



Stability Analysis for Distributed Secondary Control with Consideration of Diverse Input and Communication Delays for Distributed Generations in a DC Integrated Energy System

Tao Wang¹, Chunyan Rong¹, Shuai Tang¹ and Yinqiu Hong^{2*}

¹Institute of State Grid Hebei Electric Power Company Economic and Technological Research, Shijiazhuang, China, ²School of Electrical Engineering, Southeast University, Nanjing, China

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*Correspondence:

Yinqiu Hong
hyq97820@163.com

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An integrated energy system is a promising approach to synthesize various forms of energy, where cooperative control is indispensable for stable and efficient operation. During the information exchange of cooperative distributed secondary control (DSC) in an integrated energy system, the effect of time delays on system performance cannot be ignored, which mainly consist of input delays and communication delays. Compared with most of the existing literature which only address DSC considering communication delays, this paper investigates the stability robustness of an integrated energy system in the case of both input and communication delays. First, the impacts of input and communication delays on DSC are analyzed based on the Gerschgorin theorem and Nyquist criterion, where the system stability is principally dependent on input delays while has little correlation with communication delays and the inconsistency of the two delays may result in steady-state deviation. Then, on the assumption of identical input and communication delays, a closed-loop small-signal model equipped with a distributed secondary controller is established for stability analysis and the delay-dependent criteria are formulated to determine the stability margin of the system based on critical characteristic root tracking. By a series of trial declarations, the delay margins with regard to different controller gains are determined and the qualitative relationship between delay margins and controller gains can be utilized to guide the controller design for improved system performance. The effectiveness of the theoretical results is verified by case studies on a test system.

Keywords: communication delay, input delay, secondary control, stability analysis, integrated energy system

INTRODUCTION

The concept of an integrated system installed with multiple forms of renewable energy has been promoted for better exploitation of its advantages. However, the stochasticity and intermittency of distributed generations (DGs) could degrade the reliability and power quality of the system. Besides, considerable differences between the peak and valley loads would lead to an inefficient energy utilization. To address these challenges, the storages within the integrated system are equipped to smooth out the fluctuation of output power as well as implement power dispatch (He and Li, 2011;

Yu et al., 2016; Wang et al., 2019a). As one of the most attractive features, the integrated system can be operated in island mode in case of planned or spontaneous events, to provide an uninterrupted power supply to customers (Jin et al., 2014; Han et al., 2018). With the superiority of low complexity and energy loss, the integrated system has a promising future to be evolved into a possible DC structure (Morstyn et al., 2016; Yoo et al., 2020). A reliable control scheme is of great significance in ensuring the safe and stable operation of the system. To meet the requirement of spontaneous power sharing without communication, droop control, which takes the virtue of the relationship between power and voltage/frequency, is widely utilized. However, the presence of droop characteristics would produce poor power sharing due to the distinct output impedances of individual DGs, as well as diverse voltage deviation (Guo et al., 2014; Wang et al., 2019b).

To deal with the aforementioned defects, secondary control is introduced, varying from a centralized control structure to a distributed control structure. Centralized secondary control assumes a microgrid centralized controller (MGCC), which requires a global information collection and calculation (Lopes et al., 2006; Mehdi et al., 2020); however, it is sensitive to a single-point-of-failure. In recent years, inspired by multi-agent technology, distributed secondary control, in which each DG exchanges information with its immediate neighbors via local networks, has gained a lot of popularity (Simpson-Porco et al., 2015; Guo et al., 2018; Kang et al., 2018; Lu et al., 2018). Nasirian et al. (2015), developed a complete dynamic model of the DC integrated system with a distributed control framework, and the guidelines for the controller design were given to optimize the dynamic performance. A novel distributed control strategy was proposed by Trip et al. (2018), where the secondary control objectives were achieved with consideration of the requirement of plug-and-play. Baranwal et al. (2019) proposed to improve system robustness under various uncertainties by distributed control methods based on robust algorithms. Moreover, distributed secondary schemes based on a smooth adaptive method was proposed to reinforce system robustness (Zuo et al., 2020), and the adaptive virtual resistance was introduced by Kumar and Pathak (2020) to reduce the overshoot during secondary control. Dou et al. (2017) presented H_∞ algorithms to provide further system performance improvements.

The main feature of the existing literature on distributed control lies in the local-neighboring information exchange. During information collection and exchange, the time delays are unavoidable and it is important to study the impact of time delays on system performance, especially considering the increasing utilization of open communication infrastructures and the low inertia of inverter-interfaced DGs (Lou et al., 2018; Li and Shahidepour, 2019). Broadly speaking, there exists two kinds of time delays among DGs, i.e., input delays and communication delays, where the former is in regard to the information collected and processed by the local DG and the latter is related to communication from one DG to another. Although the impact of communication delays on an integrated DG system have been extensively researched by Lou et al. (2018) and Liu et al. (2015) based on a small signal model through the

critical characteristic root tracking method, there are few studies on the secondary control of an integrated energy system with input delays, to the best of our knowledge. Olfati-Saber and Murray (2004) proposed a delay-dependent distributed control, in which each agent delays its local measurements as the same number as communication delays, that is, matched input and communication delays are assumed. However, these two delays generate from different sources and they are not the same number in many cases. Therefore, it is necessary to investigate the impact of both input delays and communication delays on the distributed secondary control of an integrated energy system.

Motivated by the aforementioned research gap, this paper investigates the stability robustness of a DC microgrid taking both input and communication delays into consideration. First, upon the consensus-based secondary control of the integrated energy system, input delays and communication delays are separately introduced, compared to most of the existing DSC literature which only addresses communication delays. Then, based on the Nyquist criterion and Gerschgorin theorem, the sufficient condition for delayed-system consensus is derived, from which we can observe that the stability of the integrated system mainly depends on input delays, and the system performance in the presence of communication delay could be ameliorated in company with a moderate input delay. For the sake of better system performance, a synchronous interaction case with an identical number of input delays and communication delays is expected and discussed, where a closed-loop small-signal model for a DC integrated energy system is established and the delay margin calculation method is provided by virtue of tracing the critical characteristic root. By a series of trial declarations, the relationship between control parameters and stability robustness is determined to guide the controller design.

The remainder of this paper is organized as follows: **Problem Formulation** section reviews the hierarchical control of DC integrated energy systems and delay-dependent secondary control. The respective formulation and impacts of input delays and communication delays are investigated in **Impact of Input and Communication Delays on System Performance** section, and the sufficient condition for system stability is derived. In **Stability Robustness with Identical Input and Communication Delays** section, the procedures for delay margin determination are introduced considering the concurrence of input and communication delays. A case study based on a test DC MG is conducted to investigate the relationship between the control gains and delay margins and the effectiveness of the theoretical results are demonstrated by simulation in **Simulation Results** section.

PROBLEM FORMULATION

Hierarchical Control of a DC Integrated Energy System

The hierarchical control structure is displayed in **Figure 1**, which includes primary control and secondary control, with droop control widely adopted as primary control to ensure system stability:

