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# HbA1c: an independent risk factor for dysthyroid optic neuropathy

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**Background:** We aimed to explore the frequencies of islet  $\beta$ -cell autoantibodies and insulin resistance (IR) in thyroid-associated ophthalmopathy (TAO) and identify specific diabetes mellitus (DM) indicators as early predictors for dysthyroid optic neuropathy (DON).

**Methods:** Ninety-eight TAO patients (57 DON and 41 non-DON patients) and 48 healthy control (HC) participants were recruited for this prospective cross-sectional study. Serum thyroxine, serum thyroid autoantibodies, serum humoral immune markers against islet  $\beta$ -cell, fasting plasma glucose (FPG), fasting serum insulin (FINS), fasting c-peptide (FCP), and glycosylated hemoglobin A1 (HbA1c) were measured. Logistic regression analysis was used to evaluate the correlation of patients' age, body mass index (BMI), FPG, HbA1c, and related indexes of islet  $\beta$ -cell function to the occurrence of DON.

**Results:** The DON group had higher FPG ( $P < 0.001$ ,  $0.016$ ) and HbA1c ( $P < 0.0001$ ,  $P < 0.001$ ) levels than the HC and non-DON groups. The homeostasis model assessment (HOMA)-IR level was the highest in the DON group (HC  $2.15 \pm 0.89$ , non-DON  $2.41 \pm 1.24$ , and DON  $2.82 \pm 2.65$ ), while the HOMA- $\beta$  level was the lowest (HC  $101.8 \pm 44.75\%$ , non-DON  $102.9 \pm 54.61\%$ , and DON  $88.29 \pm 52.75\%$ ), with no significant differences ( $P = 1$ ,  $P > 0.05$ ). On univariate analysis, age ( $P = 0.006$ ), BMI ( $P = 0.022$ ), history of steroid use ( $P = 0.014$ ), FPG ( $P = 0.013$ ), and HbA1c ( $P = 0.001$ ) levels were significantly associated with the presence/absence of DON. In addition, after adjusting for potential confounds, the HbA1c level was an independent factor associated with DON ( $P = 0.009$ , OR = 4.012).

**Conclusions:** HbA1c is an independent risk factor for DON. Given the interconnected link between thyroid dysfunction and DM, the use of HbA1c as a potential biomarker for DON warrants further investigation.

## KEYWORDS

dysthyroid optic neuropathy, thyroid-associated ophthalmopathy, diabetes mellitus, HbA1c, risk factor

## Highlights

### What is known?

- DM and AITD are interconnected autoimmune endocrine diseases. The prevalence of combined thyroid dysfunction in patients with T2DM was reported to be 14.0% to 16.0%.
- Islet  $\beta$ -cell autoantibodies and function may play roles in thyroid dysfunction and TAO.

### What is new?

- Dysthyroid optic neuropathy (DON) patients might have higher HbA1c and fasting plasma glucose.
- HbA1c is an independent risk factor for DON in thyroid-associated ophthalmopathy.
- Given the inextricable link between thyroid dysfunction and diabetes mellitus, the use of HbA1c as a potential biomarker for DON warrants further investigation.

## Introduction

Thyroid-associated ophthalmopathy (TAO) is one of the most common orbital diseases closely related to thyroid dysfunction in adults (1). It presents a spectrum of clinical manifestations, such as exophthalmos, diplopia, high orbital pressure, vision loss, and even blindness (2, 3). Severe forms of the disease affect approximately 3~5% of TAO patients, accompanied by severe eye pain, inflammation, corneal ulceration, or dysthyroid optic neuropathy (DON) (4). This vexing and undertreated ocular disease dramatically affects quality of life.

TAO severity is the result of complex interactions of thyroid dysfunction (5). Thyroid dysfunction is a systemic endocrine disease that includes hyperthyroidism, hypothyroidism, and autoimmune thyroid dysfunction (AITD). AITD comprises two main clinical presentations: Graves' disease and Hashimoto's thyroiditis. Graves' disease is characterized by an overactive thyroid gland, leading to increased production of thyroid hormones. On the other hand, Hashimoto's thyroiditis causes an underactive thyroid gland, leading to decreased production of thyroid hormones. Large fluctuations in thyroid stimulating hormone (TSH) and thyrotropin receptor antibody (TRAb) levels indicate a high risk of inducing severe exophthalmos (6, 7).

