

# Modeling Yield, Biogenic Emissions, and Carbon Sequestration in Southeastern Cropping Systems With Winter Carinata

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Sustainable aviation fuel (SAF) production from lipids is a technologically mature approach for replacing conventional fossil fuel use in the aviation sector, and there is increasing demand for such feedstocks. The oilseed Brassica carinata (known as Ethiopian mustard or simply carinata) is a promising SAF feedstock that can be grown as a supplemental cash crop over the winter fallow season of various annual crop rotations in the Southeast US, avoiding land use changes and potentially achieving some of the soil carbon sequestration and ecosystem service benefits of winter cover crops. However, carinata may require more intensive management than traditional cover crops, potentially leading to additional soil greenhouse gas (GHG) emissions through increased carbon losses from soil tillage and nitrous oxide (N<sub>2</sub>O) emissions from nitrogen fertilizer application. In this work, the 2017 version of the processbased DayCent ecosystem model was used to establish initial expectations for the total regional SAF production potential and associated soil GHG emissions when carinata is integrated as a winter crop into the existing crop rotations across its current suitability range in southern Alabama, southern Georgia, and northern Florida. Using data from academic and industry carinata field trials in the region, DayCent was calibrated to reproduce carinata yield, nitrogen response, harvest index, and biomass carbon-to-nitrogen ratio. The resulting model was then used to simulate the integration of carinata every third winter across all 2.1 Mha of actively cultivated cropland in the study area. The model predicted regional average yields of 2.9–3.0 Mg carinata seed per hectare depending on crop management assumptions. That results in the production of more than two million Mg of carinata seed annually across the study area, enough to supply approximately one billion liters of SAF. Conventional management of carinata led to only modest increases in soil carbon storage that were largely offset by additional N<sub>2</sub>O emissions. Climate-smart management via adopting no-till carinata

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establishment or using poultry litter as a nitrogen source resulted in a substantial net soil GHG sink (0.23–0.31 Mg  $CO_2e$  ha<sup>-1</sup> y<sup>-1</sup>, or 0.24–0.32 Mg  $CO_2e$  per Mg of seed produced) at the farms where carinata is cultivated.

Keywords: carinata, winter oilseed, soil carbon, ecosystem modeling, Daycent model, sustainable aviation fuel, life cycle assessment, climate-smart agriculture

## INTRODUCTION

Of the different measures proposed to reduce fossil fuel use in aviation, shipping, and long-haul transport, biofuels are seen as one of the most technologically mature and cost-effective approaches (Fulton et al., 2015; Davis et al., 2018). Many decarbonization scenarios project a significant role for advanced liquid biofuels, working in conjunction with demand management and other low-carbon fuel alternatives (Williams et al., 2021). Sustainable aviation fuels (SAF) are the central element of many aviation sector decarbonization plans, which anticipate a substantial build-out of biofuel production facilities (Chiaramonti, 2019). Most SAF production today involves converting waste oils from the food sector to hydrogenated esters and fatty acids (HEFA), the cheapest and most technologically mature SAF production pathway (O'Malley et al., 2021). Waste oil supplies are limited, but the cultivation of purpose-grown oilseed crops offers an alternative more scalable feedstock option (Zemanek et al., 2020; O'Malley et al., 2021).

In addition to the transportation sector, urgent measures are also needed to reduce emissions from the agricultural sector consistent with climate stabilization targets (Clark et al., 2020). Priorities include increasing soil organic carbon (SOC) storage and reducing emissions of nitrous oxide (N2O) associated with nitrogen (N) fertilizer use (Paustian et al., 2016; Rockström et al., 2016; Minasny et al., 2017). Winter cover crops are a means to improve both SOC levels and N management. Replacing winter fallow periods with vegetation cover may contribute to increased annual net primary production and soil carbon. Meta-analyses report SOC increase rates of 0.2-0.6 Mg C ha<sup>-1</sup> y<sup>-1</sup> from the addition of cover crops, with the largest SOC benefits observed under non-legume cover crops in temperate climates and on finetextured soils (Poeplau and Don, 2015; Abdalla et al., 2019; Jian et al., 2020; McClelland et al., 2021). Winter cover crops can also immobilize soil mineral N and potentially improve system N use efficiency through reduced nitrate leaching (Tonitto et al., 2006; Abdalla et al., 2019), though cover crop effects on N<sub>2</sub>O vary depending on how residues are managed and whether legume species are included (Muhammad et al., 2019).

Winter oilseed cash crops present an opportunity for temporal intensification to produce SAF feedstock without displacing existing summer crop production or requiring land use change (Heaton et al., 2013), while potentially achieving some of the same soil health benefits as winter cover cropping. Options for oilseed cultivation include pennycress integrated into corn-soybean rotations in the US Corn Belt (Markel et al., 2018; Cubins et al., 2019) and camelina integrated into winter wheat-fallow rotations in the Great Plains (Resurreccion et al., 2021). In the relatively mild climate of the Southeast US, *Brassica carinata* can achieve greater yields than other oilseed species. Carinata seed contains a large proportion (~40% by mass) of high-quality oil that is readily converted to SAF with existing technologies (George et al., 2021). The carinata seed meal remaining after oil extraction is protein-rich and has value as animal feed (Schulmeister et al., 2019b; Schulmeister et al., 2019a; Schulmeister et al., 2021). Carinata stems, leaves, and roots remain in the field after seed harvest, where they can contribute to SOC. The Southeast Partnership for Advanced Renewables from Carinata (SPARC) is a public–private partnership funded by the US Department of Agriculture to advance carinata for these multiple applications, in collaboration with relevant stakeholders (George et al., 2021).

