



A Critical Note of Major Parameter Extraction Methods for Proton Exchange Membrane Fuel Cell (PEMFC)

Danyang Li, Bo Yang and Yiming Han*

Faculty of Electric Power Engineering, Kunming University of Science and Technology, Kunming, China

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*Correspondence:

Yiming Han
373482753@qq.com

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INTRODUCTION

In the context of the carbon peak and carbon neutral goals, the energy and power industries are undergoing unprecedented changes (Yang et al., 2015). It is particularly noteworthy that carbon neutrality will accelerate the zero-carbonization process of electricity growth, and there is an urgent need to reduce dependence on fossil energy (Yang et al., 2020a). Besides, a proton exchange membrane fuel cell (PEMFC) can convert chemical energy into electrical energy efficiently, without pollution, and is widely used in the fields of mobile equipment such as military, ships, and automotive equipment. However, parameter extraction of the PEMFC is a multivariable, multimode non-linear function optimization problem. Therefore, establishing an accurate and reliable PEMFC model is key to parameter extraction (Zhang et al., 2021). So far, the PEMFC parameter extraction strategy based on meta-heuristic algorithms and artificial neural network (ANN) has attracted widespread attention. However, the practical application of parameter extraction will face many challenges (Huang et al., 2021). Even in the peer-reviewed literature, the parameter extraction strategy is not fully considered, and the potential risks it brings are worth considering. This article clarifies the aforementioned problems and puts forward some opinions on different parameter identification methods. The remaining sections of this article are organized as follows: *Proton Exchange Membrane Fuel Cell Modeling* indicates parameter extraction using only meta-heuristic algorithms; the parameter extraction based on neural network is investigated in detail in *Parameter Extraction Using Only Meta-Heuristic Algorithms*; and *Parameter Extraction Based on Neural Network* presents the discussion and conclusion of this article.

PROTON EXCHANGE MEMBRANE FUEL CELL MODELING

As illustrated in **Figure 1**, the polarization curve characteristics of the PEMFC can help analyze the performance of fuel cells. In addition, the semiempirical model of the PEMFC can describe the working process according to the physical meaning represented by the parameters in the equation, which helps understand and optimize the performance of fuel cells.

In order to accurately analyze the input and output characteristics of the PEMFC, according to the PEMFC electrochemical model, the output voltage can be expressed as follows:

$$V_{\text{cell}} = E_{\text{Nernst}} - V_{\text{act}} - V_{\Omega} - V_{\text{conc}} \quad (1)$$

Moreover, E_{Nernst} represents the potential obtained by the PEMFC in open thermodynamic equilibrium; it can be described as follows:

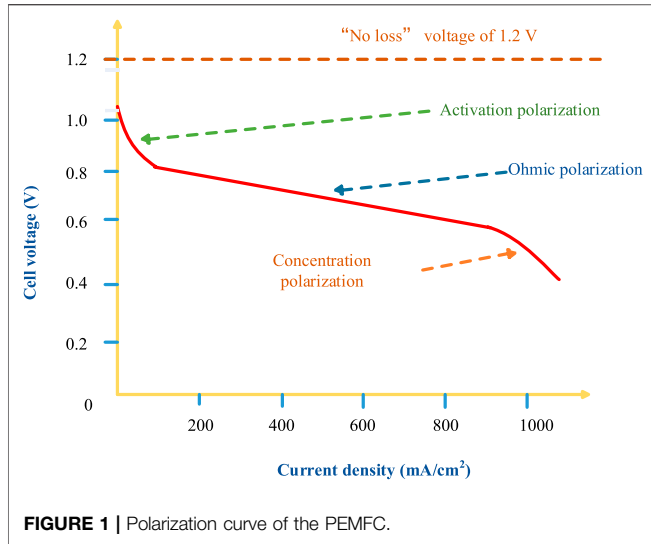


FIGURE 1 | Polarization curve of the PEMFC.

$$E_{\text{Nernst}} = \frac{\Delta G}{2F} + \frac{\Delta S}{2F} (T - T_{\text{ref}}) + \frac{RT}{2F} \left[\ln(P_{\text{H}_2}) + \frac{1}{2} \ln(P_{\text{O}_2}) \right]. \quad (2)$$

V_{act} denotes the activation overvoltage, and it is determined as follows:

$$V_{\text{Act}} = [\varepsilon_1 + \varepsilon_2 T + \varepsilon_3 T \ln(C_{\text{O}_2}) + \varepsilon_4 T \ln(i_{\text{FC}})], \quad (3)$$

where ε_i denotes the semiempirical coefficients and i_{FC} is the cathode current.

