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# Development and observation of a three-dimensional scanning coaxial Mie lidar for dynamic monitoring of near-surface aerosol plumes

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Accurate three-dimensional spatiotemporal distribution information on near-surface aerosols is of great significance for environmental research. In this study, a 3D scanning coaxial Mie lidar (3D-STML) was developed to achieve a fast three-dimensional scanning observation of aerosol diffusion processes in near-surface areas. 3D-STML generates high-spatiotemporal resolution images of aerosol extinction coefficient in real-time and captures the dynamic changes of aerosols in near real-time. By optimizing the design of the light guide mirror and the telescope sub-mirror, the system has a small overlap. Based on this, a highly stable and high-speed mechanical rotation mechanism was developed to enable three-dimensional observations. The integration of a solid-state high-repetition-rate pulsed laser and a coaxial, optical system for the transmitter and receiver ensures rapid tracking of aerosol plumes. To meet the observation requirements of near-surface aerosols, an aerosol inversion algorithm combining the Fernald and Klett methods was designed and developed. For aerosol plume monitoring needs, an aerosol plume-tracking algorithm based on Kalman filtering was developed to track the spatiotemporal evolution of aerosols automatically. Experimental results demonstrated that 3D-STML is capable of detecting aerosols in a range from 15 m to 4 km, with a distance resolution of 1.5 m and a time resolution of 0.083 s. It can effectively track and capture aerosol plumes. It can be used for large-scale, long-term observation of near-surface aerosols and for monitoring the spatiotemporal evolution of aerosol plumes.

## KEYWORDS

lidar, near-surface aerosols, aerosol extinction coefficients, environmental monitoring, aerosol plumes

## 1 Introduction

Aerosols are mixtures formed by solid or liquid microscopic particles suspended in a gas, typically ranging in diameter from a few nanometers to several tens of micrometers (Luc. Van Vaeck and Van Cauwenberghe, 1985; A. G. Clarke et al., 1999). Industrial emissions, vehicle exhaust, and other activities release many pollutants, some of which form aerosols. These aerosols disperse and transport in the atmosphere, leading to environmental pollution and health

issues. Understanding aerosols' transport processes and behavior in the atmosphere is of significant importance in revealing the sources of pollutants, their pathways of propagation, and the interplay between different regions (Talukdar and Maitra, 2019; Xie et al., 2020).

Due to the combined effects of animal and plant activities, meteorological conditions, and human factors, the spatiotemporal distribution patterns of near-surface aerosols are often highly complex (Ramanathan et al., 2001). Additionally, the near-surface region, a concentrated area of human activities and pollution sources, presents challenges for observation. Factors such as high population density, urban structures, and topography can interfere with aerosols' transport and dispersion processes, making their observation more difficult. Passive observation instruments such as spectrometers and mass spectrometers can provide long-term stable aerosol observation data (H. Tammet et al., 1998; Allan et al., 2003). However, these instruments are generally limited in their detection range, and deploying them over large areas incurs high costs. Unmanned aerial vehicles equipped with aerosol sensors can adjust their observation paths and altitudes flexibly to obtain more accurate data on aerosols' vertical or horizontal distribution (James M. Brady et al., 2016). Nevertheless, unmanned aircraft observation is limited by flight time and payload capacity, and the coverage range needs to be improved. Satellite remote sensing can provide extensive information on the spatiotemporal distribution of aerosols (Zhang et al., 2021; Xu et al., 2022). However, it measures the aerosol optical thickness of the entire atmospheric layer. It is subject to limitations in remote sensing resolution, cloud cover, and temporal gaps, thus posing constraints on detailed observations of near-surface regions.

Atmospheric lidar is an active optical remote-sensing technology with a wide measurement range, good real-time performance, and strong anti-interference capability (Thomas, 1991; Yang et al., 2023). It has been applied for aerosol observations. Existing atmospheric lidars generally adopt off-axis optical systems, which result in a large blind zone near the surface due to the overlap effect (Wandinger and Ansmann, 2002). They are mainly used for long-term directional observations of the middle and upper atmospheres (Sassen, 1991; Jinhuan and Hongbin, 2004). Regarding near-surface aerosol observations, the following work has been done: Yan et al. (2013) developed a portable micro-pulse Mie scattering lidar and a three-dimensional scanning system based on a coaxial optical path, which is used to observe atmospheric boundary layer structures, clouds, and aerosol properties. Wang et al. (2020) developed a blind-zone-free scanning lidar based on a dual field-of-view (FOV) receiving system, which is used to locate industrial pollution sources on the ground. Chen et al. (2021) developed a polarized micropulse lidar (P-MPL) to analyze the characteristics of aerosols and cloud layers during short-term rainfall, convective roll clouds, and haze processes. Many scholars generally adopt vertical observations and attempt to describe the long-term dynamic changes of local aerosols, which play a key role in aerosol pollution prevention and control. In this study, aiming at the complex near-surface environment and a wide range of aerosol sources, a lidar system was developed by combining algorithms and hardware. It has the characteristics of low energy, a small observation blind zone, good real-time performance, and the ability to track aerosol plumes in three dimensions dynamically. It can provide more

detailed and accurate spatiotemporal information on atmospheric aerosol evolution and provide strong support for environmental monitoring, climate research, and air quality assessment.

This article introduces the 3D-STML system, which uses a solid-state high-repetition-rate pulsed laser, designs a co-axial optical path for integrated transmission and reception, and develops a three-dimensional scanning mechanical device. In addition, aerosol inversion algorithms and aerosol plume-tracking algorithms were developed specifically to monitor near-surface aerosols. The remainder of this article is organized as follows: Section 2 introduces the framework of the 3D-STML system, the principles of the algorithms used, and the performance parameters of each component. Section 3 describes the observational experiments conducted using the 3D-STML system, including a comparison observation in Hefei with the commercial product LVIS-T100, observations of spatiotemporal aerosol evolution in Qingdao, and observations of aerosol plume-tracking. The final section presents the concluding remarks.

## 2 Materials and methods

### 2.1 System structure

The system structure of the 3D-STML comprises two main components: an optical system and a data acquisition and control system, as shown in Figure 1. The components labeled as 1, 064 (3, 8, 10) are optional elements reserved during the system design to enhance the detection performance of the system.

