



Targeting Extreme Events: Complementing Near-Term Ecological Forecasting With Rapid Experiments and Regional Surveys

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Ecologists are improving predictive capability using near-term ecological forecasts, in which predictions are made iteratively and publically to increase transparency, rate of learning, and maximize utility. Ongoing ecological forecasting efforts focus mostly on long-term datasets of continuous variables, such as CO₂ fluxes, or more abrupt variables, such as phenological events or algal blooms. Generally lacking from these forecasting efforts is the integration of short-term, opportunistic data concurrent with developing climate extremes such as drought. We posit that incorporating targeted experiments and regional surveys, implemented rapidly during developing extreme events, into current forecasting efforts will ultimately enhance our ability to forecast ecological responses to climate extremes, which are projected to increase in both frequency and intensity. We highlight a project, “chasing tree die-off,” in which we coupled an experiment with regional-scale observational field surveys during a developing severe drought to test and improve forecasts of tree die-off. General insights to consider in incorporating this approach include: (1) tracking developing climate extremes in near-real time to efficiently ramp up measurements rapidly and, if feasible, initiate an experiment quickly—including funding and site selection challenges; (2) accepting uncertainty in projected extreme climatic events and adjusting sampling design over-time as needed, especially given the spatially heterogeneous nature of many ecological disturbances; and (3) producing timely and iterative output. In summary, targeted experiments and regional surveys implemented rapidly during developing extreme climatic events offer promise to efficiently (both financially and logistically) improve our ability to forecast ecological responses to climate extremes.

Keywords: ecological forecasting, adaptive monitoring, anticipatory science, disturbance, climate change, climate extremes, extreme climatic event, drought

INTRODUCTION

The frequency and severity of climate extremes such as drought, floods, and heat waves are projected to increase with global climate change (de Coninck et al., 2018; Hayhoe et al., 2018). These climate extremes can trigger rapid ecosystem responses (i.e., extreme climatic events; Smith, 2011), including widespread tree die-off (Allen et al., 2010, 2015), algal blooms (Havens et al., 2016), wildfires (Moritz et al., 2010), plant invasions (Sheppard et al., 2012), and extensive soil erosion (Coppus and Imeson, 2002). This has created a need for rapid anticipatory science and management to increase ecosystem resistance to and/or recovery following extreme climate events (Ummenhofer and Meehl, 2017; Bradford et al., 2018). Yet forecasting not only the climate extremes, but also the ecological responses (Smith, 2011), is a key prerequisite toward conducting anticipatory science and management in the face of climate change (Dietze et al., 2018). Near-term ecological forecasting has been developed to make iterative predictions of ecological responses to inform management action and has the potential to transform our ability to rapidly manage natural resources during and following extreme climatic events (Clark et al., 2001; Dietze et al., 2018). In this Perspective, we propose that near-term ecological forecasting can be enhanced by targeted experiments and regional surveys implemented rapidly during developing extreme climatic events to improve our ability to forecast, and ultimately manage, ecological responses to climate extremes.

Near-term ecological forecasting has already been used to iteratively forecast wildfires (Chen et al., 2011), influenza outbreaks (Shaman and Karspeck, 2012), algal blooms (Stumpf et al., 2009), and more (see also Dietze, 2017; Dietze et al., 2018) and can be coupled with adaptive management to further improve predictions and guide anticipatory management (Bradford et al., 2018). Successful near-term ecological forecasting can be achieved by continually making predictions, taking measurements, observing results of those predictions, and integrating data with models (Kalnay, 2002; Dietze, 2017)—in essence “learning by doing” (Shuman, 1989). These steps can be further refined using the scientific method to propose alternative models that test appropriate hypotheses by comparing observations to specific, quantitative predictions rather than the conventional null hypothesis. These improved models are then used to forecast, observe, analyze, and refine hypotheses, and the iterative forecast cycle continues (Dietze et al., 2018). Coupled synchronously with this ongoing iterative forecast cycle is the adaptive management cycle, wherein monitoring data can be used not only to assess previous management decisions but can also be assimilated into forecasts that explore alternative management scenarios moving forward (Gregory et al., 2012; Ketz et al., 2016). Performed in tandem, these two cycles will accelerate our capacity to predict and respond to extreme climatic events.

