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# Spatiotemporal differences in dominant drivers of streamflow evolution on the Loess Plateau

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Previous studies have found that climate change and underlying surface change are the most direct and important drivers of the streamflow change in the Yellow River Basin. However, there are significant spatial differences in climate and underlying surface in the Yellow River Basin, especially in the Loess Plateau, attribution analysis of runoff evolution in the Loess Plateau from the perspective of spatial heterogeneity was rarely reported. Therefore, in order to further reveal the causes of runoff evolution in the Loess Plateau, this study have quantitatively revealed the spatial differences of climate and underlying surface changes driving streamflow changes in 34 main Loess Plateau basins from 1956 to 2020 basing on the Budyko equation with fixed parameters. Results show that the streamflow on the Loess Plateau decreased significantly from 1956 to 2020, and streamflow decreased by 17.19 mm (26.95%) after abrupt change in 1990. The sensitivity coefficients of streamflow change on the Loess Plateau to precipitation, potential evapotranspiration and underlying surface parameters are 0.30, -0.08 and -69.26 respectively. The contributions of precipitation, potential evapotranspiration and underlying surface change to streamflow change were 26.24%, 2.15% and 73.01%, respectively, and showed significant spatiotemporal differences. The spatial variability of streamflow is mainly controlled by underlying surface. For 29 of the whole 34 tributaries, underlying surface change was the dominant driver of streamflow change with the contribution of 52.50%-98.22%. The temporal variability of streamflow on the Loess Plateau is mainly controlled by precipitation. The wetter the climate is, the more sensitive of the streamflow changes are to climate change. And the smaller the underlying surface parameters are, the more sensitive the streamflow changes are to the underlying surface change. The results further deepen our understanding for the response of streamflow to climate and underlying surface changes in the Loess Plateau and can provide theoretical support for systematic regulation of basin water resources in the future.

#### KEYWORDS

streamflow evolution, dominant driver, spatio-temporal differences, Budyko hypothesis, Loess Plateau

# **1** Introduction

The shortage of global water resources is the main challenge for human beings to achieve sustainable development, the driving factors of water resources shortage and its impact on the global or regional have also been the focus of hydrological research. With the rapid change of climate and underlying surface, the global water cycle process accelerates (Olmedo et al., 2022), significantly changing the temporal and spatial distribution of water resources (Yang D. et al., 2021). Streamflow is an important part of water resources, and under the influence of gradually intensifying human activities, streamflow changes in major basins around the world are obvious (Wang et al., 2020). Streamflow of major rivers in China shows a downward trend (Zhang et al., 2020b), of which the streamflow of the Loess Plateau involved in the Yellow River basin has decreased most significantly, further intensifying the contradiction between supply and demand of water resources in the region (Li, 2018). However, the cause of streamflow change on the Loess Plateau is still uncertain, and accurate identification of the main driving factors of streamflow change is of great significance for scientific management of water resources and prediction of water resources change (Berghuijs and Woods, 2016).

A large number of studies have been conducted on streamflow change attribution analysis and drivers identification (Li and Quiring, 2021; Zhang et al., 2021; Zheng et al., 2021; Wang et al., 2022). The global scale studies divide the streamflow change of major rivers into growth type and attenuation type, and then point out that precipitation, evapotranspiration and human activities are the main factors driving the streamflow change, the dominant driver of growthtype rivers is precipitation, and the dominant driver of attenuationtype rivers is human activities (He et al., 2021; Hu et al., 2021; Villarini and Wasko, 2021). Subsequent studies found that the dominant driver of river streamflow change was regional differences. For example, most rivers in the Americas were affected by climate change, while the dominant driver of streamflow change in the Asian basin was the underlying surface change. Similar studies also found that the dominant drivers of streamflow change in different regions within the same basin are also different. For example, the streamflow change in the source region of the Yellow River is mainly dominated by climate change, and the streamflow change in the middle and lower reaches of the Yellow River is mainly dominated by the change of underlying surface (Ni et al., 2022). Recent studies also indicated that climate and underlying surface changes had different impacts on streamflow in different regions of the basin (Khan et al., 2016). For example, climate change leads to the streamflow increase in the source region but the decrease of streamflow in the middle and lower reaches of the Loess Plateau.

