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\*CORRESPONDENCE Gregoire Guillet, ⊠ gregguillet@gmail.com

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# Bayesian estimation of glacier surface elevation changes from DEMs

### Gregoire Guillet<sup>1,2</sup>\* and Tobias Bolch<sup>1,3</sup>

<sup>1</sup>School of Geography and Sustainable Development, University of St Andrews, St Andrews, United Kingdom, <sup>2</sup>Civil and Environmental Engineering, University of Washington, Seattle, WA, United States, <sup>3</sup>Institute of Geodesy, Graz University of Technology, Graz, Austria

Accurate estimates of glacier surface elevation changes are paramount for various aspects of the study of the cryosphere, from glacier flow and thickness estimates to hydrological forecasts and projections of sea-level-rise. We present a novel probabilistic framework to filter outliers and estimate uncertainties in glacier surface elevation changes computed from the subtraction of digital elevation models (DEM). Our methodology frames outlier filtering as a Bayesian inference problem, thus characterizing the state of knowledge on glacier surface elevation changes through the posterior distribution as the combination of glacier volume variation observations and prior knowledge arising from previously collected data and/or modeled results. We validate this technique with experiments using Gaussian random fields to generate artificial noise in glacier surface elevation variation observations and show that the model satisfactorily culls the simulated outliers. Surface elevation change estimates are consistent with results computed from widely-used outlier filtering and uncertainty estimation techniques. The Bayesian framework allows unifying DEM error models with physical considerations on glacier surface elevation changes within a simple, statistically coherent model preventing temporal correlation and additional biases in other techniques. On the basis of these results, we discuss the implications of DEM uncertainty and offer suggestions for the glaciological community.

#### KEYWORDS

glacier surface elevation change, bayesian methods, glacier surges, uncertainty estimation, probabilistic framework

# **1** Introduction

The increasing collection of surface elevation datasets has created a vast archive for the study of the cryosphere. Digital elevation datasets have now become ubiquitous in the study of glaciers (Hubbard et al., 2000; Bolch et al., 2011; King et al., 2019; Shean et al., 2020; Hugonnet et al., 2021), ice caps (Bingham and Rees, 1999; Moholdt and Kääb, 2012; Papasodoro et al., 2015) and ice sheets (Davis and Ferguson, 2004; Whitehead et al., 2013; Shean et al., 2019; Simonsen et al., 2021) and present a significant opportunity to further our understanding of ice dynamics, cryosphere/climate relationships, and future sea level rise (Gardner et al., 2012). Lately, efforts have primarily focused on producing new and more accurate digital elevation models (DEMs) from the air- and space-borne optical or radar sensors (Muskett et al., 2009; Moholdt and Kääb, 2012; Neckel et al., 2014; Leinss and Bernhard, 2021; Knuth et al., 2023) and widespread processing techniques (Noh and Howat, 2015; Mertes et al., 2017; Mölg and Bolch, 2017; Bhushan et al., 2021; Janowski et al., 2021). This has resulted in studies quantifying glacier elevation changes on longer timescales (Bolch et al., 2011; King et al., 2020; Bhattacharya et al., 2021), broader spatial scales (Brun et al., 2017; Shean et al., 2020; Hugonnet et al., 2021), and with higher temporal and spatial resolutions (Brun et al., 2016; Hugonnet et al., 2021).

Studies estimating glacier surface elevation change rely on DEMs of disproportionate quality as their initial data originate from different sensors (Toutin, 2008; González-Moradas and Viveen, 2020), are processed using various algorithms (Futamura et al., 2002; Beyer et al., 2018; Bhushan et al., 2021), collected at inconsistent spatial resolutions (Bolch et al., 2011; Shean et al., 2020; Bhattacharya et al., 2021) or contain spurious elevations due to cloud coverage (Bolch et al., 2005; Brun et al., 2017). These limitations can introduce substantial bias and uncertainties in the information derived from glacier surface elevation changes computed by differencing two or more DEMs (Paul et al., 2017; Podgórski et al., 2019; Hugonnet et al., 2022). Typical biases affecting DEMs generated from radar and optical sensors are described in greater detail in Section 2. Mitigating biases on the information derived from DEM differences has recently been the focus of substantial efforts (e.g., Hugonnet et al., 2022).

The first step in an accurate surface elevation change analysis is the removal of 3-dimensional shifts between input DEMs by aligning the datasets. The co-registration algorithm proposed by Nuth and Kääb (2011) is the most widely used means of eliminating 3D shifts when differencing DEMs (Paul et al., 2017). Although the robustness of registration techniques addresses grid-wide elevation shifts, coregistration alone cannot filter isolated residual biases in DEMs. Residual biases typically arise from atmospheric conditions at data acquisition (Vaze et al., 2010; Gardelle et al., 2012), sensorspecific biases (Oksanen and Sarjakoski, 2005; Girod et al., 2017), as well as physical properties of the observed terrain (Dall et al., 2001; Li et al., 2021b) all of which require removal. There are a wide variety of outlier filtering methodologies and most rely on statistical thresholds using different metrics or accuracy measures: Pieczonka and Bolch (2015) use an elevation-dependent sigmoid function, Gardelle et al. (2013); Shangguan et al. (2015) use hypsometric (100 m elevation band) standard deviation, Brun et al. (2017); Braun et al. (2019); Hugonnet et al. (2021) rely on the hypsometric normalized median absolute deviation (NMAD) (Höhle and Höhle, 2009); Shean et al. (2020) remove outliers from input datasets using triangulation error and absolute elevation difference compared to a reference DEM (Wang and Kääb, 2015).