The laser emission unit consists of a laser and an emission lens group. It uses a diode-pumped, high-frequency, low-energy, solid-state laser, which is essential to the system's operation.

The optical receiving unit comprises a primary telescope mirror, a pinhole optical grid, and subsequent optical systems. It features a coaxial lens system for both laser emission and signal reception. It serves both as a beam expander for laser emission and as a mirror for receiving echo signals, enabling a compact lidar system structure. After the backscattered photons reach the detector, they are converted into electrical pulse signals. These signals are accumulated and chronologically counted by a photon counter and then stored in a data storage unit. This system utilizes asynchronous accumulation, which means that all data points are stored in the internal memory when a pulse cycle occurs, and then, the accumulation process occurs. Although this method requires specific accumulation procedures to be executed within each pulse cycle, resulting in a limited maximum collection depth, it is relatively simple to implement and can quickly meet timing requirements. A computer reads and displays these data in real-time as echo curves and detection data.

A coaxial design has many advantages in the working scenario of 3D-STML (three-dimensional scanning and tracking of aerosol plumes). According to relevant simulation studies, compared to a dual-axis laser radar, a coaxial laser radar has a smaller blind zone (Shiina, 2010; Hao et al., 2013). This means that it can provide more data closer to the earth's surface. Additionally, adopting a coaxial optical path can reduce the number of components, making the radar system more compact and reducing the engineering implementation difficulty of the

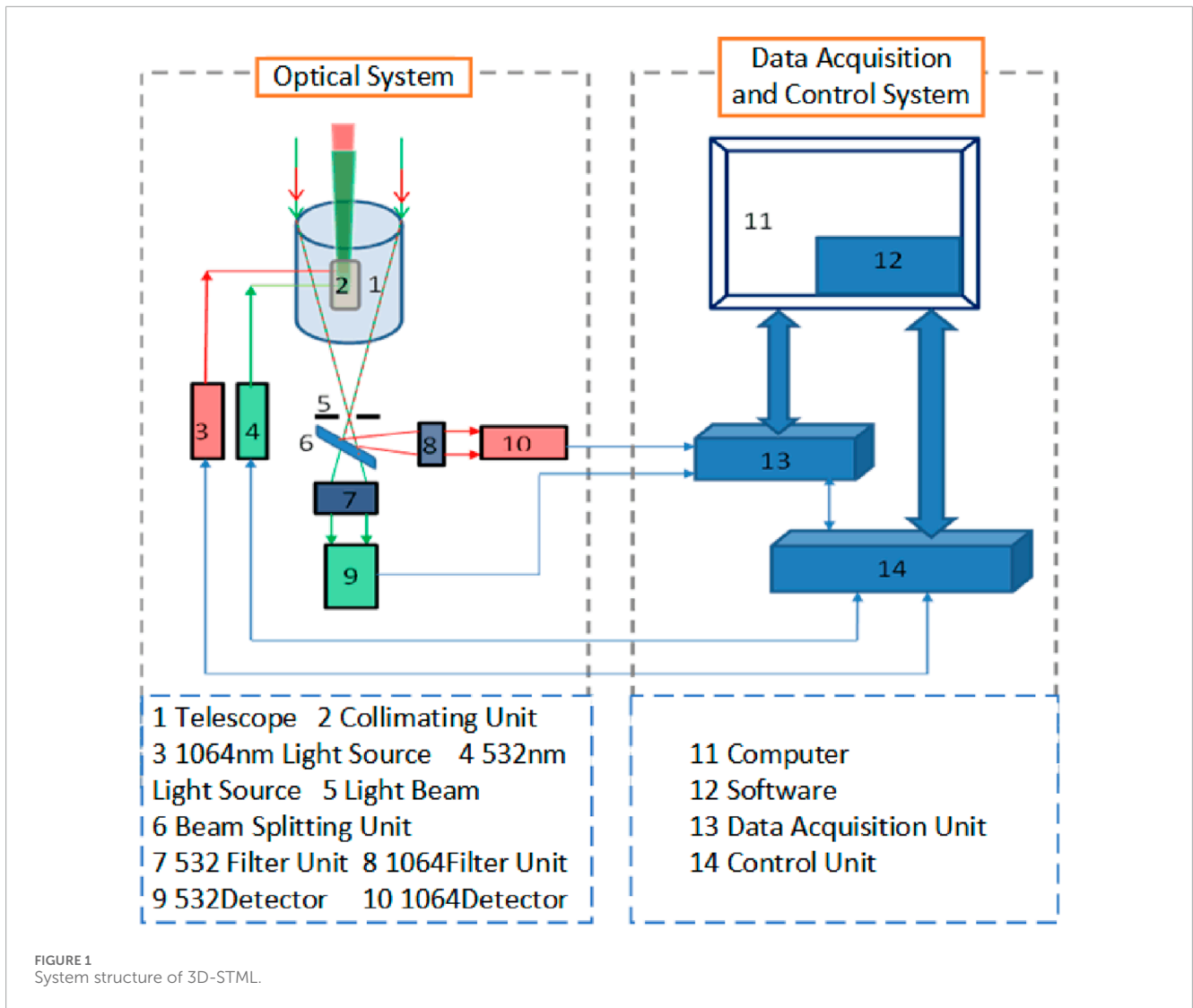


FIGURE 1 System structure of 3D-STML.

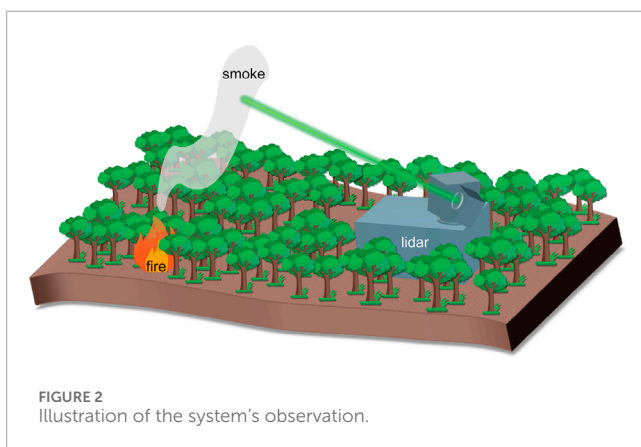


FIGURE 2 Illustration of the system's observation.

three-dimensional scanning device (Rodrigo and Pedersen, 2013). Finally, in scenarios where aerosol plumes need to be tracked and scanned, the environmental conditions change rapidly. A non-coaxial design would introduce more uncertainty due to differences in environmental conditions along the emission and reception paths.