As with the introduction of any new crop, much is unknown regarding the best management practices, likely vields, environmental consequences, and financial outcomes of growing carinata in the Southeast. While there have been limited measurements of SOC, N2O, and other soil GHGs for carinata grown as a summer crop in the cooler and drier climate of the Northern Great Plains (Li et al., 2019; Bhattarai et al., 2021), the authors are aware of no comparable soil GHG measurements for carinata grown as a winter cover crop in the Southeast. Furthermore, best management practices are still being developed, and it is unknown to what extent the agronomy of this winter cash crop can be optimized to simultaneously achieve both high yields as well as the environmental and soil health benefits expected of a cover crop. While cover-cropping generally increases SOC levels, carinata may require additional tillage operations for establishment (Iboyi et al., 2021) that could undermine this effect due to soil disturbance and SOC destabilization (Bailey et al., 2019). Similarly, economically viable carinata seed production requires the application of substantial amounts of N fertilizer during the winter (Seepaul et al., 2019a; Bashyal et al., 2021) which may increase N2O emissions (Crutzen et al., 2008; Roth et al., 2015).

This work used a process-based ecosystem model to estimate carinata productivity and net soil GHG sink performance when carinata is integrated into annual crop rotations within a subregion of the Southeast US. The model was calibrated and validated against data from carinata field trials in the region. The analysis assumed that carinata would be cultivated once every third winter (to limit disease and pest issues; Seepaul et al., 2019b) across all annual cropland in the region. In addition to a conventional carinata management scenario involving intensive field preparation and synthetic N fertilizer application, two alternative climate-smart management scenarios were also considered. This assessment focused on the biophysical dimensions of carinata production and local soil GHG



emissions. Other supply chain sustainability considerations such as upstream emissions from fertilizer production and the alternate fate of poultry litter are left for future consequential life-cycle assessment studies.

# MATERIALS AND METHODS

#### Study Area

This analysis considered the integration of winter-grown carinata within existing agricultural rotations across a sub-region of the Southeastern US covering southern Alabama, southern Georgia, and northern Florida (Figure 1). These study area boundaries were selected based on prior analysis of weather and soil suitability for existing commercial carinata varieties (Alam and Dwivedi, 2019). The LANDSAT-derived 2016 Land Cover database (NLCD) (Homer et al., 2020) was used to identify cultivated cropland in this study area. Four counties around the periphery of the study area (Franklin County in Florida and Camden, Lincoln, and McIntosh Counties in Georgia) contained no cultivated cropland and were excluded from further analysis. The remaining 163 counties included 2.34 Mha of cultivated cropland as per NLCD, distributed as shown in Figure 1A. The majority of the cultivated cropland in this study area falls within the Southern Coastal Plain Major Land Resource Area (MLRA 133A), an area of deep, loamy Utisols, Entisols, and Incepticols (USDA NRCS, 2006). Other MLRAs included in the study area were the Alabama and Mississippi

Blackland Prairie (135A); the Carolina and Georgia Sand Hills (137); the Eastern Gulf Coast (152A), Atlantic Coast (153A), and Southern Florida (155) Flatwoods; and the North-Central (138) and South-Central (154) Florida Ridge. Cultivated cropland in these areas supports various rotations of soybean, cotton, corn, wheat, and peanut. The remainder of the study area is dominated by woody wetlands and evergreen forest (Boryan et al., 2011).

This region has a humid subtropical climate as per the Köppen Climate Classification system. Average annual air temperature varies from 17 to 23°C across a north–south gradient (**Figure 1B**), and average annual precipitation varies from 105 cm in eastern Georgia to more than 150 cm at the Alabama Gulf Coast (**Figure 1C**), as computed using data from the North American Regional Reanalysis (NARR; Mesinger et al., 2006). Surface soil textures cover a wide range as computed from the Soil Survey Geographic (SSURGO) database (Ernstrom and Lytle, 1993), from moderate-texture soils in the northern part of the study area to extremely coarse (>90% sand) soils in central Florida (**Figure 1D**).

## **Ecosystem Model Calibration**

The DayCent ecosystem model was used to predict carinata seed yields and soil GHG effects in the region. DayCent is a processbased model that simulates carbon, N, and water cycling in natural and agricultural ecosystems on a daily timestep as a function of soils, climate, and management (Del Grosso et al., 2002). DayCent has previously been used to model a variety of other oilseed crops including canola (He et al., 2021), sunflower

 TABLE 1 | Field data sources used for DayCent model calibration and validation.

Site	Season(s)	Data Types	Use
Quincy, FL	2015/16	Yield response to N fertilizer rates Tissue C:N ratios Root:shoot ratios	Calibration
Quincy, FL	2017/18 2018/19	Seed and biomass yield	Validation
Jay, FL	2017/18 2018/19	Yield response to N fertilizer rates	Validation
Hawkinsville, GA	2017/18	Average seed yield	Validation
Dublin, GA	2017/18	Average seed yield	Validation
Wrightsville, GA	2016/17	Average seed yield	Validation
Blakely, GA	2017/18	Average seed yield	Validation
Byron, GA	2016/17	Average seed yield	Validation

(Gryze et al., 2010), and soybean (Zhang et al., 2020a). It has also been used extensively in bioenergy assessments to predict energy crop yields and associated changes in SOC storage and  $N_2O$ emissions (Field et al., 2018). DayCent estimates of crop production are sensitive to growing-season temperatures, as well as water and N stresses that reflect the balance of multiple input and loss mechanisms in addition to water and N movement vertically through the soil profile (Qian et al., 2019). These simulations utilized the DDcentEVI version of DayCent from May 2017.

