



# Comparison of Types and Amounts of Nanoscale Heterogeneity on Bacteria Retention

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Interaction energy calculations that assume smooth and chemically homogeneous surfaces are commonly conducted to explain bacteria retention on solid surfaces, but experiments frequently exhibit significant deviations from these predictions. A potential explanation for these inconsistencies is the ubiquitous presence of nanoscale roughness (NR) and chemical heterogeneity (CH) arising from spatial variability in charge (CH1), Hamaker constant (CH2), and contact angles (CH3) on these surfaces. We present a method to determine the mean interaction energy between a colloid and a solid-water-interface (SWI) when both surfaces contained binary NR and CH. This approach accounts for double layer, van der Waals, Lewis acid-base, and Born interactions. We investigate the influence of NR and CH parameters and solution ionic strength (IS) on interaction energy profiles between hydrophilic and hydrophobic bacteria and the SWI. Increases in CH1 and CH3 reduce the energy barrier and create deeper primary minima on net electrostatically unfavorable surfaces, whereas increasing CH2 diminishes the contribution of the van der Waals interaction in comparison to quartz and makes a more repulsive surface. However, these roles of CH are always greatest on smooth surfaces with larger fractions of CH. In general, increasing CH1 and CH3 have a larger influence on bacteria retention under lower IS conditions, whereas the influence of increasing CH2 is more apparent under higher IS conditions. However, interaction energy profiles are mainly dominated by small fractions of NR, which dramatically lower the energy barrier height and the depths of both the secondary and primary minima. This significantly increases the relative importance of primary to secondary minima interactions on net electrostatically unfavorable surfaces, especially for conditions that produce small energy barriers on smooth surfaces. Energy balance calculations indicate that this primary minimum is sometimes susceptible to diffusive removal depending on the NR and CH parameters.

**Keywords:** nanoscale, chemical heterogeneity, roughness, hamaker constant, contact angles, XDLVO interaction energy, bacteria, retention

## INTRODUCTION

Colloids are particles with diameters of around 10 nm to 10  $\mu$ m and include microorganisms, dissolved and particulate organic matter, clays and mineral precipitates, and nanoparticles (DeNovio et al., 2004). An understanding of factors that control colloid retention and release from surfaces is important for many environmental and industrial applications

(Salata, 2004; Molnar et al., 2015; Stark et al., 2015). Conventional filtration theory considers that retention depends on the mass transfer rate of colloids to the solid-water-interface (SWI) and immobilization on the surface (Yao et al., 1971). The relative magnitude of the forces and torques that act on a colloid adjacent to the SWI will determine whether a colloid will be immobilized or released from a surface (Cushing and Lawler, 1998). Filtration theory considers that the adhesive force dominates colloid retention and release (Tufenkji and Elimelech, 2004).

The adhesive force is typically determined from interaction energy calculations (Bergendahl and Grasso, 1999). The interaction energy between a colloid and the SWI usually considers electrostatic double layer and van der Waals interactions but has also been increasingly extended to include Lewis acid-base, steric, and Born interactions (Grasso et al., 2002). However, many experimental observations of colloid retention and release have not been consistent with such interaction energy calculations (Suresh and Walz, 1996; Huang et al., 2009; Bendersky and Davis, 2011). Traditional interaction energy calculations have been limited to smooth, chemically homogeneous surfaces (Grasso et al., 2002). Conversely, natural surfaces always exhibit some degree of nanoscale roughness (NR) and chemical heterogeneity (CH) (Vaidyanathan and Tien, 1991; Suresh and Walz, 1996). A number of researchers have therefore extended interaction energy calculations to include NR and/or CH as a means to explain colloid retention and release (e.g., Suresh and Walz, 1996; Bhattacharjee et al., 1998; Hoek et al., 2003; Hoek and Agarwal, 2006; Huang et al., 2009; Bendersky and Davis, 2011; Henry et al., 2011).

Consideration of NR and surface charge heterogeneity (CH1) in interaction energy calculations can account for many observed colloid retention and release behavior that were previously unexplained (Shen et al., 2011; Bradford and Torkzaban, 2012, 2013, 2015; Bradford et al., 2017); e.g., a small fraction of the surface contributing to colloid retention on net electrostatically unfavorable and favorable surfaces. Increasing CH1 locally reduces the energy barrier height and increases the depth of the primary minimum under net electrostatically unfavorable conditions, especially for more positively charged and larger sized heterogeneities under higher ionic strength (IS) conditions (Bendersky and Davis, 2011; Bradford and Torkzaban, 2012; Shen et al., 2013; Pazmino et al., 2014). Small NR fractions locally decreases the height of the energy barrier (Suresh and Walz, 1996; Bhattacharjee et al., 1998; Hoek et al., 2003; Hoek and Agarwal, 2006; Huang et al., 2009; Bendersky and Davis, 2011; Shen et al., 2011) and the magnitude of the primary minimum (Shen et al., 2012; Bradford and Torkzaban, 2013), especially for roughness on both the surface of the colloid and the SWI (Bradford et al., 2017). Roughness properties that contribute to colloid retention and release change with the solution IS, the colloid size, and the surface chemical properties (e.g., Bradford et al., 2017). Small NR fractions have been shown to control the shape of the interaction energy profile when surfaces contain both NR and CH1 (Bradford and Torkzaban, 2013, 2015; Bradford et al., 2017).

In addition to CH1 and NR, natural subsurface environments may also exhibit heterogeneity arising from the presence of

microorganisms and the degradation of soil organic matter (SOM). A diversity of types and numbers of soil microorganisms may occur in soils and sediments (Stevenson, 1994). SOM is usually divided into fulvic acid, humic acid, and humin fractions (Huang et al., 2003). Fulvic acid has higher contents of carboxylic and phenolic groups than humic acid (Aiken, 1985). Humin is a complex mixture of variably degraded biopolymers such as lignin and polysaccharides (Aiken, 1985), mineral-bound lipids and humic acid-like materials (Rice and MacCarthy, 1990), and kerogen and black carbon (Song et al., 2002).

Although the organic fraction of soils and sediments is usually small in comparison to the inorganic fraction, it can coat large portions of the exposed mineral surface (Doerr et al., 2000). The physical and chemical properties of organic coatings can be vastly different from the underlying mineral surface. For example, NR of microbes and SOM are expected to be very different than pure mineral surfaces (Wilkinson et al., 1999), and to change with the water content (Ma'shum and Farmer, 1985), and the solution pH, IS, and ionic composition (Stevenson, 1994; Sposito, 2008). SOM consists of both hydrophobic and hydrophilic components (Ellerbrock et al., 2005). The wettability of soils and sediments is highly impacted by the types and amounts of SOM (Doerr et al., 2000). Humic acids and humins are reported to contain hydrophobic surfaces (de Blas et al., 2010) such as polyalkyl molecules (e.g., free fatty acids and wax esters) (Ma'shum et al., 1988; Hudson et al., 1994; Franco et al., 2000). This hydrophobicity can be enhanced in fire-affected soils because of thermal decarboxylation of the humic matter (Almendros et al., 1990), and in dry soils due to conformational changes of the SOM (Ma'shum and Farmer, 1985). In addition, microorganism species are known to exhibit wide variations in hydrophobicity (Van Loosdrecht et al., 1987). The hydrophobicity of a surface is known to have a strong influence on the Lewis acid-base interaction (Bergendahl and Grasso, 1999). In addition, an increase in the presence of organic coatings is expected to reduce the Hamaker constant and the van der Waals interaction (Drummond and Chan, 1997; Tong et al., 2011).

Adsorbed organics on surfaces have frequently been reported to create a brush-like surface that diminishes colloid retention and/or increases colloid stability (Kretzschmar and Sticher, 1997; Yang et al., 2010, 2011, 2013, 2014; Flynn et al., 2012). This diminished colloid retention or increased stability in the presence of adsorbed organics has typically been attributed to steric repulsion which creates a large energy barrier to interaction in a primary minimum (Espinasse et al., 2007; Han et al., 2014). The large energy barrier from steric repulsion predicts no colloid retention on a surface, whereas limited amounts of colloid retention are commonly observed even in the presence of adsorbed SOM (Jiang et al., 2012; Han et al., 2014). Furthermore, steric repulsion cannot account for enhanced retention of hydrophobic colloids on SOM surfaces (Amirbahman and Olson, 1993). Alternatively, the brush-like surface of adsorbed SOM may be explicitly accounted for in interaction energies calculations through NR heights and fractions parameters. In contrast to the influence of steric repulsion, NR creates colloid stability and low amounts of retention by producing shallow primary minima that are subject to diffusive or hydrodynamic release (Morales et al.,

2011). Spatial differences in roughness parameters on natural surfaces will alter the depth of the primary minimum to produce colloid retention only in some locations (Bradford et al., 2017).