TABLE 1 Transient recorder system parameters.

Measured item	Performance parameter
Sampling rate	100 MHz
Noise and ripple voltage amplitude	0.8mV
Preamplifier bandwidth	300MHz
Acquisition dynamic range	107 dB

The lidar operation is fully automated through software-controlled circuits, increasing system efficiency and reducing human error. The coaxial design of the laser emitter and optical receiver minimizes the errors and instabilities typically associated with non-coaxial systems, thereby increasing the accuracy of the observations. The signal detection and acquisition device plays a critical role in processing the returned signals to ensure the precision of the observed results. Based on this, we have also designed a three-dimensional scanning device that can be controlled

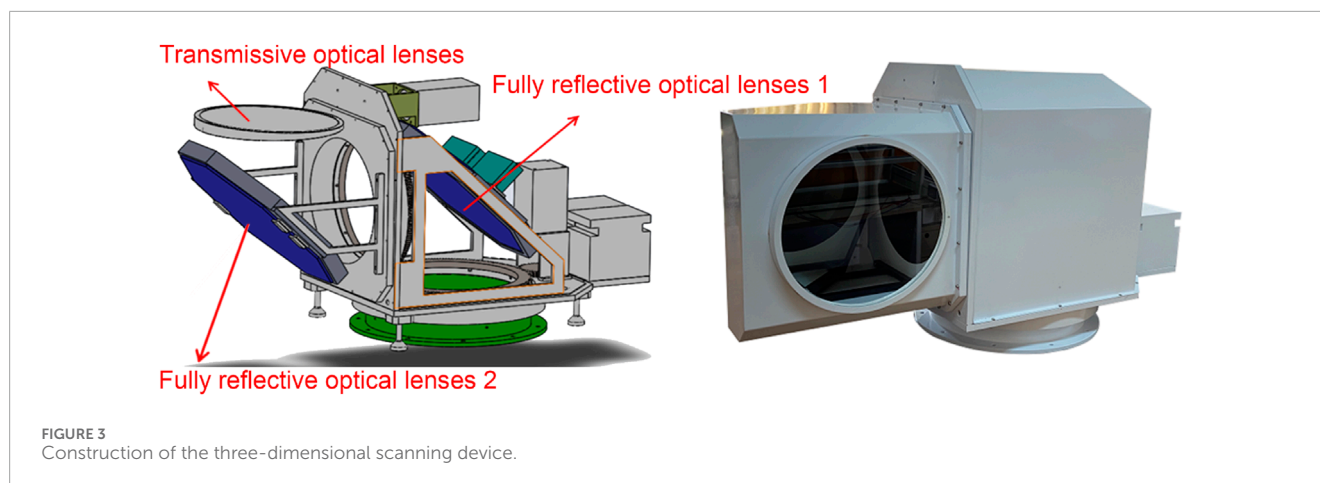


FIGURE 3  
Construction of the three-dimensional scanning device.

by a program to automate the observation direction. Ultimately, this innovative design allows the 3D-STML to produce real-time images of aerosol extinction coefficients with a high spatiotemporal resolution, making it highly effective for long-term monitoring of near-surface aerosols. The 3D-STML system typically performs horizontal scanning observations of the target area. It conducts three-dimensional tracking scanning observations after detecting aerosol plumes (such as smoke and dust generated from wildfires), as shown in the [Figure 2](#).

## 2.2 Technical parameters

The laser in this system works at a wavelength of 532 nm, with a laser pulse energy of  $10 \mu\text{J}$  and a repetition frequency of 2,500 Hz.

The transient recorder in this system utilizes a hybrid technology that combines single-photon counting with analog-to-digital conversion. This advanced approach ensures both high precision and a broad range of signal intensities from detected targets. The performance parameters of the system are detailed in [Table 1](#).

The three-dimensional scanning device is a crucial element of the lidar system for capturing spatial information about the targets. It primarily comprises two octagonal 532-nm total reflection optical lenses and one 240-mm transmission lens, and its overall weight is approximately 100 kg. Its construction is illustrated in [Figure 3](#).

The device utilizes a stepper motor for accurate rotational control, adjusting the number of control pulses and their emission frequency to regulate angular displacement and velocity. Specifically, this project utilizes a two-phase 42-stepper motor connected to the rotating turntable through a worm gear drive mechanism. The stepper motor features a step angle of  $1.8^\circ$ , and the motor controller can achieve up to 16 subdivisions, resulting in a minimum angle of  $0.1125^\circ$ . With a gear ratio of 180:1, a full 360-degree turntable rotation requires 576,000 pulses. By meticulously controlling the pulse count, we can fine-tune the scanning angle resolution of the lidar, ensuring uniform spacing between measurement points. The performance parameters of this setup are detailed in [Table 2](#).

Finally, all components are integrated into a cabin. The internal layout and dimensions of the 3D-STML are shown in [Figure 4](#). The overall weight is approximately 2 tons.

TABLE 2 Performance parameters of the 3D scanning device.

Measured item	Performance parameter
Scanning range	Horizontal $0^\circ$ – $180^\circ$
	Vertical $-10^\circ$ – $90^\circ$
Scanning speed	$\geq 5.5^\circ/\text{s}$
Scanning accuracy	$\leq 0.4^\circ$
Angular resolution	$\leq 0.4^\circ$
When two scanning mirrors rotate, the optical axis swings	$\leq 5'$

## 2.3 Inversion algorithms

When conducting three-dimensional scanning observations of aerosols, the concentration in the near field is much higher than that in the far field. Even under vertical observation conditions, reaching the clean atmospheric layer is difficult, making it impossible to use the classical Fernald method for calculation ([Fernald, 1984](#); [Li et al., 2015](#)). Although the Klett method does not require calibration of the detection height, it can be affected by various factors under complex atmospheric conditions, such as the non-sphericity of aerosol particles and variations in the atmospheric structure, resulting in less accurate inversion results ([Klett, 1981, 1983](#)). Considering the observation scenario and the distance, we propose a fusion algorithm combining the Fernald and Klett methods: first, the extinction coefficient of the lidar is inverted using the Klett method, and then, based on the distribution of the extinction coefficient, the scattering signal at the farthest distance is selected as the calibration height for the Fernald method. This approach allows us to obtain more reliable and accurate aerosol concentration inversion results by leveraging the advantages of both methods under complex atmospheric conditions. The algorithm flowchart is shown in [Figure 5](#). The specific principles of the algorithm are as follows:

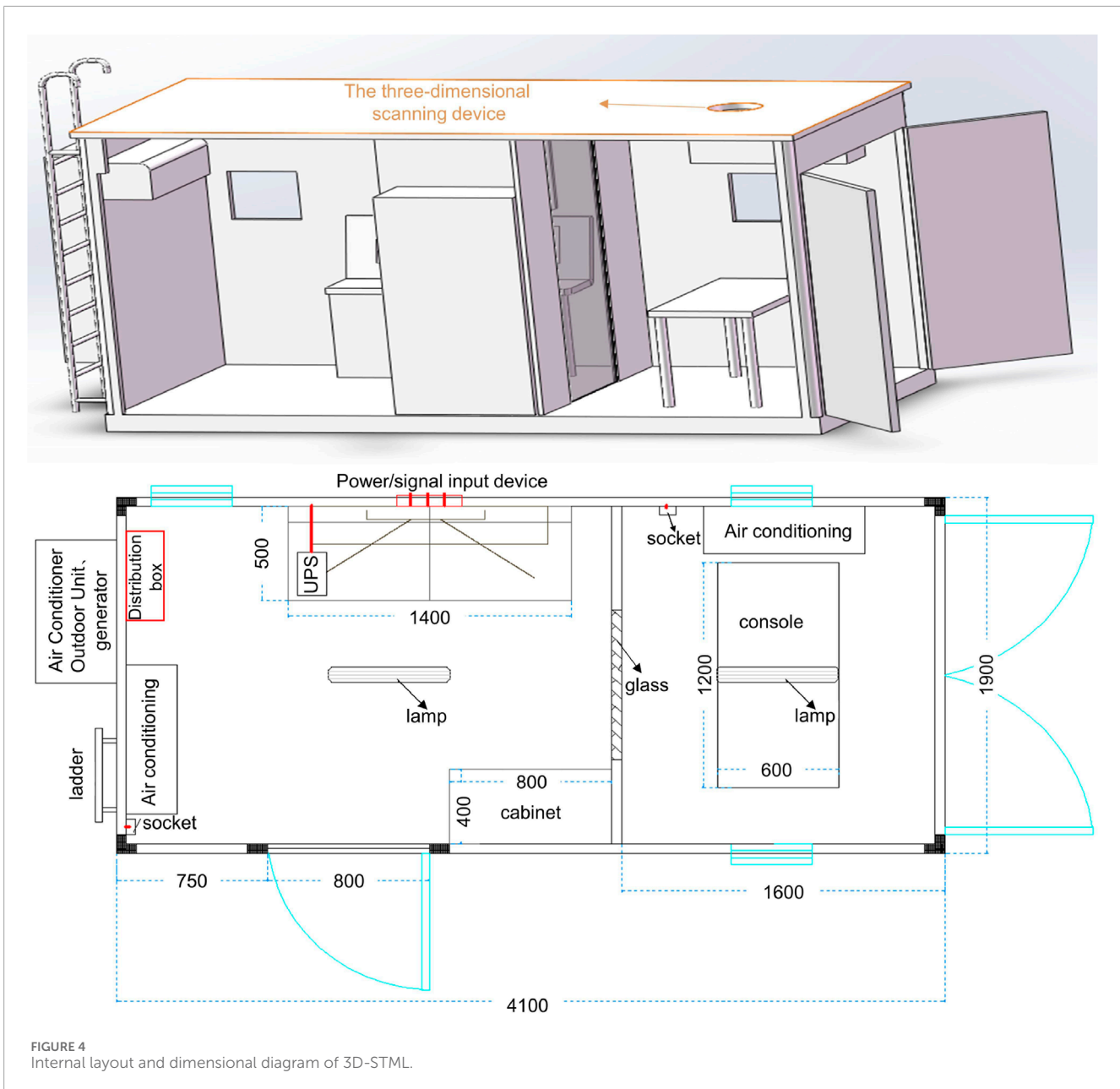


FIGURE 4 Internal layout and dimensional diagram of 3D-STML.

Based on the principles of lidar and the Mie scattering lidar equation (Samokhvalov, 1979; Liou and Takano, 1994; Young, 1995), the power of the backscattered signal at a distance  $R$  is represented by Equation 1:

$$P(R) = CE_0 A\eta(R) * \frac{\beta(R)}{R^2} * \exp \left[ -2 \int_0^R a(R') dR' \right], \quad (1)$$

where  $P(R)$  represents the power of the backscattered signal at distance  $R$ ,  $E_0$  represents the emitted energy of the lidar,  $C$  represents the lidar system constant,  $a(R')$  represents the aerosol extinction coefficient, and  $\beta(R)$  represents the aerosol backscattering coefficient. Solving the lidar equation can invert the extinction coefficient at different positions along the laser path. Since the equation has two unknowns,  $\alpha$  and  $\beta$ , solving the equation requires

making certain assumptions. In this study, we combine the Klett and Fernald methods for the solution.