Field data on carinata performance were collected by academic and industry collaborators at a variety of sites across the study area, as illustrated in Figure 1A and summarized in Table 1. Initial model calibration was based on data from plot-scale field trials conducted at the University of Florida North Florida Research and Education Center in Quincy, Florida over the winter of 2015/2016 (Seepaul et al., 2019a). The experimental site featured coarse-textured soil with 82% sand content. Carinata was grown as a winter crop following a fallow summer (i.e., outside of a standard regional crop rotation) under a range of N fertilizer application rates (0, 45, 90, and 135 kg N ha<sup>-1</sup> y<sup>-1</sup>). This trial produced data on seed yield after mechanical harvest, harvest index, root:shoot ratio, and C:N ratio of aboveground biomass at the time of harvest, which were used for DayCent calibration. The study featured a randomized complete block design with four replications, and the average results across all four replications were used for model calibration purposes.

A generalized carinata crop was created within DayCent by calibrating individual crop parameters manually with an iterative method for best fit against the calibration dataset, in a process similar to that described by Del Grosso et al. (2011) and Field et al. (2016). Carinata is photoperiod-sensitive, so crop phenology (i.e., emergence and physiological maturity) was set based on fixed calendar dates rather than as a function of growing degree day accumulation. Physiological maturity was set to occur on May 6 based on the average date observed in the field trials at Quincy FL and Jay FL listed in **Table 1**, plus additional trials at Quincy and in Shorter, Alabama. The 2017 version of DayCent does not explicitly represent the dynamics of annual crop physiological maturation and senescence, so crop growth was terminated on the physiological maturity date. Calibration results against the various measurements from the 2015/16 Quincy field trials are shown in **Supplementary Figure S1**. The calibrated model was generally able to reproduce key observations from the field trial, though with some tendency to under-estimate seed yield and aboveground biomass C:N ratios under lower N rates.

Following model calibration, seed yield data from multiple subsequent carinata field trials were used for independent model validation. Plot-scale, machine-harvested carinata yield data was collected during the 2017/2018 and 2018/2019 winter seasons under a single N fertilizer treatment at Quincy, Florida, and under a range of N application rates at the University of Florida West Florida Research and Education Center in Jay, Florida (Bashyal et al., 2021; Boote et al., 2021). Other aspects of the experimental design were consistent with the 2015/2016 Quincy experiment. In addition, the project commercial partner Agrisoma, Inc. (later acquired by Nuseed) provided field-scale yield data from trials conducted at five farms in Georgia, listed in **Table 1** using the name of the nearest town to preserve landowner anonymity.

No measurements of SOC change or  $N_2O$  emissions under carinata within the study area were available for model calibration or validation purposes at the time of this study.

### **Management Scenarios**

Existing cropping patterns in this region include corn, cotton, peanut, soybean, wheat, and sorghum grown in various rotations. Research is ongoing to develop early maturing carinata varieties and determine their best integration into existing rotations to relieve disease and pest cycles while minimizing any delay in summer crop planting (Seepaul et al., 2019b; George et al., 2021). This study considered the integration of carinata into annual crop rotations across all cultivated cropland in the study area. For simplicity, all cropland is modeled as being under a 3-year cotton-cotton-peanut rotation. Carinata should be grown only once every 3 years to minimize pest and disease issues (Seepaul et al., 2019b), so this analysis considered the integration of a single winter carinata crop over the winter between the two cotton summer crops (with the remaining two winters left fallow). These simulations are meant to be broadly representative of carinata integration into a range of existing crop rotations practiced across this region.

Within that modified rotation, a conventional carinata management scenario was considered involving tilled field preparation and synthetic fertilizer use, as well as two "climate-smart" management scenarios that could lead to improved soil GHG outcomes. The management scenarios draw heavily from the experience of agronomic field trials supported by the SPARC project (George et al., 2021) and its predecessors (Seepaul et al., 2019a). In both cases, the new rotation with carinata was evaluated against the continued business-as-usual cotton–cotton–peanut rotation with winter fallow.

#### **Conventional Management Scenario**

The conventional management scenario included conventional tillage practices for field preparation and synthetic fertilizer application to meet the N needs of the carinata crop. Field preparation was simulated as two moderately heavy disking

	Conventional Management	Climate-Smart Management: No-Till	Climate-Smart Management: Poultry Litter
Field Preparation	2 disk passes	Herbicide burn-down	2 disk passes
	Seed drill	Seed drill	Seed drill
N source	90 kg N ha <sup>-1</sup> y <sup>-1</sup> UAN-32	90 kg N ha <sup>-1</sup> y <sup>-1</sup> UAN-32	2 Mg litter ha <sup>-1</sup> y <sup>-1</sup>
	о́,	<b>C</b>	40 kg N ha <sup>-1</sup> y <sup>-1</sup> UAN-32
Annual seed production (Mt y <sup>-1</sup> )	2.03	1.97	1.99
Average seed yield rate (Mg ha <sup>-1</sup> )	2.96	2.88	2.91
SOC change rate (Mg C ha <sup>-1</sup> y <sup>-1</sup> )	0.028	0.093	0.088
SOC emissions (Mg CO <sub>2</sub> e ha <sup>-1</sup> y <sup>-1</sup> )	-0.104	-0.340	-0.323
Direct N <sub>2</sub> O emissions (Mg CO <sub>2</sub> e ha <sup>-1</sup> y <sup>-1</sup> )	0.053	0.028	0.075
Indirect N <sub>2</sub> O emissions (Mg CO <sub>2</sub> e ha <sup>-1</sup> y <sup>-1</sup> )	0.008	0.003	0.015
CH <sub>4</sub> emissions (Mg CO <sub>2</sub> e ha <sup>-1</sup> y <sup>-1</sup> )	-0.001	0.000	-0.001
Net $GHG_{soil}$ emissions (Mg CO <sub>2</sub> e ha <sup>-1</sup> y <sup>-1</sup> )	-0.043	-0.308	-0.234

