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# Using MODIS data to track the long-term variations of dissolved oxygen in Lake Taihu

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Dissolved oxygen (DO) is crucial for the health of aquatic ecosystems, and plays an essential role in regulating biogeochemical processes in inland lakes. Traditional measurements of DO using the probe or analysis in a laboratory are time-consuming and cannot obtain data with high frequency and broad coverage. Satellites can provide daily/hourly observations within a broad scale and have been used as an important technique for aquatic environments monitoring. However, satellite-derived DO in waters is challenging due to its non-optically active property. Here, we developed a two-step model for retrieving DO concentration in Lake Taihu from Moderate Resolution Imaging Spectroradiometer (MODIS) Aqua images. A machine learning model (eXtreme gradient boosting) was developed to estimate DO from field water temperature, water clarity, and chlorophyll-a (Chla) (root-mean-square error (RMSE) = 0.98 mg L<sup>-1</sup>, mean absolute percentage error (MAPE) = 7.9%) and subsequently was validated on MODIS-derived water temperature, water clarity, and Chla matchups with a satisfactory accuracy (RMSE = 1.28 mg L<sup>-1</sup>, MAPE = 9.9%). MODIS-derived DO in Lake Taihu from 2002 to 2021 demonstrated that DO ranged from 7.2 mg L<sup>-1</sup> to 14.2 mg L<sup>-1</sup>, with a mean value of 9.3 mg L<sup>-1</sup>. DO in the northern region was higher than in the central and southern regions, and higher in winter than in summer. We revealed that DO in this decade (2010–2021) was considerably lower than that in the last decade (2002–2009). Meanwhile, annual mean of DO increased in 2002–2009 and decreased from 2010 to 2021. The spatial distribution of DO in Lake Taihu was related to Chla and water clarity, while seasonal and interannual variations in DO resulted from air temperature primarily. This research enhances the potential use of machine learning approaches in monitoring non-optically active constituents from satellite imagery and indicates the possibility of long-term and high-range variations in more water quality parameters in lakes.

## KEYWORDS

satellite, eutrophication, lake, Do, water quality

# 1 Introduction

Lakes provide human beings critical living resources, such as water, food, transportation, and recreation (Zhang et al., 2022). Under the influences of climate changes and human activities, lake environments have been altered, and some ecological effects were induced, such as lake warming (O'Reilly et al., 2015), intensified cyanobacterial scums (Huisman et al., 2018; Fang et al., 2022; Hou et al., 2022), loss of aquatic vegetation (Zhang et al., 2017), and water deoxygenation (Jane et al., 2021). Among water quality indicators, dissolved oxygen (DO) is defined as the amount of free and non-compound oxygen dissolved in water (Wetzel 2001), which is one of the most critical factors for water quality and health ecosystem. DO supports aquatic life and basic oxygen demands (e.g., decomposition of organic matter) and frequently regulates biodiversity (Schindler 2017), nutrient biogeochemistry (North et al., 2014), greenhouse gas emissions (Encinas Fernández et al., 2014), and drinking water quality (Michalak et al., 2013). However, a number of studies have reported a decline in DO and even the occurrence of hypoxia and anoxia in coastal and inland lakes (Breitburg et al., 2018; Chi et al., 2020; Jane et al., 2021). The monitoring and understanding of spatial variations and long term trends of DO in lakes is anticipated to support lake management efficiently under global change.

Traditional measurements of DO using the probe or analysis in a laboratory are time-consuming and unable to obtain high frequency and broad coverage data, considerably restricting the understanding of DO changes in lakes (Stanley et al., 2019). Satellites can provide daily/hourly observations within a broad scale, and they have been used as a crucial technique for monitoring aquatic environments (Kravitz et al., 2021). In general, the changes in optical active constituents (OACs), including chlorophyll-a (Chla), suspended particulate matter (SPM), and colored dissolved organic matter (CDOM), can be directly related to the variations in water-leaving radiance (Gordon 1983). Hence, numerous models have been developed for deriving OACs and applied to ocean color missions, such as the Moderate Resolution Imaging Spectroradiometer (MODIS) onboard Terra (1999–present) and Aqua (2002–present) (Song et al., 2014; Mouw et al., 2015; Palmer et al., 2015). Despite these successful ocean color applications, studies with respect to monitoring water quality parameters with non-optical properties (e.g., DO and nutrients) remains lacking (IOCCG 2018).

Several recent studies have tried to use empirical relations between water quality and band combinations to achieve remote sensing of non-optical parameters. For example, Shi et al. (2020) found that the reflectance of the red and near-infrared bands was useful for mapping particle phosphorus. Xiong et al. (2022) used machine learning models to estimate total phosphorus from MODIS reflectance data. Batur and Maktav (2019) employed principal component analysis to estimate several water qualities,

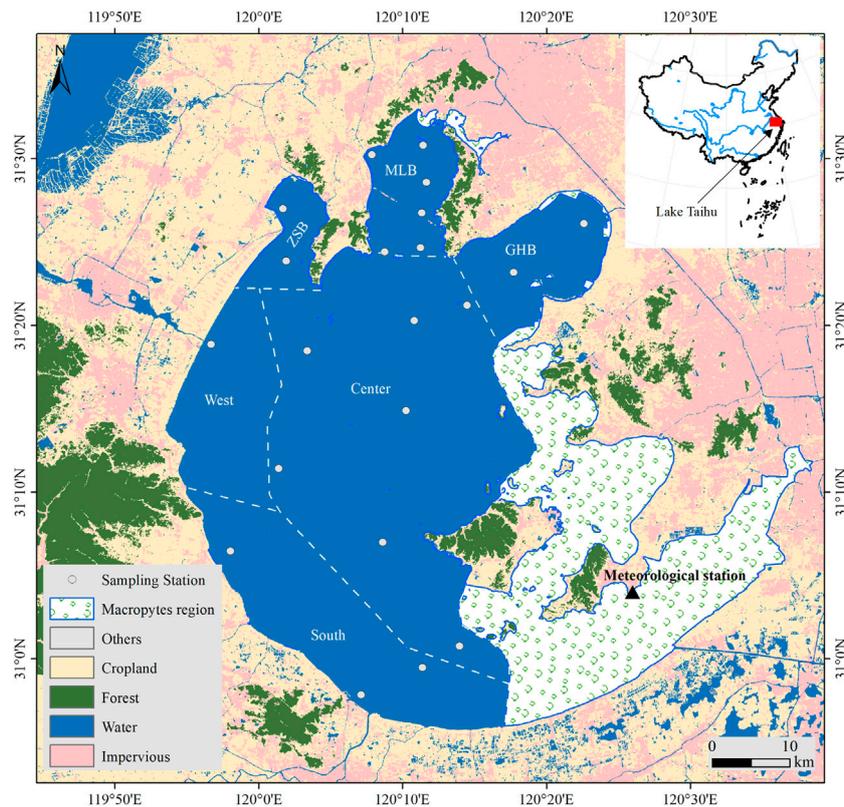
including DO. Although these models worked satisfactorily in regional waters, empirical relationships were difficult to transfer to other areas owing to varying lake properties. In addition, the following indirect models have been proposed: 1) the use of *in situ* data to establish relations between OACs and non-optical water quality, and 2) the retrieval of selected OACs from satellite imagery and the estimation of non-optical substances in waters (IOCCG 2018). Thereinto, Guo et al., 2021 and Kim et al. (2020) used water temperature (WTR) and Chla to predict DO in coastal and inland lakes successfully. In essence, DO is regulated by multiple factors, including physical properties, biochemical processes, and hydrological processes in lakes (Hutchinson and Edmondson 1957; Jankowski et al., 2006; North et al., 2014), which is quite complex. Machine learning models have shown strong and robust performance in retrieving water qualities in complicated waters from remote sensing reflectance (Sagan et al., 2020; Kravitz et al., 2021; Cao et al., 2022c), providing an alternative strategy to estimate DO in lakes (Guo et al., 2021).

