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Research and optimisation of focused solar heating system with phase change thermal storage

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Currently, solar heating systems face several challenges in winter cold conditions, including low heat collection temperature, high heat collection loss, low thermal storage density, and unstable storage temperature, making it difficult to meet heating quality requirements. Taking the heating of a driving school building in the suburbs of Baiyin, Gansu as a case study, and using typical meteorological data for the local heating season, we simulated the indoor thermal load of the building using DEST software. We then designed a focused solar heating system with phase change thermal storage, coupling focused solar thermal technology with latent heat storage technology. The thermal storage performance of Ba(OH)₂·8H₂O composite phase change material in an oil-sealed environment was verified. Mathematical models of the major components of the focused solar heating system with phase change storage were developed, along with a TRNSYS system model. An objective function was established using the annualized cost method, with the area of the collector and the mass of PCM as variables. Optimization was performed using Genopt software, invoking the Hooke-Jeeves algorithm to optimize the heat collection area S and mass m of phase change material in the focused solar heating system. The optimized results showed a collector area of 4.11 m^2 , and a PCM mass of 130 kg, resulting in a 10.04% improvement in the solar assurance rate. Over the system's entire lifecycle, the cost decreased by 1025 RMB, and the carbon emission reduction increased from 10,838 to 16,101 kg.

KEYWORDS

solar heating systems, phase change thermal storage, concentrated solar power, annual value of costs, full life cycle

1 Introduction

Winter heating is an essential requirement for livelihood, yet traditional methods often rely heavily on the consumption of fossil fuels (Li et al., 2000; Li et al., 2022). While solar energy is a widely distributed, abundant, and free source of clean energy (Zhou and Wang, 2017; Al-Azawii et al., 2018), it comes with challenges such as low energy density and intermittent availability (Zhang et al., 2021). Therefore, in the realm of solar heating, it is crucial to select a collector with superior performance and a compatible heat storage device. Currently, common solar heating systems employ either flat-plate collectors or glass tube vacuum collectors. Although these collectors are more economical, they suffer from significant heat loss and are prone to malfunction, especially in colder conditions where water temperatures usually range from 30°C to 50°C. In terms of heat storage, traditional solar thermal storage devices predominantly use water tanks, operating on a sensible heat storage mechanism. These systems have a low heat storage density. Problems such as water vaporization can occur when the storage volume is too small, and the heat storage temperature may not meet the heating requirements when the volume is too large (Yang et al., 2017).

Focused solar heating systems with phase change thermal storage represent a novel approach to energy application that is distinct from traditional solar energy methods. Which has the advantages of high heat storage density, stable temperature of heat storage and release process, and reusable, etc., and has become a research hotspot in the field of new energy heat storage. At present, the solar heating system with phase change energy storage device has been studied to a certain extent. Varol et al. (2010) constructed a solar energy system with phase change storage device to fill the phase change material directly into the solar collector, and experimentally concluded that the solar energy system with phase change storage device is more efficient than conventional solar energy system without phase change device. Alva et al. (2006) conducted an in-depth study on this technique of filling phase change materials directly into solar flat plate collectors and envisaged the replacement of conventional thermal storage tanks with phase change material energy storage devices. Aghbalou et al. (2006) constructs a Solar System with a phase change storage device by making sheets of phase change material and then placing them in a thermal storage tank for hybrid thermal storage. Talmatsky and Kribus. (2008) compared this Solar System with phase change storage device with a Solar System with conventional thermal storage, and concluded that due to the high heat loss at night in the phase change storage method, the phase change storage method has little advantage over the water tank storage, so simply placing the PCM in the water tank is not energy efficient and effective. Lamrani et al. (2020) developed a solar heating system combining a solar trough collector with phase change thermal storage, using RT-55 as the storage medium and conducted experiments to conclude that the system is capable of generating hot water in the range of 36°C-38°C when using RT-55 as the phase change material. From the above study, it can be seen that the optimisation of the Solar System with phase change storage mainly includes improving the physical coupling between the phase change material and the collector, as well as improving the thermal storage performance of the phase change material.

In cold winter, the collector temperature of Solar System with phase change energy storage device remains low, but the temperature of phase change material suitable for heat storage/ supply is relatively high, so it is difficult to directly couple the solar collector with phase change energy storage module with suitable phase change point temperature. To overcome the shortcomings of the existing systems, this paper proposes a focused solar heating system containing phase change thermal storage. In contrast to flatplate and glass tube vacuum collectors, focused collectors are capable of generating high temperatures even under cold winter conditions, thereby substantially improving the quality of the thermal energy generated. These focused solar collectors typically utilize dual-axis tracking systems, allowing them to capture normal solar radiation. This irradiation intensity is significantly higher compared to flatplate and glass tube vacuum collectors. Phase change heat storage has emerged as a research hotspot in the heat storage domain due to its high heat storage density, stable temperature during both storage and release phases, and reusability (Safari et al., 2017; Gil et al., 2018; Wang and Hu, 2018). The most prevalent type of phase change

involves solid-liquid transitions, categorized by operating temperatures into low (<80°C), medium (80°C-250°C), and high (>250°C) ranges (Abhat, 1983; Wu et al., 2013). Inorganic crystalline hydrates, such as Ba(OH)₂·8H₂O, offer high latent heat, good thermal conductivity, and low cost. Their phase change enthalpy per unit volume typically ranges between 200 and 400 MJ/m³. Notably, Ba(OH)₂·8H₂O has the highest enthalpy of phase change per unit volume among crystalline hydrates in the low-temperature region (0°C-120°C), at 572 MJ/m³. This is 3–4 times greater than the latent heat density of organic phase-change materials, with a phase change latent heat value of 265 kJ/kg and a phase change temperature of 78°C (Naumann and Emons, 1989; Sheng et al., 2014). Based on the above elaboration, this paper couples a focused collector and a Ba(OH)₂·8H₂O phase change energy storage module.