TABLE 2 Summary of regional-scale simulation results for different carinata management scenarios. All soil GHG values are evaluated relative to the continued business-asusual cotton–cotton–peanut reference rotation.

steps (DayCent CULT H events), and carinata was planted in mid-November with a seed drill. A total of 90 kg N ha<sup>-1</sup> fertilizer was applied in the form of UAN-32 in a split application, with 20 kg N ha<sup>-1</sup> applied at the time of planting and the remainder applied in mid-February. This rate is slightly lower than the economic optimum rate estimated for carinata in this region (103 kg N ha<sup>-1</sup>; Seepaul et al., 2020) to maximize the soil GHG and ecosystem service benefits of the crop. Carinata was simulated as reaching physiological maturity on May 6, and it was assumed that harvest would occur in late May following a 3-week dry-down period, and the following summer crop planted immediately afterward.

#### **Climate-Smart Management Scenarios**

In addition to the conventional management scenario, two alternative climate-smart management scenarios (Paustian et al., 2016) designed to improve the soil GHG balance of carinata production were considered, as highlighted in **Table 2**. The first scenario assumed no-till establishment of carinata in which the disking of the conventional management scenario is replaced with an herbicide "burn-down" step, followed by drilling of carinata seed into the stubble of the last crop. Initial agronomic field trials suggest this may be a viable establishment method in this region (Iboyi et al., 2021). DayCent simulates a number of potential feedbacks on crop productivity from the notill establishment, including delayed germination from a surface litter mulching effect, and reduced rates of soil N mineralization.

The second climate-smart management scenario assumed that poultry litter is applied as an N-rich soil amendment and incorporated into the soil during disking, reducing the need for synthetic N fertilizer application (George et al., 2021). Data on poultry litter organic carbon ( $C_{org}$ ) and N concentrations were gathered from literature studies where both values were explicitly reported so that litter C:N ratios could be calculated (Das et al., 2002; Sharpley et al., 2009; Lynch et al., 2013; Rogeri et al., 2016; Ashworth et al., 2020), as summarized in **Supplementary Table S1**. Based on this analysis, a poultry litter organic amendment was parameterized with a C:N ratio of 7.3 and assuming that only half of total litter N content is plant-available in the first year after application (Gaskin et al., 2013). In this climate-smart scenario approximately half of the carinata N requirement is met through application and incorporation of 2.0 Mg  $ha^{-1}$  poultry litter at the time of crop establishment, and an additional 40 kg N  $ha^{-1}$  is applied in the form of UAN-32 in mid-February.

## Specifying and Executing Simulations Specifying DayCent Simulations

DayCent is a one-dimensional model, and multiple point simulations must be run to capture the heterogeneity in soils, climate, and land use history across the study area (Field et al., 2016). DayCent simulation requires input data on soil texture throughout the soil profile, as well as representative daily air temperature and precipitation totals. These inputs were derived via a GIS intersect of the NLCD land cover, SSURGO soil, and Precipitation-elevation Regressions on Independent Slopes Model (PRISM; Daly et al., 1994) weather data layers. The principal soil component was used as representative of each SSURGO soil map unit. PRISM data is available on a 4 km grid, though a  $3 \times 3$  nearest neighbor re-sampling (to a new effective grid size of 12 km) was performed to limit the number of simulations required. The simulations used 38 years of historic PRISM weather data (1981-2018) to represent past and future weather variability in this region.

Every unique combination of SSURGO soil component and re-sampled PRISM weather grid cell occurring on NLCD cultivated cropland represents a unique DayCent 'strata' requiring individual simulation. A total of 30,720 individual strata were identified in the study area. The density of strata was generally highest in counties with the greatest cropland area, as shown in **Supplementary Figure S2**.

#### Model Initialization and Batch Simulations

Model initialization was performed to estimate initial soil carbon and N levels in various model pools based on pre-cultivation land cover and region-specific dates of historic European settlement and associated cropping practices, aligned with the DayCent procedures used for the EPA Inventory of U.S. Greenhouse Gas Emissions and Sinks and farm-level GHG emissions accounting tools (Paustian et al., 2018; US EPA, 2020a). Next, 6 decades of cotton-cotton-peanut rotation was simulated on all cropland in the study area, so that soil carbon pools could approach new equilibrium values reflecting that management. Following that, a reference case of continued business-as-usual cotton-cotton-peanut rotation was simulated for 30 years into the future (starting in the year 2020), re-using historic PRISM weather data. In addition, carinata integration under each of the three different carinata management scenarios was also simulated for the future period. Each of these four forward scenarios was simulated for each DayCent strata (a total of 11.1 million new simulation-years) via batch execution on the Colorado State University Natural Resource Ecology Laboratory computing cluster.

#### Post-Processing and Analysis

# Post-Processing Carinata Yield and Soil Greenhouse Gas Emissions

DayCent model output for each strata was converted to per-area carinata seed yield rates and the various components of the soil GHG balance. DayCent reports crop yield in carbon units (g C  $m^{-2}$ ), and this was converted to per-area yield of field-dry seed at 8% moisture content (Mg seed  $ha^{-1}$ ) assuming a seed carbon concentration of 45% (the same assumptions utilized during model calibration and validation). Total annual production per county was calculated by multiplying the carinata yield rate calculated for each stratum by the area of NLCD cultivated cropland covered by that stratum, summing those results across each county, and then dividing by three to reflect that the crop is only cultivated once every 3 years.

