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## EDITED BY

Shenbo Yang,  
Beijing University of Technology, China

## REVIEWED BY

Xiaobao Yu,  
Shanghai University of Electric Power,  
China  
Hongye Wang,  
Dalian University of Technology, China  
Nie Yan,  
Lanzhou University, China

## \*CORRESPONDENCE

Hailin Yang,  
✉ b\_zero2023@163.com

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# A multi-objective dispatching model for a novel virtual power plant considering combined heat and power units, carbon recycling utilization, and flexible load response

Hailin Yang<sup>1\*</sup>, Xu Tian<sup>1</sup>, Fei Liu<sup>1</sup>, Liantao Liu<sup>1</sup>, Lixin Li<sup>2</sup> and Qian Wang<sup>2</sup>

<sup>1</sup>Economic and Technological Research Institute of State Grid Qinghai Electric Power Company, Xining, Qinghai, China, <sup>2</sup>China Electric Power Research Institute, Beijing, China

To optimize the energy supply potential and complementary advantages of distributed energy, this paper focuses on the dispatching optimization of cogeneration virtual power plant considering uncertainty. First of all, wind power, photovoltaic, combined heat and power (CHP) units, electric boilers, and controllable loads are integrated into a CHP virtual power plant. Then, carbon capture and electric-to-gas devices are introduced to realize carbon recycling. Furthermore, quantify the risk of real-time dispatching of virtual power plants through uncertainty scenario generation and conditional value at risk (CVaR) theory, and the multi-objective stochastic dispatching optimization model of virtual power plants is built with the aim at minimizing the operation cost, carbon emissions, and operation risk as the objectives, and the CRITIC weighting method is adopted to solve it. Finally, the calculation results show that: 1) the electric boiler can use wind and photovoltaic power to supply heat for the system, reduce the dependence of the virtual power plant (VPP) on the CHP unit, and make the electric output of the unit more flexible. 2) The risk quantification method proposed can fully measure the risk situation in real-time dispatching, arrange the wind and photovoltaic power generation plan and backup plan more reasonably, and enable the VPP to get more benefits while avoiding the risks in real-time dispatching.

## KEYWORDS

virtual power plant, distributed new energy, conditional value at risk, combined heat and power, carbon capture

## 1 Introduction

Distributed energy is energy efficient, less polluting, more flexible, and larger in scale, and is the key to alleviating the energy shortage in China (Bin et al., 2021). But distributed energy has characteristics of small capacity, large quantity, and uneven distribution, which makes it hard to involve in power grid dispatching directly (Yingxuan et al., 2021). Virtual power plants (VPPs) use advanced communication technology to realize the aggregation of different distributed energy sources, effectively play the complementary ability of various

resources in time and space, and fully excavate the energy supply potential of distributed energy sources (Yafei et al., 2023).

Moreover, every winter heating period, combined heat and power (CHP) units in Northeast China often operate in the mode of “power determined by heat”, which causes the waste of wind power and photoelectric resources (Jun et al., 2023). If CHP units and various distributed energy sources are aggregated into a CHP-VPP, the complementary advantages between resources can be used to achieve “thermoelectric coupling” and promote the consumption of renewable energy. Many scholars have now studied the optimal dispatching of CHP-VPP (Shitong et al., 2022; Hamzeh and Sadegh, 2023; Kumar et al., 2023). In Ref. (Fang et al., 2020), electric storage devices (ESDs), wind power plants (WPPs), photovoltaic power plants (PV), and controllable loads are aggregated into a CHP-VPP, and a VPP dispatching optimization model with the goal of maximizing economic benefits is established. In Ref. (Wang et al., 2023), the CHP-VPP is equipped with electric boilers to absorb wind power, and the scheduling optimization model of CHP-VPP is established with the objective of minimum economic costs. The above documents only focus on the economic benefits of VPPs. However, in the background of “carbon peaking and carbon neutrality”, carbon emission will be a key indicator for optimizing VPP dispatch (Guo et al., 2022).

In recent years, the maturity of carbon capture and power to gas (P2G) technology has provided an effective way for the low-carbon development of VPPs (Caixia et al., 2021; Xiaojie et al., 2023). In Michael et al. (2022); Liu et al. (2023), the thermal power units and carbon capture equipment are combined into carbon capture units, which promotes the utilization of wind power and makes carbon emission reduction more significant. In Yungao et al. (2022); ZhangHu (2022), P2G is used to utilize excess wind power generation and convert CO<sub>2</sub> into CH<sub>4</sub>, effectively reducing VPP carbon emission. The above literature provides a theoretical basis for the incorporation of carbon capture and electricity to gas into VPPs, but does not consider the combined use of the two to achieve carbon cycle. In Qingyou et al. (2021), although carbon cycle is realized through a gas power plant carbon capture (GPPCC) device and P2G, the influence of the coupling operation mode of GPPCC and P2G on the degree of carbon cycle is not considered. To solve the problem, carbon storage device is introduced to decouple CO<sub>2</sub> capture and treatment process, and a hydrogen storage device is introduced to realize time shift of renewable energy power (Liwei et al., 2022; Shuaishuai et al., 2022). Therefore, if the carbon storage and hydrogen storage devices are used together with GPPCC and P2G, it will effectively decouple the carbon capture and electricity to gas processes, and maximize the carbon emission reduction potential.

To solve the problems in the aforementioned analysis, this paper proposes an optimal scheduling method for CHP-VPP considering carbon capture and P2G. First of all, GPPCC and P2G are introduced in the CHP-VPP for carbon recycling, and carbon storage and hydrogen storage units are added to decouple carbon capture from the power generation and gas production process. Then, the risk of VPP real-time dispatching is quantified through the generation of uncertainty scenarios and CVaR theory. With the operation cost, carbon emission, and operation risk as the objectives, a multi-objective stochastic dispatching optimization method of

CHP-VPP is propounded, and the CRITIC weighting method is used to address it. Finally, a simulation is designed to validate the conclusiveness and applicability of the proposed method.

## 2 VPP structure and modeling

### 2.1 Structure description

The CHP-VPP in this paper mainly includes distributed power/heat output module and carbon cycle module. The distributed power/heat output module includes distributed wind power and photovoltaic, electric boiler, controllable load, and the power storage device. Carbon cycle module mainly includes the gas CHP unit, GPPCC, P2G, and gas storage device. The VPP realizes the recycling of CO<sub>2</sub> through GPPCC and P2G. GPPCC captures CO<sub>2</sub> generated by the CHP unit, and P2G converts CO<sub>2</sub> into CH<sub>4</sub>. The carbon storage and hydrogen storage devices can be used to store excess CO<sub>2</sub> and H<sub>2</sub> at a certain time, so as to decouple carbon capture and electric conversion process. The electric boiler can use the surplus renewable energy to generate electricity to supply heat for the system, reduces the dependence of VPP on the heat output of CHP unit, and increases the flexibility of CHP unit operation. The controllable load and power storage device can cut peak and fill valley, and provide spare output for VPP. Figure 1 shows the energy flow diagram of CHP-VPP.

VPP coordination control center conducts information interaction with each unit in the VPP through communication technology, so that it can sense the operation status of each device and issue dispatching instructions to each unit. On this basis, the VPP forecasts the WPP and PV output of the next day, and then consider the operating status of each unit, the demand for VPP internal electrical load and thermal load, and formulate the next day's operation plan of each unit and form the next day's electricity purchase and sale strategy in the public grid.

### 2.2 Operation modeling

#### 2.2.1 Distributed power/thermal output module modeling

The distributed power/thermal output module is mainly responsible for meeting the electric heating load of the system by calling various distributed energy sources. Among them, the electric boiler is an auxiliary heating equipment for “thermoelectric decoupling”. Controllable loads and power storage devices can be used as flexible resources to follow the change of WPP and PV output. In addition, the power storage device can be charged during low price hours and discharged during peak price hours to promote the use of renewable energy.

##### (1) Distributed WPP and PV modeling

In this study, the distributed WPP is modeled as a whole, and the VPP predicts the wind power output of the next day. Therefore, in the process of day ahead dispatching, the declared output of distributed wind turbines should meet the following relationships:

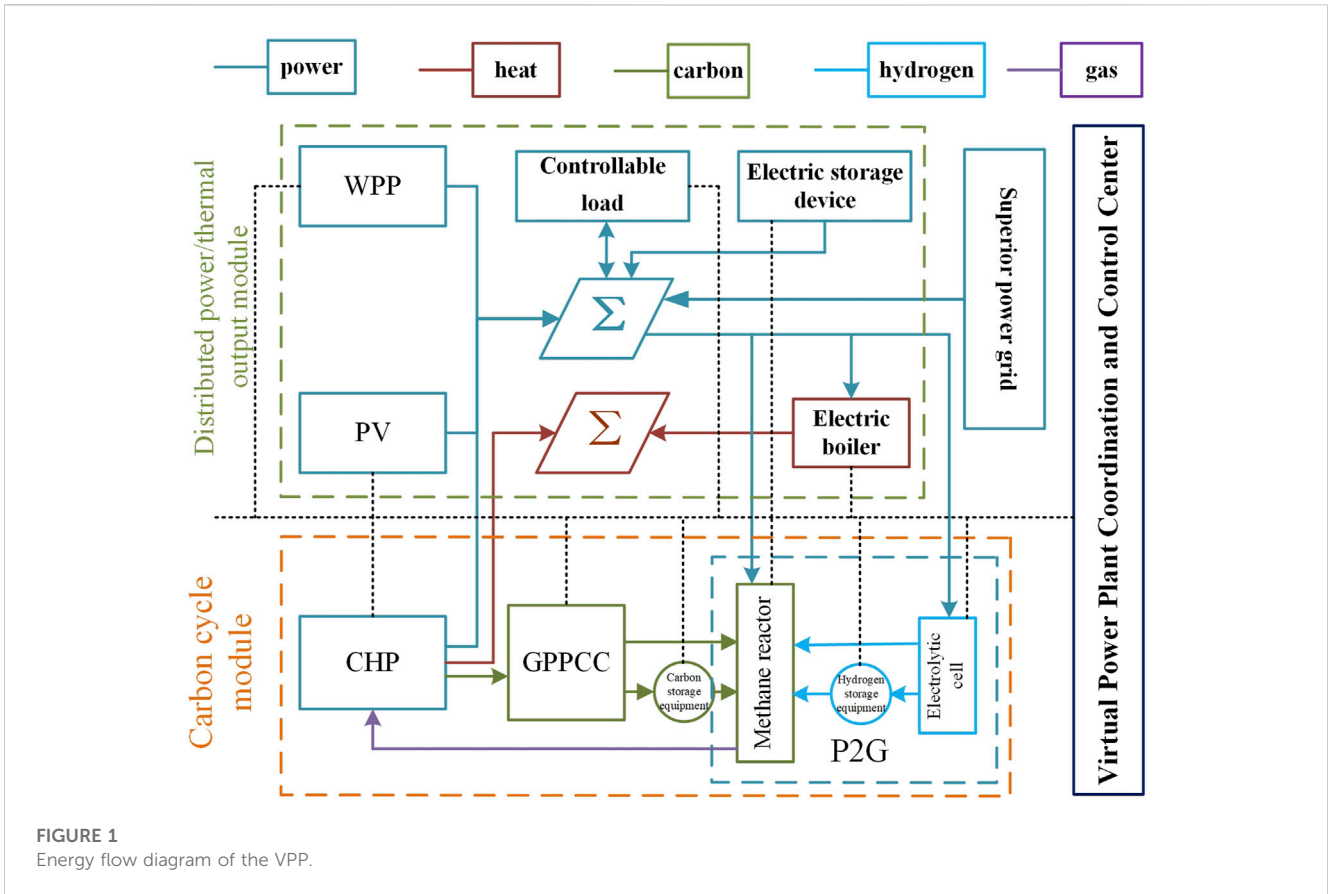


FIGURE 1 Energy flow diagram of the VPP.

