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# Base-flow segmentation and character analysis of the Huangfuchuan Basin in the middle reaches of the Yellow River, China

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In recent years, with the deterioration of the ecological environment, runoff in the Yellow River has been decreasing. Given these phenomena, it is necessary to research the base-flow segmentation methods and its characteristics. The Huangfuchuan Basin in the middle reaches of the Yellow River basin was selected as the research area. This paper calculated the base-flow with commonly used base-flow segmentation methods, including Base-flow index method (BFI), Hydrograph-separation techniques (HYSEP), and the digital filtering methods, and compared the applicability of these methods in the study area. Then the variation characteristics, abrupt change year, periodic change, and future trend of the base-flow were analyzed. The results are as follows: 1) Through the analysis and comparison of several common base-flow segmentation methods, these methods had a marked difference in base-flow segmentation. The variance and extreme-ratio of DF4 in the digital filtering methods were small. The correlation between DF4 and other methods was high. DF4 in the digital filtering methods was the most appropriate method in the research area. 2)The runoff and base-flow index presented a decreasing trend. The annual average runoff was 1,100.27  $\times$   $10^4\,m^3,$  and the maximum base-flow was 0.21. The base-flow also showed a significant decrease trend by the Mann-Kendall trend test, the average base-flow was  $10,578.35 \times 10^4 \text{ m}^3$ . 3) The base-flow had periodic variations of 3-6, 7-18, and 19-32. In the 19-32 years time scale, three oscillations alternated between abundant and dry. The whole time was 31 years as the center of the periodic change, the first primary cycle of base-flow change. 4) The base-flow mutation occurred in 1986. The Hurst index of base-flow in the Huangfuchuan Basin was 0.84. It was shown that the trend of future development is positively correlated with past change, showing a trend of continuous decrease. Selecting the appropriate method of base-flow segmentation and reasonably analyzing the variation characteristics of base-flow can provide scientific guidance for the ecological environment construction and water resource evaluation in the Huangfuchuan Basin and even the Yellow River Basin.

#### KEYWORDS

base-flow, the Huangfuchuan basin, base-flow segmentation, wavelet analysis, Mann-Kendall test

## Introduction

Water resources are the primary condition for the sustainable development of the Earth's ecological environment. Meanwhile, it is an indispensable material for the survival of organisms (Liao et al., 2020). With the rapid growth of the social economy, many countries face different degrees of water shortages (Chang, 2009). Climate change and human activities pose significant threats to water resources and water cycles. The complex relationship between the water cycle, climate change, and human activities has become the focus of scientific research today (Bastin et al., 2019).

Base-flow is a considerable part of water resources and plays a vital role in the water cycle (Chen et al., 2006). Base-flow is the essential runoff replenished by groundwater, and it is also the primary runoff to maintain the stability of the ecosystem (Brutsaert and Nieber, 1977; Tallaksen, 1995). It has the characteristics of stable flow and slight variation in annual distribution. The distribution features of base-flow are influenced by climate type, topography, and soil properties. Meanwhile, the influence of human activities is also gradually growing (Veldkamp et al., 2017). Base-flow plays a crucial role in maintaining the water balance, managing water resources reasonably and scientifically, and maintaining ecological river health. Base-flow is a hot topic that attracts attention and exploration in hydrology research (Lin and Li, 2010). In recent years, experts and scholars have performed much research on the base-flow and obtained some breakthroughs, but the definition of base-flow has not been unified, which has led to the diversity of base-flow segmentation methods (Santhi et al., 2008; Janke et al., 2014).