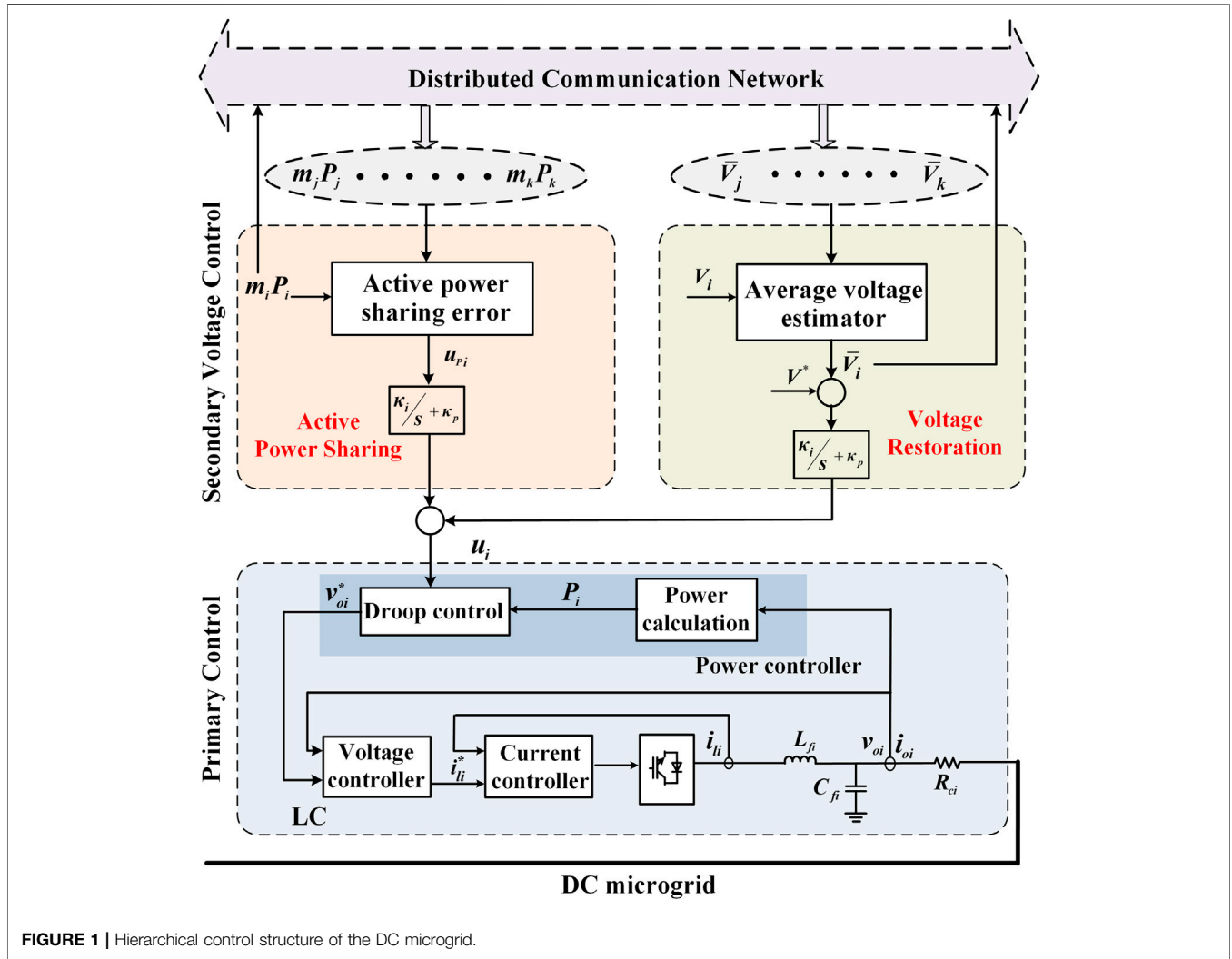


FIGURE 1 | Hierarchical control structure of the DC microgrid.

$$V_i = V_{ni} - m_i P_i \tag{1}$$

where V_i and V_{ni} represent the actual output and nominal voltages of the i th DG, m_i denotes the droop coefficient, and P_i denotes the measured active power.

To achieve accurate power sharing and eliminate voltage deviation in the presence of droop control, secondary control is introduced by adding an auxiliary term u_i on the basis of Eq. 1:

$$V_i = V_{ni} - m_i P_i + u_i \tag{2}$$

The control objective of proportional sharing requires active power to allocate according to the inverse of the droop coefficient between DGs, i.e., $m_1 P_1 = m_2 P_2 = \dots = m_n P_n$. Based on average consensus protocol, the controller for power sharing can be designed as:

$$u_{pi} = k_{i1} \int \sum_{j=1, j \neq i}^n a_{ij} (m_j P_j - m_i P_i) dt \tag{3}$$

where k_{i1} represents the integral gain of power sharing controller and a_{ij} represents the communication weight, with $a_{ij} = 1$

implying the existence of a communication link between the i th and j th DG and $a_{ij} = 0$ implying otherwise.

In view of the contradiction between voltage restoration and proportional power sharing, the average voltage is always taken to be regulated to the nominal value in practice. Given the absence of a global controller, the average voltage will be estimated locally utilizing a distributed observer:

$$\bar{V}_i = V_i + k_{i3} \int \sum_{j=1, j \neq i}^n a_{ij} (\bar{V}_j - \bar{V}_i) dt \tag{4}$$

where \bar{V}_i denotes the average voltage observed by the i th DG and k_{i3} denotes the integral gain. Recalling the expectation of voltage deviation compensation, the corresponding controller can be constructed and then the secondary control input can be formulated based on a combination of the two controllers:

$$u_i = u_{pi} + k_{i2} \int (V_n - \bar{V}_i) dt \tag{5}$$

where k_{i2} denotes the integral gain of the voltage restoration controller. It can be observed from Eq. 3 and Eq. 4, based on the

distributed information exchange, the active powers and estimated average voltages of DGs converge to a common state.

Delay-Dependent Consensus Algorithm

In most of the existing literature on DSC, the time delays with regard to the transmission among local-neighboring DGs are considered for system stability analysis. Then, each DG obtains decision control based on the delayed state information from neighbors and its current state, i.e., mismatched time delays. Alternatively, based on the delayed-consensus protocol put forward by Olfati-Saber and Murray (2004), active power sharing can be formulated in a symmetric format as follows:

$$\mathbf{u}_i(t) = \sum_{j=1, j \neq i}^n \mathbf{a}_{ij}(\mathbf{m}_j \mathbf{P}_j(t - \tau_{ij}) - \mathbf{m}_i \mathbf{P}_i(t - \tau_{ij})) \quad (6)$$

where τ_{ij} is denoted as communication delays in regard to the information exchange between DG_{*i*} and DG_{*j*}. It can be observed that the local DG_{*i*} delayed its own measurements by the same amount as the communication delays so that the states of its own and its neighbors were with the same time instant. Actually, the delays intentionally introduced by the agent itself during the processing of local data can be considered as input delays, which refer to signal collection and signal modulation/demodulation, while the communication delays contain not only signal collection and signal modulation/demodulation, but also packet organization format and signal transmission. Generally, communication delays for signals from neighboring DGs are larger than those from local DGs. Protocol (6) is applicable upon the assumption of identical input and communication delays; however, the time delay can be affected by multiple factors in practice, e.g., communication link and hardware facilities and it does not apply to the case of diverse delays. Therefore, a more universal form of the consensus algorithm can be formulated as:

$$\mathbf{u}_i(t) = \sum_{j=1, j \neq i}^n \mathbf{a}_{ij}(\mathbf{m}_j \mathbf{P}_j(t - \tau_{ij}) - \mathbf{m}_i \mathbf{P}_i(t - \tau)) \quad (7)$$

where τ represents the local input delay, which is related to the procedure of information collecting and processing as well as actuator and controllers. Employing consensus protocol Eq. 7 in microgrids, the power sharing controller can be designed as:

$$\mathbf{u}_{pi} = \mathbf{k}_{i1} \int \sum_{j=1, j \neq i}^n \mathbf{a}_{ij}(\mathbf{m}_j \mathbf{P}_j(t - \tau_{ij}) - \mathbf{m}_i \mathbf{P}_i(t - \tau)) dt \quad (8)$$

Homoplastically, the average voltage estimation can be given by:

$$\bar{\mathbf{V}}_i = \mathbf{V}_i + \mathbf{k}_{i3} \int \sum_{j=1, j \neq i}^n \mathbf{a}_{ij}(\bar{\mathbf{V}}_j(t - \tau_{ij}) - \bar{\mathbf{V}}_i(t - \tau)) dt \quad (9)$$

As can be deduced from Eq. 8 and Eq. 9, these two kinds of delays could exert diverse influence on system performance, which indicates the significance of stability analysis of the MG system taking both input and communication delays into consideration.

IMPACT OF INPUT AND COMMUNICATION DELAYS ON SYSTEM PERFORMANCE

In this section, the stability issue with regard to input and communication delays will be thoroughly investigated. Firstly, some useful lemmas are introduced.

Lemma 1 (Ren and Beard, 2005): 0 is a single root of the Laplacian matrix L if there exists a global reachable node.

