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# Design and optimization of lithium-ion battery protector with auxetic honeycomb for in-plane impact using machine learning method

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The lithium-ion battery is becoming a very important energy source for vehicles designated as electric vehicles. This relatively new energy source is much more efficient and cleaner than conventional fossil fuel. However, lithium-ion batteries have a high risk of fire during a crash, where the large deformation on the battery during the crash may cause thermal runaway. This research explores that idea by studying the design and optimization of sandwich-based auxetic honeycomb structures to protect the pouch battery cells for the battery pack system of electric vehicles undergoing axial impact load using machine learning methods. The optimization was done using Artificial Neural Network (ANN), and Non-Dominated Sorting Genetic Algorithm Type II (NSGA-II) combined with Technique for Order of Preference by Similarity to Ideal Solution (TOPSIS). Artificial Neural Network predicted the sandwich structure's specific energy absorption (SEA) and the maximum battery stress during deformation. NSGA-II combined with TOPSIS optimized the design using both of the predictors. Both creations of the training data and validation were done using the non-linear finite element method. The optimized design has a geometric shape of Double-U, a length of 6 mm, a width of 4.2 mm, cross section's thickness of 0.6 mm, and consists of 1 layer. The optimum design has a specific energy absorption of 47,997.84 J and can maintain the battery's von Mises stress to a maximum of 43.16 MPa, well below the designated battery's von Mises stress limit of 67.97 MPa.

## KEYWORDS

auxetic honeycomb, battery protection, crashworthiness, artificial neural network, NSGA-II

## 1 Introduction

Lithium-ion batteries play a crucial part in the modern world, frequently used as power components in consumer electronics, electric vehicles (EV), and battery-based energy storage systems (BESS). It continues to develop rapidly in various aspects, such as energy density, weight, and manufacturing processes. It is also being used in the transportation industry as an effort to reduce its carbon footprint on the environment (Battery University, 2018) (Hertzke, et al., 2018) (Li, et al., 2022).

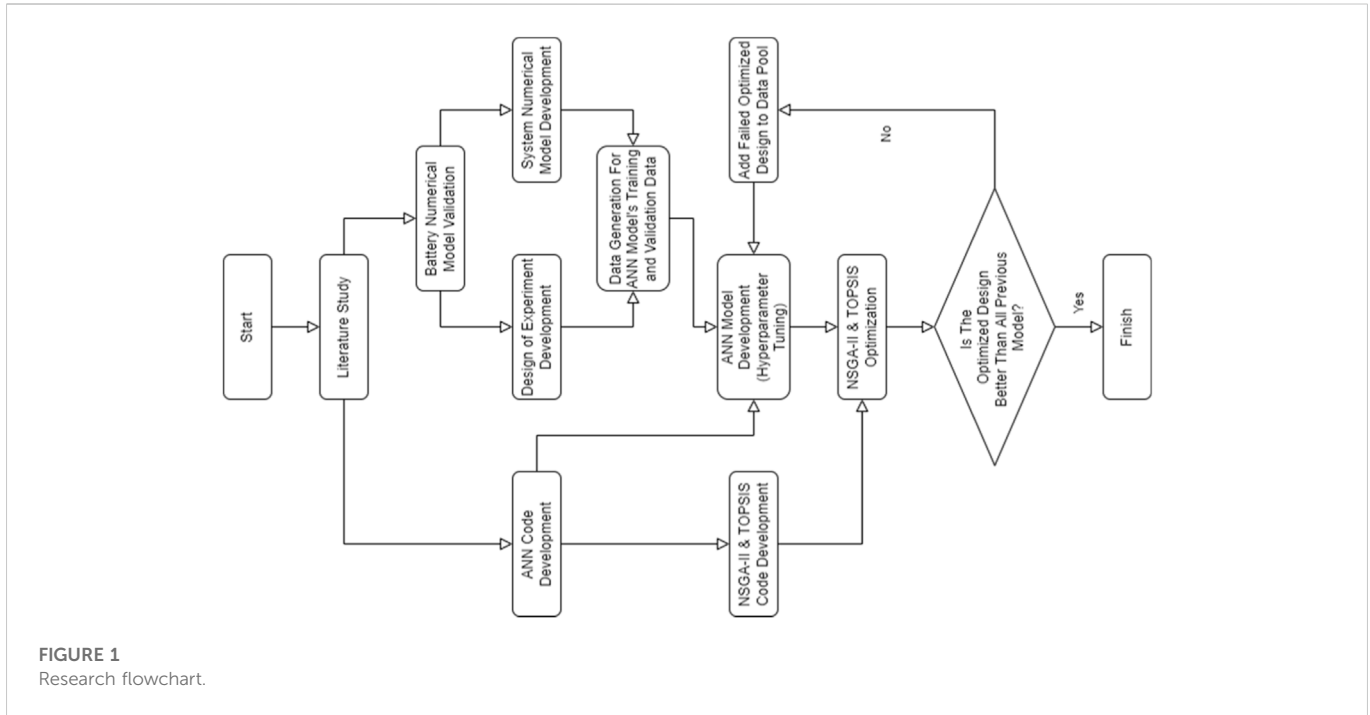


FIGURE 1 Research flowchart.

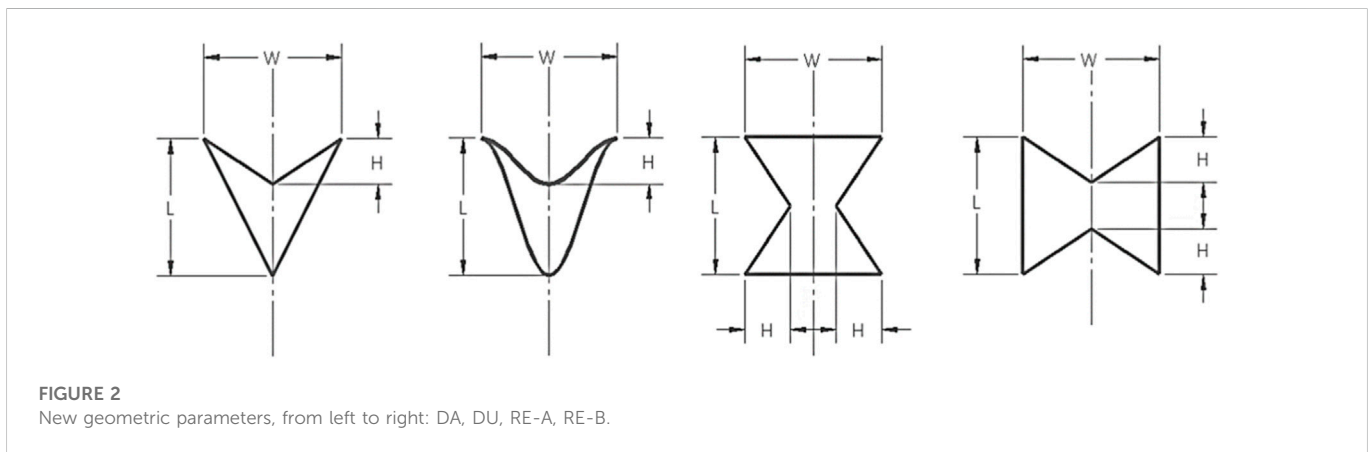


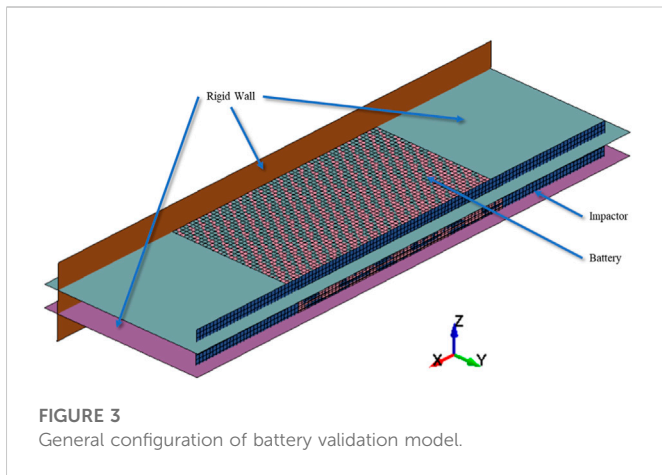
FIGURE 2 New geometric parameters, from left to right: DA, DU, RE-A, RE-B.