Uncertainties in remotely-sensed glacier quantities (thickness or velocity changes, for example,) are usually estimated through pixel-wise or spatially integrated geostatistical methods over a specific spatial domain. The most widely used methodology for spatially-integrated uncertainty estimation (see, e.g., Fischer et al. (2015); Dehecq et al. (2020); King et al. (2021)) was proposed by Rolstad et al. (2009) and describes the uncertainty associated with the rate of elevation change as proportional to the root of the standard deviation of elevation change over static reference surfaces. The estimation of pixel-wise uncertainties often relies on the use of terrain morphometrics such as slope (Carlisle, 2005; Milan et al., 2011) or ruggedness (Kyriakidis et al., 1999) as a descriptor of error. Hugonnet et al. (2022) characterize and

propagate uncertainty through a spatial inference framework, relying on off-glacier "stable terrain" as a proxy for error analysis. Most frameworks for culling outliers and estimating uncertainties rely on the implicit assumption that glacier surface elevation changes vary smoothly and are normally distributed in space and time. Gaussianity in glacier surface elevation changes is, however, more often than not an unrealistic assumption, since most glacier volume variations measurement-error distributions are either positively skewed (Brun et al., 2016) or present heavy tails (Vincent et al., 2016; Vijay and Braun, 2018), due, for example, to the unstable flowing regime of surge-type glaciers. Surge-type glaciers typically show abrupt variations in their flowing regime, alternating between periods of high velocity (up to 5 m/day, lasting months to years) and quasi-stagnant (years to decades) flow (e.g. Truffer et al., 2021). Therefore, accounting for non-Gaussian elevation changes and errors in the DEM differencing process and quantifying the uncertainties on the surface elevation changes is crucial.

Bayesian methods have recently gained significant momentum in the glaciological community as they allow the combination of various sources of information within a single statistically coherent framework (see, for example, Zammit-Mangion et al., 2015; Brinkerhoff et al., 2016; Guillet et al., 2020; Werder et al., 2020; Zhang and Cressie, 2020; Gopalan et al., 2021). This paper presents a method to derive probabilistic estimates of glacier surface elevation changes by conditioning glacier volume variation observations on previously available knowledge of glacier surface elevation changes (i.e., previously published data and/or surface mass balance model outputs). We present the estimation of glacier thickness change as a Bayesian inference problem, which allows for quantifying uncertainties resulting from DEM errors. By unifying physical knowledge of glacier surface elevation changes with simple DEM error modeling within a statistically coherent probabilistic framework, we produce pixel-wise probability distributions of glacier surface elevation change to measure glacier surface elevation change uncertainty. We present validation results that test key aspects of the proposed methodology, with an emphasis on study zones where surge-type glaciers are likely to severely alter the elevation change signal.

# 2 Digital elevation measurements and their uncertainties

The broad range of satellite sensors allows for generating an ever-increasing volume of glacier digital elevation products. Most products used in glaciology are either point clouds acquired by altimeters (either laser or radar, such as ICESat and ICESat-2 or Cryosat-2) or gridded elevation products generated from optical (ASTER, Pléiades, WorldView) or radar sensors (SRTM C/X-bands, TanDEM-X). In this work, we focus on deriving estimates of glacier surface elevation changes, relying solely on the subtraction of DEMs and not considering products from radar or laser altimeters. However, we wish to mention that the method presented throughout this paper can readily be extended to glacier changes measured from altimeters, provided that sensor-related uncertainties can be modeled satisfactorily.

In addition, we stress that this paper proposes a method to unify DEM post-processing steps within a consistent probabilistic framework, further emphasizing the use of widely available products. Uncertainties in DEM generation and pre-processing steps cannot be expected to be included. For more information regarding this topic, we refer the reader to the works of Paul et al. (2017), Hugonnet et al. (2022) and Berthier et al. (2023) for example, as well as individual documentation for specific DEM generation pipelines.

#### 2.1 DEMs from optical sensors

DEMs from optical sensors are plagued by data gaps and artifacts resulting from failed matching during DEM generation (Paul et al., 2017; Hugonnet et al., 2022), which represent a major source of aberrant elevation change signal in the DEM of Difference (DoD). They typically are the consequence of homogeneous surfaces lacking contrast (shadow, snow, clouds, fog) and mainly affect DEMs processed from 8-Bit sensors (such as ALOS-PRISM, ASTER missions (see Raup et al. (2015) for more). Artifacts arise further when spatial resolution is increased beyond the capabilities of the original data (Paul et al., 2017). Any artifact affecting one of the DEMs used to quantify glacier surface elevation at a given time will be propagated into the gridded thickness change product.

#### 2.2 DEMs from radar sensors

While DEMs derived from radar interferometry are not restricted by daylight or atmospheric conditions, such as clouds and fog, they are vulnerable to phase unwrapping errors due to foreshortening, layover, and shadow effects caused by the surrounding steep topography (e.g., Eineder, 2003; Shugar et al., 2010). More prominently, radar signals are known to penetrate ice and dry snow to varying depths (Dall, 2007; Gardelle et al., 2012; Dehecq et al., 2016), depending on the physical properties of the land surface (e.g., snow moisture content) and the signal (e.g., Berthier et al., 2006; Rott et al., 2021). The Shuttle Radar Topography Mission (SRTM) DEM is a well-documented example elevation-dependant of radar penetration, as its global coverage has been used in numerous studies (Berthier et al., 2006; Gardelle et al., 2012; Pieczonka and Bolch, 2015; Bolch et al., 2017; Li et al., 2021a). The DEM was created from C-band SAR data collected in February 2000 and thus has extensive snow cover over most mountain regions of the northern hemisphere.

In this paper, we propose a methodology that addresses uncertainties related to optical sensors and radar penetration. We do so through a probabilistic approach (see Section 3) relying on the unification of error modeling for DEMs from both optical and radar sensors (Section 3.1) with available knowledge on glacier thickness changes (Section 3.2) within a statistically consistent framework.

## **3** Bayesian formulation

Let us consider the DEM subtraction problem for two 3-D aligned DEMs from which we compute the glacier surface elevation change Z over a given period T as follows:

$$Z(s,T) = z(s,t = t_2) - z(s,t = t_1)$$
(1)

Where z(t) is the digital representation of surface elevation, observed at a finite set of locations *s* over a spatial domain  $\mathcal{D}$  with  $s \in \mathcal{D}$ , at time *t* with  $t_2 > t_1$  and  $T = t_2 - t_1$ 

Since DEMs are inherently imperfect representations of the true, exact underlying ground surface (unknown and inaccessible), Z(s, T) correspondingly carries an uncertainty that propagates in surface elevation change calculations. The true and unknown surface elevation change, hereafter denoted X, is thus a latent variable that we aim to infer from its direct measurement Z:

$$X(s,T) = Z(s,T) + e(s,t)$$
<sup>(2)</sup>

where e(s,t) is the unknown difference between the observed and the "true" surface elevation change. In a recent publication, Hugonnet et al. (2022) relied on inferential methods from stable ground to characterize e(s,t). Here, we opt for a different approach and aim to provide a probabilistic estimate of the "true" glacier elevation change X from observations of Z, conditioned only on data- and model-based knowledge on glacier surface elevation changes.