Previous research that has examined the influence of CH on colloid interactions have focused on CH1 (e.g., from metal oxides, mineral defects, and protonation and deprotonation of surface functional groups) (Pazmino et al., 2014; Park and Kim, 2015). No research to date has systematically examined the influence of SOM heterogeneity on the van der Waals and Lewis acid-base components of the interaction energy. Furthermore, the relative importance of various types and amounts of CH (e.g., charge, Hamaker, and contact angle), separately or in combination, has not yet been studied on a single surface let alone on both interacting surfaces. The influence of all of these chemical heterogeneities in the presence of NR on one or both surfaces is also a question that has not yet been addressed.

Bradford et al. (2017) previously presented an approach to simultaneously account for the influence of NR and CH1 on colloid and solid (or another colloid) surfaces on interaction energies. In this case, the interaction energies only considered constant potential double layer electrostatics (Hogg et al., 1966), retarded London-van der Waals attraction (Gregory, 1981), and Born repulsion (Ruckenstein and Prieve, 1976; Oliveira, 1997) for sphere-plate and sphere-sphere geometries. In this work, these equations were further extended to include Lewis acid-base interactions (Van Oss, 1994), and CH arising from Hamaker constants (van der Waals interactions) and contact angles (Lewis acid-base interactions). These changes allow us to consider a wide variety of types, amounts, and combinations of NR and/or CH (charge, Hamaker, and/or contact angle) on one or both surfaces. This tool provides us with valuable information and insight to determine the relative importance of the various heterogeneity types and combinations on colloid retention, release, and stability. A special focus of this research was to better understand the influence of SOM coatings on interactions with mineral surfaces and bacteria.

## MATERIALS AND METHODS

### Interaction Energies for Homogeneous Surfaces

The total interaction energy between a colloid and the SWI ( $\Phi_{ij}$ ,  $\text{ML}^2\text{T}^{-2}$  where M, L, and T denote units of mass, length, and time, respectively) is associated with a smooth and chemically homogeneous surface. The value of  $\Phi_{ij}$  was considered to be the sum of electrostatic, van der Waals, Lewis acid-base, and Born repulsion interaction energies as:

$$\Phi_{ij}(h) = \Phi^{el}(h) + \Phi^{vdW}(h) + \Phi^{AB}(h) + \Phi^{Born}(h) \quad (1)$$

where  $\Phi^{el}$  [ $\text{ML}^2\text{T}^{-2}$ ],  $\Phi^{vdW}$  [ $\text{ML}^2\text{T}^{-2}$ ],  $\Phi^{AB}$  [ $\text{ML}^2\text{T}^{-2}$ ] and  $\Phi^{Born}$  [ $\text{ML}^2\text{T}^{-2}$ ] are the electric double layer, van der Waals, Lewis acid-base, and Born interaction energies, respectively. The value of  $\Phi_{ij}$  was made dimensionless by dividing by the product of the Boltzmann constant ( $k_B = 1.38 \times 10^{-23} \text{ J K}^{-1}$ ) and the absolute temperature ( $T_K$ ).

The value of  $\Phi^{el}$  was determined using the constant surface potential interaction expression of Hogg et al. (1966) for a sphere-plate interaction as:

$$\Phi^{el}(h) = \pi \varepsilon \varepsilon_0 r_c \left\{ 2\zeta_{ci}\zeta_{sj} \ln \left[ \frac{1 + \exp(-\kappa h)}{1 - \exp(-\kappa h)} \right] + (\zeta_{ci}^2 + \zeta_{sj}^2) \ln [1 - \exp(-2\kappa h)] \right\} \quad (2)$$

where  $\varepsilon$  (dimensionless) is the dielectric constant of the medium,  $\varepsilon_0$  [ $\text{M}^{-1}\text{L}^{-3}\text{T}^4\text{A}^{-2}$ , where A denotes ampere] is the permittivity in a vacuum,  $r_c$  [L] is the colloid radius,  $\zeta_{ci}$  is the zeta potential of the colloid,  $\zeta_{sj}$  is the zeta potential of the solid, and  $\kappa$  [ $\text{L}^{-1}$ ] is the Debye-Huckel parameter.

The value of  $\Phi^{vdW}$  for a retarded sphere-plate interaction was determined using the expression by Gregory (1981) as:

$$\Phi^{vdW}(h) = -\frac{A_{cws}r_c}{6h} \left[ 1 + \frac{14h}{\lambda} \right]^{-1} \quad (3)$$

where  $A_{cws}$  [ $\text{ML}^2\text{T}^{-2}$ ] is the combined Hamaker constant, and  $\lambda$  is a characteristic wavelength that was taken as 100 nm (Gregory, 1981). The value of  $A_{cws}$  can be estimated from Hamaker constants for the various materials (Israelachvili, 1992) as:

$$A_{cws} = \left( \sqrt{A_{ci}} - \sqrt{A_w} \right) \left( \sqrt{A_{sj}} - \sqrt{A_w} \right) \quad (4)$$

where  $A_{ci}$  [ $\text{ML}^2\text{T}^{-2}$ ],  $A_{sj}$  [ $\text{ML}^2\text{T}^{-2}$ ], and  $A_w$  [ $\text{ML}^2\text{T}^{-2}$ ] are the Hamaker constants for the colloid, the solid, and water, respectively. The value of  $A_w$  is commonly taken as  $3.7 \times 10^{-20} \text{ J}$  (Israelachvili, 1992). Values of  $A_{sj}$  were estimated from literature values of their surface energies in the air ( $\gamma_{sj}$ ) as (Israelachvili, 1992):

$$A_{sj} = 24\pi\gamma_{sj}h_0^2 \quad (5)$$

where  $h_0$  [L] is the value of closest approach taken to be 0.157 nm (Van Oss, 1994). The value of  $A_{ci}$  was estimated in an analogous fashion from  $\gamma_{ci}$ .

The value of  $\Phi^{AB}$  was determined using the approach of Van Oss (1994) as:

$$\Phi^{AB}(h) = 2\pi r_c \lambda_{AB} \Phi_{AB(h=h_0)} \exp \left[ \frac{h_0 - h}{\lambda_{AB}} \right] \quad (6)$$

where  $\lambda_{AB}$  [L] is the characteristic decay length of acid-base interactions in water taken as 1 nm (Israelachvili, 1992), and  $\Phi_{AB(h=h_0)}$  [ $\text{MT}^{-2}$ ] is the Lewis acid-base free interaction energy per area between the two surfaces when  $h = h_0$ . The value of  $\Phi_{AB(h=h_0)}$  in Equation (6) was determined using the approach of Bergendahl and Grasso (1999) and Yoon et al. (1997) as:

$$\Phi_{AB(h=h_0)} = -\frac{K}{2\pi h_0 \lambda_{AB}} \quad (7)$$

$$\log(K) = -7.0 \left( \frac{\cos(\theta_{ci}) + \cos(\theta_{sj})}{2} \right) - 18.0 \quad (8)$$

where  $\theta_{sj}$  [degrees] and  $\theta_{ci}$  [degrees] are the contact angles for the air-water-solid and air-water-colloid systems, respectively, and  $K$  [ $\text{ML}^2\text{T}^{-2}$ ] is the hydrophobic force constant.

It should be mentioned that values of  $\theta_{sj}$  and/or  $\theta_{ci}$  can be theoretically related to  $A_{sj}$  and/or  $A_{ci}$ , respectively, for dispersive liquids and solids (Drummond and Chan, 1997). However, in the presence of water, this theoretical relationship does not hold because non-dispersive interactions dominate (Hough and White, 1980). In this work, individual material Hamaker constants and contact angles were therefore considered to be independent from each other. This approach is consistent with the assumption that  $\Phi^{vdw}$  and  $\Phi^{AB}$  terms account for separate processes.

The value of  $\Phi^{Born}$  was calculated from Ruckenstein and Prieve (1976) for sphere-plate interactions as:

$$\Phi^{Born}(h) = \frac{A_{cws}\sigma_c^6}{7560} \left[ \frac{8r_c + h}{(2r_c + 7)^7} + \frac{6r_c - h}{h^7} \right] \quad (9)$$

where  $\sigma_c$  [L] is the collision diameter that was taken as 0.26 nm in order to achieve a primary minimum depth at 0.157 nm.

