



Hydrogen from Dark Fermentation of the Organic Fraction of Waste Diapers: Optimization Based on Response Surface Experiments

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Waste diapers (WD) handling and disposal in Mexico are typically based on their burial in dumping sites and landfills. Practically reclaiming and recycling of WD are non-existent. The clean diapers are composed of cellulose fibres (37–43% db), hemicellulose (5–9%), lignin (4–7%), protein (<1), plastics (polypropylene and polyethylene) (12–16%), absorbent sodium polyacrylate (14–18%), and elastic and adhesives tapes (9–12%). The latter can be valuable resources. WD composition is similar to clean diaper, although humidity is very high, and the ranges of faeces and urine are 1.5–2.5 and 6–9% dry weight, respectively. International literature searches indicate that there is some research on composting, fungal biodegradation, and methanogenic co-digestion of waste activated sludge with the organic fraction of waste diapers (OFWD.) However, research on dark fermentation of OFWD is limited. In this work, the generation of biohydrogen from dark fermentation of OFWD was optimised. We used the response surface methodology (RSM). Independent variables were the temperature of operation (37–55°C), ratio C/N of the feed (30, 40 gC/gN), and initial total solids of the feed (TSi) (15, 25%). The dependent (response) variables examined were Y'H₂ (H₂ produced per initial g of dry matter), contents of low molecular weight organic solvents and acids, lactic acid, the ratio A/B (acetic-to-butyric acid), and the quotient organic acids C2 to C4-to-solvents. The predicted maximum Y'H₂ occurred at the combination of factors of 43 gC/gN, 12% and 31°C; its value was 2.79 mmolH₂/gTS; its experimental validation gave 2.48 mmolH₂/gTS, which shows a good agreement between values (11% lower than the predicted value). The maximum of Y'H₂ with OFWD compared very favourably with bioH₂ values obtained from a wide variety of wastes (organic municipal residues, agricultural wastes, etc.) using the same batch type fermentation with intermittent venting. Interestingly, the predicted temperature optimum fell in the lower side of the mesophilic range. Process heating savings would be in the order of 60.0 and 27.2% for thermophilic and mesophilic operation, respectively. In this way, it would be a contribution to the sustainability of the dark fermentation of OFWD. This result was somewhat counterintuitive and strongly indicates the usefulness of the response

surface methodology for analyzing the experimental results and uncovering favourable, although unexpected conditions.

Keywords: biohydrogen generation, total solids, optimization, ratio C/N, temperature, response surface analysis, waste diapers

INTRODUCTION

Hydrogen obtained from biomass or lignocellulosic waste is one of the promising biofuels used for energy generation and produces very few polluting emissions to the environment. Hydrogen is used in different annealing industries as a clean and environmentally friendly fuel because its main combustion product is water (Kotay and Das, 2008). It is also known that hydrogen production is preferred over methane because of its wide variety of applications (Kothari et al., 2012).

One of the characteristics that make biohydrogen production less favorable is the low yield obtained and process's costs (Kumar et al., 2019). The drawbacks can be counteracted; some of the alternatives to achieve it is to improve yields by controlling critical process parameters (pH, temperature, nutrients, substrate type) as well as the valorization of by-products [volatile organic acids (VOA, i.e., members of the short-chain fatty acids family such as acetic acid, HAc, propionic acid, HPr, butyric acid, HBU), alcohols, lactic acid, non-hydrolyzed residues, among others] by some other biological processes, as well as the application of those by-products as precursors in chemical production processes (Ghimire et al., 2015).

Some authors have tried to take advantage of effluents from dark fermentation because they are rich in volatile organic acids converted into new valuable compounds. Kumar et al. (2019) addressed the issue of biopolymer production through the use of volatile organic acids (VOA) effluents from dark fermentation, finding that the whole process is economically viable because a residual effluent from the DF process can be exploited, together with the fact that these biopolymers can be an alternative to the use of polymers obtained by petrochemicals, becoming polymers easy to degrade employing microorganisms.

Among other alternatives that have been proposed for further processing and reclaiming of fermented solids from DF, is the subsequent anaerobic digestion with CH₄ generation (Escamilla-Alvarado et al., 2012; Lee et al., 2010; Ueno et al., 2007). This approach, also known as H-M, allows for a higher gross generation of bioenergy due to the contribution of the methanogenesis. In some cases, the CH₄ production from coupled H-M processes can be higher than that of single-stage anaerobic digestion, since the solids entering the methanogenic stage are already partially hydrolyzed or digested.

In Mexico, 37.5 million tons of waste are generated per year. Used disposable diapers represent nearly 7% (SEMARNAT, 2012) of this stream. Recent works indicate that most parents in Mexico use disposable diapers because they are perceived as hygienic and economic (PROFECO, 2003; PROFECO, 2011; Kim and Cho, 2017). It has been determined that up to six diapers/(child*day) are used in Mexico. Afterwards, waste diapers are

disposed of in dumping sites and landfills (Espinosa-Valdemar et al., 2015).

The clean diapers are basically composed (on dry basis) of cellulose fibres (37–43% db), hemicellulose (5–9%), lignin (4–7%), protein (<1), plastics (polypropylene and polyethylene) (12–16%), an absorbent material made of sodium polyacrylate (SPA) (14–18), and elastic and adhesives tapes (9–12%) that can be valuable resources (Villagran, 2014). Typical weights of clean disposable diaper and a waste diaper are 41 and 212 g, respectively (EDANA, 2008). Component ranges of WD were similar to those of the clean diaper, with the difference that the humidity is very high, and the ranges of faeces and urine were 1.5–2.5 and 6–9%, respectively.

Applied research has made wide use of the response surface methodology or RSM. The RSM is a statistical optimization technique that is very useful in predictive analysis and modeling when a dependent (or several dependent variables) are influenced by independent factors of interest (Myers et al., 2009; Ntaikou et al., 2010).

According to literature, essential factors (independent variables) in DF of wastes can include total solids, pH, temperature, alkalinity, microorganisms, types of feedstocks, types of reactors, nutrient, among others (Valdez-Vázquez et al., 2006; Valdez-Vázquez and Poggi-Varaldo, 2009; Ntaikou et al., 2010; Amorim et al., 2012; Cavinato et al., 2013; Robledo-Narvaez et al., 2013; Sotelo-Navarro et al., 2017; García-Depraect et al., 2019). Several of those variables could be of interest in the dark fermentation of WD.

It is worth highlighting why waste diapers (WD) are attractive for biohydrogen production. Among the merits and benefits of this use of WD we can cite the following:

- (i) the WD have a high content of cellulose (40% db); earlier research has demonstrated that substrates and wastes rich in cellulose and hemicellulose or carbohydrates can produce an attractive amount of biological hydrogen (Rodríguez-Valderrama et al., 2020; Alvarez et al., 2020; Sarangi and Nanda, 2020; Solowski et al., 2020; Weide et al., 2019; Catalán and Sánchez, 2019; Yeshanew et al., 2018; Roy 2017; Robledo-Narvaez et al., 2013). Thus, in principle, the potential of biohydrogen production of WD, could be very high.
- (ii) since WD are wastes, their cost as raw material is essentially free or low; their cost would be mainly associated to transport, conditioning, and preparation (Torrijos et al., 2014; Escamilla-Alvarado et al., 2017).
- (iii) the fermented OFWD, and solids and liquids from conditioning could be reclaimed by further processing to

obtain more energy and bioproducts. (Poggi-Varaldo et al., 2014).

- (iv) also, the well-known advantages of hydrogen as a clean biofuel or commodity are merits that justify the DF of OFWD (Kotay and Das, 2008; Kothari et al., 2012).

Former research has evaluated the feasibility of treating disposable diapers by biological methods. Degradation with fungi, composting, and methanogenic digestion were the tested methods (Colón et al., 2011; Colón et al., 2013; Torrijos et al., 2013; Espinosa-Valdemar et al., 2014; Espinosa-Valdemar et al., 2015).

More recently, the feasibility of producing biohydrogen from disposable diapers by dark fermentation was evaluated by our Group (Sotelo-Navarro et al., 2017). Main results of this investigation allowed to establish that it was feasible to use disposable waste diapers as a substrate. As a first approach, different ways of conditioning the substrate and operating temperature in mesophilic and thermophilic were tested; however, the initial total solids and the carbon-nitrogen ratio were set at fixed values (20 and 30%, respectively). The yields obtained were low compared with those obtained in the DF of the OFMSW (organic fraction of municipal solid waste).

If successful, DF of the OFWD would hold promise for integration to biorefineries from wastes that could co-ferment this waste with a variety of agricultural and agroindustrial wastes of similar characteristics (cellulosic and lignocellulosic wastes, i.e., food and textile wastes) (Rodríguez-Valderrama et al., 2020; Sarangi and Nanda, 2020; Solowski et al., 2020; Weide et al., 2019; Catalán and Sánchez, 2019; Yeshanew et al., 2018; Roy 2017; Robledo-Narváez et al., 2013). As it was hinted above, biological hydrogen production can be easily incorporated to several biorefinery platforms as a first stage of biofuel generation, followed by a network of processes devoted to obtaining value-added products and possibly more energy (Poggi-Varaldo et al., 2014). The biorefinery approach for treating and reclaiming WD and other residues could be more attractive if an inverse cascading approach that maximizes energy generation from wastes were adopted. In this scheme, first energy (biofuels such as biological hydrogen and methane are produced from waste. Afterwards, the fermented waste is subject to value-added product generation, and finally, more bioenergy is produced from the remainder of residues of previous processes (Poggi-Varaldo et al., 2014; Escamilla-Alvarado et al., 2017). This approach could be an attractive model for waste biorefineries in developing countries that are net importers of fossil fuels.

Therefore, the objective of this work was to optimise the production of biohydrogen from disposable waste diapers using the statistical technique known as the response surface analysis. The effects of factors C/N ratio (30, 40 gC/gN), initial total solids (15, 25%), and temperature (35, 55°C) on biohydrogen generation were evaluated. To the best of our knowledge, there is no previous report of this type of study with WD. The design was established using Statgraphics v.19, based on a factorial experiment 2³ with central and axial points. At this time, only the process evaluation was carried

out; the economic feasibility analysis was out of the scope of this research.

MATERIALS AND METHODS

Experimental Design

The optimization was performed using surface response analysis based on a factorial 2³ with axial and central (**Supplementary Table S1**) (Myers et al., 2009; Montgomery, 2012). The factors were the ratio C/N in the feed (30, 40 g/g), initial total solids (TSi) (15, 25%) in the feedstock as well as process temperature (37, 55°C). The response variables were the biological production of H₂ (Y_{H₂}, in mmol H₂/g TS substrate), organic acids concentrations, lactic acid, contents of low molecular weight solvents, the ratio of acetic-to-butyric acid (defined as A/B on COD basis; details on significance and calculations of the ratio A/B in **Supplementary Material**), and the ratio organic acids-to-solvents. The carbon-nitrogen ratio for the treatments and experimental units was adjusted in the range 27–43% (**Supplementary Table S7**). Given the Nitrogen and organic matter contents of the OFWD and the inoculum, the C/N targets of the RSM experimental design, mass balances were performed to estimate the additional nitrogen amount to each experimental unit. NH₄Cl was selected as the N source. The required amount of N was supplemented as ammonium chloride to each experimental unit. **Supplementary Table S6** shows that the OFWD exhibited a high contents of holocellulose (cellulose plus hemicellulose) and low concentration of total Kjeldahl nitrogen (TKN). The content of nitrogen was lower than that in the WD, presumably due to conditioning operations to remove plastics and moisture absorbent from the WD (**Supplementary Figure S1**) that likely washed-out the organic and ammonia nitrogen contained in the faeces and urine. As a result, the C/N ratio of the OFWD was very high (approximately 104.67 ± 1.05 gC/gN), well beyond the range recommended for anaerobic biological waste treatment (25–40 gC/gN, Wang et al., 2014; Poggi-Varaldo et al., 1997).

Therefore, the OFWD was supplemented with NH₄Cl as explained below to set the ratio of C/N in a more adequate range 27–43%.

The increase of the content of holocellulose in the OFWD in % dry weight compared to that in both clean diapers and WD is due to the elimination of the weights of plastics and the sodium polyacrylate polymer SPA as well as faeces and urine from the latter, that account for nearly half of the dry weight of the WD. On absolute basis, the content of holocellulose of the OFWD is practically conserved after the conditioning operations of the WD (except for some marginal losses of small size fibres that cannot be recovered).

