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# Parameter adaptive model predictive control strategy of NPC three-level virtual synchronous generator

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The Virtual Synchronous Generator (VSG) emulates the characteristics of a synchronous generator to provide inertia and damping for renewable energy systems. In the case of using the NPC three-level converter structure, traditional control methods require complex dual-loop control and internal PI parameter tuning. Furthermore, although fixed-parameter VSG control can provide inertia and damping when a significant power load is switched in an islanded microgrid, it cannot guarantee frequency regulation performance. To address these issues, this paper proposes an NPC three-level VSG parameter adaptive finite control set model predictive control strategy. This method eliminates the need for dual-loop control and PI parameter tuning. By incorporating angular velocity deviation and its rate of change into adaptive adjustment, a Tracking-Differentiator (TD) is designed to calculate the rate of change of angular velocity. This approach avoids frequent fluctuation of adaptive parameters during load power switching and improves the frequency stability of the microgrid. The effectiveness of the proposed strategy is validated through simulation and experimental verification.

### KEYWORDS

virtual synchronous generator (VSG), neutral point clamped (NPC), finite control set model predictive control (FCS-MPC), parameter adaptive, frequency regulation

# **1** Introduction

To address the global energy crisis, a new wave of energy transformation is flourishing, with solar energy and wind energy leading the way. Correspondingly, distributed generation technologies and microgrid technologies have also experienced rapid development (Xu et al., 2017; Li et al., 2019; Pournazarian et al., 2021).

Currently, distributed generation is mainly connected through power electronic devices. However, power electronic devices have characteristics such as fast response, low inertia, and no damping (Jiang et al., 2017; Zhou et al., 2019; Koiwa et al., 2021). When a microgrid experiences sudden changes or disturbances in load power, the system cannot provide a certain frequency and voltage support, which adversely affects system stability. To address this issue, some scholars have studied the Virtual Synchronous Generator (VSG) technology (Beck et al., 2007; Ding et al., 2009; Zhong et al., 2010). The objective of the VSG strategy is to emulate the behavior of traditional synchronous generators, enabling distributed energy

systems to operate in a manner similar to conventional power systems. This emulation is achieved by controlling the inverters present in the distributed energy system, which convert the DC from renewable energy sources into AC and supply it to the demand side. This technology improves the operational stability of weak grids (Li et al., 2016).

The Neutral Point Clamped (NPC) three-level inverter, compared to the two-level inverter, offers several advantages such as higher equivalent switching frequency, multiple voltage vectors, lower voltage stress on individual switches, and higher quality of output power with reduced harmonics. As a result, it has become a research hotspot in the field of power electronics and power transmission technology today (Jung et al., 2019; Jun et al., 2020; Zorig et al., 2022). Common control methods for NPC three-level inverters include pulse width modulation (PWM) (Ye et al., 2015) and space vector modulation (SVM) (Sebaaly et al., 2016). These methods generate the corresponding modulated signal by measuring the voltage and current feedback signal and comparing it with a given reference signal. However, this process typically requires the adoption of Proportional-Integral (PI) control, and the tuning of modulation parameters can be quite complex. The Finite Control Set Model Predictive Control (FCS-MPC) algorithm, on the other hand, offers advantages such as robustness, simplicity of control, no need for PWM modulation, and the ability to perform multi-objective control. Due to these advantages, it has been applied in the field of nonlinear control (Aguirre et al., 2020; Guo et al., 2022; Jin et al., 2022; Yan et al., 2022) applied model predictive control to a threelevel NPC grid-connected inverter and proposed a virtual vectorbased control strategy for the NPC inverter without considering the NPV weighting factor. This strategy simplifies the vector selection process and achieves excellent control performance (Jin et al., 2022). Proposed a VSG grid-connected model predictive control method by integrating MPC with VSG. This method provides both inertia and damping characteristics to the grid while also offering support for both active and reactive power. However, all the mentioned references focus on control strategies under grid-connected conditions. In comparison to interconnected power systems, islanded systems have poor static and dynamic stability due to limited frequency control means. In regions with severe active power deficits, frequency collapse can occur, posing a significant threat to the safe operation of the system. The research on model predictive control for islanded load power switching in VSG models is currently not extensively explored.

