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# Influencing factors and predictions of carbon emissions for the chemical industry in China

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As global warming increases the frequent occurrences of natural disasters, the reduction of carbon emissions has become an important issue around the world. The chemical industry is an important source of carbon emissions in China. The carbon emissions of the chemical industry are calculated from 2000 to 2019 by using the emission factor method. The logarithmic mean divisia index (LMDI) method is exploited to analyze the factors that influence carbon emissions, and the emissions variations are attributed to the contributions of carbon intensity, energy structure, energy intensity, industrial value-added rate, per capita industrial output value, and industrial scale. The results of decomposition show that per capita industrial output value is the main driving factor, and energy intensity is the main inhibiting factor of the chemical industry's carbon emissions. In order to quantify the variation of carbon emissions, the extended stochastic impacts by regression on population, affluence, and technology (STIRPAT) model is constructed and examined. Using the STIRPAT model, the basic scenario and energy intensity control scenario are set, and the carbon emissions are predicted, which shows that under a strict energy intensity control scenario, carbon emissions may reach a peak around 2031. The factors influencing the decomposition and prediction of carbon emissions should be helpful in reducing the carbon emissions of the chemical industry in China.

#### KEYWORDS

carbon emission, carbon prediction, influencing factor decomposition, LMDI, STIRPAT model

# **1** Introduction

Global warming and the resulting frequent occurrence of natural disasters have become a big threat to human survival. Reduction of carbon emissions is key and has become the consensus of the international community (Reichstein et al., 2013; Seneviratne et al., 2016). The rapid development of the economy has brought about huge carbon emissions in China. To effectively reduce carbon emissions, the Chinese government hopes to realize carbon peaking in 2030 and carbon neutrality in 2060. Thus, China faces huge pressure to reduce carbon emissions. The analysis and prediction of carbon emissions are of great significance for controlling the total amount and intensity of carbon emissions in China.

From a macro perspective, the electric power, construction, and chemical industries are the main sources of carbon emissions in China. Researches on carbon emissions reduction in the fields of power, construction, and civil aviation have attracted much attention (Alajmi, 2022; Li et al., 2024; Wu et al., 2022), construction (Lai et al., 2023; Leslie, 2022),

and civil aviation (Li et al., 2023; Liu et al., 2020) has attracted much attention. The chemical industry is an important part of China's economy that includes five sub-industries: the oil and natural gas extraction industry; the petroleum processing, coking, and nuclear fuel processing industry; the chemical raw materials and chemical products manufacturing industry; the chemical fiber manufacturing industry; and the rubber and plastic products industry. Thus, analyzing the factors that influence the chemical industry and predicting its carbon emissions should be helpful for the reduction of carbon emissions in the context of carbon peaking and carbon neutrality.

The chemical industry is a high-energy-consumption industry. The carbon emissions of the chemical industry are process related. Zhang Y. et al. (2019) presented local carbon emission factors of coal chemical products in China based on first-hand data from 23 coal chemical enterprises and the total carbon emission of China's coal chemical industry. Neelis et al. (2007) presented a bottom-up analysis of energy use and carbon loss in the chemical industry using datasets on production processes for 52 of the most important bulk chemicals as well as production volumes. Zhao et al. (2022) developed a MESSAGEix-ammonia model with detailed process descriptions to evaluate the energy-saving and emissions reduction potential in China's ammonia industry.

Many different types of products are produced in the chemical industry. As a result, it is complex and difficult to accurately calculate the carbon emissions of the chemical industry from the perspective of products. However, it is viable to analyze the carbon emissions and influencing factors of the chemical industry from a macro perspective. The popular methods of analyzing influencing factors include structure decomposition analysis (SDA) and index decomposition analysis (IDA). Among these models, the logarithmic mean divisia index (LMDI) method (Ang, 2005; Ang, 2015) is widely used in the fields of energy, environment, industries (Bogiang and Liu, 2017), and regions (lpek Tunc et al., 2009; Moutinho et al., 2015) because it is easy to build the model and eliminate the residuals. Lin and Long (2016) analyzed carbon emissions of the chemical industry in China and found that the output per worker, industrial economic scale, energy intensity, and energy structure were the main factors that influenced carbon emissions changes in the chemical industry. Zhang L. et al. (2019) identified the driving factors of carbon emissions from coal conversion using coal as raw material and fuel in China using LMDI.