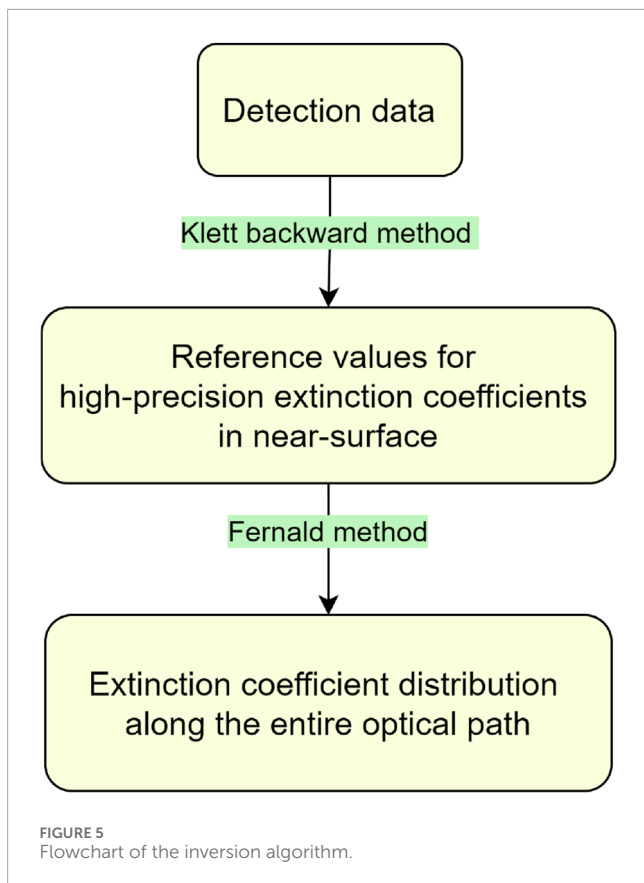
In the Klett method, the relationship between the backscattering coefficient  $\beta$  and the extinction coefficient  $\alpha$  is given by Equation 2:

$$\beta(R) = C_0 a^k(R), \quad (2)$$

where  $C_0$  and  $k$  are constants determined by aerosol properties and wavelength, usually as  $k = 1$ .

The backward method of Klett can be used to obtain the atmospheric extinction coefficient at  $R \leq RC$ , as shown in the following equation:

$$a(R) = \frac{\exp \{ [X(R) - X(R_C)] / k \}}{a^{-1}(R_C) + \frac{2}{k} \int_{R_C}^R \exp \{ [X(R) - X(R_C)] / k \} dR'}, \quad (3)$$



where  $R_C$  represents the selected reference position, the maximum detection distance is typically chosen as the boundary value, and backward integration is performed to obtain the extinction coefficient. This method is more suitable for regions with higher aerosol concentrations. The larger the optical thickness of the aerosols is, the smaller the impact of errors on the results and the higher the inversion accuracy. Considering the high aerosol optical thickness in near-surface aerosol plumes, this study adopts the Klett backward method to obtain high-precision reference values in aerosol plume areas. Finally, based on the Fernald equation, as shown in Equation 4, the extinction coefficient distribution along the entire optical path can be calculated as long as the initial values of the aerosol and atmospheric molecular extinction coefficients are obtained at any reference point.

$$\alpha_a(r) = -\frac{S_a}{S_m} \alpha_m(r) + \frac{P(r)r^2 \cdot \exp\left[2\left(\frac{S_a}{S_m} - 1\right) \int_r^{r_c} \alpha_m(r) dr\right]}{\frac{P(r_c)r_c^2}{\alpha_a(r_c) + \frac{S_a}{S_m} \alpha_m(r_c)} + 2 \int_r^{r_c} P(r)r^2 \exp\left[2\left(\frac{S_a}{S_m} - 1\right) \int_r^{r_c} \alpha_m(r) dr\right] dr} \quad (4)$$

In the equation,  $\alpha_a(r)$  is the aerosol extinction coefficient in the atmosphere,  $\alpha_m(r)$  is the molecular extinction coefficient in the atmosphere,  $S_a$  is the extinction to backscattering ratio of aerosol, and  $S_m$  is the extinction to backscattering ratio of atmospheric molecules, taken as the constant  $\frac{8\pi}{3}$ .  $\alpha_a(r_c)$  and  $\alpha_m(r_c)$  are the

initial values of the aerosol and atmospheric molecular extinction coefficients at the reference point, respectively. The value of  $S_a$  must be considered with factors such as the laser wavelength, geographic location, aerosol size distribution, and refractive index. Based on the potential scenarios in the 3D-STML target field, including polluted urban, smoke, and pure dust, the value of  $S_a$  ranges from 30 to 70 (Omar et al., 2009). After comparing the inversion results using different values of  $S_a$  with actual measured data from the same group, we have set  $S_a$  as 50.

## 2.4 Aerosol plume tracking algorithm

We have developed an algorithm that can track aerosol plumes. The system remains in the horizontal scanning mode when no aerosol plume is detected. Once an aerosol plume is identified, the system switches to tracking mode. It adjusts the observation direction in real time based on the inversion results by controlling the three-dimensional scanning device. We established a right-handed Cartesian coordinate system with the laser path direction as the positive  $x$ -axis direction. The algorithm workflow is as follows:

First, the aerosol plume is identified using the inverted extinction coefficient distribution  $\alpha(x, y, z)$ . Aerosol plumes typically appear as high-value regions in the extinction coefficient distribution, indicating significant differences from the surrounding air. The magnitude of the gradient vector of the extinction coefficient field is calculated, as shown in Equation 5. If the gradient magnitude exceeds  $2 \text{ km}^{-1}$ , an aerosol plume is identified.

$$|\nabla\alpha| = \sqrt{\left(\frac{\partial\alpha}{\partial x}\right)^2 + \left(\frac{\partial\alpha}{\partial y}\right)^2 + \left(\frac{\partial\alpha}{\partial z}\right)^2} \quad (5)$$

After detecting an aerosol plume, a Kalman filter is used to track and predict the state of the plume center (the point with the highest concentration), including its position and velocity (Kalman and Bucy, 1961). The state vector  $\mathbf{x}$  is defined by Equation 6:

$$\mathbf{x} = \begin{bmatrix} x \\ y \\ z \\ v_x \\ v_y \\ v_z \end{bmatrix}, \quad (6)$$

where  $x, y, z$  represent the plume's position coordinates and  $v_x, v_y, v_z$  represent the corresponding velocity components.