We see an opportunity to complement the near-term ecological forecasting framework to more effectively address rapidly developing, ecologically significant extreme climatic events that are projected to increase with global climate change. At present, most examples of near-term ecological forecasting have generally relied on long-term data from a

single site (e.g., Hobbs et al., 2015), a network of sites (e.g., Kuikka et al., 2014; Thomas et al., 2017), or near-real time data through satellites (e.g., Stumpf et al., 2009). Yet speedily implemented natural and manipulative experiments provide a way to target transient and progressive spatially-heterogeneous extreme climatic events (e.g., algal blooms, exotic species invasions, disease and insect outbreaks, drought-induced tree mortality and dieback) while simultaneously increasing understanding of these events and harnessing the predictive power of long-term datasets. As highlighted by recent efforts to increase adaptive monitoring whereby monitoring efforts adjust overtime to more efficiently capture spatiotemporal dynamic ecological processes (e.g., Hooten et al., 2009; Krause et al., 2015), we posit that targeting the event as it develops is an informative way to study these phenomena. Manipulative experiments, a core tool in ecology (Hairston, 1989; Peters, 1991; Sala et al., 2000; Scheiner and Gurevitch, 2001; Weltzin and McPherson, 2003), can identify the mechanisms underpinning responses and the potential thresholds that are difficult to identify *post-hoc*, and have successfully been used to improve ecological forecasting (Jiang et al., 2018). Additionally, regional surveys and associated summaries are effective for change detection associated with extreme climatic events (Hughes et al., 2018; Ruthrof et al., 2018; Fettig et al., 2019; Flake and Weisberg, 2019), and are especially useful given the often spatially heterogeneous nature of many ecological disturbances (e.g., Lybrand et al., 2018). There are a range of remote sensing technologies that can be used to augment regional field surveys and forecast changes at a broader spatial scale. These include an array of satellite imagery, including not only traditional multispectral imagery (Landsat, Sentinel-2, MODIS, VIIRS), but also lidar (GEDI), thermal (ECOSTRESS), radar (PALSAR, NISAR), microwave soil moisture (SMAP), gravimetric (GRACE), high temporal resolution geostationary imagery (GOES), and commercial cubesat constellations (e.g., Planet Labs). There are also emerging opportunities to leverage airborne and drone technologies to augment field data and/or satellite imagery and ultimately improve near-term ecological forecasting. We propose that adding rapidly implemented experimental and regional studies during developing extreme climate events can complement existing near-term ecological forecasting efforts to ultimately improve our capacity to forecast ecological responses to climate extremes.

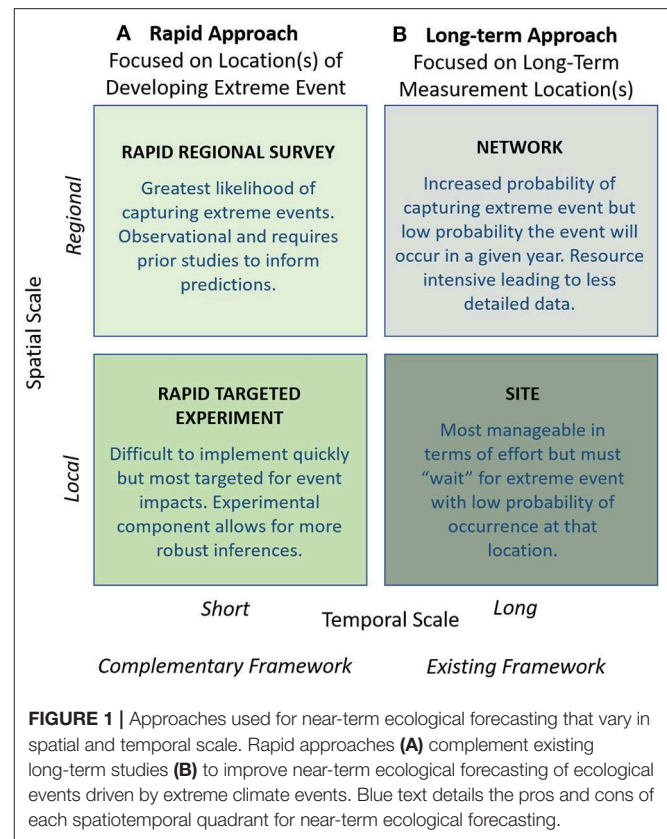
Below we: (1) provide a framework for expanding near-term ecological forecasting by incorporating targeted experiments and regional surveys implemented rapidly during developing extreme climatic events; (2) illustrate our points with a case study, “chasing tree die-off”; and (3) provide examples of where this approach can be used to improve our ability to forecast other critical ecological processes.