2 Building modeling

Baiyin City in Gansu is situated at a longitude of 103°3'E and a latitude of 36°35′N, lying in China's Zone III, which is abundant in solar energy resources. The total annual solar radiation in this area ranges from 5,020 to 5860 MJ/(m²·a) (Yan et al., 2012), and the climate during winter is cold and dry. The centralized heating period lasts from November 1st to March 31st. Due to the absence of relevant meteorological parameters for Baiyin City, this study refers to data from the nearest meteorological observation station in Lanzhou City (103°49′E, 36°03′N). Figure 1A illustrates the daily dry bulb temperature distribution, indicating that the maximum daily minimum temperature during the heating period does not exceed 6.7°C, the lowest temperature recorded is -16.9°C, and January is the coldest month. Figures 1B, C depict the total daily radiation in the normal plane and the hourly radiation intensity in the normal plane, respectively. The maximum normal radiation intensity during the heating season was 1195 W/m² (compared to the solar constant of 1367 W/m²), and the average normal daily radiation during the heating season was 18.4 MJ/m².

2.1 Basic building parameters

In the Gansu Baiyin area, a room heating as a research object, the building is far away from the city center, cannot be centralized heating, but the geographical location of the open with sufficient space. The architectural model of the guardhouse is shown in Figure 2, the room sits in the east and faces the west, with an area of 10.2 m^2 , a height of 3 m, a length of 3.4 m, and a width of 3 m; there are two windows, both of them with a height of 1 m and a width of 0.8 m; and the door has a height of 1.8 and a width of 0.7 m.

2.2 Building heat load modeling

The heat transfer process in a building consists of natural convection of indoor air with the wall interior and steady state heat conduction within the wall. First, the temperature t_n of the wall interior is preset to obtain the qualitative temperature t_m , and then the volume expansion coefficient α is derived.



Meteorological resource distribution. (A) Daily dry bulb temperature distribution, (B) Total daily radiation in the normal plane, (C) Hourly radiation intensity in the normal plane.



$$t_m = \frac{t_f + t_n}{2} \tag{1}$$

$$\alpha = 1/(273.15 + t_m) \tag{2}$$

Where, t_f denotes the indoor air temperature, and t_n denotes the temperature of the inner wall, °C.

Let the kinematic viscosity of air be v, thermal conductivity λ , and Prandtl number P_r , then the Rayleigh number Ra and the Nusselt number Nu_H are shown in Eqs 3, 4.

$$R_a = \frac{g\alpha\Delta t H^3}{\nu^2} Pr \tag{3}$$

$$Nu_{H} = \left\{ 0.825 + \frac{0.387 Ra^{1/6}}{\left[1 + (0.492/0.699)^{9/16} \right]^{8/27}} \right\}$$
(4)

The surface heat transfer coefficient h_0 at the preset t_n temperature is obtained from this Nusselt number.

$$h_0 = N u_H \frac{\lambda}{H} \tag{5}$$

Where, *g* denotes the gravitational acceleration; Δt denotes the temperature difference between the indoor air and the inner wall of the wall; *H* denotes the characteristic length in m.

By the conservation of energy, the heat transferred in the process of heat transfer from the wall to the flow is the same as the heat transferred in the process of heat conduction in the wall, and the calculation formula is shown in Eq. 6.

$$h_0(t_f - t_n) = \lambda \frac{t_n - t_w}{\delta} \tag{6}$$

Using an iterative algorithm, the preset t_n is calibrated by Eq. 6, and the surface heat transfer coefficient h is determined when tn reaches within the permissible error range, thus further determining the heat transfer coefficient k.

$$k = \frac{h\lambda}{\lambda + h\delta} \tag{7}$$

After obtaining the corresponding heat transfer coefficients for each wall, as well as for windows and doors, energy calculations were performed for them, including the basic heat transfer Q_1 and the additional heat dissipation as Q_2 .

$$Q_1 = Fk(t_f - t_w) \tag{8}$$

$$Q_2 = Q_1 \left(\beta_{ch} + \beta_f + \beta_s\right) \beta_{fg} \beta_j \tag{9}$$

where β_{ch} denotes the orientation correction rate; β_f denotes the wind correction rate; β_s denotes the four exterior wall correction



rate; β_{fg} denotes the room height correction rate; and β_j denotes the intermittent correction rate.

The cold air infiltration heat dissipation, Q_3 , is calculated as shown in Eq. 10.

$$Q_3 = 0.28C_p V \rho_w \left(t_f - t_w \right) \tag{10}$$

Where C_p denotes the constant pressure specific heat capacity of outdoor air in kJ/(kg·k); *V* denotes the indoor cold air infiltration volume flow rate in m³/h; and ρ_w denotes the density of outdoor air.

The cold air intrusion heat dissipation is Q_4 . Where N denotes the exterior door addition rate.

$$Q_4 = NQ_1 \tag{11}$$

2.3 DEST thermal load simulation

A heat load analysis of the room at the driving school was conducted using DEST software, with 19°C set as the standard indoor temperature. The room functions as a bedroom and is categorized as a sedentary space within a common room. The room features a 200 mm-thick concrete wall with internal polystyrene board insulation, an insulated roof (refer to building insulation standards), and a brick floor. The external door is a singlepane, metal balcony door, while the windows are standard external windows with double glazing and curtains. The room is open from 8: 00 a.m. to 7:00 p.m.

Disturbances include one person contributing 53 W of heat (distributed as personal thermal disturbance), lighting contributing a maximum of 50 W and a minimum of 0 W (distributed through incandescent lamps and following bedroom lighting schedules), and equipment contributing between 15 and 30 W of thermal disturbance, operating 24 h a day. The natural room temperature of the room of the simulated driving school is shown in Figure 3A, and the load required to maintain 19°C in the room is shown in Figure 3B.

From the DEST simulation, it can be seen that under typical meteorological year conditions, the loads are largest in December and January, and relatively small for the rest of the heating period. The maximum heat load occurs on January 19th at 1360 W with an average of 133 W/m^2 .

3 Design of solar heating systems design of solar heating system

The heating of the room of a driving school in the silver area of one is used as a research object to design a solar heating system. The system mainly consists of four parts: disk solar collector system with tracking, phase change thermal storage device, auxiliary heat source and heating end. The schematic diagram of solar heating system is shown in Figure 4.