Any known information available about *X* before considering *Z* is called *prior* information and is hereafter denoted *I*. *I* here mainly includes assumptions or previous knowledge about glacier dynamics. The Bayesian DEM subtraction problem amounts to finding p(X|Z, I) which is the probability density of *X* conditional to knowing both *Z* and *I*, also known as the *posterior* probability density function (PDF) of *X*. More formally, applying Bayes' theorem to our problem, we can write:

$$p(X|Z,I) = \frac{p(Z|X,I)p(X|I)}{p(Z|I)}$$
(3)

The right-hand side of Eq. (3) comprises three terms playing distinct roles in the inference process.

p(Z|X,I) is called the *likelihood*. It represents the probability density of observing the glacier surface elevation changes as described by *Z* and a defined error model (see Section 3.1) if we assume the true glacier thickness change *X* and *I* to be known. This term captures all the measurement errors related to different topographic parameters (terrain roughness, slope, aspect, etc.) and cloud cover (see Section 3.1).

p(X|I) is called the *prior* and encodes all *a priori* information assumed about *X*, gathered from knowledge on the physics of glaciers and glacier dynamics. We discuss the prior term in greater detail in Section 3.2.

Finally, p(Z|I) is a normalizing constant independent from X and ensuring  $\int p(X|Z,I)dX = 1$ . Its value is of no practical significance for this work. We shall thus neglect it and remember that the posterior PDF (Eq. (3)) is defined up to a normalizing constant.

#### 3.1 Likelihood

The first ingredient of Bayesian inference is the likelihood, which captures the DEM-related errors by describing the probability of observing Z under a given error model and prior information *I*: p(Z|X,I). In the present case, the likelihood aims to model measurement error sources in digital elevation datasets.

In this work, we want to be able to deal with mixed radar and optical datasets and will construct simple empirical models for 3 sources of errors:

- · Terrain morphology
- Radar penetration for radar datasets
- · Low-contrast areas and cloud obscuration for optical datasets

For both radar and optical sensors, terrain morphology and sample density have been documented as first-order controls of DEM error and uncertainty (Aguilar et al., 2005; Wise, 2011; Mukherjee et al., 2013; Hubacek et al., 2016; Hugonnet et al., 2022). We thus follow the findings of Heritage et al. (2009); Wheaton et al. (2010); Milan et al. (2011) documenting the effect of terrain morphology on DEM errors and use terrain roughness (largest inter-cell difference for a central pixel and its surrounding cell (Darnell et al., 2008)–hereafter denoted r) as the main parameter for the DEM error model. We assume that the DEM errors are uncorrelated across pixels, increasing with roughness r such that their standard deviation is described by an increasing function g(r). Given a true elevation change X, we therefore set for sources of errors coming from terrain morphology only:

$$Z|\{X, \text{Terrain only}, I\} \sim \text{Student}(X, g(r), \quad k = 5)$$
(4)

i.e., Z follows Student's scaled t-distribution with mean X, standard deviation g(r), and degrees of freedom k = 5. Using a Student instead of Gaussian distribution follows the well-established practice for robust inference as they present similar properties, but the Student-*t* features heavier tails and is thus more robust to outliers (Gelman et al., 2013). In this context, the parameter *k* can be freely chosen to set the weight of the tails. In practice,  $3 \le k \le 10$  values are recommended for inference problems (Gelman et al., 2013); we here choose to use k = 5 since it provides a good balance between mass around the mean and in the tails.

g(r) encodes the dependence of the standard deviation of DEM errors on the local terrain roughness r. For simplicity, we use the same g(r), whether the DEMs sources are radar or optical, as a first approximation of the general form and value of the error. We calibrate g(r) as described in Supplementary Appendix SA.1.1 based on empirical data and general estimates of DEM uncertainties; we stress that the goal is not to obtain a very accurate model but to capture the main uncertainties and overall dependence on terrain morphology.

As mentioned in Section 2.2, another common source of biases in glacier surface elevation changes is the penetration of C-band radar beams into snow/firn/ice in DEMs derived from radar sensors. We here model radar penetration depth at each pixel *s* as a Gaussian distribution, with elevation-dependent median PDD(*z*) and constant standard deviation  $\sigma_{PDD}$ . We use the empirical equations of Li et al. (2021a) as a functional form of the elevationdependent median (see Section 4.4 for more). To account for snow penetration depth (PDD) in datasets coming from radar sensors, we modify Eq. 4 to:

$$Z| \{X, \operatorname{Terrain} \& \operatorname{PDD}, I\} \sim \operatorname{Student} \left( X + (\epsilon_1 - \epsilon_2) \operatorname{PDD}(z), \sqrt{g(r)^2 + (\epsilon_1 + \epsilon_2) \sigma_{\operatorname{PDD}}^2}, \quad k = 5 \right)$$
(5)

where  $\epsilon_1 = 1$  if DEM1 has snow penetration (e.g., a radar dataset), and 0 otherwise; and  $\epsilon_2$ , is similarly defined for DEM2. This amounts to shifting the expected value of Z to

account for the mean penetration PDD(*z*) at the pixel *s* in the two DEMs, and increasing the standard deviation to account for the standard deviation  $\sigma_{\text{PDD}}$  in radar penetration. We set a constant  $\sigma_{\text{PDD}} = 0.5$  m and define PDD(*z*) according to results from Li et al. (2021a) (e.g., Equation 14 for the Western Kunlun Shan); some models for PDD(*z*) are presented in Supplementary Appendix SA.1.2.