The driving factors of the dominant streamflow evolution also have obvious time-varying characteristics (Wang et al., 2019). Similar to the hydrological process, the climatic factors also have a nonstationary trend, which leads to the obvious time-varying characteristics in climate input (Wang et al., 2020). According to the latest IPCC AR6 (IPCC, 2021), the global temperature generally shows an increasing trend in the future, which will further affect the current situation of water cycle by increasing the water holding capacity of the atmosphere, accelerating the water cycle process, and then affecting the spatial and temporal distribution of water cycle elements such as precipitation, evaporation, streamflow and soil moisture content (Jin et al., 2013). In addition to climate change factors, the characteristics of underlying surface of watershed, such as vegetation cover and land use, would also change along with time. Especially since the 1970s, large-scale soil and water conservation measures, such as terraced fields, check dams and Returning Farmland to Forest and Grassland Project have been implemented on the Loess Plateau, and significantly changed the underlying surface morphology of the Loess Plateau. Due to the impact of above soil and water conservancy projects, the measured streamflow has decreased sharply. In addition, many studies showed that climate change was another main driving factor for streamflow reduction in the middle reaches of the Yellow River from 1980 to 2000. While after 2000, the change of underlying surface change caused by the implementation of large-scale soil and water conservation measures was the main reason for streamflow change. Similar studies have also revealed that the time variation of artificial water intake such as farmland irrigation was another reason for the time difference of streamflow change.

Statistical analysis, hydrological model and water heat coupling are three common methods for attribution of streamflow evolution. The statistical analysis method is simple in calculation. But it can only empirically analyze the causes of streamflow changes of climatic and non climatic factors from the perspective of mathematical statistics but a lack of physical mechanism explanation. By contrast, the hydrological model method can describe the physical process of water cycle in detail, but it involves too many parameters, which made the calculation and calibration process complicated. In addition, the water heat coupling methods have been widely used due to its advantages in physical significance expression, less parameters and relatively simple calculation process compared with the hydrological model method. Among them, the Budyko equation is the most representative. In previous studies, the Budyko equation with fixed parameters was used to quantify the impact of climate change and underlying surface change on streamflow, and it was found that in general, the underlying surface change had a more far-reaching impact on streamflow in the study area (Liang et al., 2015; Zhao et al., 2018; Wang et al., 2019; Yang Z. et al., 2021). However, we found that previous studies often took the entire study basin as the research object, and analyzed the streamflow stage changes in the base period and change period throughout the study period. Different periods and different mutation testing methods often led to different streamflow attribution conclusions (Wu et al., 2017; Yu et al., 2021). Ignoring the time-varying characteristics of underlying surface parameters will inevitably lead to one-sided research conclusions.

Previous studies quantitatively analyzed the impacts of climate change and underlying surface change on streamflow from different perspectives. However, few studies have revealed the law of spatial difference of dominant factors of streamflow change, and the mechanism of how spatial change of driving factors affects streamflow evolution is still unclear. Recent studies also emphasize that it is necessary to analyze the time variation of streamflow generation mechanism to identify the time difference of dominant factors of streamflow variation. Therefore, it is also a research gap to identify the time difference of the dominant driving factors of streamflow change.

To fill the above research gaps, the main objectives of this study are to 1) analyze the temporal and spatial differences of streamflow changes on the Loess Plateau, 2) reveal the spatial differences of



driving effects of climate and underlying surface changes on streamflow changes based on the fixed parameter Budyko equation, 3) use the time-varying parameter Budyko equation to evaluate the time differences of the dominant drivers of streamflow evolution. The research results can provide theoretical support for water resources management and planning of the basin.

# 2 Data and methods

## 2.1 Study area

The Loess Plateau (Figure 1) is located in the northwest of China, spanning 289 counties and cities in 7 provinces, including Shanxi, Ningxia, Gansu, Shaanxi and Henan, with a total area of  $62.4 \times 10^4$  km<sup>2</sup>. It includes five different geomorphic regions. The terrain is high in the northwest and low in the southeast, with an altitude of 85–5,210 m. It has a temperate continental climate with an average temperature of 9.72°C–12.87°C during 1961–2017 (An et al., 2021). The water resources on the Loess Plateau are congenitally deficient. The average annual precipitation is about 400–600 mm during 1965–2014 and mainly from June to September (Xu et al., 2018). The Loess Plateau is located in the transitional zone

between the semi humid climate and the arid and semi-arid climate in China. It is very sensitive to climate change and human activities. The ecological environment is fragile, and water and soil loss is serious. In order to improve the ecological environment of the Loess Plateau and improve the regional vegetation conditions, since the 1980s, the Loess Plateau has implemented large-scale watershed management projects, such as returning farmland to forests and grasslands, terraced fields and check dam construction, and achieved good social and ecological benefits.

