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SPECIALTY SECTION

This article was submitted to Process and Energy Systems Engineering, a section of the journal Frontiers in Energy Research

RECEIVED 14 December 2022 ACCEPTED 01 March 2023 PUBLISHED 16 March 2023

CITATION

Chen W, He H, Liu J, Yang J, Zhang K and Luo D (2023), Photovoltaic power prediction based on sliced bidirectional long short term memory and attention mechanism. *Front. Energy Res.* 11:1123558. doi: 10.3389/fenrg.2023.1123558

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Photovoltaic power prediction based on sliced bidirectional long short term memory and attention mechanism

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Solar photovoltaic power generation has the characteristics of intermittence and randomness, which makes it a challenge to accurately predict solar power generation power, and it is difficult to achieve the desired effect. Therefore, by fully considering the relationship between power generation data and climate factors, a new prediction method is proposed based on sliced bidirectional long short term memory and the attention mechanism. The prediction results show that the presented model has higher accuracy than the common prediction models multi-layer perceptron, convolution neural network, long short term memory and bidirectional long short term memory. The presented sliced bidirectional cyclic network has high prediction accuracy by low root mean square error and mean absolute error of 1.999 and 1.159 respectively. The time cost is only 24.32% of that of long short term memory network and 13.76% of that of bidirectional long short term memory.

KEYWORDS

photovoltaic power generation system, power prediction, sliced recurrent network, bidirectional long short term memory, attention mechanism

1 Introduction

With the progress of the society and the development of the times, human beings rely heavily on new renewable energy. In the 21st century, photovoltaic power generation technology has become the fastest developing new energy technology (Bhang et al., 2019). Therefore, solar energy plays an important role in the development of new energy (Manokar et al., 2018). As a new renewable energy power generation method, solar photovoltaic power generation has the advantages of safety, risk-free, environmental protection and no pollution (Sadamoto et al., 2015). However, photovoltaic power generation is susceptible to the spatiotemporal factors such as weather, season and climate (Hu et al., 2021) (Cao et al., 2022), which makes the power generation of photovoltaic power stations unstable. When the grid is connected, its volatility may cause periodic impact on the power grid and lead to the instability of the power grid. Therefore, it is necessary to formulate adjustment and dispatching plan in advance, coordinate multi-energy sources (Zhang et al., 2022) (Sanjari et al., 2020) and reduce equipment standby before the prediction of the generation power, so as to enhance the security and stability of power grid (Han et al., 2022). In order to make full use of solar energy and reduce the impact of photovoltaic power

stations when the grid is connected, it is necessary to accurately predict the photovoltaic power generation (Si et al., 2020; Jia et al., 2021).

In recent years, people have put forward many methods for photovoltaic power generation prediction. There are common prediction methods based on mathematical methods such as grey theory, time series analysis (Yan et al., 2021), machine learning methods such as support vector regression and BP neural network (Feng et al., 2015). In addition, environmental information like the weather forecast, the satellite image (Wang et al., 2020) and cloud distribution (Fu et al., 2021) are used to support photovoltaic output prediction. The prediction method based on environmental information can achieve high prediction accuracy (Manokar, 2020; Sasikumar and calorimetry, 2020), but these methods needs the using of satellite cloud map and large climate database which will increase the cost of the prediction (Chai et al., 2019), multi-layer perceptron (MLP) (Zhang, 2020), convolution neural network (CNN) and long short term memory (LSTM) belongs to the deep learning networks. The CNN network mentioned in document (Kim et al., 2019) needs various auxiliary processing for the photovoltaic prediction, and the prediction effect is not very good. Recurrent neural network (RNN) is suitable for dealing with time series sensitive problems (Lecun et al., 1995). Empirical mode decomposition method in literature (Geddes et al., 2020) and wavelet analysis algorithm mentioned in literature (Lee et al., 2005) decompose the original data into sub data to achieve the prediction. But these methods are relatively complex, which may cause feature loss or reconstruction difficulties in the process of data reorganization. The LSTM network proposed in literature (Xin, 2020) is a typical deep learning model. The prediction accuracy of LSTM model in literature (Huang et al., 2020) is relatively good, these methods can meet the requirements of photovoltaic power generation prediction to a certain extent, but the prediction accuracy still needs to improve. Therefore, based on LSTM network, a prediction method combined sliced bidirectional LSTM with the attention mechanism (SBiLSTM + Attention) is proposed. Testing results indicate the presented Sliced BiLSTM greatly improves the training speed, and the application of the attention mechanism effectively improve the prediction accuracy.

