



## System Design and Application of Supercritical and Transcritical CO<sub>2</sub> Power Cycles: A Review

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Improving energy efficiency and reducing carbon emissions are crucial for the technological advancement of power systems. Various carbon dioxide (CO<sub>2</sub>) power cycles have been proposed for various applications. For high-temperature heat sources, the CO<sub>2</sub> power system is more efficient than the ultra-supercritical steam Rankine cycle. As a working fluid, CO<sub>2</sub> exhibits environmentally friendly properties.  $CO_2$  can be used as an alternative to organic working fluids in small- and medium-sized power systems for low-grade heat sources. In this paper, the main configurations and performance characteristics of CO<sub>2</sub> power systems are reviewed. Furthermore, recent system improvements of CO<sub>2</sub> power cycles, including supercritical Brayton cycles and transcritical Rankine cycles, are presented. Applications of combined systems and their economic performance are discussed. Finally, the challenges and potential future developments of CO<sub>2</sub> power cycles are discussed. CO<sub>2</sub> power cycles have their advantages in various applications. As working fluids must exhibit environmentally-friendly properties, CO<sub>2</sub> power cycles provide an alternative for power generation, especially for low-grade heat sources.

Keywords: Co<sub>2</sub> power cycle, supercritical Brayton cycle, transcritical Rankine cycle, waste heat recovery, geothermal power plant, solar power generation

## INTRODUCTION

Carbon dioxide ( $CO_2$ ) was first patented in 1850 as a refrigerant (Bodinus, 1999). In the 1930s and 1940s, with the advent of chlorofluorocarbons (CFCs),  $CO_2$  was gradually replaced. At present, environmental protection is a critical requirement in power system design. Therefore,  $CO_2$ , as a natural working fluid, attracts attention again (Kim et al., 2004). In 1969, Angelino studied the feasibility of applying a  $CO_2$  power cycle for nuclear power generation (Angelino, 1968). Recently, many studies have focused on the  $CO_2$  power cycle for high-temperature coal-fired power plants, solar power systems, and low-grade waste heat recovery (Wang et al., 2018b; Lee and Sanchez, 2020).

The average thermal efficiency of standalone  $CO_2$  cycles is approximately 40%. However, if a combined cycle is used, the thermal efficiency may rise to 50–60% (Crespi et al., 2017). The

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**Abbreviations:** CEPCI, chemical engineering plant cost index; CFC, chlorofluorocarbon; CHP, combine heat and power; CSP, concentrated solar power; GWP, global warming potential; HPT, high-pressure turbine; HTR, high-temperature recuperator; ICE, internal combustion engine; LNG, liquefied natural gas; LPT, low-pressure turbine; LTR, low-temperature recuperator; ODP, ozone depletion potential; ORC, organic Rankine cycle; PCHE, printed circuit heat exchanger; RC, Rankine cycle; sCO<sub>2</sub>, supercritical CO<sub>2</sub> power cycle; TAC, turbine-alternator-compressor; tCO<sub>2</sub>, transcritical CO<sub>2</sub> power cycle; VCC, vapor compression cycle.

supercritical CO<sub>2</sub> (sCO<sub>2</sub>) Brayton cycle has the advantages of high efficiency, compact size, and a moderate operation temperature of 400-750°C. However, the sCO<sub>2</sub> Brayton cycle is still at an early stage of deployment. Liu et al. (Liu et al., 2019) reviewed various sCO<sub>2</sub> cycles in terms of working fluid properties, structural configurations, applications, thermodynamic and thermoeconomic performances, experimental systems, and main component designs, including turbines, compressors, and printed circuit heat exchangers (PCHEs). Stein and Buck (Stein and Buck, 2017) presented several advanced CO2 power cycles for concentrated solar power (CSP) applications. Wang et al. (Wang et al., 2017) compared six different system layouts with reheating coupled with a molten salt energy storage system. Wu et al. (Wu et al., 2020) analyzed various sCO2 Brayton cycles for small modular reactors, generation IV reactors, and fusion reactors. White et al. (White et al., 2021) summarized the technical and operational challenges of sCO<sub>2</sub> power cycles, including turbomachinery and heat exchanger design, material selection, and optimal operation and control methods. Kumar and Srinivasan (Kumar and Srinivasan, 2016) reported the advancements in several transcritical CO2 (tCO2) cycles for solar power generation.

The aforementioned studies primarily focused on  $sCO_2$  power cycles or a specific application area. However, recent advancements in system design, the technical challenges, and  $tCO_2$  cycles for various applications require further investigation.  $CO_2$  power cycles have many different system configurations for different applications. It is necessary to select the most suitable configuration to maximize the performance of a specific application. This paper summarizes the system configurations and operation characteristics of various  $CO_2$  power cycles. State-of-the-art technical progress of  $sCO_2$  Brayton cycles and  $tCO_2$  Rankine cycles are discussed. Applications in combined power systems and economic performance are also discussed. This study can act as a reference for the system design of novel  $CO_2$  power cycles.

## THERMOPHYSICAL PROPERTIES OF CO2

Compared with conventional refrigerants, CO<sub>2</sub> as working fluid has the following advantages:

- non-toxic, non-corrosive, non-flammable, and will not cause an explosion;
- 2) rich reserves and cost-effectiveness;
- moderate critical pressure, and good stability in the application temperature range;
- 4) good compatibility with other materials and lubricants;
- high density at the supercritical state, small expander volume, and compact heat exchanger;
- 6) critical temperature and pressure can adapt to a variety of external heat sources;
- 7) environmentally friendly properties, zero ozone depletion potential (ODP), global warming potential (GWP) is 1;
- thermodynamic and transport properties of CO<sub>2</sub> are known, which are conducive to power cycle design;

TABLE 1	Thermophysical	properties	of CO <sub>2</sub> at	the critical	point.
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Property	Value	Unit
Temperature	304.13	К
Pressure	7.3773	MPa
Density	427.55	kg/m <sup>3</sup>
sobaric specific heat capacity	1371.9	kJ/kgK
Sound speed	138.8	m/s
Viscosity	30.213	µPaS
Thermal conductivity	337.93	mW/mK

 high thermal stability, high-temperature heat exchanger (directly exchange heat with a heat source to reduce heat loss), low system complexity.

High precision is crucial for the estimation of thermophysical properties. Generally, the Span and Wagner equation of state (EoS) is used, which has an uncertainty of 0.03-0.05% in density, 0.03-1% in the speed of sound, and 0.15-1.5% in heat capacity (Span and Wagner, 1996; Span and Wagner, 2003). Additionally, the EoSs of Kunz and Wagner (Kunz et al., 2007), MBWR, and FEQ (Schmidt-Wagner) (Ely et al., 1987) can be used to calculate the properties of mixtures containing CO2. Table 1 lists the important thermophysical properties of CO<sub>2</sub> at the critical point. A review of the thermodynamic and transport properties of supercritical CO<sub>2</sub> can be found in Nikolai et al., (Nikolai et al., 2019). Because the thermophysical properties of  $CO_2$  in subcritical and supercritical states differ significantly, the thermodynamic state of a CO<sub>2</sub> power cycle at the pump inlet should be designed accordingly so that it is not too close to the critical point. Figure 1A shows the curves of density, isobaric specific heat capacity, thermal conductivity, and viscosity of CO2 at a pressure of 9 MPa. These properties fluctuated significantly near the critical point. Figure 1B shows the curves of the isobaric specific heat capacity under different pressures. Near the critical point, the specific heat capacity increased rapidly. In power cycles using pure CO2 as the working fluid, impurities in CO2 may affect the performance of the compressor and cooler (Vesely et al., 2019). Therefore, the impurity concentrations should be less than 1%.

The variations in the thermophysical properties significantly affect the heat transfer calculation. It is important to select an appropriate heat transfer correlation based on the working conditions of CO2. For shell-and-tube heat exchangers, the Krasnoshchekov-Protopopov correlation (Petukhov, 1970) can be used. The heat transfer of single-phase CO2 in the subcritical state can be estimated using the Petukhov correlation (Petukhov et al., 1961). The convective heat transfer coefficient of the gas-liquid flow can be obtained using the Cavallini-Zecchin correlation (Cavallini and Zecchin, 1974). The pressure drop of  $CO_2$  in the condenser can be determined using the Kedzierski-Goncalves correlation (Kedzierski and Goncalves, 1999). Reznicek et al. (Reznicek et al., 2020) evaluated the predicted error of heat transfer, which was within 5%, and the error in the pressure drop was less than 10%. Recently, PCHEs have been used to exchange heat between supercritical CO<sub>2</sub> and high-temperature heat sources. The convective heat transfer coefficient in a PCHE can be calculated using the Gnielinski correlation (Dostal et al., 2004; Carstens et al., 2006).