EXPANDING NEAR-TERM ECOLOGICAL FORECASTING TO OPPORTUNISTICALLY EXPLOIT EXTREME EVENTS AS THEY ARE DEVELOPING

A key aspect of near-term ecological forecasting to date is that it is generally based on ongoing long-term measurements

at a single site or across a network of sites at regional or larger scales (**Figure 1B**). This is practical because there is substantial effort needed to launch an iterative and highly automated near-term ecological forecasting framework. The advantages of such a framework are sound and can aid management decisions (Dietze, 2017; Dietze et al., 2018). However, ecological changes are increasingly being driven by climate extremes, which are infrequent in time, variable in space, and therefore require a rapid-response capacity to capture observations or implement experiments. Some of these ecological changes, such as vegetation and biogeochemical responses to extreme drought (e.g., Ciais et al., 2005; Schlesinger et al., 2016) can potentially be anticipated during the development of the extreme event. Given the importance of extreme climatic events, we propose that rapidly implemented studies at local and regional scales can be a useful complement to other approaches based on ongoing long-term data collection (**Figure 1A**). A developing extreme climatic event can become the focus of an experimental study implemented rapidly within the impact location to increase return on investment, such as by implementing water additions as treatments during a developing drought (Jentsch et al., 2007). This type of effort is most likely to provide rapid useful information on the impacts of climate extremes, particularly concerning ecological thresholds. Similarly, opportunistic surveys at a regional scale can provide added insight on extreme event impacts. An excellent example of this is the rapid monitoring of an emerging influenza outbreak in 2009 to document and ultimately improve forecasting of influenza spread (Ong et al., 2010). These targeted regional surveys are especially useful in documenting spatially heterogeneous disturbances. The rapid approach and long-term approach are highly complementary: long-term monitoring sites can be used to generate forecasts during developing extreme events (e.g., Diefenbaugh et al., 2017) and insights learned from the rapid approach can subsequently be used to improve forecasts at these long-term monitoring sites.

Within this proposed expanded framework of near-term ecological forecasting (**Figure 1**), there are pros and cons to each spatiotemporal quadrant. In the simplest type of near-term ecological forecast, ongoing long-term data at a site are used to iteratively update predictions (**Figure 1B**, lower). This type of approach is most feasible in terms of effort because it is focused on a single site, allowing for intensive, detailed measurements, and access to long-term time series, which makes the specific timing of initiating the forecasting perhaps less critical (Dietze, 2017; Dietze et al., 2018). However, in this type of forecast, the study site has a high probability of being located outside the area impacted by an extreme climatic event and much data may need to be collected before the timing and location of an extreme event impact that site. Moving to a regional scale, with a network of long-term ongoing data (**Figure 1B**, upper) the probability of capturing an extreme climatic event within the network increases, although this depends on network density. Networks of sites are beneficial in that they are more spatially extensive relative to a single-site but, in general, have less detailed data due to the greater expense of maintaining multiple sites. Further, there is still the issue, albeit of less concern relative to a single