Diabetes mellitus (DM) is a group of metabolic diseases characterized by hyperglycemia. It is mainly divided into type 1 diabetes mellitus (T1DM, immune-mediated diabetes, and islet  $\beta$ -cell destruction leading to absolute insulin deficiency, with positive autoantibodies) and type 2 diabetes mellitus (T2DM, ranging from predominantly insulin resistance (IR) with relative insulin deficiency to predominantly an insulin secretory defect with IR). The global DM prevalence was 9.3% (463 million people) in 2019, which is projected to increase to 10.2% (578 million) by 2030 and

10.9% (700 million) by 2045 (8). More than 110 million people are presently estimated to be affected by DM in China, which has the highest number of people with DM globally (9).

Increasing evidence has shown that DM and AITD are interconnected autoimmune endocrine diseases (10–12). AITD and T1DM are organ-specific T-cell-mediated diseases. The combination of the two is called the autoimmune polyglandular syndrome type 3 variant, which is a complex multifactorial syndrome (13). It has been shown that patients with thyroid dysfunction have a higher chance of developing DM and vice versa (11, 14–17). Approximately 17~30% of T1DM adults experience AITD (10). Serum islet  $\beta$ -cell autoantibodies are the main humoral immune markers of T1DM, including insulin autoantibodies (IAA), islet cell autoantibodies (ICA), glutamic acid decarboxylase antibodies (GADA), tyrosine phosphatase antibodies (IA-2A), and zinc transporter 8 (ZnT8A) (18, 19). Previous studies found that there was a prevalence of GADA and ICA antibodies in the serum of AITD patients (20, 21). A total of 600 Caucasian patients with recently diagnosed Graves' disease were characterized by a high prevalence of GADA antibodies (22). It implies that islet  $\beta$ -cell autoantibodies may also play a role in TAO.

In addition, the prevalence of combined thyroid dysfunction in patients with T2DM was reported to be 14.0% to 16.0% (23, 24). Patients with Graves' disease developed thyroid dysfunction and DM earlier than patients with primary hypothyroidism (25). The basal plasma glucose concentration in patients with Graves' disease was significantly higher than that in normal subjects (26). DM and smoking are major independent risk factors predictive of DON (27). T2DM with Graves' disease has more frequent and severe TAO (28, 29). IR status is a continuous non-specific inflammatory process, with increased levels of the inflammatory cytokines interleukin (IL)-6, IL-1 $\beta$ , IL22, and tumor necrosis factor- $\alpha$  (30). Meanwhile, these inflammatory cytokines are also involved in pathogenesis in TAO (31, 32). Smoking, obesity, and a lack of Adenosine 5'-monophosphate-activated protein kinase in adipocytes can aggravate IR and glucose tolerance (33). The crosstalk between the insulin-like growth factor-1 (IGF-1) receptor and the TSH receptor plays a vital role in the pathogenesis of Graves' disease and TAO (34, 35). IGFs may be biomarkers of pre-T1DM (36), and IGF-1 signaling is closely related to IR and DM (37). TSH, free thyroxine (FT4), free triiodothyronine (FT3), and dyslipidemia were significantly correlated with hyperglycemia and IR (38). IR and TAO are both non-specific inflammatory reactions with common roles in pathogenesis. Whether they crosstalk and influence each other has not been elucidated.

Among them, islet  $\beta$ -cell autoantibodies and function may play a role in thyroid dysfunction and TAO (39–41). Although several studies (27–29, 42) have reported an association between TAO and DM, the specific DM indicators that can be used as early biomarkers of DON remain unclear. The aim of this study was to investigate the frequencies of islet  $\beta$ -cell autoantibodies and IR in TAO in order to characterize the specific features of this group of endocrine patients and find risk factors for DON.

## Materials and methods

### Study participants

This was a prospective cross-sectional study conducted between August 2020 and June 2021 in the Department of Orbital Diseases of Zhongshan Ophthalmic Centre. This study was conducted following the Declaration of Helsinki, and written informed consent was obtained before any examination. Age- ( $\geq 18$  years) and sex-matched TAO (DON group and non-DON group) patients and healthy control subjects (HC group) were enrolled simultaneously. Exclusion criteria for the participants were as follows: any ocular disease, any autoimmune-related disease, and pregnant and breastfeeding women. Written informed consent was obtained prior to any examination. Our study was conducted according to the Declaration of Helsinki and approved by the Institutional Review Board of Zhongshan Ophthalmic Center (2016KYPJ028).