Predicting carbon emissions is the foundation of controlling them, and prediction has attracted much attention. Huo et al. (2023) predicted the carbon peak time under three distinct scenarios for the transportation sector based on a support vector machine model improved by a genetic algorithm (GA-SVM). Liu et al. (2023) carried out carbon emissions predicting and decoupling analysis based on the particle swarm optimization–extreme learning machine (PSO-ELM) combined model for Chongqing Municipality, China. Zhang et al. (2023) investigated the carbon footprint prediction of the thermal power industry in Zhejiang province of China. Zhang L. et al. (2019) predicted the carbon emissions of China's coal conversion by setting scenarios with different annual average growth rates for different levels of production and gave the possible amounts of carbon emission between 2020 and 2030. Lu et al. (2020) employed a particle swarm optimization (PSO) algorithm and back propagation neural network model to predict carbon emissions for the heavy chemical industry in the 2017–2035 time frame on the basis of the previous data. Yin et al. (2023) predicted the carbon dioxide emissions in China using a gray model with multi-parameter combination optimization. Predicting carbon emissions using machine learning on a large amount of data has attracted much attention. However, data for calculating carbon emissions of the macro industry usually come from a statistical yearbook that is published yearly or every 5 years. Thus, predicting the carbon emissions of a macro industry based on machine learning may be limited due to the small amount of data.

The investigations on factors influencing the carbon emissions of the chemical industry in China have focused on some sub-sectors and chemical products, and the predictions of carbon emissions have focused on regions and industries such as transportation, power, and coal conversion. The influencing factors and prediction of carbon emissions of the chemical industry have not been fully investigated. In this paper, we investigate the factors that influence carbon emissions for the chemical industry and its five sub-industries simultaneously and predict carbon emissions for the whole chemical industry in China. The carbon emissions of the chemical industry are calculated utilizing the emission factor method recommended by the United Nations Intergovernmental Panel on Climate Change (UNIPCC). The influencing factors of the chemical industry and its five sub-industries are analyzed by LMDI. According to the result of LMDI, several factors are selected to build the STIRPAT model, and carbon emissions of the chemical industry are predicted from 2020 to 2035.

# 2 Calculation of carbon emissions

The carbon emissions of the chemical industry are calculated using the emission factor method. The calculation of carbon emissions based on emission factor is mainly dependent on the fuel combustion amount, carbon content, and carbon oxidation rate of unit fuel and other parameters and can be written as (Commission, 2015)

$$E_{co_2} = \sum_i AD_i \times CC_i \times OF_i \times \frac{44}{12},$$
 (1)

where  $E_{co_2}$  is carbon emissions in tons, *i* present the type of fossil fuel,  $AD_i$  defines the fuel consumption of fossil fuel combustion in tons for solid or liquid fuel,  $Nm^3$  is the amount of gas fuel,  $CC_i$  is carbon per ton for solid and liquid fuel and carbon per  $Nm^3$  for gas fuel, and  $OF_i$  is the carbon oxidation rate as a percentage.

According to the *China Statistical Yearbook* and the *China Energy Statistical Yearbook*, the final energy consumption of the chemical industry includes raw coal, washing coal, other washing coal, coke, coke oven gas, blast furnace gas, converter gas, other gas, crude oil, gasoline, kerosene, diesel, fuel oil, naphtha, petroleum coke, liquefied petroleum gas, refinery dry gas, other petroleum products, natural gas, liquefied natural gas, heat and electricity (22 kinds). In order to reflect energy structure, the 22 kinds of energy are divided into five types: coal energy, oil energy, natural gas energy, thermal energy, and electric energy. The carbon emissions of coal energy, oil energy and natural gas energy are calculated by using



Equation 1. The carbon emission factor of the heat is 0.11 t/GJ, and the carbon emissions of the power industry are calculated according to the national averaged carbon emission factor, taking it as 0.5839 t/ MWh. The carbon emissions of the chemical industry in units of million tons (Mt) from 2000–2019 are shown in Figure 1. As shown in Figure 1, the carbon emissions of petroleum processing, coking, and nuclear fuel processing industry and chemical raw materials and chemical products manufacturing industry contribute a large part of the total carbon emissions of the chemical industry.