 $\rm CO_2$  is not flammable and can be used as a retardant to suppress the flammability of hydrocarbons. Therefore,  $\rm CO_2$  is useful for applications with strict safety requirements. Generally, when the mole fraction of  $\rm CO_2$  in a mixture reaches 30%, the mixture is non-combustible (Drysdale, 1999). Therefore, some power cycles use zeotropic mixtures containing  $\rm CO_2$  and organic fluids to control the flammability while maintaining high efficiency. Mixtures such as  $\rm CO_2/R161(C_2H_5F), \ CO_2/R1234ze(CF_3CH=CHF), \ and \ CO_2/R134a(CH_2FCF_3)$  are not sensitive to variations in the  $\rm CO_2$ fraction, while the net power outputs of some mixtures may gradually decrease with an increase in the  $\rm CO_2$  fraction (Sánchez and da Silva, 2018). The CO<sub>2</sub> fraction also affects the heat transfer area. The glide temperature should be constrained within a reasonable range. A high glide temperature may cause separation of the mixture and reduce its thermodynamic performance (Chys et al., 2012).

## CONFIGURATIONS OF CO<sub>2</sub> POWER CYCLES

 $\rm CO_2$  power systems are classified as closed supercritical Brayton cycles and transcritical Rankine cycles. Supercritical  $\rm CO_2$  is



cooled in the  $sCO_2$  Brayton cycle, while it is condensed to a subcritical state in the  $tCO_2$  Rankine cycle. The performance of the  $CO_2$  power cycle can be improved by pre-cooling, intercooling, reheating, pre-compression, and recompression. Generally,  $sCO_2$  Brayton cycles are divided into single-flow and split-flow configurations. **Figure 2** shows the fundamental single-flow layouts, where recuperation, intercooling, reheating, inter-recuperation, pre-compression, and split expansion are employed. The recuperation configuration can effectively utilize the waste heat at the turbine outlet to improve thermal efficiency. Intercooling can reduce the input power of the compressor. Reheating can increase the expansion work of turbines.

The configurations of split-flow  $sCO_2$  cycles are shown in **Figure 3**. Recompression, modified recompression with precompression, pre-heating, and five different turbine split-flow configurations are displayed. The cooling pressure of the  $sCO_2$ Brayton cycle is greater than 7.38 MPa, leading to a small expansion ratio. However, the temperature at the turbine outlet is still very high. To further improve the utilization efficiency of this part of waste heat, two-stage recuperation and pre-compression can be adopted based on a singlechannel configuration or a split-flow configuration with split expansion. For the recompression configuration, a fluid with a low flow rate and high specific heat at the cold side, after the split flow, can exchange heat fully with the fluid with a high flow rate

#### **TABLE 2** | Boundary conditions of sCO<sub>2</sub> cycles with single-flow layouts.

Cycle name	T <sub>max</sub> (°C)	p <sub>max</sub> (MPa)	PR	η <sub>th</sub> (%)	P <sub>net</sub> (MW)	Ref.
Simple	249	19.98	2.70	14.37	22.87	Al-Sulaiman and Atif (2015)
	145	23.94	3.03	10.71	0.73	Ruiz-Casanova et al. (2020)
	820	20.00	2.70	14.93	0.12	Liu et al. (2020)
	330	53.35	5.84	17.39	8.33	Liu et al. (2018)
Recuperation	320	20.00	2.56	25.00	0.14	Song et al. (2020)
	722	19.98	2.70	48.79	75.95	Al-Sulaiman and Atif (2015)
	600	35.00	4.00	33.05	0.10	Garg et al. (2013)
	145	17.92	2.24	11.10	0.75	Ruiz-Casanova et al. (2020)
	550	20.00	2.00	38.70	3.03	Manjunath et al. (2018)
	330	40.00	5.38	23.20	1.67	Uusitalo et al. (2019)
	820	20.00	2.70	44.37	0.12	Liu et al. (2020)
	400	14.50	1.89	20.55	1.05	Wang et al. (2020)
	400	19.90	2.19	25.61	1.41	Chen et al. (2020)
	350	20.00	2.56	24.80	1.00	Singh et al. (2013)
	379	27.32	3.10	29.93	2.18	Kim et al. (2016)
	466	28.73	3.78	37.27	60.17	Olumayegun et al. (2019)
	500	25.00	3.33	38.03	37.97	Ahn et al. (2015)
Intercooling	650	20.00	2.56	43.35	8.50	Bae et al. (2015)
	145	24.74	3.12	10.62	0.72	Ruiz-Casanova et al. (2020)
	330	40.00	5.38	22.30	1.76	Uusitalo et al. (2019)
	500	25.00	3.33	36.44	36.38	Ahn et al. (2015)
Reheating	500	25.00	3.33	39.41	39.34	Ahn et al. (2015)
Interrecuperation	500	25.00	3.33	39.70	39.63	Ahn et al. (2015)
Precompression	500	25.00	3.33	40.51	40.44	Ahn et al. (2015)
	550	27.00	3.00	44.44	16.00	Vesely et al. (2019)
	828	19.98	2.70	38.01	60.07	Al-Sulaiman and Atif (2015)
	572	27.58	3.05	49.04	61.21	Cho et al. (2015)
	385	27.18	3.40	31.42	2.23	Kim et al. (2016)
Split expansion	794	19.98	2.70	47.66	77.61	Al-Sulaiman and Atif (2015)
	500	25.00	3.33	34.91	34.85	Ahn et al. (2015)
Intercooling+recuperation	145	18.33	2.25	11.51	0.78	Ruiz-Casanova et al. (2020)

and low specific heat at the hot side inside the low-temperature regenerator. Thus, the pinch-point problem is alleviated, and the system thermal efficiency is improved. For the modified recompression cycle with pre-compression, the working fluid continues to expand to a subcritical state from the turbine outlet to increase the expansion work and then compresses the working fluid to a supercritical state. The working fluid flow is split between the low-temperature recuperator and hightemperature recuperator for the configurations of turbine split flow. Hence, the energy at the outlet of turbine 1 can be comprehensively utilized. For the pre-heating cycle, turbine split flows IV and V, two heaters are installed, which are suitable for applications with two different heat sources.

Typical configurations of single-flow and split-flow  $sCO_2$  cycles were analyzed in Ahn et al. (Ahn et al., 2015). For a high-temperature heat source with a large specific heat capacity, the recompression cycle exhibited high thermal efficiency. Based on a heat source of 545°C from a sodium-cooled fast reactor, the performances of these configurations were compared, and the thermal efficiency of the recompression cycle was the highest at 43.83%. For CSP applications, the recompression sCO<sub>2</sub> cycle also exhibited high performance. However, for a heat source with a small heat capacity, the recompression cycle is not the best option. For exhaust heat recovery from a gas turbine, an investigation indicated that the performance of the pre-heating cycle was better than that of the single-stage recuperated cycle

and the recompression cycle (Ayub et al., 2018). Even when the recompression cycle was used, the pinch-point problem persisted because of the large temperature variation of the exhaust gas and the small temperature variation of  $CO_2$  in the heater. Therefore, it is important to match the heat sources. When a split expansion is introduced, a part of the working fluid flows into the high-pressure expander (Huang et al., 2016). Manente et al. (Manente and Costa, 2020) investigated three configurations of the sCO<sub>2</sub> Brayton cycle, including the turbine flow I, turbine flow II, and the pre-heating cycle. The overall heat recovery efficiency of the turbine flow II cycle reached 22.3% for a heat source of 600°C. The split expansion configuration with reheating and intercooling is also helpful for improving the performance (Cho et al., 2015; Kim et al., 2016).

