



Improved System Frequency Regulation Capability of a Battery Energy Storage System

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As a large scale of renewable energy generation including wind energy generation is integrated into a power system, the system frequency stability becomes a challenge. The battery energy storage system (BESS) is a better option for enhancing the system frequency stability. This research suggests an improved frequency regulation scheme of the BESS to suppress the maximum frequency deviation and improve the maximum rate of change of the system frequency and the system frequency of the steady state. To this end, the droop control with the input of the system frequency excursions is employed into the controller of the BESS. To improve the frequency-supporting capability and prevent the over-discharging phenomenon, the control coefficient is defined as a proportional function of the instantaneous state of charge of the BESS and excursions of the system frequency. The contributions of the proposed frequency regulation scheme are investigated with various wind power penetration level conditions and disturbances. Results clearly indicate that the proposed frequency regulation scheme of the BESS is able to achieve objectives in terms of enhancing the maximum frequency excursion, the system frequency of the steady state, and the rate of change of the system frequency under various wind power penetration level conditions, initial SOCs, and disturbances.

Keywords: wind generation, frequency regulation, BESS, state of charge, power system control

INTRODUCTION

With the growing issues of air pollutants and energy shortage, a large amount of renewable energy generation including wind generation has been integrated into the electric power grid (Bevrani, 2014; Huang et al., 2022). Due to the advanced control characteristics, the most used type of wind generation is the variable-speed wind turbine generators (VSWTGs) interfaced with the power electronic devices (Xiong et al., 2020). However, the VSWTGs are unable to participate in frequency regulation due to the decoupled relationship between the rotor speed of the wind turbine and the synchronous generator (Kim et al., 2019a; Xiong et al., 2022). As the penetrations of renewable energy generator grow, the system frequency excursions, maximum rate of change of the system frequency, and the frequency of the steady state become severe (Yang et al., 2022; Keung et al., 2019); the power system should deploy more generators with rapid ramping capability to maintain the system frequency stability (Tto, 2010).

When modifying the control strategy of the VSWTGs, they are able to participate in frequency regulation by storing or releasing the power into or from the rotating masses including wind turbine, gearbox, and rotor of the generator (Kheshti et al., 2019; Yang et al., 2021). As in Lee et al. (2016), Dreidy and Mokhlis (2017), and Kim et al. (2019b), the rate of change of the system frequency and

OPEN ACCESS

Edited by:

Liansong Xiong,
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Specialty section:

This article was submitted to
Process and Energy Systems
Engineering,
a section of the journal
Frontiers in Energy Research

Received: 25 March 2022

Accepted: 19 April 2022

Published: 23 May 2022

Citation:

Huang J and Yang D (2022) Improved
System Frequency Regulation
Capability of a Battery Energy
Storage System.
Front. Energy Res. 10:904430.
doi: 10.3389/fenrg.2022.904430

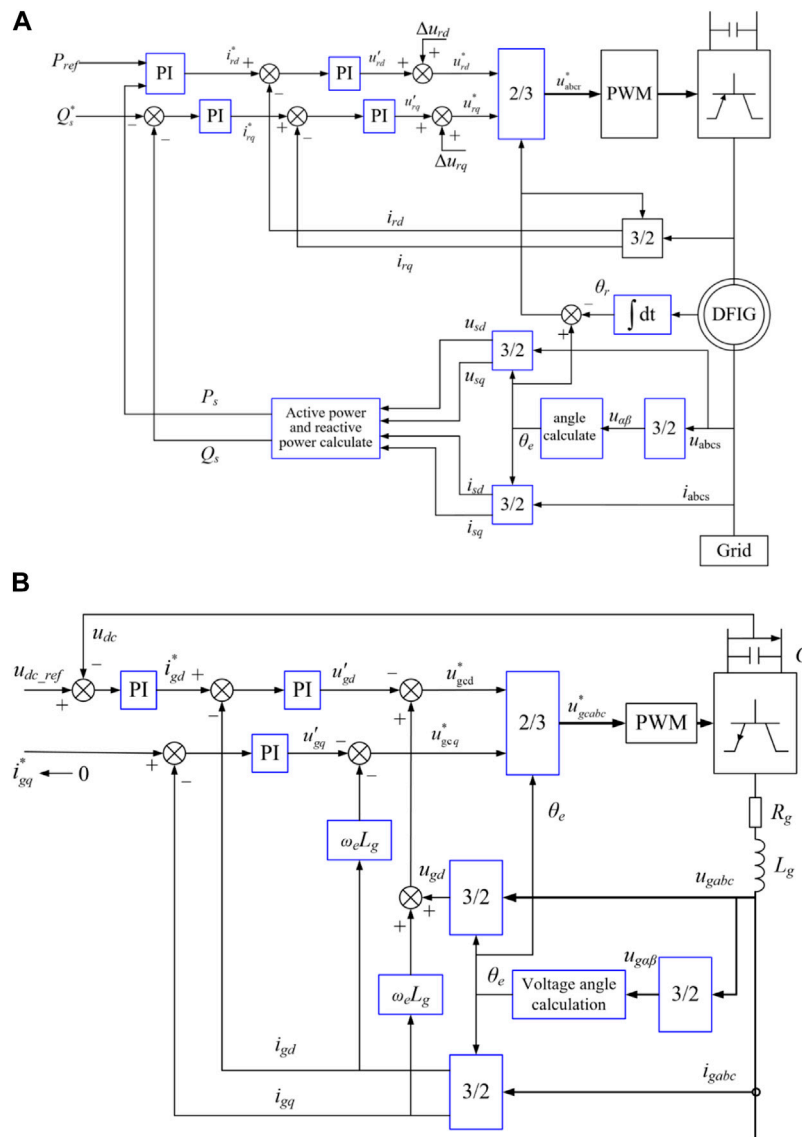


FIGURE 1 | Typical vector control scheme of the rotor side and grid side converters of a DFIG. **(A)** Vector control strategy of the rotor side converter. **(B)** Vector control strategy of the grid side converter.

the frequency excursion control loops are employed to provide frequency regulation capability. The pitch angle controller of the doubly fed induction generator (DFIG) is implemented to provide frequency response by releasing the pitch angle (Ye et al., 2019). However, the frequent activations would increase mechanical fatigue and further reduce the lifetime of the DFIG.