Base-flow segmentation is the focus and difficulty of current baseflow research. To date, many base-flow segmentation methods have been proposed by scholars from various countries, but it is still difficult to find a universally recognized base-flow segmentation method (Xu et al., 2016; Eckhardt, 2008). The traditional techniques of base-flow segmentation include the straight-line segmentation method and regression curve method. Nevertheless, these methods are difficult to apply to long time series data due to their complex calculation (Zuo et al., 2007). With the wide application of computer technology in hydrology, automatic base-flow segmentation methods have been developed, including BFI (Wels et al., 1991), HYSEP (Sloto and Crouse, 1996), digital filter methods (Arnold et al., 1995), Kalinin method (Wittenberg and Sivapalan, 1999), hydrological model method, and isotope method (Li et al., 2009). The United States Geological Survey (USGS) developed the Base-flow Partitioning Method (PART) and compared it with the manual mode. The difference between the result of this method and manual calculation was less than 10%, so the result was reasonable (Rutledge, 1993). The digital filtering method was first proposed in 1979. Nathan and McMahon improved the technique and verified the base-flow segmentation results of different watersheds. The segmentation results of the digital filtering method were reasonable. Automatic base-flow segmentation technology has become mature and widely used (Lyne and Hollick, 1979; Chapman, 1991). The smoothed minimum method proposed by the British Institute of Hydrology was compared with the manual method to segment the base-flow. A better result can be obtained when the optimal inflection point test factor is 0.9 (Mazvimavi et al., 2004). With the wide application of 3S technology, the emergence of distributed hydrological models, such as the TOPgraphy based hydrotogical Model (TOPMODEL) and Soil and Water Assessment Tool (SWAT), provided a suitable method for base-flow segmentation (Partington et al., 2012). These methods had reasonable objectivity and repeatability; consequently, they had been applied and developed rapidly in current studies. However, the segmentation results of these methods were not identical or even entirely different.

In practical applications, selecting stable and reliable base-flow segmentation according to hydrogeological conditions of different basins has become the research focus. Eckhardt used seven other methods to calculate the base-flow of 65 watersheds in the northern United States. The results showed that the calculation results based on the two-parameter Eckhardt filtering method were more reasonable and more consistent with the receding process of base-flow (Eckhardt, 2008). Zhang et al. used the SWAT model to simulate surface runoff and base-flow in a small watershed in the United States. The results showed that SWAT model simulation had superior performance. This technology can be used as a valuable tool to explore surface runoff and base-flow. It also provided a reference for further diagnostic evaluation and model recognition (Zhang et al., 2011). Wang et al. used five automatic base-flow segmentation methods to segment the runoff data of Luoshan Station in the Yangtze River from 1965 to 2012. They found that the annual baseflow process segmented by the five methods was significantly different. The calculation results of BFI were more in line with the various characteristics of base-flow. It was a suitable method for base-flow segmentation in the middle reaches of the Yangtze River (Wang et al., 2015). Lv et al. used the fixed interval method, sliding time method, local minimum method, and digital filtering method to calculate base-flow in the Fen River Basin. The curve of the digital filtering method was smooth and consistent with runoff, which conformed to the regularity of base-flow. The digital filtering method can objectively reflect the base-flow condition of the Fenhe River basin (Lv et al., 2021). Yang et al. used the SWAT model to simulate the base-flow of the Luohe River Basin. The results showed that the accuracy of the SWAT model simulation is higher than that of the digital filtering methods (Yang et al., 2003).

The Yellow River is an essential river in northern China. The Huangfuchuan Basin is one of the most severe areas of soil erosion in the middle of the Yellow River Basin. Climate change, plant change, and soil and water conservation measures have significantly affected



the watershed. In recent decades, the runoff of the Huangfuchuan Basin has shown a declining trend (Sui et al., 2008). Studies by experts and scholars have shown that climate change and human activities are the main reasons for the decrease in runoff and sediment movement law (Shao et al., 2014; Liu et al., 2021). However, most of the research was mainly on runoff, and few types of analysis were on the division and trend change of base-flow. In the middle reaches of the Yellow River Basin, the soil erosion control measures for a long time have resulted in the change of the flow matrix and the base-flow. Studying base-flow in the Huangfuchuan Basin is essential content for water balance and water resources management. It is also an essential basis for maintaining river ecological health (Yi and Zhou, 2017).

Based on the daily runoff data of the Huangfuchuan Basin this paper used BFI, HYSEP, and the digital filtering methods to calculate the base-flow. Through comparative analysis, this paper obtained the most suitable base-flow segmentation method in Huangfuchuan basin. This paper used the Mann-Kendall trend test, Pettitt mutation point test, Hurst index method, and wavelet analysis method to systematically research the properties of baseflow. We revealed the interannual and periodic changes of runoff and base-flow in the study area from 1960 to 2015, and predicted the future changes of baseflow. With the contradiction between the supply and demand of water resources becoming increasingly prominent, the study of this paper could provide essential information on the production mode and flow decision, the redistribution of water resources, and the optimization of the economic layout in the Huangfuchuan Basin.