The boundary conditions reported in existing literature for single-flow layouts, including the maximum temperature and pressure, expansion pressure ratio, thermal efficiency, and net power, are listed in **Table 2**. Figure 4 provides a more intuitive comparison. The diameter of each circle is proportional to the thermal efficiency or net power. The maximum pressure at the turbine inlet was less than 30 MPa, while a maximum pressure of 53.35 MPa was used for theoretical analysis. The maximum temperature was 828°C. Generally, the thermal efficiency improves as the maximum temperature of sCO<sub>2</sub> increases. The simple sCO<sub>2</sub> cycle has a relatively lower thermal efficiency than the other layouts and is comparable only when the



maximum temperature is small. The boundary conditions for the split-flow layouts are presented in **Table 3** and **Figure 5**. The maximum temperature was primarily in the range of 450–650°C, and the maximum pressure was between 20 and 25 MPa. The architectures of these split-flow cycles are complicated and more suitable for large power devices with MW- or even GW-class power output. The results for the thermal efficiency of the recompression cycle cover the range of the other layouts, indicating that the split-flow layouts are well suited for high-temperature applications with a single heat source.

Typical sCO<sub>2</sub> cycles are compared in **Tables 4**, **5**. There are four ways to improve the system performance, as shown in Figure 6. The recuperated cycle is a basic improvement of the simple configuration. The recompression cycle and the turbine split flow II are designed to improve the regeneration effect. If the compression process is considered, the intercooling cycle and the inter-recuperated cycle are optimum improvements. The expansion process can be enhanced via the pre-compression cycle or the recompression cycle with pre-compression. If the heating process is considered, the other five configurations can be employed to improve heat transfer. There is no single configuration that is well suited for all applications. During the system design process, specific operation situations, temperatures of the heat source and sink, system size, and cost should be considered. A comparison of different configurations must be performed, and the most appropriate configuration should be chosen.

In the tCO<sub>2</sub> Rankine cycle, CO<sub>2</sub> is condensed through a heat sink. Generally, the tCO<sub>2</sub> Rankine cycle is used when the ambient temperature is lower than the critical temperature of CO<sub>2</sub>. The thermal efficiency of a tCO<sub>2</sub> Rankine cycle can approach or even exceed that of a traditional Rankine cycle as the turbine inlet temperature increases. Furthermore, the structure of the CO<sub>2</sub> Rankine cycle is simpler and more compact. For low-temperature heat sources, the system configurations of the tCO<sub>2</sub> cycle are relatively simple. The boundary conditions reported in the existing literature for tCO<sub>2</sub> cycles are listed in **Table 6**, and are also plotted in

**Figure 7.** The maximum temperature for the simple  $tCO_2$ cycle was less than 130°C. However, the temperature range for the recuperation cycle covered a large interval of up to 820°C. Accordingly, the thermal efficiency of the recuperation layout was much higher in the high operation temperature regions. The efficiency of the other cycles showed no evident advantages over the recuperation cycle. At present, most studies on tCO<sub>2</sub> cycles are mainly focused on small power devices with a power output of 200-600 kW. The characteristics of the four configurations (Meng et al., 2019) are compared in Table 7. For the simple configuration and the regenerative cycle with an open-feed heater, the thermal efficiencies increased significantly with an increase in the inlet temperature of the expander and for a large inlet pressure of the expander. For the reheating cycle, the inlet temperature of the expander had a negligible effect on the thermal efficiency. For the regenerative cycle, the allowable range of the expander inlet pressure was relatively narrow when the inlet temperature of the expander was low.

## PERFORMANCE CHARACTERISTICS OF CO<sub>2</sub> POWER CYCLES

The temperature and pressure of supercritical  $CO_2$  at the inlet of the high-pressure turbine are the two key parameters affecting the system performance. With an increase in the turbine inlet temperature, the system efficiency gradually increases, especially when the turbine inlet temperature is low (Chen et al., 2005). However, the maximum temperature at the turbine inlet is limited by the heat source temperature. Furthermore, the condensation temperatures and efficiencies of the turbine and pump have significant influences. For a simple  $CO_2$  power cycle, the system efficiency increases proportionally with improvements in the turbine efficiency. The variations in the pump efficiency also have a significant effect owing to the large mass flow rate of  $CO_2$ . As the expansion ratio increases, the system efficiency first

#### **TABLE 3** | Boundary conditions of sCO<sub>2</sub> cycles with split-flow layouts.

Cycle name	T <sub>max</sub> (°C)	p <sub>max</sub> (MPa)	PR	η <sub>th</sub> (%)	P <sub>net</sub> (MW)	Ref.
Recompression	500	25.00	3.33	43.83	43.76	Ahn et al. (2015)
•	811	19.98	2.70	50.87	80.92	Al-Sulaiman and Atif (2015)
	700	20.00	2.60	53.14	293.00	Dostal et al. (2004)
	650	20.00	2.50	51.50	25.00	Monjurul Ehsan et al. (2020)
	600	25.00	2.63	42.90	21.42	Mohammadi et al. (2020)
	515	25.00	2.86	42.20	479.00	Floyd et al. (2013)
	673	20.00	2.58	42.44	2184.00	Halimi and Suh (2012)
	508	19.74	2.60	42.80	627.00	Seong et al. (2009)
	550	27.00	3.00	33.44	16.00	Vesely et al. (2019)
	466	19.98	2.70	39.40	10.70	Ayub et al. (2018)
	550	20.70	2.80	41.48	249.00	Wang and Dai (2016)
	473	20.00	2.62	42.90	105.70	Moisseytsev and Sienicki (2009)
	570	27.58	3.40	48.46	74.20	Cho et al. (2015)
	601	25.46	3.44	46.09	7.54	Hou et al. (2018)
	577	20.00	2.60	40.00	2.00	Zhang et al. (2020a)
	310	15.00	1.88	28.50	95.27	Yoon et al. (2012)
	377	27.18	3.05	30.85	2.20	Kim et al. (2016)
	450	25.00	3.13	38.96	0.025	Zhang et al. (2020b)
	466	28.73	3.78	41.51	52.61	Olumayegun et al. (2019)
	550	21.46	2.90	39.55	237.33	S. Mahmoudi et al. (2016)
Modified recompression	500	25.00	3.33	42.24	42.17	Ahn et al. (2015)
	650	20.00	2.50	47.60	25.00	Monjurul Ehsan et al. (2020)
Preheating	500	25.00	3.33	28.24	28.20	Ahn et al. (2015)
	457	19.98	2.70	23.80	11.80	Ayub et al. (2018)
	307	27.72	3.52	25.97	59.75	Cho et al. (2015)
	333	27.72	3.52	27.21	70.48	Cho et al. (2015)
	390	20.00	2.62	25.82	1.00	Manente and Costa (2020)
	352	27.32	3.04	27.30	2.75	Kim et al. (2016)
	466	28.73	3.78	37.10	61.96	Olumayegun et al. (2019)
Turbine split flow I	500	25.00	3.33	34.65	34.59	Ahn et al. (2015)
	520	20.00	2.62	28.40	1.00	Manente and Costa (2020)
Turbine split flow II	500	25.00	3.33	36.54	36.48	Ahn et al. (2015)
Turbine split flow III	500	25.00	3.33	31.17	31.12	Ahn et al. (2015)
	551	27.86	3.56	32.76	120.92	Cho et al. (2015)
	550	20.00	2.62	26.62	1.00	Manente and Costa (2020)
	494	27.46	3.09	27.64	2.68	Kim et al. (2016)
Modified Split flow III	507	27.58	3.53	35.66	135.16	Cho et al. (2015)
Turbine split flow IV	498	27.32	3.12	29.65	2.69	Kim et al. (2016)
Turbine split flow V	399	27.46	3.05	27.49	2.74	Kim et al. (2016)
Recompression+split expansion	550	27.00	3.00	29.83	16.00	Vesely et al. (2019)
Double recompression	467	19.81	2.56	39.00	95.70	Moisseytsev and Sienicki (2009)
Two-stage recompression	700	20.00	2.60	27.14	10.00	Wang et al. (2018b)
	550	20.00	2.60	25.04	10.00	Wang et al. (2018c)
Recompression+intercooling	480	19.92	2.59	39.00	96.10	Moisseytsev and Sienicki (2009)
Recompression+reheating	415	12.44	1.61	37.00	90.60	Moisseytsev and Sienicki (2009)
	593	28.28	3.72	50.62	545.40	Olumayegun et al. (2019)
	593	28.28	3.72	50.64	543.30	Olumayegun et al. (2019)
<b>C 1 1 1 1 1</b>	593	28.28	3.72	50.63	560.00	Olumayegun et al. (2019)
Recompression+Intercooling+reheating	620	30.00	2.43	48.37	1000.00	xu et al. (2018)
I urbine split flow III+intercooling	550	27.86	3.61	32.51	122.66	Cho et al. (2015)
	494	27.46	4.10	28.61	2.77	Kim et al. (2016)
Modified Split flow III+intercooling	500	27.86	3.57	35.70	138.38	Cho et al. (2015)
I urbine split flow III +intercooling+preheating	557	27.86	3.57	36.53	142.52	Cho et al. (2015)
Preheating+turbine split flow I	503	27.32	3.52	31.72	3.23	Kim et al. (2016)
Preheating+turbine split flow I+intercooling	467	27.46	3.25	30.59	3.11	Kim et al. (2016)

increases and then decreases. This is because the pump consumes more power if the expansion ratio is too large. Therefore, the optimal expansion ratio should be determined. Additionally, not only the thermodynamic performance but also other indexes such as system volume, cost, economy, safety, toxicity, and durability must be evaluated. Multiobjective optimization methods should be used to optimize the working parameters of the  $\rm CO_2$  power cycle. There is no single set of working parameters that can optimize all indexes simultaneously (Cayer et al., 2010). As the inlet temperature of the compressor increases, the power consumption of the compressor increases and the thermal efficiency of the s $\rm SO_2$ 