2 Photovoltaic power prediction based on BiLSTM-Attention method

2.1 Influence factors of photovoltaic power generation

Photovoltaic power generation is easily affected by many factors, these factors also affect each other. The most factors like light intensity, ambient temperature, season and wind speed are the most important factors that affect the prediction. The generation power of photovoltaic silicon plate per unit area is determined by Formula 1.

$$P_s = \eta SI \left(1 - 0.005 \left(t_0 + 25 \right) \right) \tag{1}$$

In Formula 1, η is the conversion efficiency; $S(m^2)$ is the array area; I(A) is the solar irradiation intensity; t_0 (°C) is the ambient temperature. For the same group of units, the historical data reflect the impact factors such as converter conversion efficiency and the tilt angle, thus, the problems caused by the time series of the PV



units and the randomness caused by the installation location are solved by using historical data. The method presented in this paper predicts the power generation for moments in the future by using the historical data of generator units and weather factors.

2.2 Attention mechanism

Attention mechanism is a mechanism of distributing weight probability, which can selectively obtain useful information and remove redundant information. The attention mechanism applied in this paper can optimize the model and improve in the training. It effectively solves the problem that the prediction model can not learn a reasonable vector representation due to the too long-time series of the input. The structure of attention mechanism adopted is shown in Figure 1,

In Figure 1, a_t represents the weight value of the attention mechanism on the output of the hidden layer of the neural network. The calculation formula is shown in Formula 2,

$$a_t = \sum_{i=1}^n \beta_{t,i} \alpha_i \tag{2}$$

The attention score indicates the similarity between the state of the decoder at the previous time and the output of the encoder at present moment. The calculation formula is as follows,

$$\alpha_{t,i} = F(y_i, s_{t-1}) \tag{3}$$

In Formula 3, $\alpha_{t,i}$ indicates the attention score of the decoder at moment *t* related to the output of the encoder at moment *i*, y_i represents the output of the encoder at moment *i*, s_{i-1} represents the



The structure diagram of Bidirectional long-term and short-term memory network.





output state of the decoder at moment t - 1, and $F(\cdot)$ represents the transformation function.

The probability distribution equation obtained by softmax conversion of attention score is as follows,

$$\beta_t = \operatorname{softmax}(\alpha_t) \tag{4}$$

In Formula 4, α_t represents the attention component of the decoder related to the encoder at time, and β_t is the probability distribution of α_t .

The next step is to combine the input of the decoder at the current time with the attention vector to form a new input for decoding. The calculation formula is as follows,

$$s_t = f\left([c_{t,a_t}], s_{t-1}\right) \tag{5}$$

In Formula 5, c_t represents the input of the decoder at moment t, and $f(\cdot)$ is the transformation function.

The advantage of application of the attention mechanism for the prediction is that the global attention sequence modeling breaks through the limitation of time distance. Therefore, the attention mechanism can be used together with cyclic neural network. First, the attention weight was initialized, historical generating power and total irradiance was set to 30% and 20% respectively, and other influencing factors are set to 10%. Then they will be adaptively adjusted through the training.

2.3 Sliced BiLSTM with attention mechanism

The essence of BiLSTM network is to add a hidden layer on the basis of LSTM network. The structure is shown in Figure 2. There

are two hidden layers, one in the direction from the front to the back and the other is from the back to the front. There is only one output layer. Both hidden layers point to this output layer. Finally, the data of the two hidden layers are integrated. There is no information interaction between the two hidden layers.