$$0 \leq g_{WPP,t} \leq g_{WPP,t}^f \tag{1}$$

where  $g_{WPP,t}$  and  $g_{WPP,t}^f$ , respectively, represent the declared output and predicted output of the WPP at time  $t$ . However, wind power forecasting often has certain errors, and its actual output can be obtained by adding the predicted output and the predicted output error, as follows:

$$g_{WPP,t}^{re} = g_{WPP,t}^f + \Delta g_{WPP,t}^f \tag{2}$$

In Eq. 2,  $g_{WPP,t}^{re}$  represents the actual output of the wind turbine at time  $t$ ;  $\Delta g_{WPP,t}^f$  represents the predicted output error of the wind turbine. When the scale of wind turbines is large and the geographical distribution is wide, it can be considered that the prediction error follows the normal distribution of  $(0, \sigma_t^W)$ .  $\sigma_t^W$  is calculated as follows:

$$\sigma_t^W = \frac{1}{5} g_{WPP,t}^f + \frac{1}{50} W_{WPP} \tag{3}$$

where  $W_{WPP}$  is the whole installed capacity of wind turbine.

The principle of distributed photovoltaic modeling is the same as that of the distributed wind power, refer to Eqs 1, 2. The probability distribution function of photovoltaic prediction error will not be repeated in this paper.

(2) Electric boiler

As an auxiliary heating equipment in VPPs, the electric boiler can use wind power generation to meet the thermal load of the system, reduce the dependence of the system on the thermal output

of CHP unit, so as to achieve “thermoelectric decoupling”, and increase flexibility in the operation of CHP units. The relationship between the heat generating power  $h_{eb,t}$  of the electric boiler and the electric power  $g_{eb,t}$  consumed is as follows:

$$h_{eb,t} = w_{eb} g_{eb,t} \tag{4}$$

where  $w_{eb}$  represents the electric heat transfer efficiency of the electric boiler.

(3) Controllable load

Demand response methods include price-based demand response (PBDR) and incentive-based demand response (IBDR). Incentive-based demand response on user side controllable load is primarily considered. Users can sign a contract with the VPP to reduce power consumption during peak hours or increase power consumption during valley hours, and obtain certain benefits. At the same time, users can also provide backup services for the VPP to smoothing the fluctuation of wind power output (Ju et al., 2016).

$$\Delta L_{I,t} = \sum_{k=1}^{N_I} (\mu_{k,t}^u \Delta L_{k,t}^u + \mu_{k,t}^d \Delta L_{k,t}^d) \tag{5}$$

where  $\Delta L_{I,t}$  is the controllable load response at time  $t$ ;  $N_I$  is the number of users;  $\Delta L_{k,t}^u$  and  $\Delta L_{k,t}^d$  are the positive/negative response output provided for the user  $k$  at time  $t$ , respectively; and  $\mu_{k,t}^u$  and  $\mu_{k,t}^d$  represent the status of positive/negative response output, which is 0–1 variable, respectively.

(4) ESD

ESD can realize energy transfer across time periods and coordinate the imbalance between source and load. Among them, the electric energy storage can realize peak load cutting and valley filling through “peak time discharge and valley time charging”, thus the ability of the grid to absorb renewable energy can be improved and the operating cost of VPP can be reduced. The relationship between the storage capacity of the ESD and the charging and discharging shall be in accordance with the following relationship:

$$E_{es,t} = (1 - \delta_{es})E_{es,t-1} + \eta_{es}^{ch} g_{es,t}^{ch} \Delta t - \frac{g_{es,t}^{dis}}{\eta_{es}^{dis}} \Delta t, \quad (6)$$

where  $E_{es,t}$  represents the ESD energy storage capacity at time  $t$ ;  $g_{es,t}^{ch}$  and  $g_{es,t}^{dis}$  represent the ESD charging/discharging power at time  $t$ , respectively;  $\eta_{es}^{ch}$  and  $\eta_{es}^{dis}$  represent charging/discharging efficiency of the ESD, respectively; and  $\delta_{es}$  represents the ESD electric energy loss rate.

### 2.2.2 Carbon cycle module

The carbon cycle module mainly uses the surplus wind power generation for carbon recycling, reducing carbon emissions while cogenerating. Among them, GPPCC will capture CO<sub>2</sub> generated by the CHP unit, and P2G will convert CO<sub>2</sub> into CH<sub>4</sub>, which will be supplied to the CHP unit as fuel. The module also includes the carbon storage and hydrogen storage devices, which are used to decouple the generation and processing of CO<sub>2</sub>. The hydrogen storage devices can realize the time shift of electric energy by storing H<sub>2</sub>.

(1) CHP unit

The extraction type CHP unit is used to extract some steam from the two stages of the turbine as the heat source for external heating. When the thermal power is fixed, the extraction type unit can adjust the electric power within a certain range, with higher flexibility. However, when the thermal power gradually increases, the adjustable range of electric power will be reduced.

$$g_{G,i,t} = g_{Ge,i,t} + \eta_{eh,i} h_{G,i,t}, \quad (7)$$

where  $g_{G,i,t}$ ,  $g_{Ge,i,t}$ , and  $h_{G,i,t}$  are the generating power, net generating power, and heating power of the unit  $i$  under the pure condensing condition at time  $t$ , respectively, and  $\eta_{eh,i}$  represents the electrothermal conversion coefficient.

The CO<sub>2</sub> produced and natural gas consumed can be calculated by Eq. 8:

$$\begin{cases} Q_{G,c,t} = e_G g_{G,t} \\ V_{CH_4,t} = \frac{3.6 g_{G,t}}{\eta_G H_{CH_4}} \end{cases} \quad (8)$$

where  $Q_{G,c,t}$  and  $V_{CH_4,t}$  represent the mass of CO<sub>2</sub> generated and the volume of natural gas consumed, respectively;  $e_G$  represents the carbon emission intensity;  $\eta_G$  represents the generating efficiency of the unit; and  $H_{CH_4,t}$  represents the low calorific value of natural gas, and 3.6 is the standard unit conversion coefficient.

(2) GPPCC

GPPCC energy consumption is directly met by unit output. In order to better control the operation of GPPCC, this paper defines the following operation indicators:

The flue gas  $\lambda_{c,t}$  split ratio represents the ratio of the flue gas flow into the GPPCC to the total flue gas flow of the CHP unit and has a value of 0–1.

GPPCC operation energy consumption  $g_{OP,t}$  refers to the variable energy consumption of GPPCC operation, mainly including regenerative heat energy and compressed electric energy, indicating the operation level of GPPCC.

The flow direction of CO<sub>2</sub> in GPPCC is as follows:

$$\begin{cases} Q_{c,t} = \lambda_{c,t} Q_{G,c,t} \\ Q_{c,t}^c = \eta_c Q_{c,t} \\ Q_{c,t}^s = Q_{G,c,t} - Q_{c,t}^c \end{cases}, \quad (9)$$

where  $Q_{c,t}$ ,  $Q_{c,t}^c$  and  $Q_{c,t}^s$  represent the CO<sub>2</sub> being processed, successfully captured and discharged into the atmosphere by GPPCC, respectively.  $\eta_c$  represents the CO<sub>2</sub> capture rate of GPPCC.

The energy consumption of GPPCC can be calculated by Eq. 10

$$g_{GPPCC,t} = g_A + g_{OP,t} = g_A + w_{ce} Q_{c,t}, \quad (10)$$

where  $g_A$  represents the fixed energy consumption of carbon capture, which can be regarded as a constant value because of its small proportion and  $w_{ce}$  represents the power consumption per unit CO<sub>2</sub>.

In addition, GPPCC can store excess CO<sub>2</sub> into the carbon storage unit. For the convenience of calculation, the volume  $Q_{c,t}^c$  under standard condition will be replaced by  $V_{c,t}^c$

$$V_{c,t}^c = Q_{c,t}^c / \rho_c, \quad (11)$$

where  $\rho_c$  represents the density of carbon dioxide at standard conditions. Therefore, the CO<sub>2</sub> captured by GPPCC and consumed by P2G can be expressed by Eq. 12

$$\begin{cases} V_{c,t}^c = V_{c,t}^{in} + V_{c,t}^{c-m} \\ V_{c,t}^m = V_{c,t}^{out} + V_{c,t}^{c-m} \end{cases}, \quad (12)$$

where  $V_{c,t}^{in}$ ,  $V_{c,t}^{out}$  and  $V_{c,t}^{c-m}$  represent CO<sub>2</sub> entering the carbon storage unit from GPPCC, P2G from the carbon storage unit, and P2G directly from GPPCC, respectively, and  $V_{c,t}^m$  represents the total amount of CO<sub>2</sub> consumed by P2G at time  $t$ .