Reactors were loaded in such a way to comply with the target values of the factors indicated by the response surface design (**Supplementary Table S2**). The carbon-to-nitrogen ratio was adjusted using ammonium chloride. The experiment was run in a single block and with a completely randomized order to prevent bias and meet the randomness/independence requirements of the analysis of variance (Montgomery, 2012). Two replicates of each

treatment were run. Background controls with the only inoculum were also tested.

Experiments were performed using the procedure known as solid substrate anaerobic H₂ fermentation, with intermittent venting and headspace flushing (SSAHF-IV) reported by Sotelo-Navarro et al. (2017) and Robledo-Narvaez et al. (2013) (**Supplementary Material**). The reactors were serum bottles 120 ml capacity.

Feedstock and Inoculum

In this research, the organic fraction of waste diaper (OFWD, mainly cellulose) was used, following the protocol described by Giroto et al. (2015). The OFWD was prepared as follows (**Supplementary Figure S1**): first, plastics and elastic materials were separated manually, leaving the core, i.e., SPA, holocellulose, faeces, and urine. Afterward, water was added. CaCl₂ was incorporated to reach a target concentration of 3,000 mg L⁻¹ calcium ion in the liquor to agglomerate and separate the SPA. The mixed liquor was sedimented for 24 h at room temperature. Two phases were formed, the liquid supernatant and the wet solids. The latter, in turn, consisted of 2 cm diameter balls of SPA-Ca and wet OFWD. The supernatant was withdrawn by decanting. The SPA-Ca balls were picked-up out from the wet solids phase. The remainder of the wet solids, the OFWD, was filtered and further dried at 70°C for 24 h (**Supplementary Figure S1**) (More details on the separation of the OFD can be consulted in **Supplementary Material**). The full characterization of the used waste diapers is presented in **Supplementary Table S5** (**Supplementary Material**).

The ratio of substrate-to-inoculum (OFWD-to-inoculum) was 10% w/w. The inoculum was subjected to thermal shock at 92°C for 30 min to eliminate methanogenic archaea and other H₂-consuming microbes from the consortium, as reported elsewhere (Muñoz-Paéz et al., 2013; Sotelo-Navarro et al., 2017) (more details in **Supplementary Material**).

Analyses

The hydrogen concentration in biogas was monitored as the main parameter, although CH₄ and CO₂ contents were also analysed. Biogas analysis was carried out 3 times/week for 30 days using a Gow-Mac Model 350 gas chromatograph, as reported by Muñoz-Paéz et al. (2013) and Robledo-Narvaez et al. (2013). H₂, CH₄, and CO₂ contents in the headspace of the bioreactor were routinely determined. The mass of each gas (in mmol) in the calibration was calculated by using the ideal (perfect) gas law (Castellan, 1983), departing from gas volumes at room pressure and temperature.

The contents of volatile organic acids (VOA, also known as volatile fatty acids or short-chain fatty acids C₂ to C₄, acetic, HAC, propionic HPr, and butyric acid, HBU), lactic acid, and low molecular weight solvents (acetone, methanol, ethanol, and butanol) were analysed at the beginning and at the end of the process (Valdez-Vazquez and Poggi-Varaldo, 2009; Muñoz-Paéz et al., 2014) (**Supplementary Material**).

The pH was analysed in a suspension that consisted of one portion of the fermented sample and five portions of cooled

deionized water, at a temperature of 5°C (Poggi-Varaldo and Oleszkiewicz, 1992). Alkalinities, both intermediate and total, as well as the coefficient α' (a surrogate indicator of possible VOA accumulation in digesters, based on alkalinity values), was determined according to the procedures described by Poggi-Varaldo and Oleszkiewicz (1992) and Ripley et al. (1981).

The physicochemical characteristics of the feedstock, inoculum, and fermented solids analysed were organic matter as COD, VS, TS, ash (Clesceri et al., 1998), nitrogen (Andrew et al., 2005), cellulose (Van Soest and Wine, 1967), hemicellulose, and lignin (Technical Association of Pulp and Paper Industry, 2001). The values were reported in **Supplementary Tables S6, S7**, 'Composition of organic fraction of waste diapers (OFWD)' and 'Adjustment of Carbon-Nitrogen ratio', respectively, and '**Supplementary Material** Inoculum and seed reactors' in the SM.

Calculations

The variable ρ (Eq. 1) was the quotient of the summation of the concentrations of organic acids (COD basis) in the numerator and the summation of the solvents contents (COD basis) in the denominator. Thus, ρ can indicate whether metabolism is predominantly acidogenic or solventogenic.

$$\rho = \frac{\sum \text{VOA}}{\sum \text{SOLV}} \quad (1)$$

where: $\sum \text{VOA}$ = summation of the contents of VOA (C₂ to C₄, COD basis). $\sum \text{SOLV}$ = summation of solvent contents (methanol, ethanol, butanol, acetone; COD basis).

The concentration of lactic acid was informed alone; it was not considered for estimating of the numerator of ρ . The reason for this was related to the fact that lactic acid acts as a hydrogen sink. For instance, the homolactic fermentation of hexoses leads to the generation of 2 moles of lactic acid. No biohydrogen is generated (Escamilla-Alvarado et al., 2012).

Statistical Processing

Results were processed with the statistical routines of Stathgraphics V.19 (Statgraphics Technologies, Inc.; The Plains, VA, United States). In calibration work, regression lines were fitted to calibration data using Excel®/Data/Data analysis/Regression.

RESULTS AND DISCUSSION

Biohydrogen Production

Cumulative biohydrogen production (Y_{H_2}), sum of VOA, and the sum of solvents for all the treatments in our experiment are presented in **Supplementary Table S2** of the SM. Up to four cycles of fermentation with intermittent venting with N₂ were achieved (**Figure 1**). Typically, the main contribution to total biohydrogen production was associated with the first two cycles of incubation.

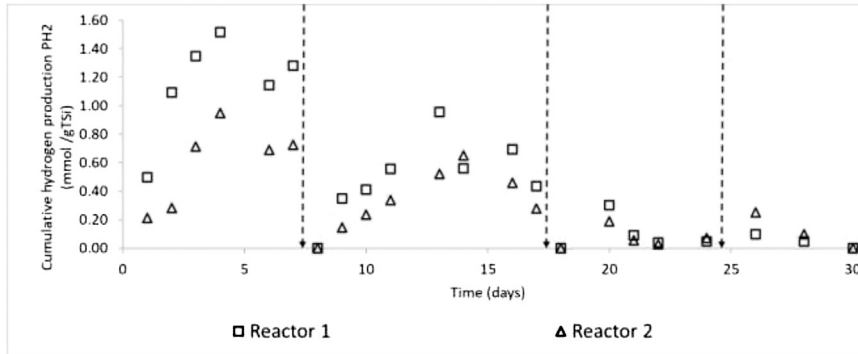


FIGURE 1 | Typical time courses of biohydrogen production of diapers of two treatments in the experiment, treatments. Keys: Vertical arrows indicate flushing of bioreactor headspace with N_2

Equation. 2 represents a surface represented by a second-order model that relates the response variable $Y'H_2$ to the independent variables (factors) C/N, T, and TSi (actual values).

Figure 2 shows the response surface contour plots of $Y'H_2$ for each pair of factors for the cumulative production of hydrogen (frames a to c). An inspection of the frame (a) in **Figure 2** suggests that maximum $Y'H_2$ occurred at low TSi and high C/N. Frame (b) indicates that maximum $Y'H_2$ was in the region of low mesophilic temperatures and high values of C/N. Finally, the inspection of frame (c) displays the maximum value in the region of low mesophilic temperatures and low TSi of the feedstock. Overall, this preliminary analysis suggests that the max $Y'H_2$ would fall in a region of low TSi, low temperature, and high C/N. This qualitative prediction was consistent with the mathematical value for max $Y'H_2$ reported below.

$$\begin{aligned}
 Y'H_2 = & -20.16 + 0.88\left(\frac{C}{N}\right) + 0.21*(TS_i) + 0.178*T \\
 & - 0.00649*\left(\frac{C}{N}\right)^2 - 0.00259*(TS_i)^2 - 0.000805*T^2 \\
 & - 0.00762*\left(\frac{C}{N}\right)*(TS_i) - 0.00507*\left(\frac{C}{N}\right)*T \\
 & + 0.000242*(TS_i)*T
 \end{aligned} \quad (2)$$

The maximum optimum value predicted by the response surface for $Y'H_2$ was 2.79 mmol/gTSi; it occurred at the combination of factors of 43 gC/gN, 12% TSi, and 31°C (**Table 1**). This result was validated by running the fermentation in triplicate at that point, which yielded 2.48 mmol/gTSi, lower than the predicted value by only 11.32%.

Table 1 also shows a summary of the predicted value features for the maximum $Y'H_2$, as well as other related statistical information (confidence interval of the prediction, etc.). The confidence interval of 95% of the predicted value contained the experimental $Y'H_2$.

The analysis of variance for $Y'H_2$ is displayed in **Supplementary Table S3**. The quadratic model adequately fitted the experimental data, as evidenced by a p -value >0.05 for the Lack-of-fit. The R^2 statistic indicated that the adjusted model explained up to 66.3% of the cumulative variability of $Y'H_2$. Regarding the source of variation, the

main effects of the three factors were significant ($p < 0.05$), as well as the pure quadratic term in C/N and the two interactions where C/N participated. These results were consistent with the significance of the coefficients of the quadratic function (**Supplementary Table S3**). Therefore, the modified or simplified quadratic equation for the Surface of $Y'H_2$ would retain only six terms (**Eq. 3**), instead of 10 terms in the complete **Eq. 2** (**Supplementary Table S3**).

$$\begin{aligned}
 P_{H_2} = & -20.16 + 0.880CN + 0.210TS + 0.178T - 0.00649CN^2 \\
 & + 0.000242TS*T
 \end{aligned} \quad (3)$$

In general, the standardized residuals (the standardized difference between predicted and experimental values of $Y'H_2$) fell in the interval $(-2, 2)$. According to statistical procedures, only the outliers associated with standardized residuals larger than two or lower than -2 are reported. So, **Supplementary Table S3** should be interpreted in the sense that no outliers occurred (except for the result of the experimental unit 28, **Supplementary Tables S1**; Montgomery, 2012).

Prediction of Concentrations of Selected Organic Acids

Maximum of the Variable Sum of Volatile Organic Acids

The surface of the response variable $\sum VOA$ (excluding HLac) was given by **Eq. 4** in terms of actual values of factors C/N, T, and TSi.

$$\begin{aligned}
 \sum VOA = & 6122 + 403*\left(\frac{C}{N}\right) - 310 TS_i - 352*T - 6.8*\left(\frac{C}{N}\right)^2 \\
 & - 6.0*TS_i^2 + 6.36*T^2 + 19.7*\left(\frac{C}{N}\right)*TS_i \\
 & - 6.29*\left(\frac{C}{N}\right)*T - 3.28*TS_i*T
 \end{aligned} \quad (4)$$

Figure 3 exhibits the contour plots of $\sum VOA$ for each pair of factors. An intuitive examination of **Figure 3A** indicates that the

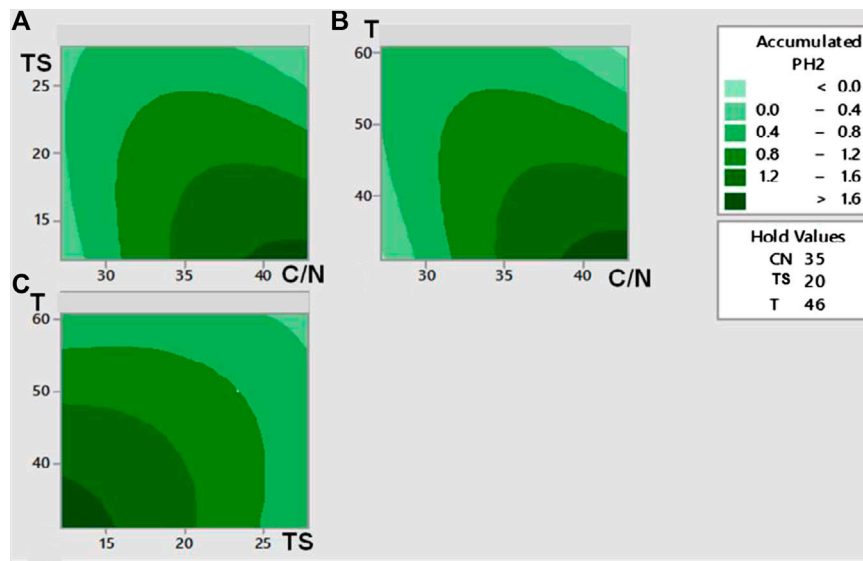


FIGURE 2 | Contour plots of the response surface for the influence of different pairs of variables on the H_2 production ($mmolH_2/gTSl$) from the dark fermentation of the organic fraction of waste diapers. Cumulative H_2 production **(A)** as a function of initial Total Solids and C/N, Temperature set at $46^\circ C$; **(B)** as a function of Temperature and C/N, Initial Total solids set at 20%; **(C)** as a function of Temperature and initial Total Solids, C/N ratio set at 35.