The energy storage systems can be regarded as a better option for frequency regulation due to the fast response and advanced control capability (Zhao et al., 2015; Kim et al., 2019c). In (Mercier et al., 2009), a control scheme of a BESS providing frequency regulation is addressed with the aim of minimizing the use of the BESS. Several control strategies for the BESS are

evaluated in Oudalov et al. (2007) and Stroe et al. (2017) for investigating the primary frequency regulation service. However, the fixed control coefficient is utilized so as to restrict the benefits for regulating frequency. Obaid et al. (2020) suggest a hierarchical control strategy of the BESS to provide frequency regulation capability. Five bands are divided according to the measured SOC. However, the control coefficient in each band is fixed so as to result in over-charging of the SOC. Meng et al. (2021) suggest that the SOC is used as the feedback variable of the control coefficient of the frequency regulation scheme. However, for a severe disturbance, the frequency regulation potential of the BESS is underutilized.

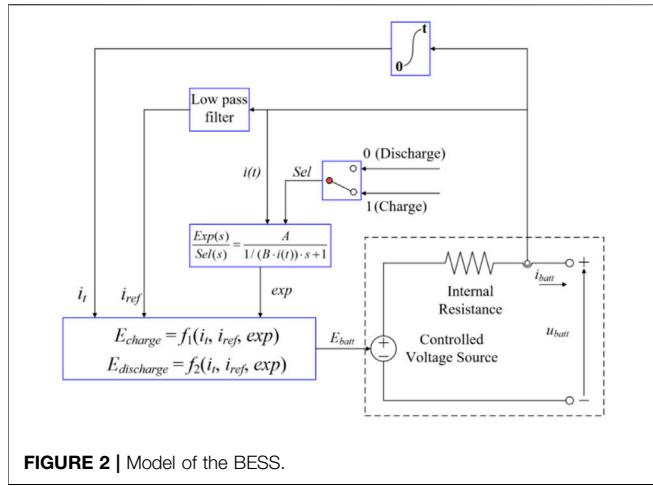


FIGURE 2 | Model of the BESS.

This research addresses a frequency regulation scheme of the BESS to suppress the maximum frequency deviations and improve the maximum rate of change of the system frequency and the system frequency of the steady state. To this end, the droop control with the input of the frequency deviation is implemented in the controller of the BESS. To improve the frequency supporting capability and prevent the over-discharging phenomenon, the control coefficient is defined as a proportional function of the state of charge and the system frequency excursions. The contributions of the proposed frequency regulation scheme are investigated with different wind power penetration level conditions, initial SOCs, and sizes of the disturbance based on the electromagnetic transient program restructured version (EMTP-RV).

CONTROL OF THE DFIG AND BESS

Figure 1 illustrates the vector control strategy of the rotor side converter and grid side converter. The stator flux vector oriented control strategy is applied in the rotor side converter, and the grid voltage vector oriented control strategy is applied in the grid side converter; as a result, the DFIG can achieve decoupled control of the active power and reactive power (Ye et al., 2008). As shown in Figure 1A, the rotor side converter employs dual-loop control technology (outer power controller and inner current controller). The active power and reactive power injected into the grid are controlled by regulating the rotor current components of the d-axis and q-axis, respectively (Fernandez et al., 2008). As illustrated in Figure 1B, the voltage of the dc-link is controlled by regulating the current component of the d-axis. The reference of the current component of the q-axis (i_{gq}) is set to a zero or non-zero value depending on the control objectives (Fernandez et al., 2008).

The maximum wind power can be extracted when the rotor speed of the wind turbine is controlled at the optimal rotor speed by regulating the tip-speed ratio. This process can be achieved in the top loop of the rotor side converter. The reference for MPPT of the DFIG is able to be expressed in (1) (Yang et al., 2018).

$$P_{MPPT} = \frac{1}{2} c_{P, \max} \rho \pi R^2 \left(\frac{\omega_r R}{\lambda_{opt}} \right)^3 = k_g \omega_r^3, \quad (1)$$

where ρ , v_w , and c_p represent the air density, the wind speed, and the power coefficient, respectively. ω_r and R represent the rotor angular speed and the blade length, respectively. $c_{P, \max}$ and λ_{opt} are the maximum c_p and optimal tip-speed ratio, respectively.

As in (1), when performing the MPPT, the output power of the DFIG mainly relates to the rotor speed irrespective of the system frequency, so that the DFIGs are unable to provide frequency regulation service to the power grid.

The equivalent model of the BESS used in this research is illustrated in Figure 2, where E_{BESS} is a nonlinear function of the following variables; E_0 is the constant voltage; $exp(s)$ is the exponential zone dynamics; $sel(s)$ means the battery mode (“1” for charging mode and “0” for discharging mode); α is the polarization constant or polarization resistance; i_{ref} and i_{batt} are the low frequency current dynamics and batter current, respectively; Q is the maximum battery capacity; and A and B are the exponential voltage and exponential capacity, respectively (Wu et al., 2017).

