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Analytical solution for mechanical behavior characterization of sandy dolomite tunneling

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Tunneling in sandy dolomite strata often faces hazards such as collapse, water inrush, and water–sand inrush, seriously threatening the safety of tunnel construction. There are currently limited studies on the mechanical behaviors of sandy dolomite tunnels. In view of this, an analytical solution for tunneling in sandy dolomite strata is derived in this study, and then parametric analysis is performed to analyze the mechanical response of rock mass in sandy dolomite tunnels. The results demonstrate five tunnel sidewall stress scenarios according to the different lateral pressure coefficients (λ). Varying λ values impact stress distribution and tunnel stability, with extreme values posing risks of instability. Tunnel safety is greatly reduced when rock stress approaches the plastic limit. At different internal friction angles, cohesion, and initial rock stresses, radial stress decreases gradually as the radius increases. The stress values under different conditions tend to be similar, while the effects of internal friction angle, cohesion, and initial rock stress on stress in the elastic zone decrease with increasing distance from the center of the tunnel. Under different internal friction angles and cohesion, the plastic zone radius increases with increasing distance from the excavation surface, and a larger internal friction angle and cohesion lead to an increase in stress. The stress and cohesion of a rock mass significantly affect the plastic zone radius, and an increase in tunnel excavation radius also leads to an increase in the radius of plastic zone. These findings provide a reference and insight for similar geotechnical engineering practices in the future.

KEYWORDS

analytical solution, sandy dolomite tunnel, parametric analysis, mechanical response, mechanical analysis

1 Introduction

The construction of the Central Yunnan Water Diversion Project was outlined in China's 14th Five-Year Plan report. The construction of water conveyance tunnels in sandy dolomite strata faces a variety of challenging engineering geological issues, including significant soft rock deformation, fault zones, rock-bursts under high geo-stress, and water inrush at the tunnel



FIGURE 1
Morphology of dolomite sandy outcrops.

face (Wang et al., 2022). Dolomite sandification is often the most prominent (Figure 1). Taking the Yuxi section of the Central Yunnan water diversion project as an example (Fu et al., 2020; Wu et al., 2021; Wang MQ et al., 2023), the total length of the Yuxi section of the Central Yunnan Water Conveyance Project is 77.069 km. It has eight water conveyance tunnels with a total length of 72.775 km, accounting for 94.43% of the total project length. The total length of water conveyance tunnels located in the sandy dolomite strata is approximately 14.5 km (19.8% of the total project length). Dolomite sandification not only weakens the strength and quality of the rock mass but also affects the stability of slopes and underground caverns. This can result in challenges such as cavern construction difficulties, poor construction quality, collapse, and large deformation (Figure 2A–C). It can also lead to secondary geological hazards such as surging sand and debris flow in the water-rich tunnel section (Figure 2D–F), complicating the management of engineering hazards and raising safety concerns. This can cause delays and increase investment in the project, thus highlighting the necessity of focusing on rock mass stability in sandy dolomite tunnels.

Dolomite sandification is a unique geological phenomenon which is manifested in the microcrystalline-fine crystalline structure of dolomite gradually weathering into fine sand, gravel, or clasts (Figure 1) by the combined action of dissolution and weathering. This weathering process seriously reduces the quality and stability of the rock mass (Rabajczyk, 2012; Chanyshiev, 2023). A series of hazards such as the large deformation of rock mass, underground karst damage, and water inrush and sand gushing are often encountered during the construction of conservancy projects in sandy dolomite strata (Zhang et al., 2023). Jiang et al. (2022) reported extrusion and deformation occurring at the tunnel face during tunneling in a water-rich sandy dolomite stratum, and they proposed a control strategy for preventing sand gushing. Zhou et al. (2022) discussed the challenges and risks of tunnel face instability in terms of mineral composition and its macroscopic and microscopic aspects. Dong et al. (2023) analyzed the main damage modes in a sandy dolomite tunnel and conducted a safety evaluation of it. Diez (2019) analyzed the rock mechanical properties of sandy dolomite after erosion and weathering in the quarry, revealed the mechanism of dissolving gravel in sandy dolomite, and evaluated the safety of rock construction. This literature indicates that dolomite sandification has a great impact on the project, and its safety and reliability must be assessed. If sandy dolomite leads to construction hazards in a water conveyance tunnel, it may force construction progress to slow down and, in serious cases, may also

jeopardize the safety of tunnel construction workers and affect the social and economic benefits of the infrastructure.

Currently, there are limited studies on tunneling hazards in sandy dolomite strata. Zarei et al. (2011) analyzed the impact of geological features such as fault zones, open cracks, and dams in sandy dolomite on tunnel safety after the excavation of a water conveyance tunnel in Semnan, Iran. However, they did not assess the impact of mechanical coupling between the tunnel face and sandy dolomite on tunnel safety. Qin et al. (2019) discussed the factors affecting the stability of railway tunnels. They explained the safety problems caused by the desertification of the tunnel rock mass and used a theoretical model to make safety predictions. However, they also did not consider the impact of rock mass desertification. Wu et al. (2013) clarified the maximum horizontal stress generated by rock burst in tunnel engineering and evaluated tunnel safety based on this stress, but they did not address the changes in the mechanical properties of sandy rock mass. Taking a high-speed railway crossing the Taihang Mountain as an example, Di et al. (2020) characterized the rock resistivity distribution of a tunnel by using the controllable source audio magnetotelluric method. They analyzed the safety of the tunnel but did not record its stress condition. Liu et al. (2022) systematically analyzed the hazards and treatment methods of water inrush occurring in a large cross-section filling karst pipeline based on engineering practice, but they did not consider the impact of mechanical problems related to rock mass desertification on water inrush.