In a normal recurrent neural network, each state depends on the input of the previous state, which makes a lot of time costs on training. In order to decrease the time spending, this paper proposes a new sliced recurrent network structure, as shown in Figure 3,

SBiLSTM + Attention network can divide the input sequence into several small sub-sequences by the same length. The cyclic units on each sub-sequence work at the same time. Slicing a long input sequence into small sequences can greatly reduce the training time. Each sub-sequence is divided into N equal length sequences, and then such divisions are operated K times until the minimum subsequence length is appropriate. The k+1 layer network can be obtained through the k times of division. The output of each sub-sequence is merged into a new sequence as the input of the next layer.

$$h_t^1 = BiL\vec{S}TM^0\left(mess^0_{(t-l_0+1)\sim t}\right) \tag{6}$$

$$h_t^{p+1} = BiL\vec{S}TM^p \left(h_{t-l_p}^p \sim h_t^p\right) \tag{7}$$

In Formula 6, 7, $BiL\bar{S}TM^p$ represents the circulation unit of the p layer, mess represents the minimum sub-sequence of layer 0, h_t^p represents t hidden states on layer p, l_p is the minimum sequence length of layer P. The status of layer K is as follows,

$$F = BiL\vec{S}TM^p \left(h_{t-l_k}^p \sim h_t^p\right) \tag{8}$$

TABLE I Date set information	TABLE	1	Date	set	information.
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Month	Number of training samples	Number of test samples	Sampling time
Jan	2476	500	1/1/0:00-1/31/23:45
Apr	2380	500	4/1/0:00-4/30/23:45
Jul	2476	500	7/1/0:00-7/31/23:45
Oct	2476	500	10/1/0:00-10/31/23:45



Taking 2048 input sequences as an example, the sequences are divided into eight sub-sequences twice in total by the presented sliced recurrent network (shown as Figure 3), and the minimum sequence length is 32. Compared with the standard RNN structure, SBiLSTM network can largely reduce the training time. For a network with k+1 layers, the training time formula is as follows,

$$t_{SBiLSTM} = \left(nk + \frac{T}{n^k}\right)r\tag{9}$$

In Formula 9, *r* represents the time spent in each cycle unit, *T* represents the length of the input sequence, *n* represents the number of divisions, and K represents the number of divisions. The standard RNN network training time is as follows (10),

$$t_{RNN} = Tr \tag{10}$$

The superiority on speed of SBiLSTM network over RNN network can be expressed by Formula 11,

$$\alpha = \frac{t_{SBiLSTM}}{t_{RNN}} = \frac{1}{n^k} + \frac{nk}{T}$$
(11)

3 Model structure and training process

In Figure 4, the model structure is divided into three parts. Part A normalizes the PV Plant data collection and divides it into the training set and the testing set. Part B makes use of the attention mechanism, which can reasonably assign the weights of the training set and the test set, adaptively arrange bigger weights to the important influence factors and suppress the weight of unimportant factors. At the same time, the weight matrix W is updated by iteration. Through the automatic adjusting, the information significantly related to the prediction output is allocated big weight and the accuracy of the prediction is improved. Part C is a sliced bidirectional recurrent neural network. In the figure, B represents BiLSTM. The network construction also includes setting the number of hidden layers, training times, adjusting the learning rate, setting the number of divisions N and division times K of the input sequence. The predicted generation power is the output after the training.

The inputs of the prediction model are climate data and historical power generation data, including historical power data, total irradiance, normal direct irradiance, horizontal scattering irradiance, air temperature, air pressure and relative humidity. Similarly, according to the empirical formula and experimental experience, the number of hidden layer nodes of the prediction model is set to 100, the number of iterations is set to 100, and the input sequence is divided twice, each time divide into eight subsequences, with a minimum sequence length of 32. An ultra short term power prediction of 15 min after the current moment is obtained by using the historical data 6 h before the prediction. The main processes include data preprocessing, training model and model analysis. The specific steps as follows.