## Interaction Energies for Heterogeneous Surfaces

An approach of Bradford et al. (2017) to account for the influence of NR and CH on interaction energy calculations between a spherical colloid suspended in a monovalent electrolyte solution and a planar solid surface or another colloid is extended below. Both interacting surfaces may exhibit binary NR and CH within the area of the electrostatic zone of influence ( $A_z$ ). The zone of electrostatic influence (e.g., proportional to the colloid radius and the Debye length) on the SWI is assumed to contain a NR fraction ( $f_{sr}$ ) with a height equal to  $h_{sr}$ , and the complementary fraction ( $1-f_{sr}$ ) correspond to a smooth surface. Similar NR parameters were defined within the electrostatic zone of influence for the colloid as for the SWI. In this case, parameters  $f_{sr}$  and  $h_{sr}$  for the SWI correspond to  $f_{cr}$  and  $h_{cr}$  for the colloid, respectively. The mean dimensionless interaction energy between a colloid and SWI that contains NR on both surfaces ( $\Phi$ ) can be determined as a linear combination of interaction energies for the various NR components as (Bradford et al., 2017):

$$\Phi(h) = a_{r1}\Phi_S(h + h_{sr} + h_{cr}) + a_{r2}\Phi_S(h + h_{sr}) + a_{r3}\Phi_S(h + h_{cr}) + a_{r4}\Phi_S(h) \quad (10)$$

where  $h$  [L] is the separation distance from the center of the electrostatic zone of influence at a height  $h_{sr}$  from the SWI to the leading face of the colloid center at a height  $h_{cr}$ , and  $a_{r1}$  [-],  $a_{r2}$  [-],  $a_{r3}$  [-], and  $a_{r4}$  [-] are constants that determine the contributions of the various possible roughness combinations

that are equal to:

$$\begin{aligned} a_{r1} &= (1 - f_{sr})(1 - f_{cr}) \\ a_{r2} &= (1 - f_{sr})f_{cr} \\ a_{r3} &= f_{sr}(1 - f_{cr}) \\ a_{r4} &= f_{sr}f_{cr} \end{aligned} \quad (11)$$

Equations (10) and (11) assume that  $h > 0$  such that roughness on the colloid and SWI do not overlap, and that lateral components of the interaction energy are insignificant or cancel out.

The value of parameter  $\Phi_S$  in Equation (10) is the mean dimensionless interaction energy between a smooth colloid and SWI that contains binary CH on both surfaces. Similar to Equation (10),  $\Phi_S$  can be determined as a linear combination of interaction energies for the various CH components as (Bradford and Torkzaban, 2012).

$$\Phi_S(h) = \sum_{j=1}^2 \sum_{i=1}^2 f_{sj}f_{ci}\Phi_{ij}(h) \quad (12)$$

where  $f_{sj}$  [-] and  $f_{ci}$  [-] are fractions of the electrostatic zone of influence that are associated with binary CH classes on the SWI ( $j = 1, 2$ ) and colloid ( $i = 1, 2$ ), respectively, and  $\Phi_{ij}$  was defined by Equations (1)–(8) for the various possible CH combinations. It should be mentioned that  $f_{s1} = (1-f_{s2})$  and  $f_{c1} = (1-f_{c2})$ . Note that Equations (10)–(12) are consistent with previous studies that have demonstrated that the mean interaction energy for heterogeneous surfaces can be determined as a linear combination of interaction energies associated with the various heterogeneity combinations (Huang et al., 2009; Bendersky and Davis, 2011; Bradford and Torkzaban, 2013).

Note that our approach for NR in Equations (10) and (11) is independent of the type of CH. Consequently, many types and combinations of CH can be considered using the same approach. For example, the combined CH arising from differences in charge, Hamaker, and contact angle can be simultaneously considered when accounting for separate interaction parameters for each CH class on the SWI and colloid. Alternatively, heterogeneity in charge (CH1), Hamaker constant (CH2), or contact angle (CH3) can be separately determined by only varying these interaction parameters for each CH class. In this case, CH1, CH2, and CH3 reflect the influence of heterogeneity on  $\Phi^{el}$ ,  $\Phi^{vdw}$  (and  $\Phi^{Born}$ ), and  $\Phi^{AB}$  components of the total interaction energy (Equation 1), respectively, whereas other interaction energy terms consider homogeneous conditions.

Equations (1)–(12) therefore allow the influence of NR and CH, NR and CH1, NR and CH2, and NR and CH3 to be systematically investigated for various heterogeneity parameters when considering double layer, van der Waals, Lewis acid-base, and Born interactions. All interaction energy profiles were analyzed to determine the energy barrier height ( $\Phi_{max}$ ), and the depths of the secondary ( $\Phi_{2min}$ ) and primary ( $\Phi_{1min}$ ) minima.

## Energy Balance

The probability ( $\varepsilon_k$ ) that a colloid interacting in a primary ( $k = 1$ ) or secondary ( $k = 2$ ) minimum would be immobilized in the presence of random kinetic energy fluctuations of a diffusing colloid may be estimated using the Boltzmann factor and Maxwellian kinetic energy models as (Simoni et al., 1998; Shen et al., 2007; Bradford and Torkzaban, 2015; Bradford et al., 2017):

$$\varepsilon_k = \exp(-A) - \exp(-B) \quad (13)$$

$$\varepsilon_k = \left( \operatorname{erf}(\sqrt{B}) - \sqrt{\frac{4B}{\pi}} \exp(-B) \right) - \left( \operatorname{erf}(\sqrt{A}) - \sqrt{\frac{4A}{\pi}} \exp(-A) \right) \quad (14)$$

where  $A$  and  $B$  are equal to the dimensionless interaction energies to enter and escape from a minimum, respectively (Bradford and Torkzaban, 2015; Bradford et al., 2017). Note that an infinite depth of the primary minimum has frequently been assumed by setting  $B = \infty$  (Bradford and Torkzaban, 2015). Predicted values of  $\varepsilon_1$  as a function of  $A$  (with  $B = \infty$ ) are shown in Figure S1. Results demonstrate that values of  $\varepsilon_1$  are quite similar when using Equations (13) and (14), and we, therefore, choose to employ Equation (14). It should be mentioned that Equations (13) and (14) may also be used to determine the probability that an interacting colloid will be released from a secondary and primary minima ( $\varepsilon_{rk}$ ) by diffusion. In this case,  $A$  is equal to the minimum kinetic energy to escape from the minimum and  $B = \infty$  (e.g., the maximum kinetic energy) (Bradford and Torkzaban, 2015; Bradford et al., 2017).

The values  $\varepsilon_k$  and  $\varepsilon_{rk}$  determine whether a diffusing colloid will interact in a minimum and the reversibility of this interaction, respectively. No colloid interaction in the minimum occurs if  $\varepsilon_k$  is below a critical threshold ( $\varepsilon_c$ ) that was taken to be 0.01 (Bradford et al., 2017). Reversible interaction occurs when  $\varepsilon_k > \varepsilon_c$  and  $\varepsilon_c < \varepsilon_{rk}$ , and irreversible interaction occurs when  $\varepsilon_k > \varepsilon_c$  and  $\varepsilon_c > \varepsilon_{rk}$ . The condition for irreversible colloid interaction can, therefore, be expressed mathematically using  $\beta_k$  terms as (Bradford and Torkzaban, 2015; Bradford et al., 2017):

$$\beta_k = H_o(\varepsilon_k - \varepsilon_c) H_o(\varepsilon_c - \varepsilon_{rk}) \quad (15)$$

where  $H_o$  is a Heaviside function that is equal to 1 or 0 depending on whether the quantity in parentheses is greater than or equal to 0 or less than 0, respectively. A value of  $\beta_k = 1$  indicates a location of irreversible retention, whereas  $\beta_k = 0$  denotes no or reversible retention. In this work, if  $\beta_1 = 1$  or  $\beta_2 = 1$  we define a parameter  $\beta$  equal to 1 otherwise 0. It should be mentioned that this approach may also be extended to account for the role of hydrodynamics and the spatial distributions of NR and/or CH on colloid immobilization (Bradford and Torkzaban, 2015), but this was not the focus of this study.