TABLE 4	Comparison	of single-flow	sCO <sub>2</sub>	cvcles.
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Cycle	Characteristics	Advantages	Disadvantages
Simple	The simplest closed Brayton cycle	Simple configuration, low cost, easy to construct, easy to control	Low thermal efficiency
Recuperation	A recuperator is added based on the simple cycle	Simple configuration, relatively high thermal efficiency, low cost, easy to control	Pinch point exists in the recuperator
Intercooling	One intercooler is inserted between two compressors	The pressure at the turbine inlet is increased, and the compressor work is reduced	Cooling consumption work is increased
Reheating	Dual expansion with one reheater	Utilization of heat source is improved, high expansion work	Suitable for high-temperature heat source
Inter- recuperation	Two recuperators and two-stage compression	Pinch-point problem is alleviated, relatively high thermal efficiency	High compression work
Pre- compression Split expansion	One pre-compressor is added before the cooler One turbine is added before the heater	The cooling pressure is increased, the pressure at the turbine outlet is decreased The working pressure of the heater is relatively low	Compression work is increased, the subcritical working process may occur in the turbine and the pre-compressor Expansion work may decline, low thermal efficiency

cycle decreases gradually. Thus, the inlet temperature of the compressor should be close to the critical temperature. However, small variations in the working pressure and temperature can cause apparent changes in the thermal and physical properties of  $CO_2$ . The power consumption fluctuates abruptly around the critical point. Therefore, the temperature at the compressor inlet should not be too close to the critical point (Liu et al., 2020).

 $CO_2$  turbines have some advantages, such as smaller size, less leakage, and shock loss. The turbine size of a  $sCO_2$  cycle is significantly smaller than that of a subcritical organic Rankine cycle (ORC). On the other hand, operating conditions for higher speed and greater pressure require a higher mechanical strength of the turbo-generator, especially for the bearing and seal design. The choice of working fluid also affects the geometric parameters of the turbine (Uusitalo et al., 2019). For the turbine, the Stodola elliptic formula (Cooke, 1984) is normally adopted. A one-dimensional mean-line model is used to provide a more accurate estimation of turbine efficiency (Modi et al., 2015; Peng et al., 2020). Three different mean-line models were compared for a  $CO_2$  turbine (Lee and Gurgenci, 2020). The total turbine loss based on the Aunger model (Aungier, 2006) was almost the same as the Moustapha model (Moustapha et al., 2003). However, a higher loss was observed in the Whitfield-Baines model (Whitfield and Baines, 1990) because of the large flow rate inside the rotor.

Air cooling is not suitable for steam Rankine cycles. However, air cooling has some advantages in  $sCO_2$  power cycles, such as a smoother temperature match profile, lower exergy loss, smaller corrosion and scale deposition, and sediment accumulation. For CSP systems, the  $sCO_2$  cycle using air cooling can save a large amount of water, which is critical in inland regions. When the ambient temperature is high, hybrid cooling combing air and water is another option that can reduce performance degradation (Ehsan et al., 2020).

## APPLICATIONS OF sCO<sub>2</sub> CYCLES

In this section, recent progress in sCO<sub>2</sub> cycles in nuclear and coalfired power plants, waste heat recovery, concentrated solar systems, and geothermal power devices are presented.

#### TABLE 5 | Comparison of split-flow sCO<sub>2</sub> cycles.

Cycle	Characteristics	Advantages	Disadvantages
Recompression	Part of the flow is compressed without cooling	Pinch-point problem is alleviated, recuperation improves the efficiency, smaller cooler	Complex compressor arrangement, control is complicated, limited variation of the heat source temperature
Recompression cycle with pre-compression	One pre-compressor is added based on the recompression cycle	Expansion work is increased, and the state at the turbine outlet can be subcritical	Complex compressor arrangement, the transcritical working process may occur in the turbine and pre-compressor
Pre-heating	Part of the flow is recuperated, and the other part is pre-heated by the heat source	The outlet temperature of the heat source is decreased, suitable for multi-heat sources	The energy of spent gas may not be utilized comprehensively, low thermal efficiency, complex heater arrangement
Turbine split flow I	Part of the flow from the compressor is recuperated by the low-temperature recuperator and then enters the heater	The heat source temperature at the heater outlet is decreased, the energy utilization at the HP turbine outlet is increased	Complex system layout, high cost, the temperature at the HP turbine must be high enough
Turbine split flow II	Part of the flow from the compressor is recuperated by the HP recuperator and then enters the heater	The energy of the spent gas at the HP turbine outlet is utilized comprehensively	Complex system layout, high cost, the outlet temperature of heat source is limited
Turbine split flow III	Part of the flow from the compressor is delivered to the heater directly	The heat source temperature at the heater outlet is decreased, the energy at the HP turbine outlet is utilized comprehensively	Complex system layout, high cost
Turbine split flow IV	Each of the split flows is heated by an individual heater, and two recuperators are used	The heat energy from two different sources with distinct temperatures can be fully utilized	Complex system layout, high cost, a complicated control strategy for dynamic heat sources
Turbine split flow V	Each of the split flows is heated by an individual heater, and a common recuperator is used	The heat energy of the two sources with distinct temperatures can be fully utilized, and only one recuperator is used	Complex system layout, high cost, a complicated control strategy for dynamic heat sources



## **Nuclear Reactor**

The recuperated  $sCO_2$  cycle is often used in high-temperature gascooled reactors, which have high thermal efficiency, relatively low turbine inlet temperature, compact size, and simple layout (Yoon et al., 2012; Bae et al., 2015). However, the heat transfer rate in the recuperator is limited by the pinch point (Utamura, 2010). In the last two decades, MIT carried out many investigations on  $sCO_2$  power cycles for nuclear reactors and obtained some significant results. To improve the performance, a recompression cycle has been proposed, and the split-flow arrangement can effectively alleviate this issue. Based on a 600 MW reactor, Dostal et al. (Dostal et al., 2004) analyzed the performance of a recompression  $sCO_2$  cycle. The turbine efficiency is not sensitive to variations in the working pressure and temperature because of the large and almost

#### TABLE 6 | Boundary conditions of tCO<sub>2</sub> cycles.

Cycle name	T <sub>max</sub> (°C)	p <sub>max</sub> (MPa)	PR	ղ <sub>th</sub> (%)	P <sub>net</sub> (MW)	Ref.
Simple	112	12.80	1.99	8.14	3.740	Meng et al. (2019)
	95	13.60	2.67	8.40	1.034	Cayer et al. (2009)
	120	11.00	3.67	12.75	0.273	Abdollahpour et al. (2020)
	110	12.00	1.66	6.40	13.300	Wang and Dai (2016)
	115	14.55	2.36	8.20	0.272	Du et al. (2018)
	99	14.20	2.79	8.80	0.607	Cayer et al. (2010)
	130	17.78	3.10	8.21	1.910	Wu et al. (2018)
	820	20.00	3.13	16.68	0.155	Liu et al. (2020)
Recuperation	112	12.80	1.99	8.33	3.740	Meng et al. (2019)
	95	11.30	2.22	8.60	1.379	Cayer et al. (2009)
	280	17.00	2.36	30.29	6.200	Mondal et al. (2020)
	450	20.00	3.11	30.72	0.357	Wang et al. (2018a)
	130	14.00	2.44	8.95	2.080	Wu et al. (2018)
	335	13.30	8.36	28.12	0.716	Su et al. (2020)
	650	17.00	3.00	32.80	0.100	Salah et al. (2020)
	272	21.70	2.52	20.20	0.515	Ham et al. (2019)
	112	11.28	2.22	8.51	0.278	Li et al. (2018)
	820	20.00	3.13	43.45	0.155	Liu et al. (2020)
Regenerative cycle with open-feed heater	112	12.80	1.99	8.30	3.490	Meng et al. (2019)
Reheating	107	12.80	1.99	8.35	3.830	Meng et al. (2019)
Turbine split flow I	450	20.00	3.11	28.38	0.484	Wang et al. (2018b)
Recompression	477	20.10	2.68	31.32	0.474	Su et al. (2020)
	233	21.60	2.45	17.65	0.613	Ham et al. (2019)
Pre-heating	248	21.70	2.49	16.73	0.607	Ham et al. (2019)



(legend: ) simple, / recuperation, / regenerative cycle with open-feed heater / reheating / turbine split flow I / recompression / pre-heating).