In the figure, 1-dish solar collector, two-open make-up oil tank (small volume), 3-phase-change heat storage/exchange device, fouropen make-up water tank (small volume), 5-radiator, 6-assisted electric heat source, 7-cylindrical cavity heat absorber, 8-circulating oil pump, 9-circulating water pump, V1~V6 are pipeline valves, V7 is the oil inlet valve (replenishes oil to the system), V8 is the water inlet valve (replenishes water to the system), T1~T5 are temperature sensors, GW1 is oil flow rate meter and GW2 is water flow rate meter.

3.1 System operation principle and control mode

The solar heating system operates on two separate cycles: the heat collection cycle and the heat storage-to-end-user cycle. The heat transfer mediums used in these cycles are heat transfer oil and water, respectively. The operation modes are as follows:

 In the normal solar irradiation intensity is greater than 200 W/m², and the PCM temperature is lower than 80°C,



the disk collector begins to collect solar radiation energy, solar radiation energy in the heat absorber converted to thermal energy after the circulation of thermal oil absorption, and then brought to the phase change device through the pump pressure to heat the phase change material, to be reduced in temperature and then re-sent back to the collector heating, and continuous cycle. The outlet temperature of heat transfer oil in the collector is $105-115^{\circ}C$ ($110^{\circ}C$ is the best temperature).

- (2) When the indoor temperature of the building is lower than 19°C, the heating end cycle starts, and the heat energy stored in the PCM is brought to the user side (radiator) for heating through water circulation, and then re-sent back to the phase-change device for heating when the temperature is lowered, and circulated continuously. The heating temperature range of the phase change device is 70°C-80°C.
- (3) The phase change device is equipped with an electric auxiliary heat source. When the temperature of the phase change material decreases to 70°C, the auxiliary heat source is turned on; when the temperature increases to 74°C, the auxiliary heat source is turned off.

3.2 Mathematical modeling of system components

3.2.1 Mathematical modeling of disk collectors

The light-heat conversion efficiency of the disk collector is jointly determined by the air transmittance, specular reflectance, parabolic truncation rate, parabolic covering rate, and cavity heat absorber heat loss rate. Among them, the cavity heat absorber heat loss includes reflected heat loss Q_{refp} convective heat loss Q_{conv} , thermal conductivity loss Q_{cond} , and radiant heat loss Q_{rad} , as shown below (Liu et al., 2005; Llorente et al., 2011; Madhlopa and Johnstone, 2011; Tan et al., 2014; Samanes et al., 2015):

$$Q_{ref} = \left[1 - \frac{\alpha_w}{1 - (1 - \alpha_w)(1 - A_w/A_{cav})}\right] P_{ap}$$
(12)

$$Q_{conv} = a_{ap} \left(T_w - T_s \right) A_w \tag{13}$$

$$Q_{cond} = \frac{T_w - T_s}{\frac{1}{2\pi Hk} ln \left[\frac{r_{ap} + d_0}{r_{ap}}\right]} + \frac{T_w - T_s}{\frac{d_0}{\pi k \left(r_{ap} + d_0\right)}}$$
(14)

$$Q_{rad} = \varepsilon_{rad} \sigma \left(T_w^4 - T_s^4 \right) A_{ap} \tag{15}$$

Where, α_w is the solar energy absorption rate of the wall surface, P_{ap} is the energy captured by the heat absorber, A_w is the opening area of the heat absorber, A_{cav} is the inner surface area of the heat absorber, a_{ap} is the heat transfer coefficient of the convection surface, T_w is the temperature of the inner cavity of the heat absorber, T_s is the ambient temperature, k is the thermal conductivity of the thermal insulation layer, and d_0 is the thickness of the thermal insulation layer.

3.2.2 Disc collector

The heat storage and exothermic process of the phase change device mainly considers the instantaneous heat storage of the PCM, and its energy change amount is:

$$\Delta q(t) = q_{sun}(t) - q_{loss}(t) - q_{load}(t) + q_{ele}(t)$$
(16)

Where $q_{sun}(t)$ is the effective heat energy transferred to the phase change system, kW; $q_{loss}(t)$ is the heat loss of the phase change device, kW; $q_{load}(t)$ is the energy consumption of the load side, kW; $q_{ele}(t)$ is the heat energy provided by the auxiliary heat source, kW.

The phase-change device heat loss q_{loss} is linearly related to the difference between the PCM temperature and the external temperature, i.e.,:

$$q_{loss} = U_s A_s (T_b - T_a) + U_s A_h (T_h - T_a)$$
(17)

Where U_s is the heat transfer coefficient of the phase change system, W/m²·K; A_s is the wall area of the PCM in contact with the phase change device, m²; T_b is the temperature of the PCM, K; T_a is the outside ambient temperature, K; A_h is the wall area of the void of the phase change device, m²; and T_h is the average temperature of the



air above the PCM, K. The phase change device has the following characteristics.

The oil heat exchanger and water heat exchanger in the phase change device are uniformly cross-distributed in a grid shape. The material used is copper with good compatibility with barium hydroxide octahydrate. The heat exchanger grid is filled with phase change material, as shown in Figure 5.

Reference (Wang et al., 2016; Tang and Liang, 2019; Wu et al., 2021), the heat energy transfer equation between the heat exchanger and the phase change material is as follows:

$$\rho_1 c \frac{\partial T}{\partial t} + \rho_1 c u_x \frac{\partial T}{\partial x} = \frac{4h}{D} \left(T_k - T \right) + k_f \left[\frac{\partial T^2}{\partial x^2} + \frac{1}{r} \frac{\partial}{\partial r} \left(r \frac{\partial T}{\partial r} \right) \right]$$
(18)

$$\frac{\partial h_p}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} \left(k_p r \frac{\partial T_p}{\partial r} \right) + \frac{\partial}{\partial x} \left(k_p \frac{\partial T_p}{\partial x} \right)$$
(19)

$$h_p = h_{ref} + \int_{T_{ref}}^{T} c_k dT + \beta_1 L$$
(20)

In the formula, T_k , T, T_p and T_{ref} represent the tube wall temperature, heat transfer fluid temperature, phase change material temperature and reference temperature, respectively, K; h is the heat transfer coefficient, W·m⁻²·K⁻¹; h_p and h_{ref} are the enthalpy of the phase change material and the reference enthalpy, J/g; β_1 is the liquid phase ratio; and L is the value of latent heat of phase change, J/g.