The virtual inertia and damping are core control parameters of VSG, and adjusting these parameters appropriately can effectively enhance the control performance of VSG (Li et al., 2019; Li and Jia, 2019). In islanded microgrids, the frequency is primarily determined by the balance between generation and load. Therefore, it is crucial to optimize the frequency support mechanisms to ensure stable operation despite the variable nature of renewable energy generation. While a fixed-parameter VSG can provide some inertia and damping to the system when the load in an islanded microgrid changes, its frequency regulation capability and control flexibility are limited (Chen et al., 2016). proposed a VSG transient response optimization control method based on parameter adaptive adjustment. It minimizes transient response time, with the limitation of frequency amplitude and change rate being constraints and the system's new equilibrium point being the boundary condition. The fluctuation of VSG frequency and power in the transient process is effectively suppressed (Shi et al., 2021). Proposed an improved adaptive virtual synchronous generator control strategy, which incorporated frequency





FIGURE 2

Frequency response of different load levels connected to the system.



deviation and frequency change rate into VSG virtual parameters. It adaptively adjusts the virtual inertia and damping parameters of the VSG system to improve frequency stability during power transients in the microgrid. However, these adaptive parameter tuning strategies require the implementation of segment functions to constrain virtual inertia and damping coefficients, aiming to reduce parameter fluctuations. This might result in parameter discontinuities and a complex parameter calculation process. Additionally, they do not consider the problem of significant parameter changes resulting from unstable angular velocity during load variations. The introduction of frequently fluctuating parameters into VSG control is not conducive to the stability of the system frequency.

In summary, this paper derives the mathematical model of NPC three-level VSG-MPC based on model predictive control theory, and proposes an improved parameter adaptive VSG model predictive control strategy. In addition, a TD is designed to accurately calculate the rate of change of angular velocity  $d\omega/dt$  by considering in the adaptive parameter adjustment strategy. The main work of this article can be summarized as follows:

- This method adopts a simplified VSG structure, using the output voltage of VSG virtual impedance as the reference value, without using the complex dual loop control and PI parameter debugging in traditional methods. This approach allows the NPC three-level inverter to exhibit characteristics similar to a synchronous generator.
- 2) This article investigates the mechanism of the impact of virtual inertia and damping on the frequency of microgrids when the load power changes. An improved VSG parameter adaptive adjustment strategy has been designed. Introducing angular velocity deviation and angular velocity change rate into adaptive parameter adjustment without using segmented functions. Greatly improved the dynamic characteristics of the system.
- 3) The TD is developed to calculate the rate of change of angular velocity, which can accurately calculate  $d\omega/dt$  and effectively avoid frequent fluctuations of adaptive parameters when the load power changes. The correctness and effectiveness of the proposed strategy were verified through simulation and hardware in the loop experimental platform.

The structure of this paper is organized as follows. Section 2 introduces the model and basic principle of NPC three-level VSG. Section 3 establishes the improved VSG adaptive parameter adjustment strategy model. Section 4 presents the model predictive control strategy for NPC three-level VSG. Simulation and experimental results are provided in Section 5. Finally, the conclusion is stated in Section 6.

# 2 NPC three-level VSG model

Traditional power electronic generation equipment has the advantages of fast dynamic response and high efficiency, but it lacks inertia and damping characteristics similar to synchronous generators. The virtual synchronous generator control technology can make the inverter have similar functions to the traditional generator in mechanism and external characteristics, which is beneficial to maintain the stability of the power system.

# 2.1 NPC three-level VSG topology

The VSG structure of a typical NPC three-level voltage source inverter (VSI) is shown in Figure 1. In Figure 1,  $U_{dc}$  is the DC-side

TABLE 1 Selecting principle of J and D.

Segment	Δω	dω/dt	$\Delta \omega (d\omega/dt)$		$\Delta \omega$	D
1	>0	>0	>0	Î	Deviation 0	Î
0	>0	<0	<0	Ļ		
3	<0	<0	>0	Î	Approach 0	Ļ
(4)	<0	>0	<0	Ļ		

voltage,  $C_1$  and  $C_2$  are the DC-side capacitors, L is the filtering inductor, R is the line resistance, C is the filtering capacitor,  $i_{fabc}$  is the inductor current,  $v_{abc}$  is the capacitor voltage, and  $i_{abc}$  is the load current.