### TAO evaluation

Bartley's diagnostic criteria for Graves' orbitopathy were used to diagnose TAO (43). The activity and severity of TAO were evaluated in line with the EUGOGO Guidelines (44). TAO activity was measured by the clinical activity score (CAS). This score is based on whether the following seven items are present: spontaneous retrobulbar pain, pain on attempted upward or downward gaze, redness of eyelids, redness of the conjunctiva, swelling of caruncle or plica, swelling of eyelids, and swelling of the conjunctiva. A CAS  $\geq 3/7$  indicates active TAO. The severity was graded according to the NOSPECS classification (0= no symptoms or signs; I= only signs, no symptoms; II= soft tissue involvement; III= proptosis; IV= extraocular muscle involvement; V= corneal involvement; VI= sight loss, due to optic nerve involvement). DON was diagnosed based on a combination of clinical and radiological findings (45): 1) decreased best-corrected visual acuity (BCVA)  $\geq 2$  lines on a logMAR visual chart, relative afferent pupillary defect (RAPD) when unilaterally affected, impairment of color vision, visual field (VF) defect (mean deviation in Humphrey perimetry  $< -10$  dB), abnormal visual-evoked potential (VEP) test (latency delay and amplitude reduction), and apical orbital crowding in computed tomography (CT) or magnetic resonance imaging (MRI).

### Ophthalmic and systemic examinations

All subjects underwent comprehensive ocular examinations, including BCVA, Goldmann applanation tonometry, slit-lamp biomicroscopy, RAPD test, funduscopy, and eye movement evaluation. For all TAO patients, the following ancillary tests were performed: color vision, Hertel exophthalmos, CAS score, severity, VF (Humphrey Field Analyser II 750; Carl Zeiss Meditec,

Inc., Dublin, CA, USA), VEP (ESPION; Diagnosys LLC, Inc., Cambridge, UK), orbital CT (Mx8000 IDT; Philips, Amsterdam, The Netherlands), and/or MRI (Achieva 1.5T; Philips). Details of patients' DM history, thyroid dysfunction history, TAO history, medical history, glucocorticoid therapy history, smoking history, height, and weight were collected before the examination.

### Laboratory measurements

The participants fasted for 8-12 hours before having venous blood collected from the cubital vein the following morning (8-9 a.m.). Serum levels of total triiodothyronine (TT3), total thyroxine (TT4), FT3, FT4, TSH, TRAb, thyroid peroxidase antibody (TPOAb), and antithyroglobulin antibody (TgAb) were measured. Primary serum humoral immune markers against islet  $\beta$ -cell were measured, including IAA, ICA, GADA, IA-2A, and ZnT8A. Furthermore, fasting plasma glucose (FPG), fasting serum insulin (FINS), fasting c-peptide (FCP), glycosylated hemoglobin A1 (HbA1c), total serum cholesterol, and triglycerides were also recorded. Homeostasis model assessment (HOMA) has been widely used in the clinical evaluation of insulin sensitivity, insulin resistance level, and islet  $\beta$ -cell function because of its simplicity and convenience. We calculated the following indicators: HOMA-IR =  $FPG \times FINS / 22.5$ , HOMA- $\beta$  =  $20 \times FINS / (FPG - 3.5)$ , and HOMA-ISI =  $1 / \text{HOMA-IR}$  (46). All subjects fasted for more than 10 hours overnight without drinking alcohol or engaging in vigorous exercise within 24 hours. Experienced professional nurses uniformly took venous blood from the antecubital vein using appropriate blood collection tubes in the early morning.

### Statistical analysis

Continuous variables are described as the means and standard deviations (SD). Statistical analyses were performed using SPSS version 26.0 (IBM Corp, Armonk, NY, USA). The paired *t*-test or analysis of variance (ANOVA) with Bonferroni's test for comparisons was used to analyze the quantitative variables when the assumptions of normality and homogeneity of variance were satisfied; otherwise, the Mann-Whitney *U* test or the Kruskal-Wallis test was used. Differences between rates or ratios were determined using the  $\chi^2$  test. Univariate and multivariate regressions were conducted to analyze the factors associated with DON presence in TAO patients. A *P* value  $< 0.05$  was considered to be statistically significant.

## Results

### Demographics

A total of 146 participants were enrolled, with 98 TAO patients (57 developed DON and 28 bilateral DON patients) and 48 in the

TABLE 1 Demographic characteristics of all enrolled subjects.