The equations of the charge and discharge modes for the BESS are written as:

Charging mode

$$f_1(i_t, i_{ref}, exp) = E_0 - \alpha \cdot \frac{Q}{i_t + 0.1 \cdot Q} \cdot i_{ref} - \alpha \cdot \frac{Q}{Q - i_t} \cdot i_t + Laplace^{-1} \left(\frac{exp(s)}{sel(s)} \cdot \frac{1}{s} \right), i_{ref} < 0. \quad (2)$$

Discharging mode

$$f_2(i_t, i_{ref}, exp) = E_0 - \alpha \cdot \frac{Q}{Q - i_t} \cdot i_{ref} - \alpha \cdot \frac{Q}{Q - i_t} \cdot i_t + Laplace^{-1} \left(\frac{exp(s)}{sel(s)} \cdot 0 \right), i_{ref} > 0. \quad (3)$$

The grid voltage vector oriented control strategy is applied in the BESS (see in Figure 3). This implies that the d -axis of the synchronous frame aligns with the stator voltage vector. As a

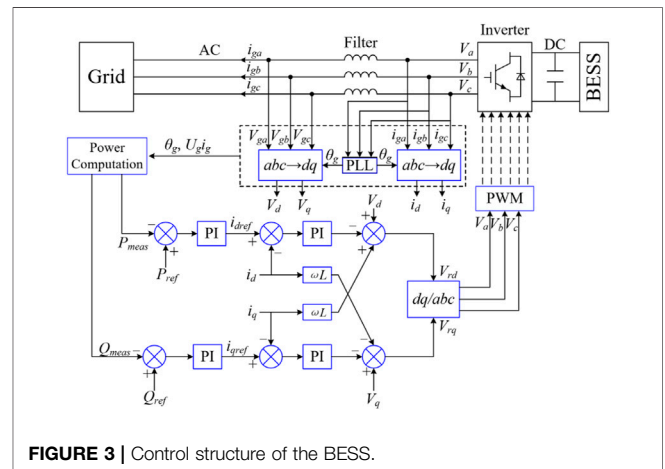


FIGURE 3 | Control structure of the BESS.

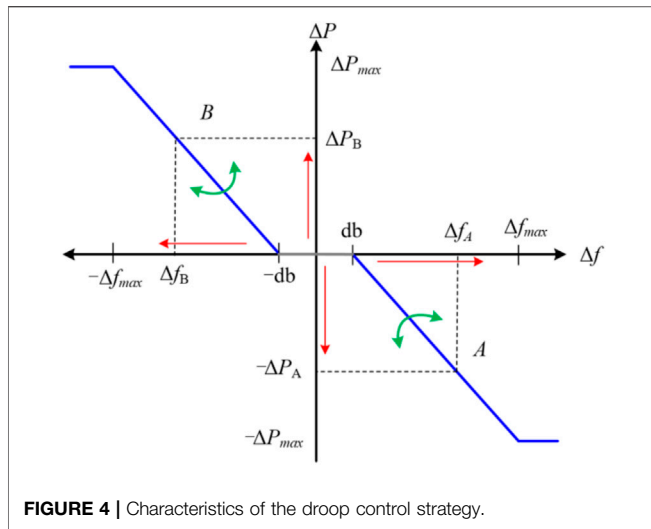


FIGURE 4 | Characteristics of the droop control strategy.

result, the grid voltage of the d-axis equals to the magnitude of stator voltage, and the voltage of the q-axis equals to zero (Serban and Marinescu, 2014). The current of the d-axis component (i_d) is responsible for regulation of the active power injecting into the grid and the current of the q-axis current component (i_q) focuses on regulating the reactive power.

As illustrated in Figures 1, 2, a phase-locked loop is implemented to detect the angle frequency and grid voltage for passively synchronizing the DFIG and BESS with the electric power grid.

The SOC is defined as the ratio of the instantaneous charge to the total charge in a fully charged state. When the BESS performs the frequency regulation, the power command and available SOC (frequency regulation potential) should be considered.

DROOP CONTROL STRATEGY

The droop control strategy for frequency regulation can be represented as:

$$\Delta P = \text{Gain} \times \Delta f, \tag{4}$$

where Gain is the coefficient of the droop control and means the slope; ΔP and Δf mean the power variation and system frequency excursion, respectively.

The characteristics of the droop control strategy are shown in Figure 4. As Δf increases, ΔP increases so as to improve the frequency regulation capability. The power variation depends upon the slope (gain). With the use of electronic devices, the regulating response becomes rapid; the dynamic control gains are suggested based on the operating conditions and system frequency regulation requirement.

This droop control strategy can be utilized in synchronous generators, DFIG, PV, BESS, electric vehicles, and so on. However, some of these devices have different operating characteristics. This means that when designing the frequency regulation scheme using droop control, the considerations are

different, for e.g., the mechanical component should be paid more attention, and the reserve power of PVs should be considered. In addition, due to the various response times and potential for the frequency regulation of the aforementioned devices, it should be designed in accordance with the frequency regulation strategies of the targeted research in detail.

The control coefficient of the droop control scheme can be regulated by adjusting the slope. However, difficulties raise in designing the control coefficients suitable for various SOC and disturbances.

PROPOSED FREQUENCY REGULATION SCHEME OF THE BESS

To enhance the system frequency stability, a frequency regulation strategy of the BESS is suggested. As illustrated in Figure 5, the control loop is the same as the droop control strategy, and the power reference of the BESS (P_{BESS}) when performing frequency regulation is expressed as:

$$P_{BESS} = K \times \Delta f, \tag{5}$$

where K means the control coefficient of the frequency regulation.

The power reference combined with the DFIG and BESS can be represented as follows:

$$\begin{aligned} P_{ref} &= P_{BESS} + P_{DFIG}, \\ &= K \times \Delta f + P_{MPPT}. \end{aligned} \tag{6}$$

In (6), it is evident that the BESS can compensate for the power deficit by decreasing the SOC. The benefit of the frequency regulation is mainly related to the control coefficient. To flexibly utilize the potential of the frequency regulation of the BESS while avoiding over-discharging of the BESS, the control coefficient is defined as in (7) and (8):

$$K = \alpha \frac{SOC_{meas} - SOC_{min}}{SOC_{max} - SOC_{min}}, \tag{7}$$

$$\alpha = \gamma \Delta f + 1, \tag{8}$$

where SOC_{meas} , SOC_{max} , and SOC_{min} are the SOC, maximum SOC, and minimum SOC of the BESS, respectively. γ represents the adjustable factor for the frequency regulation.