This new application area presents new safety risks and can lead to accidents due to the variety of loads and conditions to which lithium-ion batteries are exposed during the operation of a vehicle or mobile BESS. Burning is the most common problem for Lithium-Ion batteries in an accident involving car and airplane batteries. For example, Boeing 787-8 from Japan Airlines at Logan International Airport in January 2013, Boeing 787 from All Nippon Airways in January 2013, and Boeing 787 from November 2017 (Sun, et al., 2020) (Drew & Mouawad, 2013) (Drew & Mouawad, 2013).

Post-crash fires make up a large portion of the fires. Thermal runaway in batteries causes post-crash fire (short circuits between various components of the battery) (Battery Power, 2019). A short circuit is created by physical contact between battery components due to battery deformation during an accident (Bisschop, et al., 2019). Lithium-ion battery fires can even pose a safety risk to emergency responders, as they can reignite even after the initial fire has been

extinguished (Pietsch, 2021). According to Everyday Safety for Electric Vehicles (EVERSAFE) project, the longitudinal scenario especially rear-end collisions pose the highest risk due to limited regulatory requirements (Wisch, et al., 2014). This case is classified as in-plane impact loads, where layers of components within the pouch battery buckle under the load. (Kermani, et al., 2021). With the growing interest in lithium-ion batteries as energy storage in the transportation industry, there is an urgent need to find optimal battery protection and safety to reduce fire risk after an accident.

An optimum battery protector is characterized by high energy absorption capacity and high strength to reduce the deformation of the battery during impact. Also, the mass should be as light as possible to keep the vehicle light and use less energy. (Shi, et al., 2019). Various structures, such as a sandwich structure, are considered protection devices for lithium-ion batteries. Sandwich structures are used frequently in aerospace and construction because they are



**FIGURE 3**  
General configuration of battery validation model.

lightweight and have a high energy absorption capacity (Yazdani, et al., 2018) (Campbell, 2006).

Meta-structures, a repeated arrangement of unit cell structures, may be used to fill the core of a sandwich structure (Campbell, 2006). It is lighter than equivalent solid structures and has some natural examples, such as human bones and the honeycomb-like formations seen in beehives. Meta-structure can be further divided according to its form and property, such as auxetic, lattice, and chiral. The auxetic structure is a meta-structure in which each cell structure has a negative Poisson's ratio (NPR). When bent out of plane, the auxetic structure can produce a curved surface primarily distributed by positive Gaussian curvature. It can also increase resistance to shear deformation, improve indentation resistance at concentrated load points, and have high energy absorption and damping while remaining lightweight (Gibson & Ashby, 1997) (Cochrane, et al., n.d.) (Sakai & Ohsaki, 2021).

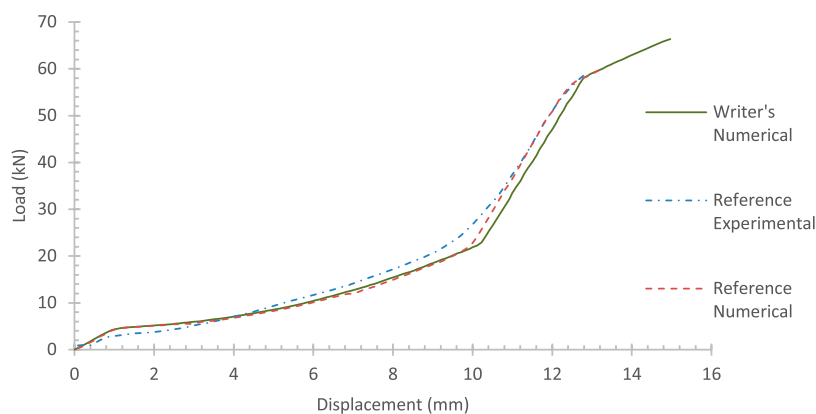
Auxetic property was first observed in artificial polyurethane foam in 1987 (Lakes, 1987). Since then, different auxetic materials, including crystal polymers, composites, metals, and ceramics, have been produced in various ways. Auxetic structure's unique properties in many situations have been discovered through research into auxetic material and its properties. The auxetic effect principally results from some typical microscopic traits, including re-entrant, rotation, and

chirality characteristics, as shown in the micro-deformation modes of NPR materials. These works have created auxetic cellular structures made up of particular microstructures in recent years. These cellular formations are typically collections of model cells, such as chiral, re-entrant hexagonal, double arrowhead, and star-shaped units (Wang, et al., 2018).