Finally, for DEMs derived from optical sensors, low-contrast areas or clouds are an additional source of errors, as mentioned in Section 2.1. These cannot be captured using a morphometricsbased model since the aberrant elevation change signal is not correlated with terrain complexity. We propose to capture those effects separately in the likelihood using a simple model. We typically expect the aberrant elevation change signal to be either markedly positive or negative, depending on whether the low contrast regions are located on DEM1 or DEM2 and with greater absolute value than the glacier change signal. Given a pixel s, we consider three possible cases: either pixel s of only DEM1 is a low-contrast artifact (event  $C_1$ ), or pixel s of only DEM2 is an artifact (event  $C_2$ ), or the pixel is a low-contrast artifact in neither DEM (event  $C_0$ ). We neglect the case where the same pixel is affected on both DEMs. Under these three disjoint scenarios, we therefore have three conditional likelihoods:  $p(Z|X, C_q, I), q = 0, 1, 2$ . Note that in the case  $C_0$ , there are no lowcontrast artifacts, and the likelihood is given by Eq. 5 which accounts for terrain-related errors and possible snow penetration:

$$p(Z|X, C_0, I) = p(Z|X, \text{Terrain \& PDD}, I).$$
(6)

We detail our model for the conditional likelihoods  $p(Z|X, C_q, I), q = 1, 2$  in Supplementary Appendix SA.1.3. Finally, we obtain the full likelihood as a mixture of conditional likelihoods on the disjoint cases  $C_q, q = 0, 1, 2$ :

$$p(Z|X,I) = \sum_{q=0,1,2} p(Z|X,C_q,I) p(C_q|I)$$
(7)

We obtain  $p(C_1|I)$  and  $p(C_2|I)$  from the DEM's metadata (valid pixel mask for ASTER scenes, for example); for a radar DEM, we set the corresponding  $p(C_q|I) = 0$ . We also take  $p(C_0|I) = 1 - p(C_1|I) - p(C_2|I)$ .

#### 3.2 Prior

We now turn to the description of the prior term, p(X|I) in Eq. (3), capturing all information on X known regardless of any information from the observed surface elevation changes Z. I may contain information obtained directly from already existing datasets, from modeled results, or more theoretical considerations of glacier surface elevation changes, for example.

In the present work, we form our prior over glacier surface elevation change in two steps. We first consider existing datasets from other studies to form the median of the prior probability distribution. In a second step, we define the variance as a simple model of glacier surface elevation changes, capturing contrasting elevation change patterns often documented in dynamically unstable glaciers.

The wealth of data produced by recent studies quantifying glacier volume changes (Brun et al., 2017; Braun et al., 2019;

Shean et al., 2020; Hugonnet et al., 2021) provides valuable *a*-*priori* information for our inference process. Given the data gaps present and the use of higher order polynomials used to minimize errors in Brun et al. (2017), we only consider the datasets from Shean et al. (2020) (Available at https://ze nodo.org/record/3600624) and Hugonnet et al. (2021) (available at https://www.sedoo.fr/theia-publication-products/?uuid=c428c5 b9-df8f-4f86-9b75-e04c778e29b9) as potential prior information.

To derive our prior formulation, we first compute the median and 70% interpercentile range (distance between the 15th and 85th percentile) of the yearly surface elevation change rate for 100 m elevation bins for all glaciers in High Mountain Asia (HMA) for 2000–2018 for both the Shean et al. (2020) and Hugonnet et al. (2021) datasets (Figure 1), using another DEM as elevation reference (Copernicus DEM in the present case, see Section 4.1.2).

Glaciers are then divided into surge-type and non-surgetype groups, using the surge-type glacier inventory generated by Guillet et al. (2022). Both datasets present an elevation-dependant median, with constant variance for stable glaciers and elevationdependant variance for surge-type glaciers (Figure 1). The latter results from surges, especially towards lower altitudes. However, both datasets implicitly assume that thickness changes are constant over time by representing glacier thickness change as rates rather than totals over the 2000–2018/2019 period. This does not reflect the wealth of observed surge behavior, in which sudden destabilization of a glacier will lead to a rapid (over the course of months to years) dynamical thickening of up to several hundreds of meters (Bolch et al., 2017; Steiner et al., 2018; Guo et al., 2020; Muhammad and Tian, 2020). While Hugonnet et al. (2021) provide additional surface elevation change records resolved over 5-year intervals, they suffer from similar caveats and do not adequately represent possible surge-induced surface elevation changes.

We define p(X|I) as a Student-t distribution with k = 5 degrees of freedom, an empirical median derived from the Shean et al. (2020) dataset and use Eq. 19 as a scaling parameter to control the standard deviation of the distribution:

$$K|I \sim \text{Student}(\mu(z), \sigma_X(z), \quad k = 5)$$
(8)

Equations for  $\mu(z)$  and  $\sigma_X(z)$  are described in Supplementary Appendix A.2; see Eqs 18, 19, respectively.



Distribution of surface elevation change rates per 100-m elevation bins from Hugonnet et al. (2021) (top) and Shean et al. (2020) (bottom) over HMA, for the 2000–2018 period. Solid lines are medians, while shaded areas represent the inter-percentile range between the 15th and 85th percentiles of each 100 m elevation bin.

The prior distribution defined here is "weakly" informative. The purpose of formulating a relatively weak prior is to explicitly regularize the inference process and thus keep estimated glacier thickness changes within a reasonable range of values, compatible with known variations resulting from dynamical thickening. A weaker prior thus allows one to capture glacier surface elevation changes resulting from a wider range of phenomena, such as dynamical thinning or thickening within a single model. Assuming that one is interested in estimating glacier thickness changes for non-surge-type glaciers, the weak prior formulation can easily be modified to constrain surface elevation changes more strictly (see Supplementary Appendix A.2).

We have now specified the likelihood (Eqs (6), (7) and Eq. 16, 17 in Supplementary Appendix A.1) and the full prior (Eq. (8), with terms discussed in Supplementary Appendix A.2). Therefore, we can evaluate the univariate posterior probability density on *X* using Eq. (3) for any Z(s, T). The pixel-wise estimate of the glacier thickness change is finally computed by cumulative trapezoidal numerical integration as the median of the univariate posterior probability density function.

Another descriptor of the posterior probability density function commonly used in Bayesian inference problems is the Maximum A Posteriori (MAP) which corresponds to the mode of the posterior distribution. In the present study, we use the median and the associated 90% credible interval (range of values in which one can expect the latent variable to lie, with a probability of 0.9) as the posterior probability density is multimodal. Identifying the highest mode can therefore be impossible since, in some cases, the different modes are equal (Lehmann and Casella, 2006; Casella and Berger, 2021). Even if the highest mode can be identified, it is unlikely to be representative of the posterior distribution. Although the posterior probability density median is not always the most probable value, it allows, alongside the credible interval, to better characterize the posterior PDF in its entirety, and thus provide a clearer picture of the uncertainty associated with each surface elevation change estimate.