#### **TABLE 7** | Comparison of tCO<sub>2</sub> Rankine cycles for low-temperature sources.

Cycle	Characteristics	Advantages	Disadvantages
Simple	The simplest closed Rankine cycle	Simple configuration, low cost	Low thermal efficiency, condensation is difficult under high ambient temperature
Recuperation	One recuperator is added based on the simple Rankine cycle	Efficiency is improved, smaller condenser	Mass flow rate is increased, leading to a higher pump work
Reheating	One reheater and a low-pressure turbine are added	Suitable for relatively high-temperature heat source, expansion work is increased	Complex system configuration, high cost, not suitable for dynamic conditions
Regenerative cycle with open-feed heater	An open-feed heater is used	Small condenser and low pump work	Part of the expansion work is lost and relatively low thermal efficiency

invariant mass flow rate in this cycle (Zhang et al., 2020a). However, the real gas effect of supercritical  $CO_2$  should be considered when designing  $CO_2$  turbines (Lee et al., 2012).

The recompression sCO<sub>2</sub> cycle can be applied to a fourthgeneration sodium-cooled fast reactor. Thus, the risk of a chemical reaction between Na and water in the conventional steam Rankine cycle is avoided. KAERI designed a recompression sCO<sub>2</sub> cycle based on the KALIMER 600 system. The rated power was 600 MW. Two centrifugal compressors and a four-stage axial turbine were designed. The estimated thermal efficiency was 42.8% (Seong et al., 2009). The recompression sCO<sub>2</sub> cycle is also promising for fusion reactors. The estimated thermal efficiency was 42.44%, as reported by Halimi and Suh (Halimi and Suh, 2012). In the recompression cycle, the flow-split ratio is an important parameter. As the flow-split ratio declines, the cycle efficiency increases. However, the heat transfer area of the lowtemperature recuperator also increases. Therefore, the flow-split ratio should be selected based on a trade-off between these two factors (Cha et al., 2009). Although the recompression cycle has a higher efficiency than other configurations, investigations indicate that further improvement of the recompression cycle is difficult (Moisseytsev and Sienicki, 2009).

Supercritical  $CO_2$  in a nuclear reactor exhibits very high pressure, which is not conducive to the safety of commercial plants. The working pressure of current nuclear reactors is below 15 MPa although it can be as high as 25 MPa. The maximum working pressure can be decreased by combining the split expansion cycle with the recompression cycle while maintaining a high efficiency level. An investigation showed that the thermal efficiency of the system decreased slightly from 43.88 to 43.11% with a small increase in the heat transfer area, when the inlet temperature of the highpressure turbine was reduced to 390°C, and the inlet pressure decreased from 20 to 15 MPa (Guo et al., 2018).

For the recompression cycle, the temperature at the inlet of the main compressor is higher than that of the recompressor. If the compressors maintain a constant speed, the split ratio declines as the ambient temperature increases, leading to an apparent decrease in performance. Thus, a proper control strategy is required to maintain high performance under off-design conditions (Floyd et al., 2013). However, the thermophysical properties of supercritical CO<sub>2</sub> vary greatly around the critical point, making it difficult to maintain control precision. Generally, control strategies such as constant flow rate and constant reactor outlet temperature are adopted. However, the reactor outlet temperature is low under small-load conditions if a constant flow rate strategy is employed. Furthermore, the system parameters fluctuate greatly, and the transient response time is long when a constant reactor outlet temperature is maintained. An optimal control strategy based on the operating parameters of the compressor was designed by Du et al. (Du et al., 2020a), and the response time of the system was shortened.

### **Coal-fired Power Plant**

The steam Rankine cycle is a popular technology used in coal-fired power plants. To improve energy efficiency, an ultra-supercritical steam Rankine cycle with two-stage reheating is under development. However, owing to the limitations of the material and other technical constraints, the efficiency improvement of the ultra-supercritical steam Rankine cycle has stagnated. Investigations suggest that the  $sCO_2$  Brayton cycle is a feasible alternative technology. Recently, the application of  $sCO_2$  cycles to coal-fired power plants has attracted significant attention. Li et al. reviewed various applications of  $sCO_2$  cycles for pulverized coal power plants, circulating fluidized bed devices, and oxy-coal power systems (Li et al., 2020).

The working temperature of the sCO<sub>2</sub> cycle for a coal-fired power plant can be as high as 900°C; the recompression cycle is ideal for this. Reheating is also a useful approach in this regard. Zhou et al. (Zhou et al., 2018) analyzed the performance of a recompression cycle with reheating and two-stage intercooling. Compared with the original 1000 MW single-stage reheating ultrasupercritical steam Rankine cycle (605°C/603°C/274 bar), the optimized thermal and exergy efficiencies of the sCO<sub>2</sub> cycle reached 47.64 and 81.25%, respectively. However, the flow rate of CO<sub>2</sub> increased significantly, resulting in an excessive pressure drop in the heat exchange process in the boiler, which made it difficult to fully utilize the flue gas energy. Xu et al. designed a partial-split strategy to halve the flow rate and length of the heat exchangers in the boiler (Xu et al., 2018). As a result, the overall pressure drop was reduced to 1/8. Furthermore, the exhaust temperature of the flue gas was reduced to below 120°C by diverting part of the working fluid after intercooling to recover the waste heat of the flue gas. Based on this strategy, a 1000 MW sCO<sub>2</sub> power generation system was proposed (Sun et al., 2018). A cascade system was designed to comprehensively utilize the waste heat of flue gas in a large temperature range of 120-1500°C. The top cycle was a recompression sCO2 cycle with two-stage reheating, whereas the bottom cycle was a conventional recompression cycle. The heat addition of the bottom cycle can be regulated by adjusting the flow distribution between the top and bottom cycles. When the maximum working temperature was set to 620°C with a maximum pressure of 30 MPa, the cycle thermal efficiency and power generation efficiency reached 51.22% and 48.37%, respectively. In addition, Zhao found that the most energetic, promising Brayton cycle, among 1000 alternatives, was a double reheatingdouble recompression-reheating layout, which had a cycle efficiency of up to 51.4% (Zhao, 2018).

Coal-fired power plants are the main source of  $CO_2$  emissions. Integration with carbon capture and storage devices is useful for alleviating the greenhouse gas effect. A two-stage cascade s $CO_2$ cycle with a carbon capture unit was evaluated by Olumayegun et al. (Olumayegun et al., 2019). The top cycle was a recompression configuration with one-stage reheating, and the bottom one was a recompression cycle. The carbon capture unit was a  $CO_2$  capture system based on monoethanolamine solvent.  $CO_2$  was absorbed by the adsorption tower and then dissociated in the separation column. The system thermal efficiency was 3.34-3.86%, higher than that of the traditional steam power cycle. After using the carbon capture unit, the thermal efficiency decreased by 11.2% but was still 0.68–1.31% higher than that of the traditional steam power cycle with carbon capture.

## Waste Heat Recovery

A  $CO_2$  cycle can be used as the bottom cycle to recover the exhaust heat of a heat engine, such as a gas turbine or internal combustion engine, and the overall energy efficiency can be



improved. Hou et al. (Hou et al., 2018) designed a combined cycle consisting of a gas turbine, a recompression  $sCO_2$  cycle, a steam Rankine cycle, and an ORC with a zeotropic working fluid. Compared with the traditional gas-steam combined system, the efficiency of the new combined system was increased by 2.33%. In marine applications, the combined power cycle can be coupled with the refrigeration cycle, and the energy efficiency of the entire system can be enhanced further. A  $CO_2$  power system was integrated with a compression refrigeration cycle using  $CO_2$  as the working fluid (Manjunath et al., 2018). The exhaust heat of the gas turbine was used to drive the regenerative  $sCO_2$  cycle. The precooler of the  $sCO_2$  cycle was integrated with the cooler of the compression refrigeration cycle. The output power of the combined system increased by 18%.