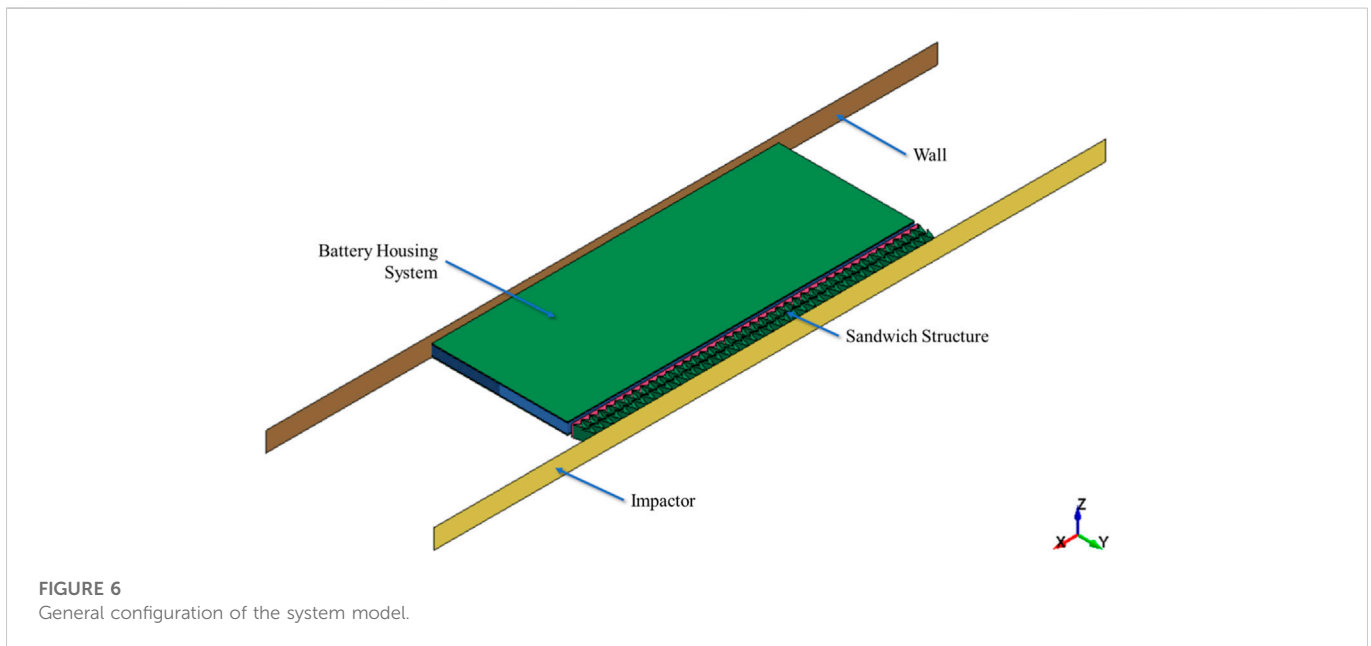
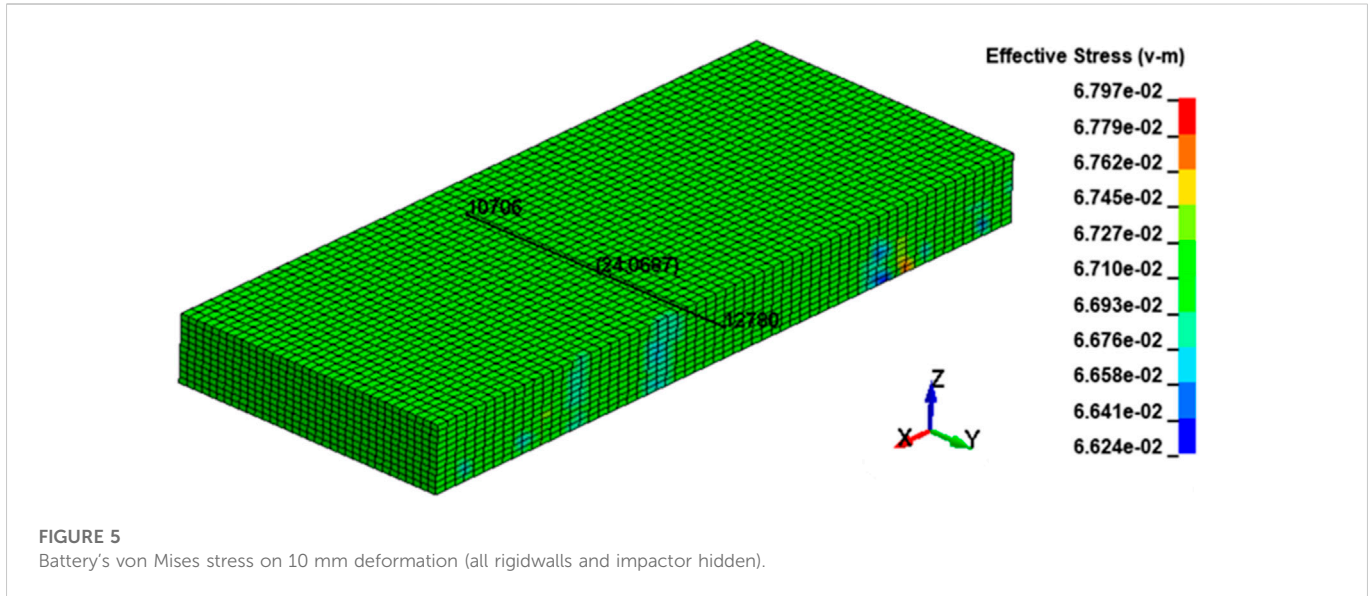
This research studied battery protector from in-plane impact loads with sandwich-based auxetic honeycomb with in-plane impact. Four auxetic shapes were analyzed: double arrow (Gao, et al., 2020) (Ma, et al., 2018), double-U (Guo, et al., 2020), and two types of re-entrant where they differed in two principal directions (Wu, et al., 2020) (Xiao, et al., 2019) (Najafi, et al., 2020) (Wang, et al., 2018). This work uses the non-linear dynamics finite element approach for all numerical simulations (DYNAmore GmbH, 2021).

Machine learning methods are becoming very important in designing and optimizing a new product. Designers working with machine learning methods will have a tool to design faster and cheaper due to the increased speed and efficiency (Philips, 2018). This acceleration is specifically important in the market environment that demands faster product development. Many businesses focus on getting their latest products to consumers as fast as possible to stay competitive. Priority on speed to market can mean the difference in whether the public considers a company to be a leader or a follower (Indeed Editorial Team, 2021).

Previous research has focused on improving the sandwich-based auxetic structure to protect pouch batteries from out-of-plane impacts (Biharta, et al., 2022), where the sandwich core in the battery protection system might use an ideal 3D auxetic structure to reduce battery deformation. Previous research has been done on optimizing the sandwich-based lattice construction for battery protection from out-of-plane impact (Pratama, et al., 2021), where it has been successfully done to create a sandwich-based lattice structure to protect the battery from ground impact load. Previous research has been done on optimizing the tubular auxetic structure for non-module battery packs to reduce impact and heat dissipation (Wang, et al., 2021), where machine learning techniques have been successfully used to develop a tubular auxetic construction to safeguard the battery from impact stress and improve heat dissipation. This study varies by optimizing pouch battery protection based on sandwich-based auxetic honeycomb against in-



**FIGURE 4**  
Comparison of force curve between reference and writer's result.



**TABLE 1** Parameters and levels of the design of experiment.

Parameters	Level			
	1 (or min)	2	3	4 (or max)
Length (L, mm)	6			10
Ratio Width/Length (Wr)	0.7			1.2
Cross Section's Thickness (t, mm)	0.4			0.6
Number of layers (n)	1	2	3	4
Geometry	DA	DU	RE-A	RE-B

plane impact loading using the machine learning method. The pouch battery numerical model was based on Kermani et al. (Kermani, et al., 2021). The four 2D auxetic honeycomb shapes are designed to be

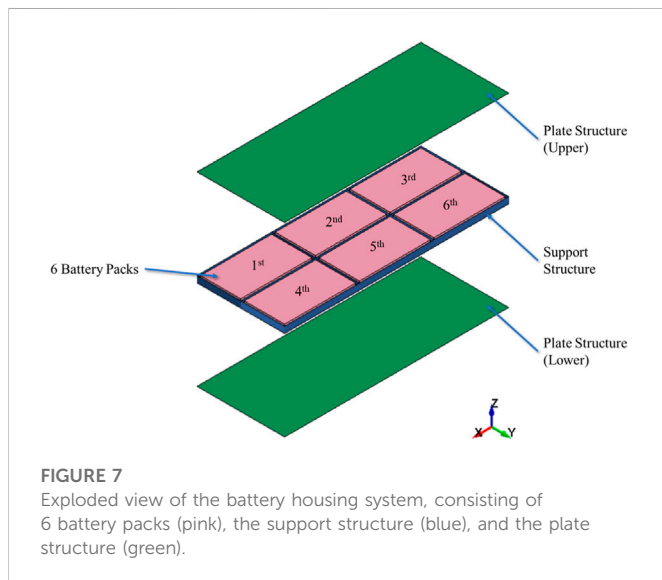
easier to manufacture with conventional manufacturing methods. This change is hoped to make this study's data easier to implement for another crashworthiness application.

## 2 Methodology

The design of experiment was made to be as continuous as possible and distributed by Latin Hypercube Sampling (LHS), where one hundred models were generated. The models created from the experiment design were then analyzed using the non-linear finite element method to produce the training data for optimization. Optimization was done using Artificial Neural Network combined with the NSGA-II method to find a sandwich-based auxetic honeycomb with the highest specific

**TABLE 2** Material property of battery's foam (Sahraei, et al., 2012) (Kermani, et al., 2021).

Variable	Value	Unit
Density ( $\rho_p$ )	$1.7409 \times 10^{-6}$	(Kg/mm <sup>3</sup> )
Poisson's Ratio ( $\nu$ )	0.01	—
Relative Volume (VF)	0.7	—
Elastic Modulus (EAAU, EBBU, ECCU)	0.5	GPa
Shear Modulus (GABU, GBCU, GCAU)	0.2273	GPa
Elastic Modulus (E)	1	GPa
Yield Stress ( $\sigma_y$ )	0.18	GPa



energy absorption (SEA) and results in enough battery deformation or the equivalent to not fail under in-plane impact loading within a limited space. The research follows the flowchart shown in Figure 1.