Lemma 2 (Massoulié, 2002): For any $\gamma \in [0,1)$, $-1+j0$ is not included in the convex hull $\gamma Co(0 \cup \{Ei(j\omega), i \in N\})$ if $\omega \in R$, where $E_i(j\omega) = \frac{\pi}{2T} \cdot \frac{e^{-j\omega T}}{j\omega}$.

Lemma 3 (Meng et al., 2011): For $\omega \in R$, the disc is contained in the convex hull $\gamma Co(0 \cup \{W_i(j\omega), i \in N\})$.

Theorem 1: Once the inequality Eq. 10 holds, the states will converge to the consensus value asymptotically, where $d_i = \sum_{v \in N_i} a_{ij}$.

$$\max\{d_i \tau\} < \pi/4 \quad (10)$$

Proof: Under the unified consensus protocol in Eq. 7, the dynamic of active power can be modeled as:

$$\mathbf{m}_i \dot{\mathbf{P}}_i = C_p \sum_{j=1, j \neq i}^n \mathbf{a}_{ij}(\mathbf{m}_j \mathbf{P}_j(t - \tau_{ij}) - \mathbf{m}_i \mathbf{P}_i(t - \tau)) \quad (11)$$

where C_p denotes the interaction strength. Performing Laplace transformation on Eqs 11, 12 follows:

$$s \mathbf{m}_i \mathbf{P}_i(s) - \mathbf{m}_i \mathbf{P}_i(0) = C_p \sum_{j=1, j \neq i}^n \mathbf{a}_{ij}(\mathbf{m}_j \mathbf{P}_j(s) e^{-s\tau_{ij}} - \mathbf{m}_i \mathbf{P}_i(s) e^{-s\tau}) \quad (12)$$

Then, the characteristic equation of the system can be derived as $\det(sI + L(s)) = 0$. Where I is an identity matrix with n dimensions and $L(s)$ is defined as:

$$L(s) = \begin{cases} -\mathbf{a}_{ij} e^{-s\tau_{ij}}, & V_j \in N_i \\ \sum_{j=1, j \neq i}^n \mathbf{a}_{ij} e^{-s\tau}, & j = i \\ 0, & \text{otherwise} \end{cases}$$

It can be deduced from lemma 1 that 0 is a single root of the characteristic equation. Defining $P(s) = \det(I + G(s))$, where $G(s) = L(s)/s$, then obviously, the zeros of $P(s)$ are equivalent to the non-zero roots of the characteristic equation.

According to the Gerschgorin theory, the eigenvalues of $G(j\omega)$ lie in a disc:

$$\lambda(G(j\omega)) \in \cup_{i \in N} G_i$$

where

$$G_i = \left\{ \zeta : \zeta \in C, \left| \zeta - \sum_{j=1, j \neq i}^n \mathbf{a}_{ij} \frac{e^{-j\omega T}}{j\omega} \right| \leq \left| \sum_{j=1, j \neq i}^n \mathbf{a}_{ij} \frac{e^{-j\omega T}}{j\omega} \right| \right\}$$

and C represents the complex field. Premising the line between the origin and the center of disc, which can be obtained as $G_{i0}(j\omega) = d_i \frac{e^{-j\omega T}}{j\omega}$ intersects the boundary of the disk at W_i , then, the trajectory of W_i can be expressed as:

$$W_i(j\omega) = 2d_i \frac{e^{-j\omega T}}{j\omega} \quad (13)$$

According to Lemma 2, it can be derived that $W_i(j\omega) = \gamma_i E_i(j\omega)$ with $\gamma_i = 4d_i T/\pi$. On account of the condition $\max\{d_i T\} < \pi/4$, defining $\gamma = \max\{\gamma_i, i \in N\}$ and apparently, the following relationship holds:

$$\text{Co}(0 \cup \{W_i(j\omega), i \in N\}) \subseteq \gamma \text{Co}(0 \cup \{E_i(j\omega), i \in N\}) \quad (14)$$

Employing Lemma 2, it can be deduced that $-1+j0$ is not included in the set $\text{Co}(0 \cup \{W_i(j\omega), i \in N\})$. Further combined with lemma 3 as well as the Gerschgorin theory, the conclusion can be drawn that the root locus of $G(j\omega)$ will not pass $-1+j0$. According to the generalized Nyquist criterion, all zeros of $P(s)$ will have negative real parts, i.e., the system will converge asymptotically and the proof of Theorem 1 are completed.

From the sufficient condition for system stability Eq. 10, we can conclude that whether the system under the consensus protocol in Eq. 7 achieves consensus mainly depends on the input delays while it has a weak association with communication delays. Therefore, it is critically important to investigate the impact of input delays on system performance rather than only considering communication delays, in contrast to most of the existing delay-dependent analyses of distributed secondary control in MGs. Generally, input delays are relatively negligible compared to communication delays in practice. However, great distinction between the two kinds of delays will introduce information disorder for decision-making due to local-neighborhood state interaction, which could definitely give rise to steady state deviation (Fatihcan, 2010). To avoid the aforementioned steady deviation, auxiliary methods are usually applied to ensure that the local and neighbors' information comes from the same time instant, that is, the local information is delayed in an identical way to the communication delays. In practical implementation, the previous information of each DG is saved by signal storage devices and the local information with the same timestamp as the neighboring information can be obtained from the device once the signal from neighboring nodes is received. Thus, the control decision will be made using the local and neighboring information from the same timestamp, i.e., the communication and input delays are controlled so they are uniform.

STABILITY ROBUSTNESS WITH IDENTICAL INPUT AND COMMUNICATION DELAYS

In this section, we consider secondary voltage control under the theoretical assumption of identical communication delays and input delays. Considering that the communication delays during information exchange are inevitable and much larger than input delays in practice, whereas the inconformity between the input and communication delays may result in equilibrium deviation, the state in local DG is usually delayed similarly to communication delay, to come at an agreement of information

instants. It should be noted that the communication delay can be determined based on the offset between the sending timestamp T1 and the receiving timestamp T2.

With the increase of input delays, the system performance is degraded and even leads to instability in the worst case. In this section, the stability robustness of distributed secondary control with input and communication delays is addressed. Firstly, a small signal model of MG is derived, taking time delays and controller parameters into consideration. Then, the delay margin as the maximum delay under which the system can maintain stable is determined and the relationship between the controller gains and delay margin is investigated to guide the controller design.

Small-Signal Modeling of a DC Integrated System

The closed loop small-signal model of a DC microgrid installed with time delays is established first, consisting of three parts: the inverters, network, and loads.

The inverters are controlled by the hierarchical control strategy mentioned in **Impact of Input and Communication Delays on System Performance** section. The voltage regulation to the reference value can be implemented with the assistance of the voltage and current double-loop controller:

$$\begin{aligned} \frac{d\theta}{dt} &= V_i - V_{oi} \\ i_{ref} &= k_{pv}(V_i - V_{oi}) + k_{iv}\theta \\ \frac{d\vartheta}{dt} &= i_{ref} - i_{oi} \\ d_i &= k_{pi}(i_{ref} - i_{oi}) + k_{ii}\theta \end{aligned} \quad (15)$$

where V_{oi} denotes the actual output voltage; i_{oi} and i_{ref} denote the actual output and reference currents, respectively; k_{pv} , k_{iv} , k_{pi} , and k_{ii} are the proportional and integral gains of the PI controllers; and d_i represents the duty cycle. Whereas in practice, considering the much faster response of voltage and current loop compared with the power loop, the dynamic of primary control can be assumed to be equivalent to that of the power controller. Further considering the employment of the virtual resistance technique, the reference for the virtual output voltage of the inverter can be obtained from the droop equation and the actual output voltage reference can be provided by:

$$V_i = V_{vi} - r_{vi}i_i = V_{ni} - m_i P_i + u_i - r_{vi}I_i \quad (16)$$

where V_{vi} represents the virtual output voltage; r_{vi} represents the virtual resistance; I_i denotes the output current, and u_i can be obtained from Eqs 3–5 in **Impact of Input and Communication Delays on System Performance** section. As can be deduced from the equation, the effect of adding the virtual resistance is equivalent to essentially changing the droop gain in DC systems. Hence, the term in regard to virtual resistance can be omitted in subsequent analysis for simplification. Besides, the