## Numerical Experiments

Numerical experiments were conducted to examine interaction energy parameters and irreversible retention of hydrophilic and

hydrophobic bacteria on quartz surfaces with different types and amounts of CH and/or NR under different IS conditions. Results were presented as interpolated contour graphs which were generated using the marching square graphics algorithm in Plotly (Plotly, Canada).

The individual Hamaker constants for water, pure quartz, and the bacteria were taken as  $3.7 \times 10^{-20}$  J (Israelachvili, 1992),  $8.86 \times 10^{-20}$  J (Bergström, 1997), and  $6.46 \times 10^{-20}$  J, respectively. This yields a commonly employed value for the combined Hamaker constant for bacteria-water-quartz equal to  $6.5 \times 10^{-21}$  J (Rijnaarts et al., 1995a,b). The zeta potential of pure quartz was taken to be  $-22$ ,  $-12$ , and  $-11.2$  mV in 10, 50, and 100 mM NaCl solution, respectively (Torkzaban et al., 2008). The zeta potential of the bacteria in 10, 50, and 100 mM NaCl solution was taken to be  $-49$ ,  $-32$ , and  $-21$  mV, respectively (Torkzaban et al., 2008).

The influence of different amounts and types of organic matter and metal oxide coatings on the quartz surface was considered by systematically changing values of  $A_{s2}$ ,  $\theta_{s2}$ ,  $\zeta_{s2}$ , and  $f_{s2}$  over hypothetical ranges. The various types of CH (Hamaker, contact angle, or zeta potential) were separately examined to better understand their individual contributions and relative importance. The solid phase Hamaker constant and fraction on site 2 were varied from  $A_{s2} = 2.5 \times 10^{-20}$  to  $10 \times 10^{-20}$  J and  $f_{s2} = 0$  to 1 when considering heterogeneity in van der Waals interactions. This range in individual Hamaker constants encompassed reported values for humic acid, extracellular polymeric substances, and carbon black that are equal to  $4.85 \times 10^{-20}$ ,  $7.78 \times 10^{-20}$ ,  $1 \times 10^{-19}$  J, respectively (Tong et al., 2011; Han et al., 2017). The solid phase contact angle and fraction on site 2 were varied from  $\theta_{s2} = 0$  to  $125^\circ$  and  $f_{s2} = 0$  to 1 when considering heterogeneity in Lewis acid-base interactions. This range in contact angles includes reported values for humic acid and fulvic acid of around  $20^\circ$  (Lin et al., 2006), and an upper limit similar to black carbon which is equal to  $136.6^\circ$  (Hwang et al., 2018). The solid phase zeta potential and fraction on site 2 were varied from  $\zeta_{s2} = -20$  to  $+30$  mV and  $f_{s2} = 0$  to 1 when considering heterogeneity in electrostatic double layer interactions. This range in zeta potentials encompasses a wide range of minerals, metal oxide coatings, and humic materials (Fuerstenau and Pradip, 2005; Tong et al., 2011).

Some calculations considered roughness on the bacteria and solid surfaces. In this case, values of  $h_{cr}$  and  $f_{cr}$  on the bacteria surface were set to 25 nm and 0.2, respectively, to be consistent with reported values in the literature (King et al., 2014). Tunneling Electron Microscopy of the surface of various bacteria species has also revealed that their macromolecules extend between 5 and 100 nm into solution (Rijnaarts et al., 1995a). Heterogeneity on bacteria cell surface lipopolysaccharides and extracellular polysaccharides have been found to have a role in bacterial adhesion (Camesano and Abu-Lail, 2002; Abu-Lail and Camesano, 2003). The value of  $h_{sr}$  and  $f_{sr}$  on the solid surface varied from 0 to 80 nm and 0 to 1, respectively, when considering only the influence of NR. When the combined influence of CH and solid phase roughness was considered values of  $h_{sr} = 50$  nm and  $f_{sr} = 0.1$  (IS = 50 or 100 mM) or 0.05 (IS = 10 mM). These roughness parameters values were selected to be consistent with

published studies that have employed Atomic Force Microscopy to measure the roughness properties on quartz or glass bead surfaces (Han et al., 2016; Rasmuson et al., 2017) or examined the influence of roughness on interaction energies (Bradford et al., 2017).

## RESULTS AND DISCUSSION

### Chemical Heterogeneity

**Figure 1** shows contour plots of  $\Phi_{2min}$  (**Figure 1A**),  $\Phi_{max}$  (**Figure 1B**),  $\Phi_{1min}$  (**Figure 1C**),  $\beta_1$  (**Figure 1D**),  $\beta_2$  (**Figure 1E**), and  $\beta$  (**Figure 1F**) for a hydrophilic bacteria when the IS = 100 mM NaCl and heterogeneity in the van der Waals interaction was considered; e.g., the solid phase Hamaker constant and fraction on site 2 were varied from  $A_{s2} = 2.5 \times 10^{-20}$  to  $10 \times 10^{-20}$  J and  $f_{s2} = 0$  to 1. Heterogeneity in double layer and Lewis acid-base interactions was neglected (**Table 1**). The Hamaker constant on solid phase site 1 was  $A_{s1} = 8.86 \times 10^{-20}$  J to be consistent with quartz (Bergström, 1997). When  $A_{s2}$  is less than  $A_{s1}$  and  $f_{s2} > 0$  the combined Hamaker is smaller than that of quartz. In this case, the relative importance of the van der Waals interaction is diminished relative to quartz, and conditions for bacteria retention become less favorable. Consequently, a decrease in  $A_{s2}$  produces an increase in  $\Phi_{2min}$ ,  $\Phi_{max}$ , and  $\Phi_{1min}$ , especially for higher values of  $f_{s2}$ . Bacteria release or reversible retention ( $\beta = 0$  in **Figure 1F**) only occurs for smaller  $A_{s2}$  and for larger  $f_{s2}$ . Both primary (**Figure 1D**) and secondary (**Figure 1E**) minima contributed to irreversible retention for the complementary conditions. It should be mentioned that the interaction energy profiles were similarly influenced by variability in  $A_{s2}$  and  $f_{s2}$  under lower IS conditions (**Figure S2**). However, these changes were not sufficient to eliminate the energy barrier because the influence of the repulsive electrostatic double layer interaction is greater under lower IS conditions. In this case, irreversible retention therefore only occurred in a secondary minimum. Consequently, the influence of variability in the Hamaker constant increased the relative importance of secondary to primary minima retention under lower IS conditions.