## Materials and methods

## Study area

The Huangfuchuan Basin is located in the middle reaches of the Yellow River (Figure 1). It is in the transition zone between the Loess Plateau and the desert steppe. The latitude and longitude range are 113.3°-111.2° E, 39.2°-39.9° N, with a catchment area of 3,246 km<sup>2</sup> and the main steam of 137 km (Li et al., 2019). As a result of the high difference in topography and heavy rain erosion, the Huangfuchuan Basin formed a hilly gully landform. The basin has an arid and semiarid climate, with an annual average temperature of 6-7°C and an annual rainfall of 350-450 mm. The annual variation of rainfall is considerable, and most of them are concentrated in summer in the form of heavy rain. Rainfall and groundwater recharge are the primary water sources, and the correlation between annual runoff and rainfall is obvious and uneven (Hu et al., 2018). It is a typical farming-pastoral ecotone, and the land use types are mainly grassland, cultivated land, and artificial forestland. Drought and rainstorm disasters frequently occur in the Huangfuchuan Basin. Soil erosion and land desertification make the river ecological environment and hydrological process very sensitive (Wei and Jiao, 2017).

## Data source

The runoff data were from the Hydrological Yearbook of the Yellow River Basin by the Yellow River Water Conservancy Commission of the Ministry of Water Resources. We used the daily runoff data of the hydrological station in the Huangfuchuan Basin from 1960 to 2015.

## Base-flow segmentation method

#### BFI

The Base-flow index method (BFI) is a way to calculate base-flow with base-flow index as weight coefficient, which is mainly expressed by the ratio of base-flow and total runoff. There are three main steps in the calculation process. First, the same time interval was selected to divide the annual daily runoff process into 365/N periods. The study usually uses trial analysis to determine N. N could be 1, 2, 3. . ., until the base-flow index tends to be stable. This paper calculated the daily runoff data of the Huangfuchuan Basin and found that when  $N \ge 5$ , the baseflow index is stable. So in this paper, we used parameter N = 5. Second, we should determine the minimum flow value of this period, and compare it with the minimum flow value of adjacent periods. Finally, we should evaluate each inflection point on the flow process line. The flow process line is connected to all inflection points. BFI method has BFI<sub>f</sub> and BFIk. Generally, their inflection point test factors F and K are 0.9 and 0.9792, respectively (Wels et al., 1991; Mazvimavi et al., 2004).

#### HYSEP

Pettyjohn and Henning first compiled the base-flow calculation program and proposed the Hydrograph-separation techniques (HYSEP) in 1979 (Pettyjonh and Henning, 1979). It mainly includes Fixed interval method, Sliding time method, and Local minimum method (Sloto and Crouse, 1996). The formula is:

## $N = (2.59A)^{0.2}$

where, A is the basin area, N is the duration of surface runoff. The area of the Huangfuchuan Basin is  $3,246 \text{ km}^2$ . Through calculation, N = 6.09 is obtained. The base-flow segmentation interval parameter t is an odd number that is closest to 2t and between 3 and 11. In this paper, N = 11 is used to calculate the base-flow.

Fixed interval method: the base-flow in the segmentation interval is equal to the minimum flow in the period. Sliding time method: the base-flow at the current moment is the minimum flow in the period before and after (2N-1)/2d. Local minimum method: in the selected time interval, the base-flow at the central moment is the minimum flow in the period before

and after (2N-1)/2d. The flow at other times is obtained by linear interpolation with the flow at the major moment of the adjacent break.

#### Digital filtering method

The digital filtering method is a common technology of baseflow segmentation. It can decompose the signal into a highfrequency signal (surface runoff) and a low-frequency signal (base-flow) through a digital filter to achieve base-flow segmentation (Eckhardt, 2012). Digital filtering methods include Lyne-Hollick filtering (DF1) (Lyne and Hollick, 1979), Chapman-Maxwell filtering (DF2) (Chapman, 1999), Boughton-Champan filtering (DF3) (Boughton, 1993), and Eckhardt filtering (DF4) (Eckhardt, 2005).