Two typical heat sources exist in an internal combustion engine, that is, exhaust gas and coolant. Novel sCO<sub>2</sub> cycles were designed to recover the different types of waste heat. Figure 8 shows the modified recompression sCO<sub>2</sub> cycle. Two heat exchangers were installed to recover the waste heat of the exhaust gas in series. The cycle efficiency increased as the inlet temperature of the high-pressure turbine increased, although the net power output decreased. Under the design conditions, the waste heat recovery efficiency was 17.86% greater than that of the recompression cycle, and the optimized heat recovery efficiency reached 74.83% (Zhang et al., 2020b). To recover the exhaust and cooling simultaneously, Song et al. designed a sCO<sub>2</sub> cycle with two-stage regeneration, and the maximum output power of the engine was increased by 6.9% (Song et al., 2018). The cooling water from the jacket was used to preheat the CO<sub>2</sub>, and the exhaust gas was used to preheat and evaporate CO2. To enhance energy efficiency, a split channel was used to reduce the CO<sub>2</sub> temperature at the outlet of the lowtemperature preheater.

## **Concentrated Solor Power System**

The performance of sCO<sub>2</sub> cycles has been investigated for hightemperature solar thermal power systems. The thermal efficiency was approximately 32% with a source temperature of 600°C and a compressor inlet pressure of 85 bar (Garg et al., 2013). Al-Sulaiman and Atif (Al-Sulaiman and Atif, 2015) evaluated five different configurations of sCO<sub>2</sub> cycles for a solar power plant with a heliostat field. The highest thermal efficiency was obtained by the recompression cycle with an integrated system efficiency of 40%. The regenerative cycle also showed a comparable performance. Singh et al. (Singh et al., 2013) analyzed the dynamic performance of a direct-heated regenerative sCO<sub>2</sub> cycle for large-scale solar power generation. In summer, the compressor inlet pressure increases owing to the abundant solar radiation, leading to a decrease in the net power output. In winter, CO<sub>2</sub> might change to a subcritical state at the outlet of the cooler owing to low ambient temperature. Active control is required to ensure optimal operation of the system during all seasons.

Solar radiation varies significantly at different times of the day. A heat storage device was installed to alleviate the influence of temperature fluctuations. Wang et al. (Wang et al., 2018c) studied a CSP system with heat storage. The recompression  $sCO_2$  cycle was integrated with reheating and intercooling. The solar circuit was coupled with the  $sCO_2$  cycle via a heat storage device. A salt mixture (8.1 wt% NaCl + 31.3 wt% KCl + 60.6 wt% ZnCl<sub>2</sub>) was used in the heat storage device to balance the energy fluctuations of the heat addition process. Based on the radiation data of a typical sunny day in western China, the total photoelectric efficiency could reach 19.17–22.03%, which was higher than that of traditional tower solar systems.

When the power output fluctuates frequently, the performances of the turbine and compressor degrade significantly and may even cause the failure of the control strategy. For every 1% reduction in the turbine efficiency, the system efficiency and relative power output could be decreased by 0.431 and 1.713%, respectively (Son et al., 2020). Therefore, to improve the robustness of the sCO<sub>2</sub> cycle, the variations in the efficiencies of the turbine and compressor should be fully evaluated during the system design stage, rather than assuming a constant efficiency (Wang et al., 2020). The

turbine power output of a commercial  $sCO_2$  system may be greater than 10 MW (Sienicki et al., 2011). For a large-scale system, a multi-stage axial-flow turbine can be used with high efficiency. Because of the variability of solar radiation, the operation characteristics of the CSP system under transient processes need to be verified to ensure that the entire system can operate continuously. An indirect  $sCO_2$  system with a heat storage device is more suitable and can maintain a stable system power output.

A radial turbine can be used in a sCO<sub>2</sub> cycle owing to its low expansion ratio. El Samad et al. (El Samad et al., 2020) designed a single-stage radial turbine for a 100 MW sCO<sub>2</sub> cycle. A total pressure of 29.7 MPa and a temperature of 1173 K were specified at the turbine inlet. The expansion ratio was 4.95, with a mass flow rate of 354.2 kg/s. The total-to-static efficiency exceeded 83%. For  $CO_2$  turbines, the bearing is an important part. Du et al. (Du et al., 2020b) designed an effective dry gas sealing structure. Compared with the conventional shroud or labyrinth design, the leakage loss was reduced, and the aerodynamic performance was hvbrid hydrostatically improved. А special assisted hydrodynamic gas foil bearing is also a good option. However, the upper limit of the allowable temperature with a coating is 650°C, which limits its applicability. Another option is the rareearth element magnetic bearing.

Large-scale high-temperature solar thermal power systems are generally built in desert areas where solar radiation is abundant and air cooling is required (Monjurul Ehsan et al., 2020). Air cooling is feasible for  $sCO_2$  cycles in CSP applications. A good temperature match inside the cooler is realized, and the exergy loss is reduced. A comparison between the steam Rankine cycle and the  $sCO_2$  cycle for power generation from solar energy indicated that the net thermal efficiency of the  $sCO_2$  cycle was 32.9%, while it was 28.2% for the steam Rankine cycle (Shrivastava and Prabu, 2016).

## **Geothermal Power Generation**

Geothermal sources have a much lower temperature than nuclear reactors and coal-fired power plants. Generally, the tCO2 Rankine cycle is more suitable for low-grade energies. A relatively low condensation temperature is required to ensure the operation of a tCO2 power system. However, it may be difficult to condense CO2 if the ambient temperature is high. Few investigations have evaluated the feasibility of the sCO<sub>2</sub> cycle for the utilization of geothermal energy. Ruiz-Casanova et al. (Ruiz-Casanova et al., 2020) compared four different configurations and reported that intercooling could reduce the mass flow of CO2 and thus decline the compression work. Regeneration slightly increased the mass flow rate of CO<sub>2</sub>, resulting in an increase in the power output. Among the four configurations, the performance of the regenerative sCO<sub>2</sub> cycle with intercooling was the best, with optimal thermal and exergy efficiencies of 11.51% and 52.49%, respectively.

For high-temperature applications, special attention should be paid to the corrosivity of  $CO_2$  and impurities in the system materials. Some materials can form a protective oxide film on the surface, such as chromium oxide and alumina, and have good compatibility with  $CO_2$ . Haynes 230 (Haynes International, 2007) or 617 alloy (Li et al., 2008) can be used for high thermal fatigue resistance.

Several MW-level  $CO_2$  experimental systems, which concentrated on simple and recuperated configurations, have been built. More experimental systems need to be developed to validate the theoretical results. Furthermore, during the development of experimental system, technical issues can be discovered, and expertise can be gained aiding future engineering development.

Compared with the conventional steam Rankine cycle, the  $sCO_2$  cycle has low critical pressure, high density, high heat transfer rate, high specific power, and small size (Feng and Wang, 2019), thereby making it suitable for various heat sources. In this section, recent advancements in the  $sCO_2$  cycle in the applications of nuclear reactors, coal-fired power plants, waste heat recovery, CSP systems, and geothermal power plants are presented. **Table 8** shows the operating conditions of the  $sCO_2$  cycles for these applications, and the corresponding advantages and disadvantages are listed.

# APPLICATIONS OF tCO<sub>2</sub> RANKINE CYCLES

A tCO<sub>2</sub> cycle is suitable for low-grade energy utilization if the ambient temperature is lower than 30°C, such as in lowtemperature geothermal and solar power generation. Compared with an ORC, a tCO<sub>2</sub> cycle takes advantage of its environmentally friendly properties and compact size. The configurations of the tCO<sub>2</sub> cycle for low-temperature heat sources are limited, with most focusing on the simple or recuperated cycle. Compared with the working fluids of ethane, toluene, siloxane D<sub>4</sub>, and water, the exergy efficiency of a simple or regenerative tCO<sub>2</sub> cycle is greater, and the system size is smaller; however, the thermal efficiency is lower (Cardemil and da Silva, 2016). The recuperated tCO<sub>2</sub> cycle can improve the thermal efficiency and net power output and reduce the maximum pressure of the system in comparison to the simple tCO<sub>2</sub> cycle (Wu et al., 2018). Additionally, the performance degradation of the recuperated cycle under off-design conditions is lower.