Assuming constant velocity, the state transition equation can be represented as follows:

$$\mathbf{x}_k = \mathbf{F}_k \mathbf{x}_{k-1} + \mathbf{w}_k, \quad (7)$$

where  $\mathbf{F}_k$  is the state transition matrix defined as Equation 8,  $\Delta t$  is the time interval from the previous state to the current state, and  $\mathbf{w}_k$

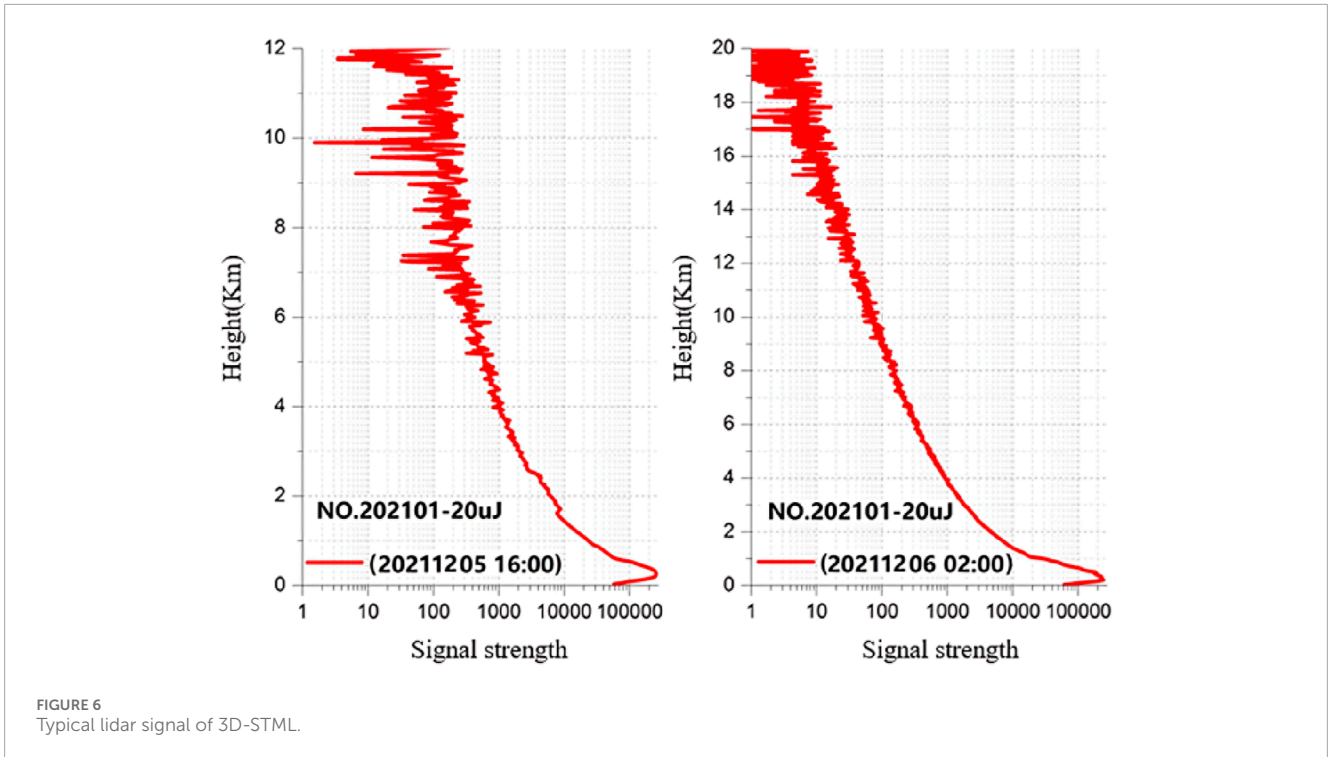


FIGURE 6  
Typical lidar signal of 3D-STML.

is the process noise, assumed to be Gaussian noise.

$$F_k = \begin{bmatrix} 1 & 0 & 0 & \Delta t & 0 & 0 \\ 0 & 1 & 0 & 0 & \Delta t & 0 \\ 0 & 0 & 1 & 0 & 0 & \Delta t \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}. \tag{8}$$

In the lidar system, the position can be directly observed, and the velocity is inferred from the position changes, as shown in Equation 9:

$$z_k = H_k x_k + v_k, \tag{9}$$

where  $H_k$  is the observation matrix, defined as  $H_k = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix}$ , and  $v_k$  is the observation noise, assumed to be Gaussian noise.

The predicted covariance matrix is calculated as shown in Equation 10:

$$P_{k|k-1} = F_k P_{k-1|k-1} F_k^T + Q_k, \tag{10}$$

where  $Q_k$  is the covariance matrix of the process noise.

Finally, the Kalman gain is calculated, and the state is continuously updated to maintain the tracking of the aerosol plume, as shown in Equation 11:

$$K_k = P_{k|k-1} H_k^T (H_k P_{k|k-1} H_k^T + R_k)^{-1}, \tag{11}$$

where  $R_k$  is the covariance matrix of the measurement noise.

It is worth noting that the algorithm cannot distinguish between aerosol plumes and low-level clouds as both exhibit sharp and high-extinction gradient areas. Therefore, the detection strategy adopted by the algorithm is to continue horizontal scanning observations in the absence of aerosol plumes to avoid interference from clouds. This approach also applies to scenarios such as surface wildfires and industrial emissions. However, it should not be overlooked that when an aerosol plume is detected, if there is an overlap between the aerosol plume and a low-level cloud, the observation results may be affected, and further analysis by researchers is required. We will strive to improve this algorithm in future research.

### 3 Results and discussion

#### 3.1 Extinction coefficient retrieval error

We conducted a test of the extinction coefficient error measurement on the rooftop of building 1, Scientific Island, Hefei City. The test was conducted under clear atmospheric conditions, with a horizontal visibility of more than 10 km. Figure 6 shows the typical measurement data of the lidar during daytime and nighttime. The effective range during the daytime was approximately 8 km, which increased to 18 km at night. Additionally, the overlap region at night was reduced by approximately 200 m. This is likely due to the lower environmental interference at night, resulting in a more concentrated echo signal.

We used the LVIS-T100 portable lidar device developed by the Hefei Institutes of Physical Science, Chinese Academy of

TABLE 3 LVIS-T100 system parameters.