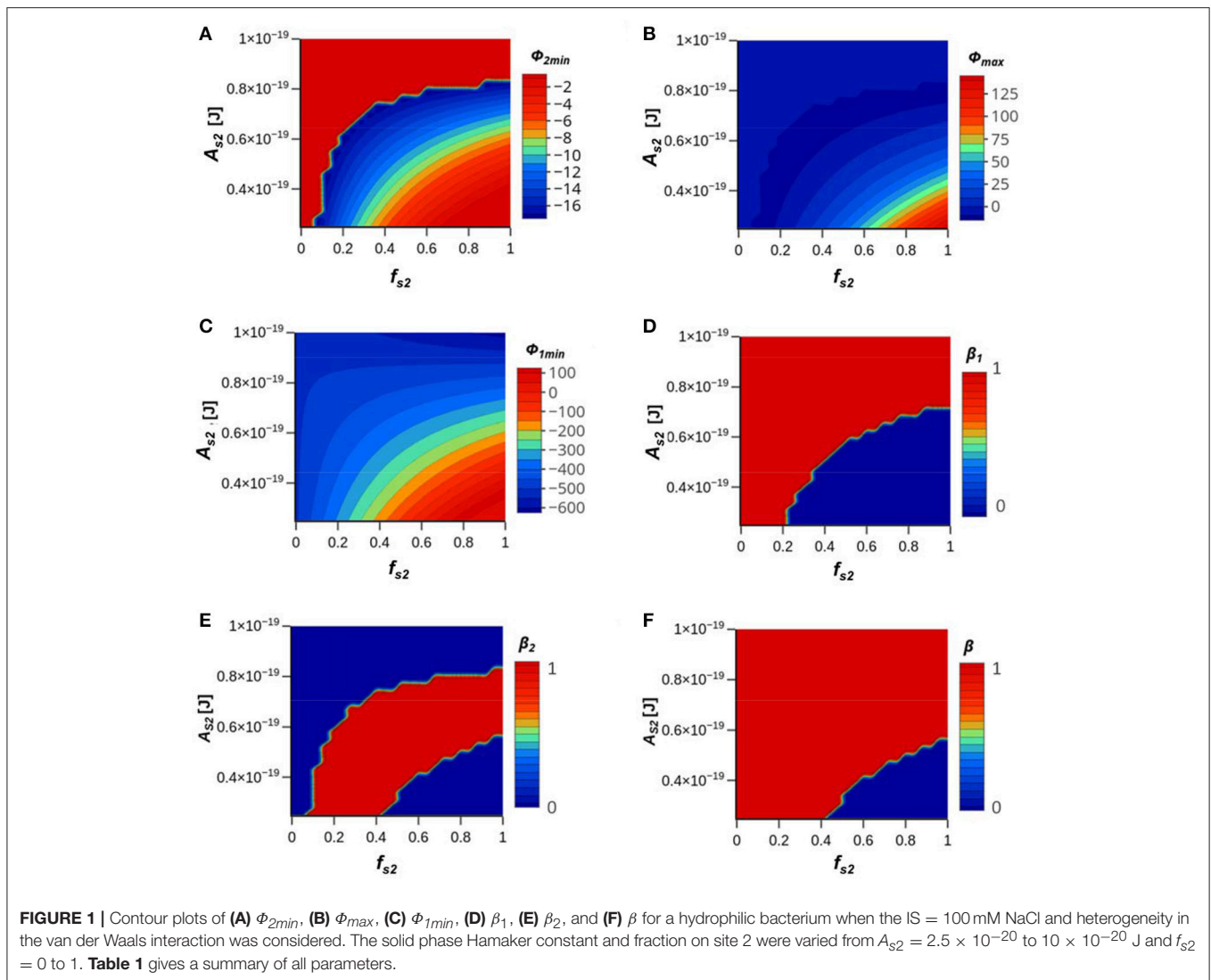
**Figure 2** shows contour plots of  $\Phi_{2min}$  (**Figure 2A**),  $\Phi_{max}$  (**Figure 2B**),  $\Phi_{1min}$  (**Figure 2C**), and  $\beta$  (**Figure 2D**) for a hydrophilic bacteria when the IS = 50 mM NaCl and heterogeneity in Lewis acid-base interaction on the solid phase was considered. In this case, the solid phase contact angle and fraction on site 2 were varied from  $\theta_{s2} = 0$  to  $125^\circ$  and  $f_{s2} = 0$  to 1, and values of  $\theta_{s1}$  and  $\theta_{c1}$  were both set to zero. Heterogeneity in double layer and van der Waals interactions was neglected (**Table 1**). An increase in  $\theta_{s2}$  produces an increase in the attractive Lewis acid-base interaction that reduced  $\Phi_{2min}$ ,  $\Phi_{max}$ , and  $\Phi_{1min}$ , especially for larger values of  $f_{s2}$ . Higher values of  $\theta_{s2}$  and  $f_{s2}$  created conditions that were favorable for irreversible retention ( $\beta = 1$  in **Figure 2D**) in either a primary or secondary minima. The influence of variations in Lewis acid-base interactions similarly reduced the energy barrier when the IS = 10 mM, but were insufficient to eliminate that energy barrier and produce irreversible retention in a

primary minimum (**Figure S3**). Variations in Lewis acid-base interaction only influenced the depth of the primary minimum when the IS = 100 mM because the energy barrier was already eliminated (**Figure S4**). Similar, calculations were conducted for hydrophobic bacteria (**Figure S5**). In this case, the influence of Lewis acid-base interactions on interaction energy parameters followed the same trends as for the hydrophilic bacteria, but became even more pronounced (e.g., irreversible retention occurred at lower  $\theta_{s2}$ ,  $f_{s2}$ , and IS conditions). It should be mentioned that increasing  $f_{s2}$  produced greater amounts of irreversible retention with heterogeneity in  $\theta_{s2}$ , whereas the opposite trend was observed for heterogeneity in  $A_{s2}$  in **Figure 1**.

**Figure 3** shows contour plots of  $\Phi_{2min}$  (**Figure 3A**),  $\Phi_{max}$  (**Figure 3B**),  $\Phi_{1min}$  (**Figure 3C**), and  $\beta_1$  (**Figure 3D**) for a hydrophilic bacteria when the IS = 10 mM NaCl and heterogeneity in electrostatic double layer interaction on the solid phase was considered. In this case, the solid phase zeta potential and fraction on site 2 were varied from  $\zeta_{s2} = -20$  to 30 mV and  $f_{s2} = 0$  to 1, and values of  $\zeta_{s1}$  and  $\zeta_{c1}$  were equal to  $-22$  and  $-49$ , respectively. Heterogeneity in van der Waals and Lewis acid-base interactions was neglected (**Table 1**). Electrostatic repulsion was decreased as  $\zeta_{s2}$  and  $f_{s2}$  were increased, and this produced a decrease in  $\Phi_{2min}$ ,  $\Phi_{max}$ , and  $\Phi_{1min}$ . Eventually, the values of  $\zeta_{s2}$  and  $f_{s2}$  were sufficiently large to create an electrostatic attraction which eliminated the energy barrier and produced irreversible retention ( $\beta_1 = 1$  in **Figure 3D**) in a primary minimum. Other researchers have systematically examined the influence of CH1 on interaction energy profiles under different IS conditions and have observed similar trends (Bendersky and Davis, 2011; Bradford and Torzkaban, 2012; Shen et al., 2013; Pazmino et al., 2014). This information is presented in this work to facilitate the comparison with other CH arising from van der Waals (**Figure 1**) and Lewis acid-base (**Figure 2**) interactions. Comparison of **Figures 1–3** indicates that CH1 can produce irreversible hydrophilic bacteria retention under lower IS conditions than heterogeneity in the solid phase Hamaker constant or contact angle. However, Lewis acid-base interactions become more important for hydrophobic bacteria and this may produce even greater amounts of irreversible retention than CH1 under some solution chemistry conditions (**Figure 3** and **Figure S5**).

### Roughness Heterogeneity

**Figure 4** shows contour plots of  $\Phi_{2min}$  (**Figure 4A**),  $\Phi_{max}$  (**Figure 4B**),  $\Phi_{1min}$  (**Figure 4C**), and  $\beta_1$  (**Figure 4D**) for a hydrophilic bacteria when the IS = 10 mM NaCl and roughness heterogeneity on the bacteria and the solid phase was considered. In this case, the bacteria had  $f_{cr} = 0.2$  and  $h_{cr} = 25$  nm, and the roughness height ( $h_{sr} = 0$ –80 nm) and fraction ( $f_{sr} = 0$ –1) on the solid phase were varied. The different types of CH were neglected in these calculations (**Table 1**). Roughness on the solid surface had a dramatic influence on interaction energy parameters. In particular, values of  $\Phi_{max}$  were significantly reduced or eliminated because of differences in van der Waals and electrostatic double layer interactions with separation distance, and  $\Phi_{2min}$  and  $\Phi_{1min}$  became shallower for



small values of  $f_{sr}$  because of diminished van der Waals attraction from the underlying surfaces (Torkzaban and Bradford, 2016). Only a small fraction of the solid phase roughness conditions produced irreversible bacteria retention ( $\beta_1 = 1$  in **Figure 4D**) in a primary minimum; e.g., when  $f_{sr} = 0.05$ . Other researchers have previously observed similar trends for solid phase roughness parameters on interaction energies (Suresh and Walz, 1997; Bhattacharjee et al., 1998; Hoek et al., 2003; Hoek and Agarwal, 2006; Huang et al., 2009; Bendersky and Davis, 2011; Shen et al., 2011, 2012; Bradford and Torkzaban, 2013; Bradford et al., 2017). In this research, we compare this behavior to various CH conditions. In particular, small amounts of NR reduces the energy barrier height to a much greater extent than similar amounts of heterogeneity in charge (**Figure 3**), Hamaker constant (**Figure 1**), or contact angle (**Figure 2**) on the solid phase. Furthermore, NR produced shallower  $\Phi_{2min}$  and  $\Phi_{1min}$ , whereas heterogeneity in charge (increasing  $\zeta_{s2}$  and  $f_{s2}$ ), Hamaker constant (increasing  $A_{s2}$  and decreasing  $f_{s2}$ ), and contact angle (increasing  $\theta_{s2}$  and  $f_{s2}$ ) on the solid increased the depth of  $\Phi_{2min}$  and  $\Phi_{1min}$ . In the next

section, we investigate the combined influence of NR and the various CH types.