3.2.3 Mathematical modeling of heating ends

According to the law of conservation of energy, the difference between the amount of heat energy transferred on the heating side of the phase change system and the heat loss along the pipeline is the heat energy at the heating end. The mathematical model of the process under steady state condition is shown in Eq. 22, and the schematic diagram of heating end control is shown in Figure 6.

$$C_s \frac{dT_s}{dt} = cG_s \left(T_o - T_i\right) - K' A\left(\frac{T'_i + T'_o}{2}\right) - \Delta q'$$
(21)

$$f(\gamma_1) = \begin{cases} 0, T_n \ge T_2 \\ 1, T_n \le T_2 \end{cases}$$
(22)

Where C_s is the overall heat capacity of the radiator, J/°C; G_s for the circulation flow, kg/s; T'_i , T'_o for the radiator inlet water



temperature, outlet water temperature, °C; K' is the external heat transfer coefficient of the radiator, W/m²·K, A for the heat transfer area, m²; $\Delta q'$ is the heat loss along the pipeline, J. $f(\gamma_3)$ for the circulating pump action, 1-drive action on; 2-drive action off; γ_2 is the input controller room temperature signal.

3.2.4 Mathematical modeling of auxiliary heat sources

The auxiliary heat source thermal storage belongs to the PCM solid-phase sensible heat storage, and the thermal energy input of this process to the phase change system is Q_f , and the differential control principle is shown in Figure 7.

$$Q_f = \frac{cm(T_{up} - T_{down})}{\lambda}$$
(23)

$$f(\gamma_2) = \begin{cases} 0, T_x \ge T_u \\ 1, T_x \le T_d \end{cases}$$
(24)

Where *P* is the power of auxiliary heat source, kW; T_{up} and T_{down} are the upper limit of set temperature and the lower limit of set temperature, respectively, and λ is the electric heat conversion efficiency. $f(\gamma_2)$ is the auxiliary heat source action, 0-drive action off; 1-drive action on; γ_2 is the PCM temperature signal input to the controller.



3.3 System capacity calculation

The system capacity mainly includes the collector area, the amount of phase change material and the power of auxiliary heat source. Figure 3B shows that the heat load of the room in the heating season is uncertain, and the collector area is too small, the amount of heat collected is too small, and solar heating can not be highlighted; it is too large to exacerbate the economic burden, resulting in a waste of heat. According to the Technical Specification for Solar Heating and Thermal Engineering (GB50495-2019), the total collector area is shown in Eq. 26.

$$S_c = \frac{86400Q_w f}{J_t \eta_c \left(1 - \eta_l\right)} \tag{25}$$

Where, Q_w is the heating season building load (W), civil buildings unit heating indicators for 46.5–70 W/m², in this paper, the heating building for the outdoor independent bungalow, the heat load is relatively large, take 80 W/m². For the local collector on the surface of the light of the average daily solar irradiation in December, check the "Solar Heating and Heating Engineering Technical Specification", take 15.35 MJ/(m²·d). Indicates the heat loss rate of piping and heat storage device, take 0.15; Silver region is a relatively rich solar energy resources, short-term thermal storage system solar energy guarantee rate f take 30%; collector efficiency See Table 1 shows. Calculated collector opening area 2.50 m², this paper selected collector opening diameter 1.8 m (area 2.54 m²).

Assuming that all solar radiation collected by the collector is stored losslessly as phase change heat, the phase change material required for a solar heating system is shown in Eq. 27.

$$m = \frac{DNI \cdot S_c \cdot \eta}{K} \tag{26}$$

In the formula, *K* is the latent heat value of phase change material, 265 kJ·kg-1; DNI is the average daily solar irradiation in December on the light surface of the collector, 15.35 MJ/(m2·d), and the mass of the phase change material obtained from the calculation is 97 kg.The heating system adopts the electric auxiliary heat source, whose power should be higher than the maximum heat load of the building, and is taken as 1.5 kW.

TABLE 1 Dish collector performance parameter
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Performance parameters	Value
Specular reflectivity	0.9
Air transmittance	0.98
Cut-off rate	0.92
Coverage rate	0.92
Chamber absorber efficiency	0.88
Total efficiency	0.657

3.4 Phase change materials thermal storage test

In addition to the advantages of high latent heat value (265 kJ kg⁻¹), suitable phase transition temperature (78°C) and higher density, Ba(OH)2·8H2O as an inorganic hydrated salt, like all inorganic hydrated salts, exists a more obvious phenomenon of supercooling and poor nucleation characteristics, which prevents it from releasing stored latent heat within the desired temperature range, thus affecting the thermal storage performance; For this unfavorable phenomenon, in this paper, Na2B4O7·10H2O with 3% mass fraction and carboxymethyl cellulose with 1% mass fraction were added to Ba(OH)2.8H2O, and 30 g of this composite phase change material was used as a sample for the experiment. As shown in Figure 8A, the oil-seal experimental group and the non-oil-seal experimental group were set up, and the cooling method was natural cooling. The cooling curve of the oil seal experimental group is shown in Figure 8B, which shows that the stable exothermic temperature of the phase change material is 76.5°C, and the exothermic curve is uniform and smooth, which is in line with the requirement of solar thermal storage heating. Setting 70°C-80°C as the heating temperature zone, the theoretical latent heat exotherm of Ba(OH)2·8H2O accounts for the proportion of the total exotherm as shown in Eq. 28:

$$\kappa = \frac{mK}{c_1 m \int_{T_1}^{T_2} dt + mK + c_2 m \int_{T_2}^{T_3} dt} = 94.5\%$$
(27)

where *K* is the value of latent heat of phase transition; T_1 is the initial temperature of 70°C and T_2 is the terminal temperature of 80°C.