Variables	HC (n=48)	non-DON (n=41)	DON (n=57)	P1	P2	P3	P4
Sex, n (%)	21 (43.75)	21 (51.22)	31 (54.39)				
Male	27 (56.25)	20 (48.78)	26 (45.61)	0.482	0.278	0.757	0.290
Female							
Age, years	47.54 ± 17.05	42.71 ± 13.25	50.38 ± 12.42	0.361	0.724	<b>0.037*</b>	0.999
Height, cm	161.75 ± 9.16	164.95 ± 6.92	163.12 ± 8.89	0.274	0.836	0.708	0.467
Weight, kg	63.41 ± 11.40	62.73 ± 10.50	65.27 ± 9.96	0.990	0.799	0.636	0.972
Body mass index, kg/m <sup>2</sup>	24.08 ± 2.63	23.01 ± 3.23	24.50 ± 2.95	0.337	0.896	0.077	0.979
<18.5, n (%)	1 (2.08)	3 (7.32)	1 (1.75)				
18.5-23.9, n (%)	25 (52.08)	20 (48.78)	23 (40.35)				
≥24, n (%)	15 (31.25)	13 (31.71)	19 (33.33)				
≥27, n (%)	7 (14.59)	5 (12.19)	14 (24.57)				
Smoking history, n (%)	13 (27.08)	13 (31.71)	23 (40.35)	0.633	0.154	0.381	0.246
Hypertension, n (%)	7 (14.58)	10 (24.39)	19 (33.33)	0.241	<b>0.027*</b>	0.339	<b>0.048*</b>
Diabetes mellitus, n (%)	0 (0.0)	11 (26.83)	15 (26.32)	<b>&lt;0.0001</b> ****	<b>&lt;0.0001</b> ****	0.955	<b>&lt;0.0001</b> ****
Hyperlipidemia, n (%)	2 (4.17)	11 (26.83)	19 (33.33)	<b>0.002**</b>	<b>&lt;0.001</b> ***	0.491	<b>&lt;0.001</b> ***
Initial thyroid function	–	33 (80.49)	50 (87.72)	–	–	0.611	–
Hyperthyroidism, n (%)	–	6 (14.63)	5 (8.77)	–	–	–	–
Hypothyroidism, n (%)	–	2 (4.88)	2 (3.51)	–	–	–	–
Euthyroidism, n (%)	–	–	–	–	–	–	–
Duration of TD, years	–	6.34 ± 4.32	5.34 ± 2.92	–	–	0.559	–
Duration of TAO, years	–	2.49 ± 2.11	2.08 ± 2.12	–	–	0.374	–
History of steroid use							
n (%)	–	21 (51.22)	43 (71.93)	–	–	<b>0.013*</b>	–
total dose (gram)	–	3.75 ± 3.02	3.87 ± 1.28	–	–	0.051	–
time interval (months)	–	4.85 ± 2.69	4.61 ± 2.98	–	–	0.817	–

HC, healthy control; DON, dysthyroid optic neuropathy; TD, thyroid dysfunction; TAO, Thyroid-associated ophthalmopathy; time interval: time interval from the last steroid use to blood collection; P1, HC versus non-DON; P2, HC versus DON; P3, non-DON versus DON; P4, HC versus total TAO; P values<0.05 are in bold.

\*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, \*\*\*\*p<0.0001.

–" represents not applicable.

HC group. The demographic data of the patients are displayed in Table 1. There were no differences in sex distribution, height, weight, body mass index (BMI), or smoking history among the three groups. However, compared with the non-DON group, patients with DON had a higher mean age (50.38 ± 12.42 vs. 42.71 ± 13.25, P=0.037). The DON group had more patients with a BMI≥27 than the non-DON group (24.57% vs. 12.19%, P=0.077). The DON and total TAO groups had more hypertension than the HC group (P=0.027, P=0.048). T1DM was not found in our included patients. The total TAO group had higher numbers than the HC group for DM (26.53% vs 0, P<0.0001), hypertension (29.59% vs 14.58%, P=0.048), and hyperlipidemia (30.61% vs 4.17%, P<0.001). In the non-DON and DON group comparisons, no significant differences were found in the type of initial thyroid function, thyroid, or eye disease duration. The DON group had a higher frequency of steroid use (71.93% vs 51.22%, P=0.013); however, there was no significant statistical difference between them in the average steroid usage or time interval from the last steroid use to blood collection (P= 0.051, P= 0.817).

## Ocular characteristics

A total of 88 healthy, 98 non-DON, and 78 DON eyes were included in the analysis (Table 2). Compared with the three groups, the DON group had significantly worse BCVA (P<0.0001). The exophthalmometry value was not significantly different between the non-DON and DON groups. Although IOP and CAS were quite different in the non-DON and DON comparisons, both IOPs were in the normal range, and the CAS mean values were below three points. Therefore, there were no clinical differences between the two groups.