The goal of the current research is to monitor and understand long-term variations in DO through MODIS images. Lake Taihu, a shallow, turbid, and eutrophic lake in China, was selected as the study area. Specifically, we aim to 1) analyze the relations between DO and OACs and other properties could be retrieved by remote sensing, including Chla, Secchi-disk depth (SDD), and surface water temperature in Lake Taihu, 2) develop a machine learning model for estimating DO from MODIS images and validate its performance, and 3) generate long-term variations in the DO of Lake Taihu from 2002 to 2021 and reveal its spatiotemporal patterns and corresponding driving forces. The results are expected to support the monitoring of non-optical water quality through satellite remote sensing and provide references for evaluating the ecological health of Lake Taihu.

## 2 Material and methods

### 2.1 Study area

Lake Taihu is the third largest freshwater lake in China (Figure 1), with a water area of 2,338 km<sup>2</sup> and an average depth of 1.9 m (Wang and Dou 1998). It is located in a subtropical area that is warm and wet in summer but cold and dry in winter. Lake Taihu is found on the lower reach of the Yangtze River, and the area around it is one of the most developed regions in China. Excessive human activities have intensified eutrophication and cyanobacterial blooms since the 1980s (Qin et al., 2007). The lake supplies water to approximately 10 million residents of surrounding cities, including Wuxi, Suzhou, and Huzhou. Thus, the water quality of Lake Taihu is essential for local human activities and needs, such as drinking, tourism, fishing, and shipping. Lake Taihu is usually divided into



**FIGURE 1**

Geographical locations of Lake Taihu and sampling stations. Lake Taihu is divided into seven subregions, such as Zhushan Bay (ZSB), Meiliang Bay (MLB), Gonghu Bay (GHB), West, Center, South, and East. Note that some areas in GHB and East region with a lot of macrophytes affecting the retrievals of dissolved oxygen were not included in this study. Note that the land use data was derived from the Landsat eight OLI data in 2021.

seven subregions: Zhushan Bay (ZSB), Meiliang Bay (MLB), Gonghu Bay (GHB), West, Center, South, and East. Some areas in the MLB, GHB, and East regions are frequently covered by macrophytes, affecting the retrieval of Chla and SDD (Shi et al., 2017), and thus, these areas are not included in the analysis.

## 2.2 Field dataset

Monthly/seasonal surveys from 2007 to 2015 were conducted by the Taihu Lake Laboratory Ecosystem Research (TLLER) Station to collect water quality parameters (Min et al., 2019) (Figure 1). A total of 847 data samples were collected here after excluding the outliers, e.g., stations covered with cyanobacterial scums and macrophytes. These data included DO, water temperature (WTR), Chla, and SDD. The WTR and DO at each station were measured using a well-calibrated YSI probe (Yellow Springs, OH 45387 United States) (Table 1). A standard 30 cm diameter Secchi disk was used to measure SDD. At each station, water

samples at the surface layer (0.5 m) were collected and stored in pre-cleaned 1 L high-density polyethylene bottles. The water samples were strained through glass fiber filters (0.70  $\mu\text{m}$  pore size, Whatman GF/F), and Chla concentration was spectrophotometrically determined using a Shimadzu UV2700 spectrophotometer after the extraction of pigments by using 90% ethanol (Jeffrey and Humphrey 1975).

In addition, daily mean temperature ( $^{\circ}\text{C}$ ) and wind speed ( $\text{m s}^{-1}$ ) at Dongshan meteorological station near Lake Taihu (Figure 1) from 2002 to 2021 were downloaded from the National Meteorological Information Center, China (<http://data.cma.cn>). These data were further aggregated into monthly and annual mean values from 2002 to 2021.

## 2.3 Satellite images and products

Two types of satellite data were used in this study: 1) MODIS Aqua Level 1 A data for retrieving Chla and SDD in Lake Taihu, and 2) MODIS land surface temperature (LST) (MYD11A1) products.

**TABLE 1** Statistics (mean  $\pm$  standard deviation) of monthly water quality in Lake Taihu from 2007 to 2015. Note that SDD is the Secchi-Disk Depth (m), WTR is water temperature ( $^{\circ}$ C), Chla is chlorophyll-a ( $\mu$ g L $^{-1}$ ), DO is dissolved oxygen (mg L $^{-1}$ ).

Month	N	SDD	WTR	Chla	DO
Jan	72	0.47 $\pm$ 0.27	4.32 $\pm$ 2.11	11.72 $\pm$ 9.38	11.86 $\pm$ 1.17
Feb	71	0.52 $\pm$ 0.27	6.89 $\pm$ 2.57	14.94 $\pm$ 12.76	11.33 $\pm$ 1.77
Mar	72	0.44 $\pm$ 0.19	10.56 $\pm$ 2.54	13.35 $\pm$ 8.87	10.54 $\pm$ 1.4
Apr	72	0.47 $\pm$ 0.3	16.28 $\pm$ 3.09	11.48 $\pm$ 10.05	8.98 $\pm$ 1.3
May	70	0.32 $\pm$ 0.18	22.4 $\pm$ 1.6	20.39 $\pm$ 40.23	8.17 $\pm$ 1.37
Jun	70	0.5 $\pm$ 0.21	24.83 $\pm$ 1.57	30.06 $\pm$ 48.19	8.07 $\pm$ 1.37
Jul	69	0.32 $\pm$ 0.14	29.01 $\pm$ 1.7	53.45 $\pm$ 68.93	7.69 $\pm$ 2.02
Aug	71	0.31 $\pm$ 0.14	29.85 $\pm$ 2.33	57.66 $\pm$ 65.01	8.23 $\pm$ 2.15
Sep	69	0.29 $\pm$ 0.11	25.16 $\pm$ 2.32	56.15 $\pm$ 59.00	7.78 $\pm$ 2.07
Oct	68	0.27 $\pm$ 0.12	20.31 $\pm$ 1.69	47.11 $\pm$ 71.79	7.87 $\pm$ 1.42
Nov	70	0.36 $\pm$ 0.13	13.05 $\pm$ 3.23	30.14 $\pm$ 25.89	9.13 $\pm$ 1.44
Dec	72	0.37 $\pm$ 0.2	6.97 $\pm$ 1.52	16.79 $\pm$ 12.74	10.58 $\pm$ 1.13
All	846	0.38 $\pm$ 0.21	17.37 $\pm$ 8.95	30.01 $\pm$ 46.45	9.21 $\pm$ 2.13

### 2.3.1 MODIS data acquisition and preprocessing

MODIS Aqua Level 1 A data over Lake Taihu from July 2002 to December 2021 were downloaded from the NASA Goddard Space Flight Center (<https://oceancolor.gsfc.nasa.gov/>). These MODIS data were calibrated and processed using the SeaWiFS Data Analysis System (SeaDAS, version 8.1) (reprocessing v2018). The full atmospheric correction in SeaDAS 8.1 failed in most pixels in Lake Taihu, possibly related to three reasons: 1) the assumption of black-pixel at the NIR bands failed in the turbid waters (Siegel et al., 2000), 2) the low signal-to-noise ratio in the shortwave infrared (SWIR) induced large uncertainty in deriving aerosol scattering in visible bands (Wang and Gordon 2018), 3) the existing aerosol models might not characterize the absorbing aerosols which was frequently in cities and towns (Wang and Jiang 2018). Alternatively, a partial atmospheric correction was employed to remove gaseous absorption (e.g., water vapor and ozone) and Rayleigh scattering to calculate Rayleigh-corrected reflectance ( $R_{rc}$ , dimensionless) (Hu et al., 2004). Note that the concurrent ancillary data, including air pressure and ozone, were used to generate  $R_{rc}$  in SeaDAS.