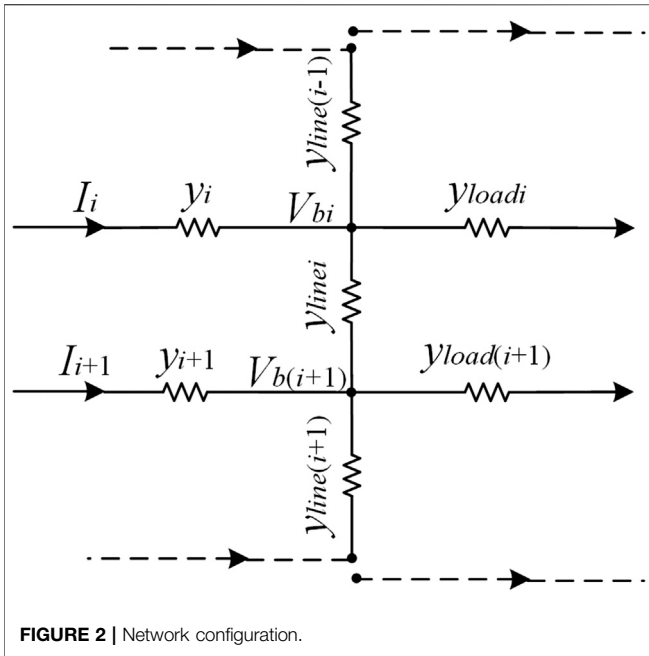


FIGURE 2 | Network configuration.

active power in the equation can be measured through a low-pass filter:

$$\dot{P}_i = -\omega_f P_i + \omega_f V_i I_i \quad (17)$$

where ω_f is the cutoff frequency.

By differentiating and linearizing the above Eqs. 16, 17, the small-signal model of inverters can be established as:

$$\Delta \dot{x} = A' \Delta x + B \Delta I \quad (18)$$

where $\Delta x = [\Delta P_1, \Delta P_2, \dots, \Delta P_n, \Delta V_1, \Delta V_2, \dots, \Delta V_n, \Delta \bar{V}_1, \Delta \bar{V}_2, \dots, \Delta \bar{V}_n]^T$ represents the system state variables, $\Delta I = [\Delta I_1, \Delta I_2, \dots, \Delta I_n]^T$ and A', B are parameter matrices listed in the Appendix.

The inverters are supposed to be connected to the network as depicted in Figure 2.

According to the circuit configuration, the output current can be modeled as:

$$I = Y(V - V_b) \quad (19)$$

where $Y = \text{diag}(y_i)$, with y_i denoting the output admittance of the i th DG and $V_b = [V_{b1}, V_{b2}, \dots, V_{bn}]^T$ with V_{bi} denoting the i th bus voltage. The relationship between the bus voltage and the output voltage of DGs can be established using the node voltage equation:

$$y_{si} V_{bi} = y_{line(i-1)} V_{bi(i-1)} + y_{linei} V_{bi(i+1)} + y_i V_i \quad (20)$$

where $y_{si} = y_{linei} + y_{line(i-1)} + y_i + y_{loadi}$; y_{linei} represents the line admittance between the i th and $(i+1)$ th DG and y_{loadi} represents the admittance of the i th load.

Further, based on the integration of Eqs 19 and 20, the small-signal model of output current can be established as:

$$\Delta I = Y' \Delta V \quad (21)$$

where $Y' = Y(1 - Y_s^{-1}Y)$ is the admittance matrix with

$$Y_s = \begin{bmatrix} y_{s1} & -y_{line1} & 0 & \dots & 0 \\ -y_{line1} & y_{s2} & -y_{line2} & \dots & \vdots \\ 0 & \vdots & \vdots & \ddots & 0 \\ \vdots & \vdots & -y_{line(n-1)} & y_{s(n-1)} & -y_{linen} \\ 0 & \dots & 0 & -y_{linen} & y_{sn} \end{bmatrix}$$

By substituting (Eq. 20) into (Eq. 17), the closed-loop small-signal model for the whole system without delay can be obtained as:

$$\Delta \dot{x} = A \Delta x \quad (22)$$

where A is the system parameter matrix and can be derived as $A = A' + B Y' H$, where $H = [0_{n \times n} \ I_{n \times n} \ 0_{n \times n}]$.

Further, we assume a uniform delay of τ during local data processing and information interaction, then Eqs 3 and 4 can be replaced as:

$$\Delta \dot{u}_{pi} = k_{i1} \sum_{j=1, j \neq i}^n a_{ij} (m_j \Delta P_j(t - \tau) - m_i \Delta P_i(t - \tau)) \quad (23)$$

$$\Delta \dot{\bar{V}}_i = \Delta \dot{V}_i + k_{i3} \int \sum_{j=1, j \neq i}^n a_{ij} (\Delta \bar{V}_j(t - \tau) - \Delta \bar{V}_i(t - \tau)) dt \quad (24)$$

Correspondingly, (Eq. 22) can be modified and the complete delay-dependent small-signal model can be acquired as:

$$\Delta \dot{x} = A_1 \Delta x(t) + A_d \Delta x(t - \tau) \quad (25)$$

where A_1 and A_d are coefficient matrices given in the Appendix.

Critical Characteristic Root Tracking Method

The stability of a DC microgrid installed with delay will be analyzed based on the small-signal model established previously in this section. Equation 25 yields the characteristic equation of the system:

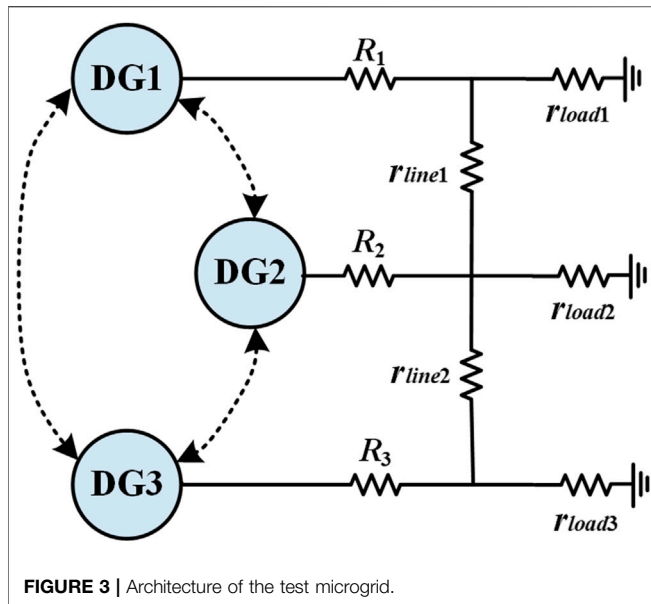
$$\det(sI - A_1 - A_d e^{-s\tau}) = 0 \quad (26)$$

According to the stability criterion for a closed-loop system, it can be deduced that the system is stable when all the eigenvalues of Eq. 26 have a negative real component. Whereas the solution of Eq. 26 would be challenging to acquire directly due to the existence of the transcendental item. Therefore, the method of critical characteristic root tracking will be introduced to reduce the complexity of this problem (Jia and Yu, 2008).