## 2.1 Design of experiment

The Design of Experiment (DoE) was created using Latin Hypercube Sampling (LHS) methodology. On each one-dimensional projection, LHS is based on stratification and provides good homogeneity (Hou, et al., 2012).

The geometric parameters will highly influence the DoE's parameters, so new ones must be developed to accommodate all geometric shapes and simplify the optimization process. Five parameters were chosen: length (L), width (W), bending's height (H), cross section's thickness (t), and the number of layers (n). The number of layers explains how many auxetic cells were stacked on top of each other in the "L" direction. An illustration of the new geometric parameters is shown in Figure 2.

Five parameters were then chosen for the DoE's parameters, consisting of three continuous and two categorical parameters, shown in Table 1.

The value for each level was selected based on several considerations. The minimum and maximum lengths were chosen to keep the whole system small but still can protect the battery. The minimum and maximum ratio of width/length were chosen to provide enough variety to the shape of individual auxetic honeycomb while not taking too much space. The minimum and maximum cross section's thickness were chosen to keep within the geometrical constraint while stable enough during the numerical simulation. The number of layers was chosen to observe the significance of the number of layers. The bending's height (h) was set at a fixed ratio of 0.3 of the length for all geometry. Each parameter was rounded to two decimal places to ease the data input. The 3D plot of the first three parameters (all continuous parameters) is shown in Supplementary Figure S1.

A total of 103 different models were generated, 100 models using the LHS method, and three models (model no. 101, 102, 103) were generated from failed optimization attempts to fill the three failed numerical analyses from the original design of experiment (model no. 9, 24, and 88).

## 2.2 Battery numerical modeling

Pouch batteries are employed as the test subject. A homogenized macro model by Kermani et al. (Kermani, et al., 2021) serves as the foundation for the numerical model for pouch batteries.

The battery cell was axially squeezed with a flat impactor that moved uniformly downward, much like in the reference (see Figure 3). A rigid wall (brown) was used to restrict the cell in the machine (in-plane) direction. Two rigid walls (turquoise and violet) were utilized to confine the cell in the through-thickness (out-of-plane) direction. The impactor was sized to be twice the length and thickness of the battery to compensate for any deformation during the compression.

The battery's dimension in this study is  $60 \times 34 \times 5.35$  mm with an element size of  $1 \times 1 \times 0.486$  mm. The battery's cell was modeled using constant stress solid element (ELFORM = 1), Flanagan-Belytschko stiffness hourglass form, and anisotropic honeycomb (MAT\_126) material model with a material property that is summarized in Table 2 and Supplementary Figure S2.

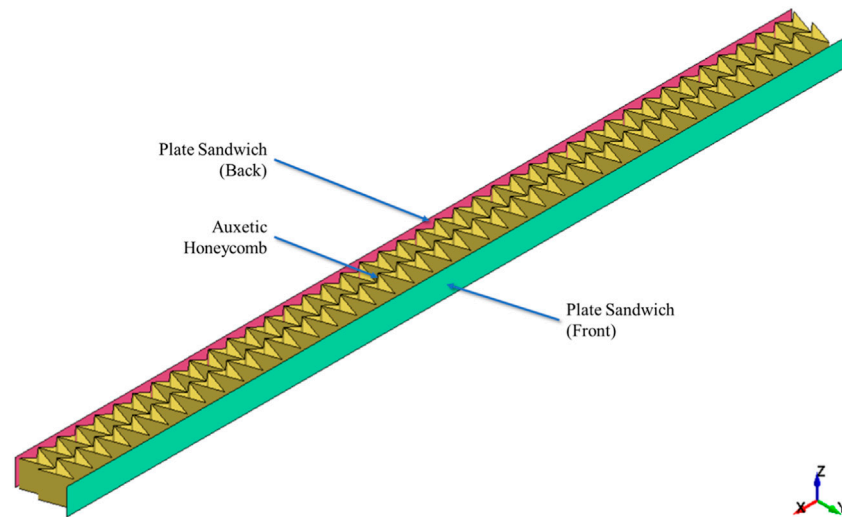
The simulation is carried out in a quasistatic mode, with the impactor's velocity applied as a prescribed motion velocity, with a final velocity of 0.1 m/s in the direction of Y ( $DOF = 2$ ). Every node of impactor elements' movement is restricted in all directions bar the Y direction to ensure that the impactor moves without deflection.

There are three types of contact in battery simulation: Automatic Single Surface applied to the battery, One Way Surface to Surface applied between the battery and the impactor, and Interior applied to the battery.

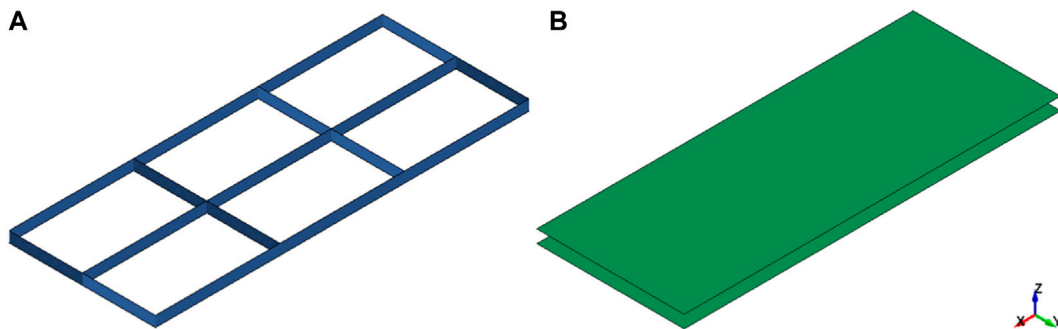
The displacement of the battery was measured by the distance between the node right in the center of the battery. The load was measured by measuring the load between the battery and the rigid wall behind the battery. This was done because, despite the writer's best efforts, there is still small penetration between the battery and the impactor, so no measurement was taken on the impactor.

It was found that the risk of a short circuit is much higher on this pouch battery when it is compressed at about 12 mm (See Figure 4). However, to add more challenges to the optimization process, we have decided to limit the battery deformation to about 10 mm.

Battery's von Mises stress was used to measure exactly when the battery in the system model failed. This is done because the load



**FIGURE 8**  
Sandwich structure, consisting of plate sandwich (red and green) and auxetic honeycomb (yellow).



**FIGURE 9**  
Support structure (A), Plate structure (B).

condition in system modeling is dynamic load, where the stress distribution during deformation will not be as uniform as in the validation model. Furthermore, multiple battery configurations were used in the system modeling, complicating the measuring process. The von Mises stress when the battery is compressed at about 10 mm is 67.97 MPa (shown in Figure 5).

## 2.3 System numerical modeling

### 2.3.1 General configuration

The general configuration for the system modeling is based on Audi Q4's battery system. Crash structures were reinterpreted as thick plate structures that still functions to hold the battery in place and transfer load during a crash, now called support structure. Lower protection and housing cover were reinterpreted as thin plate structures that sandwich the battery packs and crash structures, now called plate structures. In many electric vehicles, including Audi Q4 e-tron, the battery frame consists of extruded tubular cells

(Aluminum Extruders Council, n.d.). This part was reinterpreted as a sandwich-based auxetic honeycomb structure designed to protect the battery pack. The result can be seen in Figure 6, with the battery housing system detailed in Figure 7 and the sandwich structure detailed in Figure 8.