(3) P2G

P2G mainly includes two processes: electrolytic water and methanation, and energy conversion efficiencies of about 75%–85% for electrolysis of water and 75%–80% for methanation, for a total efficiency of about 45%–60%. In this paper, electrolytic water and methanation are modeled separately, and the specific expression is as follows:

$$\begin{cases} V_{H_2,t} = 3.6 \eta_{H_2} g_{H_2,t} / H_{H_2} \\ V_{H_2,t}^m = g_{CH_4,t}^m / \omega_{CH_4}^m \end{cases}, \quad (13)$$

where  $V_{H_2,t}$  and  $V_{H_2,t}^m$  represent H<sub>2</sub> consumed by electrolytic water generation and methanation, respectively;  $\eta_{H_2}$  represents the efficiency of electric hydrogen conversion;  $H_{H_2}$  represents the calorific value of hydrogen;  $\omega_{CH_4}^m$  represents the methanation

consumes electricity per unit  $H_2$ ; and  $g_{H_2,t}$  and  $g_{CH_4,t}^m$  represent the power consumption of electrolytic water and methanation, respectively. The total operating power of P2G is

$$g_{P2G,t} = g_{H_2,t} + g_{CH_4,t}^m \quad (14)$$

P2G stores surplus  $H_2$  in the hydrogen storage unit. Therefore,  $H_2$  produced by electrolytic water and consumed by methanation can be expressed by Eq. 15

$$\begin{aligned} V_{H_2,t} &= V_{H_2,t}^{in} + V_{H_2,t}^{e-m} \\ V_{H_2,t}^m &= V_{H_2,t}^{out} + V_{H_2,t}^{e-m} \end{aligned} \quad (15)$$

where  $V_{H_2,t}^{in}$ ,  $V_{H_2,t}^{out}$ , and  $V_{H_2,t}^{e-m}$  represent  $H_2$  entering the hydrogen storage unit from the electrolytic cell, methane reactor from the hydrogen storage unit, and methane reactor directly from the electrolytic cell, respectively.

Taking  $V_{CH_4,t}^m$  to indicate  $CH_4$  generated by P2G. According to the chemical reaction equation of methanation, the ratio of  $V_{c,t}^m$ ,  $V_{H_2,t}^m$ , and  $V_{CH_4,t}^m$  is 1: 4: 1.

#### (4) Gas storage device

In this paper, carbon storage and hydrogen storage devices are added to GPPCC and P2G, respectively, which can be used together to flexibly control the two raw materials required for methanation, achieve maximum absorption of wind power generation, and improve the degree of carbon recycling. The modeling of hydrogen and carbon storage devices can refer to the power storage devices, as shown below:

$$E_t = E_t + \eta_{in} V_t^{in} - \frac{V_t^{out}}{\eta_{out}}, \quad (16)$$

where  $E_t$  refers to the gas stored at time  $t$ ;  $V_t^{in}$  and  $V_t^{out}$  represent the gas stored and withdrawn at time  $t$ , respectively; and  $\eta_{in}$  and  $\eta_{out}$  are charge/discharge efficiency, respectively.

### 3 Multi-objective stochastic dispatching optimization model

#### 3.1 Generation of uncertainty scenarios

Wind and photovoltaic power generation often have strong uncertainty, which will bring risks to the real-time operation of VPPs. Since the uncertainty of new energy output mainly comes from the prediction error, this paper constructs the joint probability distribution function according to the correlation of wind power and photoelectric output error. Then, the inverse transformation method is adopted to generate typical scenarios of wind-photoelectric output, and the random model is transformed into a deterministic model through the generation of uncertainty scenarios while retaining the wind-photoelectric output correlation. In order to take into account the randomness and correlation of the scene output at each moment, the scene output scene is generated.

- (1) Constructing the covariance matrix  $\sigma_{24 \times 24}$  of the full cycle wind and solar forecast error, as follows:

$$\sigma_{ij} = \exp\left(-\frac{|i-j|}{\epsilon}\right), \quad (17)$$

where  $\sigma_{ij}$  represents the covariance period  $i$  and period  $j$  of time  $t$  and  $\epsilon$  is the covariance key parameter, which is used to control the correlation strength.

- (2) The multivariate normal distribution  $Z_{1 \times 24} \sim N(0, \sigma_{24 \times 24})$  of the prediction error of full cycle scenery is constructed, and each random variable follows the standard normal distribution. Then, the *mvnrnd* function is called in MATLAB to randomly generate  $N$  samples.
- (3) According to the probability distribution function in Section 1.2.1, inverting the sample values of each period to obtain the full cycle wind power and photovoltaic forecast error, and the  $N$  wind and solar output scenarios are obtained from Eq. 2. Figure 2 is a schematic diagram of the inverse transform.

Then, in order to reduce the amount of computation, k-means clustering is used to reduce scenes  $N$  to typical scenes  $n$ . The specific steps are as follows:

- (1) Initial cluster centers  $D_i^0$  ( $i = 1, 2, \dots, n$ ) are randomly generated within the value range of the  $n$  above  $N$  scenarios.
- (2) Each scene and the nearest cluster center are divided into one category, and the center of each category is used as the new cluster center.
- (3) If any  $i$  or both are satisfied  $|D_i^j - D_i^{j-1}| < 0.001$  or satisfied  $j \geq 1000$ ,  $D_i^j$  will be used as the reduced scene. Otherwise, steps (1) and (2) are repeated until conditions are met.
- (4) Repeating steps (1), (2), and (3) for 100 times, and selecting the best clustering result as the final  $n$  scenery typical output scene.

Finally, the typical output scenarios for wind and PV are combined to obtain the final typical output scenario  $n$  for wind and PV. The flow chart of  $n^2$  uncertainty scenario generation in this paper is shown in Figure 3.

#### 3.2 Multi-objective dispatching optimization model

To improve the economy, promote the low-carbon development of VPP, and respond to the national call for “double carbon”, operating costs and carbon emissions are used as the optimization objectives of the VPP in this paper.

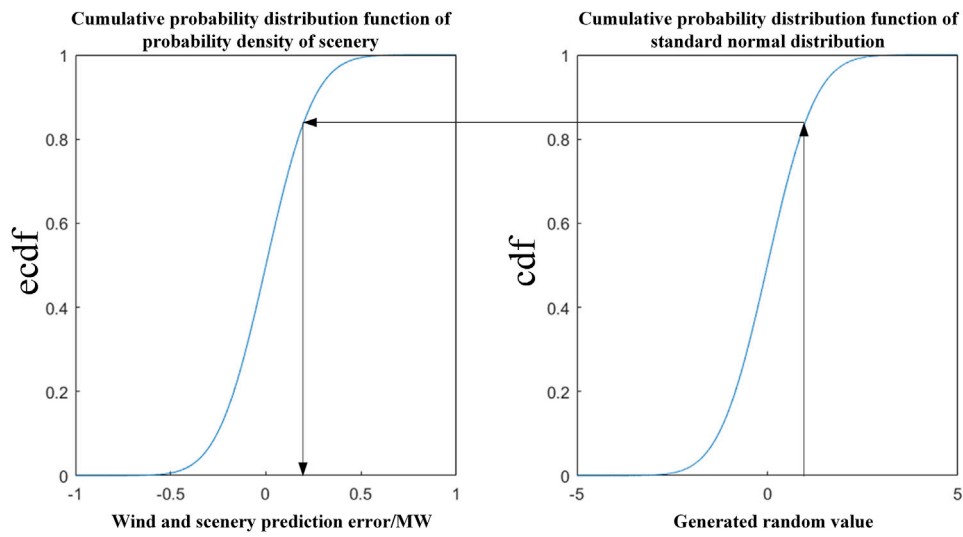
- (1) Operating cost

The operation cost of the VPP includes the generation cost  $C_G$  of CHP units, the operation and maintenance cost  $C_M$  of various equipment, the cost  $C_{DR}$  of controllable load, and the revenue  $I_{UG}$  from the electricity trading on the public grid.

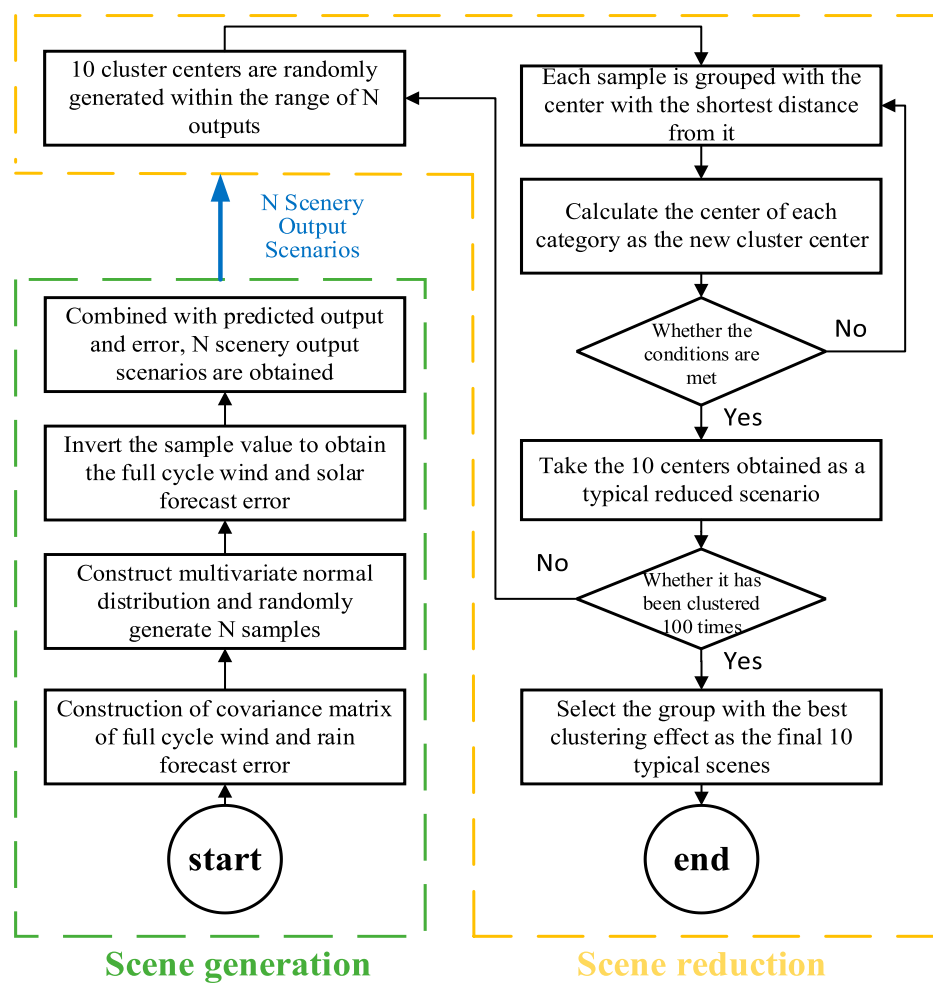
$$\min F_1 = C_G + C_M + C_{DR} - I_{UG}. \quad (18)$$

The generation cost of the CHP unit includes fuel cost and startup and shutdown cost, which are calculated as follows:





**FIGURE 2**  
Diagram of inverse transform.



**FIGURE 3**  
Flow chart of uncertainty scenario generation.

$$C_G = \sum_{t=1}^T [c_{CH_4}(V_{CH_4,t} - V_{CH_4,t}^m)] + C_{DT}, \quad (19)$$

where  $c_{CH_4}$  represents the price of natural gas and  $C_{DT}$  represents the start-up/shut-down costs.