Considering the pure imaginary root corresponds to the critical stable state of the system, the stability switch can be assumed to take place accompanied by the occurrence of a pure imaginary root. Provided the equation has a pure imaginary solution $j\omega_c$, then there is

$$\det(sI - A_1 - A_d e^{-j\omega_c \tau}) = 0 \quad (27)$$

and $j\omega_c$ will be one of the eigenvalues of Eq. 26. It can be noticed that the transcendental item $e^{-j\omega_c \tau}$ presents a periodic



characteristic to τ with a period of $2\pi/\omega_c$, i.e., infinite cases can be covered by those when τ changes within the limited range. Defining $\xi = \omega_c \tau$ and the transcendental item in Eq. 27 can be replaced by $e^{-j\xi}$. Thus, the problem of calculating all roots of Eq. 26 can be simplified to the search of the possible imaginary solution of the equation when parameter ξ varies within the finite region $[0, 2\pi]$. Recording feasible imaginary roots and the corresponding ξ as $j\omega_c$ and ξ_c respectively, then the candidate delay margin can be calculated as $\tau_c = \xi_c / \text{abs}(\omega_c)$. Thus, multiple sets of ω_c and ξ_c will be obtained, hence, an extra restriction in terms of transition direction will be applied to exclude undesirable results. Introducing the definition of transition direction around the critical characteristic root $j\omega_c$ as:

$$RT|_{s=j\omega_c} = \text{sgn} \left(\text{Re} \left(\frac{\partial s}{\partial \tau} \Big|_{s=j\omega_c} \right) \right) \quad (28)$$

where $\text{sgn}(\cdot)$ represents the sign function, with possible values of 0, 1, and -1 corresponding to zero, positives, and negatives, respectively; $\text{Re}(\cdot)$ represents the real component of a complex. It can be deduced from the definition that the condition $RT = 1$ ensures a state transition of the system from instability to stability as the communication delay τ increases.

Ultimately, n satisfactory values of delay margin will be calculated and screened out as $\tau_{c1}, \tau_{c2}, \dots, \tau_{cn}$ and the delay margin can then be determined as the minimum of the feasible values, i.e., $\tau_d = \min\{\tau_{c1}, \tau_{c2}, \dots, \tau_{cn}\}$.

To summarize, the delay-dependent stability analysis includes the following steps:

Step 1: Establish the closed-loop small-signal model for the whole system considering communication delays;

Step 2: Designate control parameters and acquire the characteristic equation related to ξ ;

Step 3: Change ξ within $[0, 2\pi]$ and track the root of the characteristic equation, calculate the corresponding τ_c as the

candidate delay margin once the equation has a pure imaginary root;

Step 4: Calculate RT for each τ_c and screen out those with RT = 1 as $\tau_{c1}, \tau_{c2}, \dots, \tau_{cn}$;

Step 5: Determine the delay margin as $\tau_d = \min\{\tau_{c1}, \tau_{c2}, \dots, \tau_{cn}\}$;

Step 6: Change control parameters and repeat steps 2–5. Investigate the impact of control parameters on delay margin.

In addition, it is worth noting that the aforementioned analysis is performed on the assumption that the dynamic of the inner loop is ignorable, whereas considering the premise is decided by the controller of the inner loop and is irrelevant to the major concern of secondary control in this paper, the analytical method in this section is still effective.

SIMULATION RESULTS

In this section, simulations are performed for stability analysis with consideration of both input and communication delays by a test DC microgrid as presented in Figure 3, where three DGs are connected and communicate with each other. The control and system parameters are listed in Table 1, and active power is desired to be 1:1:1. The separate and comprehensive impacts of communication and input delays are investigated by employing various time delays. Then, to investigate the quantitative effect of controller gains on the system stability, the cases of different controller gain sets are performed. Simulation results using MATLAB/Simulink are utilized to verify the theoretical results. For the sake of simplicity, the controller gains of the two DGs are assumed to be same, and due to the small number of proportional gains in the PI controller, only the integral terms are utilized.

Impact of Input and Communication Delays on System Performance

In this case, the impact of input and communication delays on system performance is investigated. The islanded MG is controlled by droop control at the first stage and the distributed secondary control is launched at $t = 3s$. For a more intuitive comparison, simulation results of the delay-free case are displayed in Figure 4, from which we can see that stability is

TABLE 1 | Control and system parameters.

| Parameter | Value | Parameter | Value |
|---------------------------|------------------------|---------------------------------|---|
| MG voltage | 800 V | Connection impedances | |
| DG power ratings | | R_1/R_2 | 0.1 Ω / 0.3 Ω / 0.25 Ω |
| DG1, DG2, DG3 | 25 kW | Line impedances | |
| Voltage droop coefficient | | r_{line1}/r_{line2} | 0.3 Ω |
| $m_1/m_2/m_3$ | 2×10^{-3} V/W | Load ratings | |
| Observer parameters | | $r_{load1}/r_{load2}/r_{load3}$ | 50 Ω / 40 Ω / 40 Ω |
| k_{i3} | 3 | | |

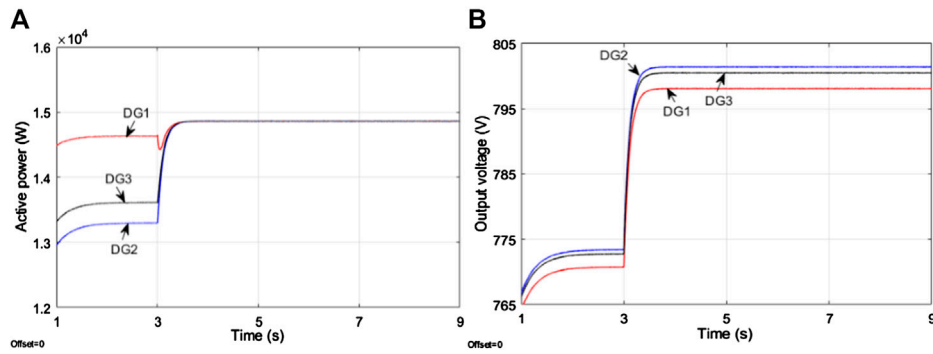


FIGURE 4 | Simulation results of the case without time delay (A) Active powers (B) Output voltages.