The soil GHG emissions balance associated with carinata production was calculated from a variety of raw DayCent model outputs including total SOC (simulated to a depth of 20 cm), the emissions rate of N in the form of N<sub>2</sub>O from soil nitrification and denitrification processes, the loss rates of N via volatilization and nitrate leaching, and the soil oxidation rate of methane (CH<sub>4</sub>). The rates of annual SOC change (Mg C ha<sup>-1</sup> y<sup>-1</sup>), direct N<sub>2</sub>O emissions from nitrification and denitrification, and soil oxidation of CH<sub>4</sub> were extracted directly from DayCent model output and averaged across the full length of the 30-years forward simulation. Indirect emissions of N<sub>2</sub>O were estimated based on the average DayCent-simulated annual rates of N volatilization and leaching, using the indirect N<sub>2</sub>O emissions factors recommended by the Intergovernmental Panel on Climate Change (IPCC) guidelines (Eggleston et al., 2006).

The average rates of SOC change, direct and indirect  $N_2O$  emissions, and soil oxidation of  $CH_4$  under the business-as-usual reference rotation were then subtracted from the average rates calculated in each carinata scenario, to identify the relative changes attributable to carinata cultivation. Rates of soil carbon change were translated to a net flux of  $CO_2$  into or out of the soil profile, and  $N_2O$  and  $CH_4$  fluxes were translated into  $CO_2$ -equivalent terms based on their 100-year global warming potential as per IPCC guidelines (Stocker et al., 2014). The total net soil GHG balance of carinata production was calculated as the sum of these  $CO_2$ -equivalent emissions from SOC change (with SOC loss producing a positive emission to the atmosphere and SOC gain producing a negative emission from the atmosphere), direct and indirect  $N_2O$  emissions, and soil CH<sub>4</sub> oxidation (with

increased oxidation producing a negative emission from the atmosphere). Finally, direct  $N_2O$  emissions rates were compared to the total additional (synthetic and organic) N inputs for carinata production, to calculate  $N_2O$ -N emissions factors comparable to those used in IPCC emissions accounting (Eggleston et al., 2006).

#### **Cropland Area Correction**

The DayCent spatial modeling workflow required a remotely sensed land cover product like NLCD to identify specific cropland locations so that the underlying soils could be accurately represented. However, remotely-sensed land use products can differ significantly from one another, and from survey-based land-use estimates, in terms of the total cropland area quantified in each county (Johnson, 2013). The USDA Census of Agriculture (CoA; https://www.nass.usda.gov/AgCensus/) is generally considered the most accurate estimate of US cropland extent (Maxwell et al., 2008; Lark et al., 2020). Previous studies have shown that county cropland areas reported by NLCD and CoA agree well in agriculture-heavy areas of the US Corn Belt (Maxwell et al., 2008), but diverge significantly in regions with smaller farm size and lower agricultural land extent (Maxwell et al., 2008; Goslee, 2011), as is the case in the Southeast US.

To most accurately represent the true annual cropland extent in this region, DayCent results were re-scaled against the annual cropland area in each county as per the 2017 CoA. Actively utilized annual cropland was estimated from the sum of the "harvested", "failed", and "summer fallow" cropland subcategories within CoA, avoiding areas classified as idle cropland or cropland-pasture (which are included in the toplevel census "cropland" category, but are generally not representative of active annual crop rotations) (US EPA, 2018; Lark et al., 2020). Supplementary Figure S3 shows how the remotely-sensed NLCD product tends to over-estimate cropland area relative to CoA in the relatively crop-dense center of the study area, but underestimate it elsewhere. This re-scaling procedure revised the total cropland extent in the study area downward by 12% (from 2.34 Mha down to 2.05 Mha) and reduced the estimate of total regional carinata seed production by a similar amount. This procedure assumed that NLCD provides an unbiased estimate of which soils within a given county are cropped, i.e., there is minimal correlation between NLCD classification errors and underlying soil type.

# Results in the Context of Sustainable Aviation Fuel Production

Simulated carinata seed yields and soil GHG emissions results were also interpreted in the context of SAF production. Total regional carinata–SAF production potential was calculated based on a prior estimate of 524 L of SAF yield per Mg of carinata seed from a HEFA conversion process (Alam and Dwivedi, 2019). Based on that conversion yield, and assuming an SAF energy density of 30.8 MJ per liter, soil GHG results were also expressed in terms of their potential contribution to the GHG footprint of carinata-derived SAF, i.e., in units of g  $CO_2e$  (MJ fuel)<sup>-1</sup>. Such calculations must reflect that carinata seed crushing produces both carinata oil and a seed meal co-product (with value as a



high-protein animal feed supplement), and that converting carinata oil to SAF via a HEFA process also yields propane and naphtha fuel fractions. Thus, SAF constitutes only 32% of the total mass, 62% of the total market value, and 49% of the total energy content of all products derived from carinata seed (Alam et al., 2021), and it should only be allocated a comparable amount of the total environmental burdens and benefits of carinata production.

## RESULTS

#### **Model Validation**

**Figure 2** shows the results of validating the DayCent carinata model against an independent set of carinata seed yield data as described in **Table 1**. Only results for 90 kg N ha<sup>-1</sup> fertilizer treatments are included, to focus on the model's ability to capture site-to-site and year-to-year variability. The calibrated DayCent model was able to reproduce approximately 1/3 of the variability in carinata yield observed across site–years, with a normalized root mean square error of 0.26. Additional validation results that include the full range of N treatments at the Jay, FL field trial site are shown in **Supplementary Figure S4**.

#### **Regional Seed Yield**

Simulated yields were similar across all three carinata management scenarios. Representative results for the conventional carinata management scenario are shown in **Figure 3**. The average simulated carinata seed yield across the study area was  $2.96 \text{ Mg ha}^{-1}$  in that scenario, with maximum county-averaged values of approximately  $3.2 \text{ Mg ha}^{-1}$  achieved in southwestern Georgia (**Figure 3A**). The simulated yield was lower and more variable from year-to-year (**Supplementary Figure S5**) on the sandy soils of central Florida, likely attributable to N stress driven by nitrate leaching.