## 2.2 Data

The hydrological data of the Loess Plateau from 1956 to 2020 are derived from the hydrological yearbook of the Yellow River basin, using the annual streamflow data of 34 basins between Guide and Huayuankou Shuiwen Station (Table 1). The meteorological data of 101 meteorological stations around the Loess Plateau from 1956 to 2020 are from China Meteorological Data Network (http://data.cma.cn) including daily average pressure, precipitation, evapotranspiration, average relative humidity, sunshine hours, average temperature, maximum temperature, minimum temperature and average wind speed. The potential evapotranspiration is calculated by Penman-

ID	Sub-basin	Hydro-station	Watershed/km <sup>2</sup>	Q/mm	P/mm	E <sub>0</sub> /mm	ID	Sub-basin	Hydro-station	Watershed/km <sup>2</sup>	Q/mm	P/mm	E <sub>0</sub> /mm
1	Longwuhe	Tongren	2,832	200.95	445.61	850.81	18	Jialuhe	Shenjiawan	1121	53.74	421.24	1037.88
2	Daxiahe	Zheqiao	6,843	129.21	515.75	798.13	19	Qingliangsigou	Yangjiapo	283	40.71	496.09	1064.28
3	Taohe	Hongqi	24973	180.22	563.84	804.68	20	Qiushuihe	Linjiaping	1873	37.57	492.51	1053.71
4	Huangshui	Minhe	15342	106.45	409.49	852.49	21	Sanchuanhe	Houdacheng	4,102	52.92	493.09	1016.56
5	Datonghe	Xiangtang	15126	183.17	451.59	773.04	22	Wudinghe	Baijiachuan	29662	36.91	404.49	1068.82
6	Zulihe	Jingyuan	10647	9.82	396.73	865.46	23	Qingjianhe	Yanchuan	3,468	37.81	472.45	1038.16
7	Qingshuihe	Quanyanshan	14480	8.03	359.88	1037.95	24	Xinshuihe	Daning	3,992	29.91	507.58	997.65
8	Kushuihe	Guojiaqiao	5,216	18.27	273.68	1095.23	25	Yanhe	Ganguyi	5,891	33.71	514.27	972.41
9	Xiliugou	Longtouguai	1157	23.18	300.58	1048.15	26	Fenchuanhe	Xinshihe	1121	30.00	528.73	969.74
10	Daheihe	Sanliang	6,385	7.35	395.42	949.62	27	Shiwangchuan	Dacun	2,141	31.68	530.92	978.82
11	Qingshuihe	Qingshuihe	541	31.59	405.60	952.63	28	Zhouchuanhe	Jixian	436	28.66	477.74	1013.8
12	Huangfuchuan	Huangfu	3,175	37.70	412.90	966.74	29	Fenhe	Hejin	38728	24.54	485.10	1015.38
13	Gushanchuan	Gaoshiya	1263	50.54	423.87	983.82	30	Beiuluohe	Zhuangotu	25645	29.88	538.92	968.95
14	Zhujiachuan	Qiaotou	2,854	8.35	469.52	948.97	31	Jinghe	Zhangjiashan	43216	35.44	522.18	941.10
15	Lanyihe	Kelan	474	47.14	486.79	998.54	32	Yiluohe	Heishiguan	18563	133.37	691.27	1007.12
16	Kuyehe	Wenjiachuan	8,515	60.40	402.69	1038.63	33	Qinhe	Wuzhi	12880	58.65	575.13	1022.44
17	Tuweihe	Gaojiachuan	3,253	97.52	407.60	1037.54	34	Weihe	Xianyang	46827	82.82	574.66	864.63

#### TABLE 1 Characteristics of the main tributaries of the Loess Plateau.

Monteith formula (Gao et al., 2020). NDVI, soil, land use and GDP, Population data from 1980 to 2020 are from the Resource and Environmental Science and Data Center (www.resdc.cn). The 90 m resolution DEM data comes from the geospatial data cloud (www. giscloud.cn), which is used to represent the terrain distribution characteristics and extract the watershed boundary.