### 2.3.2 Impactor and wall

Impactor was designed from Moving Deformable Barrier (MDB) for side impact according to FMVSS No. 214, Dynamic Side Impact Protection (Smith, 2005). New Car Assessment Program (NCAP) for the 2019 Audi e-tron quattro 5-Door SUV was used to obtain the velocity of the MDB (Fischer, 2019). Both impactor and wall were modeled as a rigid plate with twice the area of the battery, 1 mm of thickness, 3 mm of element size, and using fully integrated shell element (ELFORM = 16), Eq. 8 hourglass form that is only applicable to the type 16 fully integrated shell element, and rigid (MAT\_020) material model.

The total mass of MDB is 1,367.60 Kg and a total velocity of 61.68 Km/h or 17.13 m/s. The bumper area was assumed to be the only

TABLE 3 Material property of wall and impactor (Chen &amp; Ingraffea, 2002).

Variable	Value	Unit
Density ( $\rho$ )	$2.01 \times 10^{-3}$	$Kg/mm^3$
Elastic Modulus ( $E$ )	72.39	$GPa$
Poisson's Ratio ( $\nu$ )	0.33	

TABLE 4 Material property of Al 2024-T3 (Chen &amp; Ingraffea, 2002).

Variable	Value	Unit
Density ( $\rho$ )	$2.78 \times 10^{-6}$	$Kg/mm^3$
Elastic Modulus ( $E$ )	72.39	$GPa$
Poisson's Ratio ( $\nu$ )	0.33	
Yield Stress ( $\sigma_y$ )	0.29	$GPa$

MDB part directly contacting the battery system. The mass was scaled according to the area of the battery that was impacted. With the area of the bumper of  $1,676 \times 203 \text{ mm}$ , the density of the impactor for the simulation is  $2.01 \times 10^{-3} \text{ Kg/mm}^3$ . The material property of Al 2024-T3 was used for other material properties. The material property of the wall and impactor is summarized in Table 3.

Instead of the total velocity of the MDB, normal velocity was used as the initial velocity for the impactor. Because the MDB move in a  $27^\circ$  direction, the normal velocity for the impactor is  $15.27 \text{ m/s}$ . The initial velocity is applied to every impactor node in the direction of  $Y$ –(DOF = 2). Every node of the wall nodes is restricted in all directions, and every node of the impactor nodes is restricted in all directions but the  $Y$  direction.

### 2.3.3 Battery housing system

The battery housing system consists of six battery packs, support structure, and plate structure (see Figure 9). Battery packs are taken from the validation model (see Chapter 2.2) with the same dimension, mesh, element formulation, material, and hourglass formulation. These battery packs were placed within the available spaces of the support structure (see Figure 7).

The support structure was modeled as  $3 \text{ mm}$  thick plates with  $5.35 \text{ mm}$  height (the same as the thickness of the battery pack) and  $2 \text{ mm}$  element size. The plate structure was modeled as a  $189 \times 74 \times 1 \text{ mm}$  plate;  $2 \text{ mm}$  element size. Both support and plate structure were modeled using a fully integrated shell element (ELFORM = 16), Eq. 8 hourglass form that is only applicable to the type 16 fully integrated shell element, and piecewise linear plasticity (MAT\_24) material model with Al 2024-T3 material property (summarized in Table 4; Supplementary Figure S3).

### 2.3.4 Sandwich structure

The sandwich structure consists of two plate sandwich and an auxetic honeycomb as the core of the sandwich structure. The plate sandwich was modeled as a  $189 \times 6.35 \times 1 \text{ mm}$  plate and  $2 \text{ mm}$  element size. The auxetic honeycomb numerical model was further developed from Carakapurwa et al. (Carakapurwa & Santosa, 2022) with changes in the contact and control cards. It was modeled as a plate structure that is configured according to the design of experiment (see Chapter 2.1) with  $6.35 \text{ mm}$  height and  $0.5 \text{ mm}$  element size.

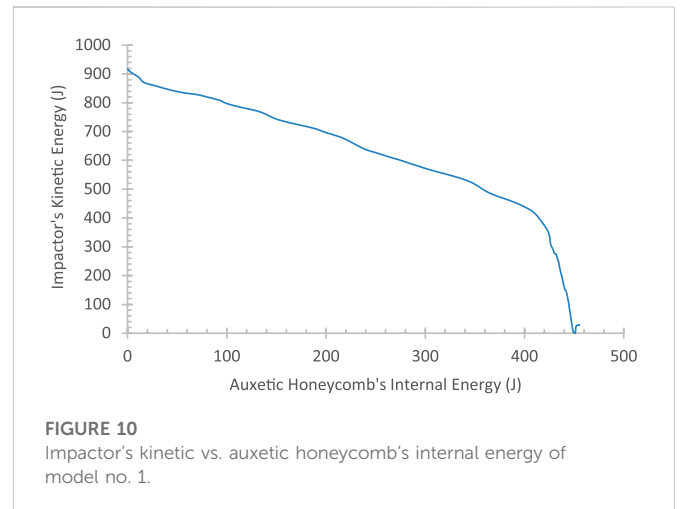


FIGURE 10 Impactor's kinetic vs. auxetic honeycomb's internal energy of model no. 1.

Both plate sandwich and auxetic honeycomb were modeled using fully integrated shell element (ELFORM = 16), Equation 8 hourglass form that is only applicable to the type 16 fully integrated shell element, and piecewise linear plasticity (MAT\_24) material model with Al 2024-T3 material property (summarized in Table 4; Supplementary Figure S3). The auxetic honeycomb was placed directly between the two plate sandwiches (see Figure 8).

### 2.3.5 Contact and control

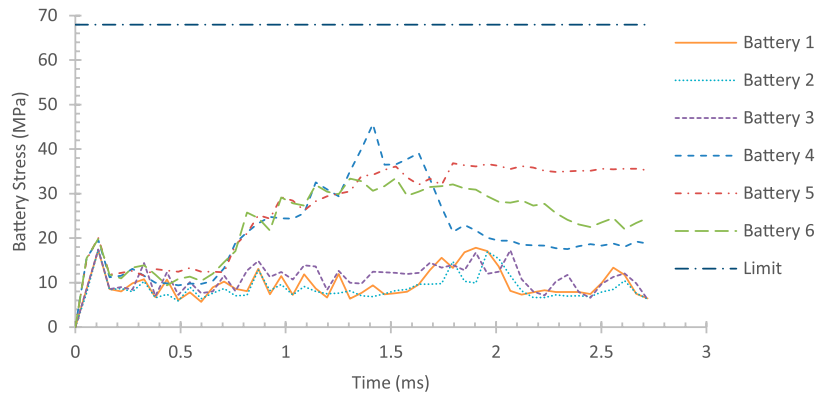
There are five types of contact in system simulation.

- Automatic General applied to the sandwich structure in this simulation.
- Automatic Single Surface applied to the battery packs, support and plate structure.
- Automatic Surface to Surface applied between battery–support and plate structure, support and plate structure–impactor, support and plate structure–plate sandwich (front), and impactor–both plate sandwiches.
- Tied Shell Edge to Surface Beam Offset applied between support structure–plate structure, wall–support and plate structure, auxetic structure–both plate sandwiches, and plate sandwich (back)—support structure, and Interior applied to all battery packs.