# 4 Case study and results

In this section, we set up experiments to demonstrate and evaluate key points of the presented methodology. First, we consider outlier filtering by simulating errors within a DoD to reflect biases that could exist in glacier surface elevation changes computed from DEMs derived from radar and optical sensors (Section 4.2). In the second step, we test our prior formulation and the overall sensitivity of the technique to the prior parameters (Section 4.3). We then investigate our complete uncertainty estimation framework implementation by comparing our results with already published studies (Section 4.4). All experiments are independent of one another and re-use the same publicly available input DEMs.

#### 4.1 Presentation of experiments and data

#### 4.1.1 Overview of experiments

We test our methodology using data from the Western Kunlun Shan in the northwestern Tibetan Plateau (Figure 2). Lying south of the Tarim Basin and west of the Karakoram range, Western Kunlun Shan is one of the most glacierized regions of High Mountain Asia, containing a wide range of glacier types from cirque, valley, and piedmont glaciers to ice caps. While the northern slopes present long and relatively steep glaciers flowing into deeply incised valleys, its



#### FIGURE 2

Location of the Western Kunlun Shan. Glaciers are represented by their outlines from the RGI v6.0. The classification of surge-type glaciers is from Guillet et al. (2022). Hillshade is generated from COP-DEM. Location Map data is copyrighted by OpenStreetMap contributors and available from https://www.openstreetmap.org.

Parameter	Value	Sources
$X_{front}$	110 [m]	Cuffey and Paterson (2010); Muhammad and Tian (2020)
à	0.3 [myr <sup>-1</sup> ]	Maussion et al. (2014); Thompson et al. (2018)
X <sub>acc</sub>	4 [m]	_
$z_{\it ELA}$	5,930 [m.a.s.l.]	Zhang and Jiao (1987); Ageta (1989); Liu et al. (1992); Bao et al. (2015); Wang et al. (2018); Luo et al. (2022)

TABLE 1 Prior parameters used in the experiments.

southern slopes present a more gradual elevation gradient. Surges have been reported to affect 18 glaciers (63% of the glacierized area), both in the northern and southern slopes of the Western Kunlun Shan (Guan et al., 2022; Guillet et al., 2022). The diverse elevation gradients and widespread complex thickness change signal resulting from dynamical instabilities make the Western Kunlun Shan a good test case for our methodology. The RGI (V6.0, RGI Consortium (2017)) outlines used in this study comprise 399 glaciers covering a total area close to 3,000 km<sup>2</sup>.

For each experiment, we use the prior parameters described in Table 1 unless otherwise specified.

#### 4.1.2 Data

#### 4.1.2.1 NASADEM

The NASADEM (hereafter referenced as NAS30) was released in 2020 and consisted of a reprocessing of the Shuttle Radar Topography Mission (SRTM, 30 m) DEM using ASTER GDEM2, advanced interferometric techniques and ground control points derived from the ICESat laser altimeter to fill existing data voids and to improve the geolocation accuracy (Crippen et al., 2016; NASA, 2020). The SRTM DEM was originally produced with WGS84 geographic coordinates, with elevation as a height measure relative to the Earth Gravitational Model 1996 (EGM96) geoid (Farr and Kobrick, 2000). We use NAS30, with its improved spatial coverage, to represent elevations from 2000. NAS30 also allows us to test the penetration correction implemented within our likelihood due to its well-known problem of C-band radar penetration into snow at higher altitudes.

#### 4.1.2.2 Copernicus DEM

The Copernicus DEM is based on DEMs generated from TanDEM-X data acquired between December 2010 and January 2015 and went through significant post-processing, including spikes and holes removal, void filling, as well as correction of implausible terrain structures and random biases (AIRBUS, 2020a). Validated against ICESat GLAS measurements, the Copernicus DEM presents an average vertical RMSE of 1.68 m (AIRBUS, 2020b). The Copernicus DEM is provided in WGS84 geographic coordinates, using EGM2008 as a vertical reference datum. We follow Liang et al. (2022) and use the Copernicus 1 arcsec (30 m, hereafter referred to as COP30 and available at https://spacedata.copernicus.eu/collections/copernicus-digital-elevation-model) as a representation of glacier surface elevation in 2013.

#### 4.2 Outlier filtering

We first compute glacier surface elevation changes from the coregistered NAS30 and COP30 DEMs to validate the posteriorbased filter. Note that while we are aware of the radar penetration problems arising from the use of DEMs generated from radar sensors, this experiment solely focuses on testing the ability of our methodology to recover the underlying surface elevation change map in complex glaciological contexts. Therefore, we do not consider the potential radar penetration problem in this experiment but do so in the one presented in Section 4.4. The computed surface elevation changes are further used as reference DoD.

Gaussian random fields (GRFs) have been widely used to model measurement biases and random fluctuations in physical properties in spatially correlated data (Haran, 2011; Hristopulos, 2020). To mimic noise observed in glacier surface elevation changes, we simulate biases using a two-dimensional zero-mean stationary Gaussian random field:

$$\mathbf{w} = \left(w(\mathbf{s}_1), w(\mathbf{s}_2) \dots, w(\mathbf{s}_n)\right)' \sim N(\mathbf{0}, \mathbf{C}(d)) \tag{9}$$

where  $\mathbf{s}_1, \mathbf{s}_2..., \mathbf{s}_n$  are samples from the DEM  $\mathcal{D}$  and  $\mathbf{C}(d)$  is a family of covariance matrices and function of d, the reciprocal distance between two samples  $\mathbf{s}_i$  and  $\mathbf{s}_k$ . We here define the Gaussian random field through its power spectrum and first design a test case using a scale-invariant power spectrum. We compute a GRF with Gaussian power spectrum as a second test case, derived from the variogram presented in Hugonnet et al. (2022). In both cases, we aim to reflect biases in glacier surface elevation changes when computed from digital elevation data derived from radar and optical sensors. We thus define a heteroscedastic random field, where the power spectrum is scaled to vary with terrain roughness, as specified in the likelihood (Eq. 15).