$R_{rc}$  data at three MODIS bands (645, 555, and 469 nm) were used to generate red–green–blue (RGB) composite images at a resolution of 250 m. Note that the data of 469 nm and 555 nm with 500-m resolution were sharpened to a 250 m resolution by using the resample tool in SeaDAS. The RGB images were visually examined to exclude images which were largely contaminated by cloud and Sun glints. Among more than 7,000 granules of MODIS data over Lake Taihu, 1935 scenes were selected finally (Table 2). Furthermore, cloud-

contaminated pixels were removed *via* a threshold set on the shortwave infrared reflectance (Aurin et al., 2013). The cloud mask strategy might recognize turbid pixels as clouds and wrongly remove them. Given that this threshold worked well for most cases, this study did not manually remove clouds scene by scene. Surface scums are often presented in Lake Taihu; hence, the pixels with Floating Algae Index more than  $-0.004$  was recognized as algal blooms and excluded (Hu et al., 2010). To eliminate the potential impact of land adjacent effects on DO retrievals, we excluded three pixels around the land following the suggestion of Feng and Hu (2017).

A concurrent dataset of MODIS/Aqua  $R_{rc}$  data and *in situ* water quality measurements was constructed to validate the performance of the model on DO retrievals. We used a time window of  $\pm 3$  h between MODIS/Aqua data and *in situ* measurements to screen the data first. MODIS pixels with viewing zenith angles  $>60^{\circ}$  and contaminated by clouds and cyanobacterial scums were also excluded (Bailey and Werdell 2006). The mean value with a coefficient of variation  $<10\%$  in  $3 \times 3$  element windows of MODIS was regarded as the matched  $R_{rc}$  values. Finally, we obtained 58 matching pairs in Lake Taihu.

### 2.3.2 Chla and secchi-disk depth estimates

We used an empirical algorithm proposed by Shi et al. (2017) to estimate Chla from MODIS  $R_{rc}$  data in Lake Taihu. Shi et al. (2017) found that a normalized spectral index that used  $R_{rc}$  (645) and  $R_{rc}$  (859) could be satisfactorily related to Chla ( $N = 125$ , root-mean-square error (RMSE) =  $15.1 \mu\text{g L}^{-1}$ , mean absolute percentage error (MAPE) = 27%) (Eq. 1).

**TABLE 2** Temporal distribution of MODIS Aqua images used in this study. Each row represents the images number in each year while the column is the that of each month.

Year	J	F	M	A	M	J	J	A	S	O	N	D	All
2002	0	0	0	0	0	0	12	8	13	14	15	3	65
2003	8	6	8	7	7	8	8	2	11	10	9	12	106
2004	5	10	6	9	4	6	12	1	8	12	12	7	102
2005	4	4	6	9	8	9	8	5	8	10	6	12	89
2006	4	3	9	9	8	10	7	9	6	11	6	10	92
2007	7	5	10	11	9	2	6	13	7	10	9	4	93
2008	5	10	8	5	7	4	11	11	11	6	10	14	102
2009	7	1	9	13	12	8	6	8	10	7	4	8	93
2010	6	4	8	6	5	8	7	12	6	7	10	14	93
2011	11	8	10	12	8	3	5	4	7	7	5	10	90
2012	5	2	7	6	10	4	7	9	8	12	9	8	87
2013	8	4	5	8	8	2	7	8	9	12	9	11	91
2014	10	4	11	4	7	5	9	5	7	16	8	19	105
2015	11	8	8	8	4	3	7	5	8	10	2	9	83
2016	7	15	9	6	7	4	8	14	8	0	7	11	96
2017	9	6	6	11	8	3	9	11	5	7	5	13	93
2018	5	8	9	9	6	6	9	14	8	13	8	5	100
2019	5	2	6	9	7	10	9	15	15	10	14	10	112
2020	2	10	11	12	8	5	1	11	10	12	15	13	110
2021	12	12	8	7	11	7	10	12	9	12	12	21	133
All	131	122	154	161	144	107	158	197	174	198	175	214	1935

$$Chla = -1454.3 \times \alpha + 69.35$$

$$\alpha = (\text{EXP}(R_{rc,645}) - \text{EXP}(R_{rc,859})) / (\text{EXP}(R_{rc,645}) + \text{EXP}(R_{rc,859})) \quad (1)$$

Shi et al. (2018) demonstrated that  $R_{rs}(645)$  can be effectively utilized for retrieving SDD in Lake Taihu. Given the unavailability of  $R_{rs}(645)$  in Shi et al. (2018),  $R_{rc}(645) - R_{rc}(2,130)$  was used as the alternative of  $R_{rs}(645)$  (Feng et al., 2018). To eliminate the difference between reflectance, the empirical equations were recalibrated using the aforementioned matchups (Eq. (2), RMSE = 0.15 m, MAPE = 36%).