To improve the frequency regulation capability and avoid the over-charging phenomenon under various disturbances, K is defined as (7), which is a linear function of the SOC and the system frequency excursions. The control coefficient includes

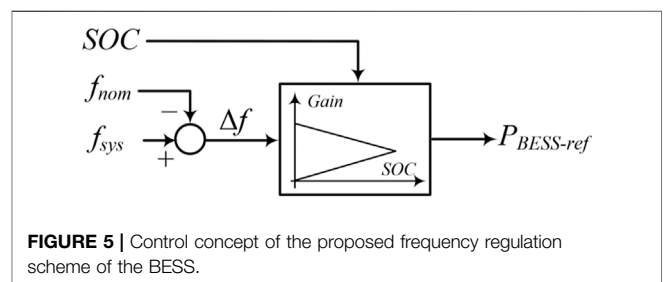


FIGURE 5 | Control concept of the proposed frequency regulation scheme of the BESS.

two characteristics. The first characteristic is that K is a monotonic linear function of the SOC so that it reaches a great value with the increasing SOC and becomes zero to avoid over-discharging. The second characteristic is that K varies with the frequency deviation so that it adaptively is adjusted under various disturbances.

The injected power (P_{BESS}) from the BESS to the frequency drop can be regarded as a negative load so that the power mismatch can be represented as:

$$\Delta P = \Delta P_L - P_{BESS}, \quad (9)$$

where ΔP means the equivalent power mismatch considering the injected power from the BESS.

Based on Anderson and Mirheydar (1990) and Shi et al. (2018), the system frequency excursion $[\Delta f(t)]$, the maximum rate of the change of frequency (ROCOF_{max}), and the system frequency of the steady state (Δf_{ss}) could be derived from the low-order system frequency response model, as in (10), (11), and (12):

$$\Delta f(t) = \frac{R\Delta P}{DR+1} \left[1 + \alpha e^{-\zeta\omega_n t} \sin(\omega_d t + \varphi) \right], \quad (10)$$

$$ROCOF_{max} = f_{sys} \frac{\Delta P}{2H_{sys}}, \quad (11)$$

$$\Delta f_{ss} = \frac{\Delta P}{1/R + D}, \quad (12)$$

where D is load damping factor, R is the setting of the governor speed regulation, ΔP_L is the active power mismatch, ω_n means the natural oscillation frequency, ζ is the damping ratio, ω_d is the damped frequency, α is the coefficient when deriving the frequency excursion, and H_{sys} indicates the inertia constant of the power grid provided by the synchronous generators.

In (10), t approaches to infinity, the component including exponential and sinusoidal functions becomes zero; thus, the system frequency in (10) is the same as in (12) and becomes the setting frequency without implementing secondary frequency control.

In (10), (11), and (12), once the BESS participates in frequency regulation following a disturbance, ΔP becomes small. Thus, the BESS can compensate for the power to the electric power grid so as to reduce the active power mismatch; consequently $\Delta f(t)$, Δf_{ss} , and ROCOF_{max} can be enhanced. The large use of ΔP is able to enhance the frequency regulation capability.

MODEL SYSTEM

Figure 6 illustrates the modified IEEE 14-bus system, which is used to explore the performance of the proposed frequency regulation scheme of the BESS based on an EMTP-RV simulator. It includes an aggregated doubly fed induction generator (DFIG)-based wind power plant embedded with the BESS (which is connected to Bus 14), five traditional synchronous generators, and static loads.

The droop gains for the primary frequency regulation of all of the traditional synchronous generators are set to 5%. All

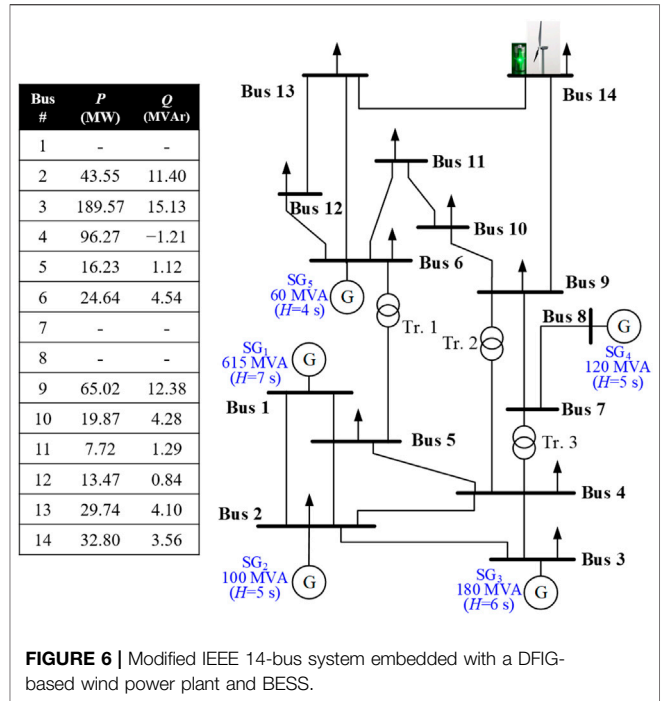


FIGURE 6 | Modified IEEE 14-bus system embedded with a DFIG-based wind power plant and BESS.