## Laboratory measurements

Table 3 compares the laboratory findings obtained from all the subjects. The TRAb level was higher in the DON group than in the non-DON group (P=0.043), while the TT3, TT4, FT3, FT4, TSH, TgAb, and TPOAb levels were not significantly different. The DON group had a higher FPG level than the HC and non-DON groups

TABLE 2 Clinical characteristics of all included eyes.

Variables	HC (n= 88)	non-DON (n= 98)	DON (n= 78)	P1	P2	P3	P4
BCVA, logMAR	0.00 ± 0.05	0.13 ± 0.23	0.70 ± 0.66	<b>&lt;0.0001****</b>	<b>&lt;0.0001****</b>	<b>&lt;0.0001****</b>	<b>&lt;0.0001****</b>
IOP, mmHg	15.86 ± 2.98	17.41 ± 3.78	19.60 ± 5.69	0.088	<b>&lt;0.0001****</b>	0.125	<b>&lt;0.001***</b>
Exophthalmometry value, mm	-	19.47 ± 3.69	19.71 ± 3.49	-	-	0.488	-
CAS	-	0.55 ± 0.75	1.33 ± 1.29	-	-	<b>&lt;0.0001****</b>	-

BCVA, best-corrected visual acuity; IOP, intraocular pressure; CAS, clinical activity score.  
 P1, HC versus non-DON; P2, HC versus DON; P3, non-DON versus DON; P4, HC versus total TAO; P values<0.05 are in bold.  
 \*\*\*p < 0.001, \*\*\*\*p<0.0001.  
 "-" represents not applicable.

TABLE 3 Laboratory characteristics of all enrolled subjects.

Variables	HC (n= 48)	non-DON (n= 41)	DON (n= 57)	P1	P2	P3	P4
TT3 (1.3-3.1 nmol/l)	-	1.89 ± 0.69	2.01 ± 0.71	-	-	0.079	-
TT4 (66-181 nmol/l)	-	106.45 ± 27.73	106.94 ± 32.21	-	-	0.925	-
FT3 (3.1-6.8 pmol/l)	-	5.21 ± 1.06	5.57 ± 3.17	-	-	0.920	-
FT4 (12-22 pmol/l)	-	17.16 ± 4.07	17.42 ± 6.69	-	-	0.849	-
TSH (0.27-4.2 µIU/ml)	-	2.41 ± 3.56	3.13 ± 5.71	-	-	0.589	-
TgAb (0-30 IU/ml)	-	179.77 ± 513.66	236.32 ± 653.23	-	-	0.384	-
TPOAb (≤34.0 IU/ml)	-	68.94 ± 139.23	67.30 ± 125.67	-	-	0.349	-
TRAb (≤1.75 IU/l)	-	8.27 ± 16.52	27.04 ± 61.69	-	-	<b>0.043*</b>	-
FPG (4.1-5.9 mmol/l)	5.35 ± 0.39	5.51 ± 0.68	6.05 ± 1.15	1	<b>&lt;0.001***</b>	<b>0.016*</b>	<b>0.019*</b>
HbA1c (3-6%)	5.48 ± 0.75	5.79 ± 0.49	6.47 ± 1.02	0.089	<b>&lt;0.0001****</b>	<b>&lt;0.001***</b>	<b>&lt;0.0001****</b>
FCP (0.26-1.39 nmol/l)	0.62 ± 0.15	0.64 ± 0.20	0.66 ± 0.28	1	1	1	1
FINS (3.08-15.59 µU/mL)	9.01 ± 3.53	9.69 ± 4.59	10.23 ± 7.47	1	1	1	1
HOMA-IR	2.15 ± 0.89	2.41 ± 1.24	<b>2.82 ± 2.65</b>	1	1	1	1
HOMA-ISI	0.57 ± 0.33	0.64 ± 0.69	0.76 ± 2.02	1	1	1	1
HOMA-β (%)	101.8 ± 44.75	102.9 ± 54.61	<b>88.29 ± 52.75</b>	1	0.429	0.906	1
Antibodies	0	0	0	-	-	-	-
IAA, n (%)	0	0	0				
ICA, n (%)	1 (2.08)	0	1 (1.75)				
GADA, n (%)	0	0	0				
IA-2A, n (%)	0	0	0				
ZnT8A, n (%)	0	0	0				
total serum cholesterol (<5.2 mmol/l)	4.93 ± 0.76	5.08 ± 0.83	5.52 ± 0.86	0.824	<b>0.002**</b>	0.052	<b>0.029*</b>
triglycerides (<1.69 mmol/l)	0.77 ± 0.34	0.96 ± 1.11	1.04 ± 0.66	1	0.471	0.131	1

Data indicate mean values ± SD.  
 P1, HC versus non-DON; P2, HC versus DON; P3, non-DON versus DON; P4, HC versus total TAO; P values<0.05 are in bold.  
 \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, \*\*\*\*p<0.0001.  
 "-" represents not applicable.