3.5 Experimental test of heat storage/heating

In order to verify the feasibility of solar phase-change thermal storage for heat supply, a phase-change thermal storage/heat supply experimental platform as shown in Figure 9B is constructed in this paper. The partial diagram of the phase change device is shown in Figure 9A, which contains an oil heat exchanger and a water heat exchanger respectively, and the two sets of heat exchangers are evenly distributed crosswise. The oil heat exchanger contains thermal oil inlet and thermal oil outlet for system heat storage; the water heat exchanger contains water inlet and outlet for system heat release. The phase change device contains 97 kg of composite



phase change material (Na2B4O7·10H2O with 3% mass fraction and carboxymethyl cellulose with 1% mass fraction were added to Ba(OH)₂·8H₂O), and the heat storage process adopts a constant temperature heater. Instead of the solar collector system, a constant temperature heater was used for the heat storage process. The inlet temperature of heat transfer oil was constant at 103°C, and the flow rate was 0.5 L/min. The initial water temperature of the exothermic process was 30°C, and the water flow rate of the exothermic process was constant at 1.2 L/min.Set A, B, C three temperature measurement points along the left, middle and right centre direction of the phase change device, record the temperature change of the phase change material, and calculate the power change of the heat storage process and the power change of the exothermic process at the same time. The thermal storage experiment curve is shown in Figure 9C and the exothermic experimental curve is shown in Figure 9D.

Figure 9C shows the temperature change of the phase change material during the heat storage process, and the phase change process is PCM solid-phase sensible heat storage, phase change heat storage, and liquid-phase sensible heat storage in that order. From the figure, it can be seen that the total time of heat storage is 1,185 min, and the overall heat storage shows three stages, in which the 70°C-80°C stage of heat storage takes 965 min, and the temperature change in this stage is very small, but the amount of heat storage is the largest. The outlet temperature of heat transfer oil is very close to the temperature of A, B and C measurement points, which indicates that the oil heat exchanger has good heat transfer performance. Since the phase change heat storage occupies the majority of the system heat storage, the temperature change of the heat storage process is stable and highly available. From the heat storage experiments, it is concluded that the use of phase change materials for heat storage has the advantages of stability and reliability, high energy storage density, and small heat loss, which is not available in the water tank sensible heat storage in the solar heating system. Figure 9D demonstrates the temperature change

characteristics of the experimental platform during the exothermic process. It can be seen that the total exothermic time is 602 min, and the temperature of A, B and C measurement points decreases gently. The radiator water supply temperature range is $60^{\circ}C-74^{\circ}C$, the return water temperature range is $53^{\circ}C-65^{\circ}C$, and the supply and return water temperatures are in line with the actual engineering requirements. The heating experiment shows that when Ba(OH)₂·8H₂O composite phase change material is used for heat storage/supply, the radiator water supply temperature, return water temperature, and heating stability are good, which overcomes the shortcomings of the traditional water tank such as large volume of heat storage, low density of heat storage, non-constant temperature, and large heat loss, etc., and is therefore of great significance in the field of solar energy heat storage and heating.

4 TRNSYS modeling and typical working condition analysis

TRNSYS is a powerful transient simulation software which is widely used in the study of solar heating systems. In this paper, TRNSYS is used to model a focused solar heating system containing phase change thermal storage. In this case, the oil pump power is controlled by PID (Type23 module) to ensure that the heat transfer oil outlet temperature is within the specified range. The TRNSYS model as shown in Figure 10 is built based on the mathematical model of the system components, and the system control strategy. The main information in TRNSYS, as shown in Table 2.

4.1 Operational characterization

Based on the source of heating energy, the operation modes for heating are divided into three categories: solar + electric auxiliary heating, solar-only heating, and auxiliary-only heating. The thermal



changes in the system during the heating process exhibit significant inertia. Therefore, it's crucial to consider the system's state before, during, and after operation when analyzing the complete cycle, which includes the collector process, heat release process, and the solid-liquid phase transition of the phase-change material. On the collector side, the operational characteristics of the solar heating system take into account factors such as solar irradiation intensity, outlet oil temperature from the collector, and the flow rate of the circulating oil pump. On the heat storage and transfer side, factors like solar collector heat, electric auxiliary heating, and the solidliquid phase changes in the phase-change device are considered. For the end-user side, parameters such as indoor temperature, temperature of the phase-change device, and the inlet and outlet water temperatures of the radiator are taken into account.

Figures 11A–D presents simulation data for the heating system based on a typical meteorological year. The data indicate that overall normal direct radiation during the heating period is satisfactory. Owing to the phase-change material's (PCM) heating range of 70°C–80°C and the use of PID control for the collector's outlet temperature, the temperatures of the oil at the collector's inlet and outlet remain stable. The frequency of the PCM undergoing a complete phase change is higher during the pre-heating and end periods than in the mid-heating period. This suggests that, in addition to being significantly influenced by solar irradiance, the phase change process of the PCM is also related to the building's indoor temperature. As shown in Figure 11D, the temperature difference between the supply and return water in the radiator is approximately 10°C, aligning with heating experience standards. The radiator's power is essentially maintained at 1,800 W, keeping the indoor temperature of the building between 18°C and 20°C. The solar energy guarantee rate for the entire heating season is 20.36%.

4.2 Typical model analysis

4.2.1 Combined solar and electric auxiliary heating mode

Solar + auxiliary heat combined heating mode, this paper takes January 22 ~ January 25 (0 moment timing, 9,264-9336 h) for simulation, the solar radiation in this stage is shown in Figure 12.