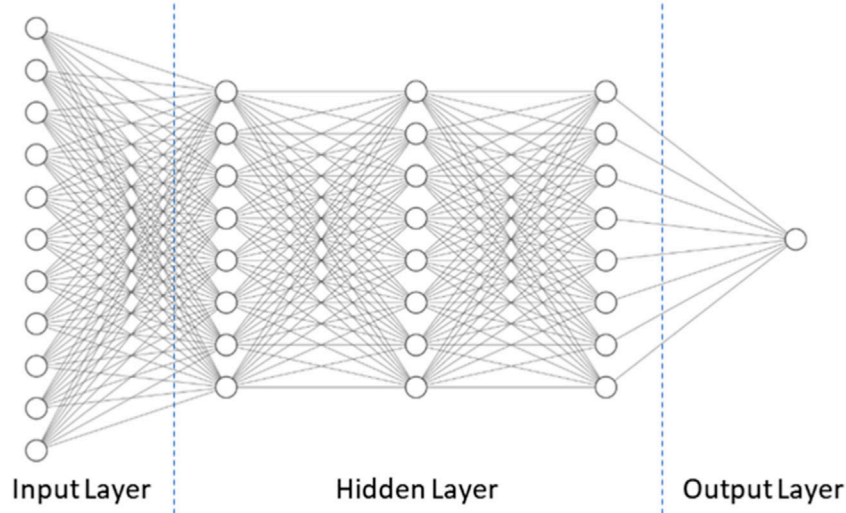
The simulation's control parameters are contact, energy, shell, termination, and timestep. Termination time of the simulation was calculated by Eq. 1. The simulation result was then rounded up to 2 decimal places. We found that this method is sufficient for most models except model no. 13, for which we have to add another  $0.2 \text{ ms}$  to the termination time.

$$\text{Termination Time}_i = \frac{Y_{\text{Total Geometry}_i}}{V_{\text{Initial}}} + 2 \text{ ms} \quad (1)$$

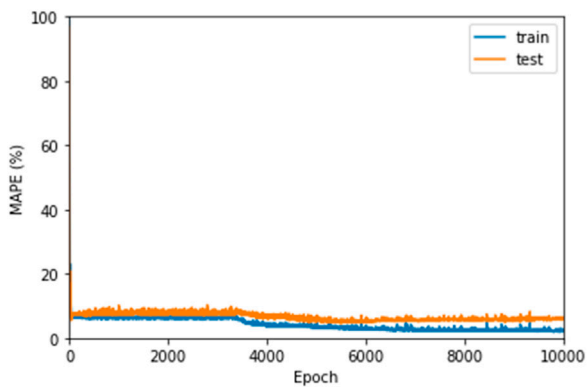
Where, Termination Time<sub>*i*</sub> is the termination time for model *i*,  $Y_{\text{Total Geometry}_i}$  is the total length of the auxetic structure in  $Y$  direction for model *i*, and  $V_{\text{Initial}}$  is the initial velocity of the impactor, which has been set to  $15.27 \text{ m/s}$  in the previous part (see Sub-Chapter B above).



**FIGURE 11**  
Battery's von Mises Stress vs. time of system model no. 1.



**FIGURE 12**  
Neural network architecture for SEAINV prediction.



**FIGURE 13**  
MAPE in ANN training of SEAINV predictor vs. Epoch.

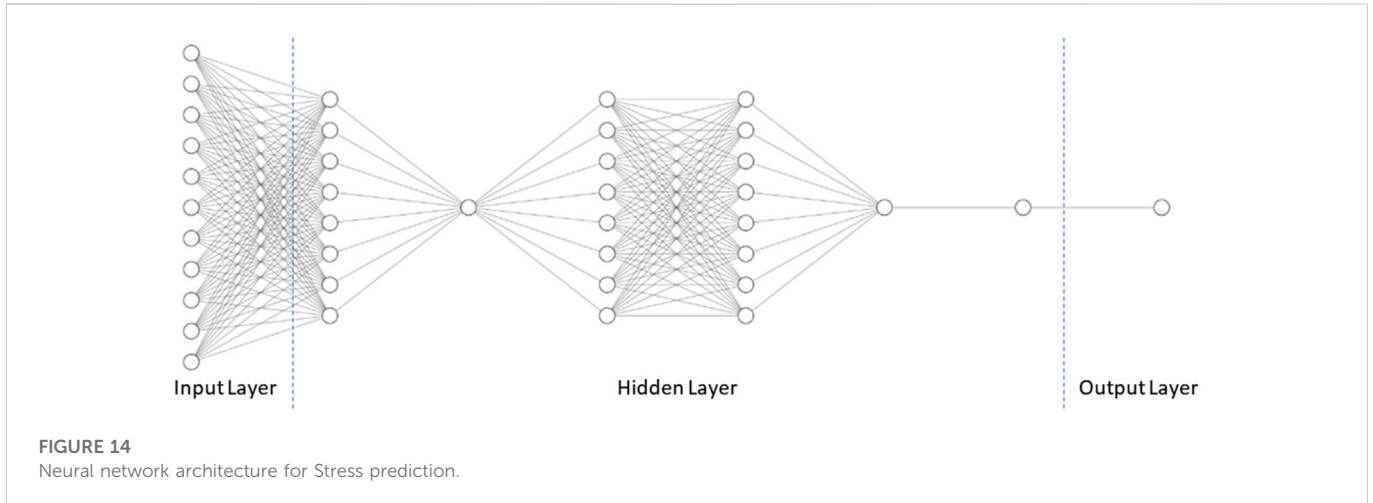
### 2.3.6 Post-processing

Data output from numerical simulation of model no. 1 will be used to demonstrate the post-processing of the simulation result. These steps were done to all numerical models to standardize and ensure the accuracy of the result.

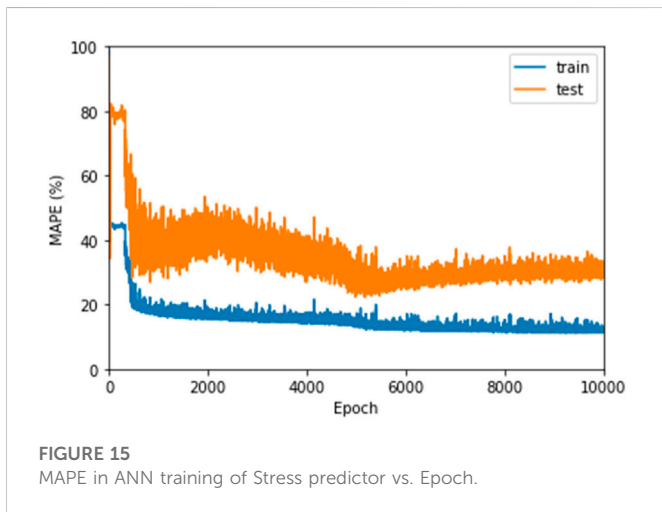
SEA data was obtained by dividing the internal energy of the auxetic honeycomb when the impactor's kinetic energy is minimum by the mass of the auxetic honeycomb (The comparison between the internal energy of the auxetic honeycomb and the impactor's kinetic energy of model no. 1 is shown in Figure 10). This data was then inversed to obtain SEAINV data for optimization.

From now on, the battery's von Mises stress will be abbreviated as Battery Stress. Battery stress data were obtained by picking the maximum von Mises stress of all battery packs at any time, where each battery's location can be found in Figure 7. In the case of model





**FIGURE 14**  
Neural network architecture for Stress prediction.



**FIGURE 15**  
MAPE in ANN training of Stress predictor vs. Epoch.

**TABLE 5** Input parameter and output prediction of the optimum design.

L	6 mm
W	4.2 mm
T	0.6 mm
Geometrical Shape	DU
Number of Layers	1 Layer
Mass	$8.86 \times 10^{-3} \text{ Kg}$
SEA (Prediction)	$3.70 \times 10^4 \text{ J/Kg}$
Battery Stress (Prediction)	38.30 MPa

no. 1, the maximum battery’s von Mises stress happened at 1.41 ms from the start of the simulation (shown in Figure 11). This data was called Stress data for optimization.