( $P < 0.05$ ). The differences were more considerable with regard to the HbA1c level (both  $P < 0.001$ ). However, there were no significant differences between the three groups in FCP ( $P = 1$ ), FINS ( $P = 1$ ), HOMA-IR ( $P = 1$ ), HOMA- $\beta$  ( $P > 0.05$ ), HOMA-ISI ( $P = 1$ ), or triglyceride ( $P > 0.05$ ) levels. Interestingly, the HOMA-IR level was highest in the DON groups than in the other two groups (HC  $2.15 \pm 0.89$ , non-DON  $2.41 \pm 1.24$ , and DON  $2.82 \pm 2.65$ ), while the HOMA- $\beta$  level was lowest (HC  $101.8 \pm 44.75\%$ , non-DON  $102.9 \pm 54.61\%$ , and DON  $88.29 \pm 52.75\%$ ). One patient in each of the NC and DON groups was GADA-positive, while IAA, ICA, IA-2A, and ZnT8A positivity was not found. In addition, the DON group seemed to have a higher total serum cholesterol level than the other two groups ( $P = 0.002, 0.052$ ).

Then, to clarify whether these diabetes-related indicator differences only existed in DM patients or were also applicable to non-DM patients, we conducted a statistical analysis for patients with and without DM, respectively (Table 4). The results showed that the FPG, FCP, and FINS levels in non-DM patients were all within the normal reference range. The HbA1c level of the DON group was significantly higher than that of the non-DON group in both non-DM patients ( $P = 0.020$ ) and DM patients ( $P < 0.0001$ ). The average time interval of the two groups exceeded 3 months, and there was no statistical difference between the two groups ( $P = 0.817$ ). HbA1c reflects the average blood glucose level 2 to 3 months before the test and is unaffected by diet or exercise. Therefore, steroid usage history may have little effect on HbA1c in our current study. The DON with DM group had a higher FPG level than the non-DON with DM group ( $P = 0.023$ ). DON with DM patients showed the highest HOMA-IR and the lowest HOMA- $\beta$ , which indicated that individual insulin resistance increased and islet  $\beta$  cell function decreased.

## Factors associated with DON presence in TAO patients

To identify factors associated with DON presence in TAO patients, we performed a multivariate analysis using only those

significant factors in univariate analysis (Table 5). On univariate analysis, age ( $P = 0.006$ ), BMI ( $P = 0.022$ ), history of steroid use ( $P = 0.014$ ), levels of FPG ( $P = 0.013$ ), HbA1c ( $P = 0.001$ ), and total serum cholesterol ( $P = 0.016$ ) were significantly associated with the presence/absence of DON. After adjusting for other significant factors in multivariate analysis, the HbA1c level was an independent factor related to DON presence in TAO patients, with  $P = 0.009$  and odds ratio (OR) = 4.012 [95% confidence interval = 1.414–11.382].

## Discussion

The exact pathogenesis of DON remains unclear but might be related to the mechanical compression.

from the enlarged extraocular muscles and excessive soft tissue (47). Among the various theories, the leading hypothesis at present is that the optic nerve is compressed directly by enlarged extraocular muscles (EOMs) located at the orbital apex. In this study, we present data on the serum levels of selected diabetes-related indicators and their changes associated with TAO and DON. Previous studies have shown that DM is a risk factor for TAO (27, 28, 42). The prevalence of T1DM in patients with TAO is higher than that in healthy individuals (42). TAO patients with DM had more DON and had a worse visual prognosis than the whole TAO group (42). Furthermore, TAO can be more frequent and severe in T2DM patients and is significantly related to obesity and is the course of diabetes and diabetic vasculopathy (28). Treatment of T2DM can affect the onset and progression of TAO, including thiazolidinediones (48) and biguanides (49).

Islet autoantibodies exist in 6.4% of T2DM overweight/obese adults, including those who are severely obese and have unique clinical characteristics, and islet autoantibodies play a role in predicting insulin demand for T2DM (50). In our study, only one case of GADA positivity was found in each of the HC and DON groups. No positive IAA, ICA, IA-2A, or ZnT8A expression was found. However, in previous studies, it was found that patients with

TABLE 4 Laboratory characteristics of TAO subjects.