The construction of water conveyance tunnels in sandy dolomite strata poses significant challenges due to complex geological issues such as soft rock deformation, fault zones, rock bursts, and water inrush. A key innovation lies in the recognition of dolomite sandification as a primary concern; this weakens the strength and quality of rock mass, thus impacting tunnel stability. This unique geological phenomenon involves the gradual weathering of dolomite into fine sand, gravel, or clasts which reduces rock mass quality. Previous studies have highlighted hazards such as deformation, karst damage, and water inrush, emphasizing the need to assess safety and reliability. Additionally, researchers have identified challenges related to tunnel face instability and proposed control strategies to mitigate risks such as sand gushing. However, there is a lack of comprehensive studies that address the mechanical response of rock mass in sandy dolomite tunnels. Innovative research efforts have focused on developing an analytical solution for the mechanical behaviors of rock mass in sandy dolomite tunnels. The analytical approach adopted here aims to address the limited understanding

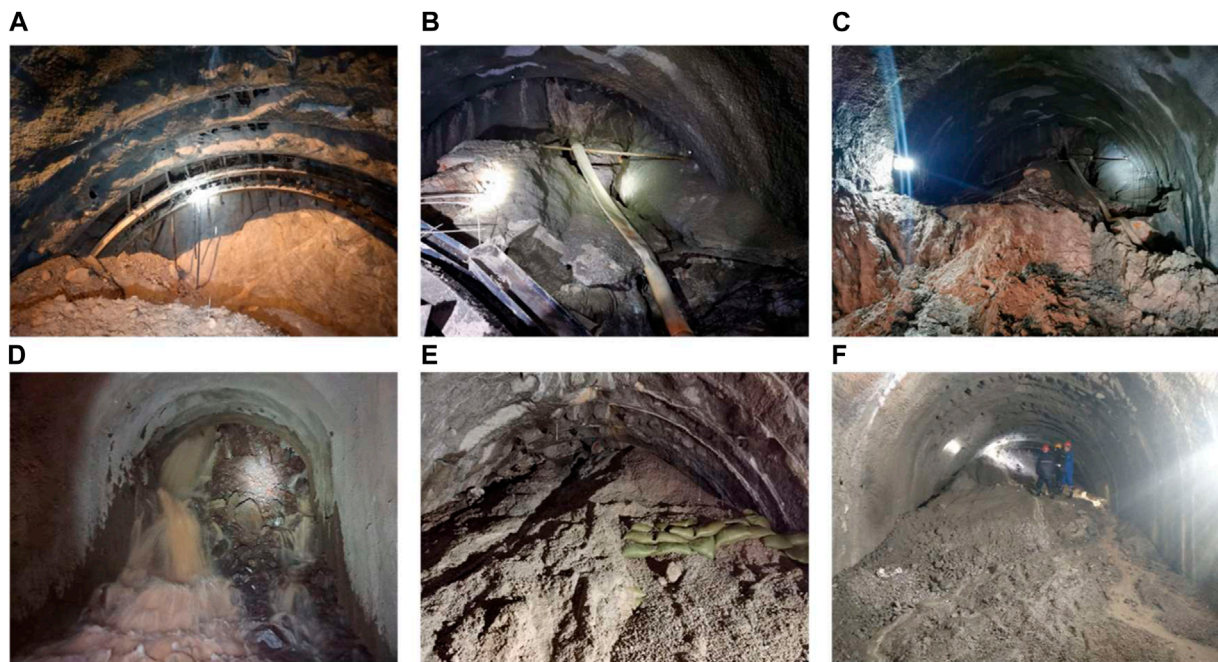


FIGURE 2

Secondary hazards in sandy dolomite tunnels: (A–C) Severe sandification and large deformation of support structures, (D) Water and sand burst from the tunnel's excavation surface, (E, F) Sand and debris flowing inside the cave.

of sandy dolomite tunnel mechanics and to provide insights into enhancing engineering practices. By investigating the impact of dolomite sandification on tunnel stability, this study aims to reduce and prevent instability issues in tunnel construction, ultimately improving project outcomes and safety. The findings not only contribute to the field of geotechnical engineering but also offer valuable guidance for future projects in carbonate rock regions.

2 Statement of the problem

2.1 Tunnel condition

Self-weight and associated geological tectonics are present in natural rock masses (Sperner et al., 2003; Dai et al., 2021; Lu et al., 2024). Generally, a tunnel is subjected to a gravitational (Dong et al., 2019; Wang Q. et al., 2023) and a tectonic stress field (Yale, 2003; Zhou et al., 2023).

$$\sigma = \sigma_z + \sigma_t \quad (1)$$

Given the fact that the tectonic stress field is complex and is influenced by many factors, the current study only considers the effect of the gravitational stress field, which is expressed as:

$$\sigma_z = \gamma H \quad (2)$$

$$\lambda = \frac{\mu}{1 - \mu} \quad (3)$$

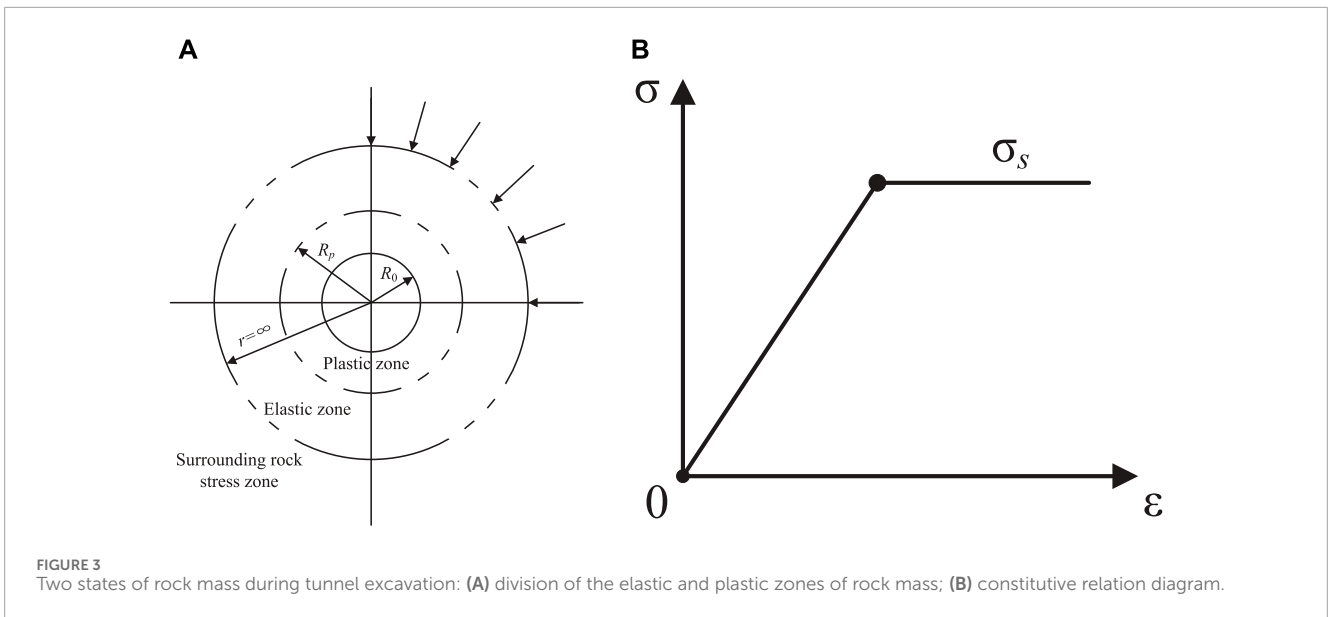
$$\sigma_x = \sigma_y = \frac{\mu}{1 - \mu} \sigma_z = \lambda \sigma_z \quad (4)$$

where σ_z is the self-weight stress with a unit of MPa, σ_t is the tectonic stress with a unit of MPa, γ represents the weight of rock mass with a unit of kN/m^3 , H is the depth of rock mass with a unit of m, λ is the lateral pressure coefficient, σ_x , and σ_y are the rock mass stresses with a unit of MPa, and μ is Poisson's ratio of rock mass.

2.2 Basic assumptions

Rock mass during tunnel excavation is mainly in two states. As indicated in Figure 3A, if the rock mass remains elastic after excavation, it is relatively stable and is in a secondary stress state (Aygur and Gokceoglu, 2020). If the excessive stress at the excavated profile exceeds the ultimate strength of rock mass, the rock mass will undergo plastic deformation, which may lead to deformation or a failure of the supporting structure through the relaxation and failure of the rock mass (Zheng and Wei, 2008). However, the rock mass further away from the excavated profile remains in an elastic state, resulting in a plastic zone in the rock mass (Leu and Chang, 2005). The following assumptions have been made to facilitate the elasto-plasticity analysis of rock mass (Wang et al., 2010; Zhang and Sun, 2011; van Bijsterveldt et al., 2021; Guo et al., 2023):

- (1) The rock mass is homogeneous, isotropic, and incompressible, and exhibits ideal elastic-plastic mechanical behavior with a constitutive relationship (Figure 3B).
- (2) The tunnel is deep-buried, satisfying the ideal plane hole problem, and it has a circular section and infinite length.
- (3) Only the self-weight of the rock mass is considered for the initial stress field, with no tectonic stress.



3 Elasto-plasticity solution for sandy dolomite tunnel

To analyze the mechanical behaviors of a tunnel constructed in sandy dolomite strata, it is simplified into an ideal circular tunnel model based on the principle of small hole stress (Toubal et al., 2005; Schajer, 2010). An elastic-plastic analytical solution of a circular tunnel is then derived to determine the deformation, stress, and radii of plastic zones of tunnel rock mass.

3.1 Solution for rock mass in the elastic zone

If the lateral pressure coefficient λ is not equal to 1, the rock mass stress can be divided into two parts according to the elastic mechanics and then be superimposed to determine the final stress state. Figure 4 illustrates a schematic diagram of the secondary stress in the tunnel rock mass.

Part I: the rock mass is subjected to an initial rock stress of $p = p_0/2 (1+\lambda)$, the vertical stress is equal to the horizontal stress, and the distribution is axisymmetric.

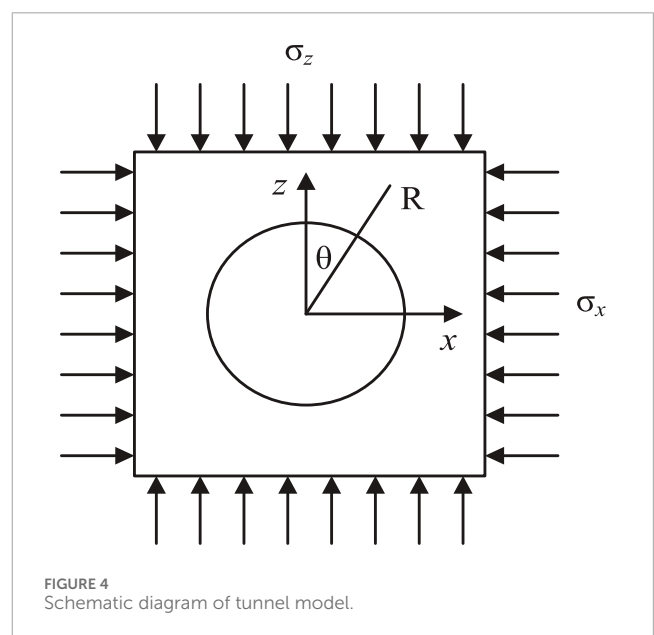
Part II: the rock mass is subjected to an initial rock stress of $p = p_0/2 (1-\lambda)$, the vertical stress is equal to the horizontal stress, and the distribution is antisymmetric.