Water cooling is favorable for most applications. The temperature of the geothermal water at the evaporator outlet is generally constrained to over  $70^{\circ}$ C to prevent the precipitation of silica from geothermal water. Owing to this constraint, the CO<sub>2</sub> flow rate decreases gradually with the reduction in cooling water temperature, and the net power output increases at first and then decreases. There is an optimal cooling water temperature that maximizes the net power output. Air cooling is also feasible in cold climate regions. If the cooling water temperature is too high, it is impossible to condense the subcritical CO<sub>2</sub>. Pan et al. (Pan et al., 2020) proposed a self-condensing tCO<sub>2</sub> cycle. In the proposed cycle, CO<sub>2</sub> is cooled to a subcritical two-phase state through an expansion valve. Subsequently, they are

**TABLE 8** | Characteristics of sCO<sub>2</sub> cycles for different applications.

Application	Operating of	onditions	Cycle configuration	Advantages	Challenges
	Temperature	Pressure			
Nuclear reactor	300-600°C	12-25 MPa	Recompression cycle, double recompression cycle	High thermal efficiency, compact size, simple system layout, intercooling and reheating can improve performance	High operating pressure, system safety, material corrosion
Coal-fired power plant	600–700°C	20–35 MPa	Recompression cycle with reheating and intercooling, partial-split arrangement of the heater, cascade system consisting of top and bottom cycles	High thermal efficiency, compact size, air cooling is possible, integration with carbon capture system (CCS)	Complicated layout, high operating temperature, turbine manufacturing
Waste heat recovery	320–570°C	15–25 MPa	Recompression cycle, recompression cycle with split expansion, recuperated cycle, split recuperated cycle	Compact size, environmentally friendly, synergic energy utilization with multi- heat sources	High cost, small-scale turbine design and manufacturing
Solar power system	450–900°C	25–30 MPa	Recompression cycle, recuperated cycle, recompression with reheating and intercooling	Environmentally friendly, integration with thermal storage, air cooling is possible	Robustness under dynamic working conditions, material corrosion under high-temperature conditions
geothermal power system	60–145°C	12–16 MPa	Recuperated cycle, recuperated cycle with intercooling	Environmentally friendly, suitable for low-temperature heat source	Sensitive to ambient temperature, state at the turbine inlet close to the critical point

separated. The system can operate steadily at a cooling water temperature as high as 30°C. Furthermore, a tCO<sub>2</sub> cycle can be integrated with liquefied natural gas (LNG) gasification equipment, where LNG is used as the heat sink of the tCO<sub>2</sub> cycle, and the overall system efficiency can be improved significantly (Abdollahpour et al., 2020). Another feasible method is to use a mixture containing CO<sub>2</sub> and a small amount of another fluid with a high boiling point, such as C<sub>6</sub>F<sub>6</sub> (Lasala et al., 2015), C<sub>6</sub>F<sub>14</sub> (Lasala et al., 2014), or TiCl<sub>4</sub> (Bonalumi et al., 2020). The condensation temperature is greater than the critical temperature of pure CO<sub>2</sub>. Such a system has a high potential for CSP applications in hot regions.

At present, Echogen provides commercial products of tCO<sub>2</sub> power cycle: EPS100, EPS35, and EPS30 (Ecogen Inc (2021). Ecogen, 2021), with a net power output of 8, 1.8, and 1.5 MW, respectively. A recuperated configuration with air cooling is employed. These systems are designed for waste heat recovery with a supply temperature of 500-532°C. Compared with the conventional steam Rankine cycle, the tCO<sub>2</sub> system has a smaller size and lower cost of electricity. The small-scale tCO2 cycle is still in the development stage. Ge et al. (Ge et al., 2018) developed a 5 kW experimental recuperated tCO<sub>2</sub> cycle. A single-stage axialflow turbine with a reactivity of 0.5, and an expansion ratio of 1.5 was used. A radial turbine is more suitable for a small-scale tCO<sub>2</sub> cycle than an axial turbine. When the system operates under dynamic conditions, a suitable control strategy is required to adjust the operation parameters. Generally, the optimal pressure control method with an adjustable nozzle blade is slightly better than the conventional pressure sliding method with a fixed nozzle (Du et al., 2018).

There are some disadvantages to  $tCO_2$  cycles, including high operating pressure, difficult condensation, and low thermal efficiency. To overcome these disadvantages, a binary mixture consisting of  $CO_2$  and an organic working fluid can be used (Shu et al., 2018). The organic working fluid has a higher critical



temperature than the CO<sub>2</sub>. Thus, zeotropic mixtures containing CO<sub>2</sub> can alleviate the condensation problem of the tCO<sub>2</sub> cycle (Xia et al., 2020). However, the total heat transfer area of the system increases owing to the increase in the size of the condenser.

## **COMBINED POWER CYCLE**

The  $CO_2$  power cycle is generally used alone, but it can also be combined with other cycles. **Figure 9** shows the possible ways of forming a combined power system based on a  $sCO_2$  cycle. According to the principle of synthetically cascaded utilization of energy, a combined power cycle can improve the overall energy efficiency.

A combined power system can be used for a single heat source. For example, a CO<sub>2</sub> power cycle is cascaded with a bottoming ORC. Mondal et al. (Mondal et al., 2020) designed a combined system consisting of a tCO<sub>2</sub> cycle and an ORC for the waste heat recovery of a marine engine. The operating pressure of the tCO<sub>2</sub> cycle decreased, which was conducive for leakage reduction and system reliability. The results showed that it could save 8–9.5% of

fuel per year. Such a combined cycle was used for waste heat recovery of a gas turbine, and the overall thermal efficiency and levelized cost of electricity reached 52.1% and 52.8 \$/MWh, respectively (Mohammadi et al., 2020). Compared with the standalone CO<sub>2</sub> power cycle, the combined system had a higher heat recovery potential and better thermoeconomic performance. For high-temperature heat sources, a sCO<sub>2</sub> cycle can be integrated with a tCO<sub>2</sub> cycle. Su et al. (Su et al., 2020) designed such a sCO<sub>2</sub>-tCO<sub>2</sub> combined system for the waste heat recovery of a gas turbine. The heat sink was an LNG. The thermal and exergy efficiencies of the combined system were 52.94% and 30.27% at the design point. When a simple tCO<sub>2</sub> cycle is employed as the bottom cycle, the expansion ratio of the turbine is lower than that of an ORC cycle, leading to better off-design performance (Wang and Dai, 2016). Additionally, a combined system integrating a sCO<sub>2</sub> cycle with the Kalina cycle is also feasible. The sCO<sub>2</sub> cycle is used as the top cycle. The heat rejection of the precooler in the sCO<sub>2</sub> cycle can be transmitted to the Kalina cycle. The results showed that the exergy efficiency of the combined system could be improved by 10% (S. Mahmoudi et al., 2016).

Various types of heat sources may exist in industrial processes. A  $CO_2$  power cycle can be cascaded with an ORC or a conventional steam Rankine cycle to fully utilize these different energies. For example, a combined system of the  $sCO_2$  cycle and ORC can be designed to reclaim waste heat from engine exhaust and coolant. The topping  $sCO_2$  cycle is used to recover the waste heat of the exhaust gas, and the bottoming ORC is used to absorb the heat rejection of the  $sCO_2$  cycle, waste heat of the engine coolant, and residual heat of the exhaust gas. The maximum net power output and minimum specific investment cost of the single  $sCO_2$  power cycle (Song et al., 2020). Combined systems have broad potential for engine waste heat recovery and other similar industrial applications.

A CO<sub>2</sub> power cycle can be integrated with other power systems in parallel to form a complex synthetic energy system. For example, a sCO<sub>2</sub> cycle was designed for a waste incineration power plant by Hao et al. (Chen et al., 2020). The sCO<sub>2</sub> cycle was operated together with a coal-fired steam Rankine cycle in parallel. Compared with the separated sCO<sub>2</sub> power system, the waste-to-electricity efficiency was enhanced by 8.34%. Economic analysis showed that the payback period was reduced by 4.2 years. Meanwhile, the net present value of the waste power generation project was increased by 18.17 M\$. Compressed air energy storage systems are used to solve the problem of solar energy discontinuity and improve the flexibility of solar energy utilization. Meng et al. (Meng et al., 2020) designed a solar tCO<sub>2</sub> cycle to recover the waste energy of high-temperature compressed air, and the energy efficiency was evidently improved.