## 2.3 Methods

### 2.3.1 Trend and mutation analysis

Mann Kendall test (MK) is a common trend analysis method for hydrometeorological series (Mann, 1945; Kendall, 1975; Jin et al., 2021). This study adopts MK test method to test the trend of hydrological and meteorological series of the Loess Plateau. The cumulative anomaly analysis and double cumulative curve method are used to detect the abrupt point of the annual streamflow series, and the sliding t-test method is used to verify whether there is a significant difference between the average values of the samples of the two sub series. The streamflow series is divided into base period and change period at the abrupt point, and the hydrological climate characteristic value and stage change degree of the two sub series are calculated respectively.

### 2.3.2 Linear regression analysis

Linear regression analysis can simulate the change trend of each grid, and use the least square method to fit the change slope pixel by pixel within a certain time to comprehensively reflect the spatiotemporal evolution characteristics of elements (A et al., 2017). The spatial change trend of precipitation, evapotranspiration and vegetation coverage in this study is obtained through linear regression analysis, and the calculation formula is as follow:

$$slope = \frac{n\sum_{i=1}^{n} x_i y_i - \sum_{i=1}^{n} x_i \sum_{i=1}^{n} y_i}{n\sum_{i=1}^{n} x_i^2 - \left(\sum_{i=1}^{n} x_i\right)^2}$$
(1)

where slope is the change trend, and the positive and negative represent the increase or decrease trend; n is the time series length of the study;  $y_i$  is the parameter value of the  $x_i$  year.

To further evaluate the significance of the change trend, this study uses the F test method to analyze the significance of the change trend. The formula for calculating the statistical value F is:

$$F = U \times \frac{N-2}{Q} \tag{2}$$

where  $U = \sum_{i=1}^{n} (\hat{y}_i - \bar{y})^2$  is the sum of error squares,  $Q = \sum_{i=1}^{n} (y_i - \hat{y}_i)^2$  is the sum of regression squares,  $y_i$  and  $\hat{y}_i$  is the measured value and simulated value of the  $x_i$  year respectively.

This study divides the change trend into three grades according to the statistical value of 95% significance level: significant decrease (*slope* < 0, *P* < 0.05), not significant (*P* > 0.05), significant increase (*slope* > 0, *P* < 0.05).

### 2.3.3 Attribution analysis of streamflow change

The attribution analysis adopts the coupled balance equation of water and heat based on the Budyko hypothesis. On a long-term scale, evapotranspiration is mainly determined by the balance relationship between water supply conditions and radiation energy (Lv et al., 2019). The actual streamflow of the basin can be calculated by combining the equation of water balance (P=Q+E). The functional form  $E/P = f(E_0/P)$  was first proposed by Budyko (Budyko, 1974), which describes the interaction between the climate, hydrology and underlying surface. The equation has been developed into many forms through continuous improvement of previous studies. Choudhury Yang formula is the most popular method for calculating the actual evapotranspiration of watershed (Yang et al., 2007; Yang et al., 2008). The expression is as follows:

$$E = \frac{E_0 P}{\left(P^n + E_0^n\right)^{\frac{1}{n}}}$$
(3)

Where *E*,  $E_0$  and *P* represent annual average actual evapotranspiration, annual average potential evapotranspiration and annual average precipitation respectively, mm; and *n* represent underlying surface characteristic, which is a nondimensional parameter and related to vegetation, terrain, soil and other factors of the basin (Zhou et al., 2015).

Combining the definition of sensitivity coefficient and water balance equation, the partial derivative of Yang formula is used to obtain the sensitivity coefficient of streamflow to precipitation, potential evapotranspiration and underlying surface parameter. And underlying surface parameter is obtained by the least square method, specifically combined with the single variable solution method in Excel.

$$\frac{\partial Q}{\partial P} = 1 - \frac{1}{\left[1 + \left(\frac{P}{E_0}\right)^n\right]^{1+\frac{1}{n}}}$$
(4)

$$\frac{\partial Q}{\partial E_0} = -\frac{1}{\left[1 + \left(\frac{E_0}{P}\right)^n\right]^{1+\frac{1}{n}}} \tag{5}$$

$$\frac{\partial Q}{\partial n} = \frac{E_0 P}{\left(P^n + E_0^n\right)^{\frac{1}{n}}} \cdot \left[ \left( -\frac{1}{n^2} \right) \cdot \ln\left(P^n + E_0^n\right) + \frac{1}{n} \cdot \frac{1}{P^n + E_0^n} \left( \ln P \cdot P^n + \ln E_0 \cdot E_0^n \right) \right]$$
(6)