According to elastic mechanics, the radial σ_r , tangential σ_θ , and shear $\tau_{r\theta}$ stresses can be obtained as:

$$\sigma_r = \frac{p_0}{2} \left[(1+\lambda) \left(1 - \frac{R_0^2}{r^2} \right) - (1-\lambda) \left(1 - 4 \frac{R_0^2}{r^2} + 3 \frac{R_0^4}{r^4} \right) \cos 2\theta \right] \quad (5)$$

$$\sigma_\theta = \frac{p_0}{2} \left[(1+\lambda) \left(1 + \frac{R_0^2}{r^2} \right) + (1-\lambda) \left(1 + 3 \frac{R_0^2}{r^2} \right) \cos 2\theta \right] \quad (6)$$

$$\tau_{r\theta} = -\frac{p_0}{2} \left[(1-\lambda) \left(1 + 2 \frac{R_0^2}{r^2} - 3 \frac{R_0^4}{r^4} \right) \sin 2\theta \right] \quad (7)$$



The radial displacement u_r and tangential displacement u_θ are expressed as:

$$u_r = \frac{(1+\nu)p_0}{2E} \cdot \frac{R_0^2}{r} \left\{ (1+\lambda) + (1-\lambda) \left[2(1-2\nu) + \frac{R_0^2}{r^2} \right] \cos 2\theta \right\} \quad (8)$$

$$u_\theta = \frac{(1+\nu)p_0}{2E} \cdot \frac{R_0^2}{r} \left\{ (1-\lambda) \left[2(1-2\nu) + \frac{R_0^2}{r^2} \right] \sin 2\theta \right\} \quad (9)$$

where p_0 is the initial rock stress with a unit of MPa, θ is the angle between the z -axis and the line connecting the computation point to the origin with a unit of $^\circ$, R_0 is the excavation radius of the tunnel with a unit of m, r is the tunnel radius with a unit of m, ν is the strain coefficient of the rock mass, and E is the elastic modulus of rock mass with a unit of MPa.

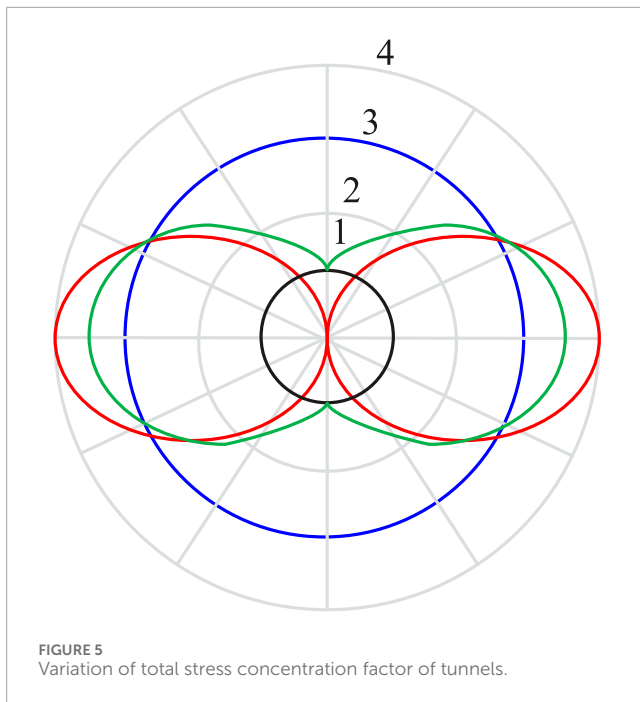


FIGURE 5
Variation of total stress concentration factor of tunnels.

These equations characterize the detailed secondary stress of the rock mass. However, these equations are complicated as they involve not only tangential stress but also tangential displacement. To simplify this problem, the tunnel radius and the excavation radius are assumed to be equal at $r = R_0$.

Thereafter, the equation obtained can be simplified as:

$$\sigma_\theta = p_0[(1 + \lambda) + 2(1 - \lambda) \cos 2\theta] \quad (10)$$

$$\sigma_r = \tau_{r\theta} = 0 \quad (11)$$

If $1 + 2\cos 2\theta = K_z$, $1 - 2\cos 2\theta = K_x$, then the above equation can be converted to:

$$\sigma_\theta = p_0(K_z + \lambda K_x) = K p_0 \quad (12)$$

where K is the total stress concentration factor of the tunnel rock mass, K_z is the vertical stress concentration factor, and K_x is the horizontal stress concentration factor. These parameters are dimensionless.

K varies with θ , p_0 , and λ as independent variables (Figure 5). The origin position that is the intersection of the radial line with the tunnel sidewall changes dynamically. The stress value at the origin divided by the initial stress (σ_θ/p_0) is chosen as the scale.

As indicated in Figure 5, when $\lambda = 1$, the stress values of the tunnel wall are all $2p_0$ —twice the initial stress. Since the tangential stress is independent of the angle, the stress of the tunnel wall is in the best state, and the tunnel is safer. When $\lambda = 0$, the stress on the tunnel wall is in the most unfavorable state. The left and right sides of the tunnel wall will be subjected to the maximum compressive stress $3p_0$ (three times the initial rock stress), while the upper side of the tunnel wall will be subjected to the maximum tensile stress p_0 (equal to the initial rock stress). When $\lambda = 1/3$, the boundary point of tensile stress appears on the tunnel wall, while the tensile and compressive stresses on the upper side of the tunnel wall is 0. At this point, the tunnel is

in stress equilibrium and is safest. When λ is less than $1/3$, the tensile stress appears on the upper side of the tunnel wall. The safety of the tunnel is slightly improved, and the risk of tunnel wall damage and leakage is reduced. When λ is greater than $1/3$, the compressive stress appears on the upper side of the tunnel wall, and a safety risk to the tunnel will be present.