#### 4.2.1 Gaussian random field with scale-invariant power spectrum

In this experiment, we use a GRF with a power spectrum of the form:

$$P(\omega) = \omega^{-n} \tag{10}$$

where  $\omega$  is the conjugate of the distance *d* through the Fourier transform. *n* here controls the spatial correlation of the random field. *n* = 1 provides the most realistic simulated noise (see Figure 3).



Example of simulated errors using a heteroscedastic GRF with scale-invariant power spectrum with n = 1. Glacier outlines originate from the RGI v6.0. Ref. stands for reference DoD. Box represents the extent of Figure 4.

# 4.2.2 Gaussian random field with Gaussian power spectrum

In this experiment, we derive a Gaussian power spectrum for the GRF from Hugonnet et al. (2022). In Eqs 15, 16, they proposed an analytical form of an empirical variogram relying on two different models: Gaussian at short ranges and spherical models at longer ranges. Hugonnet et al. (2022) further report the decorrelation of 95% of variance on flowing glacier ice for distances greater than 38 m. For the sake of simplicity, we here neglect the remaining 5% of variance and assume a variogram of the form:

$$G(s,r,d) = s\left(1 - e^{\left(\frac{2d}{r}\right)^2}\right)$$
(11)

with s = 1 being the sill for standardized elevation differences and r = 38 m. From this, we can derive the covariance function:

$$C(d) = e^{\left(\frac{2a}{r}\right)^2} \tag{12}$$

To model this covariance function, we take the power spectrum to be:

$$P(\omega) = \sqrt{\frac{\pi}{a}} \cdot e^{-\left(\frac{\omega^2}{4a}\right)}$$
(13)

where  $a = \frac{2}{r}$  and  $\omega$  is the conjugate of *d* through the Fourier transform. An example of the random field is given in Figure 4.

Figures 5, 6 show the surface elevation change maps with simulated noise and compares them to the posterior distribution. Qualitatively, the proposed methodology satisfactorily culls the artificial noise in the scale-invariant and Gaussian cases. We further note that additional spurious elevation change in the reference map has been filtered alongside the added noise.

More quantitatively, the medians of the reference DoD (gray, Figure 6) and surface elevation change maps with Gaussian and scale invariant noise are 7.5, 7.3, and 12 m, respectively, over the considered period. Medians of the posterior on glacier surface elevation changes for the scale invariant (n = 1) and Gaussian power spectra are consistent, with medians of 3.7 and 3.5 m, respectively. We note a wider spread for the Gaussian power spectrum case. The most likely explanation for this is high-valued artificial noise at relatively low elevations, typically below the Equilibrium Line Altitude (ELA) (Figure 5). Given the weaker prior below the ELA (see section Supplementary Appendix SA.2), our methodology does not consider these pixels outliers. Still, they are affected with greater uncertainty, as demonstrated by the distribution of widths of the 90% credible regions (Figure 7). While a weaker prior allows to accommodate a wider range of surface elevation change patterns, it does so at the cost of additional variance.

#### 4.3 Prior selection and sensitivity

# 4.3.1 Impact of the ELA on the posterior on surface elevation changes

We first compute the reference elevation change over the Western Kunlun Shan by culling outliers from the COP30-NASA30 surface elevation change map. Then, elevation changes over the Western Kunlun Shan are estimated for various ELA values: 5,600, 5,700, 6,000, 6,200, and 6,500 m (see Table 1). These values purposely lie outside of the expected interval for both end-of-summer transient snowlines (5,900–6,100 m) and equilibrium line (5930 m) altitudes to test the effect of "extreme" hyperparameter values on the filter's performance.



FIGURE 4

Example of simulated noise using the heteroscedastic GRF with Gaussian power spectrum. Glacier outlines originate from the RGI v6.0. Ref. stands for reference DoD. Closeup from Figure 3.

The resulting posterior distributions for surface elevation change maps computed with ELAs equal to 5,600 and 5,700 m present an important bias toward 0 values compared to the reference DoD, with median surface elevation changes of 0.0 and 1.3 m and interpercentile range (IPR, between the 25th and 75th percentile) of 4.2 and 5.3 m respectively during the 2000–2013 period (Figure 8). As expected, the distributions of widths of 90% credible regions are similarly biased towards smaller values, with medians of 18 (ELA 5600 m) and 22 m (ELA 5700 m) and IPR of 9 m. This bias in filtered surface elevation change and width of 90% credible regions directly results from the altitude of the equilibrium line in these examples, as it is lower than the terminus for 269 glaciers of the 399 in this example.

Strong similarities exist between the posterior distributions for the reference and surface elevation change maps computed with ELA = [6,000,6,200]. The distributions are consistent with each other, presenting median surface elevation changes close to 3.8 (reference DoD), 4.3 (ELA = 6,000 m), and 5.4 m (ELA = 6,200 m), and IPR of 6.1, 6.2, 6.3 m, respectively, over the 2000–2013 period. The distribution of widths for the 90% credible regions is more conservative, with medians close to 29.7 (reference DoD), 31.5 (ELA 6,000 m), and 35 m (ELA 6,200 m) and IPR ranging from 14.1 to 20 m.

Finally, the posterior distribution on surface elevation change computed with an ELA=6,500 m presents a median of 6.3 m. The distribution of widths of 90% credible regions is significantly wider, with an IPR of 26 m during the 2000–2013 period.

#### 4.3.2 Impact of errors in the reference DEM

As defined by Eq. (8), the prior captures knowledge on pixel-wise glacier thickness change, given a certain elevation and glacier ELA (see section Supplementary Appendix SA.2). Elevation  $\tilde{z}_s$  for a given pixel *s* is read directly from the reference DEM (Copernicus DEM in the present case), which is itself a flawed representation of the true and unknown ground surface elevation  $z_s$ .