The operation and maintenance costs include operation costs of wind power, photovoltaic, GPPCC, P2G, electric boilers, and power storage devices, which are calculated as follows:

$$C_M = \sum_{t=1}^T (c_1 g_{WPP,t} + c_2 g_{PV,t} + c_3 g_{GPPCC,t} + c_4 g_{P2G,t} + c_5 g_{eh,t} + c_6 (g_{es,t}^{dis} + g_{es,t}^{ch})), \quad (20)$$

where  $c_1$ 、 $c_2$ 、 $c_3$ 、 $c_4$ 、 $c_5$  and  $c_6$  represent the operating cost coefficients of wind power, photovoltaic, GPPCC, P2G, electric boiler, and power storage device, respectively.

The controllable load cost includes the response output cost and the standby output cost. The specific calculation is as follows:

$$C_{DR} = \sum_{t=1}^{24} \sum_{k=1}^{N_l} (c_{I,k}^u \Delta L_{k,t}^u + c_{I,k}^d \Delta L_{k,t}^d + c_{R,k}^u R_{k,t}^u + c_{R,k}^d R_{k,t}^d), \quad (21)$$

where  $c_{I,k}^u$  and  $c_{I,k}^d$  denote the cost coefficient of providing positive/negative response output for the user  $k$ , respectively;  $R_{k,t}^u$  and  $R_{k,t}^d$  are the positive/negative spare capacity that can be provided by the user  $k$ , respectively; and  $c_{R,k}^u$  and  $c_{R,k}^d$  denote the cost coefficient of providing positive/negative standby output for user  $k$ , respectively.

The revenue from electricity purchase and sale of public grid is calculated as follows:

$$I_{UG} = \sum_t c_{UG,t} g_{UG,t}, \quad (22)$$

where  $c_{UG,t}$  denotes the electricity price of public power grid and  $g_{UG,t}$  indicates electricity sold (purchased) to the public grid for VPP.

(2) Carbon emissions

Considering that China is still dominated by thermal power generation, the equivalent carbon emissions of purchased public grid electricity are also reckoned in the carbon emissions of CHP-VPP. The expression is written in the following form:

$$\min F_2 = \sum_{t=1}^T (Q_{c,t}^s - \eta_{UG} \min(g_{UG,t}, 0)), \quad (23)$$

where  $\eta_{UG}$  represents the carbon emission coefficient per unit of electricity.

The constraints of VPP conventional dispatching model mainly include electric/thermal power balance constraints, CHP unit output constraints, controllable load constraints, equipment operation constraints, and gas storage device constraints.

(1) Electric/thermal power balance constraints

The VPP proposed in this paper includes two kinds of energy flows, electric and thermal, and needs to meet both power/thermal balance constraints.

$$\begin{cases} g_{WPP,t} + g_{PV,t} + g_{Ge,t} + g_{es,t}^{dis} + \Delta L_{t,t} = L_{e,t} + g_{es,t}^{ch} + g_{GPPCC,t} + g_{P2G,t} + g_{eb,t} + g_{UG,t}, \\ h_{G,t} + h_{eb,t} = L_{h,t} \end{cases} \quad (24)$$

(2) CHP unit output constraints

The CHP unit output constraints primarily include the upper and lower limit constraints of the unit thermal output, electrical output, and total output:

$$\begin{cases} 0 \leq h_{G,i,t} \leq h_{G,i,\max} \\ s_{G,i,t} \max(g_{G,i,\min} - \eta_{eh,i} h_{G,i,t}, \alpha_i h_{G,i,t} + \beta_i) \leq \\ g_{Ge,i,t} \leq s_{G,i,t} (g_{G,i,\max} - \eta_{eh,i} h_{G,i,t}) \\ s_{G,i,t} g_{G,i,\min} \leq g_{G,i,t} \leq s_{G,i,t} g_{G,i,\max} \end{cases}, \quad (25)$$

where  $h_{G,i,\max}$  represents the maximum value of thermal output;  $g_{G,i,\max}$  and  $g_{G,i,\min}$  are the max/min total output, respectively;  $\alpha_i$  represents the elastic coefficient of electric power and thermal power and can be considered as a constant; and  $\beta_i$  represents a constant.

(3) Controllable load constraints

Controllable load constraints mainly include upper limit constraints

$$\begin{cases} u_{k,t}^u I_{k,t}^u - u_{k,t}^d \Delta L_{k,t}^d + R_{k,t}^u \leq \Delta L_{k,\max} \\ u_{k,t}^u I_{k,t}^u - u_{k,t}^d \Delta L_{k,t}^d - R_{k,t}^u \geq \Delta L_{k,\min}, \end{cases} \quad (26)$$

where  $\Delta L_{k,\max}$  and  $\Delta L_{k,\min}$  represent the maximum positive/negative response output that can be provided by user  $k$ , respectively.

(4) Equipment operation constraints

The equipment operating constraints consist primarily of upper and lower limit constraints and climb constraints for the GPPCC, P2G, and electric boilers.

$$\begin{cases} g_{k,\min} \leq g_{k,t} \leq g_{k,\max} \\ -\Delta g_{k,d} \leq g_{k,t} - g_{k,t-1} \leq \Delta g_{k,u} \end{cases}, \quad (27)$$

where  $g_{k,\min}$  and  $g_{k,\max}$  are the min/max operating power of type equipment, respectively.  $\Delta g_{k,u}$  and  $\Delta g_{k,d}$  represent uphill/downhill climbing ability, respectively.

(5) Energy storage/gas device constraints

Constraints on energy or gas storage devices mainly include energy storage/gas capacity constraints, upper limit of charging and discharging rate constraints, charging and discharging state constraints, and equal energy storage/gas capacity limitations at the beginning and end of the cycle. Taking the gas storage devices as an example:

$$\begin{cases} 0 \leq E_t \leq E_{\max} \\ 0 \leq V_t^{in} \leq s_t^{in} V_{\max}^{in} \\ 0 \leq V_t^{out} \leq s_t^{out} V_{\max}^{out} \\ 0 \leq s_t^{in} + s_t^{out} \leq 1 \\ E_0 = E_{24} \end{cases} \quad (28)$$

where  $E_{\max}$  represents the maximum storage capacity of the gas storage unit;  $s_t^{in}$  and  $s_t^{out}$  represent the storage and venting states, respectively, and are 0–1 variables; and  $V_{\max}^{in}$  and  $V_{\max}^{out}$  represent the maximum rates of gas storage and venting, respectively.

(6) System backup constraints

Because of the uncertainty of variable renewable energy, the conventional dispatching model of the VPP also requires consideration of system reserve constraints. This paper emphasizes the effect of load loss on the system when the actual generation power of wind power and PV is lower than the predicted power. The upper rotation reserve constraint is considered.

$$\begin{cases} R_t^u \geq r_{WPP}g_{WPP,t} + r_{PV}g_{PV,t}, \\ R_t^u = R_{k,t}^u + R_{es,t}^u, \end{cases} \quad (29)$$

where  $r_{WPP}$  and  $r_{PV}$  represent upper rotational reserve coefficients of WPP and PV, respectively, and  $R_t^u$  is an upper rotation backup available for VPP. The reserve capacity  $R_{es,t}^u$  provided for the power storage device. The operation mode of controllable load and power storage device is flexible, which can provide a certain reserve capacity for the VPP. However, the CHP unit has poor flexibility, so this paper does not consider it as a standby power supply.

$$R_{es,t}^u = \min\left(g_{es,\max} - g_{es,t}, \frac{E_{es,t} - g_{es,t}\Delta t}{\Delta t}\right), \quad (30)$$

where  $g_{es,\max}$  represents the maximum input or output power of the power storage device and  $g_{es,t}$  represents the operating power of the ESD, which is equal to  $g_{es,t}^{dis}$  when it is positive and equal to  $g_{es,t}^{ch}$  when it is negative.

### 3.3 Multi-objective stochastic dispatching optimization model

Based on value at risk (VaR), CVaR takes into account the distribution of risk outside the confidence level, and can reflect the maximum possible loss in the full probability interval of the portfolio under a given level of confidence. Therefore, in this paper, the CVaR theory is utilized to quantify the risk of load loss in real-time dispatching of VPPs and is used as an optimization objective reflecting the operational risk of VPPs to cope with the uncertainty of variable renewable energy. The approximate formula of CVaR is as follows:

$$F_\beta(x, \alpha) = \alpha + \frac{1}{1-\beta} \int [f(x, y) - \alpha]^+ \rho(y) dy, \quad (31)$$

where  $x$  and  $y$  represent portfolio vectors and random vectors, respectively;  $f(x, y)$  represents the loss function;  $\beta$  represents the confidence;  $\alpha$  represents the VaR value;  $\rho(y)$  is the joint probability density function of the random vector  $y$ ; and  $[f(x, y) - \alpha]^+$  represents  $\max\{f(x, y) - \alpha, 0\}$ .

When the analytic formula  $\rho(y)$  is difficult to obtain, the integral term of Eq. 31 can be estimated by historical data or sample data obtained by Monte Carlo simulation. In this paper, the scenarios

generated in Section 2.1 are used as samples, which are expressed as follows:

$$\hat{F}_\beta(x, \alpha) = \alpha + \frac{1}{N(1-\beta)} \sum_{n=1}^N [f(x, y_n) - \alpha]^+, \quad (32)$$

where  $y_1, y_1, \dots, y_n$  represent  $N$  samples of  $y$ . The loss function values  $f_n$  of each sample is arranged from large to small, and the  $\beta N$  first is the value of  $\alpha$ .