TABLE 1 | Optimization of the response variable cumulative biohydrogen production.

Response	Goal	Lower target	Upper target	Weight	Importance
$Y'H_2$ ^a	Maximum	0.12	2.48	1	1
Solution	C/N ^b	TS ^c	T ^d	Predicted	
1	43	12	31	2.79	
Response	Predicted	SE ^e	95% CI ^f	95% PI ^g	
$Y'H_2$	2.79	0.48	(1.82,3.77)	(1.61,3.98)	

^aProduction of hydrogen($mmolH_2/gTSl$).

^bCarbon-to-nitrogen ratio of the feedstock.

^cInitial total solids in the feedstock (%).

^dTemperature of operation ($^\circ C$).

^eStandard error.

^fConfidence interval.

^gPrediction interval.

highest $\sum VOA$ occurred on a diagonal ridge, and several pairs of coordinates of (C/N, TS_i) gave high values of the response variable. According to **Figure 3B**, the highest $\sum VOA$ was associated with a low range of temperature and high values of C/N. Last, **Figure 3C** suggests the highest $\sum VOA$ fell at low temperature and moderate-to-high TS_i.

Our initial analysis suggests that the highest $\sum VOA$ would be observed at high C/N, moderate-to-high TS_i, and low temperature. As shown below, this preliminary prediction was congruent with the maximum value of $\sum VOA$ extracted by mathematical methods of the software.

The maximum (optimum) value of $\sum VOA$ predicted by the response surface was 5,213 mg COD/gwb, whereas the coordinates of such a maximum were 43 gC/gN, 28% TS_i, and

$31^\circ C$ (**Table 2**). The 95% CI of the predicted value contained the maximum experimental $\sum VOA$. However, the difference between predicted and experimental (actual) maxima $\sum VOA$ seemed to be significant. Interestingly, the values of the coordinates of the first and third factors above were consistent with the corresponding coordinates found for the maximum $Y'H_2$ (**Table 1**).

Minimum of the Variable Sum of Solvents

The response surface for the variable response sum of solvents (ethanol, acetone, butanol, and methanol) corresponds to **Eq. 5** below.

$$\begin{aligned} \sum SOLV = & -1556 + 53.4 * \left(\frac{C}{N}\right) + 5.3 * TS_i + 27.0 * T - 0.677 \left(\frac{C}{N}\right)^2 \\ & + 0.113 * TS_i^2 - 0.137 * T^2 + 0.246 \left(\frac{C}{N}\right) * TS_i \\ & - 0.241 * \left(\frac{C}{N}\right) * T - 0.343 * TS_i * T \end{aligned} \quad (5)$$

The minimum sum of solvents was found at C/N ratio of 27, TS_i of 15, and a temperature of $31^\circ C$ (**Supplementary Table S5**). This result, along with the low range of $\sum SOLV$ in the experiment, is significant because a high value of $\sum SOLV$ could be associated with a solventogenic shift of fermentation. In turn, the solventogenic deviation would lead to low bioH₂ production (Lee et al., 2010). Therefore, it is a set of results that were determined independently and are consistent among them.

Two of the coordinates for the minimum predicted sum of solvents (**Supplementary Table S5**) were very close to the corresponding coordinates for the maximum predicted $Y'H_2$ (**Table 1**), namely initial total solids and temperature.

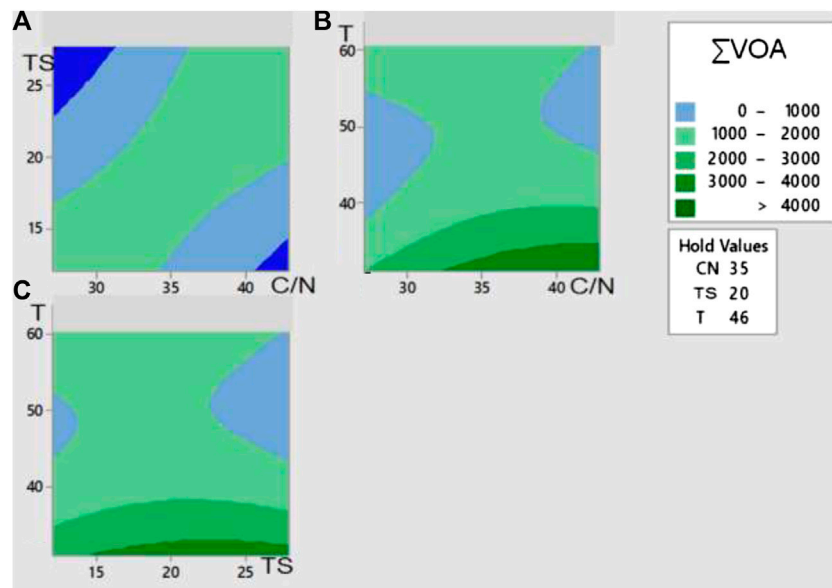


FIGURE 3 | Contour plots of the response surface for the influence of different pairs of variables on the sum of volatile acids (mg COD/kgdb) from the dark fermentation of the organic fraction of waste diapers. Total volatile organic acids concentration **(A)** as a function of initial Total Solids and C/N, Temperature set a 46°C; **(B)** as a function of Temperature and C/N, Initial Total solids set at 20%; **(C)** as a function of Temperature and initial Total Solids, C/N ratio set at 35.

TABLE 2 | Maximum value of the variable sum of volatile organic acids and associated features.

Response variable	Goal	Lower	Upper	Weight	Importance
Σ VOA ^a	Maximum	96.22	9105.33	1	1
Solution					
Solution 1	C/N ^b	TS ^c	T ^d	Predicted Σ VOA	Composite desirability
Response	Predicted value	SE ^e	95% CI ^f	95% PI ^g	
Σ VOA	5,214	2,450	(210, 10282)	(0, 11281)	0.57

^aSum of volatile organic acids (mg COD/kgdb).

^bCarbon-to-nitrogen ratio of the feedstock.

^cInitial total solids in the feedstock (%).

^dTemperature of operation (°C).

^eStandard error.

^fConfidence interval.

^gPrediction interval.

Figure 4 shows the surface response graphs for each pair of factors for the sum of solvents (acetone, butanol, methanol, and ethanol).

Combined Desirability (Combined Optimisation) of Both Biohydrogen and Volatile Organic Acids Productions

We explored the composite desirability of the variables Y_{H_2} and Σ VOA, looking for simultaneous maxima. The rationale behind this was to locate a combination of attractive biohydrogen production and high VOA contents in the fermented solids. The first feature is self-explanatory. The second was related to a favourable profile of organic acids in the fermented solids in case that either an anaerobic digestion post-treatment of the fermented solids or recovery/purification of VOA were chosen.

According to Sotelo-Navarro et al. (2020), organic acids (as well as low molecular weight solvents) produced by fermentation of organic residues have attractive applications and market: either they can be used in chemical synthesis as building blocks in such a way to replace those of fossil origin, or used bioelectricity and biofuels production, or photoheterotrophic bioH₂ (Silva et al., 2014; Ghimire et al., 2015; Venkata Mohan et al., 2016). Examples of organic acids of interest include both value-added and commodities compounds, i.e., glutamic acid, citric, 3-hydroxy propionic acid, levulinic, pyruvic, malic, succinic acid, fumaric, aspartic acid, 2,5-furan dicarboxylic acid, lactic acid, itaconic, glucaric acid, and C1 to C7 volatile fatty acids (Werpy and Petersen, 2004; Brown, 2007; Murali et al., 2017).

Previous studies (Werpy and Petersen, 2004; Brown, 2007; Silva et al., 2014; Ghimire et al., 2015; Murali et al., 2017; Venkata Mohan et al., 2019) showed that organic acids constitute a

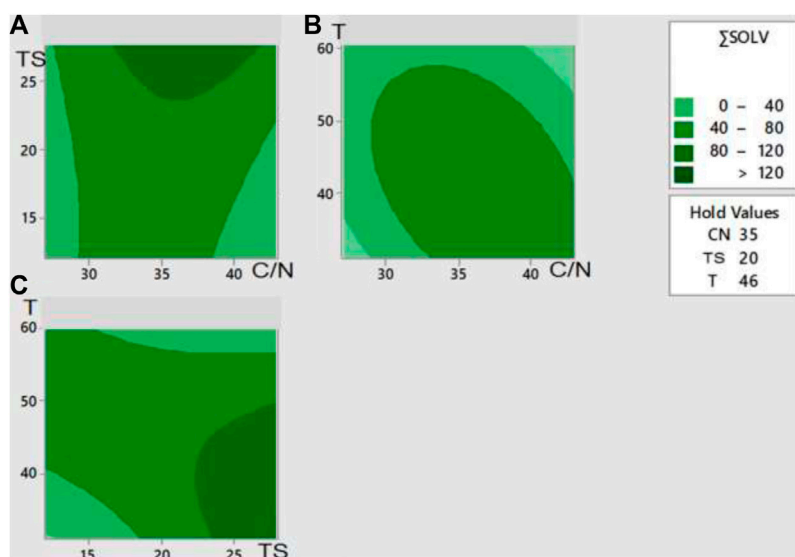


FIGURE 4 | Contour plots of the response surface for the influence of different pairs of variables on the sum of solvents (mg COD/kgdb) from the dark fermentation of the organic fraction of waste diapers. Total concentration of low molecular weight solvents: **(A)** as a function of initial Total Solids and C/N, Temperature set a 46°C; **(B)** as a function of Temperature and C/N, Initial Total solids set at 20%; **(C)** as a function of Temperature and initial Total Solids, C/N ratio set at 35.

valuable platform for a great variety of lucrative applications, i.e., biorefineries based on organic acids platforms, “bio(petro) chemistry,” biofuels, pharmaceuticals, and more.

In this way, DF of OFWD could be envisioned as a two-product process: on the one hand, the H_2 is recognized as a clean energy carrier with the highest enthalpy of combustion per unit of mass among the more conventional fuels (Mizuno et al., 2002; Kapdan and Kargi, 2006). On the other hand, organic acids, and selected solvents (Ebrahimian and Karimi, 2020) can be concomitantly produced in DF. As our discussion above attempted to show, these compounds are of great technical and economic interest. Therefore, it seems that a desirable performance of dark fermentation would be related to determining conditions where both response variables (bio H_2 production and organic acids production) could be maximized.

The effect of temperature on DF bio H_2 production in the open literature is still not conclusive. On the one hand, Escamilla-Alvarado et al. (2012), Puhakka et al. (2012), Lee et al. (2008); Valdez-Vázquez et al. (2006) have found that highest hydrogen yields, or concentrations corresponded to the mesophilic regime in previous studies. Yet, a few works have observed high H_2 productions at temperatures around 20–28°C (Muñoz-Páez et al., 2013; Alvarez-Guzmán et al., 2020). In contrast, Sotelo et al. (2017), Escamilla-Alvarado et al. (2013), Kim and Kim (2012), Kargi et al. (2012), Cakir et al. (2010), and Akutsu et al. (2008) have reported the highest biohydrogen yields in the thermophilic regime. Interestingly, a list of papers has dealt with high biohydrogen yields at temperatures beyond the thermophilic range, which can be considered hyperthermophilic (Yokoyama et al., 2009).

However, it seems that the preferred outcome would be high biohydrogen productions at lower temperatures because significant savings on heating the feedstock as well as heating the bioreactors and ancillary equipment for compensating the

heat losses to the surroundings could be achieved. Any improvement of H_2 production at higher temperature should be analysed in terms of energy balances to check whether the energy increase associated with increased H_2 is higher than the additional energy requirements for feedstock and equipment heating to reach a higher temperature of operation, or not.