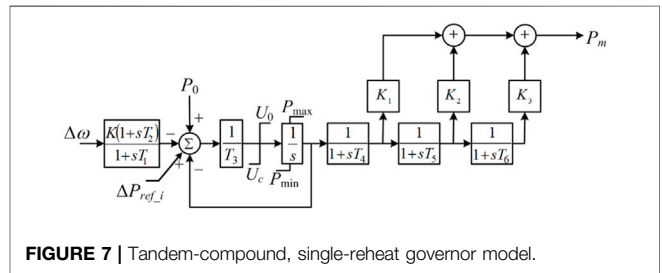


FIGURE 7 | Tandem-compound, single-reheat governor model.

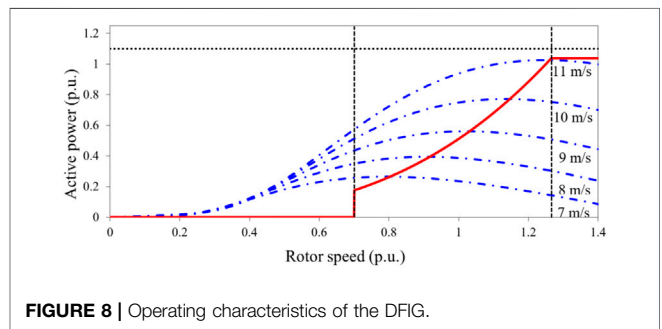
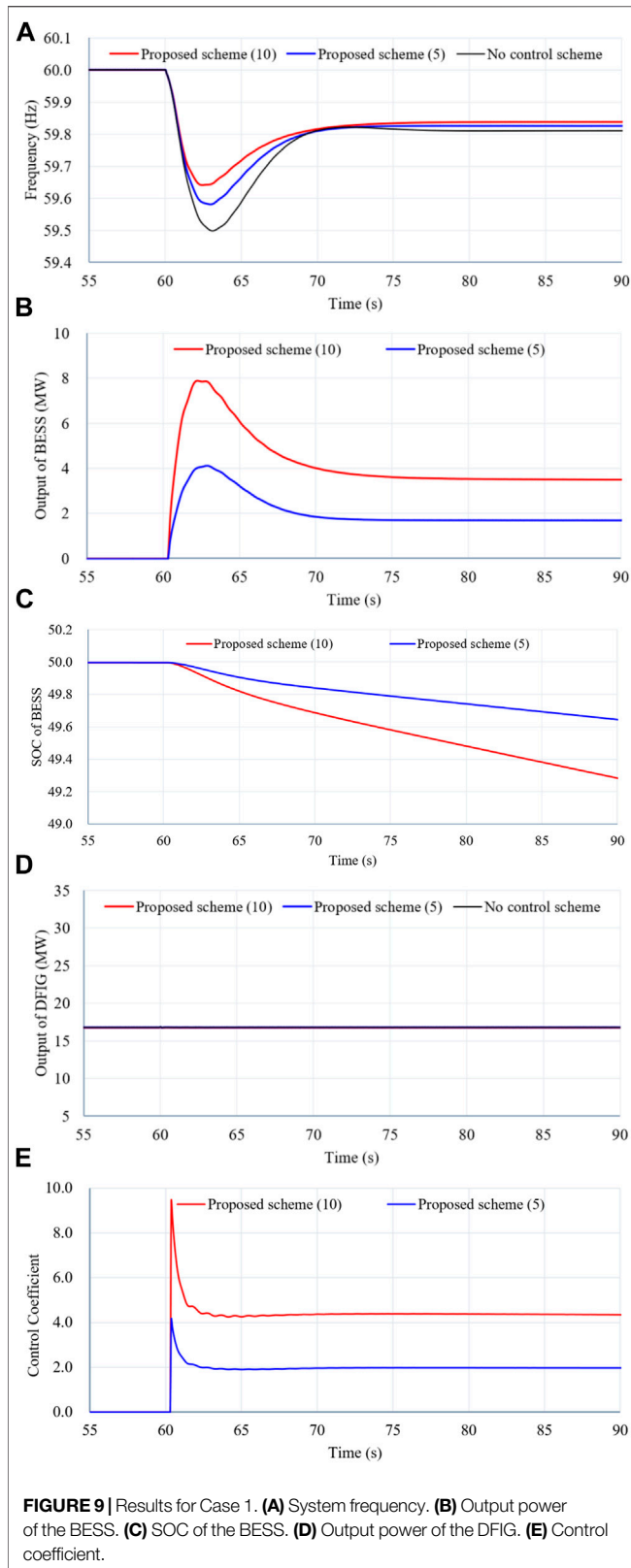


FIGURE 8 | Operating characteristics of the DFIG.

traditional synchronous generators are modeled as steam turbine generators by employing the IEEEG1 steam governor model (see in Figure 7). The total load is set to 600.0 MW and 57.4 MVar.

Figure 8 shows the operating characteristics of the DFIG. The operating range of ω_r of the DFIG ranges between 0.7 p.u. (ω_{min}) and 1.25 p.u. (ω_{max}). The rated, cut-in, and cut-out speeds of the DFIG are set to 11.0 m/s, 4.0 m/s, and 25.0 m/s, respectively.



The capacity of the BESS is 5.0 MWh. The battery is connected to the terminal of the wind power plant by a 5-MW DC/AC inverter with the SOC of 50% and 30%. The terminal voltage is 13.8 kV. The SOC_{max} and SOC_{min} are set to 90% and 10%, respectively.

The performances of the proposed frequency regulation scheme under various wind power penetration level conditions and sizes of the disturbance are investigated. The performance of the proposed frequency regulation scheme with $\gamma = 10$ [proposed (10) represented in the simulation result] is compared to that of the no control scheme and the proposed frequency regulation scheme with $\gamma = 5$ [proposed (5) represented in the simulation result] in terms of the maximum frequency excursion, the system frequency of the steady state, and maximum rate of change of frequency.