$$\text{SDD} = 0.0062 \times R_{rs}(645)^{-1.622} \quad (2)$$

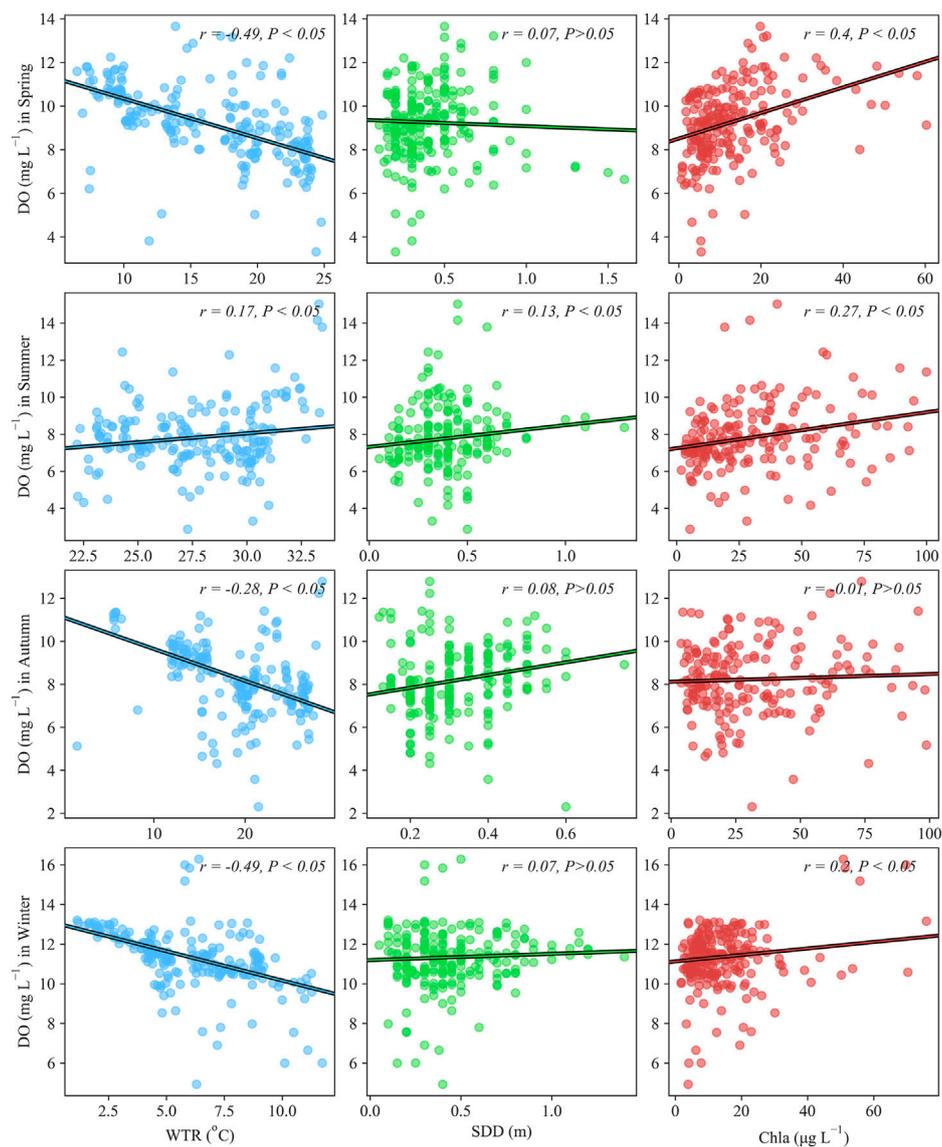
### 2.3.3 MODIS LST data

We used the LST from the MODIS Aqua (MYD11A1) products (1 km) to represent WTR, which has been proven to obtain consistent spatial and temporal thermal behavior in Lake

Taihu (Liu et al., 2015; Qi et al., 2020). MYD11A1 products exclude low-quality pixels (e.g., cloud, cloud shadow, and Sun glint). To be consistent with the spatial resolution of MODIS  $R_{rc}$  data, MYD11A1 data were resampled to 250 m and geographically aligned to MODIS  $R_{rc}$  data.

## 2.4 Machine learning models for estimating DO

Three machine learning models, namely, random forest (RF), eXtreme gradient boosting (XGB), and support vector regression (SVR), which have been used for retrieving water quality parameters (Sagan et al., 2020; Cao et al., 2022c), were utilized for retrieving DO in Lake Taihu. Given the various predicting mechanism among the three models, which one exhibited the best performance remained unknown. First, we used 864 *in situ* data to train and validate the models for comparing and selecting the optimal one. Then, we examined



**FIGURE 2**

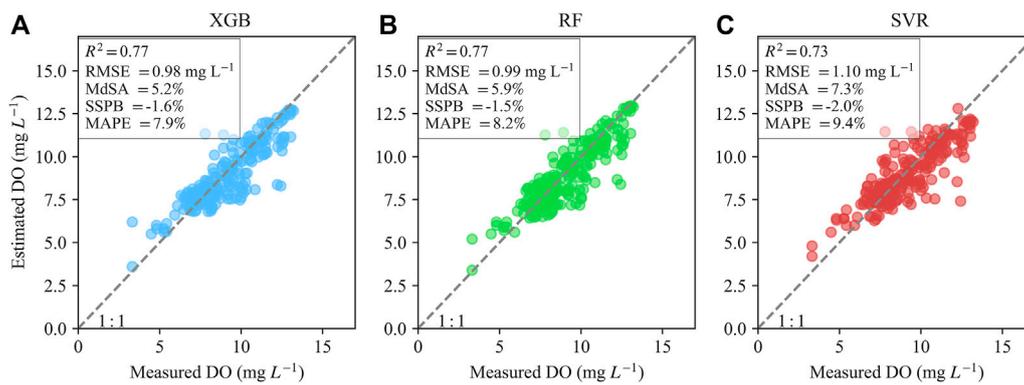
The relationship between water temperature (WTR), Secchi-Disk Depth (SDD), chlorophyll-a (Chla) and dissolved oxygen (DO) for different seasons in Lake Taihu.

the performance of the optimal model in retrieving DO from 58 matched MODIS samples.

Compared with the use of WTR and Chla in DO estimates in previous studies (Kim et al., 2020; Guo et al., 2021), the input to the models included WTR, Chla, and SDD. The output variable was DO concentrations. WTR alters thermal properties and affects the solubility of DO (Jankowski et al., 2006), while Chla reflects primary productivity, which is closely related to photosynthesis and respiration. SDD is a crucial factor for quantifying light attenuation in a lake column, possibly regulating the lake mixing and vertical distribution of DO (Zhang et al., 2015; Liu et al., 2020). It has also been utilized

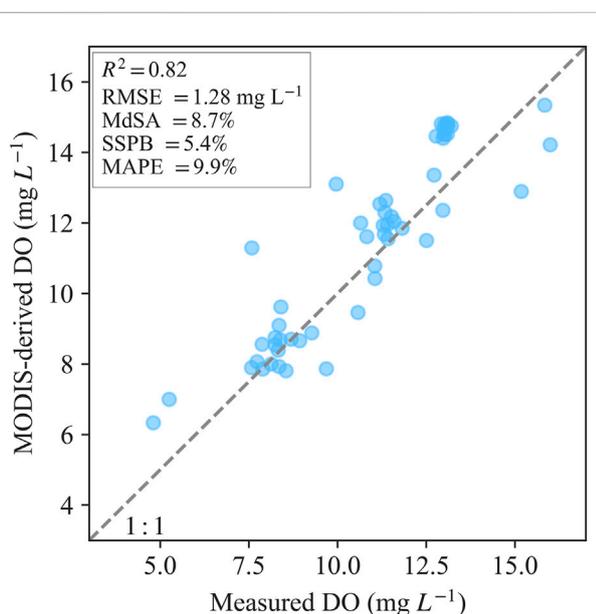
to estimate carbon dioxide in lakes (Qi et al., 2020). The field data suggested that these variables exhibited considerable correlations with DO during different seasons in Lake Taihu (Figure 2). Following our experiments, all three models trained with the three inputs outperformed the models with two inputs (i.e., WTR and DO).

We randomly chose approximately 70% (n = 499) of the matchups for training, and the remaining 30% of data (n = 265) were used to test model performance. All the input and output data were log-transformed and standardized using the mean and standard deviation within the 0–1 range before training the models. The hyperparameters of the RF, XGB,



**FIGURE 3**

The performance of XGBoost [XGB, (A)], Random Forest [RF, (B)], and Supporting Vector machine Regression [SVR, (C)] models based on *in situ* data on DO estimates for the independent testing dataset (N = 265).



**FIGURE 4**

The validation of XGB model on retrievals of dissolved oxygen (DO) in Lake Taihu using MODIS-derived water temperature, chlorophyll-a, and Secchi-disk depth (N = 58).

and SVR models were determined using a grid search method.