Risk metrics are often related to the amount and duration of load loss, so by taking the penalty cost of VPP load loss as a loss function, and the specific calculation is as follows:

$$C_{ens} = \sum_{t=1}^T c_{ens,t} (\Delta g_{WPP,t} + \Delta g_{PV,t} - R_t^u), \quad (33)$$

where  $\Delta g_{WPP,t}$  and  $\Delta g_{PV,t}$  indicate deviations from actual wind and PV generation, respectively, and  $c_{ens,t}$  represents the penalty cost coefficient of load loss.

A multi-objective random dispatching optimization model for the VPP is as follows:

$$\begin{aligned} \min F_1 &= C_G + C_M + C_{DR} - I_{UG} \\ \min F_2 &= \sum_{t=1}^T (Q_{c,t}^s - \eta_{UG} \min(g_{UG,t}, 0)) \\ \min F_{3,\beta} &= \alpha + \frac{1}{N(1-\beta)} \sum_{k=1}^N [C_{risk}(G, g_k) - \alpha]^+ \\ & \text{s.t. Equation(22) - (26)} \end{aligned} \quad (34)$$

## 4 Multi-objective model solving

The VPP dispatching optimization model has three objectives: operation cost, carbon emissions, and operation risk. The multi-objective model needs to be transformed into the single-objective model, and then the CRITIC weighting method is used to solve the VPP multi-objective optimization model. It is also necessary to linearize the model and dimension the objective function before solving.

### 4.1 Model linearization

It can be seen from Eq. 9 that the calculation process of  $Q_{c,t}$  needs to be linearized by multiplying  $\lambda_{c,t}$  and  $Q_{G,c,t}$ . First,  $\lambda_{c,t}$  will be discretized into 100 linear combinations of 0–1 variables. Since the value of  $\lambda_{c,t}$  is between 0 and 1, this operation is equivalent to limiting the precision of  $\lambda_{c,t}$  to 0.01. The details are as follows:

$$\lambda_{c,t} = 0.01 \sum_{i=1}^{100} \lambda_{i,t}, \quad (35)$$

where  $\lambda_{i,t}$  represents the 0–1 variable. The results showed that

$$Q_{c,t} = 0.01 \sum_{i=1}^{100} \lambda_{i,t} Q_{G,c,t}. \quad (36)$$



TABLE 1 Input–output of objective function.

Optimization object/objective function	$F_1$	$F_2$	$F_3$
$F_1^*$	$F_1^{\min}$	$F_2^{(1)}$	$F_3^{(1)}$
$F_2^*$	$F_1^{(2)}$	$F_2^{\min}$	$F_3^{(2)}$
$F_3^*$	$F_1^{(3)}$	$F_2^{(3)}$	$F_3^{\min}$

Then, by making  $Q_{i,t} = \lambda_{i,t}Q_{G,c,t}$ , and adding the appropriate constraints, the goal of linearizing  $Q_{c,t}$  calculation process is achieved. The details are as follows:

$$\begin{cases} Q_{c,t} = 0.01 \sum_{i=1}^{100} Q_{i,t} \\ 0 \leq Q_{i,t} \leq Q_{G,c,t} \\ Q_{i,t} \leq M\lambda_{i,t} \\ Q_{i,t} \geq Q_{G,c,t} - M(1 - \lambda_{i,t}) \end{cases}, \quad (37)$$

where  $M$  represents a large enough number. Similarly, the formula for multiplying other binary variable and continuous variable can be linearized.

### 4.2 Dimensioning of objective function

Since the three objective functions in this paper have different orders of magnitude, the method based on fuzzy satisfaction is used for dimensioning the objective function (Gong et al., 2011). The fuzzy satisfaction theory can reflect the satisfaction degree of the objective function compared with the single-objective optimization, and its principle is to use the membership function of the fuzzy theory to quantify the solution of the objective function. First, each objective function is taken as the optimization object, the single-objective model is solved, and the values of other objective functions are calculated. See Table 1 for details. \* denotes that the objective function is used as the optimization object.

The optimal values of each objective function can be obtained from Table 1, namely,  $F_1^{\min}$ ,  $F_2^{\min}$ , and  $F_3^{\min}$ . Then, the maximum value  $F_1^{\max}$ ,  $F_2^{\max}$ , and  $F_3^{\max}$ , is determined and can be scaled appropriately according to the preferences of the decision maker and the situation on the ground.

$$\begin{cases} F_1^{\min} \leq F_1^{\max} \leq \{F_1^{(2)}, F_1^{(3)}\} \\ F_2^{\min} \leq F_2^{\max} \leq \{F_2^{(1)}, F_2^{(3)}\} \\ F_3^{\min} \leq F_3^{\max} \leq \{F_3^{(1)}, F_3^{(2)}\} \end{cases}. \quad (38)$$

Finally, the objective functions are all optimized in the direction of minimization, and each objective function uses ascending semi-linear membership functions as membership functions. The details are as follows:

$$\pi_i(F_i) = \begin{cases} 0, F_i \leq F_i^{\min} \\ \frac{F_i - F_i^{\min}}{F_i^{\max} - F_i^{\min}}, F_i^{\min} < F_i < F_i^{\max} \\ 1, F_i \geq F_i^{\max} \end{cases}, \quad (39)$$

where  $\pi(F_i)$  represents the membership function of objective function  $F_i$ .

### 4.3 CRITIC weighting method

The entropy weight method is the most widely used method for solving VPP multi-objective problems. However, the entropy weight method mainly empowers through the degree of dispersion of each objective, ignoring the horizontal influence generated by the correlation between the objectives. CRITIC is an objective weighting method that considers the impact of index correlation. The principle is to determine the weight according to the contrast strength of the evaluation index and the correlation between the indexes, which can reduce the influence of the correlation between the indexes on the final weight and make the results more objective and reasonable. The general process of the CRITIC method is as follows:

(1) First, suppose there are  $m$  plans and  $n$  goals, respectively. Taking the solutions of  $F_1$ ,  $F_2$ , and  $F_3$  as objectives are taken as three CRITIC weighted schemes, and the following evaluation matrix is obtained.

$$X = \begin{bmatrix} x_{11} & x_{12} & \cdots & x_{1m} \\ x_{21} & x_{22} & \cdots & x_{2m} \\ \vdots & \vdots & & \vdots \\ x_{n1} & x_{n2} & \cdots & x_{nm} \end{bmatrix}, \quad (40)$$

where  $x_{ij}$  denotes the dimensioned value of the first  $j$  target of the first  $i$  scheme.

(2) Then, the standard deviation and correlation coefficient were calculated for each target, as follows:

$$\begin{cases} \sigma_i = \sqrt{\frac{1}{m} \sum_{j=1}^m (x_{ij} - \bar{x}_i)^2} \\ \rho_{ik} = \text{cov}(X_i, X_k) / (\sigma_i \sigma_k) \end{cases}, \quad (41)$$

where  $\sigma_i$  is the standard deviation of the target  $i$ ;  $\rho_{ik}$  indicates the correlation coefficient between target  $i$  and target  $k$ ; and  $\text{cov}(X_i, X_k)$  is the covariance of lines  $i$  and  $k$ .

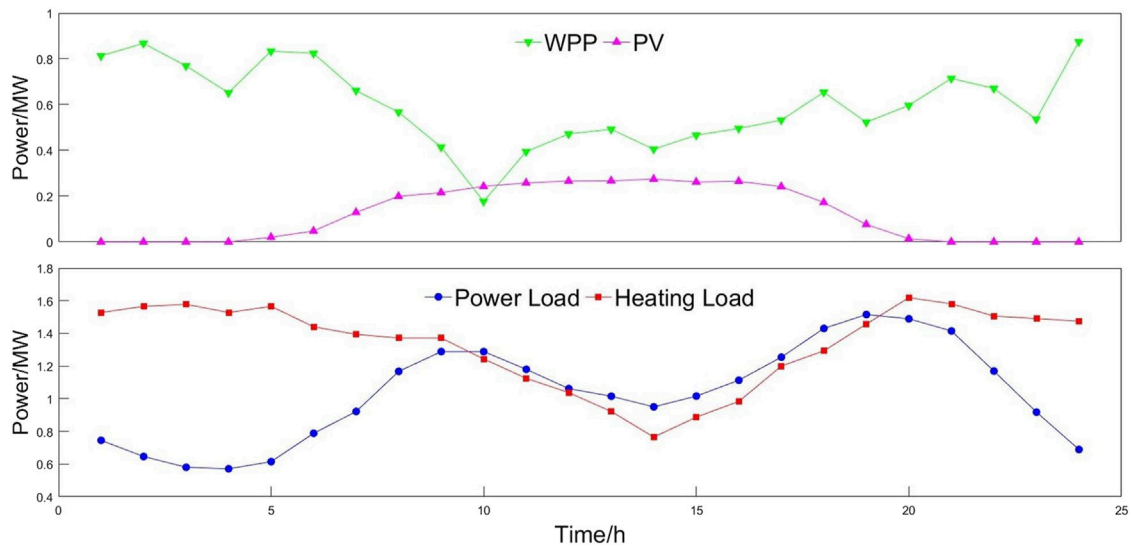
(3) Calculating the amount of information contained in each goal and acquiring the weight of each goal, as follows:

$$\begin{cases} G_i = \sigma_i \sum_{k=1}^n (1 - \rho_{ik}) \\ u_i = \frac{G_i}{\sum_{k=1}^n G_k} \end{cases}, \quad (42)$$

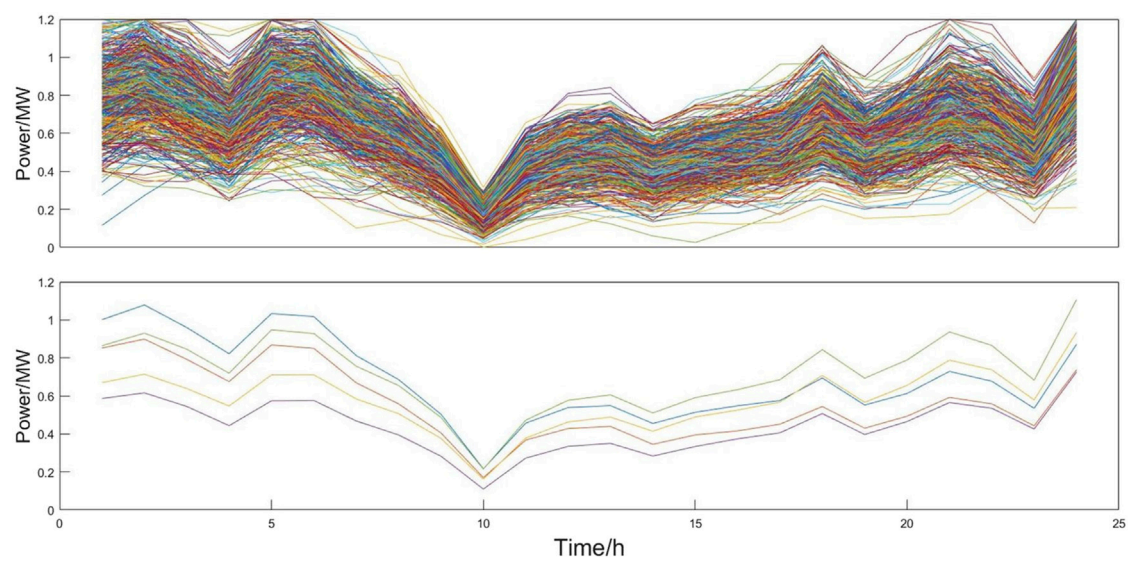
where  $G_i$  represents the information amount of the target and  $\sum_{k=1}^n (1 - \rho_{ik})$  represents the quantitative indicator of the conflict between the first goal  $i$  and other goals.