Lyne-Hollick filtering (DF1):

$$\boldsymbol{q}_t = \partial \boldsymbol{q}_{t-1} + \frac{1+\partial}{2} (\boldsymbol{Q}_t - \boldsymbol{Q}_{t-1}), \ \boldsymbol{b}_t = \boldsymbol{Q}_t - \boldsymbol{q}_t$$

where,  $Q_t$  is the river runoff;  $q_t$  is the surface runoff;  $b_t$  is baseflow;  $\partial$  is the filter coefficient; and when  $\partial$  is 0.925, the effect is better.

Chapman-Maxwell filtering (DF2)

$$\boldsymbol{b}_t = \frac{\boldsymbol{k}}{2-\boldsymbol{k}}\boldsymbol{b}_{t-1} + \frac{1-\boldsymbol{k}}{2-\boldsymbol{k}}\boldsymbol{Q}_t$$

where, k is the regression coefficient, in general, k = 0.95. Boughton-Champan filtering (DF3)

$$\boldsymbol{b}_t = \frac{\boldsymbol{k}}{1+\mathbf{C}} \boldsymbol{b}_{t-1} + \frac{\boldsymbol{C}}{1+\boldsymbol{C}} \boldsymbol{Q}_t$$

where, *C* is the parameter, in general, C = 0.15.

Eckhardt filtering (DF4)

$$\boldsymbol{b}_t = \frac{\boldsymbol{\alpha}(1 - \boldsymbol{B}_{max})\boldsymbol{b}_{t-1} + (1 + \boldsymbol{\alpha})\boldsymbol{B}_{max}\boldsymbol{Q}_t}{1 - \boldsymbol{\alpha}\boldsymbol{B}_{max}}$$

where,  $B_{max}$  is the maximum base flow coefficient of the river.

## Trend research method

#### Mann-Kendall trend analysis

The Mann-Kendall (MK) test is an effective tool to show the trend of change recommended by meteorological and hydrological institutions at home and abroad (Burn and Elnur, 2002). The MK test method is nonparametric. The nonparametric test method has the advantage of strictly following a specific distribution and is not affected by a few outliers. The MK test has been widely used in the change trend analysis of meteorological and hydrological time series. Its calculation formula is as follows:

Define the test statistic S:

$$S = \sum_{k=1}^{n-1} \sum_{j=K+1}^{n} sgn(x_j - x_k)$$
$$Sgn(X_j - X_k) = \begin{cases} +1 & if(X_j - X_k) > 0\\ 0 & if(X_j - X_k) > 0\\ -1 & if(X_j - X_k) > 0 \end{cases}$$

Calculate the standard statistic Z:

$$Z_{MK} = \begin{cases} \frac{S-1}{\sqrt{n (n-1) (2n+5) / 18}} & \text{for } S > 0 \\ 0 & \text{for } S = 0 \\ \frac{S+1}{\sqrt{n (n-1) (2n+5) / 18}} & \text{for } S < 0 \end{cases}$$

where, n is the number of samples,  $x_j$  and  $x_k$  are the ranks of samples,  $x_i$  and  $x_j$  of the time series.

When Z < 0, the sequence shows an upward trend; when Z > 0, the series shows a downward trend. When the absolute value of Z is greater than or equal to 1.28, 1.64, 2.32, it means that it passes the significance test of the confidence interval of 90, 95, and 99% (Chen and Xu, 2016).

#### Pettitt mutation point analysis

The Pettitt mutation point test is an analysis method for the mutation point of hydrological time series based on the nonparametric test, and its premise is that there is trend change in the series (Pettitt, 1979). The formula can be calculated as follows:

$$U_{t} = \sum_{i=1}^{t} \sum_{j=K+1}^{T} sgn\left(x_{i} - x_{j}\right)$$
$$Sgn\left(X_{j} - X_{k}\right) = \begin{cases} +1 & if\left(X_{i} - X_{j}\right) > 0\\ 0 & if\left(X_{i} - X_{j}\right) = 0\\ -1 & if\left(X_{i} - X_{j}\right) < 0 \end{cases}$$

When testing the sequence, it uses the K-S two-sample test according to the theory of sequential statistics. The position of the mutation point (P) is the accumulation probability of the difference between the maximum values of the two-sample distribution functions.

$$K_t = max_{1 \le t \le T} |U_t|$$
$$p(K_t \le \alpha) = \left[ ln \alpha (N^3 + N^3)/6 \right]^{1/2}$$

#### Wavelet analysis method

Wavelet analysis provides the possibility to study time series better. It can reveal a variety of trends hidden in the time series and can qualitatively estimate the future development trend of the system (Wang, 2005).