**Figure 3B** shows average annual seed production in each county when carinata was integrated every third year into existing rotations across all actively utilized annual cropland. Annual production of more than 40,000 Mg was achieved in multiple counties across Georgia, as well as counties on the Alabama Gulf Coast and Florida Panhandle with high yields and relatively large amounts of cropland (**Supplementary Figure S3B**). Total regional carinata seed production is summarized in **Table 2** for the three different carinata management scenarios. This total production was relatively constant over the management scenarios analyzed, at approximately 2.0 million tonnes (Mt).

### Soil Carbon

**Figure 4** shows illustrative soil carbon results under the climatesmart no-till scenario for four randomly selected DayCent simulation strata (0.01% of the total), with the underlying soil surface texture indicated by color. In general, finer-textured soils with high clay and silt content were associated with higher overall SOC levels, and larger differences between the business-as-usual reference rotation (solid lines) and the carinata scenarios (in this case, the climate-smart no-till scenario; dashed lines). In DayCent, soil microbial carbon use efficiency is controlled by soil texture; finer-textured soils with high clay and silt content have lower respiration losses and stabilize more SOC per unit of carbon input. The jaggedness in the SOC lines was due to interannual variability in temperature and precipitation, which affect both simulated plant productivity (i.e., carbon inputs to the soil) and heterotrophic respiration rates (carbon losses from the soil).

These simulations suggest that carinata integration would lead to soil carbon increases at average regional rates of 0.028, 0.093, and 0.088 Mg C ha<sup>-1</sup> y<sup>-1</sup> under the conventional, no-till, and poultry litter





FIGURE 4 | Representative soil carbon modeling results for the climatesmart no-till establishment scenario, showing four randomly selected simulation strata. The solid lines show SOC trends under the business-asusual cotton–cotton–peanut reference rotation for each stratum, with the underlying soil texture indicated by color. Carinata integration starts in 2020 and results in an increasing SOC trend (dashed lines).

management scenario, respectively (Table 2). These rates are relatively low compared to the 0.2-0.6 Mg C ha<sup>-1</sup> y<sup>-1</sup> quantified in metaanalyses of winter cover crops. The lower rates simulated here are likely attributable to the assumption that carinata is grown only once every 3 years (compared to annual use of cover crops). Additionally, DayCent predicts higher rates of soil microbial activity in warm, wet climates and lower soil microbe carbon efficiency on sandy soils, which would all contribute to reduced soil organic carbon stabilization in this particular region (Figures 1B-D). SOC increase rates were lowest in the sandy soils of central Florida, and highest in the relatively fine-textured soils in the northwestern and northeastern edges of the study area (Figures 5A-C). This effect is consistent with a recent meta-analysis that found the highest rates of SOC sequestration under winter cover crops in temperate climates and on fine-textured soils (Jian et al., 2020). These simulated regional SOC increase rates are equivalent to a soil CO2 sink (i.e., a negative emission) of 0.10-0.34 Mg  $\dot{CO}_2 ha^{-1} y^{-1}$  (**Table 2**).

# N<sub>2</sub>O and Net Soil Greenhouse Gas Balance

Nitrous oxide emissions are often expressed in terms of emissions factors describing the fraction of applied N that is emitted in the form of  $N_2$ O-N. DayCent predicted that 0.01–0.5% of N applied



**FIGURE 5** DayCent-simulated soil GHG fluxes under different carinata cultivation scenarios, relative to the continued business-as-usual reference rotation. (A–C) increase in soil organic carbon storage. (D–F) The fraction of new N applications lost in the form of direct nitrous oxide emissions. (G–I) The net soil GHG footprint of carinata seed production, which reflects the sum of positive  $CO_2$ -equivalent emissions from additional N<sub>2</sub>O production, plus negative  $CO_2$ -equivalent emissions associated with an increased soil sink of carbon and CH<sub>4</sub>.



in the form of UAN-32 or poultry litter would be lost as direct  $N_2O$  emissions from soil nitrification and denitrification processes (**Figures 5D-F**). This range falls largely below the IPCC default emissions factor range of 0.3–3% (Eggleston et al., 2006). This is likely a function of the coarse-textured soils in this region, which limits water-filled pore space and associated anoxic denitrification losses. The highest simulated  $N_2O$ -N emissions factors occurred on the relatively fine-textured soils in the northwestern and northeastern edges of the study area and fall within the 0.3–3% IPCC default range. Average regional direct  $N_2O$  emissions in CO<sub>2</sub>-equivalent units were 0.05–0.08 Mg CO<sub>2</sub>e ha<sup>-1</sup> y<sup>-1</sup> (**Table 2**). These new  $N_2O$  emissions offset about half of the CO<sub>2</sub>-equivalent SOC sink in the conventional management scenario, but only 8% of the SOC sink in the climate-smart no-till management scenario.

Simulated indirect  $N_2O$  emissions rates and changes in soil  $CH_4$  oxidation rates were very small in comparison (**Table 2**), so the total soil GHG balance (GHG<sub>soil</sub>) of carinata production was dominated by the SOC and direct  $N_2O$  terms. Figures **5G–I** shows regional patterns in the GHG<sub>soil</sub> footprint of carinata seed production (i.e., GHG<sub>soil</sub>/annual seed yield).