Likely, the temperature per se is not the only significant factor in biohydrogen yield from DF. The nature of the substrate, osmotic pressure (the content of TS), the balance of nutrients, pH control or lack of control, could influence the role of temperature in what is known to be “interaction” (in the statistical sense) that is very common in multifactorial experiments with complex systems (Montgomery, 2012). For instance, previous work with a similar substrate (Sotelo et al., 2017) demonstrated that the highest yield of hydrogen occurred in the thermophilic regime; however, in that case, different and fixed values of C/N and TS_i were considered, so it would be expected that the current work will provide new and insightful information on the effects of these factors on biohydrogen generation.

According to **Table 3**, the treatment that gave high organic acids and a still attractive Y_{H_2} occurred at 43 gC/gN, 19% TS_i, and 31°C, with a predicted Y_{H_2} of 1.96 mmol/gTS_i and 3,767 mg COD-VOA/kgwb. The factor Σ SOLV was not considered for the composite desirability because all treatments in this work exhibited relatively low values (9–239 mg COD-SOLV/kgwb) that in turn were associated with high values of ρ (mean value of 28), pointing out to the absence of solventogenic shift in our experimental units (Lee et al., 2010; Escamilla-Alvarado et al., 2013; Muñoz-Páez et al., 2014).

To the best of our knowledge, there are no works in the open literature dealing with the evaluation of the effects of the independent variables (factors, i.e., TS_i of the feed, the temperature of operation, and ratio C/N of the feed) tested in

TABLE 3 | Optimization by combined desirability studies on simultaneous cumulative biohydrogen production and the sum of volatile organic acids.

Response	Goal	Lower	Target	Upper	Weight	Importance
Y'H ₂ ^a	Maximum	1.8	2.48	2.48	1	1
ΣVOA ^b	Maximum	3,500	9105.33		1	1
Solution						
Solution 1	C/N ^c	TSi ^d	T ^e	ΣVOA fit	Y'H ₂ fit	Composite desirability
Response	43	19	31	3,767	1.96	0.11
	Fit	SE ^f	95% CI ^g	95% PI ^h		
Y'H ₂	1.96	0.331	(1.28,2.64)	(1.00,2.91)		
ΣVOA	3,767	1,695	(306,7228)	(0,8640)		

^aProduction of hydrogen (mmolH₂/gTSi).

^bSum of volatile organics acids (mg COD/kgdb).

^cCarbon-to-nitrogen ratio of the feedstock; dinital total solids in the feedstock (%).

^eTemperature of operation (°C).

^fStandard error.

^gConfidence interval.

^hPrediction interval.

our experiment on the biohydrogen production from OFWD. Moreover, we could not find results on the combined optimization (combined desirability) of both biohydrogen production and the VOA production represented by the response variable ΣVOA, in dark fermentation of OFWD. Recently, the interest in VOA production using DF has increased as we have pointed out above, as well as the debate between more H₂ or more VOA from DF. More details on this discussion can be found in **Supplementary Material**.

Table 4 summarises the research results focused on the statistical optimisation of production and some other variables (i.e., HLac accumulation, ΣVOA). These works explored a great variety of substrates, from glucose and sucrose (Lin and Lay, 2004; Chen et al., 2011; Akhbaria et al., 2019; Yin and Wang, 2019; Alvarez-Guzmán et al., 2020) to solid or semisolid organic wastes (Robledo-Narváez et al., 2013; Muñoz-Páez et al., 2014; Astie et al., 2018; Ghimire et al., 2018; López-Hidalgo et al., 2018), passing by fermentation effluents (Astie et al., 2018). Factors typically tested were temperature (in 8 works), either initial total solids (in 3 works) or initial substrate concentration (in 5 works), pH (in 6 works), ratio C/N (in 2 works), and concentration of yeast extract (as a supplement, only in 1 work).

Generally, it seems that the maximum biohydrogen production, as well as ΣVOA, occurred in the range 25–31°C (6 works), like what was found in our work with OFWD. From the energy/economic point of view it is advantageous that maximum yields of the biofuel H₂ could occur at relatively low temperatures, i.e., the low side of the mesophilic range in our case. Indeed, significant savings in heating substrates and media (sensible heat), as well as thermal energy (heating loads) savings in keeping the process temperature of the major equipment, pipes, appurtenances (heat loss to the surroundings), can be expected.

Few works have addressed the effect of C/N ratio on biological H₂ generation. One work assayed the effect of the ratio C/N of the feed (in the range 40–139; Lin and Lay, 2004); it was found that the maximum value occurred at a C/N ratio of 47; glucose was the substrate. Abdullah et al. (2020) analysed a C/N range from 47 to 190; they found that the highest H₂ production occurred at C/N = 140. Kalil et al. (2008) studied the range from 36 to 230 and

reported an optimal value of 70. In our work, we found that maximum biohydrogen production occurred at C/N = 43, a comparatively lower value than those of Abdullah et al. (2020) and Kalil et al. (2008), but close to results reported by Lin and Lay (2004) (**Table 4**). The practical consequence of our optimum C/N ratio of 43 is that diapers and lignocellulosic substrates should be supplemented with nitrogen sources, preferably wastes rich in N, before fermentation.

In solid substrate fermentation (SSF) and semisolid substrate fermentation (SSSF) works, the trend from **Table 4** indicates that the level of TSi in the feed (that can be interpreted as the surrogate of concentration of substrate in this particular type of fermentation) also had a significant influence on biohydrogen production. Typically, the highest values occurred when TSi was in the range of 15–18%. This was consistent with our findings. Robledo-Narváez et al. (2013) observed the maximum Y'H₂ at low TSi of their agroindustrial organic waste. In their case, an increase of feedstock TSi (the feedstock consisted of agroindustrial organic wastes) was associated with solvents accumulation in the fermented solids and lower yields of H₂. In our experiments, even if there was no evidence of solvent accumulation irrespective of the values of TSi (solvent concentrations were in the low range, 9–239 mg/kg), a decrease of bioH₂ production with increasing TSi was observed.

Ghimire et al. (2018) found that an increase in TSi corresponded with an increase in ΣVOA. A similar trend was observed in our work, where maximum ΣVOA corresponded to 28% TSi instead of 18% that was the value for maximum bioH₂ production.

Patterns of Volatile Organic Acid Concentrations

Trends of Main Effects of Factors on VOA and Lactic Acid Production

Table 5 contains the main effects of the factors on the response variables VOAs, HLac, and A/B. The information is useful, although it should be taken with caution because the main effects do not consider the interactions among the factors. In contrast, the response hyper-surface analysis does.

TABLE 4 | Results of several studies on optimization of biohydrogen production from dark fermentation of organics substrates or wastes.

Substrate and factors/variables	Experimental design and values of variables	Conditions	Response variables and results of the optimization	References
Cheese whey (CW, from milk industry) Temperature, initial pH and cheese whey powder concentration (CWP)	Response surface methodology (RSM) with a central composite design (CCD). CWP concentration (4.8–55.2 g dm ⁻³) Initial pH (3.4–10.1) Temperature (4.8–55.2°C)	Batch fermentations were performed in 120 cm ³ serum bottles, working volume of 110 cm ³	Maximum hydrogen yield and production rate (1.19 ± 0.01 mol H ₂ mol ⁻¹ lactose) value originally reported by the authors Concentrations of selected metabolites formed during the fermentations. 25.6°C, initial pH of 7.2 and 23.0 g dm ⁻³ CWP.	Álvarez-Guzmán et al. (2020)
Glucose Initial pH, operation temperature, glucose concentration and inoculation amount	Box–Behnken design	Batch fermentations were conducted according on 150 ml flasks were used as reactors. During the reaction, all the flasks were put in a reciprocal shaker (120 rpm), operation temperature was maintained by a water bath	Maximum hydrogen yield and production rate (1.29 mol H ₂ /mol glucose), value originally reported by the authors Concentrations of selected metabolites formed during the fermentations. Initial pH 7.1, 34.8°C, glucose concentration 11.3 g/L and inoculation amount 10.4%.	
Palm oil mill effluent (POME)	Response surface methodology. pH (5, 5.5, and 6), temperature (30, 35, and 40°C), substrate concentration (5,000, 12,500, and 20,000 mg L ⁻¹) and inoculum–substrate ratios of 2, 0.8, and 0.5 (expressed as volatile suspended solid (VSS) basis)	Batch were performed in 150 cm ³ flasks. The inoculum was anaerobic sludge obtained from a palm oil mill wastewater treatment plant it was then heated at 80°C for 50 min to inactivate the hydrogenotrophic methanogens and enrich it for the H ₂ -producing bacteria (HPB)	The highest chemical oxygen demand (COD) removal, the hydrogen content in the biogas as hydrogen percentage (H ₂ %), and hydrogen yield (HY) were 58.3%, 80%, and 3.2 mol H ₂ /mol glucose, respectively 5,000 mg L ⁻¹ substrate concentration, initial pH 6.40°C and 2 inoculum–substrate ratio	Akhbaria et al. (2019)
Sago effluent with 23700 mg COD L ⁻¹ Yeast extract concentration Temperature CW ^b and WSH ^c as co-digestion Substrate concentration temperature Initial pH	FCCCD ^a design 1, 2, and 3 gL ⁻¹ 30, 35, and 40°C	Batch, submerged culture, 150 rpm, a pure inoculum of enterobacter aerogenes at 5%, dark fermentation	Hydrogen concentration (0.42 mmol H ₂ /gsubstrate) 4.8 gL ⁻¹ of yeast extract 31°C of temperature	Astie et al. (2018)
Cassava starch Substrate conc. Temperature Initial pH	Central composite experimental design with six central points 5, 10, 15, 20,25 gL ⁻¹ 19, 28, 37, 46, 55°C 4.5, 5.5, 6.5, 7.5.8.5	Batch, SSSF ^d , and SSF ^e ; anaerobic granular sludge as inoculum, with 175 rpm and dark fermentation	Biohydrogen production (0.18 mmol H ₂ /gsubstrate) 5 gL ⁻¹ of CW, 25 gL ⁻¹ of WSH 26.6°C of temperature pH of 7.25	López-Hidalgo et al. (2018)
Sucrose with 20 g COD L ⁻¹	Central composite design 1.6, 5, 10, 15, 18.4gL ⁻¹ 18.2, 25, 35, 45, 51.8°C 4.8, 5.5, 6.5, 7.5, 8.2	Batch, SSSF and SSF mixed anaerobic bacteria (mainly clostridium species), dark fermentation	Hydrogen yield (2.53 mol H ₂ /mol hexose) value originally reported by the authors 10.4 gL ⁻¹ of substrate concentration, 31°C of operation temperature pH 6.3	Chen et al. (2011)
Ratio C/N ^f	One factor design 4 values of the factor L-1 40, 47, 98,130	Batch, liquid culture, anaerobic microflora (dominated by clostridium pasteurianum), dark fermentation, 150 rpm, 35°C as temperature of operation	Hydrogen production (HP ^g) (4.8 mol H ₂ /molsucrose), value originally reported by the authors	Lin and Lay (2004)
Food waste Initial total solids	40, 47, 98,130	Batch, SSDF ^f , and waste activated sludge as inoculum and incubated at 37 (±1)°C, dark fermentation	47 C/N was the optimum for hydrogen production, based on the VFA fraction values for a C/N-ratio of 47, with peak HP, the acetate and propionate fractions increased by 75 and 90%, respectively, when the C/N-ratio was 130 Hydrogen production (0.62 mmolH ₂ /gsubstrate) H ₂ production ceased at a TSi content higher than 15%, accompanied by the accumulation of HLacj	Ghimire et al. (2018)

(Continued on following page)

TABLE 4 | (Continued) Results of several studies on optimization of biohydrogen production from dark fermentation of organics substrates or wastes.