In addition, the ohmic voltage drop (V_{Ω}) is determined as follows:

$$V_{\Omega} = i_{\text{FC}} (R_m + R_c), \quad (4)$$

where R_m indicates the equivalent impedance of a proton membrane and R_c denotes the impedance.

Meanwhile, V_{conc} indicates the concentration voltage loss. It can be denoted as follows:

$$V_{\text{conc}} = -b \ln \left(1 - \frac{J}{J_{\text{max}}} \right). \quad (5)$$

In summary, it presents seven unknown parameters of the PEMFC, namely, ε_1 , ε_2 , ε_3 , ε_4 , λ , R_c , and b . **Table 1** shows the lower/upper bound of the unknown parameters for PEMFC models.

Besides, the evaluation standards play an important role in parameter extraction. Therefore, it is necessary to introduce several evaluation standards by appropriately selecting various evaluation standards to correctly verify whether the method can obtain satisfactory results. Among them, root-mean-square error

(RMSE) is very sensitive to the size error of a series of measurements.

Here, RMSE is used as the objective function, which can be written as follows:

$$\text{RMSE}(z) = \sqrt{\frac{1}{N} \sum_{k=1}^N (f(V_L, I_L, Z))^2}, \quad (6)$$

where N represents the numbers of I - V data; I_L is defined as output current, and V_L denote output voltage; and z represents the solution vector.

PARAMETER EXTRACTION USING ONLY META-HEURISTIC ALGORITHMS

As a stochastic method inspired by natural phenomena, meta-heuristic algorithms have the characteristics of high flexibility (Yang et al., 2020b), no need to establish precise mathematical models, and can solve the optimization problems of highly non-linear systems. Thus far, meta-heuristic algorithms have made some progress in improving search capability and efficiency (Yang et al., 2019), which has attracted widespread attention. Since the electrical model of the PEMFC is a complex system with the characteristics of multivariable, strong coupling, and non-linearity, therefore, the PEMFC parameter extraction strategy based on meta-heuristic algorithms has received extensive attention and has become a very active research field in recent years (Yang et al., 2020c). So far, meta-heuristic algorithms have been roughly divided into four categories, which are based on biology, physics, sociology, and mathematics. In addition, many meta-heuristic algorithms have been applied to PEMFC parameter extraction, for example, antlion optimization algorithm (ALO) (Isa et al., 2019), particle swarm optimization algorithm (PSO) (Ye et al., 2009), biogeography-based optimization (BBO) (Gong and Cai, 2014), improved beetle antennae search (IBAS) (Sun et al., 2020), hybrid artificial bee colony algorithm (ABC) (Oliva et al., 2014), vortex search algorithm (VSA) (Fathy et al., 2020), differential evolution (DE) (Chakraborty et al., 2012), moth flame optimizer algorithm (MFO) (Messaoud et al., 2020), multi-verse optimizer (MVO) (Zhao et al., 2016), gray wolf optimizer (GWO) (Yang et al., 2017), genetic algorithm (GA) (Ohenoja and Leiviskä, 2010), flower pollination algorithm (FPA) (Priya and Rajasekar, 2019), and equilibrium optimizer (EO) (Seleem et al., 2021).

In addition, it should be noted that meta-heuristic algorithms still have some shortcomings in terms of convergence speed and computational efficiency, and it is easy to fall into the local

TABLE 1 | Searching range of each unknown parameter for PEMFC models.