- (1) 96 historical photovoltaic data and six historical weather data are used as the input sequence.
- (2) The experimental data were normalized.
- (3) The prediction model is established. Initialize the network parameters. Using adam optimization algorithm to adjust the learning rate and the number of iterations.

Model	Error indicator	Jan	Apr	Jul	Oct	Time s)
SBILSTM-Attention	RMSE	2.439	2.487	1.999	1.192	138.28
	MAE	1.150	1.304	1.159	0.744	
BILSTM	RMSE	2.498	2.828	2.106	1.443	1004.97
	MAE	1.277	1.631	1.234	1.155	
LSTM-Attention	RMSE	2.641	4.207	2.342	2.007	686.02
	MAE	1.264	2.463	1.581	1.349	
LSTM	RMSE	2.508	3.142	2.227	1.797	568.53
	MAE	1.523	1.736	1.177	1.251	
CNN	RMSE	2.986	3.746	3.542	2.383	2108.64
	MAE	1.955	2.838	2.502	1.703	
MLP	RMSE	3.748	3.972	4.006	3.257	257.83
	MAE	2.745	2.849	2.386	2.157	

TABLE 2 Summary of error evaluation indicators.

- (4) To meet the dimensional requirement of SBiLSTM attention network for input data, the input data is cut.
- (5) Selecting 1 month's historical data as the sample data set, January, July and October include 2976 data sets, and April includes 2880 data sets. In the sample data-set, 500 data are selected as the test set and the rest as the training set.
- (6) Compare and analyze the results predicted by the presented model with other models.

4 Examples and analysis

4.1 Data acquisition and processing

The data set used in this paper is provided by the National Institute of Standards and Technology (NIST). The data sampling time is shown in Table 1. The sampling interval is 15 min, and there are 96 data points every day. The required meteorological data are provided by various equipment in the photovoltaic system. The historical data group includes weather data such as power generation data, air temperature, air pressure, relative humidity, total radiance, normal direct irradiance and horizontal scattering irradiance.

The data selected in the actual prediction includes historical power generation data and meteorological data. Their units are different and the magnitude is quite different. Because of the characteristics of neurons, the activation function mostly limits the output to [0,1], and the power generation is far greater than this range. In order to reduce the influence of neuron saturation caused by the difference of number size between them, the data is normalized, and the input and the target are limited between [0,1]. The normalization formula is shown in Formula 12,

$$P_n = \frac{\rho_n - \rho_{\min}}{\rho_{\max} - \rho_{\min}} \tag{12}$$

In Formula 12, ρ_n is the original data; ρ_{\min} and ρ_{\max} are the minimum and maximum values in the data; P_n is the normalized data.

4.2 Evaluation parameters of prediction model

In this paper, the root mean square error (RMSE) is adopted to evaluate the effect of the model, and the formula is shown in (13).

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} \left(p_{f}^{i} - p_{t}^{i} \right)}{n}}$$
(13)

In (13), *n* is the total amount of data; p_f^i is the predicted value; p_t^i is the actual value.

At the same time, the error is also calculated by mean absolute error (MAE) through training, shown in Formula 14. The gradient of error is tracked through the training and testing till it reaches the setting value.

$$MAE = \frac{1}{n} = \sum_{i=1}^{n} \left| \left(p_{f}^{i} - p_{t}^{i} \right) \right|$$
(14)

4.3 Analysis of prediction results

Figure 5A shows the comparison of the training loss between the training set and the test set in July. It is obvious from the view of the figure that the two curves are very close when the training times achieve 100, and the training loss of the test set is very low (In Figure 5A, the RMSE value of this model is 1.999 and the MAE value is 1.159.), which indicates that the parameters obtained from the training are very suitable for predicting. Figure 5B is the 15-mintues prediction results, it shows that the prediction curve fits the actual power output generation curve well.



Table 2 lists the comparison of errors predicted by different network models in different months and the total training time.