site, of potentially waiting a long time for an extreme climatic event to occur at one of the sites within a network, particularly if there is a low density of sites. By observing progressive conditions for climate extremes such as a drought, efforts can potentially be rapidly deployed at a specific location within the area forecasted to be impacted by the extreme event (rapid targeted experiment; **Figure 1A**, lower). Similarly, at regional scales, a survey following an extreme climate event can document its impact (rapid regional survey; **Figure 1A**, upper), but this type of approach often relies on having preliminary data at a regional scale that can be built upon. This survey can be done using observations from on the ground field surveys and/or with remote sensing technologies to document ecological responses (e.g., Miller et al., 2006; Schepaschenko et al., 2019) and to also develop forecasts (e.g., Liu et al., 2019). These two types of efforts, can strongly enhance our understanding of the ecological consequences of extreme climate events and require less financial costs over-time, but can be challenging to implement because funding, site selection, experimental treatments (**Figure 1A**, lower only), and monitoring all need to occur quickly. Importantly, the rapid approach discussed here is most effective in climate extremes like drought that develop on slower time-scales. In summary, each of these four quadrats (**Figure 1**) can provide useful information for near-term ecological forecasting, and each has constraints. Furthermore, advances in statistical methods for iterative forecasting, and data fusion approaches for informing models with multiple data constraints, play a critical role when trying to combine information from these four quadrats (Dietze,

2017). We next illustrate how rapidly implemented local and regional scale studies provide useful insights into the left-hand column of the framework.

CHASING TREE DIE-OFF: A CASE STUDY

We began tracking predictive maps provided by the US Drought Monitor (The National Drought Mitigation Center, 2019) and local weather stations during the winter of 2017/2018. The cumulative evidence predicted 2018 to be an exceptionally dry year that could lead to regional tree mortality comparable to 2002–2003 (Breshears et al., 2005). We received funding from the NSF-RAPID program to implement a two-part study integrating an experiment and regional surveys into near-term ecological forecasting to evaluate piñon pine (*Pinus edulis*) mortality during a developing drought in the US Southwest during 2018. We coupled a watering experiment at two sites (i.e., **Figure 1A**, lower) with a regional survey (i.e., **Figure 1A**, upper) to forecast mortality based on over a dozen published equations to predict mortality at varying spatiotemporal scales (Breshears et al., 2018).

Rapid Target Experiment

In April 2018, two sites were established in a piñon-juniper woodland separated by approximately 300 m in elevation. To test previously published hypotheses on how tree size and age affect mortality (e.g., Floyd et al., 2009; Meddens et al., 2015), we identified 24 clusters of trees at each site that consisted of one reproductively mature individual in close proximity to a sapling and a seedling. We randomly assigned each cluster of trees to one of three treatments: ambient (drought), small watering, and large watering. Watering treatments were done on May 21, 2018 by imposing an artificial rain event of two magnitudes by slowly saturating either the top 10 or 30 cm of soil. This was done in an effort to vary soil moisture across trees to refine mortality thresholds. We tracked soil moisture using a combination of handheld and permanent soil moisture probes through the duration of the experiment. We sampled pre-dawn water potential, stomatal conductance, and canopy percent brown from late May to mid-September at ~2-week intervals to assess water stress and tree mortality, and continued to sample canopy percent brown monthly through mid-November, 2018 to obtain final estimates of tree mortality. Mortality forecasts were updated ~every two weeks during the duration of the experiment based on our water stress measurements and compared to mortality data.

Rapid Regional Survey

The regional survey of piñon pine mortality was stratified by elevation and soil available water capacity across a 700 km region of Colorado and New Mexico to target sites that were anticipated to have variable levels of mortality. In October, 2018, we measured the size, vigor, and survival of all trees at 32 sites. Further, we recorded microsite conditions of all juvenile trees to refine predictions of nursing effects on juvenile survival under varying climate conditions (e.g., Redmond et al., 2015). The landscape to regional-scale mortality predictions for piñon pine

focused on here can only be updated at an annual time-step (Breshears et al., 2018) and thus forecasts were done at the end of the study once all input climate data were available. Due to the short duration of this study (1 year), we were only able to perform iterative predictions for the finer spatiotemporal scale predictors assessed in the experimental survey and we were unable to assess whether mortality continued into the following growing season. Collectively, these two approaches (manipulative experiment and regional survey) allowed us to test previously published equations used to predict piñon pine mortality at varying spatial scales and refine future predictions.