Finally, the combined objective function is as follows:

$$F = \sum_{i=1}^3 u_i \pi_i(F_i). \quad (43)$$



**FIGURE 4** WPP and PV output and electric heating load predicted by the VPP dispatching center in the day ahead.



**FIGURE 5** Actual output scenarios of wind charge and typical scenarios after reduction.

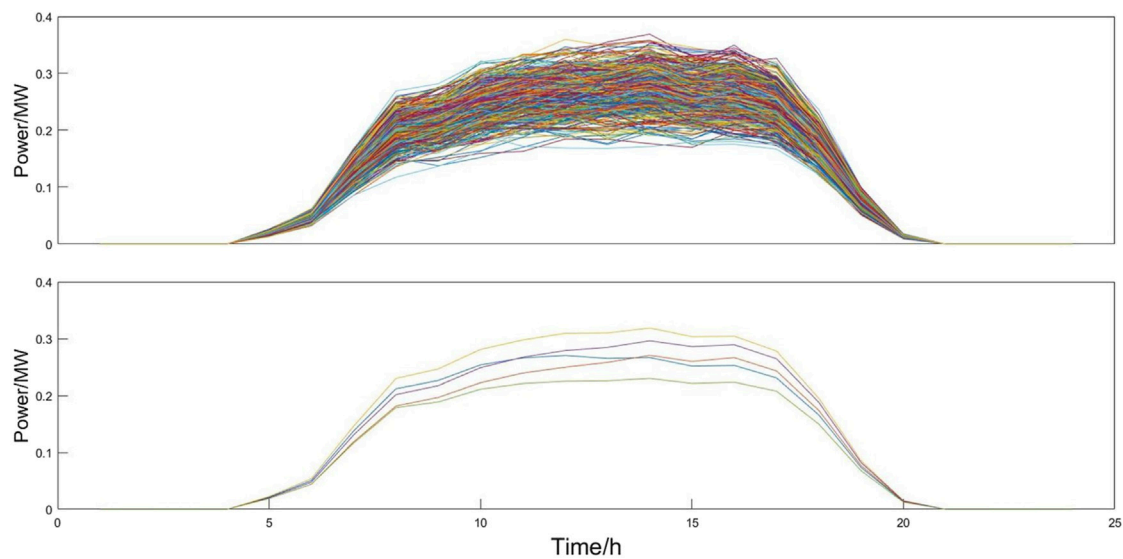
## 5 Example analysis

For the sake of verifying the validity and applicability of the model designed in this study, a simulation is established on MATLAB R2020a and the model is solved using CPLEX solver.

### 5.1 Example data

For the purpose of this study, an industrial park in Lankao County, Henan Province is selected as the research object. The VPP

of the park has two 0.8 MW CHP units, the total capacity of wind and PV is 1.2 MW and 0.4 MW, and the energy storage capacity is 0.1 MW. The maximum response outputs for the electric boiler capacity and controllable loads are 0.15 MW and 0.03 MW, respectively. The maximum operating power of carbon capture device is 0.1 MW, and the maximum operating power of electrolytic cell and methane reactor is 0.3 MW and 0.15 MW, respectively. In the conventional dispatching model, the spinning reserve coefficients of WPP, PV, and load are 0.25, 0.15, and 0.1, respectively. In the uncertain dispatching model, the penalty cost coefficient of load loss is 800 yuan/MW, and the confidence level of



**FIGURE 6**  
Photovoltaic actual output scenarios and typical scenarios after reduction.

the CVaR value is 0.8. Figure 4 shows the wind power, photovoltaic output and electrothermal load predicted by the VPP dispatching center in day ahead. Figures 5, 6 show the actual output scenarios and the reduced typical scenarios of wind charge photovoltaic generated in this paper, respectively. In a typical output scenario for wind and photovoltaic power generation, there is a certain correlation between the output values, while the output values at each time also retain a certain degree of randomness, which is more in line with the actual output of wind power and photovoltaic.

## 5.2 Scenario setting

This paper proposes a carbon recycling module considering the carbon capture device and power-to-gas device, and creatively decouples the generation and utilization process of CO<sub>2</sub> through carbon storage and hydrogen storage devices, while realizing the time shift of surplus renewable energy power. In addition, a risk quantification method based on CVaR theory is proposed. For the sake of verifying, the conclusiveness of the method propounded in this study, the following four scenarios are set up for simulation and analysis.

**Scenario 1: Basic scenario.** This scenario does not include carbon recycling module and the risk quantification method, but the conventional system backup constraint is applied to deal with the uncertainty of new energy.

**Scenario 2: Carbon recycling scenario.** This scenario introduces the carbon recycling module and does not adopt the risk quantification method in this paper.

**Scenario 3: Risk quantification scenario.** This scenario adopts the risk quantification method in this paper, without introducing the carbon recycling module.

**Scenario 4: Comprehensive scenario.** This scenario introduces the carbon recycling module and adopts the risk quantification method.

## 5.3 Example results

According to the multi-objective weighting method in Section 3, the weights of the objective functions of minimum operation cost, minimum carbon emissions, and minimum operation risk in Scenario 3 and Scenario 4 are 0.26, 0.3, and 0.44, respectively. Since Scenario 1 and Scenario 2 do not use the risk quantification method, and only include the minimum operating cost and the minimum carbon emissions, using the entropy weight method to calculate the weight, which are 0.59 and 0.44, respectively. Table 2 shows the optimization results of each scenario.

According to Table 2, the operation cost, carbon emissions, and operation risk of Scenario 1 are 10,606.46¥, 8,594.14 kg, and 7.6¥, respectively. Compared with Scenario 1, Scenario 2 utilize the surplus wind power generation to achieve the recycling of CO<sub>2</sub> owing to the introduction of carbon recycling module, reduce the fuel cost of CHP units, and reduce the operating cost and carbon emissions by 23.95¥ and 280.6 kg, respectively. Scenario 3 measures the risk level in the real-time operation of the VPP by adopting the risk quantification method, and develops a dispatching scheme with risk and economy, which reduces the operation cost and carbon emissions by 456.38¥ and 153.75 kg, respectively, while the operation risk only increases by 81.02¥. Based on Scenario 2 and Scenario 3, the operating cost and carbon emissions of Scenario 4 are further reduced by 689.95¥, 257.52¥, 245.47 kg, and 372.32 kg. Figure 7 shows the operating power of each unit in the VPP under each scenario.

According to Figure 7, the CHP unit is limited by the thermoelectric ratio and the minimum output, and maintain high output level all the time. The electric boiler uses wind power to supply heat for the system in periods 1–8 and 22–24, and conducts thermoelectric decoupling. The controllable load and power storage device mainly maintain the power balance of the VPP, providing access space for wind power and photovoltaic, and reserve capacity for the VPP. During periods 1–8, 11–16, and 23–24, the output of

TABLE 2 Optimal results in different cases.

Scenario	Operating cost (¥)	Carbon emission (kg)	Operational risk (¥)
Scenario 1	10,606.46	8,594.14	7.60
Scenario 2	10,582.51	8,313.54	26.17
Scenario 3	10,150.08	8,440.39	88.62
Scenario 4	9,892.56	8,068.07	97.17