## 2.5 Performance statistics

The well-validated machine learning model was used to retrieve DO from cloud-free MODIS  $R_{rc}$  and LST images. The annual and monthly mean DO values from 2002 to 2021 were further aggregated from the daily DO series. The mean DO values

for each subregion were calculated from the clipped images by using specific boundaries. *Pearson* correlation was utilized to explain the relations between two variables, i.e., air temperature and DO. The correlation was significant at  $p < 0.05$ . We used the determination coefficient ( $R^2$ ), RMSE, MAPE, median symmetric accuracy (MdSA), and the symmetric signed percentage bias (SSPB) (Morley et al., 2018) to evaluate the performance of the models (Eqs. 3–5). All statistics were collected in Python 3.8 environment.

$$MAPE = 100 \times \frac{1}{N} \sum_{i=1}^N \frac{|E_i - M_i|}{x_i}, \quad (3)$$

$$MdSA = 100 \times (10^{\zeta} - 1), \zeta = \text{Median} \left| \log_{10} \left( \frac{E_i}{M_i} \right) \right| \quad (4)$$

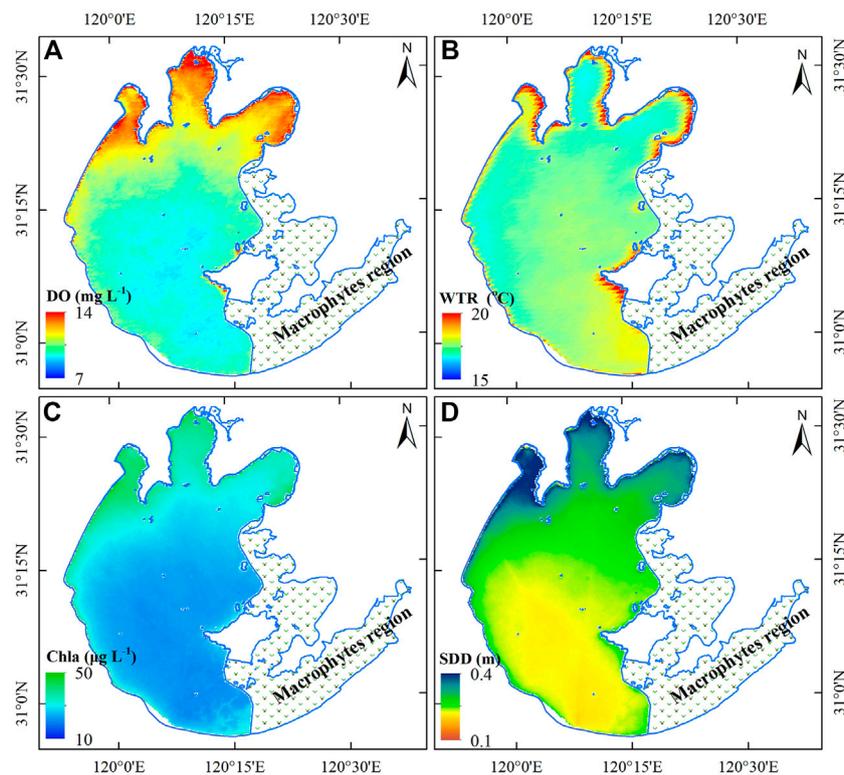
$$SSPB = 100 \times \text{sgn}(Q) (10^{|Q|} - 1), Q = \text{Median} (\log_{10} E_i / M_i) \quad (5)$$

where N is the number of data pairs; the subscript  $i$  denotes individual data points; and E and M represent measured and estimated values, respectively.

## 3 Results

### 3.1 Characteristics of DO in Lake Taihu

The field dataset from 2007 to 2015 (Table 2) indicated that Lake Taihu had a mean DO of  $9.21 \pm 2.13 \text{ mg L}^{-1}$  (mean  $\pm$  standard deviation) ranging from  $2.3 \text{ mg L}^{-1}$  to  $16.9 \text{ mg L}^{-1}$ . Seasonal variation in DO was apparent, and exhibited lower in winter than in summer, which was highest in January ( $11.86 \pm 1.17 \text{ mg L}^{-1}$ ) and lowest in July ( $7.69 \pm 2.02 \text{ mg L}^{-1}$ ). The relations of DO to the related indicators during different seasons are illustrated in Figure 2. Generally, the seasonal distribution of DO was



**FIGURE 5**

Spatial distributions of mean MODIS-derived dissolved oxygen [DO, (A)], surface water temperature [WTR, (B)], chlorophyll-a [Chla, (C)], and Secchi-Disk Depth [SDD, (D)] in Lake Taihu from 2002 to 2021, respectively.

reversed with that of WTR and similar to that of SDD and Chla. DO exhibited a significantly negative correlation with WTR ( $p < 0.05$ ) except in summer, which showed a slightly positive correlation ( $r = 0.17$ ,  $p < 0.05$ ). The positive relation in summer might result from the contributions of WTR and other factors. SDD was positively correlated with DO but only significant during summer ( $r = 0.13$ ,  $p < 0.05$ ). In terms of Chla, we found it had a significant positive correlation with DO, except during autumn. This analysis indicated that WTR, SDD, and Chla presented significant relations to the seasonal variation of DO, and the effect of SDD on DO during summer is peculiar. The relations provided the foundation for estimating the DO concentration through the above three parameters.

### 3.2 Validation of algorithm on retrieving DO

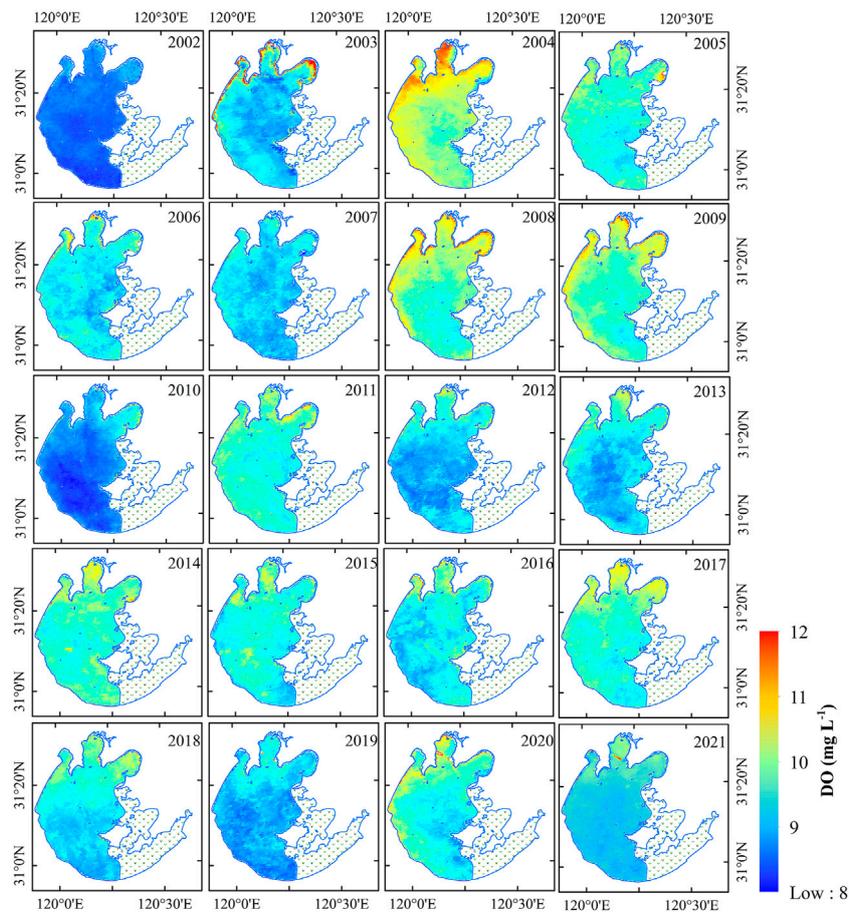
The performance of the XGB, RF and SVR models on the 265 *in situ* samples is presented in Figure 3. Notably, the inputs of these samples (i.e., WTR, Chla, and SDD) are *in situ* measurements. The three machine learning models performed

satisfactorily with the  $<1.1 \text{ mg L}^{-1}$  RMSE and  $<10\%$  MAPE. Moreover, these data pairs were distributed evenly along the unity for DO ranging from  $2 \text{ mg L}^{-1}$  to  $15 \text{ mg L}^{-1}$  in Lake Taihu and did not present evident deviations. Among the three models, XGB ( $R^2 = 0.77$ , RMSE =  $0.98 \text{ mg L}^{-1}$ , MAPE = 7.9%) slightly outperformed RF ( $R^2 = 0.77$ , RMSE =  $0.99 \text{ mg L}^{-1}$ , MAPE = 8.2%) and SVR ( $R^2 = 0.77$ , RMSE =  $1.1 \text{ mg L}^{-1}$ , MAPE = 9.4%).