The prediction effect can be seen intuitively from the table. For ultra short-term prediction, the SBiLSTM attention network model has the smallest prediction error value, followed by the BiLSTM neural network model. The prediction result of the basic CNN network model and MLP network model is very general. The prediction result of the LSTM model with only attention mechanism is far worse than that of the LSTM model with attention mechanism and Bi-direction, which shows that the Bidirection benefits in optimizing the algorithm and improving the accuracy of the prediction model. The prediction effects of six network models are also compared. The performance of the SBiLSTM attention model proposed in this paper is the best, and the training time of the SBiLSTM attention network model is 13.76% of that of the BiLSTM network model, which shows the effectiveness of the slicing.

TABLE 3 Comparison diagram of 5-day error model.

Date	Sunlight	Generated output	RMSE	MAE
26	65	1152.06	0.516	0.403
27	76	1293.92	0.592	0.348
28	96	1903.63	0.583	0.326
29	100	1945.43	0.555	0.352
30	122	2186.02	1.322	1.205

Figure 6 lists the final power predictions of different network models in 4 months (January, April, July and October). It can be clearly seen from the figure that the photovoltaic power generation power prediction curve has a certain periodicity, because the light intensity at night is zero, the output of the photovoltaic array is zero, and in the daytime, the power generation of the photovoltaic array will increase with the increase of the light intensity. Among the six models, SBILSTM Attention network model has the best prediction effect. In addition, the error value of different months fluctuates greatly, and the prediction error of all models in October is the smallest. This is because the sample data collected in different months are different, and the weather conditions are also different, resulting in a large fluctuation of the error value predicted in different months.

Comparing the power prediction comparison of different months by Table 2, the error of July and October is smaller than that of January and April, and their predicted waveform shapes have little difference. However, the wave forms of January and April fluctuate greatly, which indicates that the light intensity of the forecast day in January and April changes greatly. The change of light intensity in July and October is relatively small, thus the daily generating power is relatively stable. The prediction errors based on SBiLSTM attention network model from April 26 to April 30 are listed below. See Table 3 below.

On the 28th and 29th, it was cloudy. On the 26th and 27th, the light intensity was weak for light rain weather, and the change of light intensity was small. On the 30th, it was cloudy, and the light intensity was relatively large. However, at noon, affected by clouds and strong winds, the change of light intensity was relatively large. It can be clearly seen from Table 3 that the prediction error on the 30th day is obviously large, which is due to the relatively large change of the sunlight intensity, and the change in sunlight intensity from the 26th to the 29th day is small. Therefore, the SBiLSTM attention network model is very suitable for predicting the weather with stable climate and small change in light intensity throughout the day. However, even if the weather conditions fluctuate greatly, the prediction error is relatively small.

5 Conclusion

The SBiLSTM-Attention network model presented in this paper applies the slicing idea to the photovoltaic power prediction. Slicing the cyclic neural network shortens the training time and improves the prediction efficiency. The prediction model considers multiple meteorological factors, fully considers and optimizes the over fitting problem brought by neural network, and uses Adam optimization algorithm to adjust the learning rate and iteration times to obtain the best prediction model. The application of attention mechanism and bidirectional mechanism into LSTM can fully adaptive assign weight to the impact factors which improves the prediction accuracy, reduces the dimension of data and optimize the algorithm. Testing results show that the prediction accuracy is high, and the RMSE and MAE is 1.999 and 1.159 respectively, the training time is 24.32% of that of LSTM network and 13.76% of that of BILSTM network. It is not affected by weather fluctuations, even in severe weather and climate fluctuations, the prediction error is relatively small, and the accuracy rate is very high in stable weather.

Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

Author contributions

WC and DL contributed to the development and design of the model. JL implemented the programming of the proposed model algorithm. JY collected experimental data. KZ designed the experiment to prove the superiority of the proposed method. All

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authors wrote parts of the manuscript. All authors have read and agreed to the submission of the manuscript.

Funding

The research is supported by the Science and Technology Project of State Grid Shanxi Electric Power Co., LTD., China (No. SGSXJC00YCJS2100679).

Conflict of interest

WG, JL, JY, and KZ were employed by the company State Grid Jincheng Power Supply Company.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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