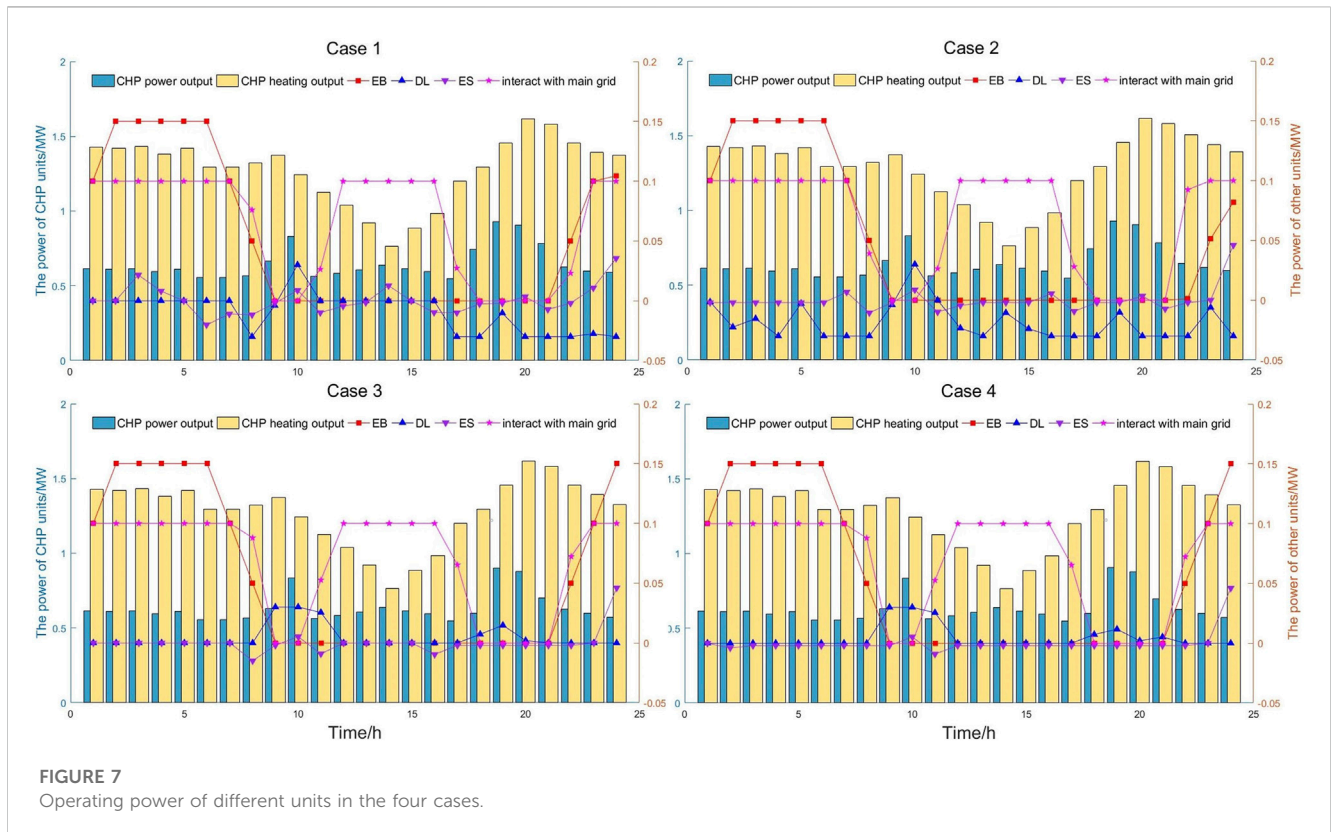
WPP and PV is high, and VPP sells surplus renewable energy power on the main network. On this basis, this section will further analyze the carbon emission reduction capability of the proposed carbon recycling module and the uncertainty response capability of the risk quantification method. Compared with Scenario 1, the operating power of the CHP unit in Scenario 2 increases slightly, the operating power of electric boilers is higher, and more electric energy is sold in the electricity market. Scenario 2 introduces the carbon recycling module, which requires more power consumption. The consumption of wind power and photovoltaic is greatly increased, increasing of downlink calls of controllable load, to improve the uplink spare space.

Compared with Scenario 1, the operating power of the CHP unit in Scenario 3 is slightly lower, and more electric energy is sold in the power market because Scenario 3 adopts the risk quantification method, and chooses to absorb more scenic calls to improve the economy of VPP, while taking certain risks. Therefore, the number of calls of controllable loads in Scenario 3 is less, to save the backup cost of VPP.

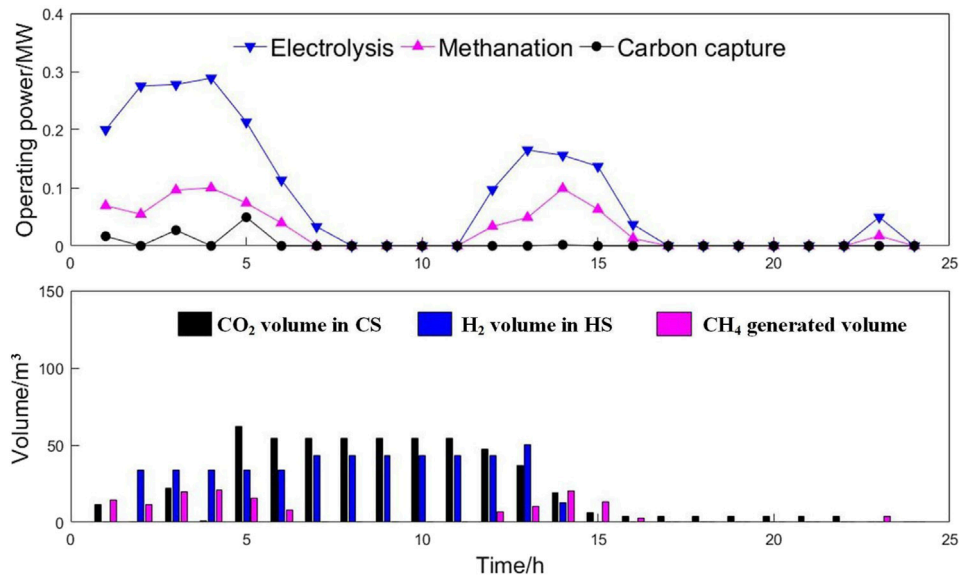
### 5.3.1 Analysis of GPPCC and P2G carbon recycling capacity

Scenario 2 and Scenario 4 utilize the surplus wind power generation in the VPP through GPPCC and P2G to recycle some CO<sub>2</sub> generated by CHP units, reducing the carbon emissions of VPP, and saving the fuel cost of CHP units. The example results show that 293.57 and 360.26 kg of CO<sub>2</sub> are recycled in Scenario 2 and Scenario 4, respectively, which fully demonstrates the carbon recycling capacity of GPPCC and P2G. In addition, in order to improve the carbon recycling degree of the VPP, carbon storage and hydrogen storage devices are also considered in the process of carbon recycling to decouple the generation and utilization of H<sub>2</sub> and CO<sub>2</sub>, realizing the time shift of renewable energy power. Figures 8, 9 show the storage of CO<sub>2</sub> and H<sub>2</sub> and the production of CH<sub>4</sub> in Scenario 2 and Scenario 4, respectively.

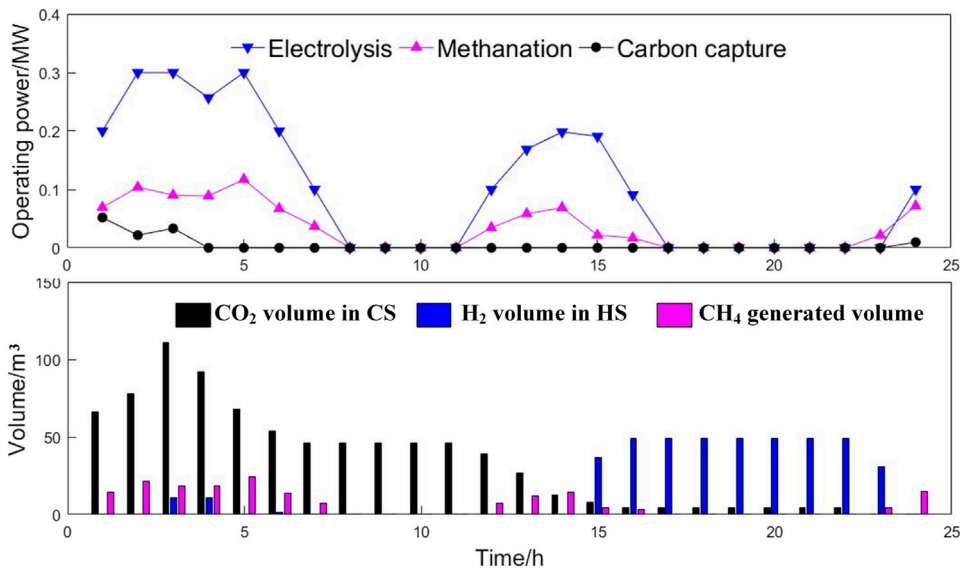
On the basis of Figure 8, to achieve full utilization of renewable energy for power generation, electrolytic water, and methanation are mainly conducted in periods 1–7, 12–16, and 24–25. In addition, the operating power of the equipment in Scenario 4 is slightly higher than that in Scenario 2 due to the risk quantification method. For both scenarios, the operation of electrolytic water, methanation, and carbon capture is relatively independent, and the operation plan can be flexibly arranged according to the WPP output and CHP unit output information in the VPP, to effectively improve the operational efficiency of the carbon recovery module. To reduce the frequent use of carbon capture equipment, the VPP will choose to centrally capture a certain amount of CO<sub>2</sub> in periods 1–4 according to the carbon recycling capacity of GPPCC and P2G. However, most of the H<sub>2</sub> generated by electrolytic water is produced and used immediately. When there is more renewable







**FIGURE 8**  
Devices' operating power and gas volume in case 2.



**FIGURE 9**  
Devices' operating power and gas volume in case 4.

energy surplus electricity, storing excess H<sub>2</sub> in the hydrogen storage unit, hence the time shift of renewable energy power is achieved. In addition, the periods of CH<sub>4</sub> generation and CO<sub>2</sub> storage under the two scenarios are roughly the same. However, H<sub>2</sub> is mainly stored before time 14 in Scenario 2 and after time 15 in Scenario 4. After fully measuring the risk of VPP real-time operation, so as to take advantage of the renewable energy power available in Scenario 4 for periods 15–16 and 23–24, it is decided to increase the power of electrolytic hydrogen production in periods 15–16, and store the surplus H<sub>2</sub> in the hydrogen storage device, during the period 23–24, and H<sub>2</sub> is intensively consumed at a high operating power for

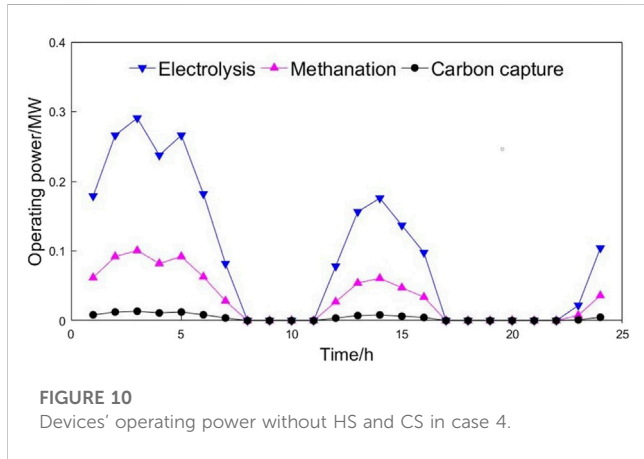
methanation to produce CH<sub>4</sub>. Table 3 shows the dispatch results before and after adding the gas storage device for Scenario 4. Figure 10 shows the operating power of each equipment in Scenario 4 without the carbon storage and hydrogen storage units.

According to Figure 10, the three processes of carbon capture, electrolytic hydrogen production and methanation are coupled, and the operation flexibility is poor. On the basis of Table 3, although the operation cost has only decreased by 8.59 after the addition of the carbon storage and hydrogen storage units, the amount of carbon recycling has increased by 33.48 kg, and the degree of carbon recycling has increased by 10.25%. Description of the above



TABLE 3 Optimal results in different cases.