The XGB model was further examined on the 58 MODIS-derived WTR, SDD, and Chla points to determine its integrity in estimating DO from satellite images ( $4.8\text{--}16.0 \text{ mg L}^{-1}$ ) (Figure 4). MODIS-derived DO performed a satisfactory consistency with the measured values (RMSE =  $1.28 \text{ mg L}^{-1}$ , MAPE = 9.9%). It should be noted that a slight underestimation for points with DO more than  $>15 \text{ mg L}^{-1}$  was observed. The XGB model was inferred to be robust and suitable for mapping DO in Lake Taihu from MODIS images.

### 3.3 Long-term variations in DO

The well-validated XGB model was utilized to generate the DO series in Lake Taihu from 2002 to 2021. Overall, Lake Taihu had an average DO of  $9.3 \pm 1.8 \text{ mg L}^{-1}$  over the past



**FIGURE 6**

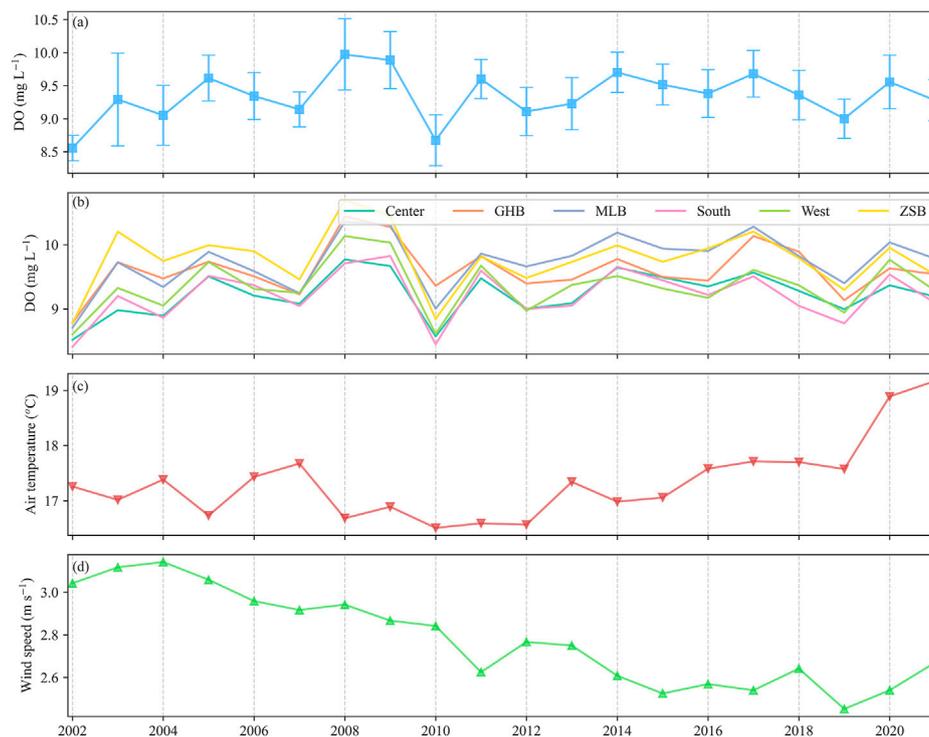
Climatological annual mean dissolved oxygen (DO) derived from MODIS images in Lake Taihu from 2002 to 2021. Note that MODIS images did not include data in the period of January–June in 2002.

20 years (Figure 5A). DO in the northern three bays ( $9.8 \pm 1.9 \text{ mg L}^{-1}$ ) was higher than in the central ( $9.2 \pm 1.7 \text{ mg L}^{-1}$ ) and southern ( $9.1 \pm 1.8 \text{ mg L}^{-1}$ ) regions. Notably, DO covered with macrophytes were omitted here. We also generated the WTR, Chla, and SDD in Lake Taihu since 2002 (Figures 5B–D). The spatial pattern of DO was similar with Chla and inversely related to that of SDD. Meanwhile, it did not exhibit specific relations with WTR.

The annual variations in DO from 2002 to 2021 are mapped in Figure 6, and the corresponding statistics are illustrated in Figure 7. The interannual DO variations of Lake Taihu were divided into two stages (Figure 7A): 1) significantly increased from 2002 to 2009, with a slope of  $0.16 \text{ mg L}^{-1}$  ( $R^2 = 0.63$ ,  $p < 0.05$ ); and 2) slightly declined from 2011 to 2021, with a slope of  $-0.04 \text{ mg L}^{-1}$  ( $R^2 = 0.30$ ,  $p < 0.05$ ). The annual variations in DO of different subregions also presented similar trends (Figure 7B). The annual mean DO was different in various stages. For example, ZSB had the highest DO before 2010, while DO

in MLB was the highest after 2010. DO in central and southern Lake Taihu was lowest all the time. We observed that annual mean air temperature decreased from 2002 to 2010 (slope =  $-0.07 \text{ }^\circ\text{C}$ ,  $R^2 = 0.20$ ,  $p < 0.05$ ) and exhibited a dramatic increase since 2010 (slope =  $0.21 \text{ }^\circ\text{C}$ ,  $R^2 = 0.83$ ,  $p < 0.05$ , Figure 7C). The annual air temperature was significantly negatively correlated with DO in Lake Taihu ( $R^2 = 0.21$ ,  $p < 0.05$ ). In addition, wind speed showed a continuous decline and was not significantly correlated with DO (Figure 7D).

Figure 8 presents the monthly mean DO in Lake Taihu from 2002 to 2021. We found that DO in winter was higher than that in summer. DO was highest in January ( $11.9 \text{ mg L}^{-1}$ ) and lowest in August ( $7.7 \text{ mg L}^{-1}$ ) (Figure 9A). This finding was consistent with the aforementioned analysis based on the field dataset (Table 1). The monthly variations in DO were negatively correlated with air temperature ( $R^2 = 0.80$ ,  $p < 0.05$ ), while wind speed was not significantly associated with it ( $p > 0.05$ , Figures 9C,D).



**FIGURE 7**

(A) Annual variations in dissolved oxygen (DO) for entire Lake Taihu from 2002 to 2021 (not including macrophytes regions). (B) Annual variations in DO for different subregions of Lake Taihu. (C) and (D) is the annual mean air temperature and wind speed, respectively.