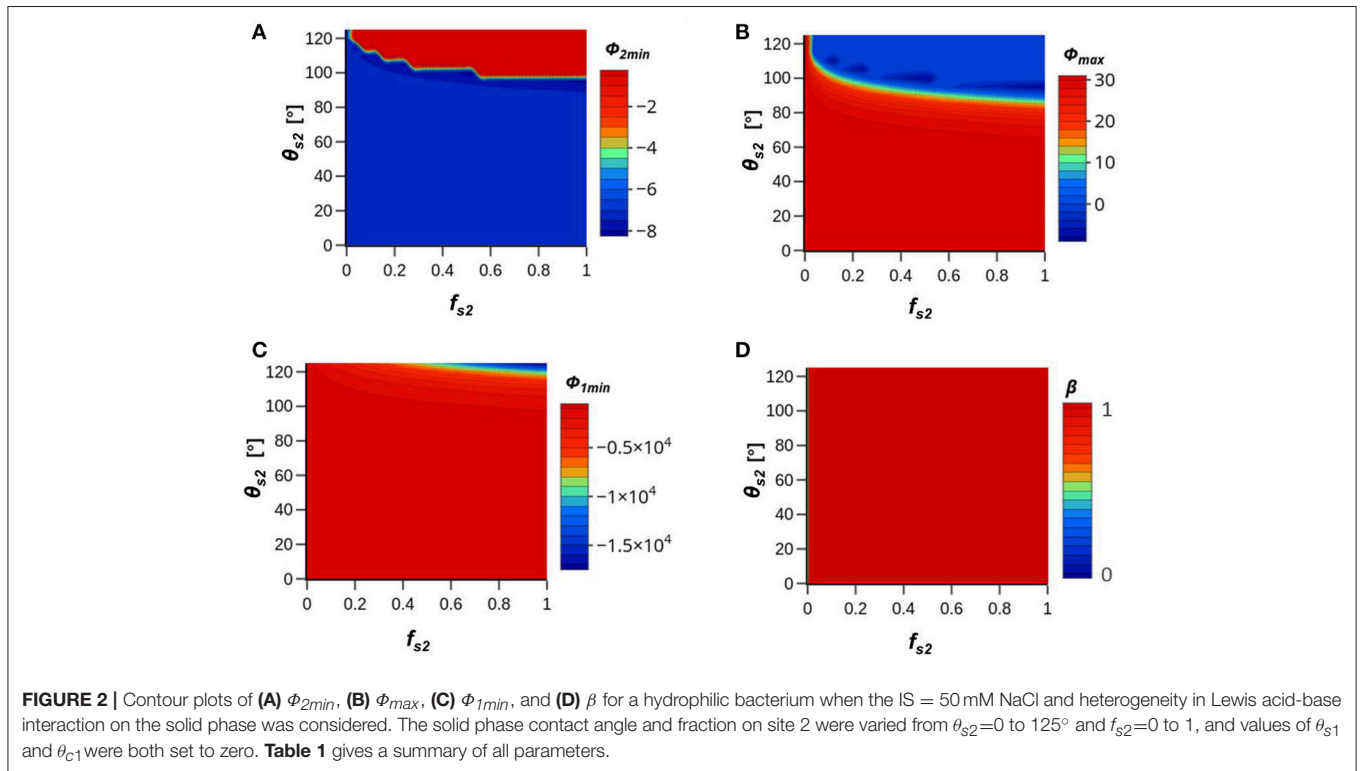
## Roughness and Chemical Heterogeneity

**Figure 5** shows contour plots of  $\Phi_{2min}$  (**Figure 5A**),  $\Phi_{max}$  (**Figure 5B**),  $\Phi_{1min}$  (**Figure 5C**), and  $\beta_1$  (**Figure 5D**) for a hydrophilic bacteria when the IS = 100 mM NaCl, the bacteria ( $f_{cr} = 0.2$  and  $h_{cr} = 25$  nm) and solid phase ( $f_{sr} = 0.1$  and  $h_{cr} = 50$  nm) have roughness, and the solid phase has the same Hamaker constant heterogeneity conditions as in **Figure 1**. **Table 1** summarizes all parameter values. Note that values of  $\Phi_{max}$  in **Figure 5** were significantly reduced or eliminated, and  $\Phi_{2min}$  and  $\Phi_{1min}$  became shallower in comparison to **Figure 1**. The net effect of these changes was to decrease the amount of irreversible bacteria retention and to increase the relative importance of the primary to the secondary minima. These observations clearly reveal that these roughness conditions dominated the interaction energy profile parameters in the presence of heterogeneity

**TABLE 1** | A summary of all model parameters employed in the figures that were varied.

Figure	IS	Hetero	$A_{S2} \times 10^{-20}$	$\zeta c1 = \zeta c2$	$\zeta s1$	$\zeta s2$	$\theta c1 = \theta c2$	$\theta s2$	$f_{S2}$	$h_{sr}$	$f_{sr}$	$h_{cr}$	$f_{cr}$
[No.]	[mM]	[Type]	[J]	[mV]	[mV]	[mV]	[?]	[?]	[-]	[nm]	[-]	[nm]	[-]
1	100	CH2	2.5–10	-21	-11.2	-11.2	0	0	0–1	0	0	0	0
2	50	CH3	8.86	-32	-12	-12	0	0–125	0–1	0	0	0	0
3	10	CH1	8.86	-49	-22	-20–+30	0	0	0–1	0	0	0	0
4	10	NR	8.86	-49	-22	-22	0	0	0	0–80	0–1	25	0.2
5	100	CH2+NR	2.5–10	-21	-11.2	-11.2	0	0	0–1	50	0.1	25	0.2
6	10	CH3+NR	8.86	-49	-22	-22	0	0–125	0–1	50	0.05	25	0.2
S2	10	CH2	2.5–10	-49	-22	-22	0	0	0–1	0	0	0	0
S3	10	CH3	8.86	-49	-22	-22	0	0–125	0–1	0	0	0	0
S4	100	CH3	8.86	-21	-11.2	-11.2	0	0–125	0–1	0	0	0	0
S5	10	CH3	8.86	-49	-22	-22	125	0–125	0–1	0	0	0	0
S6	100	CH2+NR	2.5–10	-21	-11.2	-11.2	0	0	0–1	50	0.8	25	0.8
S7	10	CH3+NR	8.86	-49	-22	-22	125	0–125	0–1	50	0.05	25	0.2
S8	10	CH1+NR	8.86	-49	-22	-20–+30	0	0	0–1	50	0.05	25	0.2

Constant parameters included:  $A_{c1} = A_{c2} = 6.46 \times 10^{-20}$  J,  $A_{s1} = 8.86 \times 10^{-20}$  J, and  $\theta_{s1} = 0^\circ$ .

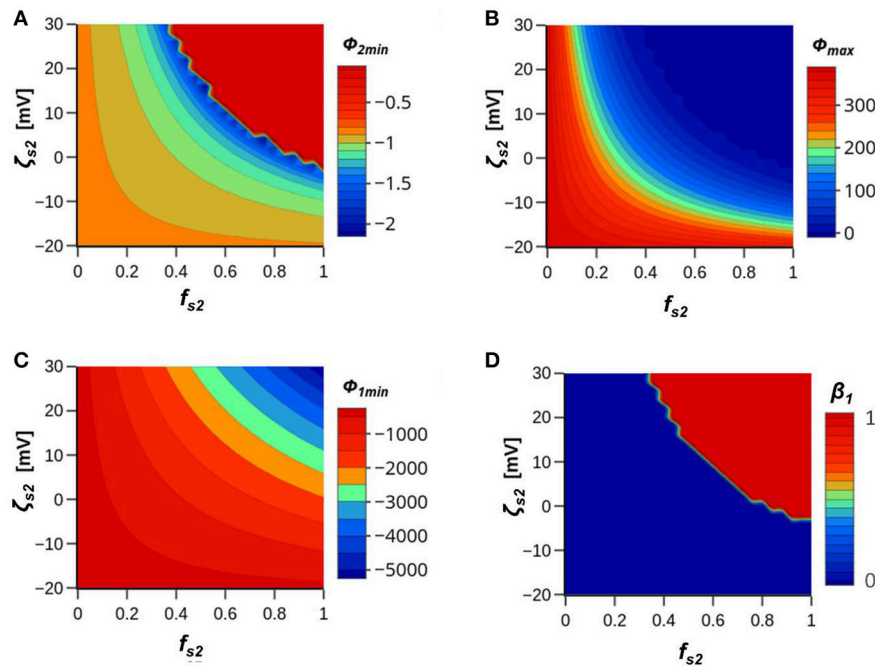


in solid phase Hamaker constants. However, heterogeneity in solid phase Hamaker constants will control the profile parameters when  $f_{sr}$  becomes large and approaches 1 (Figure S6).