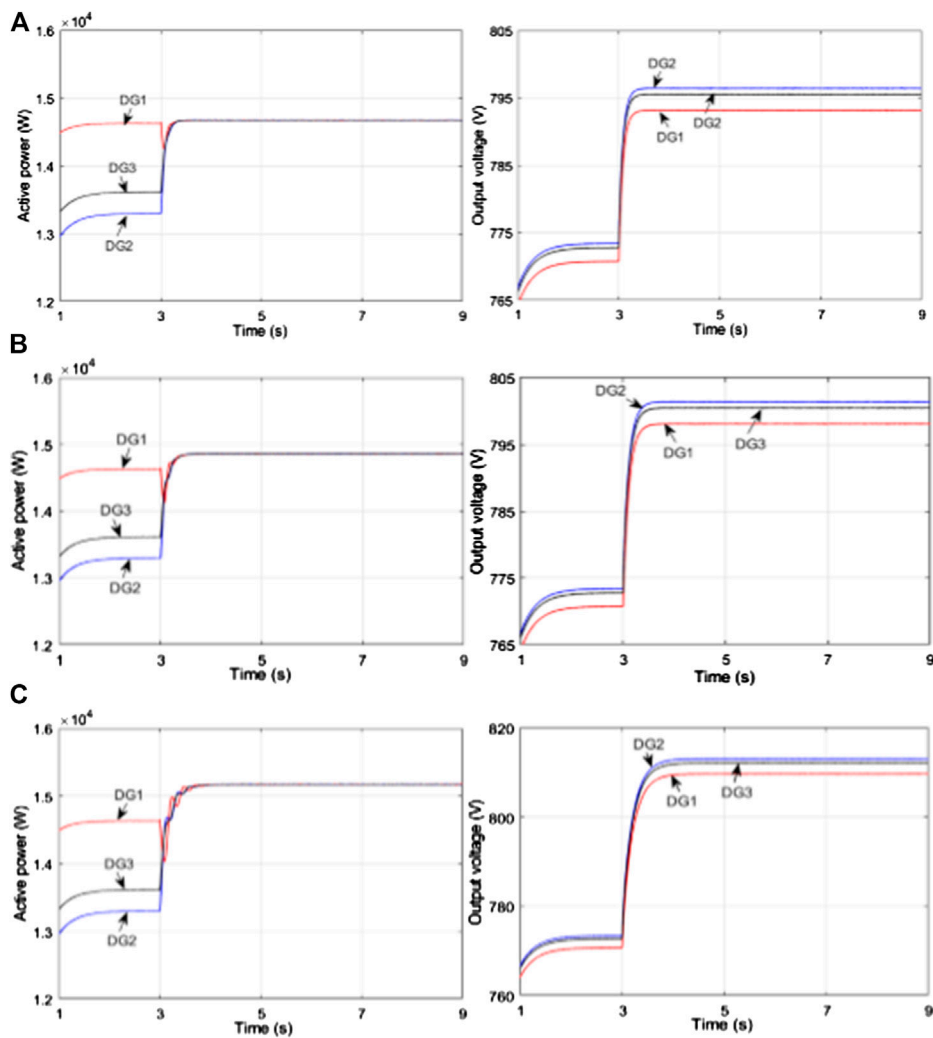
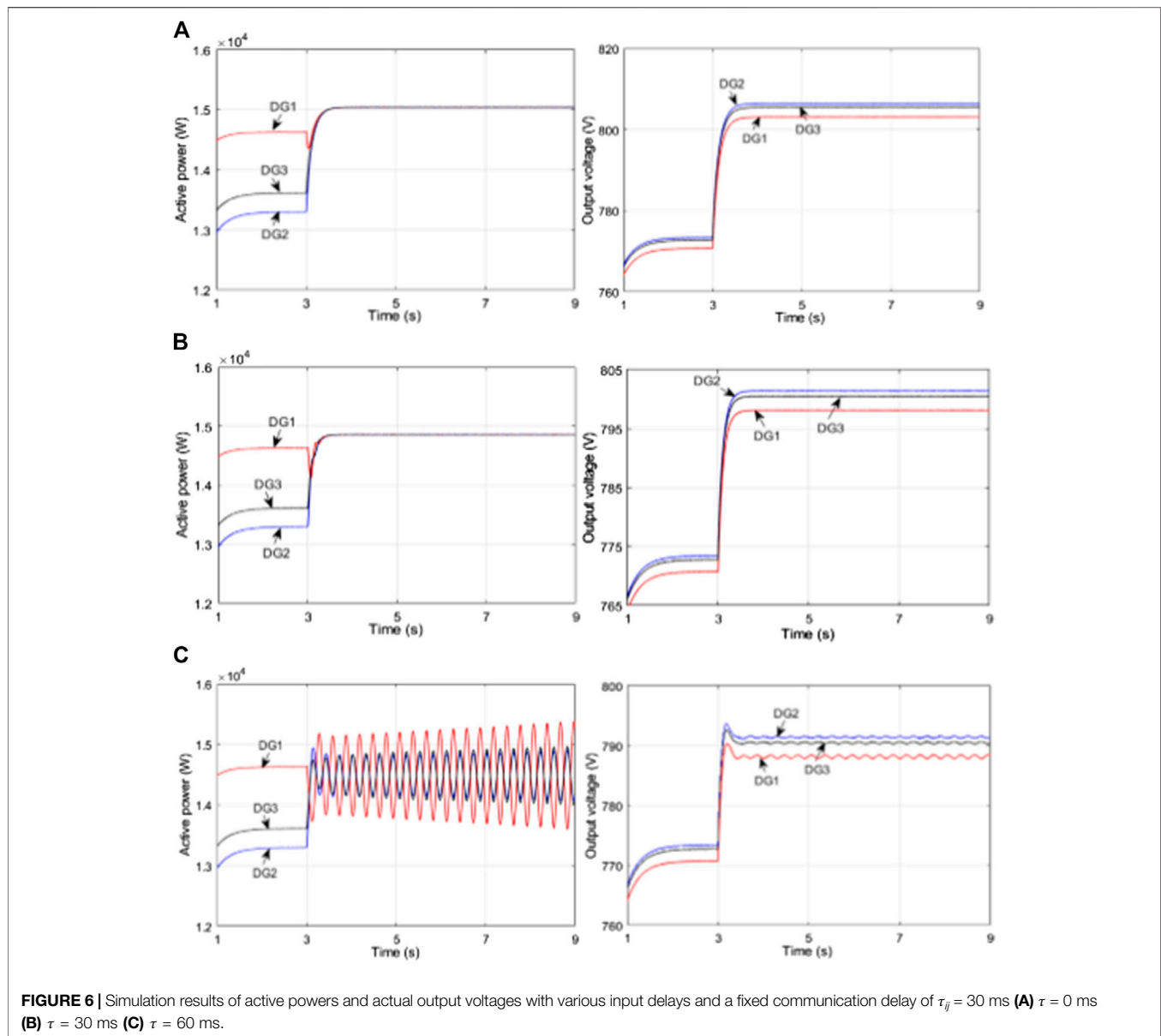


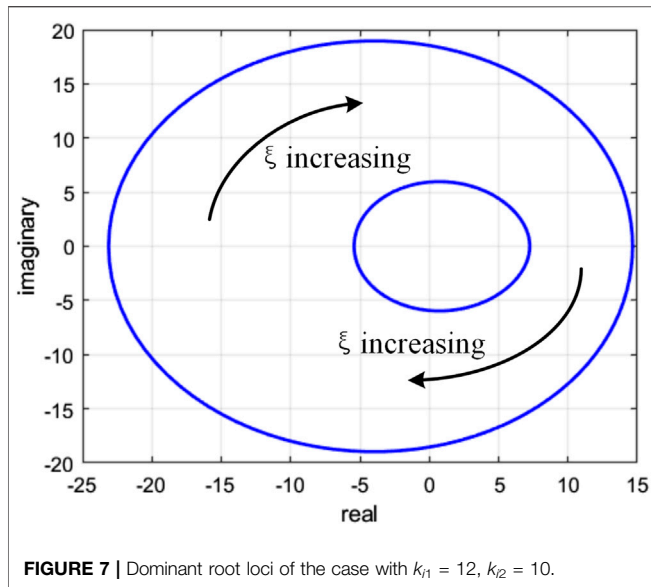
FIGURE 5 | Simulation results of active powers and actual output voltages with different communication delays and a fixed input delay of $\tau = 30$ ms (A) $\tau_{ij} = 0$ ms (B) $\tau_{ij} = 30$ ms (C) $\tau_{ij} = 100$ ms.



primarily guaranteed by droop control, whereas the system suffers from inaccurate power allocation and different voltage deviation. With the aid of secondary control at $t = 3$ s, active power reallocates between DGs and voltage rises gradually. After a while, the system reaches a new equilibrium where active power has a 1:1:1 sharing between DGs and the average voltage arrives at the expected reference value, 800 V. Firstly, the input delay for each DG is set as $\tau = 30$ ms, and different communication delays of $\tau_{ij} = 0$ ms, 30 ms, and 100 ms are performed, with the results shown in **Figure 5**. As can be visualized from **Figure 5**, the system remains stable regardless of the gradual increase of communication delay, implying that communication delays have negligible influence on system stability, which is consistent with the theoretical conclusion drawn in **Stability**

Robustness with Identical Input and Communication Delays section. However, due to the inconsistency between input and communication delays (i.e., 0 ms or 100 ms for communication delays, 30 ms for input delays), the voltages and active powers deviate from the responses of the delay-free case shown in **Figure 4**, which indicates that communication delays could exert an influence on the steady-state error. Only when $\tau_{ij} = \tau = 30$ ms do the responses appear to be the same as the accurate ones in **Figure 4**.

Further, the impact of input delays on the MG system is studied and the simulation operation is the same as above. The communication delay during individual information exchange is fixed as $\tau_{ij} = 30$ ms and different input delays of $\tau_i = 0$ ms, 30 ms, and 60 ms are performed with the results shown in **Figure 6**. As



in **Figure 7**. Four feasible sets of $\{\omega, \xi\}$ are obtained, among which two sets satisfying the condition $RT = 1$ are singled out as $\{\omega_{c1}, \xi_{c1}\} = \{1.443, 5.931\}$ and $\{\omega_{c2}, \xi_{c2}\} = \{1.628, 18.550\}$, yielding a corresponding communication delay as $\tau_{c1} = 243.3$ ms and $\tau_{c2} = 87.9$ ms. Hence, the delay margin in this case can be obtained as $\tau_d = 87.9$ ms. Then, similar operations are performed as the above procedures with different controller sets k_{i1} and k_{i2} , the delay stability posture is generated as shown in **Table 2**. As can be observed from the table, the upper bound of the stability region shows a slight increase with a larger k_{i2} while the delay margin decreases apparently when k_{i1} becomes larger, i.e., a larger integral gain of the power sharing controller would lead to a worse stability robustness to delay while the integral gain of the voltage regulation controller has relatively less impact on system stability.

can be observed from **Figure 6**, the MG system experiences steady-state deviation with $\tau_i = 0$ ms, $\tau_{ij} = 30$ ms but reaches an accurate convergence with $\tau_i = 30$ ms, $\tau_{ij} = 30$ ms. Therefore, a certain input delay would facilitate system performance. With an increase in the input delay, the responses undergo growing oscillations with $\tau_i = 60$ ms, $\tau_{ij} = 30$ ms, which is in accordance with the theoretical stability constraint for input delay as $\tau < 39.3$ ms derived from the stability sufficient condition **Eq. 10** in this case. Therefore, the stability of the MG system is mainly dependent on input delays but has little correlation with communication delays, and moreover, the inconsistency between input delays and communication delays would result in convergence deviation, indicating that a moderate increase of input delays can contribute to the elimination of steady-state deviation in some practical cases.