# 3 Analysis of influencing factors

In this paper, the LMDI method is used to analyze influencing factors of carbon emissions in the chemical industry. Based on the Kaya identity (Kaya, 1989), carbon emissions can be decomposed into several factors and can be written as

$$C = \sum_{i} \frac{C_{i}}{E_{i}} \times \frac{E_{i}}{E} \times \frac{E}{Y} \times \frac{Y}{G} \times \frac{G}{P} \times P$$
$$= \sum_{i} CI_{i} \times ES_{i} \times EI \times IA \times PC \times IS, \qquad (2)$$

where *C* represents the total carbon emissions of the chemical industry;  $C_i$  is the carbon emissions of the *i*-th energy;  $E_i$  is the consumption of the *i*-th energy; *E* is the total energy consumption; *Y* is the added value of the chemical industry; *G* is the gross value of the industrial output of the chemical industry; *P* is the number of employees of the chemical industry; *CI<sub>i</sub>* is the ratio of  $C_i$  to  $E_i$ ; *ES<sub>i</sub>* is the ratio of *E* to *Y*; *IA* is the ratio of *Y* to *G*; *PC* is the ratio of *G* to *P*; and *IS* equals *P*.

In Equation 2, one multiplier represents an influencing factor, and the meaning and abbreviation of each multiplier are shown in Table 1. The functions of six influencing factors are described as follows: the carbon intensity factor evaluates energy quality, energy substitutions, and installation of abatement technologies in the chemical industry. The energy structure factor reflects the change in the relative share of different types of energy in the total energy consumption of the chemical industry. The energy intensity factor measures the comprehensive energy utilization efficiency of the chemical industry. The industrial added-value rate factor presents the economic benefits of reducing intermediate consumption of the chemical industry. The per capita industrial output value factor reflects the productivity level of the chemical industry. The industrial scale factor represents the potential for expansion of the chemical industry.

Using the LMDI method and Equation 2, the change of carbon emissions from base year 0 to target year *T* is divided into six parts as follows (Ang, 2005; Ang, 2015):

 $\Delta C = C^{T} - C^{0} = \Delta C_{CI} + \Delta C_{ES} + \Delta C_{EI} + \Delta C_{IA} + \Delta C_{PC} + \Delta C_{IS}, \quad (3)$ where

$$\Delta C_{CI} = L(C^{T}, C^{0}) \ln \frac{CI^{T}}{CI^{0}}, \ \Delta C_{ES} = L(C^{T}, C^{0}) \ln \frac{ES^{T}}{ES^{0}},$$
  
$$\Delta C_{EI} = L(C^{T}, C^{0}) \ln \frac{EI^{T}}{EI^{0}},$$
  
$$\Delta C_{IA} = L(C^{T}, C^{0}) \ln \frac{IA^{T}}{IA^{0}}, \ \Delta C_{PC} = L(C^{T}, C^{0}) \ln \frac{PC^{T}}{PC^{0}},$$
  
$$\Delta C_{IS} = L(C^{T}, C^{0}) \ln \frac{IS^{T}}{IS^{0}},$$

Multiplier	Abbreviation	Description	Meaning	
$C_i/E_i$	CI	Carbon intensity factor	Ratio of carbon emissions to a certain kind of energy consumed	
$E_i/E$	ES	Energy structure factor Ratio of a certain kind of energy consumed to total energy co		
E/Y	EI	Energy intensity factor	Ratio of energy consumption to industrial added value	
Y/G	IA	Industrial value-added rate factor	Ratio of industrial added value to total industrial output value	
G/P	PC	Per capita industrial output value factor	r capita industrial output value factor Ratio of total industrial output value to the number of employees	
Р	IS	Industrial scale factor	Number of employees	

TABLE 1 Definition of variables in LMDI.



$$L(C^{T}, C^{0}) = \frac{C^{T} - C^{0}}{ln(C^{T}/C^{0})}.$$
B, the superscripts *T* and 0 den