Where *Q* represent annual average streamflow, mm. According to the changes of the two stages before and after the mutation of each factor  $\Delta X$ , and combined with the sensitivity coefficient derived from the above formula, the influence amount of each factor to streamflow change  $\Delta Q_X$  and its contribution rate  $\eta_X$ , %, can be calculated:

$$\Delta Q_X = \frac{\partial Q}{\partial X} \Delta X \tag{7}$$

$$\eta_X = \frac{\Delta Q_X}{\Delta Q} \times 100\% \tag{8}$$

## **3** Results

# 3.1 Temporal and spatial change characteristics of streamflow

From 1956 to 2020, streamflow on the Loess Plateau showed a decreasing trend (-0.42 mm/yr), precipitation showed a decreasing trend (-0.38 mm/yr), and evapotranspiration showed an increasing





trend (0.04 mm/yr) (Figure 2). In the context of global warming, the reduction of precipitation indicates that the climate has a trend of warming and drying, and the increase of evapotranspiration

consumption caused by temperature rise directly leads to the reduction of streamflow on the Loess Plateau. In terms of time, the statistical value of MK trend analysis of streamflow series





is -3.99, and the statistical value of streamflow series has passed the 99% significance test. And that of precipitation and evapotranspiration are -0.18 and 0.35, respectively, which means the change trend is not significant. From the perspective of space (Figure 3), except for two watersheds (Qingshui River and Kushui River) showed an increasing trend, the remaining 32 watersheds showed a decreasing trend, of which 30 showed a significant decreasing trend, which exceeded the 95% significance test, indicating that the spatial change trend of streamflow was significant.

Based on the anomaly analysis of the streamflow series on the Loess Plateau from 1956 to 2020 (Figure 4), we found that the years from 1956 to 1990 were mainly wet years, and the years after 1990 were mainly dry years. The cumulative anomaly and double cumulative curve were used to conduct the mutation test for the streamflow from 1956 to 2020 (Figure 5), and the sliding t-test was used to verify the significance. The results showed that the streamflow series had a sudden change in 1990, and the sliding t-test value of the abrupt change point was 4.99, which exceeded the significance level of 0.001, indicating that the streamflow had a

significant abrupt change in 1990. The abrupt change point divides the streamflow series into base period (1956–1989) and change period (1990–2020). Compared with the base period, the average annual streamflow in the change period decreases by 17.19 mm (26.94%) (Figure 6A).

Analyzing the precipitation-streamflow relationship on the Loess Plateau before and after the mutation (Figure 6B), we found that the precipitation-streamflow relationship was well matched before 1990, with the decisive coefficient  $R^2$  of 0.72. It can be considered that the streamflow in the basin is in a natural state and is less affected by human beings. The year of streamflow abrupt change basically coincides with the time when soil and water conservation measures such as returning farmland to forests, terraces and check dams were implemented on the Loess Plateau, indicating that streamflow has been affected by human activities since then. The streamflow under the same precipitation conditions after the abrupt change is significantly lower than that before the abrupt change, reflecting the impact of human activities on the streamflow generation process by changing the underlying surface conditions.



TABLE 2 Characteristic values and changes of water cycle elements at different stages.

Stage	Q/mm	P/mm	E <sub>o</sub> /mm	n
Average	55.61	437.85	995.02	1.67
1956-1989	63.81	444.92	992.74	1.59
1990-2020	46.62	430.10	997.51	1.77

# 3.2 Attribution analysis of streamflow change

According to the average precipitation, streamflow and potential evapotranspiration of each stage, the least square method is used to calculate the underlying surface parameter n (Table 2) of each stage according to Eq. 5. Combining Eqs 6–8, the sensitivity coefficients of streamflow change on the Loess Plateau to precipitation, potential evapotranspiration and underlying surface parameters are 0.30, -0.08 and -69.26 respectively, indicating that every 1 mm increase in precipitation on the Loess Plateau will lead to a 0.30 mm increase in streamflow, Each 1 mm increase in potential evapotranspiration will reduce streamflow by 0.08mm, and each unit increase in underlying surface parameters will reduce streamflow by 69.26 mm. As far as the sensitivity coefficient is concerned, streamflow change on the Loess Plateau is more sensitive to precipitation and underlying surface change.