Watering treatments in the rapid targeted experiment successfully increased soil moisture levels initially, with the small and large watering treatments resulting in a 4.7% and 11.6% increase in volumetric water content, respectively. This subsequently resulted in a trend of decreased tree water stress (i.e., less negative water potentials) at the low elevation site only. Yet the effect of watering on soil moisture rapidly declined—by 2 weeks soil moisture levels were equivalent between the treatments and there were no differences in mortality between treatments. The rapid target experiment was challenged by a 1,000-year rainfall event that occurred in July 2018 and resulted in very little (<3%) subsequent overstory mortality, despite predictions of high (>30%) mortality based on prior plant water potential thresholds identified in Adams et al. (2017). As a result, we documented the recovery of a population affected by drought following a substantial rain event despite exceeding previously established thresholds that closely linked extreme plant water potentials to mortality. Forecasting mortality based on data collected during the developing drought in the rapid target experiment (i.e., **Figure 1A**, lower) allowed us to refine previous predictions by providing data of where mortality was expected to occur, but ultimately did not occur. This highlights the importance of forecasting mortality during droughts rather than *post-hoc* investigations following known die-off events. Notably, the rapid regional survey (**Figure 1A**, upper) allowed us to sample areas where the developing drought continued to persist and ultimately lead to tree mortality (Wion et al., unpublished). Given uncertainties of forecasting climate extremes and the spatially heterogeneous nature of ecological disturbances, this two-part study reveals the benefit of integrating targeted experiments with regional surveys during an emerging drought to further improve ecological forecasting.

From our project, some of the more general insights that apply toward conducting rapid studies during developing extreme climate events (e.g., **Figure 1A**) include:

- (1) The need to track developing events and their potential timeline. This is more challenging for extreme climate events that occur and end rapidly, such as heat waves, relative to extreme climate events that develop over longer time periods, such as drought.
- (2) The ability to ramp up measurements rapidly and if appropriate install and initiate an experiment quickly. This includes challenges associated with quickly obtaining or redirecting funding and selecting sites on a tight timeline.

TABLE 1 | Examples of where near-term ecological forecasting can be enhanced by experiments and regional surveys implemented rapidly during emerging climate extremes to improve our ability to forecast extreme ecological events and mitigate risk through early detection.

Climate Extreme	Extreme Ecological Event	Forecasting Challenge	Opportunities for Rapid Approaches
Drought	Insect Outbreaks & Tree Mortality ^{a,b}	Determining mortality thresholds under varying levels of insect densities and water stress; efficacy of management options	<p>Experiment:</p> <ul style="list-style-type: none"> • Experimental management interventions (e.g., insect control techniques) • Precipitation manipulation treatments <p>Survey:</p> <ul style="list-style-type: none"> • Pheromone traps to quantify insect population densities • Tree mortality surveys (field and/or remote sensing based)
Extreme Temperature (Acute Heat Wave)	Coral Bleaching ^c	Determining expulsion thresholds, spatial extents, and cascading effects	<p>Experiment:</p> <ul style="list-style-type: none"> • Mesocosms to manipulate abiotic conditions (temperature, pH) and biotic communities <p>Survey:</p> <ul style="list-style-type: none"> • Rapid implementation of surveys before, during, and after heat events coupled with abiotic monitoring of currents, temperature, and other abiotic conditions.
Extreme Temperature (Sustained Heat)	Permafrost thawing ^{d,e} , peatland & alpine grassland drying ^f	Uncertainty in rate of thawing and drying; high spatial heterogeneity requires extensive sampling	<p>Experiment:</p> <ul style="list-style-type: none"> • Manipulation of temperature, moisture, or solar input <p>Survey:</p> <ul style="list-style-type: none"> • Carbon stocks and fluxes and changes to organic matter stoichiometry • Surveys of changes in vegetation species richness and biomass (field and/or remote sensing)
Extreme Precipitation (Flooding)	Infectious disease outbreaks ^{g,h}	Waterborne transmission of viral, bacteria, and parasitic diseases leading to disease spread and high risk areas with flooding	<p>Experiment:</p> <ul style="list-style-type: none"> • Experimental management interventions (e.g., sterilization of disease vectors) • Mesocosm experiments to manipulate density and diversity of hosts <p>Survey:</p> <ul style="list-style-type: none"> • Rapid response through population monitoring of vectors and disease agents and tracking outbreaks with social media
Extreme Wind (Hurricane)	Windfall ⁱ	Predicting changes in forest structure and understory vegetation following high wind; managing to promote recovery	<p>Experiment:</p> <ul style="list-style-type: none"> • Experimental silvicultural treatments, nutrient additions to couple biogeochemical changes with understory vegetation responses <p>Survey:</p> <ul style="list-style-type: none"> • Tree mortality surveys to test forecasts across species and size classes • Biogeochemical and understory vegetation sampling