In the climate-smart management scenarios, the  $CO_2$ equivalent SOC sink exceeded  $N_2O$  emissions across the entire study area, such that carinata seed production was always associated with a net soil GHG sink. In contrast, the SOC sink was relatively weak in the conventional management scenario, so many individual counties were approximately soil GHG-neutral or even a small net GHG source (e.g., counties in central Florida; **Figure 5G**).

### Total Regional Seed and Sustainable Aviation Fuel Production

Total regional carinata SAF production potential was insensitive to the different management scenarios investigated. Cultivating carinata once every 3 years across the 2.05 Mha of active annual cropland in the study area would yield 1.97-2.03 Mt of carinata seed annually, enough feedstock to produce 1.03-1.06 billion liters (GL) of SAF (Figure 6A). However, the soil GHG footprint of carinata production is much more sensitive to management (Figure 6B). The net soil GHG balance was approximately neutral in the conventional management scenario, with a modest net SOC sink largely offset by new N<sub>2</sub>O emissions. The error bars in Figure 6B denote two standard deviations of field-to-field variability (i.e., the variability in different DayCent strata simulations), showing that farm-level soil GHG outcomes would range from a small net GHG source to a moderate net GHG sink. In contrast, the climate-smart management scenarios resulted in substantial and consistent net soil GHG sinks of 0.23–0.31 Mg  $CO_2e$  ha<sup>-1</sup> y<sup>-1</sup>. Since each hectare of land yields approximately 3 Mg of carinata seed when it is cultivated once every 3 years, this soil GHG sink is equivalent to 0.24-0.32 Mg CO2e per Mg of carinata seed produced.

These soil GHG emissions results can also be expressed on the basis of SAF produced, for illustrative purposes. Using market-based allocation, 62% of the soil GHG benefits of carinata seed production should be allocated to SAF (with the remaining 38% allocated across the various other coproducts derived from that seed). The soil GHG sinks in the climate-smart management scenarios correspond to a 9.2-12.4 g CO<sub>2</sub>e MJ<sup>-1</sup> reduction in the overall GHG footprint of the resulting SAF. For comparison, that is equivalent to 10.4-13.9% of the GHG footprint of conventional aviation fuel (89 g  $CO_2e$  MJ<sup>-1</sup>; Prussi et al., 2021). While this calculation helps to illustrate the magnitude of the soil GHG benefits in relation to the amount of SAF produced, note that it stops short of a full life-cycle accounting for upstream emissions associated with fertilizer production and spreading, the alternate fate of poultry litter, and more formal displacement method coproduct crediting.

#### DISCUSSION

Carinata has been previously investigated as a feedstock for SAF or other biofuel production when grown as a summer

crop integrated into existing winter wheat-fallow rotations in the US Great Plains (Bhattarai et al., 2021), or on marginal lands in the semi-arid climates of the Mediterranean Region (Cardone et al., 2003; Montemurro et al., 2016) and elsewhere (Hagos et al., 2020). This study suggests that winter carinata cultivation can contribute to the sustainable intensification of existing annual cropping systems in the Southeast US, producing a valuable SAF feedstock while simultaneously improving soil organic matter levels on the farm. Integrating carinata every third year into existing annual crop rotations across the ~2 Mha of active annual cropland in this region (1.5% of total US cropland) results in enough feedstock to produce approximately one billion liters of SAF. This is complementary to other winter oilseeds such as pennycress that are more suitable in other climates (Markel et al., 2018; Cubins et al., 2019). All of the scenarios studied are expected to result in a net sink of soil GHG at the regional scale, and climate-smart management introduces a significant negative emissions term within the life cycle of carinata-derived SAF fuel. This effect would persist over the first several decades of production before soil carbon levels come to equilibrium at a higher level under the new management.

This study should be viewed as a first exploratory estimate of carinata production potential and soil GHG impacts in this region, though it is based on a limited amount of field data. The DayCent yield modeling has reasonable fidelity for this exercise (Figure 2), though it lacks the granularity necessary to reliably inform individual farmer management decisions. In particular, there is limited long-term data on the susceptibility of carinata to frost damage and no measurements of changes in SOC levels or N<sub>2</sub>O emissions under carinata available from this region for model validation purposes. Additionally, there are reasons for caution when estimating regional resource potentials using models that have been developed all or in part using data from small-scale plot trials. It has been suggested that yields measured in small-scale trials should be interpreted as representing "the highest potential range rather than an expected near-future performance at commercial level" (Mola-Yudego et al., 2015). However, all of the plot trials analyzed here were machine-harvested (eliminating that as a potential source of bias), and the final calibrated DayCent model under-estimates yield for four of the five larger field-scale trials (Hawkinsville, Dublin, Blakely, and Byron; Figure 2), so there is no evidence of potential scale-related bias in the regional projections at this time.

There are a number of other limitations to the modeling approach used here. The DayCent model focuses only on SOC dynamics in the surface layer of soil (to 20 cm depth). While there is a well-established SOC benefit from replacing winter fallow periods with crops, tillage effects on SOC are found to be smaller and much more uncertain when evaluated across the full depth of the soil profile (Ogle et al., 2019), and thus DayCent and many other process-based ecosystem models may over-estimate SOC differences between different tillage practices. In addition, the version of DayCent used for this analysis does not endogenously represent crop phenology, which likely limits the model performance observed in the independent validation (Figure 2). The model simulates a reduced rate of plant growth on cold days, but it does not represent plant mortality from frost damage in photoperiodsensitive crops. While this study area was selected to minimize frost risk (Alam and Dwivedi, 2019), and the effects of frost can be mitigated using best management practices (Mulvaney et al., 2018; Seepaul et al., 2019b), this model limitation may still result in some overestimation of the production potential from this region. A newer version of DavCent that dynamically predicts crop phenology stages, explicitly simulates leaf area index, and represents crop mortality from frost is under testing (Zhang et al., 2018; Zhang et al., 2020b), but was not readily available at the time of this study.