3.2 Solution for rock mass in the plastic zone

The elastic zone can be expressed thus (Zareifard and Fahimifar, 2016):

$$\begin{aligned} \sigma_r &= A \pm \frac{B}{r^2} \\ \sigma_\theta & \end{aligned} \quad (13)$$

The equilibrium equation is (Wang M. et al., 2023):

$$\frac{d\sigma_r}{dr} + \frac{\sigma_r - \sigma_\theta}{r} = 0 \quad (14)$$

The M–C criterion is adopted for the analysis, which is expressed as (Zheng et al., 2005):

$$\sigma_\theta = \frac{1 + \sin \Phi}{1 - \sin \Phi} \sigma_r + \frac{2C \cos \Phi}{1 - \sin \Phi} \quad (15)$$

The stresses in the elastic and plastic zones can be obtained by combining Eqs 13–15.

Stress in elastic zone is expressed as (Oreste et al., 2019):

$$\begin{aligned} \sigma_\theta^e &= p_0 \pm (C \cos \Phi + p_0) \left[\frac{(p_0 + C \cot \Phi)(1 - \sin \Phi)}{C \cot \Phi} \right]^{\frac{1 - \sin \Phi}{2 \sin \Phi}} \left(\frac{R_0}{r} \right)^2 \\ \sigma_r^e & \end{aligned} \quad (16)$$

$$\sigma_z^e = p_0 \quad (17)$$

Stress in the plastic zone is expressed as (Wang C. et al., 2023):

$$\sigma_\theta^p = C \cot \Phi \left[\left(\frac{r}{R_0} \right)^{\frac{2 \sin \Phi}{1 - \sin \Phi}} - 1 \right] \quad (18)$$

$$\sigma_r^p = C \cot \Phi \left[\frac{1 + \sin \Phi}{1 - \sin \Phi} \left(\frac{r}{R_0} \right)^{\frac{2 \sin \Phi}{1 - \sin \Phi}} - 1 \right] \quad (19)$$

$$\sigma_z^p = \frac{\sigma_\theta^p + \sigma_r^p}{2} = C \cot \Phi \left[\frac{1}{1 - \sin \Phi} \left(\frac{r}{R_0} \right)^{\frac{2 \sin \Phi}{1 - \sin \Phi}} - 1 \right] \quad (20)$$

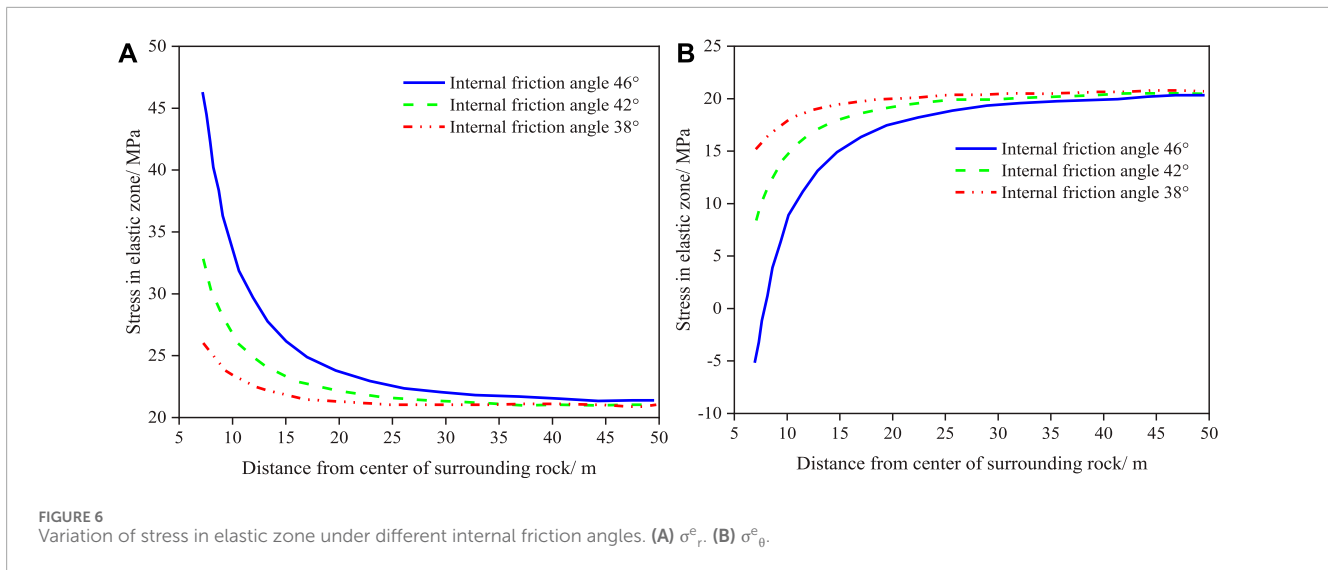
The radius of the plastic zone can be obtained thus (Singh et al., 2016):

$$R_p = R_0 \left[\frac{(p_0 + C \cot \Phi)(1 - \sin \Phi)}{C \cot \Phi} \right]^{\frac{1 - \sin \Phi}{2 \sin \Phi}} \quad (21)$$

where C is cohesion with a unit of MPa, Φ is the internal friction angle with a unit of $^\circ$, and R_p is the radius of the plastic zone with a unit of m. Eqs 16–21 are derived from Eqs 1–15. The part 4 of the article mainly refers to Eqs 16–21.