Substrate and factors/variables	Experimental design and values of variables	Conditions	Response variables and results of the optimization	References
Mixture of sugarcane bagasse and pineapple peelings Initial total solids Initial pH	Response surface based on 2 ² factorials with central and axial points 15, 18, 22 and 35% 6.5, 6.65, 7.00 and 7.35	Batch, SSF waste activated sludge as inoculum, at 35°C, dark fermentation	Cumulative production of hydrogen (3.0 mmol H ₂ /gsubstrate) sum of volatile organic acids, ratio acetic acid-to-butyric acid, and specific energetic potential (mg CODkgdb ⁻¹) 18% initial TS, 6.65, and pH corresponded to the maximum Y ^{H₂} of hydrogen and VOA	Robledo-Narváez et al. (2013)
CW (from milk industry) Temperature Phosphate buffer addition (PB)	The experimental design examined the effect of two factors on H ₂ Room temperature and 37°C NPB ^k and CPB ^l	Continuous, lab scale, anaerobic fluidized bed reactors, 1 g of inocula (bioparticles of fluidized bed bioreactors)	Cumulative H ₂ production (1.37 mmolH ₂ /gsubstrate), initial rate of hydrogen accumulation (mmol H ₂ (gTSh) ⁻¹), lag time for H ₂ production (h), the ratio A/B No significant difference between the H ₂ production of reactors incubated at ambient temperature and at 35°C were described The reactors with phosphate buffer showed a second cycle of H ₂ production	Muñoz-Páez et al. (2014)
Waste diapers (WD) as substrate Initial total solids Temperature Ratio C/N	Factorial experiment 2 ³ with central and axial points 12, 15, 20, 25 and 28% 31, 37, 43, 55 and 61°C 27, 30, 35, 40 and 43 gC/gN	Batch, anaerobic digestate was the inoculum, dark fermentation	Production of hydrogen, concentration of VOA's and solvents (2.48 mmolH ₂ /gsubstrate) Maximum Y ^{H₂} production occurred at the 43 gC/gN, 12% TSi, and 31°C Maximum VOA's was at 43 gC/gN, 28% TSi, and 31°C Minimum of solvents concentration occurred at C/N ratio of 27, TSi of 15% and 31°C	This work

^aFace centered central composite design.

^bCheese waste.

^cWheat straw hydrolysate.

^dSemisolid substrate fermentation.

^eSolid substrate fermentation.

^fRatio carbon-nitrogen.

^gHydrogen production.

^hVolatile fatty acids.

ⁱSolid state dark fermentation.

^jLactic acid.

^kNo phosphate buffer addition.

^lCPB with phosphate buffer addition.

Regarding the overall effect of temperature, it seems that the low side of the mesophilic regime favoured the accumulation of VOAs and HLac. In general, the ratio A/B increased with temperature.

Concerning the effect of TSi, as a general trend, it seems that higher concentrations of HAc and HLac occurred at the low-to-central side of the TS range. Concentrations of HPr and HBU were substantially lower, and their patterns were different from those of HAc and HLac. The first couple seemed to reach a maximum in the central point of TSi i.e., 20%. The ratio A/B did not show a consistent pattern.

Finally, the effect of C/N depended on the response variable. For instance, HAc, HBU concentrations, and the ratio A/B exhibited maxima at medium-to-high C/N. On the other hand, HLac displayed a maximum at the lowest value of C/N,

whereas the ratio A/B showed a significant minimum at the same point.

In particular, the combined trends of HAc concentration, with maxima in the low side of the mesophilic regime, the low side of the TSi range, and the medium-to-high values of C/N range, is consistent with the optimum predicted of Y^{H₂} that occurred at 31°C, 12% TSi, and 43 C/N.

Referring to **Table 6** that gathered the main results for the 15 treatments, we defined 'high concentration of a chemical species' when its Conc >1.5 average Conc.

The following trends can be highlighted:

In all treatments, HAc > HBU, and in most cases, HAc >> HBU. In all units, A/B > 0.79, which is the threshold associated with a predominance of the fermentation pathway hexose to

TABLE 5 | Average final concentrations of volatile organic acids, lactic acid, and ratio acetic acid-to-butyric acid A/B for each level of the main factors temperature, initial total solids, and ratio C/N.

Variable		Average final concentration (mg COD/kgdb)				
		HAc	HPr	HBu	HLac	Ratio A/B
Temperature (°C)	31 ^a	2,383.1	710.6	138.5	20122.2	17.21
	37 ^a	460.5	732.1	20.4	2,684.2	22.57
	46 ^a	574.9	563.9	27.5	4,136.4	20.92
	55 ^a	760.9	382.5	22.9	671.0	33.30
	61 ^a	700.8	363.4	18.9	406.1	37.12
TSi (%)	12 ^b	1,206.8	400.0	29.3	7517.0	41.20
	15 ^b	496.2	563.7	26.5	1,161.2	18.74
	20 ^b	841.6	635.7	45.6	6284.9	18.45
	25 ^b	725.1	550.9	16.8	2,193.9	43.22
	28 ^b	401.4	310.6	16.00	1,586.9	25.10
C/N	27 ^c	328.26	1,076.14	27.75	12797.75	11.83
	30 ^c	422.00	367.10	17.88	819.53	23.60
	35 ^c	906.08	582.61	45.03	5,915.50	20.12
	40 ^c	799.21	747.46	25.36	2,535.53	31.51
	43 ^c	635.20	165.66	23.27	1,175.30	27.30

^aFor each temperature level, all the final concentrations of each acid and the ratio A/B of the experimental units were averaged, irrespective of the values of TSi and C/N.

^bFor each level of TSi, all the final concentrations of each acid and the ratio A/B of the experimental units were averaged, irrespective of the values of temperature and C/N.

^cFor each level of C/N, all the final concentrations of each acid and the ratio A/B of the experimental units were averaged, irrespective of the values of TSi and C/N. This is also known as the main effects of each factor temperature, TSi, and C/N on the response variables (Montgomery, 2012).

4H₂ plus HAc over the route hexose to 2H₂ plus HBu that are characteristic of strains of the genus *Clostridia* (Escamilla-Alvarado et al., 2012; Robledo-Narváez et al., 2013). To some extent, these results suggest that in most treatments, it was likely that the microbial consortia were enriched in bacteria that could ferment the hexose to 4H₂ with the concomitant production of HAc, considering the DF of hexose as a model substrate for the glycolysis and further fermentations.

5 treatments exhibited high concentrations of HAc; three corresponded to the mesophilic range and central point temperature and medium contents of initial TS as well as moderate-to-high values of C/N;

3 treatments displayed relatively high concentrations of HPr that corresponded to the mesophilic range and central point temperature, medium contents of initial TS as well as moderate-to-high values of C/N;

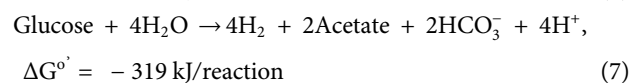
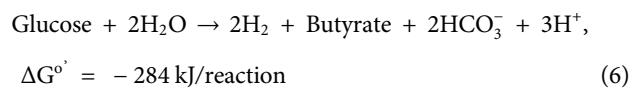
2 treatments had relatively high concentrations of HBu in the low side of the mesophilic range and the central point of temperature, medium TSi, and medium-to-high C/N.

5 treatments showed high concentrations of HLac, distributed in the mesophilic range and central point of temperature, low-to-moderate TSi, and medium-to-high C/N.

According to Cabrol et al. (2017) and Ramírez-Morales et al. (2015), H₂ generation from hexoses as a model substrate in DF typically follows two pathways catalyzed by particular sets of coenzymes. One route relies on the reoxidation of nicotinamide adenine dinucleotide (NADH). The second pathway presents the key enzyme pyruvate-formate-lyase (PYFL) that gives the name to the route. As a common denominator, the hexose (glucose) is first converted to pyruvate, which is converted to acetyl-CoA plus formate or reduced ferredoxin (redFdx).

In the PYFL pathway, the formate is split into CO₂ and H₂ catalyzed by formate-hydrogen lyase. This route is characteristic of some facultative anaerobes, typically members of the family Enterobacteria, and some members of *Clostridia*. The microorganisms that exhibit only the PYFL route in principle are limited to a generation of 2 mol H₂/mol hexose, Eq. 6 below (Brock and Madigan, 1991).

In the second route, the H₂ generation is performed by oxidizing the redFdx in concert with a ferredoxin-dependent hydrogenase (Fdx-[FeFe]). Obligate anaerobic microbes exhibit this pathway. For example, selected members of *Clostridia* can oxidase the NADH generated during glycolysis; in this way, two more moles of H₂ are generated, and a total 4 moles of H₂ per hexose can be obtained, accompanied typically by 2 mol of HAc, Eq. 7 (Brock and Madigan, 1991).



Regarding the acetyl-CoA again, this compound is converted into either HAc, or HBu, or EtOH. Type and distribution of these metabolites depend upon the selective pressures (for example, environmental/process conditions) and the type of microbe.

Summarizing, the overall net H₂ produced depends on the main metabolite pathway. On the one hand, if the microorganisms deal with the acetate route, a maximum yield of 4 molH₂/mol glucose could be harvested. On the other hand, either the butyrate or EtOH pathway provide a maximum of 2 molH₂/mol hexose glucose. The EtOH pathway in our work did not seem to be very active since the solvent concentrations were

TABLE 6 | Average results of the treatments in the RSM experiment.

Treat-ment	C/N ^a (gC/ gN)	TS ^b (%)	T ^c (°C)	HAc ^d (mg COD/kgTS)	HP ^e (mg COD/	HBU ^f (mg COD/kgTS)	HLac ^g (mg COD/kgTS)	Ratio A/B ^h (mg COD- HAc/mg COD-HBU)	Initial pH (-)	Final pH (-)	Y' H ₂ ⁱ (mmol H ₂ / gTS)	H ₂ lost to (mmol H ₂ /kgTS)	Relative H ₂ loss (%)
1	35	20	31	3,574.6	1,065.9	207.7	30183.3	17.21	6.86	6.08	1.05	0.63	59.77
2	40	25	37	1,278.0	1,451.2	21.8	6299.9	58.61	6.66	5.79	1.02	0.13	12.92
3	27	20	46	328.3	1,076.1	27.7	12797.8	11.83	6.59	5.93	0.42	0.27	63.26
4	40	25	55	1,157.8	405.4	22.0	1973.9	52.65	6.58	5.76	0.44	0.04	9.36
5	30	25	55	369.2	269.6	19.1	56.7	19.32	6.60	5.57	0.53	0.00	0.22
6	30	15	55	1,010.4	441.5	24.0	1,416.5	42.18	6.88	6.39	0.54	0.03	5.44
7	35	20	46	614.7	657.7	33.2	3,877.1	18.51	6.81	6.46	1.15	0.08	7.03
8	35	20	61	700.8	363.4	18.9	406.1	37.12	6.79	6.29	0.59	0.01	1.42
9	30	25	37	95.2	77.2	4.2	445.1	22.68	6.68	5.64	0.63	0.01	1.47
10	35	28	46	401.4	310.6	16.0	1,586.9	25.10	6.80	5.87	0.31	0.03	10.57
11	35	12	46	1,206.8	400.0	29.3	7517.0	41.20	6.90	6.78	1.35	0.16	11.59
12	43	20	46	635.2	165.7	23.3	934.1	27.30	6.75	6.33	0.74	0.02	2.62
13	40	15	55	506.0	413.4	26.3	653.3	19.21	6.89	6.42	0.78	0.01	1.75
14	40	15	37	255.1	719.9	31.3	1,215.0	8.15	6.96	6.49	2.23	0.03	1.14
15	30	15	37	213.1	680.1	24.3	2,776.2	8.78	6.83	6.37	0.64	0.06	9.00

^aCarbon-to-nitrogen ratio of the feedstock.^bInitial total solids in the feedstock.^cTemperature of operation.^dAcetic acid.^eButyric acid.^fLactic acid.^gPropionic acid.^hAcetic to butyric acid ratio.ⁱProduction of hydrogen.

very low in all treatments. This, in turn, suggested that bacteria that convey this pathway, such as Enterobacteriaceae were not very active in our treatments.

According to Cabrol et al. (2017) and very important, maximum H₂ yields from hexose fermentation are attained when only low molecular weight fatty acids are produced and ignoring the substrate used for microbial growth. If the latter is considered, along with “losses” of substrate and H₂, the above pathways’ actual yields can be up to 50% lower than the theoretical yields of 4 and 2 mol H₂/mol hexose. Therefore, articles in the literature that report four or higher yields deserve to be scrutinised with care (Lin and Lay, 2004) because the results are very debatable.

A significant example of H₂ “loss” relevant to our experimental results is the accumulation of HLac in the analysis of selected treatments, as shown in **Tables 5, 6**. Pyruvate from the glycolysis can be converted to HLac (homolactic and heterolactic fermentations), and then less pyruvate is available for conversion to acetyl CoA. This, in turn, typically leads to decreased or collapsed H₂ generation. This type of negative impact on H₂ production is known as competition for the substrate. In our experiment, methanogenic and solvent deviations of the DF were negligible: CH₄ was not found in the biogas-H₂, solvents concentrations at the end of the experiments were relatively low in all the treatments. However, in our work, the lactic fermentation seemed to be important in selected treatments.