Simulation Results of Delay Margin

Simulations will be undertaken with two sets of controller gains in the presence of identical delays to verify the effectiveness of the proposed methodology. The selected sets of control parameters are marked in the table as $k_{i1} = 12, k_{i2} = 10$ and $k_{i1} = 16, k_{i2} = 4$. Initially, droop control operates in the DC microgrid and secondary control is launched at $t = 3$ s, with the simulation results depicted in **Figures 8** and **9**, respectively. It can be observed that in the delay-free case, accurate active power sharing and average voltage regulation is achieved after the secondary control is activated. In the case of $k_{i1} = 12, k_{i2} = 10$, considering the presence of time delay as shown in **Figures 8(B),(C)**, the curves of active powers and output voltages show a decaying oscillation with time delay $\tau = 83$ ms and recover to stability about 3 s later. However, as the time delay further increases to $\tau = 98$ ms, oscillation enlarges and the MG system fails to remain stable. Then the delay margin with regard to this set of controller gains lies between $\tau = 83$ ms and $\tau = 98$ ms, according to the theoretical delay margin $\tau_d = 87.9$ ms obtained from **Table 2**.

Relationship Between Control Gains and Delay Margin

Considering that the communication delays cannot be negligible and could cause precision degradation, the consensus protocol was proposed where the information of the local DG is delayed at the same rate as the communication delays of its neighbors. In this case, we will investigate the performance of distributed secondary control with the same input and communication delays to avoid the occurrence of convergence error due to mismatched states.

Similarly, for the case of controller set $k_{i1} = 16, k_{i2} = 4$ as depicted in **Figure 6**, the system tends to be stable with a relatively small delay $\tau = 55$ ms while the MG system becomes unstable when the delay increases to $\tau = 65$ ms. Querying the theoretical result $\tau_d = 58.4$ ms in **Table 2**, the effectiveness of the proposed analysis method is verified.

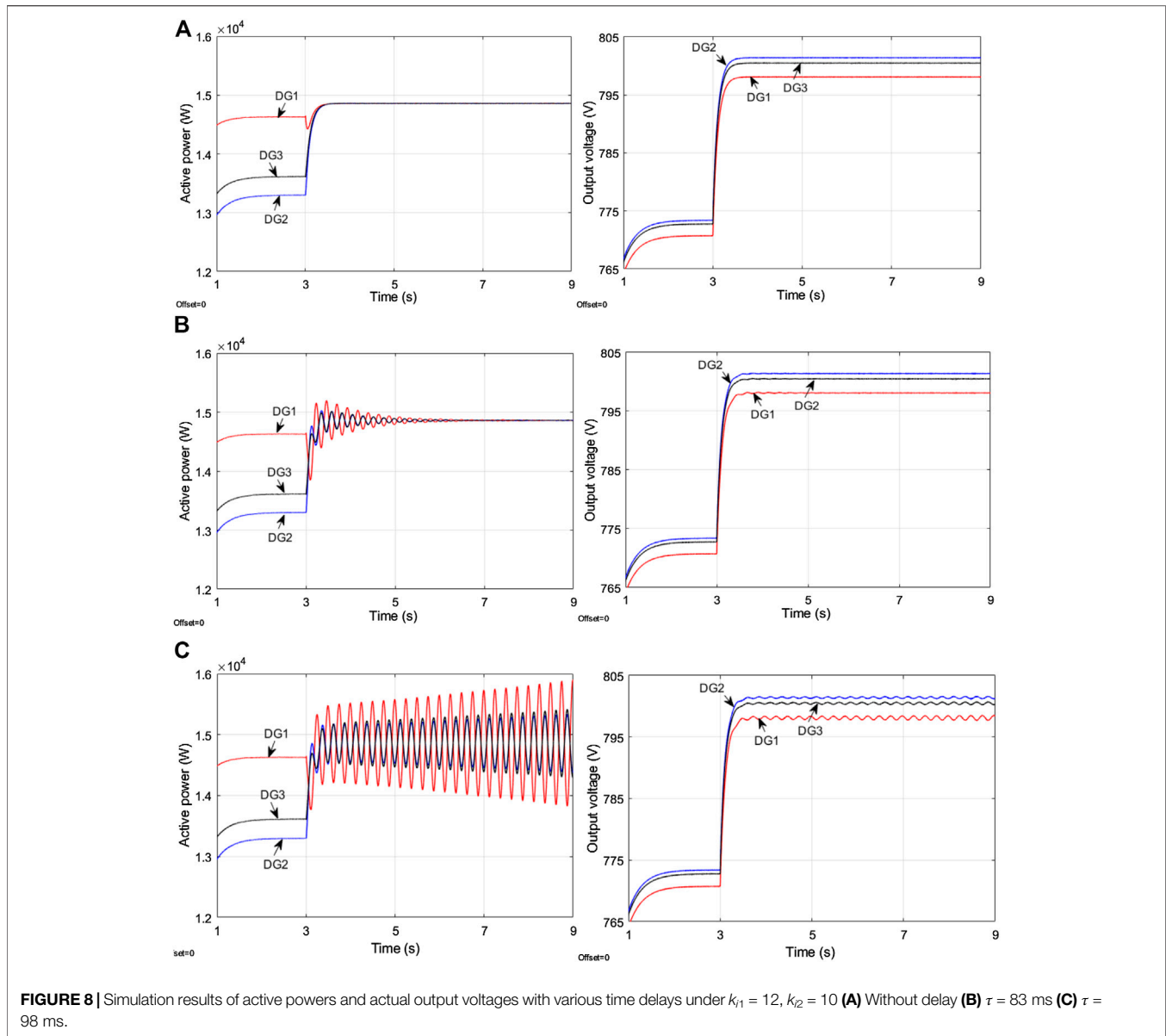
Theoretical Calculation of Delay Margin

Considering that the stability condition for delay is dependent on controller gains, the delay margin of the test system with various control gains will be calculated adopting the analysis method proposed in **Simulation Results** section. We will first take the case of $k_{i1} = 12, k_{i2} = 10$ as an example to illustrate the determination of the delay margin. The corresponding dominant root loci of the characteristic equation is displayed

As can be visualized from the figures, similar dynamic performance is shown in the above two cases, whereas the control parameter set $k_{i1} = 12, k_{i2} = 10$ enjoys great superiority over that of $k_{i1} = 16, k_{i2} = 4$ in terms of delay-

TABLE 2 | Theoretical delay margin of the DC microgrid.

| τ_d (s) | k_{i1} | | | | | |
|--------------|----------|--------|--------|--------|--------|--------|
| | 4 | 8 | 12 | 16 | 20 | |
| k_{i2} | 2 | 0.2421 | 0.1215 | 0.0777 | 0.0570 | 0.0446 |
| | 4 | 0.2452 | 0.1270 | 0.0802 | 0.0584 | 0.0457 |
| | 6 | 0.2477 | 0.1321 | 0.0828 | 0.0598 | 0.0466 |
| | 8 | 0.2512 | 0.1385 | 0.0853 | 0.0612 | 0.0475 |
| | 10 | 0.2535 | 0.1432 | 0.0879 | 0.0626 | 0.0485 |