4 Parametric analysis on the mechanical behaviors of rock mass

Parameter analysis is performed in this section by utilizing the mechanical parameters of sandy dolomite determined by laboratory



tests (Dang et al., 2022; Tao et al., 2023b; Tao et al., 2023) which yields various mechanical response curves of rock mass.

4.1 Variation of stress in the elastic zone

4.1.1 Influence of the internal friction angle

Three internal friction angles—38°, 42° and 46°—are selected to explore the variations of stress σ_θ^e (tangential stress) and σ_r^e (radial stress) in the elastic zone. From Eq. 16, the results are presented in Figure 6.

It can be observed from Figure 6 that under different internal friction angles, with an increase in distance from the excavation center, σ_r^e displays a decreasing trend. A larger internal friction angle yields a larger initial σ_r^e value with a greater decline (Figure 6A). An increasing trend is experienced by σ_θ^e as the distance from the excavation surface increases, but the initial value is smaller and the growth rate is larger at a larger internal friction angle (Figure 6B).

4.1.2 Influence of cohesion

Cohesion values of 20, 30, and 40 MPa are selected to explore variations of stress in the elastic zone. From Eq. 16, the results are presented in Figure 7.

Figure 7 confirms that the stress in the elastic zone varies with the distance from the excavation center under different cohesions. It is observed that σ_r^e decreases with an increase in the distance from the excavation center. However, higher cohesion leads to a higher initial σ_r^e and a greater decline (Figure 7A). With an increase in distance from the excavation surface, σ_θ^e experiences an increasing trend, but higher cohesion leads to a smaller initial σ_θ^e and a greater increase (Figure 7B).

4.1.3 Influence of initial rock stress

The initial rock stresses of 21, 25, and 29 MPa are selected to explore the variations of stress in the elastic zone. From Eq. 16, the results are presented in Figure 8.

Figure 8 demonstrates that stress in the elastic zone varies with the distance from the excavation surface under different initial rock stresses. It can be observed that σ_r^e under three initial rock stresses

decreases gradually with increased distance from the excavation surface. These three curves have similar shapes, are parallel to each other, and decrease by almost the same amount. Moreover, the initial values of σ_r^e and terminal values of σ_θ^e increase with increasing initial rock stresses. An increasing trend is shown by σ_θ^e under three initial rock stresses with increasing distance from the excavation surface, with very close initial values. However, the greater the initial rock stress, the greater the range of increase in σ_θ^e . When the distance from the excavation surface exceeds 25 m, both σ_r^e and σ_θ^e gently increase or decrease and the curves become almost horizontal.

4.2 Variation of stress in the plastic zone

4.2.1 Influence of the internal friction angle

Three internal friction angles of 38°, 42°, and 46° are selected to explore the variations of stress σ_θ^p (tangential stress) and σ_r^p (radial stress) in the plastic zone. From Eqs 18, 19, the results are shown in Figure 9.

As shown in Figure 9, stress in the plastic zone varies with the distance from the excavation surface under different internal friction angles. It can be observed that σ_r^p and σ_θ^p under the three internal friction angles increase with increased distance from the excavation surface. In addition, the larger the internal friction angle, the greater the increase in value of the two types of stresses. However, the radial stress growth curve consists of three curves with the same starting point; the larger the internal friction angle, the steeper the curve. This indicates that there is little difference in the radial stresses in the plastic zone at different internal friction angles. In contrast, the σ_θ^p curve is composed of three parallel and gentle curves, especially when the distance from the excavation surface is more than 25 m. Furthermore, the rising range of the σ_θ^p curve increases with an increase in the internal friction angle.

4.2.2 Influence of cohesion

Cohesion values of 20, 30, and 40 MPa are selected to explore variations of stress in the plastic zone. From Eqs 18, 19, the results are shown in Figure 10.

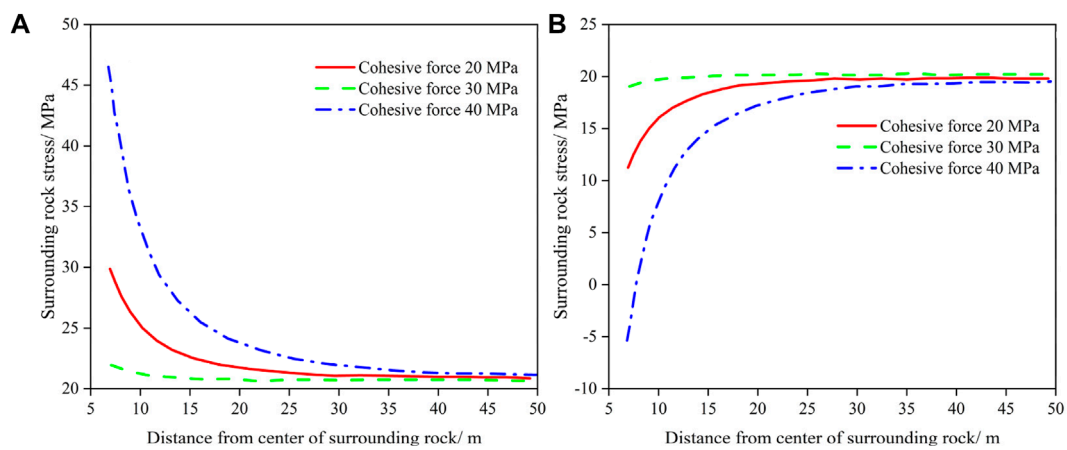


FIGURE 7
Variation of stress in elastic zone under different cohesions. (A) σ_r^e . (B) σ_θ^e .