In this section, since the system frequency indexes are related to the wind power penetrations, sizes of disturbance, and SOC of the BESS, Case 1 and Case 2 are used to indicate the effectiveness of the proposed scheme under various disturbances. Case 1 and Case 3 are utilized to illustrate the effectiveness of the proposed scheme under various sizes of the disturbance. In addition, Case 1 and Case 4 are employed to show the effectiveness under various SOC of the BESS.

Case 1: Wind Power Penetration Level of 15% and Disturbance of 50 MW

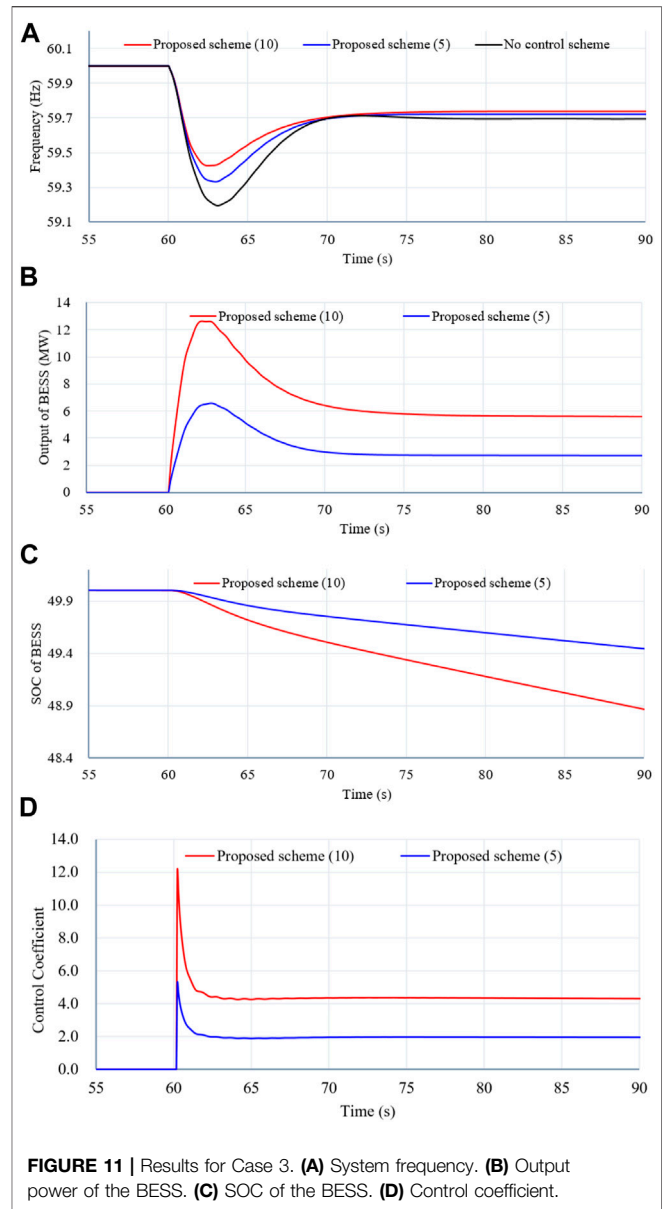
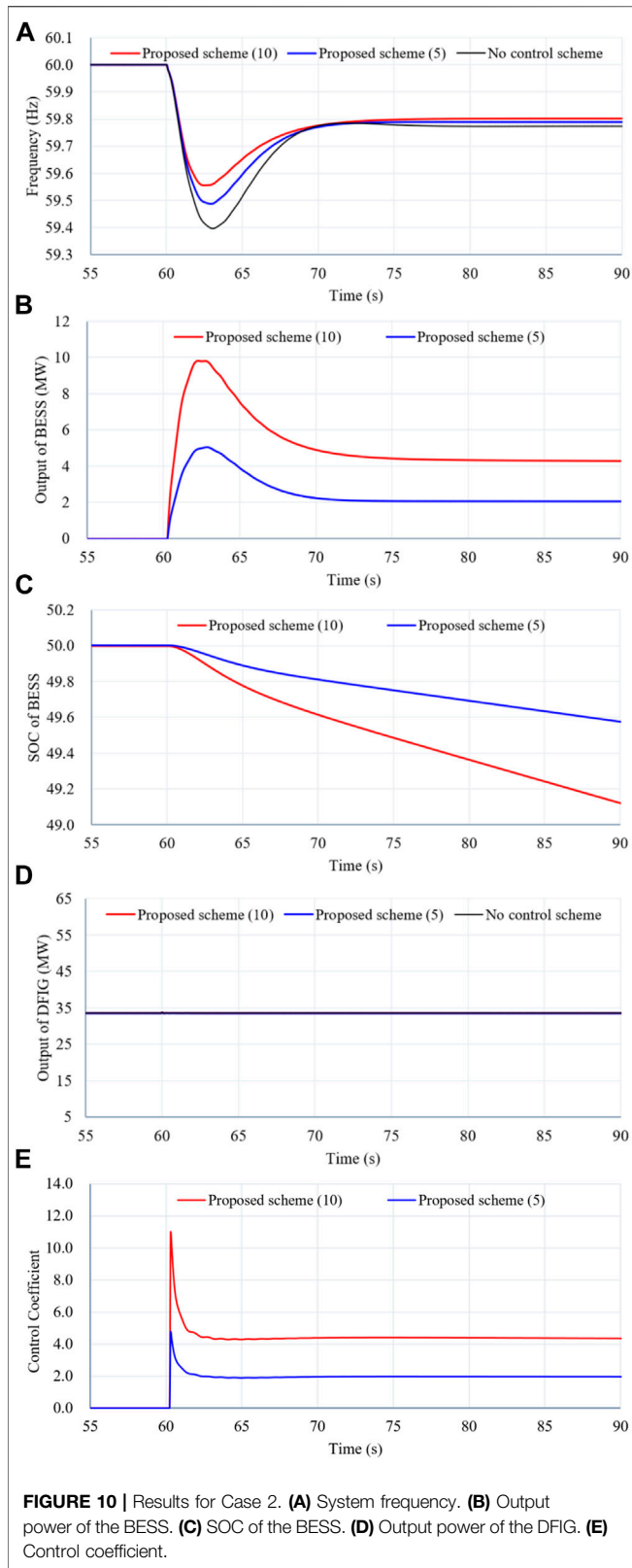
Figure 9 illustrates the simulation results of Case 1 with low wind power penetration level and small size of the disturbance.