## 2.2 Active power-frequency control

VSG frequency control is achieved through the rotor motion equation and the original machine adjustment equation. Assuming the pole pair number is 1, the mechanical angular velocity is equal to the electrical angular velocity, and the rotor motion equation can be expressed as,

$$\begin{cases} J \frac{d\omega}{dt} = \frac{P_m - P_e}{\omega} - D(\omega - \omega_0) \\ \frac{d\theta}{dt} = \omega \end{cases}$$
(1)

where *J* is the moment of inertia, *D* is the damping coefficient,  $P_m$  is the mechanical power,  $P_e$  is the electromagnetic power,  $\omega$  is the actual angular velocity,  $\omega_0$  is the rated angular velocity, and  $\theta$  is the virtual power angle.

To more accurately simulate the characteristics of a synchronous generator, the original machine adjustment equation is added to the VSG frequency control. The P-f droop characteristic can be obtained through the equation.

$$P_m = P_{\rm ref} + m(\omega_0 - \omega) \tag{2}$$

where  $P_{\text{ref}}$  is the active input reference value, and *m* is the active droop control coefficient.

Based on Eqs 1, 2, the active frequency control of VSG is formed.

## 2.3 The reactive power-voltage control

The VSG given output voltage E can be obtained through the Q-U droop characteristic as

$$E = U_N + n(Q_{\rm ref} - Q) \tag{3}$$

where  $U_N$  is the rated voltage, *n* is the reactive voltage droop coefficient,  $Q_{ref}$  is the reactive input reference value, and *Q* is the VSG output reactive power.

Based on Eq. 3, the reactive voltage control of VSG is formed.

### 2.4 The virtual impedance link

After obtaining the power angle and amplitude from the active and reactive loops of VSG, the three-phase voltage value  $v^*$  can be obtained as

$$v^{*} = E \cdot \begin{bmatrix} \sin \theta \\ \sin (\theta - 2\pi) \\ \sin (\theta + 2\pi) \end{bmatrix}$$
(4)

To approximate the quasi-static characteristics of a synchronous machine (D'Arco et al., 2015), a virtual impedance loop is introduced, which is described as follows.

$$\begin{bmatrix} v_{d\_\text{ref}} \\ v_{q\_\text{ref}} \end{bmatrix} = \begin{bmatrix} v_{d}^{*} \\ 0 \end{bmatrix} - R \begin{bmatrix} i_{d} \\ i_{q} \end{bmatrix} + \omega L \begin{bmatrix} i_{q} \\ -i_{d} \end{bmatrix}$$
(5)

where,  $v_{d_{ref}}$  and  $v_{q_{ref}}$  represent the components of VSG output voltage reference value in the dq coordinate system.

Adding a virtual impedance block can make the output characteristics of the inverter source more similar to that of a traditional synchrotron. This is conducive to power



### FIGURE 4

Schematic drawing of power angle curve and angular velocity curve of VSG. (A) Power Angle characteristic curve; (B)  $d\omega/dt$  and  $\Delta\omega$  characteristic curves when the system is disturbed.





TABLE 2 Th	e parameters	of the	proposed	system.
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Parameter	Symbol	Values	
Dc side voltage	$U_{dc}$	700V	
Dc side capacitance	<i>C</i> <sub>1</sub> , <i>C</i> <sub>2</sub>	$1,200 \times 10^{-6}F$	
Filter inductance	L	$3 \times 10^{-3} H$	
Line resistance	R	$1 \times 10^{-5} \Omega$	
Filter capacitance	С	$20 \times 10^{-6}F$	
References angular velocity	ω <sub>0</sub>	314rad/s	
Active power input References value	$P_{\rm ref}$	10 kW	
Active droop coefficient	т	4774.65	
Ac rated voltage	$U_N$	311V	
Reactive droop coefficient	п	0.02	
Reactive power input References value	Q <sub>ref</sub>	0Var	
Rated virtual inertia	Jo	0.2	
Rated damping factor	$D_0$	5	
Adaptive adjustment coefficient	$k_1$	0.005	
	k2	0.001	
	$k_3$	0.25	
	$k_4$	0.001	
Integral step	Т	0.01	
Velocity factor	r	10,000	
Filter factor	h	0.01	
Sampling period	$T_s$	20 kHz	
Midpoint voltage weight coefficient	λ	0.8	

decoupling, accurate power distribution, suppression of circulating current, and improvement of virtual synchronous machine stability.