As can be seen from Figure 12A, the solar irradiation intensity was good from January 22 to January 25, in which the normal



Parameter	Unit	Setpoint
Heating area of collector	m ²	2.54
Building area	m ²	10.2
Composite phase change material mass	kg	97
Weather database	_	Lanzhou
Simulation time setting	h	7,296–10,920
Pump flow rate variation range	L/min	0.1-7
Oil heat exchanger efficiency	_	0.9
Water heat exchanger heat transfer efficiency	_	0.85
Heat absorber surface heat loss coefficient	W/m ² ·K	0.4
Piping surface heat loss coefficient	W/m ² ·K	0.2
PCM phase change point temperature	°C	76.5
Operating temperature range of phase change device	°C	70-80
Indoor ambient temperature	°C	18.5-19.5
Power of electric auxiliary heat	kW	1.5

irradiation intensity basically remained at 900 W/m²~1,000 W/m², which was close to twice the horizontal irradiance. On January 22~January 23: the initial value of PCM solid ratio in the phase change device was 100%. Combined with Figures 12A, B, when the solar normal irradiation intensity is greater than 200 W/m², the collector control is activated, and the collector outlet oil temperature

is rapidly increased to 110°C before output.Combining insights from Figures 12B, C, after the solar collector mode is initiated, the system's heat storage state transitions from electrically-assisted to solar heat storage. Correspondingly, as outdoor temperatures rise under solar irradiation, the radiator supply intervals lengthen, as shown in Figure 12D. The PCM temperature quickly stabilizes, signifying the good intensity of solar irradiation. This meets the radiator's normal heating demands, and any surplus solar energy is stored in the PCM. As a result, the PCM solid phase proportion decreases from one to 0 in Figure 12A, indicating that the 97 kg of PCM has reached its maximum phase change heat storage capacity. Once this is accomplished, the PCM transitions to sensible heat storage in its liquid phase, and the collector control switches off after the PCM temperature surpasses 80°C.From January 23 to January 24, the system first provides heat to the building via stored phase-change heat, followed by a brief period of electric auxiliary heating, before resuming solar heat storage the next day. Unlike on January 22, the PCM solid phase ratio didn't drop to zero on January 23, leading to more electric heating on January 24. From January 24 to January 25, the PCM solid phase proportion remains at a minimum of 20%, with other patterns similar to previous days. During the solar-pluselectric auxiliary heating phase, the two heat storage methods alternate to meet indoor heating needs.

On this typical day of heat storage, the oil pump flow waveform mirrors that of direct solar radiation. The pump flow stabilizes at 1.5 L/min for an extended period, and the heating thermal power remains consistent at 1,800 W, keeping the indoor temperature around 19°C. The total heat stored under this mode is 47.1 kWh, comprising 31.5 kWh from solar heat storage and 15.6 kWh from

TABLE 2 Main parameters of simulation model.



electric-assisted heat storage. The solar energy guarantee rate stands at 66.9%.

4.2.2 Solar separate heating mode

Solar energy alone heating mode, this paper takes March 17 to March 20 (8 moments timing, 10,570–10,642 h) for simulation, the solar radiation in this phase is shown in Figures 13A–D.

As can be seen from Figure 13, the weather conditions were good from March 17 to March 20, The outdoor temperature experienced a significant rebound compared to January, although it mostly remained between 5°C and 15°C. The PCM solid ratio during this period fluctuated as follows: $1\rightarrow 0\rightarrow 0.48\rightarrow 0\rightarrow 0.6$. The lowest temperature of the PCM was 75°C, which is above the activation temperature of 70°C for the electric-assisted heat source. These factors indicate that the system's heat supply and circulation performed well during this period. Heat energy was continuously replenished by solar radiation and carried over to the following day.In conjunction with Figures 13A, B, and (D), solar irradiance remained strong on March 19. However, the collector control mode was set to 0 for an extended period. This was due to the PCM temperature reaching its upper limit of 80°C after brief periods of collector activity on both March 18 and March 19. The cessation was also influenced by the higher outdoor temperatures and the discontinuation of end-user heat supply. Between 10,605 and 10642 h, the heating-side demand for heat increased, and the system's heat collection shifted from a saturated state to a vacant state. This triggered a $0 \rightarrow 1$ transition in the heat collection control mode, which correlates with an increase in cumulative heat collection as seen in Figure 13C.

The PCM is in phase change state for a long time during this typical daily heat storage period, and the temperature change of the phase change device is stable, the cumulative heat collection capacity is 13.3 kWh, the solar energy guarantee rate is 100%, and the indoor temperature is maintained between 18°C and 21°C, the solar energy guarantee rate is 100%, which meets the indoor heating demand.

4.2.3 Electro-assisted individual heating mode

Electricity-assisted heat alone heating mode, this paper takes December $31 \sim \text{January } 3 \ (0 \text{ moment timing, } 8736h-8808 h)$ for simulation, the simulation results are shown in Figures 14A–D.

From December 31 to January 3, the weather remained cloudy, resulting in a collector control output of zero. During this period, the heating was solely provided by the electric auxiliary heat source. The system relied on solid-phase sensible heat for both heat storage and



heat release. As a result, the temperature of the phase change device, as shown in Figure 14C, displayed a sawtooth waveform, a pattern dictated by the characteristics of sensible heat storage and release. The indoor temperature was maintained at a stable range of 18°C–19°C, with the electric auxiliary heat accumulating 54 kWh of energy.

Simulations of the system's three operational modes revealed that the supply water temperature stabilized at 60°C–70°C, while the return water temperature remained between 50°C and 60°C. The performance of the solar heating system is intrinsically linked to the heat storage mode of the phase change material, which can be either sensible or latent heat storage. The fluctuating temperature of the phase change material serves as an indicator of the system's current thermal energy demand and allows for the evaluation of the system's capacity. Among the modes, the solar-only heating mode makes the most efficient use of phase change heat storage. This is followed by the combined solar and electric auxiliary heating mode, with the electric-only mode being the least efficient. It is evident that the total amount of heat collected (S) and the mass of the phase change material (m) are the most crucial factors affecting the performance of the solar heating system.