Toshiba proposed a tCO<sub>2</sub> cycle combined with an oxy-fuel gas turbine, called the Allam cycle (Iwai et al., 2015; Allam et al., 2017; Suzuki et al., 2019). CO<sub>2</sub> was used as the working fluid and heated in the combustor during combustion. Oxy-fuel and oxygen were delivered to the combustor, and the products were steam and CO<sub>2</sub>. A turbine inlet pressure of 31 MPa and inlet temperature of 727°C were obtained. Subsequently, the burned gases were expanded, and

a separator was installed to separate the water. Gaseous  $CO_2$  was condensed to a liquid state, and the redundant  $CO_2$  was extracted after pressurization. In 2016, a 50 MWe demo system was built, and a 300 MWe system fueled with natural gas was planned. Compared with conventional power plants with carbon capture, a net efficiency of 59% for natural gas and 51% for coal can be achieved by the Allam cycle. In addition, nearly 100% of  $CO_2$  can be captured with low projected capital and O&M costs.

## ECONOMIC ANALYSIS OF CO<sub>2</sub> CYCLE

Economic performance is an important factor affecting decisionmaking. The conventional model based on chemical engineering plant cost index (CEPCI) is generally employed to estimate the capital cost (Turton et al., 2009; Kwon et al., 2016). Liu et al. (Liu et al., 2018) analyzed the economic performance of a  $sCO_2$  cycle recovering the flue gas heat of a 600 MW coal-fired power plant. The sCO<sub>2</sub> cycle could reduce energy consumption compared with the traditional economizer method. The payback period of the system was 3.067 years, and the net present value could reach 16.132 million US dollars with a service life of 20 years, manifesting good economic performance. Cayer (Cayer et al., 2009) estimated the performance of a  $tCO_2$  cycle for industrial waste gas at a temperature of 100°C and a flow rate of 314.5 kg/s. The results showed that the regenerator was conducive to reducing the maximum working pressure of the system. However, the UA value of the regenerative cycle was larger than that of the simple cycle. Wang et al. (Wang et al., 2018c) compared the performances of three tCO<sub>2</sub> cycles. The single-stage tCO<sub>2</sub> cycle had a good economic performance over the entire temperature range of the heat source. The two-stage cycle had a better economic performance only when the heat source temperature was higher than 530°C, and a multi-objective optimization algorithm was required if both the thermodynamic and economic performances were to be considered simultaneously. Li et al. (Li et al., 2018) established an economic model for a tCO2 cycle based on the NSGA-II algorithm. The optimized system thermal and exergy efficiencies were 8.51% and 29.59%, respectively.

Generally,  $CO_2$  power cycles have a higher cost than ORCs, which is the main factor restricting commercial production. Using zeotropic mixtures containing  $CO_2$  is a feasible option that can reduce costs while maintaining high efficiency (Xia et al., 2018). At present, few investigations have focused on the economic performance of  $CO_2$  power cycles. More efforts are required for various industrial applications to provide a comprehensive comparison among the conventional steam Rankine cycle, ORC, Kalina, and other power systems.

## TECHNICAL CHALLENGES AND POSSIBILITIES OF FUTURE DEVELOPMENT

Although  $CO_2$  power cycles have great potential for industrial applications, some technical challenges still need to be overcome.



**Figure 10** shows the main challenges and possible directions for future development. First,  $CO_2$  is a natural working fluid with environmentally friendly characteristics, such as zero ODP and low GWP. However,  $CO_2$  is a limiting substance in the occupational exposure limit standard for hazardous factors in the workplace.  $CO_2$  with a concentration greater than 2% will cause fatal harm to human beings in a closed space. Generally, the concentration of  $CO_2$  prescribed in the hygiene requirements is less than 5000 ppm. Therefore,  $CO_2$  gas leakage detection and the formulation of relevant safety specifications are needed to provide safety support for the industrial application of  $CO_2$  power cycles.

The characteristics of fluid flow and heat transfer of supercritical  $CO_2$  need to be investigated experimentally such that accurate correlations can be developed for a wide range of  $CO_2$  power cycles. PCHEs that can operate under high-pressure and temperature conditions are suitable for applications with a compact size requirement. Flow passage optimization and reduction of pressure drops of PCHEs should be investigated further. Furthermore,  $CO_2$  can be used as a flame retardant and blended with an organic working fluid. The thermophysical properties of zeotropic mixtures containing  $CO_2$  should be measured to provide accurate data for industrial applications. Thus, a high-fidelity economic model and a comprehensive analysis can be performed.

The energy efficiency of the high-temperature  $sCO_2$  power cycle increases with an increase in the maximum working pressure. However, the high operating temperature poses a severe challenge to metal materials. The material strength should be improved, and the wall thickness must be increased. In addition, it is possible to control the maximum working pressure to a reasonable level and improve the system efficiency by reducing the exergy loss of the components. For coal-fired power plants, the design of a high-temperature  $sCO_2$  power cycle needs to be considered together with the design of carbon capture and carbon storage devices to meet the carbon neutrality target.

CO<sub>2</sub> power cycles take advantages of environmentally friendly properties, low cost, high output power, and are best suited for

solar and geothermal sources. For solar power applications, a solar collector with high concentrating performance can enhance the operating temperature and system efficiency. Combining it with a heat storage device can effectively suppress fluctuations in solar energy. Furthermore, it can be integrated with domestic heat supply such that comprehensive energy utilization is realized, and the energy efficiency is improved greatly. This has good prospects in micro-distributed energy supply systems. For low-temperature geothermal power generation, few investigations have focused on the  $CO_2$  power system, and more research and engineering developments are needed. A supercritical state is observed for the  $sCO_2$  cycle during the cooling process, and air cooling is feasible, especially for areas with water shortages. A  $tCO_2$  cycle is more suitable for areas at high latitudes or altitudes with low ambient temperatures or areas with abundant water resources.

The high-pressure and high-temperature operating conditions of CO<sub>2</sub> power systems are a severe challenge to the reliability and durability of the system components, which require careful verification in engineering practice. Supercritical CO2 with high density has a significant impact on the high-speed rotating vanes of the turbine and compressor, and the mechanical stress of the blades must be specified in the design. To ensure reliable sealing and improve the compactness of the system, the compressor, alternator, and turbine are designed as an integrated turbine-alternator-compressor (TAC). Generally, high heat loss occurs owing to the heat transfer between the different parts of the TAC. It is necessary to reduce the heat loss of the turbine to a very low level while simultaneously ensuring good cooling of the alternator. The optimal regulation of the compressor and turbine under off-design conditions needs to be further studied.

## CONCLUSION

In this paper, recent advancements in the system design and applications of supercritical/transcritical CO<sub>2</sub> power cycles are

discussed. Single-flow and split-flow CO2 power cycles have their own advantages. New CO<sub>2</sub> power cycles can be designed by enhancing the processes of heating, recuperation, compression, and expansion. For a high-temperature heat source with a large specific heat capacity, the recompression configuration is suitable owing to its high efficiency and relatively simple structure. The split expansion configuration with reheating and intercooling is more suitable for heat sources with a small specific heat capacity. In addition, the CO<sub>2</sub> power system combining the single-flow and split-flow cycles may provide a method to improve energy efficiency further, and fully utilize the advantages of both configurations. Furthermore, novel systems may be designed by combining the sCO<sub>2</sub> cycle with the tCO<sub>2</sub> cycle if the ambient temperature is low. The CO<sub>2</sub> power cycle can also be integrated with refrigeration and heating devices to realize the comprehensive utilization of energy, which is of great significance for applications such as distributed energy systems.

Different  $sCO_2$  Brayton cycles have been investigated extensively for nuclear reactors, coal-fired plants, CSP, and high-temperature waste heat recovery. However, few investigations have focused on  $tCO_2$  cycles. More efforts are required to evaluate the feasibility and potential of different

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 $tCO_2$  Rankine cycles for low-grade heat sources, especially for small-scale applications. In practice, a comprehensive analysis of the  $CO_2$  power cycle, including an evaluation of its economic performance, is required. To accelerate the industrial application of  $CO_2$  power cycles, more efforts should be devoted to engineering activities, including component manufacturing, system seal, reliability and durability, system control, and optimization, in the future.

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EW contributes to conceptualization, literature survey, paper drafting, and funding acquisition. NP is mainly responsible for the data curation and writing paper. MZ contributes to the paper modification, resources, visualization.

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