# 3 VSG virtual inertia and damping adaptive adjustment strategy

When the islanded microgrid is subject to significant power disturbances, VSG control becomes crucial. Figure 2 shows the frequency response of different load levels connected to the system under fixed parameters.

It can be seen that as the load level increases, the frequency of the system gradually decreases, and the adjustment time for the system frequency to reach the new steady state is slightly increased. This indicates that fixed parameters can provide a certain degree of inertia and damping, but they have limited frequency regulation and control flexibility. Therefore, when designing a VSG controller, adjusting the inertia coefficient J and the damping coefficient D appropriately is very advantageous for frequency regulation.

# 3.1 Performance analysis of virtual inertia coefficient J and damping coefficient D in the system

Figure 3 shows the effects of different virtual inertia and damping on the frequency response of the system under sudden load increase.

From Figure 3A, it can be seen that with the virtual damping coefficient fixed, as the virtual inertia coefficient J increases, the frequency regulation time gradually increases. Combined with Figure 2, the higher the level of surge load, the greater the impact on the system frequency. Therefore, as the load increases, a larger inertia J can provide more adjustment time for the system frequency response.

Figure 3B indicates that with the virtual inertia coefficient fixed, as the virtual damping coefficient D increases, the deviation of system frequency gradually decreases. Excessive frequency deviation is not allowed in the power system. Therefore, the frequency deviation can be reduced by appropriately increasing the damping coefficient when the high power load is connected.

# 3.2 Adaptive control strategy for virtual inertia and damping

To address the issue of poor flexibility in fixed-parameter VSG control, an improved adaptive VSG adaptive parameter adjustment strategy is proposed in this paper. This strategy involves introducing the frequency deviation and frequency rate of change into the virtual parameters of VSG and adaptively adjusting the virtual inertia and damping coefficients of the VSG system to improve the frequency stability of microgrids during power transients. Figure 4 shows the changes in the power-angle characteristic curve, rotor speed change rate  $d\omega/dt$ , and rotor speed deviation  $\Delta \omega$  during system disturbance.

In one oscillation cycle, it can be roughly divided into four stages (i.e., stages ①-④ in Figure 4B). In stage ①, the VSG rotor angular frequency  $\omega$  is greater than the rated angular frequency  $\omega_0$  and  $d\omega/d\omega$ dt>0. At this time,  $\omega$  of the VSG continues to increase, and J needs to be increased to reduce  $\Delta \omega$ , where  $\Delta \omega (d\omega/dt) > 0$ . In stage (2),  $\omega$  is still greater than  $\omega_0$ , but  $d\omega/dt < 0$ . *J* should be reduced to make  $|d\omega/dt|$ larger so that  $\omega$  can approach  $\omega_0$  more quickly. At this time,  $\Delta \omega (d\omega/d\omega)$ dt < 0. In Stage ③, the VSG rotor angular frequency  $\omega$  is less than the rated angular frequency  $\omega_0$ , and  $d\omega/dt < 0$ . At this point,  $|\Delta \omega|$ continues to increase, requiring an increase in J to reduce  $|\Delta \omega|$ , where  $\Delta \omega (d\omega/dt) > 0$ . In Stage ④,  $\omega$  is still less than  $\omega_0$ , but  $d\omega/dt > 0$ 0. J should be decreased to make  $|\Delta \omega|$  smaller, allowing  $\omega$  to approach  $\omega_0$  more quickly. At this stage,  $\Delta \omega (d\omega/dt) < 0$ . Meanwhile, the frequency deviation of the system can be adjusted by controlling the damping coefficient D. As D increases, the frequency deviation of the system decreases. Therefore, when  $\Delta \omega$  changes, the damping coefficient D can be adjusted appropriately. The principles for selecting J and D in different stages are shown in Table 1.

Based on the above analysis, the values of the adaptive moment of inertia *J* and damping coefficient *D* should be related to  $\Delta \omega$  and



 $d\omega/dt$ . According to the selection principles of virtual a moment of inertia and damping coefficient described in Table 1, the proposed parameter adaptive adjustment strategy can be expressed as

$$\begin{cases} J = J_0 e^{k_1 \Delta \omega \frac{d\omega}{dt} + k_2 \left| \frac{d\omega}{dt} \right|} \\ D = D_0 e^{k_3 \left| \Delta \omega \right| + k_4 \left| \frac{d\omega}{dt} \right|} \end{cases}$$
(6)

In the equation,  $J_0$  represents the virtual inertia at the steady state of VSG;  $D_0$  represents the damping coefficient at the steady state of VSG;  $\Delta \omega$  represents the angular velocity deviation;  $d\omega/dt$ represents the angular velocity change rate;  $k_1$  and  $k_2$  represent the virtual inertia adjustment coefficients;  $k_3$  and  $k_4$  represent the damping adjustment coefficients.