Combined with the sensitivity coefficient of streamflow change and the stage change of driving factors, the streamflow change caused by the change of each factor can be calculated by Eq. 7. The streamflow variation caused by precipitation, potential evapotranspiration and underlying surface parameters on the Loess Plateau is -4.51mm, -0.37 mm and -12.55 mm. The total streamflow variation simulated by the Budyko equation is 17.43 mm, and the error between the simulated and measured streamflow variation is only 0.24 mm (1.40%), which indicates

that the stage variation results of the Budyko hypothesis applied to the simulated streamflow on the Loess Plateau are basically consistent with the measured values. According to Eq. 8, the contribution rates of climate change and underlying surface change to streamflow change are 28.39% and 73.01% respectively, of which the contribution rate of precipitation change is 26.24%, and the contribution rate of potential evapotranspiration change is only 2.15%. Precipitation change and underlying surface change are the main driving factors of streamflow change. Therefore, for the whole Loess Plateau, the dominant driver of streamflow change from 1956 to 2020 is the change of underlying surface.

# 3.3 Spatiotemporal differences in attribution of streamflow changes

Taking 1990 as the break point, the streamflow series of 34 basins on the Loess Plateau are divided into base period and change period, and the attribution analysis of streamflow changes is carried out by using the fixed Budyko equation parameter method (Figure 7). We found that 29 of the 34 basins on the Loess Plateau are dominated by the change of underlying surface (52.50%–98.22%), only Zuli River (6) in the west of the Loess Plateau, and Qingjian River (23), Yanhe River (25), Fenchuan River (26), Shiwangchuan River (27), Beiluo River (30) in the middle of the Loess Plateau is dominated by precipitation changes.

Using the Budyko equation with time-varying parameters and taking 5 years as a stage, the attribution analysis of streamflow changes in 12 stages from 1956 to 2020 is carried out (Table 3), we found that the contribution rate of precipitation change to streamflow change in 8 time periods (1970–1975, 1985–1990, 1990–2020) exceeded 50% (50.72%–84.68%), and the streamflow change in this period is dominated by precipitation change. The contribution rate of the underlying surface in the other four time periods (1961–1970,1981–1985,1991–1995) is 52.18%–66.97%, and the streamflow change is dominated by the underlying surface change.



# 4 Discussion

## 4.1 Temporal difference analysis

Previous studies have proved that the dominant drivers of streamflow change in different periods are different. The Chinese government recognized this problem and initiated the "Grain for Green Program" (GFGP) in the late 1990s. This large-scale vegetation restoration engineering converted slope farmlands into forests or grasslands to minimize soil water loss, mitigate flood risk, and improve livelihoods in the area (Fu et al., 2017). Thus, many studies assessed the impact of climate change and underlying surface changes such as land use on streamflow on the Loess Plateau and concluded that climate was the dominant factor affecting streamflow before 1990, but the impact of climate factors on streamflow changes gradually decreased over time (Zhang et al., 2017; Kang et al., 2019; Gao et al., 2020; Lu et al., 2020; Wang et al., 2021). The implementation of water and soil conservation measures after 1990 can explain more than 80% of streamflow reduction (Li B. et al., 2016; Zhang et al., 2020a). Human interference, especially land use change caused by returning farmland to forests, has become the main reason for streamflow change (Zhao et al., 2014; Li Y. et al., 2016; Gao et al., 2016; Li Q. et al., 2017; Shi et al., 2019; Zheng et al., 2019; Chen et al., 2020; Sun et al., 2020), Moreover, the impact of human activities tends to increase (Wang et al., 2016; Li Z. et al., 2017). However, it needs to be pointed out that these studies select a mutation point to divide the whole streamflow series into two stages for attribution analysis. Due to the difference in mutation testing

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TABLE 3 Streamflow quantity and contribution rate of influencing factors at different time periods.