To test for the impact of errors  $\epsilon_s$  on our prior formulation, we first define  $\tilde{z}_s = z_s + \epsilon_s$ , with  $\epsilon_s \sim \mathcal{N}(0, 30m)$  thus assuming  $\epsilon_s$  to be normally distributed with a standard deviation an order of magnitude higher than the reported Copernicus DEM root mean square error (RMSE) of 1.68 m (AIRBUS, 2020b). We then simultaneously generate 10,000 realizations of the prior probability distributions on X given  $z_s$  and  $\tilde{z}_s$ :  $p(X|z_s, z_{ELA})$  and  $p(X|\tilde{z}_s, z_{ELA})$ . Repeating this experiment for different values of  $z_s$  and  $z_{ELA}$ , we observe no difference between  $p(X|z_s, z_{ELA})$  and  $p(X|\tilde{z}_s, z_{ELA})$  (Figure 9) and therefore conclude that the errors in the reference DEM do not affect the prior probability.

# 4.4 Comparison with other glacier surface elevation changes in the Western Kunlun Shan

Finally, we estimate glacier thickness changes using all the features presented in our methodology (Figure 10). Here, we thus further account for the well-known problem of radar C-band and X-band snow penetration.

The correction is parameterized using the results from Li et al. (2021a) empirically describing the radar penetration depth in the Western Kunlun Shan as linearly dependent on the altitude, with a function of the form:

$$PDD = 0.026 \times z - 12.46 \tag{14}$$

where PDD is the penetration depth difference of the C/X-Band STRM and z is the elevation. We follow the method of Liang et al. (2022) and apply a similar correction to the NAS30 and COP30 DEMs.

Comparing results between uncertainties estimated from frequentist and Bayesian approaches is not straightforward, as initial assumptions and design philosophies strongly differ. While describing the posterior distribution as a single-value estimate is not representative of Bayesian methods, we can nevertheless compare the median of the posterior distribution on glacier surface elevation changes with similar products published previously (Figure 11). In the following, we further express our results as glacier surface elevation change rates for consistent comparison with the other studies. However, we want to restate that computing rates over periods greater than the duration of the active surge phase implies constant surface elevation changes over the considered time interval. This does not adequately represent surge-type dynamics and directly contradicts the observed surge behavior (Benn et al., 2019; Guillet et al., 2022).



#### FIGURE 5

(A) Elevation change maps for the Western Kunlun Shan (left). Closeup of the elevation change map in the area delineated by the black box (right). Reference glacier thickness change computed from the difference between COP30 and NAS30. (B) Reference glacier thickness change with noise added from the Gaussian random field. (C) Estimated noise-free surface elevation change map. (D) Difference between third and second rows. Note that the filter has culled noise present in the reference map. SI and G, respectively, refer to scale invariant and Gaussian. Both refer to the power spectrum of the Gaussian random field used in each case.



#### FIGURE 6

Distributions of the reference surface elevation change (top, grey), the surface elevation changes with added noise from Gaussian (G) and scale invariant (SI) power spectrum random field (center, blues), the medians of the posterior on glacier surface elevation changes for each test case (bottom, greens). The boxes show the quartiles of each distribution, and the whiskers extend to show the rest of the distribution.



Over the 2000–2013 period, we find a general glacier thickening in the Western Kunlun Shan of  $\approx 0.14 \pm 0.35$  m yr<sup>-1</sup>; the distribution being heavy-tailed, we use the interpercentile range (16th to 84th percentiles) to describe the dispersion around the median value. These results are consistent with previous documentation of a thickening anomaly over similar periods and spatial extent in the Western Kunlun Shan. Lin et al. (2017) relied on the use of SRTM DEM and X-band SAR images to derive surface elevation changes of  $\approx +0.15 \pm 0.06$  m yr<sup>-1</sup> for the 2000–2013 period. Wang et al. (2021) report a mean glacier thickening of ≈0.14±0.10 m yr<sup>-1</sup> over between 2000 and 2019, according to ICESat and ICESat-2. Similarly, relying on the use of ICESat data, Bao et al. (2015) document an average glacier thickening ≥0.2 m yr<sup>-1</sup> in the Western Kunlun Shan between 2003 and 2009. The data generated by Hugonnet et al. (2021) for the 2000 to 2009 period show thickening of ≈0.10±0.9 m yr<sup>-1</sup>, the variance being here described through the interprecentile range (16th to 84th percentiles). The most recent study of Liang et al. (2022) documented surface elevation changes of ≈0.15±0.35 m yr<sup>-1</sup> for the 2000-2013 period using the STRM DEM and



Distributions of the median (green) and width of a 90% credible region (CR, between the 5% and 95% percentile, orange) filtered surface elevation changes for different ELA values. The gray background indicates the reference DoD. Note the heavily biased distributions for ELAs of 5,600 and 5,700 m. Note that the inverse representation of the CR distributions is for readability only.

TanDEM-X, which is consistent with our estimate using the same data.

Figure 11 further illustrates a common problem in reporting glacier thickness changes as Gaussian distributions. Without access to the data, we generated distributions from the means and standard deviations reported in Bao et al. (2015); Lin et al. (2017); Wang et al. (2021) and Liang et al. (2022). We observe that the heavy tails in the distributions generated from the Hugonnet et al. (2021) data and our results (reds in Figure 11) are not adequately captured when relying on the metrics provided Bao et al. (2015); Lin et al. (2017); Wang et al. (2017); Wang et al. (2017); Wang et al. (2022) (greens in Figure 11). This further exemplifies the major

caveats in reporting glacier surface elevation changes and rates as means and standard deviations, as they are not representative measures of a non-Gaussian distribution's central tendency and dispersion.

# **5** Discussion

In this paper, we proposed a proof of concept demonstrating that Bayesian modeling, allowing for the unification of outlier filtering and uncertainty estimation within a statistically coherent framework, can be successfully applied to remote sensing of glacier



changes. We characterized the state of knowledge on glacier surface elevation changes through the posterior distribution as the combination of glacier volume variation observations, prior knowledge from scientific knowledge, and previously collected data. This contrasts with the more common approaches used in remote sensing of glacier changes relying on static control surfaces to characterize or minimize errors through the use of different accuracy measures (Pieczonka and Bolch, 2015; Braun et al., 2019; Shean et al., 2020; Hugonnet et al., 2021), higherorder polynomials (Brun et al., 2017) or inference processes (Hugonnet et al., 2022).