In addition to the competition for substrate, there are other issues of the HLac generation/accumulation, such as the impact on pH and excretion of toxic substances to the hydrogen-producing bacteria (HPB). A discussion on how the lactic deviation of the DF affected our results, as well as the estimation of the “lost” H₂ due to HLac, is presented with detail in the subsection “Lactic acid and “lost” hydrogen” below.

Response Surfaces for Acetic and Lactic Acids

The key chemical species were acetic and lactic acid because they reached high concentrations in selected “treatments” and showed interesting patterns. Therefore, each one was analysed as a response variable; we determined the equation of the corresponding response surface, the contour graphs, and the coordinates of factors where maximum or minimum values of HAc and HLac were predicted.

For the concentration of HAc, the quadratic equation of the response hypersurface was given by **Eq. 8** below:

$$\begin{aligned}
 & [\text{HAc}] \text{ (mg COD - HAc/kgTS)} \\
 & = -2111.15 + 636.298^*C : N - 302.766^*T - 102.214^*TS_i \\
 & \quad - 10.4143^*C : N^2 - 2.61278^*TS_i^*T + 12.169^*C : N^*TS_i \\
 & \quad + 4.50538^*T^2 - 2.48444^*T^*TS_i - 5.37759^*TS_i^2
 \end{aligned}
 \tag{8}$$

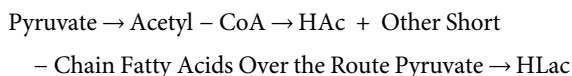
where the values of the factors should be in original units (not standardized).

The maximum predicted value was 2,872 mg COD-HAc/kgTS (Table 7). It occurred at Temperature = 31°C, C:N = 43 gC/gN; and TSi = 28%, that is, a region characterized by low side of the mesophilic range, high C/N ratio and high total solids.

Again, the maximum of (HAc) was found at the low side of the mesophilic regime, a trend that paralleled the temperature where the maximum Y_{H₂} was reached. The coordinates for optimum (HAc) in terms of C/N and TSi do not coincide with the trends examined in Table 5 on the main effects. This was somewhat expected because the RSM equation takes into account the interaction of factors whereas the analysis of main effects does not.

Supplementary Table S8 compiles the values of the coefficients of the quadratic hypersurface.

Typically, high TS contents can lead to accumulation of HLac and low H₂ yields. However, in our case, the RSM predicted a positive influence of TSi on HAc concentration (increase-increase) but not on HLac. This suggested that there could be a predominance of the pathway.



Another issue that could be interesting to know more is the role of lower water activity on the different groups of fermentative microorganism at high TS, i.e., whether the low water activity would affect more the LAB than the Clostridia microbes and alike.

A contour plot of HAc is shown in Supplementary Figure S2. It can be seen that HAc concentration increases in a diagonal SW to NE, with a maximum at high TS and high C/N when the temperature is 31°C.

The quadratic equation of the response hypersurface for lactic acid was given by Eq. 9 below.

$$\begin{aligned} [\text{HLac}] \text{ (mg COD - HLac/kgTS)} \\ = & -24801.20 + 4065.52 \cdot \text{C:N} - 2157.16 \cdot \text{T} \\ & + 2541.52 \cdot \text{TS}_i - 70.30 \cdot \text{C:N}^2 - 4.79 \cdot \text{C:N} \cdot \text{T} \\ & + 43.40 \cdot \text{C:N} \cdot \text{TS}_i + 20.96 \cdot \text{T}^2 - 3.82 \cdot \text{T} \cdot \text{TS}_i \\ & - 99.16 \cdot \text{TS}_i^2 \end{aligned} \quad (9)$$

where the values of the factors should be in original units (not standardized).

The maximum predicted value was 18,709 mg COD-HLac/kgTS. It occurred at Temperature = 31.0°C, C/N = 33.9 gC/gN, and TSi = 19.6% (Table 7.) The coordinates of the region of the maximum predicted by Eq. 9 are generally consistent with the trends depicted in Table 5 of the main effects. Supplementary Table S9 compiles the values of the coefficients of the quadratic hypersurface.

In principle, the region of maximum HLac is a location to be avoided in our process, contingent with results of Y_{H₂} due to possible H₂ “losses” associated with the competition for substrate

TABLE 7 | Main results of the optimization of the concentration of acetic acid and lactic acid.

Optimization of the concentration of acetic acid			
Factor	Low	High	Optimum
C:N (gC/gN) ^a	27.0	43.0	43.0
Temperature (°C)	31.0	61.0	31.0
TSi (%) ^b	12.0	28.0	28.0

Predicted optimum (maximum) value of HAc = 2871.66 mg COD-HAc/kgTSi and predicted optimum (maximum) value of HLac = 18709.0 mg COD-HLac/kgTSi.

^aCarbon-to-nitrogen ratio of the feedstock.

^bInitial total solids in the feedstock.

and other effects of the lactic fermentation and LAB on the HPB and their metabolism.

A contour plot of HLac concentration (Supplementary Figure S2) confirmed a maximum value around central (moderate) TSi and C/N, for a set value of the temperature of 31°C.

It is worth highlighting the differential role of TSi on HAc and HLac production. As discussed above, HAc maximum concentration was predicted at high TSi. That was not the case for HLac, whose maximum was predicted at central values of TSi. One may speculate that lower water activity's influence would have more severe on LAB than on other groups of fermentative bacteria such as Clostridia. Regarding water activity (aw) and lactic fermentation, Larsen and Añón (1989) examined the influence of aw on HLac production from milk. They worked with *Lactobacillus delbrueckii ssp. bulgaricus* and *Streptococcus thermophilus*. It was observed that decreasing aw led to an inhibition of HLac generation. Also, the minimum aw in HLac production of the solids concentration in milk adjusted with sucrose or glucose was lower than that of milk solids adjusted with glycerol.

Shah and Ravula (2000) determined the effect of aw on yoghurt's fermentation utilised in the manufacture of frozen dairy desserts. The aw was adjusted by sugars addition. They found that sugar concentration higher than 12% resulted in low survival of LAB and longer fermentation times. The authors also observed that *Lactobacillus acidophilus* and bifidobacteria's growths were negatively affected by 16% sugar concentration, the first more than the second.

Multivariate Statistical Analysis of Concentrations of VOA, Lactic, and Selected Variables

To further explore possible patterns of organic acids in our work, we performed a principal component analysis (PCA) to the set of variables organic acid concentrations, ratio A/B, end (final pH), and the factors ratio C/N, initial total solids TSi, and operation temperature to search for latent trends and relationships among fermentation metabolites. For an introduction on PCA's benefits and advantages, see Moreno-Medina et al. (2017) and Alvarez-Guzmán et al. (2020).

In the following discussion, we will refer with the word “objects” to the treatments (15) in our experiment (Table 6). The expression “original variables” will mean the set of organic acid concentrations, A/B ratio, end pH, and the three factors.

Supplementary Table S10 shows the results of the Pearson correlation between the variables. There were seven significant positive and three significant negative correlations. Among the first group, we found positive correlations between HAC concentration with all the organic acids. Also, HBU and HLac concentrations were correlated each with all the organic acids as well. Therefore, when performing the PCA, one might expect that one principal component would represent something like a “metabolite component” and express the relationships between organic acids determined in this work.

From the biological point of view, the occurrence of these positive correlations is consistent with the mechanisms of fermentation that are typically active in DF, as discussed in the sub-sections ‘Trends of main effects of factors on VOA and lactic acid production’ above and ‘Lactic acid and “lost” hydrogen’ below. Succinctly, among these mechanisms, we highlight the production of HAC and HBU by members of the Firmicutes from acetyl Co-A, and the production of HLac from pyruvate by both homolactic and heterolactic pathways (Brock and Madigan, 1991; Escamilla-Alvarado et al., 2012; Saady, 2013; Zumar and Mohee, 2016). The contribution of HLac to HAC and HBU pools by the production of H₂, from HLac, as well as the role of syntrophic bacteria that anaerobically transform HBU and HPr to HAC plus H₂ cannot be ruled out, despite their possible unfavourable thermodynamic conditions (barely endergonic or plainly exergonic at biological standard conditions.)

Two out of the three factors of the experiment participated in the negative correlations. The latter were T-HBU, T-HLac, and TSi-pH. On the one hand, they expressed that HLac and HBU concentrations increased at lower operation temperature values. As expected, this was consistent with the experimental results shown in **Table 6**.

The third negative correlation represented the increase of substrate concentration (organic matter content of the feed is proportional to TSi in the bioreactors) associated with decreases final pH. This makes sense since, at high TSi, there is more organic matter available for fermentation and in principle, high absolute amounts of one or more organic acids can be expected. For instance, this was consistent with the predicted maximum of [HAc] for high TSi in the RSM analysis above. The software extracted three principal components with eigenvalues $\lambda > 1$ (**Table 8** and **Supplementary Figure S3**). They explained up to 77% of the variance of the experiment.

Table 9 depicts the coordinates of the original variables in terms of the main three principal components (important values of coordinates are highlighted in italics). In this regard, the PC1 held 38% of the experimental variance. It can be interpreted as a new variable that expresses the concentrations of the treatments’ organic acids and its anticorrelation with temperature. The PC1 summarises the occurrence of several treatments that exhibited high concentrations of organic acids in the experiment’s low range of operation temperatures (**Tables 5**).

On the other hand, PC2 represented approximately 22% of the variance and mainly denoted the anticorrelation between TSi and pH. We also found this anticorrelation at the time of presenting the Pearson matrix results (**Supplementary Table S10**); it was already discussed above.

TABLE 8 | Principal component analysis.

Component number	Eigenvalue	Individual variance	Cumulative variance
1	3.43	38.19	38.10
2	1.96	21.83	60.02
3	1.52	16.88	76.90
4	0.87	9.66	86.56
5	0.73	8.12	94.67
6	0.34	3.79	98.46
7	0.086	0.94	99.41
8	0.052	0.58	99.99
9	0.00098	0.011	100.00

TABLE 9 | Coordinates of the original variables in the PC1-PC2-PC3 space.

	Component 1	Component 2	Component 3
C:N ^a	0.0081	0.069	0.61
HAc ^b	<i>0.47</i>	-0.062	0.31
HBU ^c	<i>0.50</i>	0.018	0.016
HLac ^d	<i>0.52</i>	-0.044	-0.067
HPr ^e	<i>0.37</i>	-0.073	0.026
pH	0.026	<i>0.68</i>	0.17
Ratio A:B ^f	-0.070	-0.25	0.65
TSi ^g	-0.058	-0.68	-0.023
Temperature	-0.35	0.017	0.26

^aCarbon-to-nitrogen ratio.

^bAcetic acid.

^cButiric acid

^dLactic acid.

^ePropionic acid.

^fAcetic to butyric acid ratio.

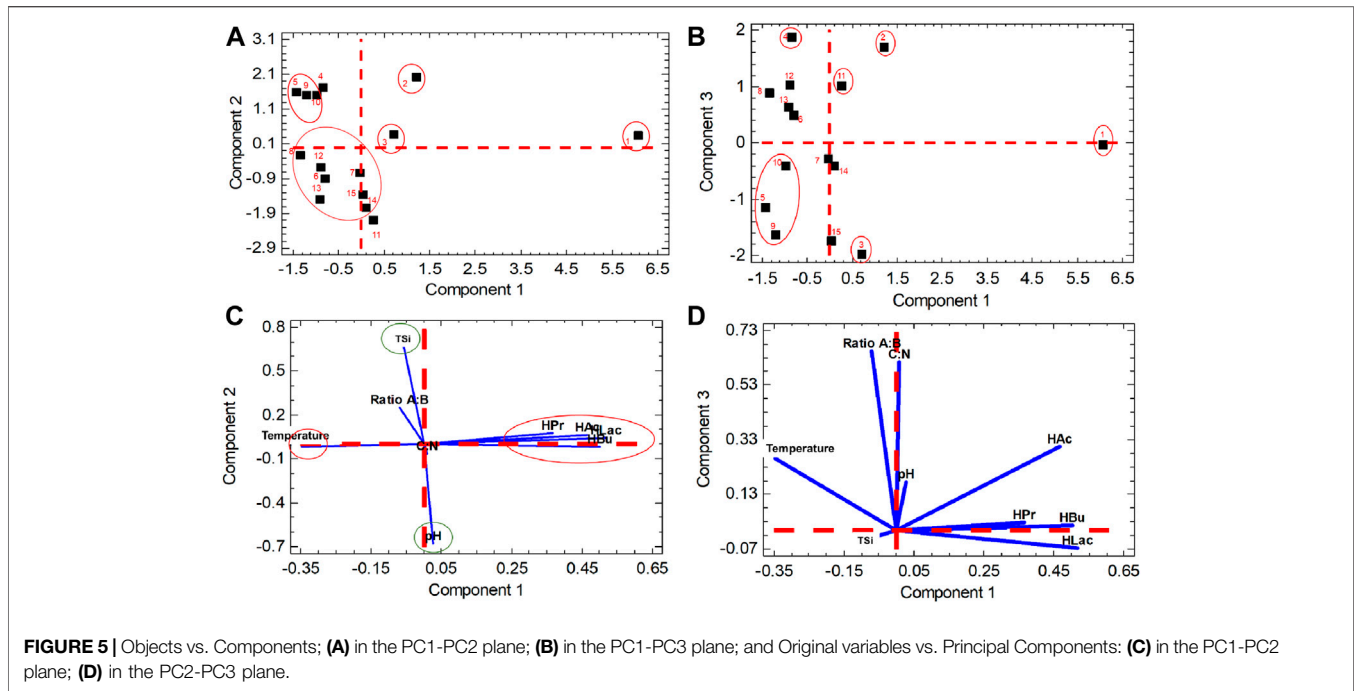
^gInitial initial total solids in the feedstock.