Period	∆Q/mm	∆P/mm	η <sub>Ρ</sub> /%	η <sub>Ε0</sub> /%	η <sub>n</sub> /%
1961-1965	+9.48	-25.32	-32.56	+2.45	+64.98
1966-1970	-9.75	-8.10	-29.38	-8.82	-61.80
1971-1975	-15.33	-40.91	-84.68	-12.29	-3.02
1976-1980	+4.82	+28.20	+50.72	+13.64	-35.65
1981-1985	+10.84	+3.57	+10.78	+22.25	+66.97
1986-1990	-13.31	-24.94	-60.95	+0.26	-38.79
1991-1995	-9.59	-12.60	-38.05	-9.77	-52.18
1996-2000	-5.01	-18.82	-54.61	-23.10	+22.29
2001-2005	+3.95	+36.96	+55.09	+6.30	-38.61
2006-2010	-2.04	-13.12	-71.26	+6.19	+22.56
2011-2015	+8.53	+47.13	+75.20	-2.40	-22.40
2016-2020	+6.02	+19.03	+54.56	-20.20	+25.24

Note: the ± representation of contribution leads to the increase/decrease of streamflow. Values with a contribution rate greater than 50% are highlighted in bold.

methods and the length of time series, the mutation point is often uncertain and not unique, and there may be human errors in the selection process of mutation points, so the research conclusions may be one-sided.

In this study, the time-varying Budyko equation is used to divide the whole research series into 12 stages with a unit of 5 years. The time difference of the dominant drivers of streamflow change is analyzed to avoid errors that may be caused by stage division. We found that the dominant driver of streamflow change is vary over different time. Streamflow change on the Loess Plateau is mainly dominated by precipitation change (8/12 stages), and only 4 stages are dominated by underlying surface change. It indicates that the underlying surface dominated periods (1961-1965, 1966-1970, 1981-1985, 1991-1995) were greatly affected by human activities. From 1958 to 1970, due to population growth and the "Great Leap Forward in Production," a large number of grasslands have been reclaimed as farmland and forests have been cut down, the vegetation coverage decreased to 42.5% (Xu et al., 2013). At the same time, this stage and the subsequent 1980s were the two peak stages of the construction of terraces and check dams on the Loess Plateau, and their construction had a significant impact on the streamflow formation process. In addition, due to the impact of the large-scale project of returning farmland to forests and grasslands since the 1990s, the characteristics of underlying surface and the process of streamflow generation and concentration have changed significantly, resulting in a significant reduction of streamflow. Therefore, in general, the underlying surface factors such as vegetation, terrain and soil have little change under the condition of no human disturbance (Feng et al., 2016), which shows that most stages are dominated by precipitation changes.

Therefore, we infer that the periodic fluctuation of precipitation controls the change of streamflow in the basin in the whole time domain. In order to verify our inference, the  $E_0/P \sim E/P$  relation curve (Figure 8) from 1956 to 2020 was drawn in



this study, we find that the point of  $E_0/P \sim E/P$  basically fluctuates around the Budyko curve with n=1.6, where n is the underlying surface characteristic parameter in the Budyko hypothesis, and a fixed n value means that the underlying surface changes little or remains unchanged. Therefore, from the perspective of time scale, the streamflow change on the Loess Plateau is mainly controlled by precipitation.

### 4.2 Spatial difference analysis

Influenced by the spatial distribution of climate and underlying surface factors and the non-uniformity of spatial change, the contribution of driving factors of streamflow change on the Loess Plateau shows obvious spatial difference. On the annual average time scale, streamflow is most sensitive to precipitation and underlying surface parameters. The underlying surface is the dominant driver of streamflow change in most basins (29 out of 34,~76.42%), which is consistent with the research conclusions of Yang L. et al. (2021); Zhang et al. (2020a) (Figure 9). They found that the average contribution of streamflow change on the Loess Plateau basin dominated by the underlying surface is 74.09%, although there are differences in contribution rates, the ranking order and identification of dominant factors in our study are stable and consistent with those of Yang L. et al. (2021) and Zhang et al. (2020a).