The calculation formula of wavelet transforms:

$$W_f(a,b) = |a|^{-1/2} \int f(t) \times \varphi\left(\frac{t-b}{a}\right) db$$

The calculation formula of wavelet square difference:

$$V(a) = \int |W_f(a, b)|^2 db$$

where,  $W_f(a, b)$  is a wavelet transform coefficient;  $\varphi(t)$  is the wavelet function; f(t) is the hydrologic time series; a is the scale factor of sequence period length; b is the time factor for time translation; V(a) is the wavelet variance.

#### Hurst index

The Hurst index is an effective method to describe the longterm dependence of a time series and is used to estimate the persistence or anti-persistence of trends in time series (Bashir et al., 2020). The R/S analysis method is commonly used to obtain the Hurst index.

The Hurst index has three methods: 1) H > 0.5 means the persistence of the series, and the same trend changes in the future time series. 2) H = 0.5 stands for the randomness of the time series without persistence, indicating that the changing trend of the future time series has nothing to do with other changes. 3) H < 0.5 indicates the anti-persistence of the series, indicating that there is an opposite change trend in the future time series.

### Results

# Suitability analysis of base-flow segmentation

#### Base-flow index analysis

In this paper, the study area was segmented by nine base-flow segmentation methods in three categories (Table 1). The results of different segmentation methods differ significantly.

Among the base-flow index results calculated by HYSEP, the results calculated by LocalMin were too large, 0.249. The Fixed and Slide results were 0.179 and 0.16, respectively. BFI showed little difference and was stable at 0.1; the results obtained by the digital filtering methods were relatively scattered. In particular, the base-flow index calculated by DF2 was less than 0.1, which was the ratio of base-flow to river runoff was less than 10%. The calculation result was too small to conform to the characteristics of groundwater discharge in the study area. The other three calculation results were relatively stable, at 0.124, 0.136, and 0.113. The results of base-flow index calculated based on BFI, DF1, and DF4 are relatively close, which are all between 0.11 and 0.12. Among the nine base-flow segmentation methods, except the LocalMin, the base-flow index calculated by other methods presented a decreasing trend.

Period	HYSEP			BFI		Digital filtering			
	Fixed	Slide	LocalMin	BFI(F)	BFI(K)	DF1	DF2	DF3	DF4
1960-1969	0.221	0.198	0.188	0.144	0.151	0.156	0.070	0.151	0.134
1970-1979	0.146	0.124	0.131	0.090	0.091	0.098	0.048	0.118	0.092
1980-1989	0.167	0.164	0.167	0.113	0.028	0.115	0.033	0.119	0.039
1990-1999	0.129	0.104	0.128	0.057	0.057	0.073	0.027	0.101	0.109
2000-2009	0.041	0.036	0.284	0.023	0.024	0.064	0.017	0.057	0.078
2010-2015	0.202	0.184	0.410	0.137	0.128	0.109	0.035	0.130	0.043
Average	0.179	0.160	0.249	0.111	0.110	0.124	0.047	0.136	0.113

TABLE 1 The result of base-flow segmentation method.

TABLE 2 Characteristic plant of base-flow segmentation method.