Rate of angular velocity change  $d\omega/dt$ , values of adaptive parameters J and D. (A) The discrete method calculates  $d\omega/dt$ ; (B) The proposed method calculates  $d\omega/dt$ ; (C) The discrete method calculates J; (D) The proposed method calculates J; (E) The discrete method calculates D; (F) The proposed method calculates D.

To accurately calculate the angular velocity change rate, this paper designs and uses a TD to obtain  $d\omega/dt$ . This method can accurately estimate the angular velocity change rate, avoiding excessive parameter fluctuations caused by rapid load power switching. This makes the response smoother and thus improves frequency stability. The second-order TD obtains two output signals  $v_1(t)$  and  $v_2(t)$  for the input signal  $v_0(t)$ , where  $v_1(t)$  tracks the input signal  $v_0(t)$ , while  $v_2(t)$  is actually the "generalized differentiation" of  $v_0(t)$  that overcomes noise interference (Han, 2009).

The second-order TD is constructed as follows:

$$\begin{cases} v_1(k+1) = v_1(k) + v_2(k)^*T \\ v_2(k+1) = v_2(k) + u^*T \\ u = fhan(\omega, v_1(k), v_2(k), r, h) \end{cases}$$
(7)

In Eq. 7, 
$$u = fhan(\omega, v_1(k), v_2(k), r, h)$$
 can be expressed as

$$d = r^{*}h, d_{0} = d^{*}h, y = v_{1} - \omega + h^{*}v_{2}$$

$$a_{0} = \sqrt{d^{2} + 8^{*}r^{*}|y|}$$

$$a = \begin{cases} v_{2} + \frac{a_{0} - d}{2} * sign(y), |y| > d_{0} \\ v_{2} + \frac{y}{h}, |y| \le d_{0} \end{cases}$$

$$u = \begin{cases} -r^{*}sign(a), |a| > d \\ -r^{*}\frac{a}{d}, |a| \le d \end{cases}$$
(8)

where *T* is the integration step size, reducing *T* has a significant effect on suppressing noise amplification; *r* is the velocity factor, and the larger *r* is, the faster the tracking speed; *h* is the filtering factor, and increasing *h* can enhance the filtering effect.



FIGURE 9

The frequency response of different levels of loads connected to the system using the proposed method.



Hardware experimental platform for NPC three-level VSG.

Based on the above analysis, Eq. 6 can be expressed as

$$\begin{cases} J = J_0 e^{k_1 \Delta \omega \cdot v_2(t) + k_2 |v_2(t)|} \\ D = D_0 e^{k_3 |\Delta \omega| + k_4 |v_2(t)|} \end{cases}$$
(9)

where  $v_2(t)$  is the extraction of the rate of change of angular velocity calculated using TD. Figure 5 shows the adaptive parameter VSG active power frequency control block diagram designed in this paper.

# 4 NPC three-level VSG-MPC strategy

The traditional control methods for NPC three-level virtual synchronous generators often involve dual-loop control, where an inner loop controls the current and an outer loop regulates the voltage or power. Tuning the PI parameters for optimal performance can be a time-consuming and iterative process, requiring expertise and trial-and-error adjustments.

In contrast, the proposed VSG-MPC strategy eliminates the need for this dual-loop control architecture, resulting in a simplified and more straightforward control. This article derives a simplified mathematical model of NPC three-level VSG-MPC based on the principles of VSI and VSG.

According to Kirchhoff's law, the mathematical model with LC output filter can be expressed in the  $\alpha\beta$  coordinate system as follows

$$\begin{cases} L \frac{dt_{f\alpha\beta}}{dt} = U_{\alpha\beta} - Ri_{f\alpha\beta} - v_{\alpha\beta} \\ C \frac{dv_{\alpha\beta}}{dt} = i_{C\alpha\beta} = i_{f\alpha\beta} - i_{\alpha\beta} \end{cases}$$
(10)

In the equation,  $U_{\alpha\beta}$ ,  $i_{f\alpha\beta}$ ,  $v_{\alpha\beta}$ ,  $i_{\alpha\beta}$  and  $i_{C\alpha\beta}$  respectively represent the inverter side voltage, current, load side voltage, current and current flowing through the filter capacitor in the  $\alpha\beta$  coordinate system.