Parameter	Content
Laser wavelength/nm	532 (LD pumped Nd:YAG)
Energy / $\mu$ J	~20@2500 Hz
Laser pulse width/ns	$\leq 15$
Receiving telescope	Caliber $\Phi 100$ mm
Filter bandwidth/nm	0.5
Number of acquisition channels	1 piece
Data collector	Multi-channel photon counter

Sciences, as a reference instrument, and its parameters are shown in Table 3. During the testing process, we simultaneously operated both 3D-STML and LVIS-T100, keeping them in the atmospheric measurement state. To ensure the accuracy of the results, we placed the two lidars at the same height, with a horizontal distance not exceeding 3 m. We conducted observations at different time intervals and angles by manually adjusting the horizontal and vertical viewing angles and operating both lidars simultaneously. We compared the measurement results of the two devices and calculated the deviation rate. Figure 7 displays the comparison of the vertical measurement extinction coefficient results, with a deviation rate of 7.218%. The measurement results of the two devices are very close, approximately 3.5 km, but there is a significant difference between the near and far fields. This may be due to the different optical path designs of the two devices, which result in deviations as the laser propagates in the near-field and far-field.

Through this test, we could evaluate the accuracy and stability of the 3D-STML in measuring the extinction coefficient. Considering the test conditions and the limitations of the equipment, the deviation rate is still within an acceptable range despite some deviation. Future work can focus on further optimizing the observation methods and equipment configuration to improve the precision and reliability of the measurements.

## 3.2 Spatiotemporal evolution of aerosol particles

We have developed a software package for the 3D-STML, which includes control, inversion, and visualization functions. Before starting the observation, the software package allows for the configuration of the three-dimensional scanning parameters, followed by the initiation of the device. Once the observation begins, the software package controls the three-dimensional scanning device to return to the starting point and commence operation. Data acquisition is initiated, and the software package receives data until the designated scanning task is completed. The collected data are automatically saved and processed to calculate the corresponding extinction coefficient and then presented as spatiotemporal

evolution maps. This format provides a visual representation of the spatiotemporal variations in aerosols, facilitating researchers' analysis and interpretation of the observations. On 24 and 25 March 2022, the 3D-STML conducted aerosol spatiotemporal evolution observations in Qingdao. The observation results are shown in Figure 8, and the time is UTC+8.

A preliminary interpretation of the results is presented below to illustrate the research value of the spatiotemporal evolution map of 3D-STML. As of noon on 25 March, the vertical distribution of aerosol concentration remains relatively stable, indicating good and stable weather conditions during this period. Near the surface (0–1 km), the extinction coefficient is typically higher, which can be attributed to the accumulation of aerosols due to ground activities such as traffic and industrial emissions. In the early morning of 25 March (from 0:00 to 12:00), there is a more robust extinction coefficient at 3–4 km compared to the same period on 24 March. This suggests that aerosols are being transported to higher altitudes during this period, which is consistent with the frequent vertical transport characteristic of the atmosphere during the transitional season of spring. After noon on 25 March, a strong extinction zone appears 1 km above the ground, almost completely attenuating the signal. Some drizzle clouds may have influenced this. Overall, in long-term continuous observations in a fixed vertical direction, the inversion results of 3D-STML can effectively reflect the changes in environmental aerosols, providing the necessary support for aerosol plume-tracking experiments.

## 3.3 Observational experiment of aerosol plumes

To validate the capability of 3D-STML to track the spatiotemporal evolution of aerosol plumes in three dimensions, we conducted an observation experiment with a particle generator in Qingdao on 23 August 2022. In this experiment, we placed the particle generator approximately 1 km away from the lidar and generated aerosol plumes by mechanical control. At the same time, the 3D-STML entered the scanning mode to begin the observation. Ultimately, we successfully captured the spatiotemporal evolution of the aerosol plumes, as demonstrated in Figure 9. The time is UTC+8.

Figure 9 depicts the spatiotemporal evolution of the aerosol plume. The aerosol concentration is highest at the nozzle of the particle generator, and it gradually decreases as the aerosols disperse in the air. The dispersion is mainly influenced by factors such as the natural wind field and aerosol settling. The plume shows temporal non-uniformity, with higher aerosol concentrations observed in distant and lower areas. This can be attributed to the turbulence and instability of the airflow, leading to an uneven distribution of aerosols.

The 3D-STML generated each profile in just 0.083 s in this experiment, indicating its excellent temporal and spatial resolution and three-dimensional scanning capability. Combined with the spatiotemporal evolution maps generated by the accompanying software package, researchers can better understand the aerosol dispersion process in the atmosphere. Through this experiment, we have validated the capability of 3D-STML to observe the



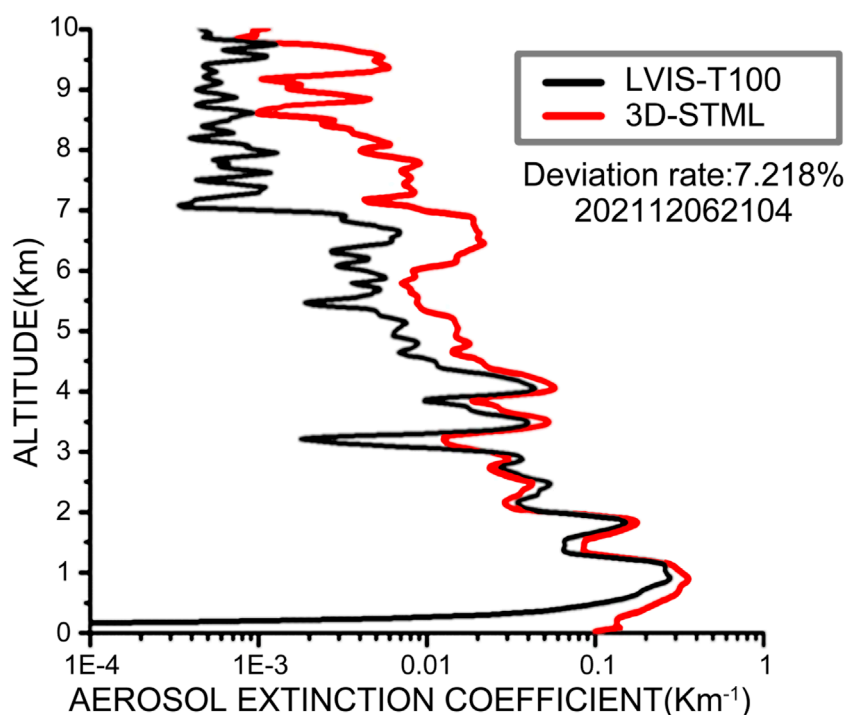


FIGURE 7 Comparison of horizontal extinction coefficient profiles measured by 3D-STML and the LVIS-T100.