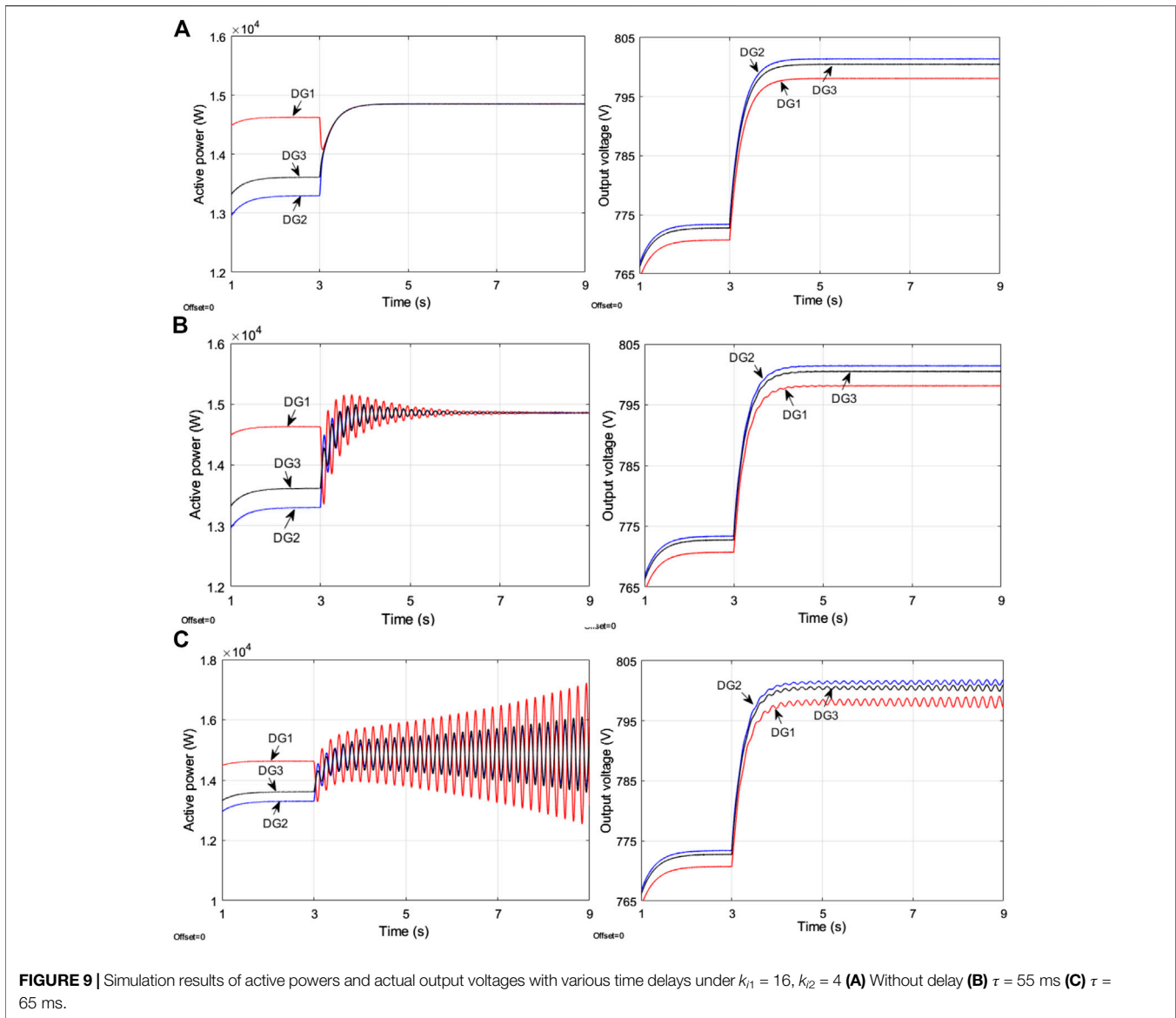


dependent stability. Therefore, the proposed stability analysis theory would be instructional for the design of control gains, to achieve a compromise between the dynamic performance and delay margin.

CONCLUSION

This paper addresses the stability analysis of distributed secondary control in DC integrated energy systems, taking both input and communication delays into consideration. Based on the Gerschgorin theorem and Nyquist criterion, the impact of input and communication delays on system stability is investigated and the stability condition is derived, from which we can observe that the stable

operation mainly depends on input delays while is almost impervious to communication delays. However, the distinction between input and communication delays could give rise to steady-state error, indicating that a moderate increase of input delay can contribute to the elimination of steady-state deviation in some cases. The conclusion would be of great significance for control performance optimization in practice. Then, a small-signal model for stability analysis considering identical input and communication delays is derived and the delay margin corresponding to different control parameters can be calculated based on the critical characteristic root tracking method. The qualitative relationship between controller gains and delay margin can be utilized to guide the control design. Simulation cases are carried out to verify the effectiveness of the theoretical



conclusions. Although the small-signal modeling is implemented in a small-scale system in this paper, the effectiveness of the approach remains regardless of the increasing number of DGs, considering that the small-signal method is always applicable for modeling DGs, and both the establishment and solution of the model can be executed by computers.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

TW and CR proposed the methodology. TW and ST conducted the theoretical analysis as well as the simulation verification. QH wrote the original draft which was reviewed and edited by TW and CR. All authors agree to be accountable for the content of the work.

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APPENDIX

$A' = R^{-1}D$, $B = R^{-1}E$ where

$$R = \begin{bmatrix} I_{n \times n} & \mathbf{0}_{n \times n} & \mathbf{0}_{n \times n} \\ M_{n \times n} + k_{p1} L M_{n \times n} & I_{n \times n} & k_{p2} \cdot I_{n \times n} \\ \mathbf{0}_{n \times n} & -I_{n \times n} & I_{n \times n} \end{bmatrix}, \quad D = \begin{bmatrix} -\omega_f \cdot I_{n \times n} & \omega_f \hat{I} & \mathbf{0}_{n \times n} \\ -k_{i1} L M_{n \times n} & \mathbf{0}_{n \times n} & -k_{i2} \cdot I_{n \times n} \\ \mathbf{0}_{n \times n} & \mathbf{0}_{n \times n} & -k_3 L \end{bmatrix}, \quad E = \begin{bmatrix} \omega_f \hat{V} \\ \mathbf{0}_{n \times n} \\ \mathbf{0}_{n \times n} \end{bmatrix}$$

$$M = \text{diag}(m_i), \quad I_{n \times n} = \begin{bmatrix} 1 & 0 & \cdots & 0 \\ 0 & 1 & & \vdots \\ \vdots & & \ddots & 0 \\ 0 & \cdots & 0 & 1 \end{bmatrix}_{n \times n}, \quad \hat{I} = \begin{bmatrix} I_1 & 0 & \cdots & 0 \\ 0 & I_2 & & \vdots \\ \vdots & & \ddots & 0 \\ 0 & \cdots & 0 & I_n \end{bmatrix}, \quad \hat{V} = \begin{bmatrix} V_1 & 0 & \cdots & 0 \\ 0 & V_2 & & \vdots \\ \vdots & & \ddots & 0 \\ 0 & \cdots & 0 & V_n \end{bmatrix}$$

$A_1 = R^{-1}D_1$, $A_d = R^{-1}D_d$ where

$$D_1 = \begin{bmatrix} -\omega_f \cdot I_{n \times n} & \omega_f (\hat{V} \cdot Y + \hat{I}) & \mathbf{0}_{n \times n} \\ \mathbf{0}_{n \times n} & \mathbf{0}_{n \times n} & -k_{i2} \cdot I_{n \times n} \\ \mathbf{0}_{n \times n} & \mathbf{0}_{n \times n} & \mathbf{0}_{n \times n} \end{bmatrix}, \quad D_d = \begin{bmatrix} \mathbf{0}_{n \times n} & \mathbf{0}_{n \times n} & \mathbf{0}_{n \times n} \\ -k_1 \cdot L \cdot M & \mathbf{0}_{n \times n} & \mathbf{0}_{n \times n} \\ \mathbf{0}_{n \times n} & \mathbf{0}_{n \times n} & -k_3 \cdot L \end{bmatrix}$$