In Equation 3, the superscripts *T* and 0 denote the *T*-th year and the base year respectively;  $C^T$  and  $C^0$  are the carbon emissions in the *T*-th year and the base year; the change of total carbon emissions is expressed as the sum of contributions of six influencing factors; and  $\Delta C_{CI}$ ,  $\Delta C_{ES}$ ,  $\Delta C_{EI}$ ,  $\Delta C_{IA}$ ,  $\Delta C_{PC}$ , and  $\Delta C_{IS}$  present the variations of carbon emissions due to the carbon intensity factor, energy structure factor, energy intensity factor, industrial added-value rate factor, *per capita* industrial output value factor, and industrial scale factor, respectively. The meaning of variables in the expressions of  $\Delta C_{CI}$ ,  $\Delta C_{ES}$ ,  $\Delta C_{EI}$ ,  $\Delta C_{IA}$ ,  $\Delta C_{PC}$ , and  $\Delta C_{IS}$  are the same as in Equation 2.

The variations in carbon emissions due to the six influencing factors from 2001–2019 can be calculated using Equation 3. The results are shown in Figure 2. It can be seen that variations of carbon emissions resulting from the energy structure factor, industrial added-value rate factor, *per capita* industrial output factor, and industrial scale factor are positive, and the variation from the energy intensity factor is negative in Figure 2. Positive variation means that

this factor increases emissions, while negative variation means that this factor leads to reduced emissions. As a result, *per capita* industrial output value is the main driving factor, and energy intensity is the main inhibiting factor of the chemical industry in China. Moreover, the carbon emissions result from industry scale increased from 2001–2014 and decreased after 2015, as shown in Figure 2. Although the carbon emissions continued to increase in this period as the development of the chemical industry, the growth is slowing.

In order to quantify the influence of the six factors, the contribution rate of each factor can be calculated using Equation 4.

$$\boldsymbol{\rho}_i = \frac{\Delta \boldsymbol{C}_i}{|\Delta \boldsymbol{C}|}.\tag{4}$$

In Equation 4,  $\rho_i$  presents the contribution rate of the *i*-th influncing factor, where *i* can be one of *CI*, *ES*, *EI*, *IA*, *PC*, and *IS*;  $\Delta C_i$  is the variation of carbon emissions due to the *i*-th influncing factor, and  $\Delta C$  is the total variation of carbon emissions of the chemical industry. Both  $\Delta C_i$  and  $\Delta C$  can be obtained from Equation 3. Using Equation 4, the contribution rate of each influencing factor is





calculated from 2001 to 2019 and shown in Figure 3. Figure 3 shows that the contributions of each influencing factor vary slowly. Among the influencing factors, the contribution rate of *per capita* industrial output value is around 200.0%, and that of energy intensity factor is around -200.0% after 2003, which validates that they are the main driving and inhibiting factors, respectively. Moreover, the contribution rate of the industry scale was positive after 2003, but its value decreased from the maximum of 85.6% in 2010 to

25.5% in 2019, which means that the contribution of the industry scale to the increase of carbon emissions decreased.

In order to contrast different sub-industries of the chemical industry, the influencing factors of five sub-industries are analyzed and shown in Figure 4. It can be seen from Figure 4 that *per capita* industrial output value is the main driving factor, and energy intensity is the main inhibiting factor for all the five sub-industries as same as the whole chemical industry. Among the five subindustries, the emission variatons of the sub-industry of petroleum processing, coking, and nuclear fuel processing industry and the sub-industy of chemical raw materials and chemical products manufacturing industry are much larger than those of the other three sub-industries. Moreover, the variation of carbon emissions due to the industry scale factor is larger than that of the *per capita* industrial output value for the rubber and plastic products manufacturing sub-industry before 2013. After that time, the variations due to the two factors are comparable, which is obviously different for the other sub-industries.