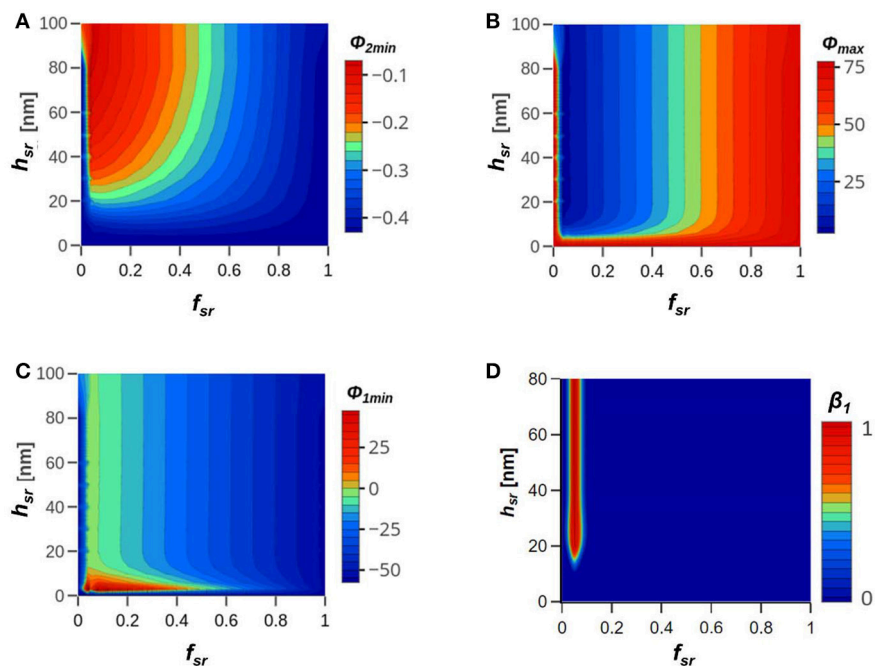
**Figure 6** shows contour plots of  $\Phi_{2min}$  (**Figure 6A**),  $\Phi_{max}$  (**Figure 6B**),  $\Phi_{1min}$  (**Figure 6C**), and  $\beta_1$  (**Figure 6D**) for a hydrophilic bacteria when the IS = 10 mM NaCl, the bacteria ( $f_{cr} = 0.2$  and  $h_{cr} = 25$  nm) and solid phase ( $f_{sr} = 0.05$  and

$h_{cr} = 50$  nm) have roughness, and the solid phase has the same CH3 conditions as in Figure S3. Comparison of Figure S3 and **Figure 6** reveals that roughness reduced or eliminated  $\Phi_{max}$  for the considered CH3 conditions. In addition, the depths of  $\Phi_{2min}$  and especially  $\Phi_{1min}$  were reduced in the presence of roughness. CH3 produced no irreversible retention on the smooth surface when the IS = 10 mM (Figure S3). In contrast, the combined influence of roughness and CH3 created irreversible

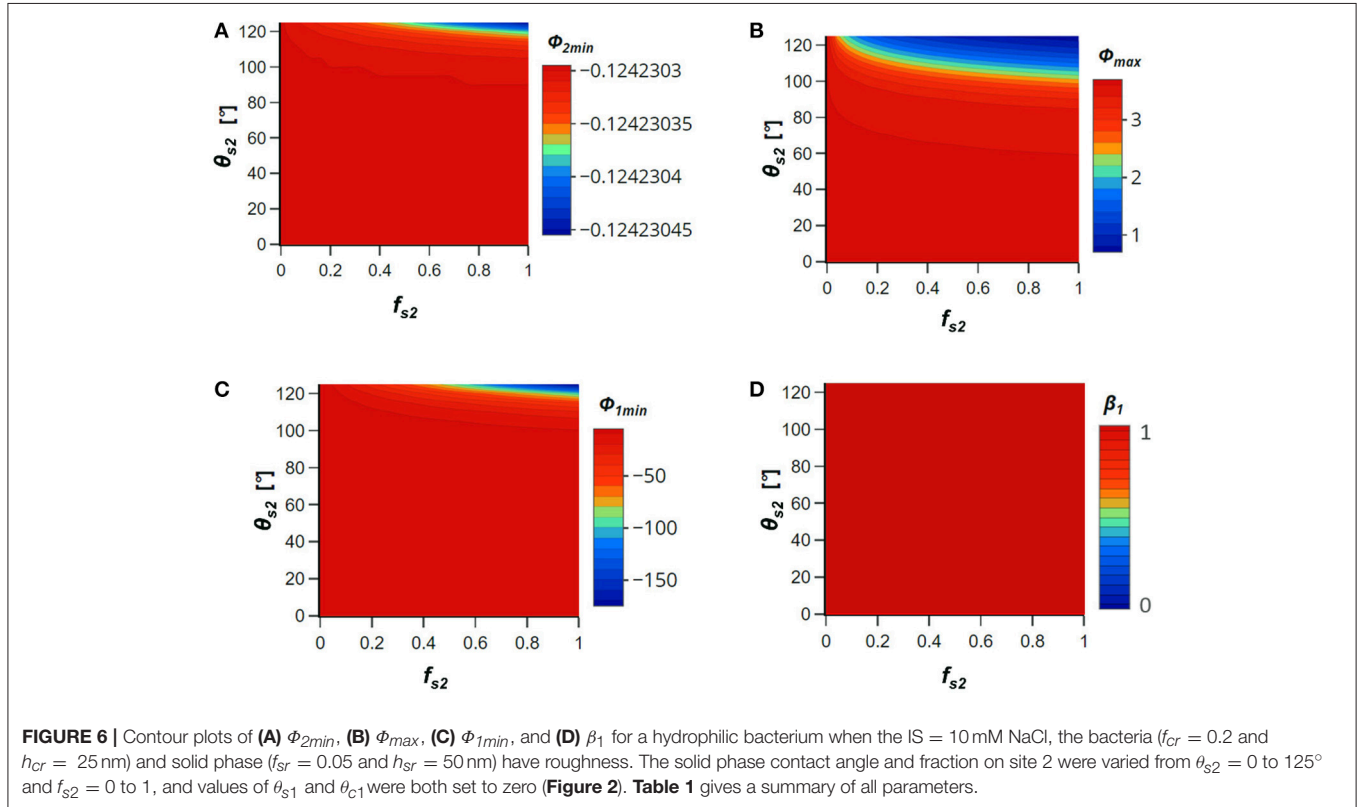
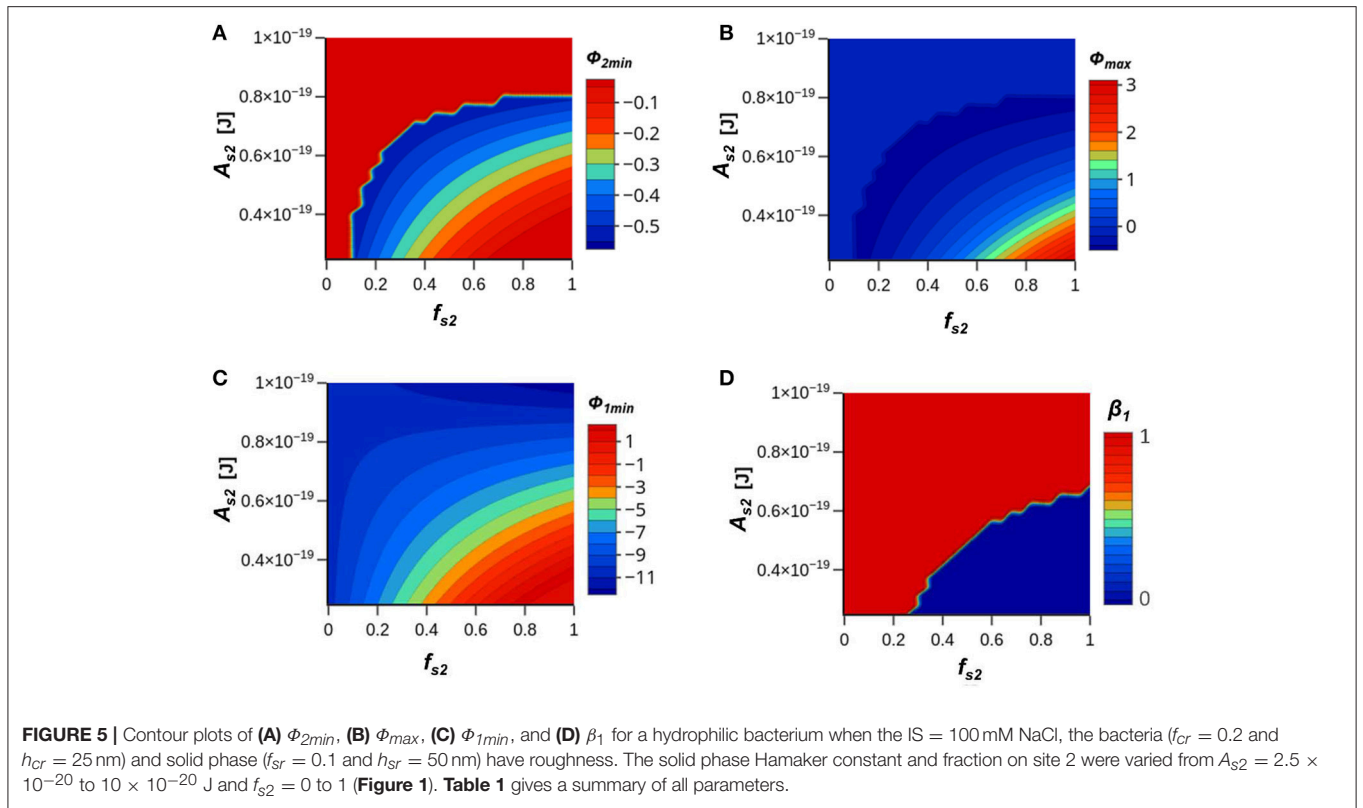