When the NPC three-level inverter works normally, each bridge arm has three different operating modes, and the switching states of each bridge arm  $S_i$  (i = a, b, c) are defined as

$$S_{i} = \begin{cases} 1, S_{i1}, S_{i2} \text{ are open, } S_{i3}, S_{i4} \text{ are close} \\ 0, S_{i2}, S_{i3} \text{ are open, } S_{i1}, S_{i4} \text{ are close} & i \in \{a, b, c\} \\ -1, S_{i3}, S_{i4} \text{ are open, } S_{i1}, S_{i2} \text{ are close} \end{cases}$$
(11)

The NPC three-level inverter has 27 different switching states, which can generate 27 voltage vectors accordingly.

To predict the filter capacitor voltage, assuming a signal sampling period of  $T_s$ , we use a first-order Euler equation to discretize Eq. 10. After rearranging, we have

$$\begin{cases} i_{f\alpha\beta} (k+1) = \frac{T_s}{L} \left[ U_{\alpha\beta} (k) - Ri_{f\alpha\beta} (k) - v_{\alpha\beta} (k) \right] + i_{f\alpha\beta} (k) \\ v_{\alpha\beta} (k+1) = \frac{T_s}{C} \left[ i_{f\alpha\beta} (k+1) - i_{\alpha\beta} (k) \right] + v_{\alpha\beta} (k) \end{cases}$$
(12)

where  $i_{f\alpha\beta}(k)$ ,  $\nu_{\alpha\beta}(k)$ ,  $i_{\alpha\beta}(k)$ , and  $U_{\alpha\beta}(k)$  represent the converter side current, load side voltage and current, voltage vector at the *k*-th sampling time on the  $\alpha\beta$  axis, respectively.  $i_{f\alpha\beta}(k+1)$  and  $\nu_{\alpha\beta}(k+1)$  respectively represent the predicted value of inductance current and load side voltage in the *k*+1 sampling period under the  $\alpha\beta$  coordinate axis.

Assuming  $C_1 = C_2$ ,  $u_{C1}$  and  $u_{C2}$  are the voltage of the two capacitors on the DC side, and  $i_{C1}$  and  $i_{C2}$  are the currents flowing through the two capacitors on the DC side.  $i_0$  is the midpoint current, and the reference direction is shown in Figure 1.  $i_{C1}$  and  $i_{C2}$  can be expressed as

$$\begin{cases} i_{C1} = C_1 \frac{du_{C1}}{dt} \\ i_{C2} = C_2 \frac{du_{C2}}{dt} \end{cases}$$
(13)

The signal sampling period is defined as  $T_s$ , and the forward Euler method is used to discretize Eq. 13. After sorting out, we derived

$$\begin{cases} u_{C1}(k+1) = \frac{T_s}{C_1} i_{C1}(k) + u_{C1}(k) \\ u_{C2}(k+1) = \frac{T_s}{C_2} i_{C2}(k) + u_{C2}(k) \end{cases}$$
(14)

where  $u_{C1}(k)$  and  $u_{C2}(k)$  represent the voltage values of the two capacitors on the DC side at time k.  $u_{C1}(k+1)$  and  $u_{C2}(k+1)$  respectively represent the predicted values of the DC side



power as load power decreases; (C) Frequency waveform of load power increase; (D) Frequency waveform of load power decrease; (E) Load current waveform with load power increase; (F) Load current waveform with load power decrease.

capacitor voltages at the time of k+1.  $i_{C1}(k)$  and  $i_{C2}(k)$  respectively represent the current values of the two capacitors flowing into the DC side at k time.

According to Kirchhoff's current law, it can be depicted that

$$i_0 = (1 - |S_a|)i_{fa} + (1 - |S_b|)i_{fb} + (1 - |S_c|)i_{fc} = i_{C1} - i_{C2}$$
(15)

According to the assumption that  $C_1 = C_2$ . It can be deduced from Eqs 14, 15 that

$$\Delta u_{C}(k+1) = \frac{T_{s}}{C_{1}}i_{0}(k) + \Delta u_{C}(k)$$
(16)

where  $\Delta u_C(k+1)$  and  $\Delta u_C(k)$  are the voltage difference between the upper and lower capacitors on the DC side at time *k*+1 and time *k*.