Model parameter	ε_1	ε_2	ε_3	ε_4	λ	R_c	b
Lower bound X_l	-1.1997	0.001	3.6×10^{-5}	-0.00026	10	0.0001	0.0136
Upper bound X_u	-0.8531	0.005	9.8×10^{-5}	-0.0000954	23	0.0008	0.5

optimum during the optimization process (Zhang et al., 2016). Thus, in order to further improve the performance of the parameter extraction of meta-heuristic algorithms, a series of improved and mixed versions are proposed to improve the search efficiency and robustness and avoid falling into local optimization. In Niu et al. (2014); Zhang et al. (2015), the migration operator can effectively improve global search efficiency but lacks local deeply digging capabilities, which can easily lead to premature convergence. Therefore, combining the mutation theory and chaos strategy of the differential evolution (DE) algorithm with the original mutation strategy of the biogeography-based optimization (BBO) algorithm, the BBO with mutation strategy algorithm (BBO-M) was proposed, which effectively improves the global search efficiency, enhances the convergence speed, and provides a new research idea for PEMFC parameter extraction. Zhang and Wang (2013); Niu et al. (2014); Liu et al. (2020) developed an improved genetic algorithm (GA) based on adaptive RNA, called adaptive RNA (ARNA-GA), which uses an adaptive strategy to crossover and mutate according to the differences between different individuals. Therefore, compared with GA, ARNA-GA avoids premature convergence and improves the efficiency of global search, which is worthy of reference. In addition, in order to improve computational efficiency and global search capabilities, Yao et al. (2015); Chen et al. (2018); Fathy et al. (2020) studied a hybrid vortex search differential evolution (VSDE) algorithm, in which the control parameters are jointly adjusted by the DE and the vortex search algorithm (VSA). This makes VSDE highly reliable and effective in PEMFC parameter extraction. Specifically, the principle of the JAYA algorithm to improve the convergence speed is iterated at the same time until the optimal solution is achieved, avoiding low-quality solutions, and only needs to specify the two parameters of population size and algebra. At the same time, considering that the Nelder–Mead (NM) simplex strategy has the characteristics of simple structure and strong local development capabilities, Yu et al. (2019); Zhou et al. (2020) proposed a simple two-stage eagle strategy based on the JAYA algorithm and the Nelder–Mead simplex algorithm (JAYA-NM). The results show that the JAYA-NM algorithm exhibits satisfactory convergence speed and accuracy in PEMFC parameter extraction.

However, due to the inherent defect of randomness in meta-heuristic algorithms, the quality of optimal solution varies with the number of iterations and the number of populations (Xiong et al., 2021). The weight parameters assigned to algorithms should also be carefully chosen. For different experimental environments, it is necessary to set the algorithm parameters in a targeted manner in order to weigh the calculation amount of the algorithm and the quality of the solution. In addition, all the aforementioned methods can only be used for parameter extraction when the experimental data are sufficient and the influence of experimental data noise is not considered, which limits the accurate extraction of PEMFC parameters and cannot be accurately modeled. Therefore, it is a new and challenging task to extract PEMFC parameters under insufficient experimental data and noisy experiment environments.

PARAMETER EXTRACTION BASED ON NEURAL NETWORK

Parameter extraction methods using neural network are mainly aimed to make use of artificial neural network and its derivatives to improve the performance of PEMFC parameter extraction (Kalyan and Rao, 2021). The following outstanding advantages of ANN have attracted great attention in recent years: 1) It can be perfectly close to a complex non-linear relationship; 2) all qualitative information is stored in each neuron in the network, which has strong robustness and fault tolerance; 3) parallel distributed processing methods can quickly perform many operations; 4) can learn and adapt to uncertain systems; and 5) can handle both quantitative and qualitative knowledge.

At present, there are many kinds of neural network research methods, and the most fruitful research includes the BP algorithm of multilayer network, Hopfield network model, adaptive resonance theory, and self-organizing feature mapping theory. Besides, the accuracy of PEMFC parameter extraction results depends on the accuracy and reliability of raw data (Liu et al., 2021). However, in the procession of PEMFC parameter extraction *via* meta-heuristic algorithms, some data problems affecting the accuracy of parameter extraction are ineluctable, for example, the necessary raw data are not so enough that the reliability of the extracted parameters is reduced. In addition, the matter of noises in the original voltage and current data is also a common and inevitable problem. Thus, the introduction of neural network and its derivatives into the parameter extraction method can not only make the parameter extraction more adaptive for the raw data but also provide a more reliable fitness function for parameter extraction.