Finally, PC3 held approximately 17% of the variance and conveyed the anticorrelation effect between ratio A/B and ratio C/N. Likely, it indicated that treatments with low C/N in the feedstock were associated with A/B, i.e., with a possible predominance of the pathway that generates H₂ and acetic acid from hexoses over the route that produces H₂ and HBU (Robledo-Narváez et al., 2013; Cabrol et al., 2017).

Figure 5 and **Supplementary Table S11** show the coordinates of the objects in the planes PC1-PC2 and PC1-PC3. The numbers of the objects correspond to the numbers of each treatment in **Table 6**.

Particularly in **Figure 5** can be seen the tentative grouping of the treatments. Please note that the reader must examine and compare the frames (a) and (b) of **Figure 5** simultaneously to check the best empirical grouping. For instance, in the frame (b) it is evident that the treatments 4 and 11 are separated from others, which is not so clear in the frame (a). [Therefore, the treatment 11 was not grouped with treatments 6-7-8-12-13-14-15 in the frame (a).]

First, there is a set of five separated treatments, characterized by either simultaneous high HAc and HLac, or one high and the other moderate, etc., accompanied by different values of the levels of the



factors, that was constituted by treatments 1, 2, 3, 4, and 11. They have little in common. Each one was surrounded by its own red circle.

Second, there is a group that included treatments 5, 9, and 10. These treatments were characterized by high TSi, moderate organic acids concentrations, and low final pH.

Third, there is a group that consisted of seven treatments. They shared some common features such as low to moderate concentrations of HAC and HLac, and relatively high final pH.

It is worth highlighting that grouping the objects by PCA was very approximate, because only 77% of the information is used (Miller and Miller, 2018). Please recall that only the first three principal components are used. Therefore, it is needed to carry out a cluster analysis that uses all the experiment information for grouping the treatments. This analysis will be shown at the end of this subsection.

Figure 5 (c) and **(d)** depicts the positions of the original variables in the PC1-PC2 and PC1-PC3 planes. The two groups in red, together, express the vector or battery of correlated concentrations of organic acids, anticorrelated to temperature, that reflects the composition of the PC1 (**Table 8**).

On the other hand, the two variables circled in green revealed the anticorrelation between the end pH and TSi, that is relevant to the composition of PC2 (**Table 8**). Finally, with no circling, it can be appreciated some opposition between ratio C/N and ratio A/B, that reflects the anticorrelation between these two variables conveyed in the composition of PC3 (**Table 9**).

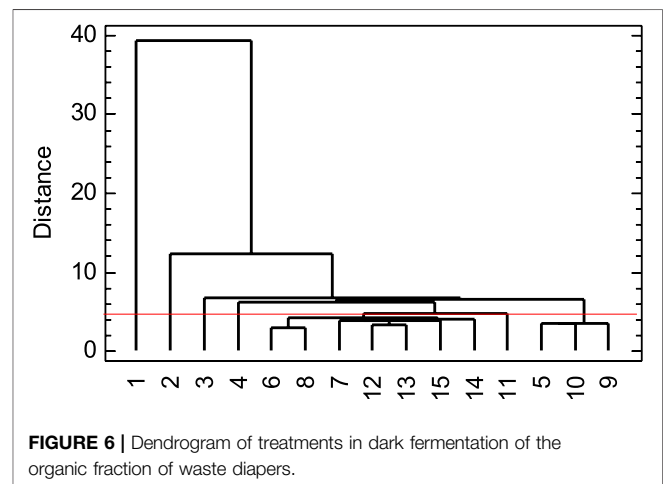
The implications of these results in terms of biochemical pathways have been discussed in the presentation of PC compositions above as well as in other subsections ('Trends of main effects of factors on VOA and lactic acid production,' 'Lactic acid and "lost" hydrogen').

A cluster analysis was performed for the nine variables, based on the Euclidean distance and the closest neighbour algorithm

(Moreno-Medina et al., 2017; Miller and Miller, 2018). Results are shown in **Figure 6** and **Supplementary Table S12**.

Considering an Euclidean distance of ca. 4.15 for cut-off, the dendrogram showed seven groups (**Figure 6**). To a great extent, the grouping was similar to that obtained with **Figure 5** of the PCA. However, the cluster analysis works as a more secure confirmation because it uses all the experimental information.

Five out of the seven groups were separate treatments, i.e., 1, 2, 3, 4, and 11. They did not have very much in common. They were characterized by either simultaneous high HAC and HLac, or one high and the other moderate, etc., accompanied by different values of the levels of the factors C/N and TSi. In general, the operation temperature was from low to central values, except for treatment four in the thermophilic range.



A second group included treatments 5, 9, and 10. These treatments were characterized by high TSi, low-to-moderate organic acids, and low final pH. Likely, high TSi meant higher organic carbon source (proportional to TSi) and this, in turn, after fermentation would have resulted in a higher mass of organic acids, lowering the pH.

Third, there was a group that consisted of seven treatments. They shared some common features such as high TSi, and relatively high final pH. This somewhat inverse feature was consistent with results in the Pearson correlation matrix (Table SM10) and the composition of PC2 (Table 9). The treatments in this group exhibited low to moderate concentrations of HAc and HLac.

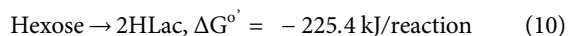
Lactic Acid and “Lost” Hydrogen

In our experiment, it was very likely that some LAB could survive the inocula’s heat-shock and produce significant concentrations of HLac in selected treatments (treatments 1, 2, 3, 11 in our RSM experiment, Table 6) whereas simultaneously the average H₂ production was still reasonable.

This was somewhat unexpected because it is generally perceived that lactic fermentation is deleterious to HPB and their H₂ production.

Some of the beneficial effects of LAB on H₂ production discussed below could explain the paradox that occurred in several treatments of our work because, despite the high concentrations of HLac, the H₂ production did not collapse.

A representative reaction involving lactate in dark fermentation is the homolactic fermentation of hexoses:



This biochemical reaction does not produce any H₂. The microbes use this reaction to perform substrate-level phosphorylation that leads to the production of two ATP per reaction (Brock and Madigan, 1991). The enzyme lactate-dehydrogenase catalyses the interconversion between pyruvate and lactate that produces two molecules of HLac. This, in turn, allows the interconversion of NADH and NAD⁺. Examples of microorganisms that carry out this pathway are *Lactobacillus delbrueckii* and *Streptococcus thermophilus*.

On the other hand, other bacteria can ferment hexose to HLac via heterolactic pathways. Up to three fermentation patterns have been described when glucose is the substrate (Nelson and Werkman, 1935; BioCyc, 2020):

- (i) the production of HLac, EtOH, and CO₂ by the phosphoketolase route,
- (ii) the formation of glycerol along with HLac, HAc, and CO₂, and
- (iii) the pathway of *Bifidobacterium bifidum* that generates five ATPs, HLac, and HAc from 2 moles of glucose

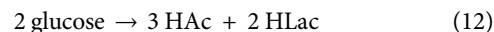
Equation 11 below represents an example of the first pattern where one ATP is produced:



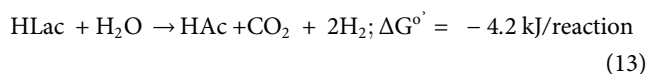
with a ΔG° nearly half of that of Eq. 10.

Some taxa are known to perform the heterolactic fermentation pattern (i) include strains from *Lactobacillus* genus (casei, brevis, fermentum, sanfranciscensis, buchneri) and *Leuconostoc* genus (i.e., mesenteroides cremoris, lactis, mesenteroides dextranicum), and genus *Weissella*.

The biochemical reaction characteristic of *Bifidobacterium bifidus* follows:



Lactate, in turn, could generate H₂ as follows (Saady, 2013).



Thus, it has been recognized that HLac can be involved in both “H₂-consuming” (more specifically, “H₂-non producing”, Eqs. 10–12) and “H₂-producing” processes (Eq. 13) (Saady, 2013; Zumar and Mohee, 2016). The latter is not thermodynamically very favourable since the free energy of Gibbs change is marginally negative. Thus, even if this pathway is present in fermentations driven by consortia, its contribution to HLac removal would be likely low. HLac can be a significant metabolite in DF, typically associated with a zero-H₂ balance pathway.

According to Cabrol et al. (2017), the bacteria of the order Lactobacillales of the LAB are typically mesophilic, aerotolerant anaerobes. They cannot sporulate. However, other eubacteria can produce HLac either as primary or secondary end fermentation products that are thermotolerant and/or endospore-forming microbes.

In principle and as mentioned above, accumulation of HLac has been considered deleterious to H₂ generation in DF; it implies a deviation of the acetyl-CoA pathway since pyruvate is converted to HLac in the glycolysis route (Ramírez et al., 2015).

Yet, there is growing experimental evidence that indicates that there can be a positive role played by LAB on biological H₂ generation, although generalizations on the latter are still in debate (Sikora et al., 2013; Cabrol et al., 2017).

LAB’s negative effect on biological H₂ generation, which most often is considered to be related to process failure, could be due to the inhibition of H₂-producing bacteria (HPB) and competition for substrate (pyruvate produced in the glycolysis pathway from sugars). The inhibitory action of LAB on HPB, in turn, can be effected by the excretion of toxicants by LAB (bacteriocins, H₂O₂) and pH decreases due to the production of HAc, HPr, HBU, and mainly HLac (Cabrol et al., 2017). Competition for substrate would imply that HLac accumulation is proportional to “lost” production of biological H₂. Therefore, in general, LAB’s growth and eventual dominance and alike in DF cultures are often thought to be related to process malfunction or collapse.

As a part of the debate, it seems that HLac can have beneficial effects on biological H₂ generation. Cabrol et al. (2017) and Sikora et al. (2013) have stated that these phenomena could be due to a beneficial symbiosis between HPB and LAB. For example, early research by Fang et al. (2002) and Sikora et al. (2013) has shown that a significant H₂ production could be sustained in DF driven by predominant Clostridia and several HLac-producing microbes

(as a minority of the consortia). Interestingly, other works reported the predominance of LAB, or at least, the important presence of LAB, that coexisted with Clostridia in processes with high H₂ production rates (Wongtanet et al., 2007; Laothanachareon et al., 2014).

Some conditions and characteristics associated with the beneficial effects of HLac and LAB on bioH₂ production follow (Yang et al., 2007; Baghchehsaraee et al., 2009; Ohnishi et al., 2010; Marone et al., 2012; Sady, 2013; Marone et al., 2014; Zumar and Mohee, 2016; Cabrol et al., 2017):

- the presence of some other carbon source, i.e., HAC, is needed for the degradation of HLac to H₂;
- the favourable effect of HLac on H₂ generation in microbial consortia is generally ascribed to its capability as an electron donor;
- related to the previous feature, HLac conversion to HAC or H₂ with simultaneous H₂ generation has also been observed in fermentative microbial consortia (for example, Eq. 13); the latter seemed to be stimulated by the presence of acetate in fermentations driven by *Clostridium diolis* and other Clostridiastrains,
- there is some evidence, that selected LAB strains (a *Lactobacillus* strain and *Lactococcus lactis*) could be involved in HLac degradation with the production of H₂.