	HYSEP			BFI		Digital filtering			
	Fixed	Slide	LocalMin	BFI (F)	BFI (K)	DF1	DF2	DF3	DF4
Mean	0.179	0.160	0.249	0.111	0.110	0.124	0.047	0.136	0.113
Variance	0.110	0.100	0.219	0.079	0.079	0.067	0.034	0.059	0.051
Maximum	0.571	0.520	0.730	0.447	0.447	0.305	0.223	0.259	0.211
Minimum	0.005	0.005	0.041	0.005	0.005	0.003	0.002	0.004	0.009
Extreme-ratio	105.498	101.933	16.770	97.037	97.037	92.171	103.051	63.870	23.600

## Stability analysis

We calculated the variance and extreme-ratio (the ratio of the difference between maximum and minimum to minimum) of nine methods and compared their stability (Table 2). From the mean value, the results of the HYSEP were generally high, which was between 0.16 and 0.25; the result of BFI was relatively stable; in the digital filter methods, the values of DF1, DF3, and DF4 were between 0.1 and 0.14, and DF2 calculation had a small mean value. In terms of variance, HYSEP had high variances, and the value was above 0.1. The variance of BFI was 0.079. In the digital filter methods, DF2 and DF4 had minor variance. From the extreme-ratio, the smaller values were LocalMin in HYSEP and DF4; the largest values were Fixed in HYSEP and DF2. From the comprehensive perspective of the mean value, variance, and extreme-ratio, the variance and extreme-ratio of DF4 were relatively small. The base-flow index of different years was between 0.009 and 0.221. The calculation results of this method have slight interannual variation and are in line with the characteristics of slight fluctuation of base-flow age.

#### Correlation analysis

The correlation of the nine base-flow segmentation methods was calculated (Table 3). The correlation between the base-flow index values calculated by the LocalMin in the HYSEP and other methods was very low, so the following discussion did not include it. In the HYSEP, the correlation between the Fixed and other methods was more than 0.5. Similarly, the correlation between the Slide and other methods was more than 0.5. The correlation of the base-flow index obtained by BFI (F) and BFI (K) was close to other methods, and the correlation with other methods was more than 0.45. In the digital filtering methods, the correlation coefficient between DF1 and other methods was between 0.57 and 0.77, and DF2 and other methods were between 0.46 and 0.66. The correlation coefficient between DF3 and other techniques was 0.63–0.89. The correlation between F4 and other methods was higher than 0.77 except for the correlation with DF2. In the three categories of methods, the correlation between the BFI and HYSEP is better than digital filtering methods, indicating that BFI is close to the base-flow segmentation results of HYSEP.

By analyzing the base-flow segmentation method, the value of the LocalMin in HYSEP was too small, and DF2 in the digital filtering methods was too high; neither of these two methods can accord with the change characteristics of base-flow in the study area. In general, the base-flow index of DF4 had the highest correlation with the other methods. Its variance and extreme-ratio were generally very small, showing high stability. In the Huangfuchuan Basin, the base-flow result calculated by DF4 in the digital filtering method was more appropriate, and base-flow results were also used in this paper to analyze the base-flow changes.

HYSEP			BFI		Digital filtering			
Fixed	Slide	LocalMin	BFI(F)	BFI(K)	DF1	DF2	DF3	DF4
1.000								
0.961	1.000							
0.157	0.181	1.000						
0.905	0.949	0.180	1.000					
0.891	0.927	0.171	0.985	1.000				
0.706	0.752	0.348	0.711	0.701	1.000			
0.513	0.551	0.042	0.469	0.492	0.576	1.000		
0.862	0.851	0.030	0.773	0.756	0.637	0.657	1.000	
0.879	0.905	0.061	0.843	0.829	0.774	0.629	0.891	1.000
	HYSEP Fixed 1.000 0.961 0.157 0.905 0.891 0.706 0.513 0.862 0.879	HYSEP   Fixed Slide   1.000 1.000   0.961 1.000   0.157 0.181   0.905 0.949   0.891 0.927   0.706 0.752   0.513 0.551   0.862 0.851   0.879 0.905	HYSEP     Fixed   Slide   LocalMin     1.000   1.000   1.000     0.961   1.000   1.000     0.157   0.181   1.000     0.905   0.949   0.180     0.891   0.927   0.171     0.706   0.752   0.348     0.513   0.551   0.042     0.862   0.851   0.030     0.879   0.905   0.061	HYSEP   BFI     Fixed   Slide   LocalMin   BFI(F)     1.000   1.000   1.000   1.000     0.961   1.000   1.000   1.000     0.157   0.181   1.000   1.000     0.905   0.949   0.180   1.000     0.891   0.927   0.171   0.985     0.706   0.752   0.348   0.711     0.513   0.551   0.042   0.469     0.862   0.851   0.030   0.773     0.879   0.905   0.061   0.843	HYSEP   BFI     Fixed   Slide   LocalMin   BFI(F)   BFI(K)     1.000	HYSEP   BFI   Digital     Fixed   Slide   LocalMin   BFI(F)   BFI(K)   DF1     1.000   0.961   1.000   0.157   0.181   1.000   0.157   0.181   1.000   0.905   0.949   0.180   1.00	HYSEP   BFI   Digital filtering     Fixed   Slide   LocalMin   BFI(F)   BFI(K)   DF1   DF2     1.000	HYSEP   BFI   Digital filtering     Fixed   Slide   LocalMin   BFI(F)   BFI(K)   DF1   DF2   DF3     1.000