Thus far, Bayesian regularization neural network (BRNN) (Yang et al., 2021a), extreme learning machine (ELM) (Yang et al., 2021b), Levenberg–Marquardt backpropagation (LMBP) algorithm (Yang et al., 2021c), Elman neural network (ENN), deep belief network (DBN), support vector machine (SVM), random forest (RF), feedforward backpropagation (FFBP) (Wilberforce and Olabi, 2020), hidden semi-Mark model (HSMM) (Wu et al., 2017), and several other methods based on the neural network and its derivatives are applied to the parameter extraction research of fuel cells. Yang et al. (2021a) provide a novel idea for the research on parameter extraction of the PEMFC with noisy data, in which, due to the influence of data noise on the accuracy of extracted parameters, BRNN-based meta-heuristic algorithms are proposed to filter out the noises and prevent the “overfitting” phenomenon, improving the performance of PEMFC parameter extraction. Literature Yang et al. (2021b); Yang et al. (2021c) combines ELM and LMBP with several prominent meta-heuristic algorithms. Due to insufficient voltage and current data provided by the manufacturer, the accuracy of parameter extraction will be reduced. Among them, ELM training data compensates for the lack of data in parameter extraction and improves the accuracy of parameter extraction, but there is no standard for the number of original voltage and current data, that is, how much data is needed to meet the requirements under a certain application background

application requirement. In work Wilberforce and Olabi (2020) used artificial neural networks to compare the FFBP and data processing grouping method (GMDH) to determine voltage and current. The research result shows that GMDH neural network is better than FFBP neural network. Wu et al. (2017) combine an HSMM and empirical model, proposing an improved prediction model to predict the remaining service life of fuel cells. The experimental results show that compared with the existing fuel cell prediction methods, the prediction model has higher prediction accuracy and faster prediction speed. In addition, it is noticeable that these strategies are all dedicated to improving the accuracy (Erdiwansyah et al., 2021; Padhy and Panda, 2021; Yang et al., 2021d), stability, and efficiency of PEMFC parameter extraction in various adverse conditions but not further delving into the degree of influence of these adverse effects on the parameter extraction results and to what extent the affected results can be regarded as acceptable results (Petrone et al., 2013; Chatrattanawet et al., 2017; Muniappan, 2021).

However, the literature did not figure out the specific impact of noise data on PEMFC parameter extraction and the impact of environmental factors on the anti-interference ability of parameter extraction (Chen et al., 2020; Guo et al., 2020). For example, the noise range that the data can withstand in order to ensure the accuracy of parameter extraction in a certain application environment should be determined. In addition, there is an urgent need to develop a meta-heuristic algorithm based on neural network, which mainly aimed to make use of an artificial neural network and its derivatives to improve the PEMFC parameter extraction accuracy.

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DISCUSSION AND CONCLUSION

A reliable parameter extraction strategy is particularly important for PEMFC system performance evaluation and optimization, but it is still in the research and development stage. The efficiency and engineering practicability of this technology are the main challenges and are listed as follows:

Insufficient training data and excessive noise may lead to overfitting of the parameter extraction results, and operating cycles, thinning of the catalyst, and poisoning will lead to shortened battery life and performance degradation. Therefore, it can provide an effective and efficient tool to solve these problems and can be applied to life prediction and fault diagnosis based on the accurate extraction of experimental parameters. At the same time, it is necessary to develop and apply some new hybrid methods by combining the advantages of different meta-heuristic algorithms and neural networks to further obtain better performance. The hybrid method is a promising optimization method, which provides a new method to improve PEMFC parameter extraction accuracy. In addition, the proposed method is only evaluated under simulation conditions. Therefore, the next step for the researcher is to study its application to actual experimental data to test its actual performance.

AUTHOR CONTRIBUTIONS

DL helped with writing the original draft and editing. BY contributed to conceptualization. YH assisted with visualization and contributed to the discussion of the topic.

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