As a summary, this suggests that some lactic acid bacteria can be positively involved in biological H₂ generation in three ways: i) by converting HLac to H₂ (Sikora et al., 2013; Saady et al., 2013); ii) by supplying additional substrate (HLac) to HPB; and iii) by an indirect contribution associated with a variety of auxiliary mechanisms discussed by Cabrol et al. (2017).

Another point is how LAB have apparently prospered and possibly dominated some cultures after the inoculum pretreatment with heat-shock in our work. This could be partially explained by the possible existence of either thermotolerant or spore-forming LAB bacteria that could have survived the heat-shock pretreatment of the inoculum.

For instance, Ramirez-Chavarin et al. (2010) reported that LAB strains (some of them employed in meat products) could survive thermal treatment. From nearly 70 presumptive LAB isolated strains, nearly ten were thermotolerant because they survived the heating test at 70°C/30 min. They belonged to genera *Lactobacillus*, *Pediococcus*, *Enterococcus*, and *Aerococcus*.

On the other hand, it has been demonstrated that members of the genus *Sporolactobacillus* (Firmicutes), known as HLac producers by homolactic fermentation are endospore-forming strains (Kitahara and Suzuki, 1963). They are gram-positive, anaerobic, with rod morphology. They thrive on various substrates, mainly sugars (sucrose, fructose, glucose, maltose, mannose, among others). The optimum growth temperature is 35°C, with an interval starting at the high side of the psychrophilic range (25°C) up to 40°C. Thus, on the one hand, these strains could survive the heat-shock of the inoculum. On the other hand, they could produce HLac from hexoses and sugars derived from the holocellulose in the mesophilic regime. This is consistent with

results on our treatments 1, 2, 3, 11, and **Table 6**, where high concentrations of HLac were observed in the mesophilic regime and central (46°C) temperature.

As mentioned above the presence of HLac could imply a loss of biohydrogen (see calculations below) likely due to competition between LAB and HPB for the substrate. Consequently, efforts should be made to eliminate LAB, particularly the thermotolerant and endospore-forming strains. Thus, it seems that there is a need to implement more effective pretreatment inoculum strategies beyond the mere one-time heat-shock. It may be speculated whether more than one heat shock could be performed during the batch process when frequent monitoring reveals increasing concentrations of HLac, or to implement a combined strategy, i.e., heat-shock and acid or alkali pretreatments (Kim et al., 2014; Dessi et al., 2018) or other combination that could effectively remove “fastidious” LAB.

Now we will focus on the estimation of the H₂ production “lost” due to the production of HLac in DF. According to Escamilla-Alvarado et al. (2012), we can consider a typical scenario where 1.5 mol of HLac is produced per mole of hexose (average from homolactic and heterolactic fermentation). We can also assume an average of 3 moles of H₂ per mole of hexose in the biohydrogen pathways related to Clostridia. In turn, this assumption is related to the effect of heat-shock of the inoculum, which is generally reported to inhibit/kill non-sporulating microbes and select for an inoculum rich in spore-forming bacteria such as those of key genera of Firmicutes.

Indices and technical information

Ratio 3 mol H₂/1.5 mole HLac

$$= 2 \text{ mol H}_2/\text{mol HLac (or mmol/mmol)}$$

Formula of HLac CH₃CHOHCOOH

Molecular weight of HLac 90 g/mol (or mg/mmol)

COD value of HLac 96 g COD/mol HLac

Factor COD/substance f 1.066667 mg COD/mg HLac

Lost production of Hydrogen due to HLac generation Y[’]H₂, lost

$$Y^{\prime}H_{2, \text{lost}} = [\text{HLac}] (\text{mg COD/kgTS}) * (1 \text{ mgHLac}/$$

$$1.06667 \text{ mg COD}) * (1 \text{ mmol HLac}/90 \text{ mg HLac}) *$$

$$(2 \text{ mmol H}_2/\text{mmol HLac}) \tag{14}$$

$$Y^{\prime}H_{2, \text{lost}} (\text{mmol H}_2/\text{kgTS}) = [\text{HLac}] (\text{mg COD}/$$

$$\text{kg TS}) * 0.02083 (\text{mmol H}_2/\text{mg COD}) \tag{15}$$

$$Y^{\prime}H_{2, \text{lost}} \text{ mmol H}_2/\text{gTS} = [\text{HLac}] (\text{mg COD}/\text{kgTS}$$

$$(*2.083 * 10^{-5} (\text{kgTS} * \text{mmol H}_2)/(\text{g} * \text{mmol COD})) \tag{16}$$

For instance, for Treatment 1 (**Table 6**) with 30,183.3 mg HLac-COD/kgTS and 1.05 mmol H₂/g TS hydrogen production, the loss of biohydrogen production is ca.

$$\begin{aligned}
 Y^{\text{H}_2}_{\text{lost}} \text{ (mmol H}_2\text{/gTS)} &= 30183.3 \times 0.00002083 \\
 &= 0.623 \text{ mmol H}_2\text{/gTS} \quad (17)
 \end{aligned}$$

The total H₂ production in Treatment 1, with no lactic deviation of the DF, would have been $1.05 + 0.62 = 1.67 \text{ mmol H}_2\text{/gTS}$. Thus, the relative ratio of lost biohydrogen due to lactic fermentation referred to the experimental production 1.05, was ca. 59.05%.

The estimated amounts of losses of H₂ due to lactic acid fermentation for the treatments in our experiment are shown in Table 6. There was a “peak” loss in treatments 1 and 3, approximately 60%. Five treatments exhibited H₂ “losses” in the neighbourhood of 10%. The remainder displayed minor losses.

CONCLUSION

The predicted maximum Y^{H₂} occurred at the combination of factors of 43 gC/gN, 12%, and 31°C for C/N, TSi, and temperature, respectively; its value was 2.79 mmolH₂/gTS. The experimental validation gave a value of 2.48 mmolH₂/gTS, which shows a good agreement (11% difference). The three main factors had significant effects on Y^{H₂}, as well as some interactions of the 2nd. order, including C/N*TSi and C/N*T.

The experimental maximum of Y^{H₂} with OFWD compared very favourably with biohydrogen values obtained from a wide variety of wastes (OFMSW, agricultural wastes, etc.) using the same type of batch fermentation with intermittent venting.

The \sum VOA optimisation suggested a predicted maximum at coordinates 43 gC/gN, 28%, and 31°C. That value was experimentally validated.

The treatment that simultaneously exhibited a high organic acid concentration and a still attractive Y^{H₂} occurred at 43 gC/gN, 19% TSi, and 31°C, with a predicted Y^{H₂} of 1.96 mmol/gTS and 3,767 mg VOA-COD/kgwb.

Interestingly, the predicted optima fell in the lower side of the mesophilic interval of temperatures for the three response variables explored in this work. From the energy/economic viewpoint, this represents significant savings in heating substrates and media (sensible heat) and savings in keeping the process temperature of the major equipment, pipes, and appurtenances (heat loss to the surroundings). This would be a contribution to the sustainability of the dark fermentation of OFWD.

Finally, DF of OFWD holds promise for integration to biorefineries from wastes that could co-ferment this type of residues with a variety of agricultural and agroindustrial wastes of similar characteristics (cellulosic and lignocellulosic wastes, i.e., food and textile wastes) as well as wastes rich in N for amending (lowering)

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the C/N ratio around 40–50 gC/gN. Also, the biorefinery approach for treating and reclaiming OFWD and other residues could be more attractive for developing countries (that are net importers of fossil fuels) if an inverse cascading approach were adopted. In this framework, first energy is produced from waste; Afterward, the fermented waste is used for value-added products generation. Finally, more bioenergy is produced from the remainder of residues that exit the upstream processes.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

AUTHOR CONTRIBUTIONS

HMP-V: Research strategy, project management, experimental design, data processing and discussion and interpretation, writing the article and other documents. PXS-N: carried out the experiments, data processing and interpretation, writing the article.

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SUPPLEMENTARY MATERIAL

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NOTATION

A/B ratio of the acetic acid concentration to butyric acid concentration, both on a COD basis

A_j external area of the bioreactor or tank, $j = 1, 2$, or opt

aw water activity

BR bioreactor

C/N carbon-nitrogen ratio

CI confidence interval

COD chemical oxygen demand

cp specific heat of the OFWD

CPB with phosphate buffer addition

CPI chemical and process industry

CW cheese whey

db dry basis

DF dark fermentation

D_j internal diameter of the bioreactor or tank, $j = 1, 2$, or opt

EtOH ethanol

FCCCD face centered central composite design

F_{exp} value of the Fisher statistic based on experimental results

HAc acetic acid

HBu butyric acid

HE heat exchanger

HLac lactic acid

H-M coupled process that consists of series hydrogen DF followed by anaerobic

digestion

HPB hydrogen-producing bacteria

HPr propionic acid

KE kinetic energy

LAB lactic acid bacteria

LP low pressure

m' mass flowrate of OFWD

NA not applicable

NADH nicotine adenine dinucleotide (reduced)

NPB no phosphate buffer addition

OFMSW organic fraction of municipal solid waste

OFWD organic fraction of the waste diaper

opt optimal or optimum

PC principal component

PCA principal component analysis

PE potential energy

PI prediction interval

POME palm oil mill effluent

p see p -value below

p -value probability of the Fisher statistic based on experimental results

PYFL pyruvate formate liase

Q' loss rate of heat loss to ambient temperature of bioreactor and ancillary equipmentsensible,HE rate of heat consumed to increase the temperature of the OFWD

Q' loss rate of heat loss to ambient temperature of bioreactor and ancillary equipment sensible,HE rate of heat consumed to increase the temperature of the OFWD

\hat{r} average rate of H₂ production in the net period of incubation

R₂ correlation coefficient of the model

redFdx reduced ferredoxin

RSM response surface methodology

S.E standard error

SPA sodium polyacrylate

SPA-Ca sodium polyacrylate plus calcium

SM upplementary Material

Solv solvents

SSAHF-IV solid substrate anaerobic hydrogen fermentation with intermittent venting and headspace flushing

SSDF solid-state dark fermentation

SSF solid substrate fermentation

SSSF semisolid substrate fermentation

T temperature of operation

T₁ temperature of the mesophilic process

T₂ temperature of the thermophilic process

Topt optimal temperature of the process for biohydrogen production

TS total solids or dry matter

TS_i initial total solids content

U overall heat transfer coefficient of the heat losses to the atmosphere

U₁ ibidem of the mesophilic process

U₂ ibidem of the thermophilic process

U_{opt} ibidem of the optimal process

VIF Inflation factor of the variance

VOA volatile organic acids, i.e., low molecular weight fatty acids

VS volatile solidsvolatile solids

VS volatile solidsvolatile solids

VSS volatile suspended solids

W' shaft mechanical work

wb wet basis

WD waste diapers

WSH wheat straw hydrolysate

Y'_{H₂} production of H₂lost production of Hydrogen due to HLac generation

Y'_{H₂} production of H₂lost production of Hydrogen due to HLac generation

z_j wet height of the bioreactor or tank, $j = 1, 2$, or opt

GREEK CHARACTERS

β correction factor for the heat losses from the top and bottom areas of bioreactor or tank. It accounts for the fact that the U for these surfaces is lower than the U for the side area of the bioreactor/tank. Typically, $\beta = 1$

δ ratio z/D of the bioreactor or tank

Δ change or generic increase of a variable placed at the right of the symbol

γ coefficient of thermal variation of U and thermal conductivities (1/K)

ΔG° change of standard free energy of Gibbs at neutral pH for the associated biochemical reaction

ΔH_r enthalpy of reaction for the DF

λ eigenvalue in principal component analysis

ϕ additional heat losses to the atmosphere of ancillary equipment, as a decimal fraction of the heat loss of the bioreactor

ρ density of the OFWDratio of the sum of volatile organic acids to the sum of solvents, both numerator and denominator on a COD basis

ρ density of the OFWDratio of the sum of volatile organic acids to the sum of solvents, both numerator and denominator on a COD basis

ΣVOA sum of volatile organic acids, i.e., low molecular weight organic acids, on COD basis

$\Sigma SOLV$ sum of solvents (acetone, butanol, ethanol, methanol) on COD basis

θ (mass or hydraulic) retention time in DF continuous process, or incubation time in batch process

ω_1 heat process savings, in percentage, of the optimal temperature process with; respect to mesophilic one

ω_2 heat process savings, in percentage, of the optimal temperature process with; respect to thermophilic one