Due to the delay between sampling and control, based on the output vector used in the *k*-th cycle, Eqs 12, 16 are further predicted to obtain  $v_{\alpha\beta}(k+2)$  and  $\Delta u_C(k+2)$  to achieve delay compensation. After considering delay compensation, the design cost function *g* is

$$g = \left| v_{\alpha\beta_{\rm ref}} - v_{\alpha\beta} \left( k + 2 \right) \right| + \lambda \left| \Delta u_C \left( k + 2 \right) \right| \tag{17}$$

where  $v_{\alpha\beta_{\rm ref}}$  means  $\alpha\beta$  VSG output reference voltage under the coordinate axis;  $\lambda$  represents the DC side midpoint voltage weight coefficient.

Figure 6 shows the VSG control block diagram based on MPC. After calculating the VSG reference voltage in Eq. 5, calculate the predicted voltage of the filtering capacitor branch according to Eq. 12, and calculate the predicted midpoint voltage through Eq. 16. Finally, calculate the cost function value of the candidate vector through Eq. 17. The vector corresponding to the minimum cost

function is the optimal vector  $V_{opt}$ . After outputting  $V_{opt}$ , VSG closed-loop control can be achieved.

# 5 Simulation and experimental results

## 5.1 Simulation result

In order to verify the effectiveness of the proposed strategy in frequency stability control of microgrid when the DC side midpoint voltage, the dynamic response speed of active power and the load power change, a simulation model was built using MATLAB/ Simulink. The main parameters are shown in Table 2.

Taking the load increase of 10 kW as an example, we compare the control performance of the traditional method with that of the proposed method. The initial load of the system is 10 kW, increase by 10 kW at 0.2 s, and cut off at 0.5 s. The comparison of SVPWM method and MPC method when load changes is shown in Figure 7.

Figure 7A shows the output power of VSG. Under the SVPWM method, it takes approximately 0.016 s for the active power to rise from 10 kW to 20kW, compared to 0.015 s for the MPC method. The load power is restored to 10kW, and the stable value is about 0.031 s by the SVPWM method, and 0.026 s by the MPC method. Figure 7B shows the system frequency. The frequency waveform of MPC method is better than that of SVPWM method at the moment of load power change. Figures 7C, D depict waveforms of load current and load voltage. It can be observed that both methods achieve effective control. As the load power increases from 10 kW to 20 kW, the load current rises from 20A to 40A. Similarly, when the



load power decreases back to 10 kW, the load current reduces from 40A to 20A. The load voltage remains approximately at 311V and accurately tracks the voltage reference value. Figure 7E shows the voltage values of the two capacitors on the DC side. At a load power of 10 kW, the capacitive voltage of the MPC method is about 1.6 V, while that of the SVPWM method is about 4 V. When the load power is switched to 20 kW, the capacitive voltage of the MPC method is about 2.6 V, while that of the SVPWM method is about 6 V. The results indicate that MPC has a faster response speed in power regulation compared to SVPWM, and has smaller DC side voltage fluctuations.

By substituting the values in Table 2 into Eq. 9, the expression of *J* and *D* can be written as

$$\begin{cases} J = 0.2e^{0.005\Delta\omega \cdot v_2(t) + 0.001|v_2(t)|} \\ D = 5e^{0.25|\Delta\omega| + 0.001|v_2(t)|} \end{cases}$$
(18)

Figure 8 shows a comparison of the *J* and *D* values obtained by applying the VSG angular velocity change rate and TD calculated by the discrete differentiator to the adaptive control strategy proposed in this paper when adding different levels of load.

The angular velocity change rate calculated using the method proposed in this article will not experience significant oscillations during sudden changes in load power. Applying this method to the calculation of the adaptive parameters J and D can prevent oscillations in the angular velocity change rate from causing frequent parameter changes. When the load size changes, the adaptive parameter J will



increase or decrease according to the frequency state of the system. When the frequency deviates from the normal value, the parameter D will adaptively adjust to reduce the frequency deviation.