## 4 Discussion

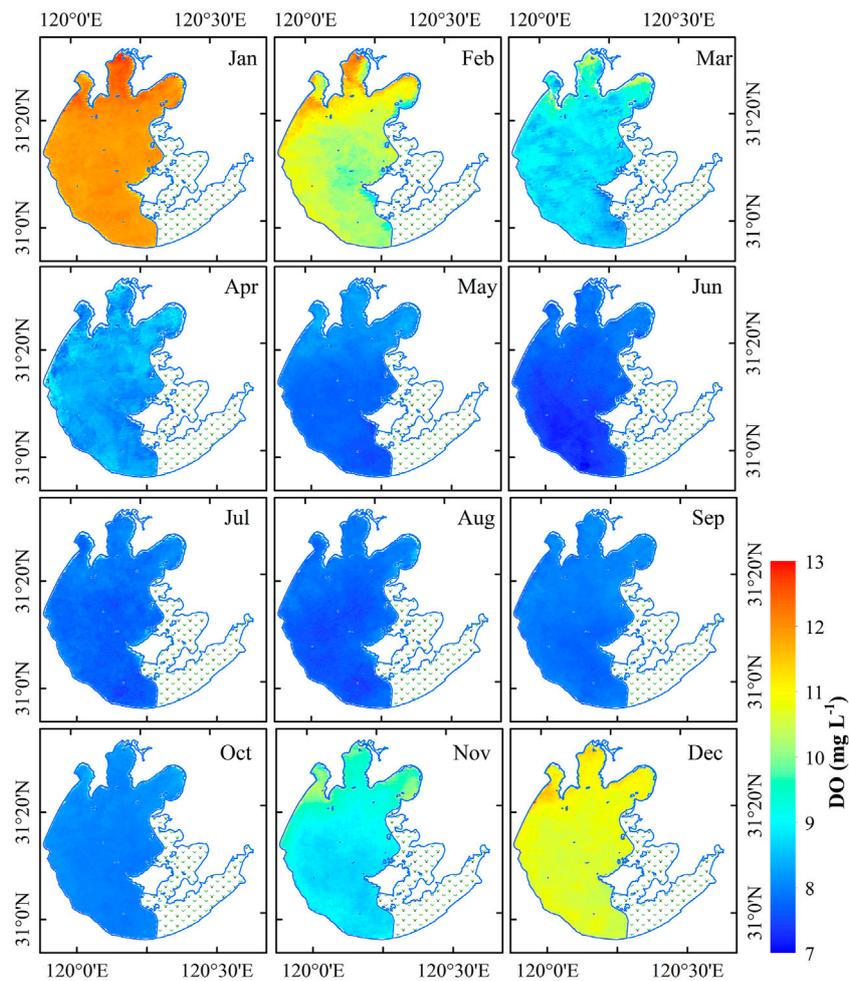
### 4.1 Accuracy and uncertainty of the machine learning model

A two-step model was developed to estimate DO from MODIS images in Lake Taihu (Figures 3, 4). The model was established on the theoretical relations between thermal and optical properties and DO in lakes (Kim et al., 2020; Guo et al., 2021). Such an idea for estimating non-optically active matters have been employed in other studies (IOCCG 2018; Chen et al., 2019; Qi et al., 2020). The current work developed a machine learning model to determine the relations between DO and the aforementioned three indicators rather than the regressions (Kim et al., 2020). In general, their relations in eutrophic lakes are complicated and exhibit spatiotemporal heterogeneity (Figure 2) (Wetzel 2001; Jankowski et al., 2006; Breitburg et al., 2018). The input variables had various contributions to the DO in different seasons (Figure 2) and regions for Lake Taihu. The properties of water in norther regions were mainly influenced by algal while central and south regions were turbid. Compared with traditional regressions such as linear/non-linear regression and step-wise regression, machine learning models are particularly efficient for

solving complicated non-linear regression (Sagan et al., 2020). The model was developed using 864 samples that spanned different seasons across 8 years in Lake Taihu, suggesting that the model was suitable for most cases in Lake Taihu.

Although machine learning models demonstrate the nature of a “black box,” the relative contributions of input variables to DO prediction can be useful for understanding the mechanism of a model. We calculated the decrease in the accuracy score of the models for each variable to interpret the contribution of each variable to Chla (Cao et al., 2022a). The decrease in accuracy score was defined as the difference between the baseline metric from permutating the feature column, which was implemented in the *scikit-learn* package of Python. Figure 10 reveals that WTR makes the highest contribution to DO estimation while Chla and SDD have low contributions. Thus, the model still estimated satisfactory DO in Lake Taihu even though the retrievals of Chla and SDD suffered from fair uncertainty (~30%) (Figure 4). The retrieval of Chla in turbid waters frequently depends on the red edge band near 700–710 nm (Gitelson 1992; Gilerson et al., 2010; Gurlin et al., 2011), which is not equipped with MODIS instrument.

Despite the satisfactory DO retrievals from MODIS images in Lake Taihu, several limitations must be improved. First,  $R_{rc}$  used for retrieving Chla and SDD in Lake Taihu did not remove the



**FIGURE 8**

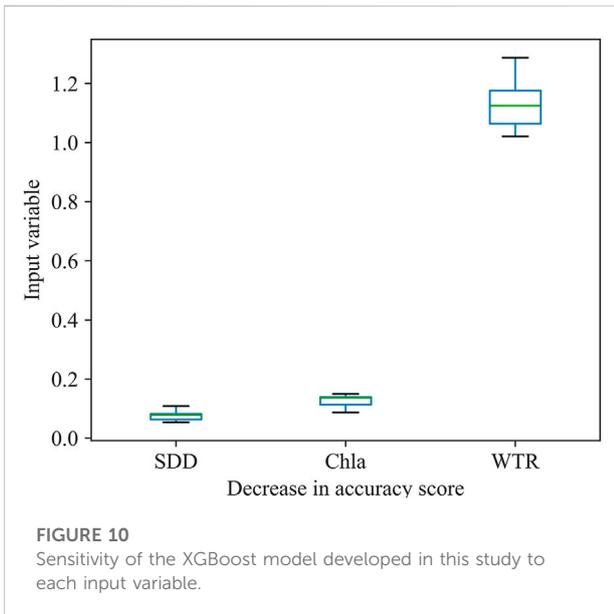
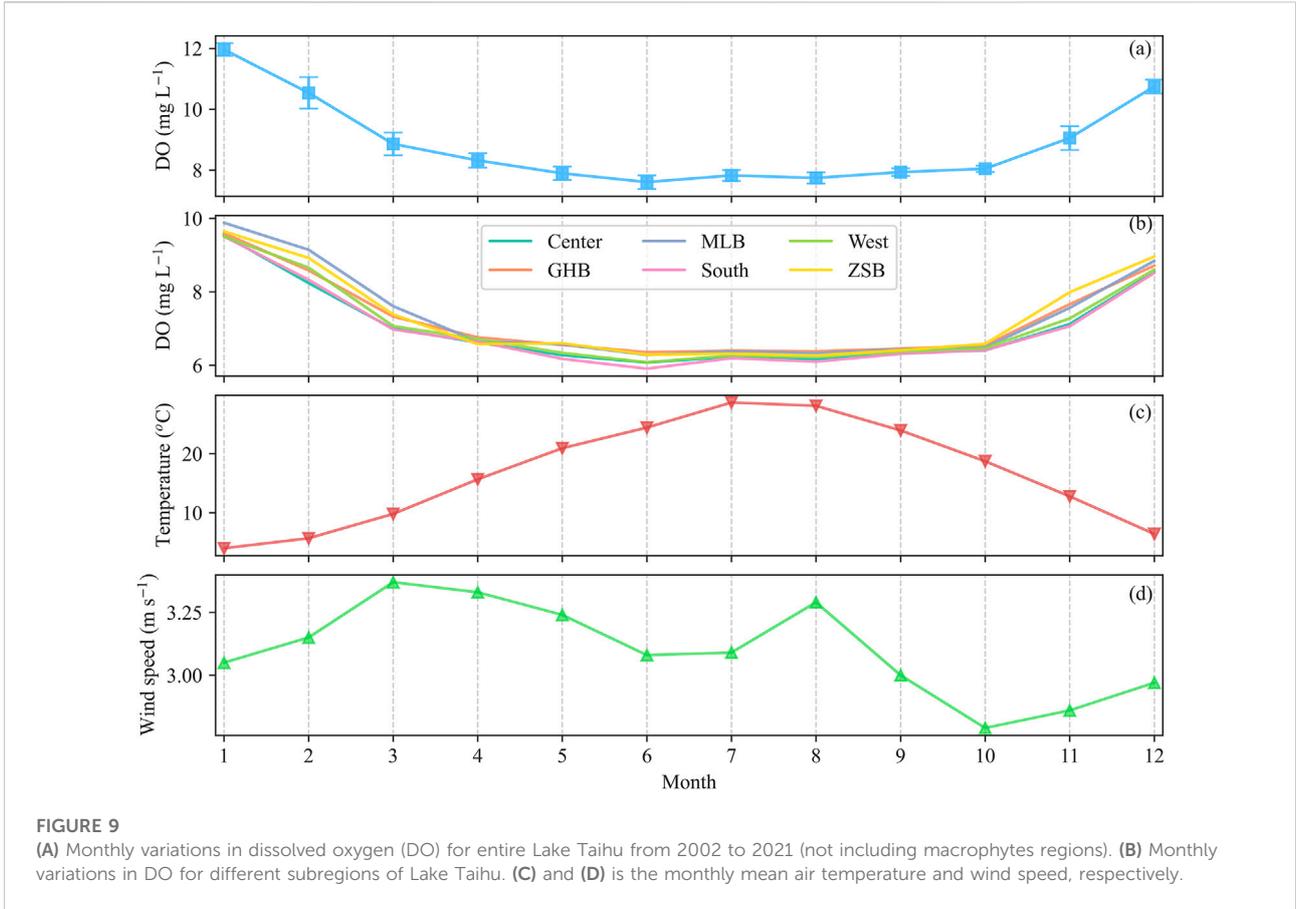
Climatological monthly mean dissolved oxygen (DO) derived from MODIS images in Lake Taihu from 2002 to 2021.