**FIGURE 3** | Contour plots of (A)  $\Phi_{2min}$ , (B)  $\Phi_{max}$ , (C)  $\Phi_{1min}$ , and (D)  $\beta_1$  for a hydrophilic bacterium when the IS = 10 mM NaCl and heterogeneity in electrostatic double layer interaction on the solid phase was considered. The solid phase zeta potential and fraction on site 2 were varied from  $\zeta_{s2} = -20$  to 30 mV and  $f_{s2} = 0$  to 1, and values of  $\zeta_{s1}$  and  $\zeta_{c1}$  were equal to  $-22$  and  $-49$ , respectively. **Table 1** gives a summary of all parameters.



**FIGURE 4** | Contour plots of (A)  $\Phi_{2min}$ , (B)  $\Phi_{max}$ , (C)  $\Phi_{1min}$ , and (D)  $\beta_1$  for a hydrophilic bacterium when the IS = 10 mM NaCl and roughness heterogeneity on the bacteria and the solid phase was considered. The bacteria had  $f_{cr} = 0.2$  and  $h_{cr} = 25$  nm, and the roughness height ( $h_{sr} = 0$  to 80 nm) and fraction ( $f_{sr} = 0$  to 1) on the solid phase were varied. **Table 1** gives a summary of all parameters.



retention in a primary when the IS = 10 mM for all conditions (Figure 6D). These same trends were observed for hydrophobic bacteria when the IS = 10 mM (Figure S7). However, the depth of the primary minimum was much deeper for the hydrophobic than the hydrophilic bacteria. These observations indicate that roughness had a controlling influence on eliminating the energy barrier, but that Lewis acid-base interactions had a significant influence on the depth of the primary minima and may be used to offset some of the influence on roughness. The relative contributions of NR and CH3 are therefore expected to change with the physical and CH parameters, as well as the solution IS.

The combined influence of CH1 and NR on interaction energies has been previously investigated (e.g., Bradford and Torkzaban, 2013; Bradford et al., 2017). However, for completeness Figure S8 shows contour plots of  $\Phi_{2min}$  (Figure S8A),  $\Phi_{max}$  (Figure S8B),  $\Phi_{1min}$  (Figure S8C), and  $\beta_1$  (Figure S8D) for a hydrophilic bacteria when the IS = 10 mM NaCl, the bacteria ( $f_{cr} = 0.2$  and  $h_{cr} = 25$  nm) and solid phase ( $f_{sr} = 0.05$  and  $h_{cr} = 50$  nm) have roughness, and the solid phase has the same CH1 conditions as in Figure 3. Similar to Figure 6 (NR with CH3), bacteria retention was enhanced in the presence of roughness in comparison to a smooth surface with the same CH1 conditions (Figure 3 and Figure S8). This occurred because roughness readily reduced the energy barrier, and the combination of roughness and CH1 produced a sufficiently deep primary minimum for retention. It should be mentioned that if  $f_{cr}$  and  $f_{sr}$  are further decreased that the primary minimum will become shallower and bacteria will be subject to diffusive release even in the presence of CH1 (Bradford et al., 2017).

## CONCLUSIONS

An approach was developed to determine interaction energies between a colloid and the solid water interface when both surfaces have binary NR and CH. These interaction energies considered constant potential double layer electrostatics, retarded London-van der Waals attraction, Lewis acid-base interactions, and Born repulsion for a sphere-plate geometry. CH from variability in Hamaker constants (van der Waals interactions), contact angles (Lewis acid-base interactions), and zeta potentials (electrostatic double layer interactions) can be considered separately or in combination with other heterogeneities. Calculated interaction energy parameters were used in conjunction with an energy balance model to determine conditions for irreversible retention in the presence of random fluctuations in kinetic energy of a diffusing colloid.

SOM coatings on mineral surfaces are expected to create heterogeneity by altering their Lewis acid-base (contact angle) and van der Waals (Hamaker constant) interactions. Charge heterogeneity may also occur as a result of SOM as well as metal oxide coatings. Numerical experiments were conducted to investigate the influence of various heterogeneity types, amounts, and combinations on bacteria interaction energy parameters and irreversible retention. Heterogeneity in the solid phase

Hamaker constant over expected ranges for SOM produced a combined Hamaker constant that was smaller than quartz. Increasing Hamaker constant heterogeneity, therefore, tended to increase the relative importance of repulsive double layer interactions over attractive van der Waals interactions and produced a more repulsive surface with less bacteria retention. This effect became more apparent under higher IS conditions when the double layer was compressed, and the energy barrier was smaller.

In contrast to heterogeneity in the Hamaker constant, variability in the solid phase contact angle over expected ranges for SOM produced enhanced attractive Lewis acid-base interactions. This decreased the height of the energy barrier, and increased the depths of the secondary and primary minima and the amount of irreversible bacteria retention under lower IS conditions. These effects were magnified for greater contact angles and fractions on the solid surface, and for more hydrophobic bacteria.

Heterogeneity in the solid phase zeta potential behaved in a similar manner to heterogeneity in the contact angle. In particular, increasing the fraction and zeta potential of site 2 decreased the energy barrier height and increased the depths of the secondary and primary minima and the amount of irreversible retention. In general, increasing CH1 was more effective at reducing the energy barrier height and creating irreversible retention at lower IS conditions than increasing CH3 for hydrophilic bacteria. However, CH3 tended to produce deeper secondary and primary minima than CH1.

Small fractions of NR always significantly reduced and/or eliminated the energy barrier under lower IS conditions. Furthermore, NR tended to control the energy barrier height in the presence of CH in Hamaker constant, contact angle, or zeta potential. Small amounts of NR also significantly decreased the depths of the secondary and primary minima in comparison to a smooth surface. However, the depths of the secondary and primary minima tended to be deeper in the presence of zeta potential and especially CH3, and this made bacteria retention more irreversible.

Collectively, our results demonstrate the critical role of CH and NR in controlling interaction energy parameters and irreversible bacteria retention. In particular, spatial variations in SOM, metal oxide coatings, and especially NR will have important roles in determining bacteria retention on natural surfaces. The relative importance of the various heterogeneity types was found to change with the solution IS and specific ranges in considered heterogeneity parameters. NR tended to be dominant for small roughness fractions. In contrast, SOM and metal oxide coatings are expected to play more important roles on smooth surfaces. In this case, CH1 and CH3 were more important under lower IS conditions, whereas CH2 started to play a role at a higher IS.

## AUTHOR CONTRIBUTIONS

SB, SS, HK, and GH contributed to the conceptual processes described in the paper, description of interaction energies,

determination of simulation parameters, and discussion of findings.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fenvs.2018.00056/full#supplementary-material>

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