Figure 9 shows the frequency waveform obtained according to the above adaptive adjustment strategy when different levels of load are applied.

Figure 9 shows the frequency response of the system under different load levels when using adaptive parameter J and D control strategies. Comparing Figure 2, it can be observed that as the load level increases, the adjustment time for the system frequency to reach the new steady-state increases and the frequency deviation decreases. In conclusion, the proposed adaptive VSG control strategy can effectively improve the dynamic performance and steady-state performance of the system frequency under sudden load changes.

## 5.2 Experimental result

In order to further verify the effectiveness of the proposed control strategy, a hardware in the loop semi physical experimental platform with NPC three-level VSG parameter adaptive structure was constructed, as shown in Figure 10. The experimental platform is composed of Typhoon602+and PE Expert4, and the control algorithm is executed on the PE Expert4 processor board composed of DSP and FPGA control chips.

Firstly, the performance when testing the actual load power variation is evaluated. Given an initial  $P_{ref}$  of 10 kW and  $Q_{ref}$  of 0 Var, the load power is varied from 10 kW to 20 kW and then back to 10 kW. Figure 11 shows the variation process of VSG output power, frequency inertia, and load current when using the VSG-MPC proposed in this paper. As shown in Figure 11, when the load power changes, the VSG output power  $P_e$  changes from 10 kW to 20 kW; the reactive power Q fluctuates around 0 Var; the frequency decreases from 50 Hz to 49.77 Hz; and the load current increases from 20 A to 40 A. Correspondingly, when the load power changes from 20 kW to 10 kW, the VSG output power also changes from 20 kW to 10 kW; the reactive power fluctuates slightly; the frequency increases from 49.77 Hz to 50 Hz; and the load current decreases from 40 A to 20 A. These experimental results demonstrate that the proposed VSG-MPC strategy can achieve good active-power frequency regulation.

Next, the MPC performance is tested, and Figure 12 shows the control results of the DC-side capacitor voltage and the load voltage during the load power switch.

Figure 12A shows the waveform of the DC-side capacitor voltage during load power variations. When the load is 10 kW, the capacitor voltage fluctuates by approximately 20 V. As the load power increases to 20 kW, the capacitor voltage fluctuates by approximately 40 V. Figure 12B depicts the waveform of the reference voltage and the actual voltage of the load, demonstrating that the actual voltage of the load tracks the voltage reference from the VSG output effectively. From the voltage spectrum plots in Figures 12C, D, it can be observed that when the load power is equal to the specified active power, the total harmonic distortion (THD) is 1.12%. As the load power increases to 20 kW, the magnitude of the fundamental harmonic slightly decreases, resulting in a THD of 3.42%. The experimental results indicate that the proposed VSG-MPC strategy effectively limits the DC-side capacitor voltage fluctuations and controls the load voltage.

Finally, the influence of adaptive parameters J and D on the system frequency is verified. Figure 13 shows the experimental results of the system frequency when different power loads are switched.

The experimental waveform diagrams of frequency response are shown in Figures 13A, B for different power load connections and disconnections, using the fixed-parameter method and the proposed adaptive method, respectively. It can be observed that the designed adaptive adjustment method plays a crucial role in adjusting frequency deviation and time response. Figures 13C, D present the corresponding virtual inertia and damping coefficients during load connection and disconnection. *J* and *D* can be dynamically adjusted based on the real-time system load conditions, providing a more flexible control.

# 6 Conclusion

To enhance the frequency stability of an islanded microgrid and simplify the control structure, this study proposes an improved parameter adaptive model predictive control strategy for NPC three-level VSG.

The proposed strategy eliminates the need for dual-loop control and the complex modulation parameters associated with the PI controller. By calculating the angular velocity change rate using the designed TD, the problem of frequent changes in adaptive parameters during islanded load power switching is effectively addressed. Incorporating the angular velocity deviation and the aforementioned rate of change of angular velocity into virtual inertia and damping allows for adaptive adjustment of their parameters, resulting in improved dynamic characteristics of the system. The simulation and experimental results demonstrate that the proposed control strategy optimizes frequency support in an islanded microgrid and significantly enhances system stability.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

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# Author contributions

XY has done the main theory research work. RW conceived the project and wrote the manuscript. NJ provided good advice and technical guidance for the manuscript; JZ, YC, and YL designed and participated in the experiment. All authors contributed to the article and approved the submitted version.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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