signals of aerosol contributions, which might limit the accuracy of DO estimations. For turbid waters, including Lake Taihu, the elevating water-leaving radiance suggested that  $R_{rc}$  can be utilized to retrieve water quality (Hu et al., 2004; Cao et al., 2020; Seegers et al., 2021). We also found that the XGB model underestimated DO slightly in the high range (Figure 4), possibly resulting from insufficient samples in the extremely high DO data (Stock 2022). It is anticipated to improve by adding more high DO values (Cao et al., 2020). The surface temperature products of MODIS had a resolution of 1 km, which was lower than Chla and SDD. The pixels were not changed, although we resampled it to 250 m. Since water is frequently homogenous, WTR between adjacent pixels may exhibit slight differences. In addition, DO in shallow lakes might change fast due to wind-induced reoxygenation and diurnal variations in air temperature. Thus, it would be efficient to improve the observations of DO in lakes through the Geostationary

satellites (IOCCG 2012), such as Geostationary Ocean Color Imager (GOCI), GOCI-II, and Himawari-8. Our model was developed for MODIS instruments; however, the MODIS mission operation has exceeded its anticipated lifetime and is nearing its end. In the future, the model is expected to be extended to the Visible Infrared Imaging Radiometer Suite (VIIRS) onboard SNPP and NOAA-20/21 and Ocean Land Color Instrument (OLCI) onboard Sentinel-3 for continuing observations (Cao et al., 2022b).

## 4.2 Potential forces of DO changes in Lake Taihu

The factors that regulate DO in lakes include the physical processes induced by light, WTR, and lake mixing, and biochemical factors, such as the photosynthesis-induced increase



of DO concentration, the respiration of aquatic organisms, the bacterial oxidation of organic matter, and the consumption of DO by other reduced inorganic substances (Zhang et al., 2015).

In addition, some anthropogenic modifications of the environment, such as eutrophication (Müller et al., 2012), salinization, and hydrological management (Carpenter et al., 2011) can reduce DO in lakes.

We found that the temporal (interannual and seasonal) variations in the DO of Lake Taihu were related to the variability of air temperature (Figure 7). First, air temperature determined the solute of oxygen in lakes by influencing the WTR indirectly (Jane et al., 2021). The general narrative is that climate warming induces widespread deoxidation in waters (Jankowski et al., 2006; Perron et al., 2014; Zhang et al., 2015; Jane et al., 2021). In deep waters, surface temperature warming can further intensify thermal stratification, reducing water circulation and preventing deep water DO replenishment (Jankowski et al., 2006; North et al., 2014; Kraemer et al., 2015). In Lake Taihu, a shallow lake, such an effect should mostly occur during summer (Yang et al., 2018). In addition, wind speed in Lake Taihu over the past 20 years has declined (Figure 7D), suggesting that turbulence should be weakening (Macintyre 1993; Fernández Castro et al., 2021), possibly reducing DO replenishment.

The spatial distribution of DO in Lake Taihu is consistent with that of Chla (Figure 5). Higher Chla reflects high primary productivity that releases plenty of oxygen via photosynthesis.

The higher water clarity in northern areas facilitated the growth of phytoplankton in lakes (Liu et al., 2020). In addition, wind direction might regulate the spatial variations in the DO of Lake Taihu. The wind direction for Lake Taihu was usually southwest each year, suggesting that the stronger mixing process induced higher DO concentration (Zhang et al., 2014).

### 4.3 Implications for lake monitoring and management

This research successfully tracked the long-term DO variations of Lake Taihu, allowing us to reveal its trends and elucidate its potential driving factors. In the past, the monitoring of DO in lakes was mostly based on field surveys (Jane et al., 2021). Operational surveys have been conducted on some well-studied lakes, such as Lake Taihu and Lake Erie. However, most lakes cannot be well monitored, which should largely limit our understanding of aquatic health and ecology (Plisnier et al., 2022). Our approach provides a practical idea of employing satellite images to monitor DO in lakes. This idea can be easily extended to other lakes, although the model's coefficients should be recalibrated using the local dataset. By contrast, the methodology can be utilized to estimate other non-optical water quality parameters, such as total nitrogen, total phosphorus, and the permanganate index. With the relations between OACs and meteorological data and the non-optical parameters, it would be possible to estimate more water qualities in lakes. The MODIS-derived DO of Lake Taihu in the past 2 decades demonstrated declining trends compared with the first decade of this century due to climate warming, which is consistent with the conclusion of previous studies (Jankowski et al., 2006; North et al., 2014; Jane et al., 2021). Climate warming was also regarded as a primary regulator that affected cyanobacterial blooms in Lake Taihu (Qin et al., 2019). The warming climate will continue in the future (Woolway and Merchant 2019), and it is crucial to formulate scientific strategies to prevent the negative ecological effects of deoxidation in lakes.

## 5 Conclusion

This study developed a machine learning model for generating the long-term DO variation of Lake Taihu from MODIS Aqua. With the collected *in situ* data in Lake Taihu from 2007 to 2015, we found that DO in Lake Taihu was correlated with WTR, Chla, and SDD. Then, we established the XGB model to estimate DO from *in situ* temperature, Chla, and SDD by using 864 field data samples. The XGB model was applied to 58 MODIS-derived WTR, Chla, and SDD, and satisfactory DO retrievals were obtained. The MODIS-derived DO series in Lake Taihu suggested that Lake Taihu had higher DO in the northern region than in the other regions. Meanwhile, summer had lower DO than the other

seasons. Annual variations in the DO of Lake Taihu revealed that DO in this decade declined relative to that in 2002–2009. We analyzed the potential driving forces of the spatial and temporal changes in the DO of Lake Taihu. We found that climate warming possibly reduced DO in Lake Taihu. Our results propose the idea of using remote sensing to obtain non-optical water qualities and provide practical references for lake management in the warming future.

## Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

## Author contributions

ML: Conceptualization, methodology, and writing. LW and FQ: Methodology and editing. ML: Data curation. LW: Supervision and funding acquisition. ML and LW: Funding acquisition. All authors have revised the final manuscript. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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