The energy state and the detailed sum slave/master sliding energy were also checked to ensure the accuracy of the data (energy state of model no. 1 shown in Supplementary Figure S5).

### 2.4 Artificial neural network modeling

Two kinds of codes were used to develop the ANN model. The first kind is called optimization code, and the second kind is called validation code. The optimization code was used to help find the rough hyperparameter for the validation code using the Keras Tuner package (Keras Tuner, 2019). The validation code was used to fine-tune and develop the final ANN model for each output using the Tensorflow package with a callback mechanism (Abadi et al., 2015). Both were written in Google Colab.

The loss function was evaluated using the mean square error (MSE) equation, as written in Eq. 2.

$$MSE = \frac{1}{m} \sum (y_{pred} - y_{act})^2 \tag{2}$$

The model’s performance is also assessed using maximum absolute percentage error (MAPE), which is written in Eq. 3.

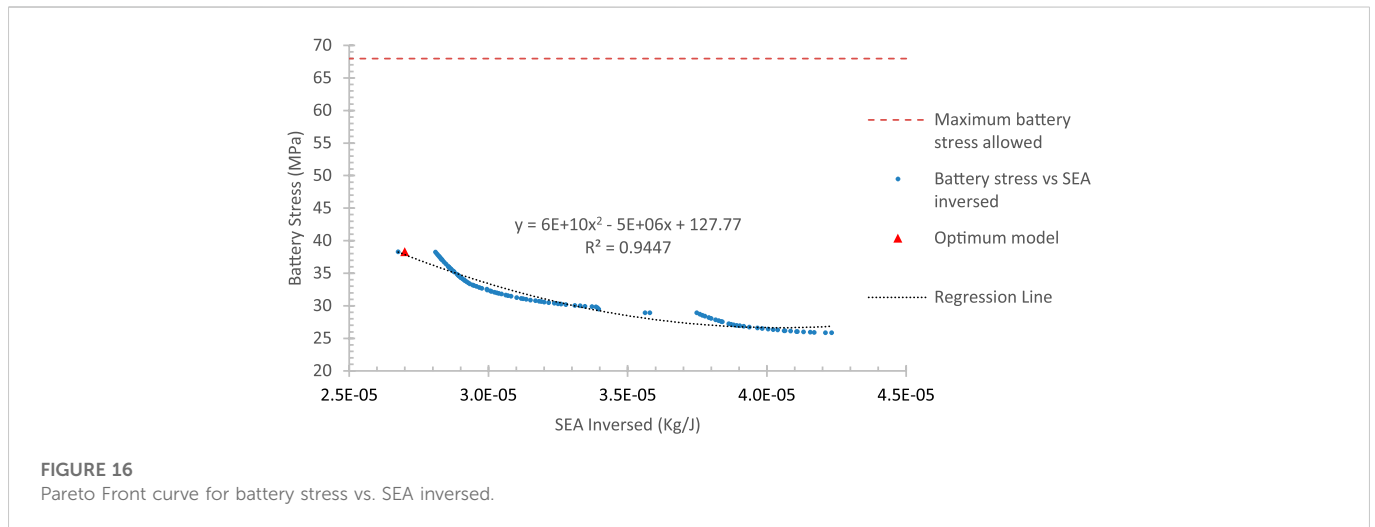
$$MAPE = \frac{1}{m} \sum \left| \frac{y_{pred} - y_{act}}{y_{act}} \right| \tag{3}$$

### 2.5 NSGA-II and TOPSIS modeling

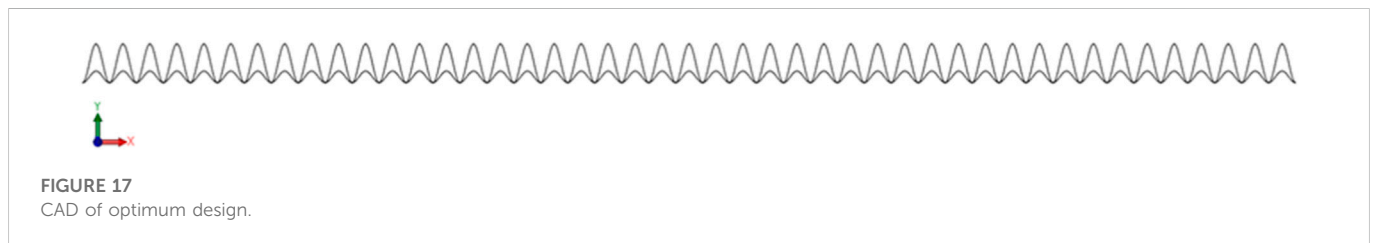
The optimization code was written in Google Colab using the Platypus package (Platypus, n.d.) with TOPSIS.

The NSGA-II part of the optimization code was set with 11 decision variables, 2 number of objectives, and 4 number of constraints. The decision variables are L, W<sub>r</sub>, t, 4 geometrical shapes (DA, DU, RE-A, and RE-B), and 4 options of layers (1 to 4). The objectives are SEA<sub>inv</sub> and Stress. The constraints are only one kind of geometrical shape allowed, only one number of layers allowed, and battery stress must be a positive number.

The TOPSIS part of the optimization code was set with the assumption that the weight of SEA inversed and battery stress are 50% and 50%, respectively, the minimum amount of SEA inversed preferred for the optimum design, and the battery stress is preferred to be as close to the limit of the battery stress according to Chapter 2.2. Those weights were chosen because the vehicle safety (represented by Battery Stress) cannot be compromised. However, the maximum



**FIGURE 16**  
Pareto Front curve for battery stress vs. SEA inverted.



**FIGURE 17**  
CAD of optimum design.

amount of SEA (or, in this case, the minimum amount of SEA inverted) is preferred for two things: to maximize the energy absorption from the impact and to minimize the structure’s mass.

The NSGA-II algorithm processed the combination of SEA<sub>inv</sub> and Stress predictor to obtain the Pareto Front curve, which shows the relation between SEA<sub>inv</sub> and Stress. Data points were generated by the NSGA-II algorithm and then fitted with regression to obtain the relation function between SEA<sub>inv</sub> and Stress.

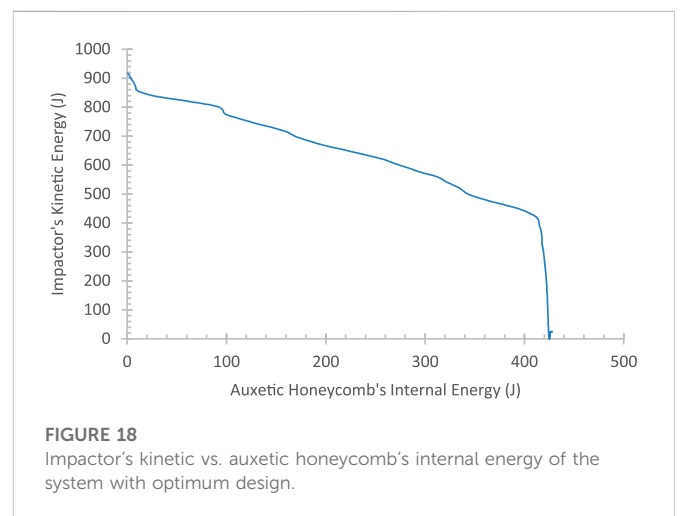
### 3 Result and discussion

#### 3.1 Predictor result (ANN)

##### 3.1.1 SEA<sub>inv</sub> prediction

The neural network model for SEA<sub>inv</sub> prediction is pictured in Figure 12. The model consists of 3 hidden layers, with all hidden layers consisting of eight hidden nodes with bias. The activation function is ReLU before all hidden layers and Linear before the output layer. The training process was done by the ADAM algorithm, the learning rate is 0.01, and the batch size is 64. The mean-square-error equation was used to evaluate the loss function for the neural network training model.