	(A) non-DON with non-DM (n=30)	(B) non-DON with DM (n=11)	(C) DON with non-DM (n=42)	(D) DON with DM (n=15)	Group A vs C P value	Group B vs D P value
HbA1c (3-6%)	5.64 $\pm$ 0.35	6.21 $\pm$ 0.60	6.07 $\pm$ 0.41	7.59 $\pm$ 1.37	<b>0.020*</b>	<b>&lt;0.0001****</b>
FPG (4.1-5.9mmol/l)	5.31 $\pm$ 0.44	6.07 $\pm$ 0.91	5.69 $\pm$ 0.49	7.03 $\pm$ 1.77	0.120	<b>0.023*</b>
FCP (0.26-1.39nmol/l)	0.62 $\pm$ 0.19	0.69 $\pm$ 0.22	0.63 $\pm$ 0.18	0.76 $\pm$ 0.45	1	1
FINS (3.08-15.59 $\mu$ U/mL)	9.37 $\pm$ 4.45	10.56 $\pm$ 5.07	9.24 $\pm$ 4.03	13.02 $\pm$ 12.81	1	1
HOMA-IR	2.22 $\pm$ 1.23	2.89 $\pm$ 1.47	2.33 $\pm$ 1.02	<b>4.18 <math>\pm</math> 4.73</b>	1	1
HOMA-ISI	0.68 $\pm$ 0.77	0.51 $\pm$ 0.44	0.53 $\pm$ 0.27	1.43 $\pm$ 3.94	1	1
HOMA- $\beta$ (%)	108.70 $\pm$ 56.00	87.16 $\pm$ 49.65	89.79 $\pm$ 46.80	<b>84.09 <math>\pm</math> 68.50</b>	0.918	1

Data indicate mean values  $\pm$  SD. P-values  $< 0.05$  are in bold.

\* $P < 0.05$ , \*\*\*\* $P < 0.0001$ .

TABLE 5 Logistic regression analysis with the presence of DON as the dependent variable.

Independent variables	Univariate regression analysis		Multivariate regression analysis	
	OR (95% CI)	P-value	OR (95% CI)	P-value
Age	<b>1.048 (1.013-1.084)</b>	<b>0.006**</b>	1.021 (0.979-1.064)	0.337
Body mass index	<b>1.172 (1.023-1.342)</b>	<b>0.022*</b>	1.120 (0.948-1.323)	0.183
Smoking history	1.457 (0.626-3.389)	0.382	–	–
Hypertension	1.550 (0.630-3.815)	0.340	–	–
Diabetes mellitus	0.974 (0.393-2.415)	0.955	–	–
Hyperlipidemia	1.364 (0.564-3.299)	0.491	–	–
History of steroid use	<b>2.925 (1.238-6.909)</b>	<b>0.014*</b>	2.516 (0.905-6.990)	0.077
FPG	<b>2.259 (1.185-4.305)</b>	<b>0.013*</b>	1.332 (0.572-3.105)	0.506
HbA1c	<b>5.819 (2.132-15.880)</b>	<b>0.001***</b>	<b>4.012 (1.414-11.382)</b>	<b>0.009**</b>
FCP	1.567 (0.295-8.308)	0.598	–	–
FINS	1.014 (0.949-1.083)	0.679	–	–
HOMA-IR	1.115 (0.876-1.420)	0.377	–	–
HOMA-ISI	1.058 (0.793-1.411)	0.700	–	–
HOMA- $\beta$	0.995 (0.987-1.002)	0.187	–	–
total serum cholesterol	<b>1.889 (1.129-3.162)</b>	<b>0.016*</b>	1.847 (0.979-3.486)	0.058
triglycerides	1.115 (0.687-1.811)	0.659	–	–

OR, Odds ratio; 95%CI, 95% confidence interval. P values<0.05 are in bold.

\*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001.

“–” represents not applicable.

AITD (866 patients), especially those with Graves' disease, are prone to develop  $\beta$ -cell autoimmunity and insulin-requiring diabetes, particularly those with a high titer of GADA and/or who are positive for both GADA and IA-2A (51). In 92 cases of AITD, 15% of patients were found to produce specific IAA (52). This difference may be due to the different compositions of thyroid dysfunction in our included study subjects.