As shown in Figure 9, the maximum frequency excursion, maximum ROCOF, and the system frequency of the steady state of no control scheme are 59.498 Hz, -0.297 Hz/s, and 59.838 Hz, respectively. In the proposed scheme with $\gamma = 5$, they are improved to 59.581 Hz, -0.288 Hz/s, and 59.825 Hz, respectively (see in Table 1). This is because the BESS generates amount of active power for compensating for the active power mismatch. In the proposed scheme with $\gamma = 10$, they are improved to 59.641 Hz, -0.268 Hz/s, and 59.838 Hz, respectively. This is because the BESS generates more active power to the electric power grid to compensate for the active power mismatch (see Figure 9B).

As shown in Figure 9B, the peak values of the active power for the proposed scheme with $\gamma = 10$ and the proposed scheme with $\gamma = 5$ are 7.9 and 4.0 MW, respectively. In the steady state, the power generated to the grid for both schemes are 3.5 and 1.7 MW, respectively; this is the detailed reason that the improving performances of the system frequency stability in the proposed scheme with $\gamma = 10$ are better.

As shown in Figure 9C, the SOC of the proposed scheme decreases from 50. Due to the use of large control coefficient, the SOC of the proposed scheme with $\gamma = 10$ decreases more than that of the proposed scheme with $\gamma = 5$.

The output powers the DFIG for all schemes remain fixed due to the DFIG operates in MPPT operation, which is unable to participate in frequency regulation (see Figure 9D).

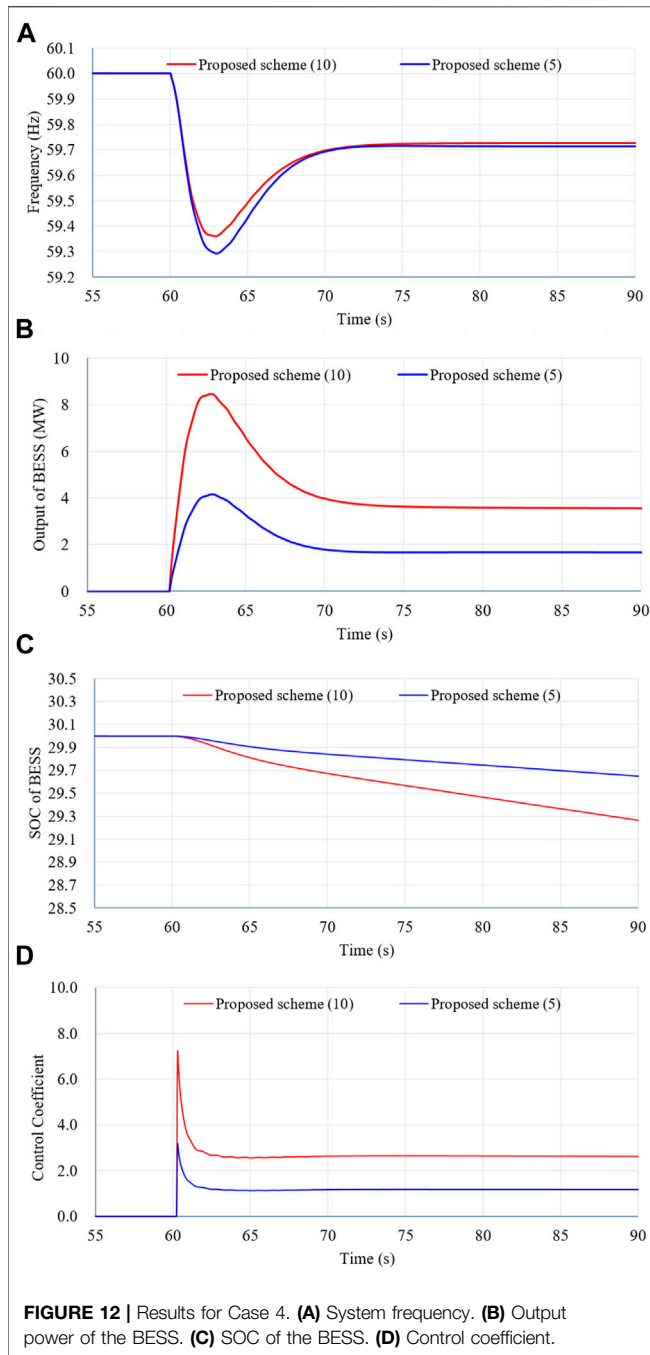


As shown in **Figure 9E**, the control coefficient of the proposed scheme with $\gamma = 10$ so that its output power is more than that of $\gamma = 5$.

Case 2: Wind Power Penetration Level of 30% and Disturbance of 50 MW

Figure 10 illustrates the simulation results of Case 2 with a high wind power penetration level and small size of the disturbance.