The training was done until a maximum of 20,000 epochs with an early stopping mechanism that monitored the validation loss function and 5,000 patience. The training was stopped at 10,513 epochs by the early stopping mechanism. Figure 13 shows the training process of the SEA<sub>inv</sub> predictor, where the final validation MAPE of the predictor is around 4.53%.

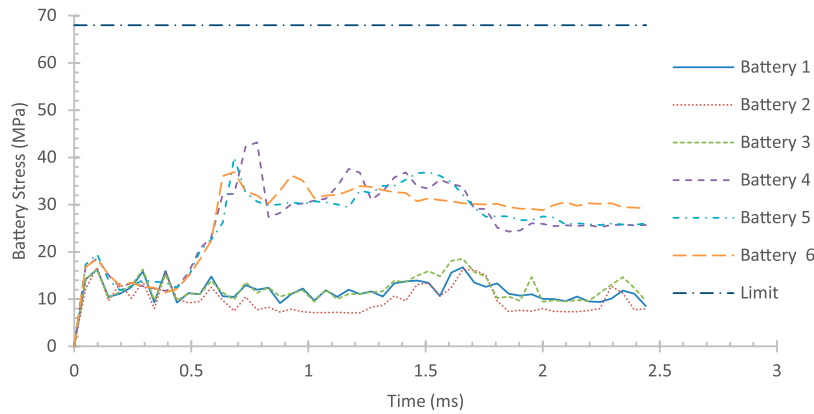


**FIGURE 18**  
Impactor's kinetic vs. auxetic honeycomb's internal energy of the system with optimum design.

##### 3.1.2 Stress prediction

The neural network model for Stress prediction is visualized in Figure 14. The model consists of 6 hidden layers, consisting of 8, 1, 8, 8, 1, and 1 hidden nodes respectively, with bias. The activation function is ELU before the hidden layer and Linear before the output layer. The training process was done by the ADAM algorithm, the learning rate is 0.005, and the batch size is 64. The mean-square-error equation was used to evaluate the loss function for the neural network training model.

The training was done until a maximum of 20,000 epochs with an early stopping mechanism that monitors the validation MAPE function and 5,000 patience. The training was stopped at 10,180



**FIGURE 19**  
Battery's von Mises Stress vs. time of system with optimum design.

**TABLE 6 Validation and comparison of optimum design.**

	Baseline (model 71)	Prediction (NSGA-II)	Validation (numerical result)	Error (%)
SEA ( <i>J/Kg</i> )	37,074.20	37,037.04	47,997.84	22.84
Battery Stress ( <i>MPa</i> )	52.77	38.30	43.16	11.26

epochs by the early stopping mechanism. Figure 15 shows the training process of the Stress predictor, where the final validation MAPE of the predictor is around 22.22%.

### 3.2 Optimization result (NSGA-II and TOPSIS)

The Pareto Front curve shows the relation between SEA<sub>inv</sub> and Stress, shown in Figure 16. Data points in Pareto Front were fitted with regression function as shown in the black curve in Eq. 4. The regression fitted the data points with  $R^2 = 0.9447$ .

$$Stress = 6 \times 10^{10} (SEA_{inv}^2) - 5 \times 10^6 (SEA_{inv}) + 127.77 \quad (4)$$

Figure 16 also shows the optimum point obtained by the TOPSIS method, with SEA of 37,037.04 *J/Kg* (inversed of the obtained data) and Stress of 38.30 *MPa*. The input parameter of the optimum configuration is shown in Table 5. The CAD of the optimum configuration is shown in Figure 17.

This result is better than every model simulated in the design of experiment in Chapter 2.1. Model 71, which has the highest SEA over all other models that successfully protect the battery, has a SEA of 37,074.20 *J/Kg* and Stress of 52.77 *MPa*, which is worse in battery stress and equal in SEA than the optimum design.

The optimum configuration was then numerically simulated with the same configuration in Chapter 2.3 to validate the optimization result, as shown in Supplementary Figure S6.

The comparison between prediction and numerical simulation results is shown in Table 6. The comparison shows that the error between prediction and validation results is still pretty big. It was found that the SEA of the optimum design is even higher than the prediction, but the maximum battery stress is lower than the prediction. However, the maximum battery stress of the optimum design is well within the safe

limit of 67.91 *MPa*. The comparison between the internal energy of the auxetic honeycomb and the impactor's kinetic energy of optimum design is shown in Figure 18 and battery's von Mises stress vs time of system with optimum design is shown in Figure 19.

Supplementary Figure S7 shows that the crash mechanics of the optimum design follows classical crash mechanics during vehicle impact, where there are zero crossing time of 1.39 *ms* and rebound velocity of 2.50 *m/s*. Mean crushing force ( $P_m$ ) of the whole system was calculated in Eq. 5.

$$P_m = \frac{EA}{\delta} = \frac{427.84 \text{ J}}{10.68 \text{ mm}} = 40.05 \text{ kN} \quad (5)$$

Just as with every other numerical model done for the design of experiment, the energy state and the detailed sum slave/master sliding energy were checked on the optimum design's validation result to ensure the accuracy of the obtained data, shown in Supplementary Figure S8. The total energy is almost in constant value over time, with the value ranging between 917.10 *J* to 917.17 *J*, which is almost exactly the same as the initial kinetic energy of the impactor (917.13 *J*). The hourglass energy is very small compared to other forms of energy, and the sliding energy is below 10% of the internal energy. The sum slave/master sliding energy for each contact is almost always positive throughout the simulation. These indications show that the simulation is accurate.

## 4 Conclusion

The research shows that the machine learning methods work well for battery protection design and multiple objective optimization, with small training data, limited computing power, and pretty accurate results.

The optimization results in a protector with an auxetic honeycomb that has a geometric shape of Double-U, length of 6 mm, width of 4.2 mm, cross section's thickness of 0.6 mm, and consists of 1 layer. These parameters were obtained using machine learning methods such as artificial neural network and NSGA-II algorithms combined with TOPSIS. The protector has SEA of 47,997.84 J and can maintain the battery's von Mises stress to a maximum of 43.16 MPa (validation result from non-linear numerical analysis), well below the designated battery's von Mises stress limit of 67.97 MPa.

During impact, the auxetic structure (the core of the sandwich structure) has absorbed 425.15 J as internal energy out of 917.13 J (the initial energy of the impactor). During the crash, it was also found that the reaction of the whole structure follows classical crash mechanics during vehicle impact, with zero crossing time of 1.39 ms, rebound velocity of 2.5 m/s, and mean crushing force of 40.5 kN.

## Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## Author contributions

MB: Conceptualization, Methodology, Software, Validation, Formal Analysis, Investigation, Data Curation, Writing—Original Draft, Writing—Review and Editing, Visualization, Project Administration. SS: Conceptualization, Methodology, Validation, Resources, Writing—Original Draft, Writing—Review and Editing, Supervision, Project Administration, Funding Acquisition. DW: Methodology, Validation, Resources, Writing—Original Draft, Writing—Review and Editing, Supervision, Project Administration. All authors have read and agreed to the published version of the manuscript.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fenrg.2023.1114263/full#supplementary-material>

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