	No carbon storage device and hydrogen storage device	Add carbon storage unit and hydrogen storage unit
Carbon circulation amount (kg)	326.78	360.26
Operating cost (¥)	9,934.19	9,925.60

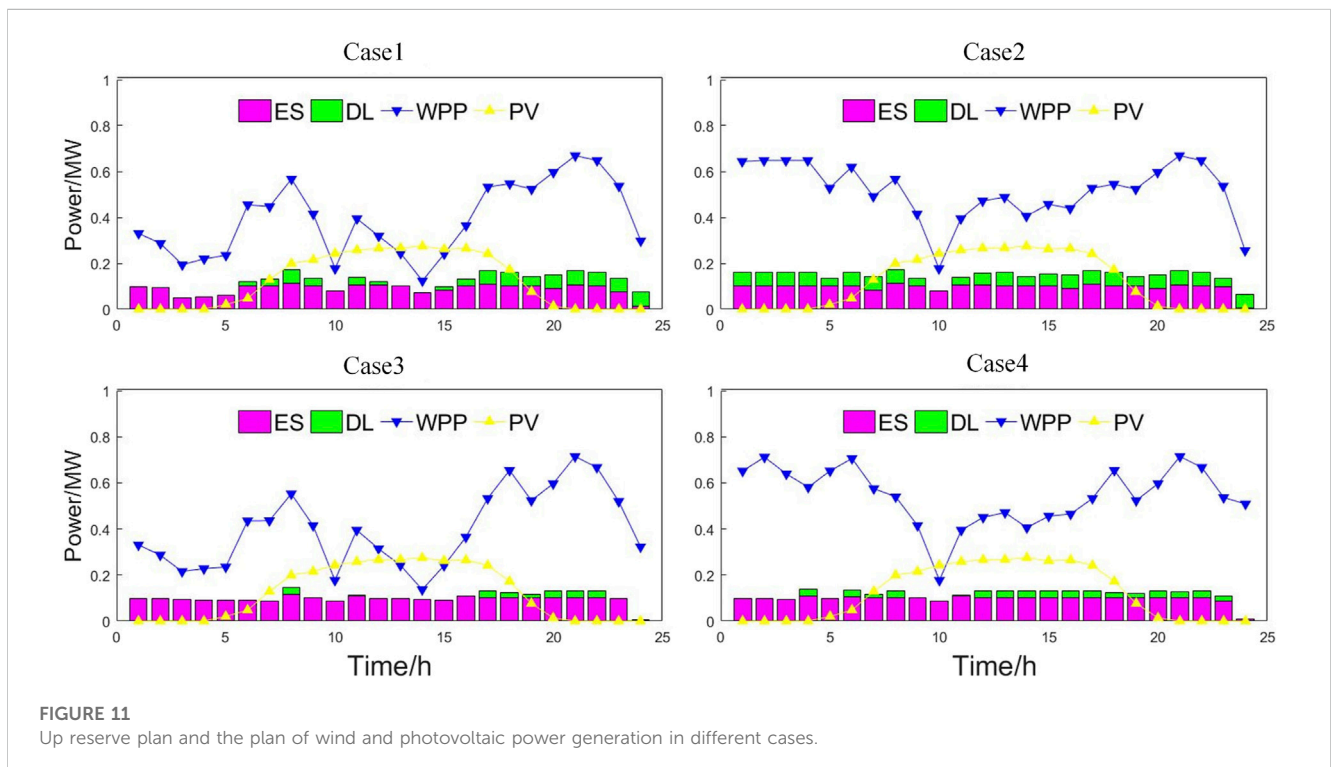


analysis shows that the carbon recycling method can realize the recycling of CO<sub>2</sub>, and the carbon storage and hydrogen storage devices can flexibly control the generation and consumption of CO<sub>2</sub> and H<sub>2</sub>, so as to improving the degree of carbon recycling.

### 5.3.2 Effectiveness analysis of risk quantification methods

Scenario 3 and Scenario 4 use the risk quantification method to measure the risk of VPP operation, thus, the decision maker can formulate a dispatching scheme with both risk and economy. Figure 11 shows the wind power generation plan and upstream backup plan of the VPP under each scenario. It can be seen that Scenario 1 and Scenario 2 adopt the conventional system reserve constraint, and arrange the reserve capacity according to the fixed proportion of the wind power plan output, so that part of the wind power generation cannot be consumed, resulting in a large opportunity cost. Scenario 3 and Scenario 4 adopt the risk quantification method in this paper, which can fully consider the real-time risk situation. Compared with Scenario 1, Scenario 3 arranges more planned output for wind power at the time of 18 and 21, and takes certain risks to obtain greater benefits. Compared with Scenario 2, Scenario 4 arranges more planned output for wind power in time periods 2, 5–7, 18, and 24, and takes certain risks to obtain greater benefits. However, the planned output of wind power will be reduced in time periods 3–4, 8, and 20, and some potential benefits will be given up to avoid the risk of load loss. In addition, compared with Scenario 1–2, Scenario 3–4 can arrange the standby plan according to the risk situation, and the standby output of controllable load is generally low, saving the standby cost for the VPP. The above analysis shows that the risk quantification method in this paper can fully measure the risk situation in real-time dispatching, and more reasonably arrange the wind and solar power generation plan and backup plan, so that the VPP can avoid the risk in real-time dispatching while obtaining more benefits. Figure 12 shows the target values under different confidence levels  $\beta$  in Scenario 4.

According to Figure 12, with the increase of confidence, the attitude of decision makers becomes conservative, which makes the operation cost and carbon emissions gradually increase, and the



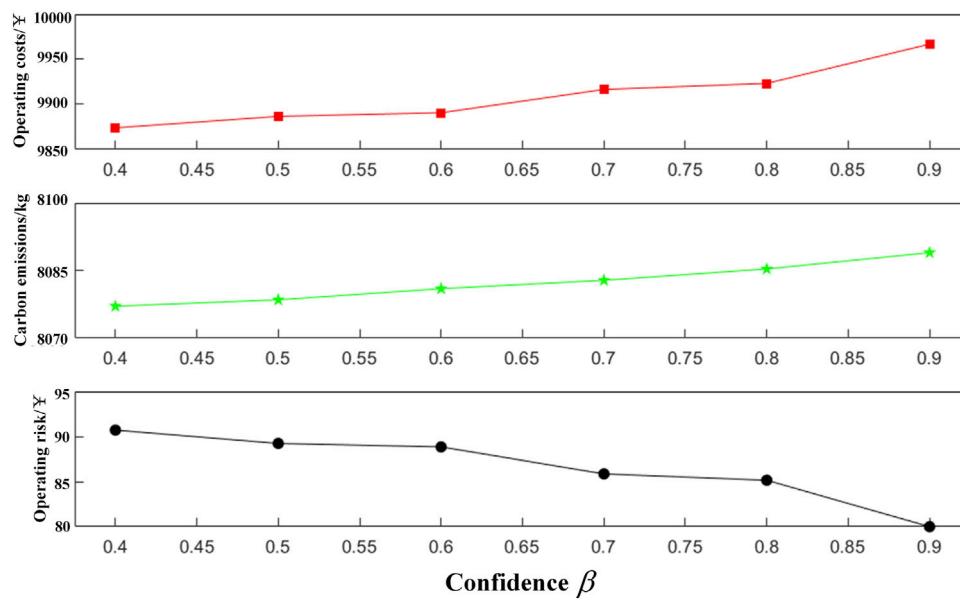


FIGURE 12 Objective values with different in case 4.

operation risk gradually decreases. While  $0.6 \leq \beta \leq 0.7$ , the operation cost and operation risk changed rapidly, and the model was highly sensitive to risks. When  $\beta \leq 0.6$  or  $0.7 \leq \beta \leq 0.8$ , the change of operation cost and operation risk is relatively gentle, and the model is less sensitive to risk. While  $\beta \geq 0.8$ , the operation risk quickly converged to zero, which may be because the risk attitude was very conservative, resulting in the over matching of the dispatching plan and wind power output scenario.

## 6 Conclusion

In this paper, GPPCC and P2G are introduced into CHP-VPP, and also the carbon storage and hydrogen storage units are added. Then, based on the uncertainty scenario generation and CVaR theory, the load loss risk of VPP is quantified in real time, and the VPP multi-objective stochastic scheduling optimization model is constructed with the objectives of min operating cost, min carbon emission, and min operating risk. Finally, the credibility and relevance of the model are verified by designing an example, and the conclusions are as follows.

- (1) The electric boiler can use wind power generation to supply heat for the system, reduce the dependence of VPP on the heat output of CHP, which makes the power output more flexible, and effectively realize “thermoelectric decoupling”.
- (2) The risk quantification method in this paper can fully measure the risk status in real-time dispatching, and more reasonably arrange the wind and solar power generation plan and backup plan, so that the VPP can get more benefits while avoiding the risk in real-time dispatching. The example analysis shows that when the confidence level is (0.6, 0.8), the operating cost and operating risk of the system are in a more appropriate range.
- (3) GPPCC and P2G can effectively realize the recycling of CO<sub>2</sub>, and carbon storage devices and hydrogen storage devices can flexibly

control the generation and consumption of CO<sub>2</sub> and H<sub>2</sub>, which can effectively separate carbon capture, electrolytic hydrogen production, and methanation processes to enhance carbon recycling.

- (4) The carbon storage and hydrogen storage devices can flexibly control the generation and consumption of CO<sub>2</sub> and H<sub>2</sub>, and their combined use can effectively decouple the carbon capture and electricity to gas processes, while achieving the time shift of renewable energy power, so as to improve the degree of carbon recycling. The example analysis shows that the degree of carbon recycling increased by 10.25% by adding two devices at the same time.
- (5) In the future, the influence of new power sources such as concentrating solar power plants on CHP-VPP will be considered.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material; further inquiries can be directed to the corresponding author.

## Author contributions

HIY and XT: conceptualization, methodology, validation, and writing–review and editing. FL and LtL: visualization and writing–original draft. LxL and QW: investigation and writing–original draft.

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## Conflict of interest

Authors HY, XT, FL, and LaL were employed by Economic and Technological Research Institute of State Grid Qinghai Electric Power Company.

The remaining authors declare that the research was conducted in the absence of any commercial or financial

relationships that could be construed as a potential conflict of interest.

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