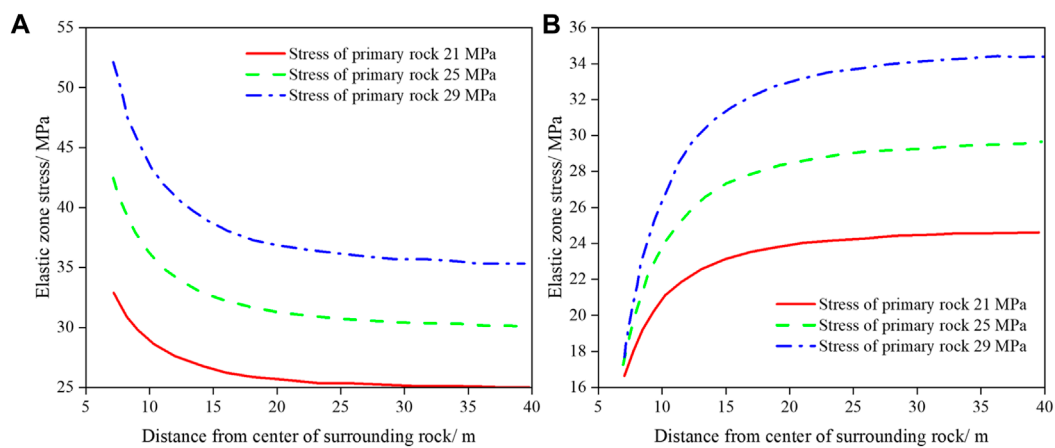


FIGURE 8
Variation of stress in elastic zone under different initial rock stresses. (A) σ_r^e . (B) σ_θ^e .

As shown in Figure 10, the stress in the plastic zone varies with the distance from the excavation surface under different cohesions. It is observed that σ_r^p and σ_θ^p under the three cohesion values increase with increased distance from the excavation surface. In addition, the greater the cohesion, the greater the increase in corresponding stress. However, the radial stress growth curve consists of three curves with the same starting point, and the smaller the cohesion, the steeper the curve. This indicates that there is little difference in σ_r^p in the plastic zone at different cohesions. In contrast, the σ_θ^p growth curve consists of three parallel and gentle curves. Furthermore, the rising range of the σ_θ^p growth curve with increasing cohesion is smaller, indicating that σ_θ^p hardly varies with the distance from excavation surface when cohesion and initial rock stress are constant.

5 Discussion

(1) Influence on stress in elastic zone

Based on the analysis above, it is evident that both the σ_r^e and σ_θ^e curves at different internal friction angles and cohesions are

close and almost overlap when the distance from the excavation surface exceeds 40 m. This indicates that within a certain range, the internal friction angle and cohesion have less influence on stress in the elastic zone as the distance from the excavation surface increases.

(2) Variation of the radius of the plastic zone

Cohesion values of 20, 30, and 40 MPa are selected to explore variations in the radius of the plastic zone under different initial rock stresses and tunnel excavation radii. From Eq. 21, the results are shown in Figure 11.

Figure 11 indicates that the radius of the plastic zone varies with the initial rock stress and tunnel excavation radius at different cohesions. The radius of the plastic zone nonlinearly increases with the initial rock stress. Higher cohesion results in a decrease in the radius of plastic zone, and an increase in radius of the plastic zone is dependent on an increase in the initial rock stress at different cohesions. Furthermore, an increase in tunnel excavation radius also leads to an increase in the radius of the plastic zone, and an increase in radius of plastic zone is

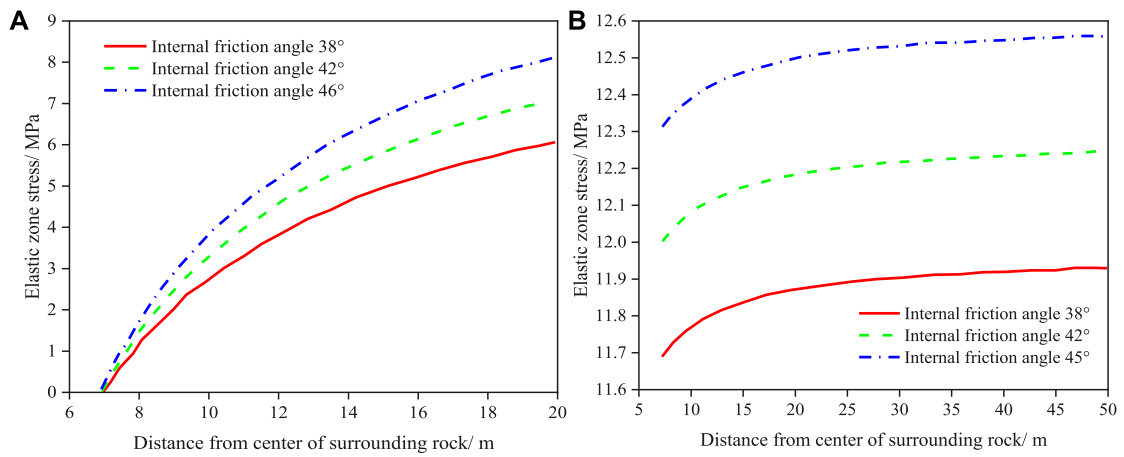


FIGURE 9 Variation of stress in plastic zone under different internal friction angles. (A) σ_r^p . (B) σ_θ^p .