5 Solar heating system optimization

In solar heating systems, the solar guarantee rate is typically inversely correlated with the system's initial investment. While increasing the solar guarantee rate boosts both the initial investment and operating costs (Jin et al., 2020), it also enhances the system's energy-saving, economic, and environmental benefits. This paper employs the annualized cost method to holistically consider the initial investment, operating costs, and energy efficiency of the system, aiming to optimize its overall performance. The system's collector area and the quality of the phase change material serve as the optimization variables. An objective function is established, and the Trnopt module in TRNSYS is used to interface with the Genopt optimization software. This integration employs the Hooke-Jeeves optimization algorithm to simultaneously optimize the variables.



FIGURE 13

Simulation of solar alone heating mode. (A) Solar radiation intensity, (B) collector side, (C) Accumulation of heat sup, (D) Thermal storage/ heating end.



Simulation of separate heating mode with electric auxiliary heating. (A) Collector side, (B) Heat supply accumulation, (C) Termal storage/heat supply end.



5.1 Introduction to the annualised cost method and the Hooke-Jeeves algorithm

The dynamic calculation using the annualized cost method means that the initial investment in the system is amortized over each year of the system's lifespan according to the capital recovery formula. The sum of the discounted initial investment and the annual operating cost constitutes the annual cost for that year (Zhao et al., 2021). This method converts all costs into a common present value metric, taking into account all factors affecting the system's economic viability over its entire lifespan. The Hooke-Jeeves algorithm, also known as the step acceleration method or the pattern search method, is a direct method for solving optimization problems. It offers the advantages of rapid convergence and strong stability. The algorithm consists of two key components: the "exploratory move" and the "pattern move." The exploratory move searches along 'n' directions to determine a new base point and the direction favorable for reducing the function value. The pattern move proceeds along the direction defined by two adjacent base points. These two moves alternate, and the optimization algorithm uses the simulation output to assess whether the optimization goal has been achieved. This informs the decision on whether to rerun the simulation program for further optimization (Ma et al., 2019; Wang, 2019). The workflow of the Genopt algorithm is illustrated in Figure 15.

5.2 Optimizing the objective function

The annual value of the cost includes the initial investment in the system and the operating costs, and the annual value of the cost method is calculated by the formula (Jiang, 2018):

$$Z = \frac{i(1+i)^n}{(1+i)^n - 1} \times L_0 + C$$
(28)

where Z is the annual value of the cost, RMB/year; i is the deposit rate, taken as 5.5%; n is the system life, taken as 25 years; L is the initial investment in the system; and C is the annual operating cost, RMB/year.

The total initial investment cost of the system L_0 includes: collector mirror field cost L_1 , light tracker cost L_2 , heat absorber cost L_3 , phase-change box and phase-change material cost L_4 , heat exchanger cost L_5 , pump and piping cost L_6 , heattransferring material cost L_7 , and thermal insulation material cost L_8 . The annual running cost includes: circulating oil pump running electricity cost C_1 , circulating water pump running electricity cost C_2 , and electric heating cost C_3 , and then there are:

$$\sum_{i=1}^{8} L_i (i = 1, 2, \dots, 8)$$
(29)

$$C = C_1 + C_2 + C_3 \tag{30}$$

The cost of each component of the system is considered at manufacturing cost price, then the initial investment estimate of the main equipment is shown in Table 3.

According to the relevant provisions of the tariff policy, the city of Baiyin implements the step tariff system on a monthly basis for urban and rural residential electricity users with 1 m per household. The first step (0–160 kWh) is 0.51 RMB/kWh, the second step (161–240 kWh) is 0.56 RMB/kWh, and the third step (>241 kWh) is 0.81 RMB/kWh. The building belongs to a place that consumes a higher amount of electricity, and the tariffs are calculated according to the third step.

Serial number	Equipment name	Quantities	Total price (RMB)
L1	Collector Mirror Field	2.54 m ²	3,098
L2	Light tracker	1 set	4,175
L3	Heat Absorber	1 set	950
L4	Phase change box and phase change material	1 set	1,515
L5	Heat exchanger	1 set	960
L6	Heat pumps and piping	2 sets	600
L7	Heat transfer media	1 set	170
L8	Insulation Materials	1 set	200

TABLE 3 Estimated initial investment in solar heating system equipment.



Optimization change process of solar heating system. (A) Optimization process of collector and compared to material (B) Change process of annual value of cost.

5.3 Optimization results

The optimization process of the focused solar heating system containing phase change thermal storage is shown in Figure 16. Where the horizontal coordinates denote the iteration step, and the vertical coordinates denote the solar collector area and phase change material mass, respectively.

From Figure 16A, it can be seen that the initial values of the collector area and the mass of phase change material are 2.54 m^2 and 97 kg, respectively, and after 80 iterations of calculation, the range of change tends to stabilize and reaches the convergence state. At this time, the optimal area of solar collector is 4.11 m^2 and the optimal mass of phase change material is 130 kg. Figure 16B shows the optimization process of the annual value of the system cost, and the total cost of the system is reduced by a total of 1,025 RMB during the whole life cycle after optimization. According to the "Technical Specification for Solar Heating and HVAC Engineering", the solar guarantee rate should be 20%–40% when the solar heating system adopts short-term thermal storage. Compared with before

optimization, the solar guarantee rate increases from 20.36% to 30.4%. In the paper, the solar heating system is established based on the more abundant solar resource area. In the solar heating system design criteria for the use of solar energy efficiency is recommended, in which the short-term heat storage system in the area of more abundant solar energy resources, the solar energy guarantee rate is generally 20%–40%, and the specific recommended data are shown in Table 4.The comparison before and after optimization is shown in Table 5.

Figure 17 shows an analysis of the economic performance of the solar heating system before and after optimisation (A indicates the annual value of the costs, B indicates the annual value of auxiliary heat source costs, C indicates the annual value of initial investment costs, D indicates the annual equivalent cost of solar energy, E indicates the annual value of other project costs).From the economic point of view, although the optimization process increases the annual value of the initial investment cost of the system from 466.7 RMB to 577.8 RMB, the solar guarantee rate increases rapidly, the annual equivalent cost of the solar collector increases

Resource allocation	Short-term thermal storage system solar guarantee	Guaranteed solar energy ratio for seasonal thermal storage systems
Extremely rich resource zones	>50%	>70%
Resource-rich zone	30%-50%	50%-60%
Resource-rich zone	20%-40%	40%-50%
Average resource zone	10%-30%	20%-40%

TABLE 4 Recommended solar guarantee rates for different solar resource zones.

