution of air pollutants in an area. Therefore, this study by combining the NO<sub>2</sub> data of satellite remote sensing with the surface site observations to explore the variations of air pollutant concentrations affected by the lockdown in Urumqi.

Before the lockdown (Figure 4), the densely populated Tianshan district (TS), Toutunhe district (TTH) and Shayibake district (SYBK) had the highest tropospheric NO<sub>2</sub> values, ranging from 100 to 201 μmol m<sup>-2</sup> in winter and 80–99 μmol m<sup>-2</sup> in summer, which may have been related to significant motor vehicle emissions in these areas. The NO<sub>2</sub> concentration in winter to be greater than that in summer, which could be connected with the higher oxidability in the atmosphere with summertime strong solar radiation and high air temperature in this region. Comparing with the urban region, Urumqi County (WLMQ) and Dabancheng district (DBC), in the suburban areas, had lower tropospheric NO<sub>2</sub> concentrations, which ranged from 32 to 100 μmol m<sup>-2</sup> in winter and from 56 to 80 μmol m<sup>-2</sup> in summer; this reflects the impact of urban transportation on air





pollutant emissions. During the lockdown, the tropospheric NO<sub>2</sub> vertical column content during the lockdown in 90% of the high value areas was  $-13\sim 10^7 \mu\text{mol m}^{-2}$  lower than that before the lockdown in winter, and the tropospheric NO<sub>2</sub> vertical column content was reduced  $-9\sim 21 \mu\text{mol m}^{-2}$  in summer.

## DISCUSSION

The lockdowns in Urumqi had the significant impacts on NO<sub>2</sub>, PM<sub>10</sub>, PM<sub>2.5</sub>, and O<sub>3</sub> levels. The NO<sub>2</sub> concentrations decreased by 44.6% during the winter lockdown and 58.9% during the summer lockdown. During the winter lockdown, PM<sub>10</sub> and PM<sub>2.5</sub> concentrations decreased by 38.0 and 40%, respectively. During the summer lockdown, the PM<sub>10</sub> concentration decreased by 38.7%. However, compared with the historical and control periods, the O<sub>3</sub> concentration tended to increase. During the winter lockdown, the O<sub>3</sub> concentration increased by 112.5% compared with the control period. Similar positive anomalies in O<sub>3</sub> concentrations were observed in the Wuhan and Yangtze River Deltas during their lockdowns (Yuan et al., 2021; Zhang et al., 2022). The increased O<sub>3</sub> concentrations in Urumqi and other cities in China during lockdowns reflect the complexity of improving urban air quality by reducing anthropogenic air pollutant emissions (Ju et al., 2021; Peng, 2022). Additionally, the decline in SO<sub>2</sub> concentration reflected a reduction in industrial activities under the lockdown measures and was similar in magnitude to the SO<sub>2</sub> decline in Hangzhou (Yuan et al., 2021). However, the fluctuations in SO<sub>2</sub>

concentrations in Urumqi during the lockdown mainly resulted from the many factories in the Midong area, which was northeast of the city.

During the winter lockdown, the NO<sub>2</sub> and PM<sub>10</sub> concentrations decreased less than those during the summer lockdown. This was mainly due to the higher NO<sub>2</sub> and PM<sub>10</sub> concentrations in Urumqi during winter from artificial heat sources. Additionally, turbulence in the atmospheric boundary layer is far less intense in winter than that in summer; moreover, the boundary layer height is lower in winter than that in summer (Zhang et al., 2021). Hence, atmospheric pollutant transport was limited, thereby increasing their concentrations. The decline in SO<sub>2</sub> and CO concentrations during the two lockdowns differed from that in NO<sub>2</sub>. During the winter lockdown, SO<sub>2</sub> and CO concentrations declined relatively sharply and rapidly. Additionally, O<sub>3</sub> concentrations responded at a similar speed but increased instead. The increase in O<sub>3</sub> concentrations during the winter lockdown exceeded that during the summer.

However, avoiding the influence of weather systems or external factors on urban air pollution is often impossible, as was the case during the lockdowns. For example, during the summer lockdown, PM<sub>10</sub> and PM<sub>2.5</sub> concentrations in Urumqi spiked on August 25. The PM<sub>10</sub> concentration rose from  $40 \mu\text{g m}^{-3}$  during the previous day to  $144 \pm 27 \mu\text{g m}^{-3}$ ; 1 day later, it decreased to  $48 \mu\text{g m}^{-3}$ . The PM<sub>2.5</sub> concentration increased from  $16 \mu\text{g m}^{-3}$  during the previous day to  $27 \mu\text{g m}^{-3}$ ; it decreased to  $18 \mu\text{g m}^{-3}$  the next day. A precipitation event occurred in Urumqi on 25 August, and sand blew into the city at 20:00 (Beijing time). Thus, the air

over Urumqi became more turbid and the PM concentration increased, causing the atmospheric visibility to fall to 2.6 km. Precipitation began at approximately 7:00 on the morning of August 26 and lasted until 8:00 on the morning of August 27. The 24-h precipitation was 19.1 mm. Nevertheless, in this study, we did not eliminate the impacts of precipitation on air quality change, considering the low precipitation in Urumqi. In a future work, we will consider the complex influences of precipitation on air pollutant changes in this arid region of Northwest China.

## CONCLUSION

Owing to the COVID-19 lockdowns in Urumqi, the PM<sub>10</sub>, PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO concentrations in the city decreased, and the O<sub>3</sub> concentration increased. This effect was notable in the changes in the NO<sub>2</sub>, PM<sub>10</sub>, PM<sub>2.5</sub>, and O<sub>3</sub> concentrations. During the lockdown, the NO<sub>2</sub> concentrations decreased by 53% in winter and 13% in summer in the main urban areas, whereas they increased by 23% in winter and 9% in summer in the suburbs. These changes reflect the decline in total aerosols and the increase in some short-term air pollutants during lockdowns. Additionally, the various seasons and lockdown levels also had differing effects on the concentrations of urban air pollutants. Changes in pollutant concentrations during the gradual resumption of work and industrial production can provide a reference for the government to balance industrial production and air quality.

Nevertheless, seasonal impacts on SO<sub>2</sub>, CO, and O<sub>3</sub> concentrations and the primary pollutant types exceeded those of the lockdown measures. However, strict city lockdown measures played a vital role in the rapid decline of most air pollutant concentrations. In addition to lockdown measures, external factors and weather conditions influenced the pollution. Therefore, atmospheric pollutant concentrations can be improved by adopting certain government interventions or guidance measures. Notably, the O<sub>3</sub> concentration did not

decrease during the lockdown. Thus, during the development of future pollution reduction policies, targeted measures should be formulated to reduce O<sub>3</sub> concentrations, thereby reducing the pollution and harm caused by O<sub>3</sub>. Understanding the impacts of urban lockdowns and the subsequent restoration of activities on air quality will aid urban emissions control and further improve urban air pollution strategies.

## DATA AVAILABILITY STATEMENT

Publicly available datasets were analyzed in this study. This data can be found from [www.cnemc.cn/en](http://www.cnemc.cn/en) <https://scihub.copernicus.eu>.

## AUTHOR CONTRIBUTIONS

Conceptualization, AM and TZ; methodology, YW and JG; data curation, HS and YW; writing—original draft preparation, AM and YW; writing—review and editing, YW and FY; visualization, WH; supervision, CZ; project administration, JG; funding, YW and AM. All authors have read and agreed to the published version of the manuscript.

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