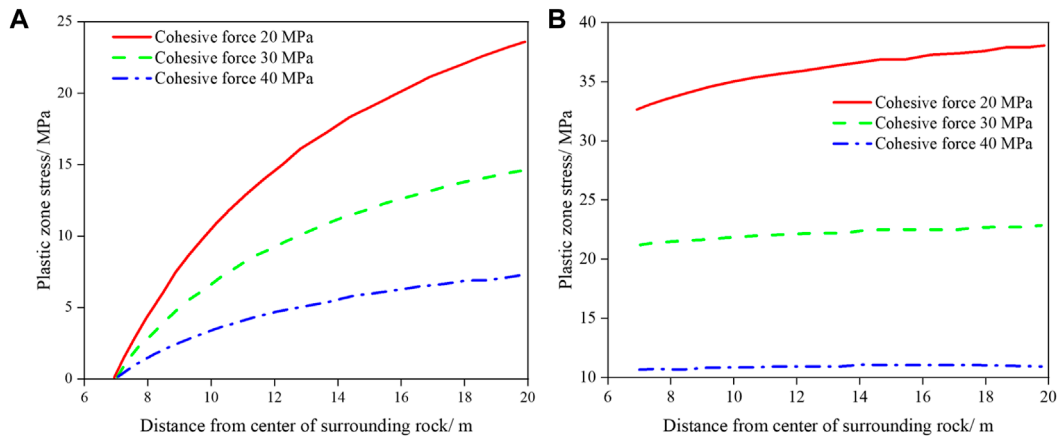


FIGURE 10 Variation of stress in plastic zone under different cohesions. (A) σ_r^p . (B) σ_θ^p .

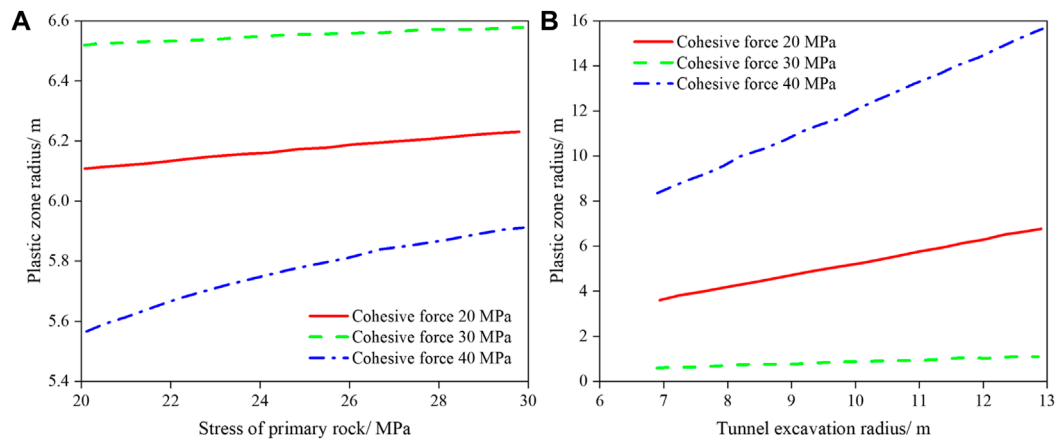


FIGURE 11 Variation of radius of plastic zone under different cohesions. (A) The initial rock stress. (B) Tunnel excavation radius.

associated with an increase in excavation radius at different cohesion conditions.

Therefore, initial rock stress has a significant impact on the radius of the plastic zone. Higher initial rock stress results in a larger plastic zone radius which demonstrates a significant effect on the rock plasticity. Cohesion also plays a significant role in determining the radius of the plastic zone, with higher cohesion leading to a smaller radius. This suggests that increasing cohesion will greatly enhance the resistance of rock mass to plastic failure. Furthermore, an increase in tunnel excavation radius correlates with an increase in plastic zone radius, highlighting the significant impact of tunnel excavation on the plastic zone of rock mass. This should be fully considered in engineering design and practice. These research results serve as an important theoretical reference for rock mechanical properties and have significant guiding implications for geotechnical engineering practice.

6 Conclusion

This study develops an analytical solution for sandy dolomite tunneling, and then conduct parametric analysis to investigate the mechanical response of rock mass in sandy dolomite tunnels. It draws the following analytical conclusions.

- (1) The stress of tunnel sidewalls under different λ values exhibits different characteristics. Varying λ values impacts stress distribution and tunnel stability, with extreme values posing instability risks. When the stress of a rock mass approaches the plastic limit, the deformation rate of rock mass increases. A smaller plastic zone radius leads to lower safety for a tunnel.
- (2) In cases of varying internal friction angles, cohesion, and initial rock stress, radial stress exhibits a gradually decreasing trend with increasing distance from the excavation surface. Higher values lead to a greater decline of radial stress. Stress values increase with distance from the excavation surface but become similar within a certain range. The effects on stress in elastic zone decrease as distance from the excavation surface increases.
- (3) The plastic zone size increases with a larger tunnel excavation radius, while the internal friction angle and cohesion determine the corresponding stress increase value. The initial rock stress significantly affects the plastic zone radius, with a higher initial rock stress resulting in a larger plastic zone radius while higher cohesion leading to a smaller plastic zone radius. Increased tunnel excavation radius also contributes to the expansion of the plastic zone, demonstrating the significant influence of tunnel excavation on rock mass. These findings provide important reference and guidance for geotechnical engineering practice.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material; further inquiries can be directed to the corresponding author.

Author contributions

DS: Conceptualization, Investigation, Methodology, Writing–original draft. ZT: Conceptualization, Methodology, Validation, Writing–review and editing. HY: Funding acquisition, Resources, Supervision, Writing–original draft. HS: Data curation, Investigation, Visualization, Writing–original draft. XL: Formal Analysis, Investigation, Supervision, Writing–original draft. FW: Formal Analysis, Investigation, Supervision, Writing–original draft. SH: Formal Analysis, Investigation, Supervision, Writing–original draft. HS: Data curation, Investigation, Visualization, Writing–original draft. WX: Data curation, Investigation, Visualization, Writing–original draft. ZW: Data curation, Investigation, Visualization, Writing–original draft. MH: Conceptualization, Methodology, Validation, Writing–review and editing.

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Conflict of interest

Author HY was employed by China Railway 12th Bureau Group Co. Ltd.

Author FW was employed by Shanxi Provincial Transportation Technology Research and Development Co. Ltd.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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