TABLE 5 Comparison of system parameters before and after optimization.

Parameter	Before optimization	After optimization
Collector area (m ²)	2.54	4.11
Mass of phase change material (kg)	97	130
Electric heating capacity (kWh)	2,542	2,211.7
Solar collector capacity (kWh)	649.98	965.6
Solar energy guarantee rate (%)	20.36%	30.4%
Annual value of cost (RMB)	3,015	2,974



by 256.2 RMB, and the cost of the electric heating decreases from 2059.3 RMB to 1791.2 RMB. Due to the limitation of the weather environment, as the optimization process proceeds, the growth rate of the solar guarantee rate slows down, the initial investment cost and the operation cost increase, and finally the economic optimal solution is obtained. The environmental benefits of the solar heating system tend to be more optimal after optimization using the cost annual value function model. Let the carbon emission reduction in the whole life cycle of the system be G, then there are:

$$G = \left(Q_{solar}' - Q_{solar}\right)n\varepsilon \tag{31}$$

Where, Q'_{solar} is the annual solar heat gain after optimization, and Q_{solar} is the annual solar heat gain before optimization; *n* is the

system lifetime; and ε is the carbon emission factor of the Northwest Local Area Grid, 0.6671 kg/kWh. Over the whole system lifetime, the carbon emission reduction of the optimized solar heating system increases from 10,838 kg to 16,101 kg.

6 Conclusion

In this paper, This study focuses on the heating system for a room at a driving school in the Baiyin area of Gansu Province. DEST software was used to simulate the building's dynamic heat load, and a focused solar heating system with phase change thermal storage was designed to be compatible with the room's heating requirements. The heat storage performance of the composite phase change material was also verified. TRNSYS software facilitated the modeling of the solar heating system and the analysis of various operational modes. Finally, the Hooke-Jeeves optimization algorithm optimized the system's collector area S and the mass of the phase change material m, using the annual value of cost as the objective function:

Specific conclusions are as follows:

- (1) To address the winter heating needs of isolated buildings and overcome the shortcomings of existing solar heating systems, such as low temperatures in flat-plate collectors and vacuum tube collectors as well as poor performance in heat storage tanks, an innovative approach was taken. This involved combining a focusing disc collector with phase change material for thermal storage, resulting in the design of a focused solar heating system with phase change thermal storage was designed.
- (2) A mathematical model for the main components of the focused solar heating system that includes phase change

thermal storage was established, as well as a corresponding TRNSYS system model. Simulation analyses indicate that the system's water supply temperature stabilizes at approximately 60° C- 70° C, while the return water temperature remains stable at 50° C- 60° C. Furthermore, the performance of the solar heating system is closely related to the heat storage mode of the phase change material, either sensible or latent heat storage. The fluctuating temperature characteristics of the phase change material can reflect the current thermal energy requirements.

- (3) Among the operation modes, solar-only heating maximizes the utilization of phase change thermal storage, followed by a combined solar and electric auxiliary heating mode. The least effective mode was using electric auxiliary heating alone. This suggests that the collector area S and phase change material mass m are the most critical factors affecting the system's performance.
- (4) Using the annual value of cost as the objective function, the Hooke-Jeeves algorithm optimized the system's collector area to 4.11² and the mass of the phase change material to 130 kg. As a result, the solar energy guarantee rate increased by 10.04%; the system cost was reduced by 1,025 RMB over its life cycle, and the carbon reduction amount increased from 10,838 kg to 16,101 kg. The environmental benefits are better enhanced.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

Author contributions

YZ: Conceptualization, Data curation, Funding acquisition, Investigation, Methodology, Project administration, Resources,

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Nomenclature

Nomenciature		T_w	Heat absorber cavity temperature
value	renresent	r _{ap}	Radius of heat absorber opening
t	Qualitative temperature of the wall	ϵ_{rad}	Cavity effective emissivity
•m	Temperature of the inner wall	σ	Stefan-Boltzmann constant
•n	Indoor air temperature	A_{ap}	Heat absorber light opening area
rf N	Volume expansion coefficient	U_s	Heat transfer coefficient
v	Kinematic viscosity	A_s	Wall area of PCM contact
2	Thermal conductivity	A_h	Wall area of PCM not in contact
D*		T_b	Temperature of the PCM
D	Paulaich number	T _{ref}	Reference temperature
	Nusselt number	T_h	Air temperature above PCM
h	Hast transfer coefficient	T_a	Outside ambient temperature
n ₀	Heat transfer coefficient	ρ_1	HTF density
п 0	Pagis hast transfer	r	Direction (r)
Q ₁		T_p	Phase change material temperature
Q ₂	Cold air infiltration hast dissinction	L	Latent heat capacity
Q3	Cold wind interview heat dissipation	h _{ref}	Enthalpy at reference temperature
Q4		β_1	Liquid phase ratio
Г 1.		C_s	Thermal capacity
ĸ	Surface neat transfer coefficient	G_s	Flow rate
<i>t</i> _w		K	Radiator heat transfer coefficient
Pch P	Wind Correction Pate	T _o	Water outlet temperature
Pf		T_i	Water inlet temperature
p _s	Correction rate of external wall		
P _{fg}	Koom neight correction rate		
Pj			
N	Outside door addition rate		
C_p	Specific heat capacity		
ρ _w	Air Density		
v			
Q _{ref}	Reflected heat loss		
Q _{conv}			
Q _{cond}	Conductive heat loss		
Q _{rad}	Radiant heat loss		
α _w	Wall solar absorption rate		
A _w	Heat Absorber Opening Area		
A _{cav}	Internal surface area of heat absorber		
1 _w	Absorber temperature		
P _{ap}	Energy captured by heat absorber		
κ ₁	Heat conductivity		
do	Insulation layer thickness		

Environmental temperature

 T_s