IR is a common risk factor for various pathophysiological states and diseases, such as T2DM, hypertension, metabolic syndrome, cardiovascular disease, and lipid metabolism disorders. T2DM and thyroid dysfunction are both dysfunctional metabolic diseases, and their combination makes the condition more complicated and increases the risk of cardiovascular disease, which makes clinical treatment difficult. HOMA gives an estimate of basal insulin resistance (HOMA-IR, used to evaluate individual insulin resistance levels; HOMA-ISI, used to evaluate individual insulin sensitivity; HOMA- $\beta$ , used to evaluate individual islets  $\beta$  indicators of cell function) (53). Decreased islet  $\beta$ -cell function was observed, and 34.7% had persistent abnormalities during follow-up (54). In our study, we did not observe any significant differences in HOMA-IR, HOMA- $\beta$ , or HOMA-ISI. However, DON with DM patients showed the highest HOMA-IR and the lowest HOMA- $\beta$ . This suggests that DON with DM patients' IR increased and islet  $\beta$  cell function decreased. In addition, the decrease in HOMA- $\beta$  was also observed in DON with non-DM patients. Whether DON patients have a decrease in early islet  $\beta$  cell function or IR needs further

study. HOMA indicators deserve to be paid attention to in a large sample population.

We noted substantial differences in FPG and HbA1c levels between the HC and TAO groups, as well as in the non-DON and DON groups. The HbA1c level of the DON group was significantly higher than that of the non-DON group in both non-DM and DM patients. The DON with DM group had a higher FPG level than the non-DON with DM group. Similar to a study of 40 hyperthyroid patients, FPG and HOMA-IR tended to be higher than euthyroidism, indicating the existence of IR in hyperthyroidism (55). Abnormal glucose tolerance is a critical metabolic outcome in patients with Graves' disease. A previous study showed that baseline HbA1c levels were found to be significantly higher in hypothyroid patients (56). In our included objects, we did not find that the HbA1c of hypothyroidism patients was higher than that of non-hypothyroidism patients, which may be related to the small number of people we included (data not shown). Moreover, most of the TAO patients treated in our hospital have already undergone thyroid function adjustment in the endocrine department, which may affect the level of HbA1c. HbA1c levels were decreased by thyroid hormone replacement (57). On univariate analysis, age, BMI, history of steroid use, and levels of FPG, HbA1c, and total serum cholesterol were significantly associated with the presence/absence of DON. Intriguingly, in a multivariate analysis, HbA1c was significantly associated with the presence/absence of DON after adjusting for clinical factors. In addition, HbA1c levels are

dependent not only on the average blood glucose levels over the preceding 2-3 months but also on the turnover of erythrocytes (58). Disorders characterized by altered erythrocyte turnover can cause HbA1c perturbations independent of the glycemic status by changing the relative percentages of young and old erythrocytes in peripheral blood, thereby affecting the mean age of the RBCs in circulation (59). Regarding hyperthyroidism, a faster turnover would be anticipated to decrease the average age of red blood cells in circulation, resulting in an erroneous decrease of HbA1c in relation to the level of glycemia. Therefore, the use of HbA1c as a potential biomarker for DON warrants further investigation.

Some limitations in this study need to be noted. First, our study was a cross-sectional study. TAO patients were not followed up to assess whether there was an association between blood glucose and lipid-related indicators and changes in eye disease. Second, we did not perform the appropriate tests for abnormal glucose tolerance (i.e., OGTT). The levels of HbA1c, FPG, and the frequency of IR in each group need to be further explored by expanding the sample size. Third, we included only patients who visited or were referred to a single university hospital, which may have introduced selection bias.

In conclusion, age, BMI, and levels of FPG, HbA1c, and CHOL were closely related to DON. HbA1c is an independent risk factor for DON. Islet  $\beta$ -cell autoantibodies and IR were not found to be significantly different between the HC and TAO groups. However, the level of HOMA-IR and HOMA- $\beta$  was abnormal in DON patients. Coexisting abnormal HbA1c may predict the worsening of visual function in TAO patients. Given the interconnected link between thyroid dysfunction and DM, the use of HbA1c as a potential biomarker for DON warrants further investigation. In short, to a certain extent, thyroid dysfunction/TAO and DM are interdependent and influence each other in the occurrence and development of diseases, which play a crucial role in patients' quality of life.

## Data availability statement

The datasets generated during and analyzed during the current study are not publicly available but are available from the corresponding author on reasonable request.

## Ethics statement

The studies involving humans were approved by The Institutional Review Board of Zhongshan Ophthalmic Center

(2016KYPJ028). The studies were conducted in accordance with the local legislation and institutional requirements. The participants provided their written informed consent to participate in this study.

## Author contributions

Data curation, XW; Formal analysis, HJY and RXC; Investigation, XW; Methodology, SLY and TZ; Project administration, HSY; Software, HJY and SLY; Supervision, HSY; Validation, WX; Writing – original draft, XW; Writing – review and editing, HJY, RXC, and HSY. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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