TABLE 3 Correlation of base-flow segmentation methods.



## Analysis of base-flow characteristics

#### Trend analysis

Through the trend line in the research area, the runoff presented a decreasing trend (Figure 2). The maximum runoff occurred in 1979, which was  $43,712.22 \times 10^4$  m<sup>3</sup>, and the annual average runoff was  $1,100.27 \times 10^4$  m<sup>3</sup>. The base-flow index also showed a downward trend. The maximum base-flow index was 0.21, which appeared in 1962. The average base-flow was  $10,578.35 \times 10^4$  m<sup>3</sup>, the maximum yearly base-flow was  $46.679 \times 10^4$  m<sup>3</sup>. The Mann-Kendall trend approach to calculate the Z-testing statistical value was -6.349, indicating that the base-flow presented a significant downward trend and reached a significant level of 99% reliability.

## Mutagenicity analysis and persistent

We used the Pettitt mutation point test method to analyze the base-flow mutation years in the Huangfuchuan Basin from 1960 to



2015 (Figure 3). The mutation year was 1986, and the confidence level was 99%. The Hurst index of base-flow in the study area was 0.84 (H = 0.84). H > 0.5, there was a long-term correlation between the future trend and its historical trend. As seen from the above, the base-flow in the study area is decreasing continuously, and it will be further reduced in the future.

#### Periodicity

The periodic variation of base-flow in the study area was analyzed by a wavelet coefficient diagram and wavelet variance (Figure 4). The base-flow presented periodic variation characteristics at scales of 3–6, 7–18, and 19–32. In the 19–32 years time scale, three oscillations alternated between abundant and dry. The center of the periodic change was 31 years, corresponding to the first peak value of the wavelet variance. The oscillation was weak on the time scale of 3–6 years and gradually disappeared in 1980. On a time scale of 7–18 years, there were six oscillations and the oscillations gradually became weaker.



The wavelet coefficient of base-flow in the Huangfuchuan Basin.



In the wavelet variance of base-flow, there are three peaks, corresponding to 5, 15, and 31 years (Figure 5). Below 10 years, the cycle oscillates violently without apparent regularity. On scales 15 and above, periodic and regular regions were evident. The first peak value of the wavelet square difference was 31 years, which was the first main period of base-flow change.

## Discussion

The Huangfuchuan Basin is one of the areas with the most severe soil erosion on the Loess Plateau. In recent years, climate change and human activities have changed the base-flow, so researching the base-flow is indispensable for constructing the local ecological environment. This paper used HYSEP, BFI, and the digital filters methods to calculate the base-flow in the study area. By comparing the stability and correlation of the nine methods, the variance and extreme-ratio of DF4 in the digital filter methods were generally minor, and the correlation with other methods was also high. It is concluded that the base-flow segmentation result of DF4 was the most appropriate. According to the DF4 results, the average annual base-flow in the study area is  $1,057.84 \times 10^4$  m<sup>3</sup>, and the average yearly base-flow index is 0.113. Liu et al used BFI and the digital filtering methods to calculate the base-flow of the Huangfuchuan Basin, and the study showed the changes of runoff and base-flow showed a decreasing trend, which was consistent with the results of this paper (Liu et al., 2016). In addition, this paper analyzed the periodicity, mutation year and future trend of base-flow. The abrupt change of base-flow is 31 years. There was also a trend of further decrease in the future.