Identifying the agricultural land base across the Southeast US on which winter oilseed crops might ultimately be viable is also challenging. Carinata requires careful integration into existing cropping systems so that its spring maturation and harvest do not clash with the planting of the subsequent summer cash crop (Nóia Júnior et al., 2022). Winter carinata can probably be grown before sorghum or soybean summer crops, but chemical desiccants may be required to expedite carinata harvest (Seepaul et al., 2018) before crops such as cotton and peanut that require relatively early spring planting to reach full maturity. This is an active area of research, though this study assumes the viability of the cotton-carinata-cotton-peanut rotation a priori. For simplicity, the current analysis treats all annual cropland region as being managed in the under а cotton-cotton-peanut rotation. Future work could use the USDA Cropland Data Layer (CDL) (Boryan et al., 2011) to identify specific crop rotations in the region, and more selectively model carinata integration into only the most viable rotations. However, like the NLCD used in this assessment, CDL also shows some divergence with CoA cropland area statistics (Lark et al., 2017), particularly in areas outside the agriculturally-dense Corn Belt (Larsen et al., 2015).

Finally, this study assesses the biophysical impacts of winter carinata cultivation but does not include a full lifecycle assessment. This modeling focuses on soil GHG emissions (the dominant source from the US agricultural sector; US EPA, 2020b) but ignores emissions from farm energy use and inputs such as nitrogen fertilizer. The climate-smart management scenarios include quantification of the local soil carbon benefits of poultry litter application, though the full environmental impacts of that practice depend on the alternative uses of that litter (Beausang et al., 2020). There are a variety of environmental issues associated with the indiscriminate surface application of poultry litter in agricultural fields (Bolan et al., 2010), and thus soil incorporation of poultry litter in carinata systems is likely an environmentally preferable disposal option. However, the resulting soil C sequestration may not be additional (i.e., it might have occurred anyways during business-as-usual management of poultry litter waste), so these poultry litter results should be interpreted as an estimate of local sequestration potential rather than a broad impact assessment meant to inform policy (Plevin et al., 2014). The yield and soil GHG data presented here can be used as an input to life-cycle assessment studies (e.g., Alam et al., 2021) for a more holistic view of the sustainability of carinata–SAF.

## CONCLUSION

There is 2.05 Mha of active annual cropland across the region of southern Alabama, southern Georgia, and northern Florida suitable for growing current varieties of carinata as a winter cash crop with low frost risk. This modeling study estimates an average carinata seed yield of  $2.9-3.0 \text{ Mg ha}^{-1}$  across this study region, depending on crop management practices. Integrating carinata into existing annual crop rotations once every third winter would produce 2.0 Mt of carinata seed annually, which could be converted to over one billion liters of SAF, smaller fractions of other hydrocarbons, and a high-protein seed meal with value as a feed supplement.

The soil carbon and GHG emissions associated with carinata production are highly sensitive to management assumptions. Establishing carinata with conventional tillage and applying synthetic nitrogen fertilizer results in modest soil carbon gains (0.028 Mg C  $ha^{-1} y^{-1}$ ), the climate benefit of which is largely offset by new N<sub>2</sub>O emissions. In contrast, climate-smart management with no-till carinata establishment or using poultry litter as a synthetic N substitute results in more substantial soil carbon sinks at the farms where carinata is cultivated (0.093 and 0.088 Mg C  $ha^{-1} y^{-1}$ , respectively), and a net negative total soil GHG footprint of cultivation (-0.308 and -0.234 Mg CO<sub>2</sub>e ha<sup>-1</sup>  $y^{-1}$ , respectively). This is equivalent to -0.24 to -0.32 Mg CO<sub>2</sub>e per Mg of carinata seed produced, or a 9.2–12.4 g CO<sub>2</sub>e MJ<sup>-1</sup> reduction in the GHG footprint of the resulting carinata-derived SAF using market-based allocation. These results can be incorporated into full life-cycle assessment studies that consider the energy and material inputs to the rest of the carinata-SAF supply chain, e.g., Alam et al. (2021).

The potential for carinata scale-up in this region depends on minimizing frost damage and fitting well within existing crop rotations. Carinata breeding efforts are currently working towards more frost-tolerant and earlier-maturing varieties that can be harvested before interfering with the planting of the next summer crop in the rotation. There are also management options that can limit vulnerability to damage during frost events, and speed up drying (Seepaul et al., 2018). This study suggests that carinata cultivation can create a net soil GHG sink, leading to a modest reduction in the life-cycle GHG footprint of carinata–SAF, and improvements in soil organic matter levels. These results support carinata as a potential win-win-win for generating SAF feedstocks, creating new farm revenue streams, and improving soil quality in the Southeast US.

# DATA AVAILABILITY STATEMENT

Data and code supporting the conclusion of this article are available at https://doi.org/10.6084/m9.figshare.19372454. Additional modeling resources supporting the conclusion of this article will be made available by the authors, without undue reservation.

## **AUTHOR CONTRIBUTIONS**

JF conducted the modeling analysis and produced the first draft of the paper. GJ, MJM and RS provided field trial data and developed the crop management scenarios. KB scaled the plant-level yield data observed in field trials to per-hectare yields for modeling purposes. YZ, ME, AS and SW performed the initial model calibration, and YZ performed subsequent model validation. NH, GJ, MJM, RS and PD contributed to the development of baseline crop rotation assumptions. MFHM contributed to land use analysis. KP, SG and DW contributed to funding acquisition and project management. PD also contributed to research management. All authors contributed to the final editing of the paper.

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# SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fenrg.2022.837883/full#supplementary-material

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