# 4 Prediction of carbon emission

## 4.1 STIRPAT model

The IPAT model was developed to capture the impact of socioeconomic factors on environmental pressures (Ehrlich and Holdren, 1971; Holdren and Ehrlich, 1974) and identifies three main factors affecting the environment: population size, affluence, and technology levels. However, the impact of each factor on the dependent variable is equally proportional in this model, which is not in line with the general laws of economic operation. Dietz et al. refined it in 2003 and obtained the STIRPAT model (York et al., 2003a, b), which can be expressed as follows:

$$I = \alpha P^b A^c T^d e, \tag{5}$$

where *I* represents the environmental factors, that is, carbon emissions in this paper; *P* is population size, *A* is affluence; *T* is the level of technology,  $\alpha$  is the model coefficient, *b*, *c* and *d* are coefficients of population size, affluence, and technology level respectively; *e* is the random environmental error. The model allows the introduction of other factors that may influence the environment in addition to the independent variables mentioned above. In order to eliminate the possible effect of heteroskedasticity in the model, Equation 6 can be derived by taking the logarithm of both sides of Equation 5.

$$lnI = ln\alpha + blnP + clnA + dlnT + lne$$
 (6)

Considering carbon emissions in the chemical industry, some variables in the traditional STIRPAT model are replaced to highlight the characteristics of the chemical industry. The investment scale has a more important influence on the overall scale of the chemical industry, which can reflect the production and operation of the industry better than the population scale; thus, the population size in the original model is replaced by the fixed asset investment. In addition, the affluence level in the original model is replaced by the labor output *per capita*, which is the ratio of gross industrial output to the number of employees. Moreover, the technology level is measured by energy intensity to reflect the technology level of the chemical industry, which is defined as total energy consumption divided by gross industrial output value, and its smaller value indicates a higher technology level.

As can be seen from LMDI decomposition, energy structure may influence the carbon emissions of the chemical industry. Thus, the ratios of coal, oil, natural gas, heat, and electricity to the total energy consumption are added to the model. The logarithmic approach is used to treat the total energy consumption shares, fixed asset investment, *per capita* output, energy intensity, and the shares of coal/oil/natural gas/heat/electricity to eliminate the effect of the magnitude. The model variables are defined as shown in Table 2. With the factors mentioned above considered, the extended STIRPAT model can be expressed as

$$lnI = a + blnP + clnA + dlnT + elnCO + flnOI + glnGas + hlnHeat + ilnPow + \varepsilon,$$
(7)

where *a* denotes the constant term, *b*, *c*, *d*, *e*, *f*, *g*, *h*, and *i* denote exponential term coefficients, and  $\varepsilon$  is the model residual. Compared to the traditional STIRPAT model in Equation 6, the impact of carbon emissions in the chemical industry can be better studied by extending several complementary variables.

The extended STIRPAT model expressed in Equation 7 has introduced multiple variables that are related to the carbon emissions of the chemical industry. However, there may be a correlation between the independent variables. If there is a strong linear relationship between each independent variable, the true relationship between the independent and dependent variables cannot be found. This is usually called multicollinearity. Therefore, to ensure the accuracy of the regression results, multicollinearity testing should be carried out before regression.

Ordinary least squares (OLS) regression and multicollinearity among the variables are tested. The model in Equation 7 can be fit well ( $R^2 = 0.997$ ), but the variance inflation factors (VIF) indicate that there is serious multicollinearity between variables, which means the results of OLS are unstable (Marquaridt, 1970). Thus, the multicollinearity between the variables must be eliminated. To eliminate the interference of multicollinearity, a ridge regression (Hoerl and Kennard, 1970) analysis is performed with different ridge parameters. The variable *Gas* is removed according to the result of the ridge regression analysis, and the ridge regression coefficient is set to 0.03. The regression results are shown in Table 3.

The fitting results show that the regression coefficients of the independent variables pass the T-test and have economic significance, and the VIF values calculated according to the ridge regression are less than 5, which implies that the model after the ridge regression can avoid the influence of multicollinearity. The regression equation can be written as

$$lnI = 0.126 + 0.324lnP + 0.384lnA + 0.545lnT + 0.333lnCO + 0.327lnOI + 0.61lnHeat + 0.309lnPow. (8)$$

In order to verify the validity of the model, the annual data of variables from 2000 to 2019 are brought into the equation to calculate the fitted values of carbon emissions, and the fitted values and the historical values are compared. The results are shown in Figure 5 the fitted values of carbon emissions are close to the historical values.