Base-flow segmentation is a common problem in hydrological analysis and calculation of watersheds. At present, there are many methods for base-flow segmentation; however, there is no universally accepted technology. The segmentation results of each technology are not exactly the same, and sometimes even very different. Due to the differences in hydrogeological conditions and basic abortion flow processes, the appropriate methods are different in different basins. In the Yangtze River Basin, BFI is the most suitable method for baseflow segmentation, while in the Fenhe River Basin and the Huangfuchuan Basin, digital filtering method is the most suitable method (Wang et al., 2015; Lv et al., 2021). In addition to the above automatic segmentation methods, hydrological modeling methods also began to develop rapidly. SWAT was used to study a small area in the United States and the Luohe River basin, and the study showed that the accuracy of SWAT was higher than that of the digital filtering method (Yang et al., 2003).

Base-flow is a component of runoff, which is affected by climatic characteristics, precipitation, human activities, vegetation and soil characteristics (Hu et al., 2021). Li et al showed that forest disturbance not only increased the runoff of the basin, but also increased the base-flow. Climate change, contrary to the effect of forest disturbance, reduced the flow of all runoff components (Li et al., 2018). Guo et al showed that different land use types, precipitation, drainage density and river gradient affected the change of base-flow on the Loess Plateau. The change of runoff and base-flow shows a decreasing tendency in the Huangfuchuan Basin (Guo et al., 2011). According to the climate characteristics of the Huangfuchuan Basin, the temperature shows a rising trend, while the precipitation shows a declining trend (Hu et al., 2018). Evapotranspiration intensifies the water pressure, and the decrease of rainfall caused by climate change has an important impact on runoff and base-flow. In addition, the changes of runoff and baseflow are also affected by human activities, such as conversion of farmland to forest and water conservation processes, which change the underlying surface and reduce the runoff (Zhao et al., 2013; Liu et al., 2016). In recent years, there has been excessive exploitation of coal resources and the increase of urban land area in the Huangfuchuan Basin (Jin et al., 2013; Yu et al., 2014). These

human activities have greatly reduced the water area and affected runoff and base-flow.

Due to the limitations of data, research methods, and techniques, this paper still has some deficiencies and uncertainty. In this paper, we used a variety of methods widely recognized to calculate the base-flow and selected the most suitable segmentation method. However, base-flow is difficult to measure directly, so it was difficult to verify the results of base-flow segmentation method. The results in this paper were only the estimated value of the actual base-flow, rather than the real value (Lei et al., 2021). Using the results to analyze the variation characteristics of base-flow, and only using the method of mathematical statistics, there are certain errors between the results and the actual situation. Therefore, in future research, we should further optimize the practicability of the base-flow segmentation method in the Huangfuchuan Basin.

# Conclusion

In this paper, nine base-flow segmentation methods were used to calculate the base-flow, and then their suitability in the Huangfuchuan Basin was discussed. Based on MK trend analysis, Pettitt mutation point test, Wavelet analysis method, and Hurst index, the characteristics of base-flow were studied. The main conclusions of this paper are as follows:

- Among the results of HYSEP, BFI, and the digital filtering methods for base-flow, the variance and extreme-ratio of DF4 in the digital filtering methods were slight. The correlation between DF4 and other base-flow segmentation methods was the highest. The DF4 results were consistent with the stable characteristics of base-flow; thus, it was the most stable and appropriate method in the Huangfuchuan Basin.
- 2) The runoff and base-flow presented a decreasing trend. We used MK trend test to analyze the movement of base-flow, which showed a decreasing trend, and the annual base-flow was  $1,057.84 \times 10^4$  m<sup>3</sup>.
- 3) The Pettitt abrupt change point and the Hurst index were used to analyze the catastrophe point and future change trend of base-flow. The abrupt change point occurred in 1986. The trend of future development was positively correlated with the past change, showing a trend of continuous decrease.
- 4) According to the results of wavelet analysis, the base-flow showed a periodic change of 3–6, 7–18, 19–32 scales. Base-flow showed a period change centered on 31 years, corresponding to the first front value of wavelet square.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary materials, further inquiries can be directed to the corresponding author.

## Author contributions

Conceptualization—YH and XM; methodology—YH, YZ, LJ, and YL; Writing—original draft preparation, YZ; Writing—review and editing, YH and XM. All authors have read and agreed to the published version of the manuscript.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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