The method further alleviates the problems raised by approaches that rely on regression methods and dense DEM time-series to compute glacier thickness changes (Brun et al., 2017; Hugonnet et al., 2021). While such methods maximize the likelihood of their given statistical model (linear trend or Gaussian process) to explain the observed data, the lack of regularization likely leads to overfitting, as Gaussian process models require fine-tuning of the kernel functions and their hyperparameters (Rasmussen and Williams, 2005). The robustness of estimates computed from Gaussian process models typically suffers from high variability in sampling rate or important temporal data gaps. In the Bayesian model, formulating an a priori knowledge with known and naturally interpretable parameters regularizes the inference process, making it more robust and immune to spurious spatial and temporal correlations. A logical next step would be to unify the proposed methodology with the timeseries approach of Hugonnet et al. (2021) within a Bayesian updating/data assimilation framework. Such a scheme would allow a fully probabilistic formulation of the geodetic mass balance of glaciers, incorporating the quantification of uncertainties related to data, glacier area change, and ice volume-to-mass conversion.

The Bayesian inferential paradigm incorporates previous knowledge and physical considerations on the studied latent process and provides a natural interpretation of credible intervals (credible regions or sets). Indeed, contrary to the frequentist confidence interval, the Bayesian credible region quantifies the range of values within which the latent variable falls with a particular probability. In addition, the flexibility of the Bayesian approach allows one to modify the framework's ingredients as new knowledge becomes available. The proposed Bayesian model can readily be extended to any other type of digital surface elevation dataset, such as laser altimetry or InSAR, provided that the errors associated with each sensor can be modeled satisfactorily. As an example, a model estimating glacier or ice sheet thickness change from laser altimetry would have to account for errors resulting from geomorphometry (surface roughness, etc., Harding et al. (1994); Brunt et al. (2019)), vertical ice flow (surface submergence/emergence, Hubbard et al. (2000); Enderlin et al. (2022)) and the physical nature of the land surface (firn densification and water content, Smith et al. (2023)).

In Section 4.4, we showed that the presented method can successfully estimate glacier surface elevation changes and associated uncertainties. By comparing our results with previous efforts to quantify surface elevation changes in the Western Kunlun Shan, we found discrepancies in the distributions of single-value estimates of glacier surface elevation changes. However, these discrepancies can be explained by the difference in input data and the more conservative approach to defining weak priors for all glaciers



FIGURE 10

Maps of the median pixel-wise elevation change (top) and pixel-wise 90% credible interval for the Western Kunlun Shan case study, between 2000 (NASADEM) and 2013 (COP-DEM). Hillshade is generated from COP-DEM.

in the study region. The latter can be addressed by introducing finer glacier-specific priors relying, for example, on existing surgetype glacier inventories. Due to the inherently different nature of the uncertainties estimated between various methodologies, it is impossible to compare the uncertainties directly. Furthermore, we demonstrated that the proposed methodology satisfactorily characterizes the state of knowledge on glacier surface elevation changes from observations and prior information and provides estimates of glacier surface elevation change that are broadly consistent with previous results. The presented uncertainties (i.e., the width of credible regions) are likely overestimated since each surface elevation change pixel is considered independent of its surroundings and the information provided by neighboring observations is not considered. Future improvements to the presented methodology typically include incorporating important findings from Hugonnet et al. (2022), such as accounting for long-range spatial correlation in errors and refining the error descriptors used in the likelihood.

Finally, we recommend that researchers follow the examples established by recent efforts (Hugonnet et al., 2021) and the present study and abandon reporting changes in glacier thickness and geodetic mass balance through means and standard deviations. Glacier instabilities, such as surges and other dynamical ice mass redistribution phenomena, are prime examples that glacier changes are not smooth in space or time (Krabill et al., 2004; Csatho et al., 2014). Implying Gaussianity in the distribution of changes in glacier thickness does not reflect the diversity of observed behaviors and further suggests constant variance throughout the studied zone and period. Researchers should aim to describe their results through robust gridded estimates of the central value (the median, for example) and associated spread (an inter-percentile range or the Median Absolute Deviation, MAD) of the thickness change distribution, similar to the results of Hugonnet et al. (2021) or presented in Figure 10. Finally, we wish to emphasize that probabilistic methods, such as the one proposed here, present an adaptable alternative to frequentist approaches when estimating



observable glacier quantities from imperfect observations, as they allow for the specification of intuitive error structures, constrained by prior knowledge derived from physical principles of glacier dynamics.

# 6 Conclusion

This paper presented a novel method for estimating glacier surface elevation changes based on a Bayesian formulation of the DEM subtraction problem. Driven by the goal of providing robust probabilistic estimates of glacier surface elevation changes computed from the subtraction of DEMs in complex glaciological contexts, we introduced models for errors in input data relying on geomorphometrics and conditioned observations using available scientific knowledge on glacier changes. We integrated these ingredients into a statistically consistent Bayesian framework, which can readily be extended to other data types (i.e., measurements from laser altimeters) and sources of uncertainty, provided that they can be modeled satisfactorily.

We applied and tested the method using glaciers in the Western Kunlun Shan, located at the northwestern edge of the Tibetan Plateau and known for its many surge-type glaciers. Our method produced estimates of glacier surface elevation changes consistent with previously published results. Combining Bayesian outlier filtering with probabilistic uncertainty models, the method consistently estimates glacier surface elevation changes in complex glaciological contexts while propagating the associated uncertainties. Although the examples presented in this study focus on dynamically unstable surge-type glaciers, the methodology is readily useable for all glaciological contexts.

Efforts are needed to extend the Bayesian framework to effectively assimilate further glacier observations in the model, such as glacier velocities, and to refine the integration of spatially correlated information, such as surrounding pixels. The increased availability of glacier products derived from satellite sensors (e.g., digital elevation models, surface velocities) and computing power provide the scientific community with large volumes of quantitative physical information. As presented in this study, Bayesian methods are valuable tools for building sophisticated models to estimate glacier quantities from remotely-sensed data while providing an adaptable framework to estimate and control uncertainties in the results.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

# Author contributions

GG and TB designed the study. GG designed the methodology, implemented the code, performed the simulations, analyzed the results, and generated the figures. GG wrote the paper with the support of TB. All authors contributed to the article and approved the submitted version.

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# **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/feart.2023. 1076732/full#supplementary-material

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