## 4.2 Prediction based on the STIRPAT model

In this paper, the extended STIRPAT model in Equation 8 is exploited to predict the carbon emissions from 2020–2035 under a

## TABLE 2 Variables in the STIRPAT model.

Variable	Symbol	Definition measuring method	Unit	
Carbon emissions	Ι	Carbon emissions	Million tons	
Industry scale	Р	Fixed-asset investment	Million	
Labor output per capita	А	Gross industrial output divided by the number of employees	Billion yuan	
Energy intensity	Т	Energy consumption divided by gross industrial output	Tons per million yuan	
Share of coal-based energy	СО	Ratio of coal type energy to total energy consumption	%	
Share of oil-based energy	OI	Ratio of oil type energy to total energy consumption	%	
Share of natural gas-based energy	Gas	Ratio of natural gas type energy to total energy consumption	%	
Share of heat	Heat	Ratio of heat to total energy consumption	%	
Share of electricity	Pow	Ratio of electricity to total energy consumption	%	

## TABLE 3 Ridge regression results with k = 0.03.

	Standardized coefficient	Unstandardized coefficient	t-Statistic	<i>p</i> -values	VIF
а	_	0.126	0.694	0.05	0.998
lnp	0.220	0.324	4.043	$1.6  imes 10^{-3}$	0.666
lnA	0.816	0.384	14.246	$7.0  imes 10^{-9}$	0.319
lnT	0.476	0.545	9.263	$8.1  imes 10^{-7}$	0.010
lnCO	0.105	0.333	3.585	$3.7 \times 10^{-3}$	0.638
lnOI	0.181	0.327	3.064	$9.8  imes 10^{-3}$	0.367
lnHeat	0.144	0.610	5.944	$6.8  imes 10^{-5}$	0.555
lnPow	0.150	0.309	2.653	$2.1  imes 10^{-2}$	0.460





basic scenario and energy intensity control scenario for the chemical industry in China. The basic scenario assumes that variables increase with a mean growth rate of the last 5 years, and the noise of Gaussian distribution with a mean value of 0.0 and variance of 0.01 is added to the mean growth rate considering external disturbance. The energy intensity control scenario assumes that the growth rate of energy intensity is controlled by the carbon emissions reduction policy, and the remaining variables grow at the same rate as the basic scenario. The energy intensity continues to grow at a growth rate close to 12.0% under the basic scenario. Thus, considering the actual situation and the lag effect of the policy constraint effect, it is assumed that the growth rate of energy intensity grows from the initial value to 15.0% and then decreases to 9.0% with a reduction rate of -2.0% yearly, and a final decrease to 8.0% at the end of the period.

The growth rates and values of variables are calculated, and then carbon emissions are calculated according to the extended STIRPAT model in Equation 8 under the basic scenario and the energy intensity control scenario from 2020–2035. The carbon emissions are shown in Figure 6. As can be seen from Figure 6, the carbon emissions continue to grow under the basic scenario and will not achieve carbon peaking until 2035. Meanwhile, the carbon emissions will increase slightly, peak around 2031, and then decrease under the energy intensity control scenario.

# 5 Conclusion

In this paper, the factors influencing carbon emissions in the chemical industry in China are analyzed by using the LMDI method and predicted according to the extended STIRPAT model from 2020–2035. Among the influencing factors, the

industrial output value per capita is the main driving factor, which results in carbon emissions increasing with the development of the chemical industry. Energy intensity is the main inhibiting factor of the chemical industry because advances in technology will be accompanied by a decrease of carbon emissions per added value of the chemical industry. In the context of carbon peaking, the carbon emissions intensity should be controlled strictly. Compared with traditional thermal power, wind power and photovoltaic power have a lower carbon emissions factor. Therefore, from the development process of the chemical industry in the future, the energy intensity should be greatly reduced. In addition to vigorously promoting emerging technologies to reduce the energy intensity of the industry, electric energy substitution should be promoted as much as possible, using electric energy to replace fossil energy and green power to replace thermal power. These measures will support China's chemical industry in achieving the goal of carbon neutrality and carbon peak as soon as possible from multiple perspectives.

# Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

# Author contributions

WW: conceptualization, writing-original draft, and writing-review and editing. FH: methodology and writing-review and editing. ML: methodology and writing-review and editing. XS:

data curation, resources, and writing–review and editing. XL: visualization and writing–review and editing.

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Authors FH and XS were employed by State Grid Shanxi Electric Power Company.

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