^aAnderegg et al., 2015, ^bLiu et al., 2019, ^cLiu et al., 2018, ^dSchuur et al., 2015, ^eBrouchkov and Fukuda, 2002, ^fGanjurjav et al., 2018, ^gHunter, 2003, ^hWells et al., 2015, ⁱCooper-Ellis et al., 1999.

- (3) Accepting uncertainty in projected climate extremes and, when possible, altering the sampling design (i.e., adaptive monitoring) as the event progresses, especially given the spatially heterogeneous nature of many ecological disturbances.
- (4) Producing timely and iterative output under a short time period.

EXAMPLE OPPORTUNITIES THAT CAN RAPIDLY EXPLOIT DEVELOPING DISTURBANCES

We highlight five disparate examples of how coupling rapid experiments and/or regional surveys with near-term ecological forecasting can allow for efficient and effective investigation of extreme events (Table 1). These examples represent both aquatic and terrestrial responses to shifting climate drivers and are not an exhaustive list. We summarize some of the major challenges

related to predicting and understanding these ecological events, while cataloging some of the opportunities available for future study using our expanded framework (see Table 1).

CONCLUSIONS

Climate change is occurring at such a rapid pace that the Anthropocene falls outside of the typical range of natural variability (Smith et al., 2009), creating a need for iterative near-term ecological forecasting (Dietze et al., 2018). Generally lacking from these near-term ecological forecasting efforts is the integration of shorter-term, opportunistic data associated with developing extreme events such as targeted experiments or regional surveys. In this Perspective, we propose that studies implemented rapidly during developing extreme events such as drought can provide useful complements to near-term ecological forecasting. Implementing targeted experiments and regional-scale surveys increase the likelihood of capturing highly spatially heterogeneous

ecological disturbances and ultimately improve our ability to forecast the ecological responses to climate extremes. By drawing on advancements in adaptive monitoring whereby monitoring efforts adjust overtime to more efficiently capture spatiotemporal dynamic ecological processes, we posit that studies implemented rapidly during a developing extreme climate event can ultimately enhance our ability to forecast extreme ecological events. This approach has already been successfully used to study influenza outbreaks (Ong et al., 2010) and tree die-off in response to drought. Notably, this framework can be further expanded as near-term ecological forecasting models continue to be developed to not only target developing extreme climate events but to also use ecological forecasting predictions to target sampling efforts to test alternative hypotheses and ultimately refine hypotheses and predictions.

AUTHOR CONTRIBUTIONS

DB conceived the idea with input from AW, CC, DL, JF, MR, NM, and NC. MR lead the writing of the paper, and AW, CC,

DB, DL, and MR all wrote sections of the first draft of the paper. All authors contributed substantially to refinement of the ideas, examples provided in the text and in the table, and editing of the text.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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