In terms of climate conditions, all the selected Loess Plateau basins in this study are water limited region, and the climatic water supply is the main climatic factor affecting streamflow. However, during the period 1956–2020, the annual precipitation of most basins only showed slight and insignificant changes. In contrast, changes in streamflow and vegetation are more obvious. Therefore, we speculate that large-scale ecological restoration measures may be an important reason for streamflow reduction on the Loess Plateau. The research shows that the ecological restoration measures of the Loess Plateau began to be implemented in the 1970s. The large-scale construction of terraced fields and check dams (Figure 10), coupled with the impact of vegetation restoration such as returning farmland



### FIGURE 9

Contribution rate of underlying surface change to streamflow change on the Loess Plateau in previous studies. Red indicates that streamflow change is dominated by underlying surface change, and color depth indicates difference in contribution rate; Blue indicates that streamflow change is dominated by climate change.





to forests and grasslands, significantly changed the underlying surface conditions of the Loess Plateau, and then led to the change of watershed streamflow. Therefore, from the perspective of spatial scale, the change of underlying surface is the dominant factor of streamflow change.

In addition, we also found that the streamflow change in the middle part of the Loess Plateau is dominated by climate change, which changed and expanded our understanding of the dominant driving factors of streamflow change on the Loess Plateau. The climate dominated drainage basin radiates outward from the center of Yan'an in the central region of the Loess Plateau. Yan'an is the first region on the Loess Plateau to start soil erosion control, the vegetation restoration effect in this region is remarkable (Figure 11A), and the construction of ecological restoration measures was basically completed in 1990 at the mutation point determined in this study. Since then, the underlying surface in this region tends to be stable gradually, so it is concluded that the streamflow change is dominated by climate change. At the same time, we infer that the intensive vegetation restoration in the central region of the Loess Plateau may change the microclimate of the region (Figure 11B), which leads to the climate becoming the dominant factor of streamflow change.

## 4.3 Uncertainty

In addition to changes in climate and underlying surface, changes in watershed storage also contribute to streamflow changes. The attribution analysis based on Budyko theory ignores the influence of soil water storage change on a multi-year scale, which may lead to some errors in the calculation results. Besides, the method based on Budyko uses the assumption that climate change and underlying surface change have independent effects. However, the interaction mechanism among climate, vegetation and soil is complex, which may also have a certain impact on the research results. Therefore, in the future, it can be considered to use the remote sensing data of surface soil water for short-term attribution analysis on an annual or monthly scale, and further strengthen the research on the interaction mechanism of climate, vegetation, soil, and hydrology.

# 5 Conclusion

To quantitative analysis the spatiotemporal differences in dominant drivers of streamflow evolution on the Loess Plateau, this study conducted attribution analysis on streamflow changes at different time and space scales in 34 basins of the Loess Plateau during 1956–2020 based on the Budyko equation with fixed and time-varying parameters. The main conclusions are as follows.

- The streamflow of the Loess Plateau showed a significant decreasing trend and had an abrupt change point in 1990; compared to 1956–1990, the average streamflow in 1990–2020 was reduced by 17.19 mm (26.95%).
- (2) Climate change and underlying surface change are major drivers of streamflow changes. The sensitivity coefficients of streamflow change on the Loess Plateau to precipitation, potential evapotranspiration and underlying surface parameters are 0.30, -0.08 and -69.26 respectively, while the contribution rate of which was 26.24%, 2.15% and 73.01% respectively.
- (3) The influence of climate and underlying surface parameters on streamflow of Loess Plateau have spatiotemporal heterogeneity. For the whole Loess Plateau, the dominant driver of streamflow change from 1956 to 2020 is underlying surface change, but the contribution rate varies greatly and ranged from 52.50% to 98.22% in different tributaries. Differences of regional wet/dry conditions would also affect the sensitivity of streamflow to climate and underlying surfaces changes. The temporal

variation of streamflow on the Loess Plateau is mainly controlled by precipitation. The spatial variation of streamflow on the Loess Plateau is mainly controlled by the underlying surface.

The results can further deepen our understanding for the response of streamflow to climate and underlying surface changes in the Loess Plateau. However, the underlying surface factors considered in this paper are still vague. In the future, it is necessary to consider the specfic composition of the underlying surface on the basis of this study, and further reveal the influence mechanism of vegetation, terraces, silt dams and other underlying surface components on the streamflow changes of the Loess Plateau, which might provide more feasible theoretical support for the water resources regulation on the Loess Plateau in the future.

### Data availability statement

The data analyzed in this study is subject to the following licenses/restrictions: Using the data in this paper needs to be authorized by the author himself. Requests to access these datasets should be directed to nihulvxizhi@163.com.

## Author contributions

QZ composed the paper. YN, LM, and JW analyzed the data. DZ and SW assisted in data collection. XL supervised this work. All

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## **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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