Compared with Case 1, the maximum frequency excursion, maximum ROCOF, and the system frequency of the steady state of no control scheme decrease to 59.395 Hz, -0.364 Hz/s, and 59.773 Hz, respectively; this is due to the online inertial constant and primary frequency regulation capability. In the proposed scheme with $\gamma = 5$, compared with the no control scheme, the



improvements of these indices are 0.092 Hz, 0.027 Hz/s, and 0.016 Hz, respectively (see in **Table 1**). In the proposed scheme with $\gamma = 10$, compared with the no control scheme, the improvements of these indices are 0.162 Hz, 0.055 Hz/s, and 0.034 Hz, respectively. This is because the BESS generates more active power to the electric power grid to compensate for the active power mismatch (see **Figures 9B,E**).

The improvements of the system frequency maximum excursion, the maximum rate of change of frequency, and the settling system frequency in the proposed frequency regulation

schemes are more than those in Case 1 due to the large increase of the active power injection.

In **Figure 10B**, the peak values of the active power for the proposed scheme with $\gamma = 10$ and the proposed scheme with $\gamma = 5$ are 9.8 and 5.1 MW, respectively. In the steady state, the power generated to the grid for both schemes are 4.3 and 2.1 MW, respectively. This is because of the large control coefficient (see **Figure 10E**). As a result, due to the use of large control coefficient, the SOC of the proposed scheme with $\gamma = 10$ decreases more than that of the proposed scheme with $\gamma = 5$ (see **Figure 10C**).

Case 3: Wind Power Penetration Level of 15% and Disturbance of 80 MW

Figure 11 illustrates the simulation results of Case 3 with a low wind power penetration level and a large size of the disturbance.

Compared with Case 1, the maximum frequency excursion, maximum ROCOF, and the system frequency of the steady state of no control scheme decrease to 59.196 Hz, -0.476 Hz/s, and 59.696 Hz, respectively; this is due to the large power deficit. Compared with the no control scheme, the proposed schemes (with $\gamma = 5$ and $\gamma = 10$) are able to improve the indices in terms of the maximum frequency excursion, rate of change of the system frequency, and the settling frequency.

The improvements of the system frequency maximum excursion, maximum rate of change of frequency, and the settling system frequency in the proposed frequency regulation schemes are more than those in Case 1 due to the large increase of the active power injection calculation by (6) (see **Figure 11B**).

Case 4: Wind Power Penetration Level of 15%, Disturbance of 80 MW, and Inertial SOC of 30%

Figure 12 illustrates the simulation results of Case 4 with a low wind power penetration level, large size of the disturbance, and low initial SOC of the BESS.

Compared with Case 3, the indices of the maximum frequency excursion, maximum ROCOF, and the settling frequency of the proposed schemes with $\gamma = 5$ decrease to 59.292 Hz, -0.462 Hz/s, and 59.713 Hz, respectively; this is due to the less power injection from the BESS. The proposed scheme with $\gamma = 10$ is able to improve the indices in terms of the maximum frequency excursion, rate of change of the system frequency, the settling frequency due to the larger control coefficient (see **Figure 12D**).

Results of all the aforementioned cases represent that the proposed frequency regulation scheme of the BESS can enhance the maximum rate of change of the system frequency and the settling frequency, and suppress the maximum frequency excursion effectively under various disturbances and wind power penetration level. As the sizes of disturbances and wind power penetration level increase, the frequency excursion becomes severe; as a result, the benefits for improving the frequency regulation capability become better. The frequency regulation capability becomes worse in the case of a low initial SOC.

TABLE 1 | Summary of all cases.

Index	Control scheme	Case 1	Case 2	Case 3	Case 4
Maximum frequency excursion (Hz)	Proposed ($\gamma = 10$)	59.641	59.557	59.427	59.360
	Proposed ($\gamma = 5$)	59.581	59.487	59.330	59.292
	No control scheme	59.498	59.395	59.196	59.196
Settling frequency (Hz)	Proposed ($\gamma = 10$)	59.838	59.807	59.740	59.726
	Proposed ($\gamma = 5$)	59.825	59.789	59.720	59.713
	No control scheme	59.810	59.773	59.696	59.696
Maximum ROCOF (Hz/s)	Proposed ($\gamma = 10$)	-0.268	-0.309	-0.427	-0.444
	Proposed ($\gamma = 5$)	-0.288	-0.337	-0.454	-0.462
	No control scheme	-0.297	-0.364	-0.476	-0.476

CONCLUSION

This research suggests a frequency regulation scheme of the BESS to improve the maximum frequency excursion, rate of change of the system frequency, and the settling frequency. To this end, the droop control with the input of the frequency deviation is implemented in the controller of the BESS. The performances of the proposed frequency regulation strategy are verified with various sizes of disturbance, various initial SOC, and wind penetration levels based on the EMTP-RV simulator. The contributions of this proposed strategy are as follows:

- 1) The proposed frequency regulation coefficient is a function of the SOC. Thus, the proposed droop control scheme can adaptively adjust the frequency regulation capability while avoiding over-charging to the frequency drop based on the SOC.
- 2) The proposed frequency regulation coefficient is coupled with the frequency deviation which can reflect the size of the disturbance. Thus, the proposed frequency regulation strategy can adaptively adjust the frequency regulation capability under severe disturbances.

Simulations clearly indicate that the proposed frequency regulation scheme of the BESS can reduce the maximum rate of change of the system frequency and the settling frequency and suppress the maximum frequency excursion effectively

under various disturbances and wind power penetration levels. With the increasing sizes of disturbance and wind power penetration levels, the frequency excursion becomes severe; consequently, the benefits for enhancing the frequency regulation capability grow better. The proposed frequency regulation strategy is conducive to integrating more wind power into the power grid.

In the future, the frequency regulation strategy would be designed to suppress the frequency excursions for a long time period under various wind speeds and load conditions in a realist power system.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

AUTHOR CONTRIBUTIONS

JH and DY conducted the back ground research of the project. DY proposed the methodology of the project. JH and DY completed the main theory and simulation content. JH and DY completed the writing of the paper. The work was supported by the fund of JH and DY.

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