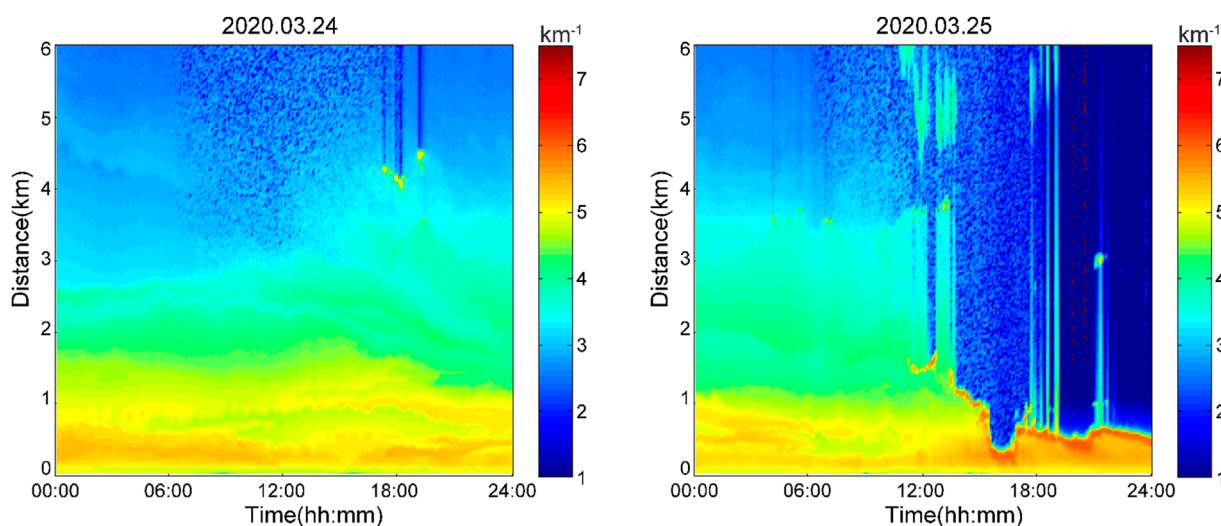


FIGURE 8 Spatiotemporal evolution observations in Qingdao.

spatiotemporal evolution of aerosol plumes, which is important for understanding aerosol transport and transformation processes. At 10:37, the aerosol plume can be influenced by wind forces in the direction of propagation, resulting in hindered diffusion and an abnormal increase in concentration. Subsequently, under the combined effects of thermal dynamics and turbulence, the plume rises and spreads further.

### 4 Conclusion

This article introduced the development of 3D-STML and its application to the dynamic monitoring of aerosol plumes. Regarding the system design, a shared coaxial optical system capable of three-dimensional rotation was used for laser emission and reception, allowing the system to have good observation capabilities and

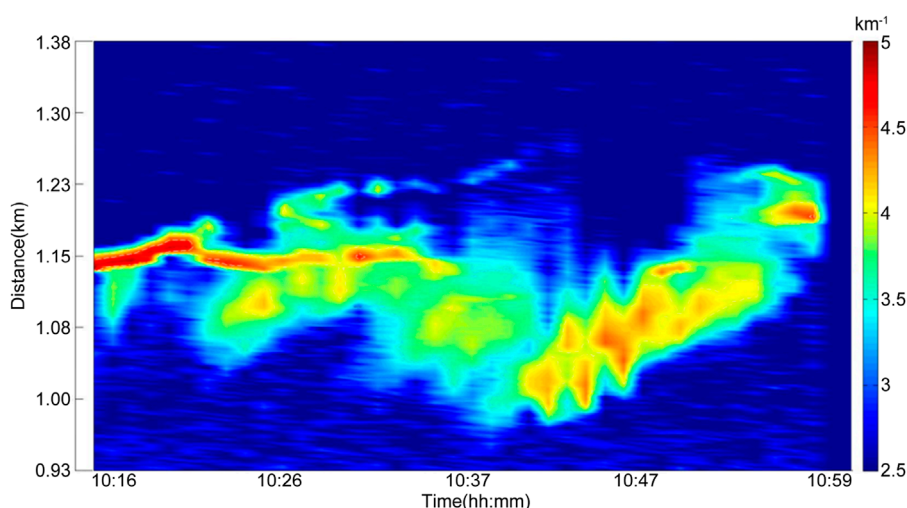


FIGURE 9  
Observation result of aerosol plumes.

three-dimensional scanning ability near the surface. Additionally, an aerosol inversion algorithm based on a fusion of the Fernald and Klett methods was developed, along with an aerosol plume-tracking algorithm based on Kalman filtering. This enabled the system to accurately and stably invert aerosol extinction coefficients and automatically track aerosol plumes. Under favorable weather conditions, the effective range during the day was approximately 8 km, increasing to 18 km at night. For aerosol spatiotemporal observation, the detection range was 0.0015–4.005 km, the dynamic range was 0.001–10  $km^{-1}$ , the spatial resolution was 1.5 m, and the time resolution was 0.083 s. With the three-dimensional scanning device, this system could track the spatiotemporal evolution of aerosol plumes.

Compared to passive measurement devices like aerosol sensors, 3D-STML was found to have a much larger detection range and better resistance to environmental interference, but it could only measure the aerosol extinction coefficient. Compared to drone-based measurement methods, 3D-STML still displayed an advantage in terms of detection coverage, but it was susceptible to interference from obstructing objects and had slightly less flexibility. Compared to satellite remote sensing, 3D-STML had a much smaller detection coverage range, but its near-surface observation capabilities and spatiotemporal resolution were much higher than those of satellites. Considering all of these factors, 3D-STML has unique advantages for the dynamic monitoring of aerosol plumes. Future research can further enhance the performance and flexibility of the system to meet the aerosol observation needs in different scenarios, and provide more accurate and comprehensive data support for environmental monitoring and related research.

## Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## Author contributions

QL: conceptualization, methodology, resources, writing—original draft, and writing—review and editing. YX: software, validation, and writing—review and editing. CC: validation and writing—review and editing. YL: data curation, investigation, and writing—review and editing. YJ: formal analysis, visualization, and writing—review and editing. WS: conceptualization, project administration, supervision, and writing—review and editing.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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